Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

**2013**

Open to Public Inspection

**For calendar year 2013 or tax year beginning , and ending**

Name of foundation

THE LINVILLE FAMILY FOUNDATION

**A Employer identification number**

20-6386091

Number and street (or P O box number if mail is not delivered to street address) | Room/suite

724 VALENCIA RD

**B** Telephone number

941-445-5678

City or town, state or province, country, and ZIP or foreign postal code

VENICE, FL 34285

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D** 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 830,703.

**J** Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis )*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) )

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | 14,500. | | N/A | |
| | 2 Check ▶ [X] if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 9,303. | 9,303. | | STATEMENT 1 |
| | 4 Dividends and interest from securities | 9,748. | 9,748. | | STATEMENT 2 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 74,125. | | | |
| | b Gross sales price for all assets on line 6a 1,010,241. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 74,125. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 107,676. | 93,176. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees STMT 3 | 1,350. | 1,350. | | 0. |
| | c Other professional fees | | | | |
| | 17 Interest | | | | |
| | 18 Taxes STMT 4 | 9. | 9. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 5 | 7,698. | 7,698. | | 0. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 9,057. | 9,057. | | 0. |
| | 25 Contributions, gifts, grants paid | 36,920. | | | 36,920. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 45,977. | 9,057. | | 36,920. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | 61,699. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 84,119. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 54,419. | 64,606. | 64,606. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 6 | 696,237. | 746,449. | 766,097. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 750,656. | 811,055. | 830,703. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 Total liabilities (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | 904,876. | 904,876. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | <154,220.> | <93,821.> | |
| | 30 Total net assets or fund balances | 750,656. | 811,055. | |
| | 31 Total liabilities and net assets/fund balances | 750,656. | 811,055. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 750,656. |
| 2 | Enter amount from Part I, line 27a | 2 | 61,699. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 812,355. |
| 5 | Decreases not included in line 2 (itemize) ▶ TAXES PAID | 5 | 1,300. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 811,055. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | SEE ATTACHED STATEMENT | P | 01/31/13 | 12/31/13 |
| b | SEE ATTACHED STATEMENT | P | 01/31/13 | 12/31/13 |
| c | SEE ATTACHED STATEMENT | P | 01/01/12 | 12/31/13 |
| d | SEE ATTACHED STATEMENT | P | 01/01/12 | 12/31/13 |
| e | SEE ATTACHED STATEMENT | P | 01/31/13 | 12/31/13 |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 609,240. | | 578,504. | 30,736. |
| b | 46,491. | | 49,784. | <3,293.> |
| c | 196,993. | | 184,319. | 12,674. |
| d | 107,815. | | 110,965. | <3,150.> |
| e | 49,702. | | 12,544. | 37,158. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | 30,736. |
| b | | | | <3,293.> |
| c | | | | 12,674. |
| d | | | | <3,150.> |
| e | | | | 37,158. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 74,125.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2012 | 36,044. | 750,733. | .048012 |
| 2011 | 34,482. | 735,376. | .046890 |
| 2010 | 30,516. | 712,120. | .042852 |
| 2009 | 41,944. | 617,657. | .067908 |
| 2008 | 44,917. | 850,598. | .052806 |

| | | Line | Amount |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .258468 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .051694 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 796,134. |
| 5 | Multiply line 4 by line 3 | 5 | 41,155. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 841. |
| 7 | Add lines 5 and 6 | 7 | 41,996. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 36,920. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | 1 | 1,682. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 | Add lines 1 and 2 | | 3 | 1,682. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 1,682. |
| 6 | Credits/Payments: | | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a 690. | | |
| b | Exempt foreign organizations - tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | | 7 | 690. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | 8 | 3. |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | 995. |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | |
| 11 | Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ | Refunded ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | 1b | | X |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IN | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ KATHRYN S LINVILLE Telephone no. ▶ 941-445-5678

Located at ▶ 724 VALENCIA RD, VENICE, FL ZIP+4 ▶ 34285

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

| | | Line | Yes | No |
|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | |
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ______, ______, ______, ______ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

*If "Yes" to 6b, file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| WILLIAM E LINVILLE<br>724 VALENCIA RD<br>VENICE, FL 34285 | TRUSTEE<br>3.00 | 0. | 0. | 0. |
| KATHRYN S LINVILLE<br>724 VALENCIA RD<br>VENICE, FL 34285 | TRUSTEE<br>10.00 | 0. | 0. | 0. |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | |
| a | Average monthly fair market value of securities | | 1a | 735,049. |
| b | Average of monthly cash balances | | 1b | 73,209. |
| c | Fair market value of all other assets | | 1c | |
| d | **Total** (add lines 1a, b, and c) | | 1d | 808,258. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | 3 | 808,258. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | | 4 | 12,124. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | 5 | 796,134. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | 6 | 39,807. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | 1 | 39,807. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a 1,682. | | |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | 2b | | |
| c | Add lines 2a and 2b | | 2c | 1,682. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | 3 | 38,125. |
| 4 | Recoveries of amounts treated as qualifying distributions | | 4 | 0. |
| 5 | Add lines 3 and 4 | | 5 | 38,125. |
| 6 | Deduction from distributable amount (see instructions) | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | 7 | 38,125. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 36,920. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 36,920. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 36,920. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 38,125. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | 36,637. | |
| b Total for prior years: ____ , ____ , ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | |
| a From 2008 | | | | |
| b From 2009 | | | | |
| c From 2010 | | | | |
| d From 2011 | | | | |
| e From 2012 | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 36,920. | | | | |
| a Applied to 2012, but not more than line 2a | | | 36,637. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2013 distributable amount | | | | 283. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | 37,842. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2009 | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 | | | | |
| d Excess from 2012 | | | | |
| e Excess from 2013 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| A BETTER CHICAGO<br>1366 NORTH DEARBORN STREET, #7A<br>CHICAGO, IL 60610 | N/A | 501(C)(3) | UNRESTRICTED | 4,000. |
| COALITION OF CHILDREN IN NEED ASSOCIATION<br>P.O. BOX 12695<br>COLUMBUS, OH 43212 | N/A | 501(C)(3) | UNRESTRICTED | 3,000. |
| COMPASSION INTERNATIONAL<br>12290 VOYAGER PARKWAY<br>COLORADO SPRINGS, CO 80921 | N/A | 501(C)(3) | UNRESTRICTED | 1,000. |
| GLOBE MED<br>620 LIBRARY PLACE<br>EVANSTON, IL 60208 | N/A | 501(C)(3) | UNRESTRICTED | 2,000. |
| ONE ACRE FUND<br>1954 FIRST ST, # 183<br>HIGHLAND PARK, IL 60035 | N/A | 501(C)(3) | UNRESTRICTED | 10,300. |
| **Total** SEE CONTINUATION SHEET(S) | | | ▶ 3a | 36,920. |
| **b** *Approved for future payment*<br><br>NONE | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3b | 0. |

