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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2013

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2013 or tax year beginning

, and ending

Name of foundation <b>SEGAL FAMILY FOUNDATION</b>		A Employer identification number <b>20-7395108</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>1700 BROADWAY, 35TH FLOOR</b>		B Telephone number <b>212-615-3442</b>
City or town, state or province, country, and ZIP or foreign postal code <b>NEW YORK, NY 10019</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 1,230,369.</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	16.	16.		STATEMENT 1
	4 Dividends and interest from securities	39,047.	39,047.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	264,770.			STATEMENT 3
	b Gross sales price for all assets on line 6a	633,893.			
	7 Capital gain net income (from Part IV, line 2)		264,771.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	7,125.	7,124.		STATEMENT 4	
12 Total. Add lines 1 through 11	310,958.	310,958.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	4,300.	0.		4,300.
	c Other professional fees				
	17 Interest				
	18 Taxes	1,997.	597.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	6,297.	597.		4,300.
	25 Contributions, gifts, grants paid	700,000.			700,000.
26 Total expenses and disbursements. Add lines 24 and 25	706,297.	597.		704,300.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-395,339.				
b Net investment income (if negative, enter -0-)		310,361.			
c Adjusted net income (if negative, enter -0-)			N/A		

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LHA For Paperwork Reduction Act Notice, see instructions.

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2013.04020 SEGAL FAMILY FOUNDATION

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	161,285.	12,283.	12,283.
	2 Savings and temporary cash investments		123,599.	121,288.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	1,356,600.	986,664.	1,096,798.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,517,885.	1,122,546.	1,230,369.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,517,885.	1,122,546.	
	30 Total net assets or fund balances	1,517,885.	1,122,546.	
31 Total liabilities and net assets/fund balances	1,517,885.	1,122,546.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,517,885.
2 Enter amount from Part I, line 27a	2	-395,339.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,122,546.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,122,546.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 633,878.		369,107.	264,771.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			264,771.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	264,771.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	223,250.	1,691,576.	.131978
2011	222,800.	1,775,971.	.125452
2010	324,067.	1,909,301.	.169731
2009	272,393.	1,126,982.	.241701
2008	223,509.	1,573,079.	.142084

2 Total of line 1, column (d)	2	.810946
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.162189
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,657,479.
5 Multiply line 4 by line 3	5	268,825.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,104.
7 Add lines 5 and 6	7	271,929.
8 Enter qualifying distributions from Part XII, line 4	8	704,300.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	3,104.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,104.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,104.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,064.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	9,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	10,064.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,960.	
11 Enter the amount of line 10 to be credited to 2014 estimated tax	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>THE FOUNDATION</u> Telephone no ► <u>212-615-3442</u> Located at ► <u>1700 BROADWAY, 35TH FLOOR, NEW YORK, NY</u> ZIP+4 ► <u>10019</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MIKHAIL SEGAL	TRUSTEE			
C/O LS POWER GROUP, 1700 BROADWAY 35T	2.00	0.	0.	0.
NEW YORK, NY 10019				
SOFIA SEGAL	TRUSTEE			
C/O LS POWER GROUP, 1700 BROADWAY 35T	2.00	0.	0.	0.
NEW YORK, NY 10019				

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,520,738.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	161,982.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	1,682,720.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	1,682,720.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	25,241.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,657,479.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	82,874.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	82,874.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	3,104.
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	3,104.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	79,770.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	79,770.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	79,770.

**Part XII****Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	704,300.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	704,300.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	3,104.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	701,196.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				79,770.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only			0.	
<b>b</b> Total for prior years		0.		
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008	145,637.			
<b>b</b> From 2009	219,258.			
<b>c</b> From 2010	229,930.			
<b>d</b> From 2011	135,063.			
<b>e</b> From 2012	139,655.			
<b>f</b> Total of lines 3a through e	869,543.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 704,300.				
<b>a</b> Applied to 2012, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2013 distributable amount				79,770.
<b>e</b> Remaining amount distributed out of corpus	624,530.			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,494,073.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7	145,637.			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,348,436.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009	219,258.			
<b>b</b> Excess from 2010	229,930.			
<b>c</b> Excess from 2011	135,063.			
<b>d</b> Excess from 2012	139,655.			
<b>e</b> Excess from 2013	624,530.			