**Part XVI-A** **Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 9,303. | |
| 4 Dividends and interest from securities | | | 14 | 9,748. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 74,125. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 93,176. | 0. |

**13 Total.** Add line 12, columns (b), (d), and (e) 13 93,176.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B** **Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Kathryn Linville 3/31/2013 ▶ TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| NORMAN J. SHEA, III | NJShea | 2-27-14 | | P00456227 |
| Firm's name ▶ SUPLEE & SHEA, P.A. | | | Firm's EIN ▶ 59-2213319 | |
| Firm's address ▶ 800 SOUTH OSPREY AVENUE SARASOTA, FL 34236-7834 | | | Phone no. 941-366-3600 | |

Form 990-PF (2013)

323622
10-10-13

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient: Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| ROOM TO READ<br>111 SUTTER ST., 16TH FLOOR<br>SAN FRANCISCO, CA 94104 | N/A | 501(C)(3) | UNRESTRICTED | 9,620. |
| WORLD VISION FOUNDATION OF THAILAND<br>582/18-22 SUKHUMVIT 63<br>KLONGTON, WATTANA, BANGKOK, THAILAND<br>10110 | N/A | 501(C)(3) | UNRESTRICTED | 6,000. |
| CITIZEN SCHOOLS<br>308 CONGRESS ST, 5TH FLOOR<br>BOSTON, MA 02210 | N/A | 501(C)(3) | UNRESTRICTED | 1,000. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total from continuation sheets** | | | | 16,620. |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | AMOUNT |
|---|---|
| WELLS FARGO ADVISORS | 9,303. |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 9,303. |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
|---|---|---|---|
| WELLS FARGO ADVISORS | 9,748. | 0. | 9,748. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 9,748. | 0. | 9,748. |

FORM 990-PF ACCOUNTING FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INCOME TAX PREPARATION | 1,350. | 1,350. | | 0. |
| TO FORM 990-PF, PG 1, LN 16B | 1,350. | 1,350. | | 0. |

FORM 990-PF TAXES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FOREIGN TAX PAID | 9. | 9. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 9. | 9. | | 0. |

FORM 990-PF | OTHER EXPENSES | STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT ADVISORY FEES | 7,698. | 7,698. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 7,698. | 7,698. | | 0. |

FORM 990-PF | CORPORATE STOCK | STATEMENT 6

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| WELLS FARGO ADVISORS | 746,449. | 766,097. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 746,449. | 766,097. |

THE LINVILLE FAMILY FOUNDATION
CAPSTONE CUSTOM BALANCED
724 VALENCIA RD
VENICE FL 34285-2141

**Your Financial Advisor :**
JOHN COOKE
8888 KEYSTONE CROSSING
SUITE 400
INDIANAPOLIS, IN 46240
(317) 844-4850

**Account Number: 1341-2276**

## Year-End Account Summary

**This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.**

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE

### SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| AMERICAN EXPRESS COMPANY / 025816109 | | | | | | | |
| 10/23/13 | 100 0000 | 07/31/13 | 8,063.55 | 7,509.49 | 554.06 | SALE | |
| APPLIED MATERIALS INC / 038222105 | | | | | | | |
| 02/14/13 | 500.0000 | 11/26/12 | 5,499.88 | 5,230.00 | 474 86 | SALE<br>Option Premium Close -204.98 | |
| 02/14/13 | 300 0000 | 11/26/12 | 3,599 91 | 3,138 00 | 536.89 | SALE<br>Option Premium Close -74.98 | |
| BED BATH & BEYOND INC / 075896100 | | | | | | | |
| 05/17/13 | 200.0000 | 08/22/12 | 11,999.73 | 13,391.84 | -944.14 | SALE<br>Option Premium Close -447.97 | |
| BEST BUY CO INC / 086516101 | | | | | | | |
| 03/15/13 | 600.0000 | 07/24/12 | 7,799 82 | 10,892.82 | -2,417.39 | SALE<br>Option Premium Close· -675.61 | |
| CBOE HOLDINGS INC / 12503M108 | | | | | | | |
| | *300.0000* | *07/22/13* | *14,414.63* | *14,672.49* | *-257.86* | | |
| | *100.0000* | *07/26/13* | *4,804.88* | *4,910.10* | *-105.22* | | |
| 11/25/13 | 400.0000 | Various | 18,799.67 | 19,582 59 | -363.08 | SALE<br>Option Premium Close. -419.84 | TOTAL 2 LOTS |
| CISCO SYSTEMS INC / 17275R102 | | | | | | | |
| 02/15/13 | 500.0000 | 08/21/12 | 9,499.78 | 9,600.00 | 164.75 | SALE<br>Option Premium Close -264.97 | |

**As of Date: 2/07/14**

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## Year-End Account Summary

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**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)** ***continued***
**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| 06/28/13 | 500.0000 | 03/20/13 | 11,499.79 | 10,823 25 | 784.80 | SALE<br>Option Premium Close· -108.26 | |
| COMCAST CORP NEW CL A / 20030N101 | | | | | | | |
| 03/28/13 | 300.0000 | 11/16/12 | 11,099.75 | 10,577.95 | 1,022 77 | SALE<br>Option Premium Close· -500.97 | |
| CSX CORP / 126408103 | | | | | | | |
| | *600.0000* | *04/25/13* | *15,737.49* | *14,574.00* | *1,163.49* | | |
| | *300.0000* | *07/30/13* | *7,868.75* | *7,411.50* | *457.25* | | |
| 12/20/13 | 900.0000 | Various | 22,499 60 | 21,985.50 | 1,620.74 | SALE<br>Option Premium Close -1106.64 | TOTAL 2 LOTS |
| DISCOVER FINANCIAL / 254709108 | | | | | | | |
| 10/18/13 | 200 0000 | 10/24/12 | 8,799.85 | 8,036 20 | 1,356.17 | SALE<br>Option Premium Close -592.52 | |
| DISNEY WALT COMPANY / 254687106 | | | | | | | |
| 05/17/13 | 200 0000 | 03/25/13 | 11,999.73 | 11,320 00 | 753.71 | SALE<br>Option Premium Close -73.98 | |
| DU PONT E I DE NEMOURS / 263534109<br>AND COMPANY | | | | | | | |
| 07/22/13 | 200.0000 | 11/20/12 | 11,453 62 | 8,535.91 | 2,917.71 | SALE | |
| EXELON CORPORATION / 30161N101 | | | | | | | |
| 02/20/13 | 200.0000 | 03/21/12 | 6,097.13 | 7,748.54 | -1,651.41 | SALE | |
| FIFTH THIRD BANCORP / 316773100 | | | | | | | |
| 08/16/13 | 900.0000 | 01/28/13 | 16,199.71 | 14,606.82 | 1,853.92 | SALE<br>Option Premium Close· -261.03 | |

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## Year-End Account Summary

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**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)** *continued*
**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| FREEPORT-MCMORAN COPPER / 35671D857<br>& GOLD INC<br>CLASS B | | | | | | | |
| 08/16/13 | 100.0000 | 06/20/13 | 2,799.95 | 2,766.82 | 104.09 | SALE<br>Option Premium Close -70.96 | |
| GAP INC / 364760108 | | | | | | | |
| 06/21/13 | 300.0000 | 12/03/12 | 11,099.80 | 10,397.09 | 967.07 | SALE<br>Option Premium Close -264.36 | |
| 07/01/13 | 200 0000 | 02/05/13 | 8,502.57 | 6,617.91 | 1,884.66 | SALE | |
| GILEAD SCIENCES INC / 375558103 | | | | | | | |
| 05/17/13 | 200.0000 | 03/19/13 | 9,499.78 | 8,982.25 | 854.07 | SALE<br>Option Premium Close -336 54 | |
| | *100.0000* | *04/01/13* | *5,662.85* | *4,797 59* | *865.26* | | |
| | *100.0000* | *05/21/13* | *5,662.86* | *5,616.91* | *45.95* | | |
| 07/19/13 | 200 0000 | Various | 10,999.80 | 10,414 50 | 911 21 | SALE<br>Option Premium Close. -325.91 | TOTAL 2 LOTS |
| GNC HOLDINGS INC / 36191G107 | | | | | | | |
| 09/10/13 | 200.0000 | 07/02/13 | 9,999 82 | 9,196.82 | 1,107.91 | SALE<br>Option Premium Close -304.91 | |
| 09/24/13 | 200.0000 | 07/31/13 | 10,832.32 | 10,444.37 | 387.95 | SALE | |
| HCA HOLDINGS INC / 40412C101 | | | | | | | |
| 03/15/13 | 400 0000 | 11/19/12 | 12,199 72 | 12,564 95 | 374 73 | SALE<br>Option Premium Close -739.96 | |

As of Date: 2/07/14

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724 VALENCIA RD
VENICE FL 34285-2141

**Your Financial Advisor :**
JOHN COOKE
8888 KEYSTONE CROSSING
SUITE 400
INDIANAPOLIS, IN 46240
(317) 844-4850

**Account Number: 1341-2276**

# Year-End Account Summary

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## SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

*continued*

**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| HOLLYFRONTIER CORP / 436106108 | | | | | | | |
| 03/12/13 | 500.0000 | 09/18/12 | 21,999.50 | 20,486.84 | 2,489 67 | SALE<br>Option Premium Close -977.01 | |
| HOME DEPOT INC / 437076102 | | | | | | | |
| 05/17/13 | 200.0000 | 12/06/12 | 13,999.68 | 12,847 18 | 1,312.48 | SALE<br>Option Premium Close -159.98 | |
| JPMORGAN CHASE & CO / 46625H100 | | | | | | | |
| 03/15/13 | 200 0000 | 10/26/12 | 8,799.80 | 8,196 00 | 853.78 | SALE<br>Option Premium Close -249.98 | |
| | *300.0000* | *02/11/13* | *16,920.64* | *14,569.62* | *2,351.02* | | |
| | *100.0000* | *03/21/13* | *5,640.23* | *4,906.89* | *733.34* | | |
| 12/31/13 | 400.0000 | Various | 21,999 61 | 19,476.51 | 3,084.36 | SALE<br>Option Premium Close -561.26 | TOTAL 2 LOTS |
| KROGER COMPANY COMMON / 501044101 | | | | | | | |
| 07/19/13 | 500 0000 | 05/22/13 | 16,999.70 | 17,559.70 | -284 75 | SALE<br>Option Premium Close -275.25 | |
| MACY'S INC / 55616P104 | | | | | | | |
| 05/17/13 | 400.0000 | 08/21/12 | 15,999 64 | 15,731.96 | 850.08 | SALE<br>Option Premium Close -582.40 | |
| MARKET VECTORS OIL ET / 57060U191<br>SERVICES | | | | | | | |
| | *500.0000* | *02/20/13* | *23,854.39* | *21,974.25* | *1,880.14* | | |
| | *400.0000* | *03/25/13* | *19,083.51* | *16,821.37* | *2,262.14* | | |
| | *200.0000* | *07/30/13* | *9,541.77* | *8,913.40* | *628.37* | | |
| 10/18/13 | 1,100.0000 | Various | 51,699.10 | 47,709 02 | 4,770.65 | SALE<br>Option Premium Close -780 57 | TOTAL 3 LOTS |