N/A

- ▶

☐ 4942(j)(3) or ☐ 4942(j)(5)

- (e) Total

(4) Gross investment income

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
NEW YORK UNIVERSITY - SCHOOL OF LAW 726 BROADWAY, 2ND FLOOR NEW YORK, NY 10003	NONE	PUBLIC CHARITY	OPERATIONS	100,000.
SECURING AMERICAS FUTURE ENERGY FOUNDATION 1111 19TH STREET NW SUITE 406 WASHINGTON, DC 20036	NONE	PUBLIC CHARITY	OPERATIONS	100,000.
THE JEWISH AGENCY FOR ISRAEL 633 THIRD AVENUE NEW YORK, NY 10017	NONE	PUBLIC CHARITY	OPERATIONS	500,000.
<b>Total</b>			<b>3a</b>	700,000.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	0.



**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |   |  | Yes   | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |       |    |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of  |       |    |
|   | (1) Cash   | 1a(1) | X  |
|   | (2) Other assets   | 1a(2) | X  |
| b | Other transactions   |       |    |
|   | (1) Sales of assets to a noncharitable exempt organization   | 1b(1) | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization   | 1b(2) | X  |
|   | (3) Rental of facilities, equipment, or other assets   | 1b(3) | X  |
|   | (4) Reimbursement arrangements   | 1b(4) | X  |
|   | (5) Loans or loan guarantees   | 1b(5) | X  |
|   | (6) Performance of services or membership or fundraising solicitations   | 1b(6) | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

11/13/14  
Date

**TRUSTEE**  
Title

May the IRS discuss this return with the preparer shown below (see instr)?

<input checked="checked" type="checkbox"/> <b>X</b>	<b>Yes</b>	<input type="checkbox"/>	<b>No</b>
---	------------	--------------------------	-----------

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
DAVID S. UNTRACHT	DAVID S. UNTRACHT	11/12/14		P00161568
Firm's name ► UNTRACHT EARLY LLC			Firm's EIN ► 22-3754856	
Firm's address ► 325 COLUMBIA TURNPIKE, SUITE 202 FLORHAM PARK, NJ 07932			Phone no 973-408-6700	

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
THROUGH GOLDMAN SACHS	15.	15.	
THROUGH JP MORGAN (5945)	1.	1.	
TOTAL TO PART I, LINE 3	16.	16.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
THROUGH GOLDMAN SACHS	39,040.	0.	39,040.	39,040.	
THROUGH JP MORGAN (4001)	7.	0.	7.	7.	
TO PART I, LINE 4	39,047.	0.	39,047.	39,047.	

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 3

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LYONDELL INDUSTRIES N.V. - 750 SHS	56,587.	18,554.	0.	0.	38,033.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
WESTLAKE CHEMICAL CORPORATION - 350 SHS	37,915.	13,931.	0.	0.	23,984.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
D.R.HORTON, INC - 1,608 SHS	31,515.	18,576.	0.	0.	12,939.



(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SPDR S&P 500 ETF TRUST - 2,150 SHS	378,995.	227,766.	0.	0.	151,229.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
KB HOME - 892 SHS	15,503.	16,484.	0.	0.	-981.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
M.D.C. HOLDINGS, INC - 507 SHS	14,880.	18,934.	0.	0.	-4,054.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
NVR INC - 40 SHS	39,077.	19,558.	0.	0.	19,519.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
THE RYLAND GROUP INC - 783 SHS	30,635.	19,246.	0.	0.	11,389.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
TOLL BROTHERS, INC - 850 SHS	28,771.	16,058.	0.	0.	12,713.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOSS ON EXCHANGE OF CANADIAN DOLLARS	15.	16.	0.	0.	-1.

NET GAIN OR LOSS FROM SALE OF ASSETS	264,770.
CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	264,770.

FORM 990-PF	OTHER INCOME	STATEMENT	4
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SETTLEMENT INCOME	5,246.	5,246.	
OTHER INVESTMENT INCOME	1,879.	1,878.	
TOTAL TO FORM 990-PF, PART I, LINE 11	7,125.	7,124.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	4,300.	0.		4,300.	
TO FORM 990-PF, PG 1, LN 16B	4,300.	0.		4,300.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	597.	597.		0.	
FEDERAL EXCISE TAXES	1,400.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	1,997.	597.		0.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
GOLDMAN SACHS - SEE ATTACHMENT	986,664.	1,096,798.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	986,664.	1,096,798.	

FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 8

NAME OF MANAGER

MIKHAIL SEGAL  
SOFIA SEGAL

SEGAL FAMILY FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LYONDELL INDUSTRIES N.V. - 750 SHS	P	08/17/11	10/28/13
b	WESTLAKE CHEMICAL CORPORATION - 350 SHS	P	08/18/11	10/28/13
c	D.R.HORTON, INC - 1,608 SHS	P	06/26/08	10/28/13
d	SPDR S&P 500 ETF TRUST - 2,150 SHS	P	10/06/08	10/28/13
e	KB HOME - 892 SHS	P	06/26/08	11/21/13
f	M.D.C. HOLDINGS, INC - 507 SHS	P	06/06/08	11/21/13
g	NVR INC - 40 SHS	P	01/17/08	11/21/13
h	THE RYLAND GROUP INC - 783 SHS	P	01/14/08	11/21/13
i	TOLL BROTHERS, INC - 850 SHS	P	05/29/08	11/21/13
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 56,587.		18,554.	38,033.
b 37,915.		13,931.	23,984.
c 31,515.		18,576.	12,939.
d 378,995.		227,766.	151,229.
e 15,503.		16,484.	-981.
f 14,880.		18,934.	-4,054.
g 39,077.		19,558.	19,519.
h 30,635.		19,246.	11,389.
i 28,771.		16,058.	12,713.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			38,033.
b			23,984.
c			12,939.
d			151,229.
e			-981.
f			-4,054.
g			19,519.
h			11,389.
i			12,713.
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	264,771.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A



## PUBLIC EQUITY

Portfolio No XXX-XX862-7

Statement Detail

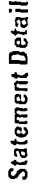
**SEGAL FAMILY FOUNDATION**

Tax Lots (Continued)

Period Ended December 31, 2013

**PUBLIC EQUITY (Continued)**

	Date Acquired or Sold Short	Holding Period	Quantity	Market Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain (Loss)	
US EQUITY (Continued)									
	US STOCKS								



**PUBLIC EQUITY (Continued)**

	Date Acquired or Sold Short	Holding Period	Quantity	Market Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain (Loss)
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US STOCKSPortfolio No XXX-XXE62-7





Statement Detail  
**SEGAL FAMILY FOUNDATION**  
Tax Lots (Continued)

Period Ended December 31, 2013

**PUBLIC EQUITY (Continued)**

US EQUITY (Continued)	Date Acquired or Sold Short	Holding Period	Quantity	Market Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain (Loss)
US STOCKS								
	Apr 12 10	LT	100.00	30.0900	3,009.00	28.3000	2,830.00	179.00
	Apr 12 10	LT	100.00	30.0900	3,009.00	28.3000	2,830.00	179.00
	Apr 12 10	LT	100.00	30.0900	3,009.00	28.3000	2,830.00	179.00
	Apr 12 10	LT	100.00	30.0900	3,009.00	28.3000	2,830.00	179.00
	Apr 12 10	LT	200.00	30.0900	6,018.00	28.1900	5,638.00	380.00
	Apr 12 10	LT	200.00	30.0900	6,018.00	28.2200	5,644.00	374.00
	Apr 12 10	LT	200.00	30.0900	6,018.00	28.2900	5,658.00	360.00
	Apr 12 10	LT	400.00	30.0900	12,036.00	28.2600	11,304.00	732.00
	Apr 12 10	LT	400.00	30.0900	12,036.00	28.2600	11,304.00	732.00
	Apr 12 10	LT	400.00	30.0900	12,036.00	28.2600	11,304.00	732.00
	Apr 12 10	LT	800.00	30.0900	24,072.00	28.2200	22,576.00	1,495.00
	Apr 12 10	LT	900.00	30.0900	27,081.00	28.2000	25,380.00	1,701.00
	Apr 12 10	LT	85.00	30.0900	2,557.65	28.2200	2,398.70	158.95
	Apr 12 10	LT	85.00	30.0900	2,557.65	28.2200	2,398.70	158.95
	Apr 12 10	LT	1,000.00	30.0900	30,090.00	28.2600	28,260.00	1,830.00
	Apr 12 10	LT	1,900.00	30.0900	57,171.00	28.2600	53,694.00	3,477.00
	Apr 12 10	LT	115.00	30.0900	3,460.35	28.2300	3,246.45	213.90
	Apr 12 10	LT	300.00	30.0900	9,027.00	28.1900	8,457.00	570.00
	Apr 12 10	LT	300.00	30.0900	9,027.00	28.2900	8,487.00	540.00
	Apr 12 10	LT	700.00	30.0900	21,063.00	28.1700	19,719.00	1,344.00
<b>POSITION TOTAL</b>			<b>12,050.00</b>		<b>362,584.50</b>		<b>350,892.65</b>	<b>11,691.85</b>
PULTEGROUP INC CMN (PHM)	Jan 14 08	LT	690.00	20.3700	14,055.30	9.2200	6,361.80	7,693.50
	May 29 08	LT	410.00	20.3700	8,351.70	12.2500	5,022.50	3,329.20
	Jun 05 08	LT	164.00	20.3700	3,340.68	11.4500	1,884.36	1,456.32
	Jun 26 08	LT	275.00	20.3700	5,501.75	10.4300	2,868.25	2,733.50