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**Account Number: 1341-2276**

## Year-End Account Summary

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**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)** *continued*

**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| MATTEL INCORPORATED / 577081102 | | | | | | | |
| 04/19/13 | 300 0000 | 07/23/12 | 11,399.74 | 10,201.53 | 1,729 18 | SALE<br>Option Premium Close. -530.97 | |
| METLIFE INC / 59156R108 | | | | | | | |
| 03/15/13 | 300.0000 | 03/22/12 | 11,099.75 | 11,250.00 | 215.73 | SALE<br>Option Premium Close -365.98 | |
| NIKE INC CLASS B / 654106103 | | | | | | | |
| 10/18/13 | 200 0000 | 07/02/13 | 11,999.79 | 12,534 35 | 45.41 | SALE<br>Option Premium Close -579.97 | |
| | *200.0000* | *03/19/13* | *13,301.69* | *10,824.24* | *2,477.45* | | |
| | *100.0000* | *07/02/13* | *6,650.85* | *6,267.18* | *383.67* | | |
| 10/18/13 | 300.0000 | Various | 19,499 66 | 17,091.42 | 2,861.12 | SALE<br>Option Premium Close -452.88 | TOTAL 2 LOTS |
| PHILLIPS 66 / 718546104 | | | | | | | |
| 11/11/13 | 200.0000 | 10/01/13 | 12,499.78 | 11,528.30 | 1,181.39 | SALE<br>Option Premium Close -209.91 | |
| PUBLIC STORAGE 5 375%PFD / 74460W800<br>DEP SHS RPSTG1/1000TH-V<br>SH BEN INT CALL 09/20/17 | | | | | | | |
| 07/29/13 | 35.0000 | 10/18/12 | 770.33 | 893.87 | -123 54 | SALE | |
| QUALCOMM INC / 747525103 | | | | | | | |
| 01/18/13 | 300 0000 | 02/01/12 | 18,749.58 | 17,745.90 | 1,626.17 | SALE<br>Option Premium Close -622.49 | |
| SEAGATE TECHNOLOGY PLC / G7945M107 | | | | | | | |
| | *400.0000* | *05/02/12* | *13,745.04* | *12,883.07* | *861.97* | | |
| | *300.0000* | *08/01/12* | *10,308.80* | *9,133.23* | *1,175.57* | | |

# 2013 ENHANCED SUMMARY



**As of Date: 2/07/14**

THE LINVILLE FAMILY FOUNDATION
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**Account Number: 1341-2276**

## Year-End Account Summary

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**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)** *continued*
**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| 02/15/13 | 700.0000 | Various | 23,099 48 | 22,016.30 | 2,037.54 | SALE<br>Option Premium Close· -954.36 | TOTAL 2 LOTS |
| TJX COS INC NEW / 872540109 | | | | | | | |
| 07/19/13 | 200.0000 | 12/03/12 | 9,499.83 | 8,827.11 | 932 70 | SALE<br>Option Premium Close -259.98 | |
| 07/24/13 | 100.0000 | 04/01/13 | 5,161.16 | 4,703 60 | 457.56 | SALE | |
| TYSON FOODS INC CL A / 902494103 | | | | | | | |
| 04/19/13 | 400.0000 | 11/26/12 | 7,999.82 | 7,723.80 | 635.99 | SALE<br>Option Premium Close -359.97 | |
| 08/14/13 | 200 0000 | 04/24/13 | 5,399.90 | 4,980 00 | 539.82 | SALE<br>Option Premium Close -119.92 | |
| | *300.0000* | *04/24/13* | *8,321.72* | *7,470.00* | *851.72* | | |
| | *100.0000* | *07/30/13* | *2,773.92* | *2,734.65* | *39.27* | | |
| 09/20/13 | 400.0000 | Various | 10,799 81 | 10,204.65 | 890.99 | SALE<br>Option Premium Close -295.83 | TOTAL 2 LOTS |
| US BANCORP NEW / 902973304 | | | | | | | |
| 09/20/13 | 300.0000 | 10/25/12 | 10,799.82 | 10,000.91 | 969.90 | SALE<br>Option Premium Close -170.99 | |
| 12/20/13 | 300.0000 | 09/24/13 | 11,399 80 | 11,194.44 | 319.24 | SALE<br>Option Premium Close -113 88 | |
| VALERO ENERGY CORP NEW / 91913Y100<br>(VALERO REFNG & MKTING) | | | | | | | |
| 02/08/13 | 500.0000 | 09/17/12 | 17,999.59 | 16,205 05 | 2,531 34 | SALE<br>Option Premium Close -736 80 | |

WELLS FARGO ADVISORS

# 2013 ENHANCED SUMMARY



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**Account Number: 1341-2276**

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### SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

*continued*

**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information |
|---|---|---|---|---|---|---|
| WESTERN DIGITAL CORP / 958102105 | | | | | | |
| 09/25/13 | 200.0000 | 02/21/13 | 10,999.80 | 9,201 98 | 2,573.79 | SALE<br>Option Premium Close -775 97 |
| 10/22/13 | 100.0000 | 07/31/13 | 7,220 48 | 6,497.10 | 723.38 | SALE |
| YAHOO INC / 984332106 | | | | | | |
| 04/19/13 | 500.0000 | 12/03/12 | 10,499.76 | 9,332.59 | 1,442 14 | SALE<br>Option Premium Close -274.97 |
| **TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES** | | | **609,240.19** | **578,503.73** | **47,922.17** | |

### SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| ALCOA INC / 013817AV3<br>SR UNSECURED<br>CALLABLE<br>CPN 5.400% DUE 04/15/21 | | | | | | | |
| | *5,000.0000* | *07/19/12* | *5,075.00* | *5,198.23* | *-123.23* | | |
| | *5,000.0000* | *04/04/13* | *5,075.00* | *5,222.96* | *-147.96* | | |
| 06/17/13 | 10,000 0000 | Various | 10,150 00 | 10,421.19 | -271.19 | SALE | TOTAL 2 LOTS |
| AMER EXPRESS CREDIT CO / 0258M0CZ0<br>SR UNSECURED<br>CPN 5.125% DUE 08/25/14<br>DTD 08/25/09 FC 02/25/10 | | | | | | | |
| 07/29/13 | 5,000.0000 | 04/04/13 | 5,229.50 | 5,312 75 | -83.25 | SALE | |

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**SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)** *continued*

**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information |
|---|---|---|---|---|---|---|
| CITIGROUP INC / 172967EQ0<br>SENIOR NOTES<br>CPN 5.500% DUE 04/11/13<br>DTD 04/11/08 FC 10/11/08<br>04/11/13 | 5,000.0000 | 07/19/12 | 5,000.00 | 5,147.65 | -147 65 | REDEMPTION |
| COMCAST CORP 5% PFD / 20030N606<br>$25 PAR-SR NOTE<br>MTY12/15/61 CALL12/15/17<br>07/29/13 | 80.0000 | 06/05/13 | 1,912.76 | 2,024.92 | -112 16 | SALE |
| DUKE ENERGY 5 125% PFD / 26441C303<br>JR SUB DEB-PREFERRED<br>DUE 1/15/73 CALL 1/15/18<br>07/29/13 | 40 0000 | 01/28/13 | 933.98 | 998.40 | -64.42 | SALE |
| GOLDMAN SACHS 6 5% PFD / 38144G184<br>GRP SR NOTE<br>MAT 11/1/61 CALL 11/1/16<br>07/29/13 | 40.0000 | 01/14/13 | 1,023 98 | 1,096.86 | -72.88 | SALE |
| NEXTERA ENERGY CAP PFD / 65339K506<br>HOLDINGS INC 8.75% GTD<br>JR SUB DEB SER F<br>07/29/13 | 35.0000 | 01/23/13 | 919.78 | 955 67 | -35.89 | SALE |
| PITNEY BOWES INC 6.7%PFD / 724479506<br>$25 PAR SR NT-MTY3/07/43<br>CALL 03/07/18<br>07/29/13 | 85.0000 | 05/14/13 | 2,119.01 | 2,219.33 | -100 32 | SALE |

WELLS FARGO ADVISORS

# 2013 ENHANCED SUMMARY



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### SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

*continued*

**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| REYNOLDS AMERICAN INC / 761713AX4<br>SR UNSECURED<br>CPN 3 250% DUE 11/01/22<br>DTD 10/31/12 FC 05/01/13 | | | | | | | |
| 07/10/13 | 10,000.0000 | 04/04/13 | 9,289.40 | 9,970.10 | -680.70 | SALE | |
| TIME WARNER CABLE INC / 88732JAW8<br>COMPANY GTD<br>CPN 5.000% DUE 02/01/20<br>DTD 12/11/09 FC 08/01/10 | | | | | | | |
| | *5,000.0000* | *07/19/12* | *4,956.35* | *5,828.10* | *-871.75* | | |
| | *5,000 0000* | *08/03/12* | *4,956.35* | *5,809.20* | *-852.85* | | |
| 07/08/13 | 10,000.0000 | Various | 9,912.70 | 11,637 30 | -1,724.60 | SALE | TOTAL 2 LOTS |
| **TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES** | | | **46,491.11** | **49,784.17** | **-3,293.06** | | |

### LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information |
|---|---|---|---|---|---|---|
| AMERICAN EXPRESS COMPANY / 025816109 | | | | | | |
| 10/01/13 | 200.0000 | 09/19/12 | 13,999.75 | 11,806.00 | 2,521 73 | SALE<br>Option Premium Close -327 98 |
| APPLIED MATERIALS INC / 038222105 | | | | | | |
| 02/14/13 | 300.0000 | 10/13/11 | 3,299.92 | 3,463 32 | -40 42 | SALE<br>Option Premium Close -122.98 |

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## Year-End Account Summary

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)** *continued*
**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information |
|---|---|---|---|---|---|---|
| ARCHER-DANIELS-MIDLND CO / 039483102 | | | | | | |
| 02/14/13 | 400 0000 | 12/28/11 | 11,599.74 | 11,438.29 | 582 18 | SALE<br>Option Premium Close: -420.73 |
| DANAHER CORP / 235851102 | | | | | | |
| 06/21/13 | 200.0000 | 02/01/12 | 11,999.79 | 10,619.30 | 2,149 79 | SALE<br>Option Premium Close -769.30 |
| DISCOVER FINANCIAL / 254709108 | | | | | | |
| 10/18/13 | 300.0000 | 04/25/12 | 13,199 76 | 9,988 70 | 4,099.82 | SALE<br>Option Premium Close -888.76 |
| DOLLAR GENERAL CORP / 256677105 | | | | | | |
| 05/17/13 | 300.0000 | 05/03/12 | 14,099.68 | 14,477.19 | 42 47 | SALE<br>Option Premium Close -419.98 |
| DU PONT E.I. DE NEMOURS / 263534109<br>AND COMPANY | | | | | | |
| 02/12/13 | 200 0000 | 06/13/11 | 8,799.80 | 9,964.00 | -828.22 | SALE<br>Option Premium Close -335.98 |
| FREEPORT-MCMORAN COPPER / 35671D857<br>& GOLD INC<br>CLASS B | | | | | | |
| 08/16/13 | 300.0000 | 12/30/11 | 8,399.85 | 11,117.90 | -2,505 17 | SALE<br>Option Premium Close· -212 88 |
| HALLIBURTON COMPANY / 406216101 | | | | | | |
| | *100.0000* | *12/29/11* | *4,294.03* | *3,391.63* | *902.40* | |
| | *100.0000* | *03/01/12* | *4,294.04* | *3,686.48* | *607.56* | |
| | *200.0000* | *07/26/12* | *8,588.10* | *6,525.76* | *2,062.34* | |