Statement Detail  
**SEGAL FAMILY FOUNDATION**  
Tax Lots (Continued)

Period Ended December 31, 2013

<b>PUBLIC EQUITY (Continued)</b>									
US EQUITY (Continued)	Date Acquired or Sold Short	Holding Period	Quantity	Market Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain (Loss)	
<b>US STOCKS</b>									
	Jul 07 08	LT	245 00	20 3700	4,990 65	8 7900	2,153 55	2,837 10	
<b>POSITION TOTAL</b>			<b>1,784 00</b>		<b>36,340 08</b>		<b>18,290 46</b>	<b>18,049 62</b>	
<b>TOTAL US STOCKS</b>					<b>493,758 44</b>		<b>494,697 99</b>	<b>(939 55)</b>	
<b>S&amp;P BANK INDEX FUND (SPDR)</b>									
SPDR S&P BANK ETF (KBE)	Jun 26 08	LT	500 00	33 1700	16,585 00	29 6600	14,830 00	1,755 00	
<b>S&amp;P 500 INDEX FUND (SPDR)</b>									
SPDR S&P 500 ETF TRUST (SPY)	Jan 07 09	LT	1,645 00	184 6900	303,815 05	90 8972	149,525 90	154,289 15	
	Jan 08 09	LT	29 00	184 6900	5,356 01	90 0900	2,612 61	2,743 40	
<b>POSITION TOTAL</b>			<b>1,674 00</b>		<b>309,171 06</b>		<b>152,138 51</b>	<b>157,032 55</b>	
<b>S&amp;P FINANCIALS SELECT SECTOR INDEX FUND (SPDR)</b>									
THE FINANCIAL SELECT SECTOR SPDR FUND (XLF)	May 28 08	LT	2,000 00	21 8600	43,720 00	24 5000	49,000 00	(5,280 00)	
	Jun 09 08	LT	1,000 00	21 8600	21,860 00	22 8500	22,850 00	(990 00)	
<b>POSITION TOTAL</b>			<b>3,000 00</b>		<b>65,580 00</b>		<b>71,850 00</b>	<b>(6,270 00)</b>	
<b>TOTAL US EQUITY</b>					<b>885,094 50</b>		<b>733,516 50</b>	<b>151,578 00</b>	
<b>NON-US EQUITY</b>									
<b>MSCI EAFE INDEX FUND (ISHARES)</b>									
ISHARES MSCI EAFE ETF (EFA)	Oct 03 07	LT	1,550 00	67 0950	103,997 25	83 0852	128,782 06	(24,784 81)	
<b>MSCI JAPAN INDEX FUND (ISHARES)</b>									
ISHARES MSCI JAPAN ETF (EWJ)	Oct 03 07	LT	3,450 00	12 1390	41,879 55	14 5033	50,036 39	(8,156 84)	
<b>MSCI EMERGING MARKETS INDEX FUND (ISHARES)</b>									
ISHARES MSCI EMERGING MKTS ETF (EEM)	Oct 04 07	LT	975 00	41 7950	40,750 13	50 6292	49,363 50	(8,613 38)	
	Aug 05 08	LT	600 00	41 7950	25,077 00	41 6100	24,966 00	111 00	
<b>POSITION TOTAL</b>			<b>1,575 00</b>		<b>65,827 13</b>		<b>74,329 50</b>	<b>(8,502 38)</b>	
<b>TOTAL NON-US EQUITY</b>					<b>211,703 93</b>		<b>253,147 95</b>	<b>(41,444 03)</b>	
<b>TOTAL PUBLIC EQUITY</b>					<b>1,096,798 43</b>		<b>986,664 45</b>	<b>110,133 98</b>	
<b>TOTAL PORTFOLIO</b>					<b>1,109,129 79</b>		<b>1,001,307 38</b>	<b>107,822 41</b>	