WELLS FARGO ADVISORS

# 2013 ENHANCED SUMMARY



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**LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)**
**Proceeds from Broker and Barter Exchange Transactions for 2013**

*continued*

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| 10/18/13 | 400 0000 | Various | 16,399.71 | 13,603 87 | 3,572.30 | SALE<br>Option Premium Close -776.46 | TOTAL 3 LOTS |
| MICROSOFT CORP / 594918104 | | | | | | | |
| 06/21/13 | 400 0000 | 04/24/12 | 13,199.77 | 12,827.98 | 747 64 | SALE<br>Option Premium Close· -375.85 | |
| SANDISK CORPORATION / 80004C101 | | | | | | | |
| 04/19/13 | 200.0000 | 04/25/11 | 9,399.79 | 10,093.73 | 20 65 | SALE<br>Option Premium Close -714 59 | |
| TARGET CORP / 87612E106 | | | | | | | |
| 07/19/13 | 200 0000 | 04/19/11 | 12,499 78 | 9,967.99 | 2,996.86 | SALE<br>Option Premium Close. -465.07 | |
| TEVA PHARMACEUTICAL / 881624209<br>ADR INDS LTD | | | | | | | |
| 05/01/13 | 200 0000 | 07/21/11 | 7,597.04 | 9,556.85 | -1,959.81 | SALE | |
| TYSON FOODS INC CL A / 902494103 | | | | | | | |
| 01/18/13 | 200.0000 | 10/25/11 | 3,499.92 | 3,764 16 | -184.26 | SALE<br>Option Premium Close: -79.98 | |
| UNITEDHEALTH GROUP / 91324P102<br>INC | | | | | | | |
| | *100.0000* | *08/01/11* | *5,968.88* | *4,763.90* | *1,204.98* | | |
| | *300.0000* | *09/20/11* | *17,906.67* | *15,291.93* | *2,614 74* | | |
| 06/12/13 | 400 0000 | Various | 22,999.59 | 20,055.83 | 3,819.72 | SALE<br>Option Premium Close -875.96 | TOTAL 2 LOTS |

# 2013 ENHANCED SUMMARY



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### LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

*continued*

**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information |
|---|---|---|---|---|---|---|
| US BANCORP NEW / 902973304<br>09/20/13 | 300.0000 | 05/02/12 | 10,799.80 | 9,607.38 | 1,363.40 | SALE<br>Option Premium Close -170 98 |
| WELLS FARGO COMPANY / 949746101<br>08/06/13 | 400.0000 | 02/02/12 | 15,199.73 | 11,966.97 | 3,720.72 | SALE<br>Option Premium Close -487.96 |
| **TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES** | | | **196,993.42** | **184,319.46** | **20,119.40** | |

### LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information |
|---|---|---|---|---|---|---|
| ANADARKO PETROLEUM CORP / 032511AX5<br>SENIOR NOTES<br>CPN 5 950% DUE 09/15/16<br>DTD 09/19/06 FC 03/15/07<br>07/29/13 | 5,000 0000 | 03/19/10 | 5,653.10 | 5,492 77 | 160 33 | SALE |
| BOTTLING GROUP LLC / 10138MAK1<br>SR UNSECURED NOTES<br>CPN 5.125% DUE 01/15/19<br>DTD 01/20/09 FC 07/15/09<br>07/29/13 | 5,000.0000 | 03/19/10 | 5,712.00 | 5,335 03 | 376 97 | SALE |

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**Account Number: 1341-2276**

## Year-End Account Summary

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)**
**Proceeds from Broker and Barter Exchange Transactions for 2013**

*continued*

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| CREDIT SUISSE USA INC / 225434AG4<br>NOTES<br>CPN 5.375% DUE 03/02/16<br>DTD 03/02/06 FC 09/02/06 | | | | | | | |
| 07/29/13 | 5,000.0000 | 03/16/10 | 5,522 79 | 5,401.26 | 121.53 | SALE | |
| DUKE CAPITAL CORP / 26439RAJ5<br>SENIOR NOTES<br>CALLABLE<br>CPN 6 250% DUE 02/15/13 | | | | | | | |
| 02/15/13 | 5,000 0000 | 03/16/10 | 5,000.00 | 5,454 70 | -454.70 | REDEMPTION | |
| EXELON CORP / 30161NAD3<br>NOTES<br>CPN 4.900% DUE 06/15/15<br>DTD 06/09/05 FC 12/15/05 | | | | | | | |
| 07/29/13 | 5,000 0000 | 07/19/12 | 5,357.20 | 5,505.25 | -148 05 | SALE | |
| GECC 6.00% PINES / 369622451<br>DUE 4/24/2047<br>CALL STARTING 4/24/12 | | | | | | | |
| | *220.0000* | *03/17/10* | *5,500.00* | *5,490.20* | *9.80* | | |
| | *35.0000* | *12/22/10* | *875.00* | *889.70* | *-14.70* | | |
| 01/18/13 | 255.0000 | Various | 6,375.00 | 6,379.90 | -4.90 | REDEMPTION | TOTAL 2 LOTS |
| ING GROUP N.V. 6.125% / 456837509<br>CUM PERPETUAL DENT SECS<br>CALLABLE 1/15/11 | | | | | | | |
| 07/29/13 | 10 0000 | 03/17/10 | 234.49 | 187.30 | 47.19 | SALE | |

# 2013 ENHANCED SUMMARY



**As of Date: 2/07/14**

THE LINVILLE FAMILY FOUNDATION
CAPSTONE CUSTOM BALANCED
724 VALENCIA RD
VENICE FL 34285-2141

**Your Financial Advisor :**
JOHN COOKE
8888 KEYSTONE CROSSING
SUITE 400
INDIANAPOLIS, IN 46240
(317) 844-4850

**Account Number: 1341-2276**

## Year-End Account Summary

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)** *continued*

**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| JOHNSON & JOHNSON / 478160104 | | | | | | | |
| 01/18/13 | 200.0000 | 05/05/08 | 13,999.68 | 13,578.98 | 692.69 | SALE<br>Option Premium Close -271.99 | |
| JP MORGAN CHASE 7% CAP X / 46623D200<br>DUE 2/15/32<br>CALLABLE 2/15/07 | | | | | | | |
| | *215.0000* | *03/17/10* | *5,375.00* | *5,551.28* | *-176.28* | | |
| | *40.0000* | *12/22/10* | *1,000.00* | *1,011.60* | *-11.60* | | |
| 05/08/13 | 255 0000 | Various | 6,375.00 | 6,562 88 | -187 88 | REDEMPTION | TOTAL 2 LOTS |
| NEXTERA ENERGY CAP PFD / 65339K308<br>HOLDINGS INC 6.60%<br>JR SUB DEB SER A | | | | | | | |
| 01/09/13 | 210.0000 | 06/23/11 | 5,250.00 | 5,413.41 | -163.41 | REDEMPTION | |
| PRUDENTIAL FINANCIAL 9% / 744320508<br>MAT 6/15/68 JR SUB NOTES<br>CALLABLE 06/15/13 | | | | | | | |
| | *200.0000* | *03/17/10* | *5,000.00* | *5,495.98* | *-495.98* | | |
| | *40.0000* | *12/22/10* | *1,000.00* | *1,065.60* | *-65.60* | | |
| 06/17/13 | 240.0000 | Various | 6,000.00 | 6,561.58 | -561.58 | REDEMPTION | TOTAL 2 LOTS |
| RENAISSANCE RE HLDGS / G7498P408<br>6 60% CUM PERP PFD<br>CALL STARTING 12/15/2011 | | | | | | | |
| 01/23/13 | 132 0000 | 12/22/10 | 3,337.90 | 3,239 75 | 98.15 | SALE | |
| SANDISK CORPORATION / 80004C101 | | | | | | | |
| 04/19/13 | 200.0000 | 10/19/10 | 9,399.78 | 7,774 07 | 2,340.30 | SALE<br>Option Premium Close. -714.59 | |

WELLS FARGO ADVISORS

# 2013 ENHANCED SUMMARY



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THE LINVILLE FAMILY FOUNDATION
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VENICE FL 34285-2141

**Your Financial Advisor :**
JOHN COOKE
8888 KEYSTONE CROSSING
SUITE 400
INDIANAPOLIS, IN 46240
(317) 844-4850

**Account Number: 1341-2276**

## Year-End Account Summary

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)** *continued*
**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information |
|---|---|---|---|---|---|---|
| STANLEY BLCK&DCKR5.75PFD / 854502705<br>JR SUB NTS DUE 7/25/2052<br>CALL 07/25/2017 | | | | | | |
| 07/29/13 | 25.0000 | 07/26/12 | 602 48 | 643.13 | -40.65 | SALE |
| ULTRA PETROLEUM CORP / 903914109 | | | | | | |
| 02/20/13 | 100.0000 | 11/23/10 | 1,640.67 | 4,729.22 | -3,088.55 | SALE |
| UNITED TECHNOLOGIES CORP / 913017BV0<br>NOTES<br>CPN 3.100% DUE 06/01/22<br>DTD 06/01/12 FC 12/01/12 | | | | | | |
| 07/29/13 | 5,000.0000 | 07/19/12 | 4,953.85 | 5,394.65 | -440.80 | SALE |
| 10/24/13 | 5,000.0000 | 08/03/12 | 4,965.20 | 5,333 70 | -368 50 | SALE |
| VALERO ENERGY CORP / 91913YAM2<br>BONDS<br>CALLABLE<br>CPN 6.125% DUE 06/15/17 | | | | | | |
| 07/29/13 | 5,000.0000 | 07/19/12 | 5,737.05 | 5,998 95 | -261.90 | SALE |
| WALGREEN CO / 931422AE9<br>NOTES<br>CALLABLE<br>CPN 5 250% DUE 01/15/19 | | | | | | |
| 07/29/13 | 5,000 0000 | 03/19/10 | 5,698 43 | 5,405.39 | 293.04 | SALE |
| XCEL ENERGY INC 7 6% PFD / 98389B886<br>DUE 01/01/68<br>CALLABLE 05/31/13 | | | | | | |
| | *205.0000* | *03/17/10* | *5,125.00* | *5,637.49* | *-512.49* | |
| | *35.0000* | *12/22/10* | *875.00* | *935.20* | *-60.20* | |

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## Year-End Account Summary

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)** *continued*

**Proceeds from Broker and Barter Exchange Transactions for 2013**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information<br>Gain or Loss Amount | Additional Information | |
|---|---|---|---|---|---|---|---|
| 05/31/13 | 240.0000 | Various | 6,000.00 | 6,572.69 | -572 69 | REDEMPTION | TOTAL 2 LOTS |
| **TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES** | | | **107,814.62** | **110,964.61** | **-2,163.41** | | |

*Box 2a Sales price less commissions and option premiums

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(317) 844-4850

**Account Number: 1341-2276**

## Details of the Year-End Account Summary

**This information is provided for courtesy purposes only.** Each individual taxpayer should consult with a Tax Advisor as to any additional reporting requirements or adjustments. THIS INFORMATION IS NOT VERIFIED OR GUARANTEED BY FIRST CLEARING, LLC TO BE ACCURATE FOR EACH TAXPAYER'S UNIQUE REPORTING REQUIREMENTS. THEREFORE, YOU SHOULD NEVER ASSUME THIS STATEMENT IS ACCURATE IN LIEU OF COMPLETING YOUR TAX RETURN AND CONSULTING WITH YOUR TAX ADVISOR.

Option activity is not reportable on the Form 1099-B, but this information is being provided for clients that may need to include this information on their tax return.

### Option Activity Gain/Loss Detail for Year
**SHORT TERM OPTIONS**

| Description | Quantity Sold | Date of Acquisition | Date of Sale or Exchange | Sales Price | Cost or Other Basis | Gain or Loss Amount |
|---|---|---|---|---|---|---|
| C ADM 031613 29 | -4.00000 | 02/14/13 | 02/20/13 | 420.73 | 0 00 | 0.00 |
| C ADM 092113 35 | -5.00000 | 09/20/13 | 09/23/13 | 444.97 | 1,070.19 | -625 22 |
| C AMAT 042013 11 | -8.00000 | 02/14/13 | 02/20/13 | 327.96 | 0.00 | 0 00 |
| C AMAT 042013 12 | -3 00000 | 02/14/13 | 02/20/13 | 74 98 | 0.00 | 0.00 |
| C AXP 042013 65 | -2.00000 | 04/09/13 | 04/10/13 | 215.89 | 254 01 | -38 12 |
| C AXP 101913 70 | -2 00000 | 10/01/13 | 10/04/13 | 327.98 | 0 00 | 0.00 |
| C BBBY 011913 67 50 | -2.00000 | 01/19/13 | 01/19/13 | 383.98 | 0 00 | 383 98 |
| C BBBY 051813 60 | -2.00000 | 05/17/13 | 05/22/13 | 447.97 | 0 00 | 0.00 |
| C BBY 031613 13 | -6.00000 | 03/15/13 | 03/20/13 | 675.61 | 0.00 | 0 00 |
| C BHI 042013 47 | -2.00000 | 04/20/13 | 04/20/13 | 454.74 | 0 00 | 454.74 |
| C BHI 051813 45 | -2.00000 | 05/15/13 | 05/16/13 | 115.91 | 204 02 | -88 11 |
| C BHI 101913 48 | -1.00000 | 10/15/13 | 10/16/13 | 167.95 | 241 44 | -73 49 |
| | -2.00000 | 10/15/13 | 10/16/13 | 445.71 | 482.86 | -37.15 |
| C CBOE 081713 50 | -4.00000 | 08/17/13 | 08/17/13 | 279.84 | 0 00 | 279 84 |
| C CBOE 092113 50 | -4 00000 | 09/21/13 | 09/21/13 | 223.85 | 0.00 | 223.85 |
| C CBOE 122113 47 | -4.00000 | 11/25/13 | 11/29/13 | 419 84 | 0.00 | 0 00 |
| C CMCSA 042013 37 | -3.00000 | 03/28/13 | 04/03/13 | 500.97 | 0 00 | 0 00 |

WELLS FARGO ADVISORS

# 2013 ENHANCED SUMMARY



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## Details of the Year-End Account Summary

**SHORT TERM OPTIONS** *Continued*

| Description | Quantity Sold | Date of Acquisition | Date of Sale or Exchange | Sales Price | Cost or Other Basis | Gain or Loss Amount |
|---|---|---|---|---|---|---|
| C CSCO 021613 19 | -5.00000 | 02/15/13 | 02/21/13 | 264.97 | 0.00 | 0.00 |
| C CSCO 072013 23 | -5.00000 | 06/28/13 | 07/03/13 | 108.26 | 0.00 | 0.00 |
| C CSCO 081713 27 | -7.00000 | 08/17/13 | 08/17/13 | 346.96 | 0.00 | 346 96 |
| C CSCO 111613 25 | -7.00000 | 11/16/13 | 11/16/13 | 566 73 | 0 00 | 566 73 |
| C CSX 081713 25 | -3.00000 | 08/15/13 | 08/16/13 | 86.88 | 60 12 | 26.76 |
| | -6 00000 | 08/15/13 | 08/16/13 | 436.15 | 120 22 | 315.93 |
| C CSX 122113 25 | -9.00000 | 12/20/13 | 12/26/13 | 1,106.64 | 0.00 | 0.00 |
| C DD 011913 52 50 | -2.00000 | 01/19/13 | 01/19/13 | 203.98 | 0.00 | 203.98 |
| C DD 042013 44 | -2 00000 | 02/12/13 | 02/15/13 | 335 98 | 0.00 | 0.00 |
| C DFS 042013 41 | -3.00000 | 04/10/13 | 04/11/13 | 557.97 | 847.87 | -289.90 |
| | -2.00000 | 04/10/13 | 04/11/13 | 489 97 | 565.25 | -75 28 |
| C DFS 101913 44 | -5.00000 | 10/18/13 | 10/23/13 | 1,481.28 | 0 00 | 0.00 |
| C DG 011913 44 | -3 00000 | 01/16/13 | 01/17/13 | 194 98 | 180.01 | 14.97 |
| C DG 051813 47 | -3.00000 | 05/17/13 | 05/22/13 | 419.98 | 0.00 | 0.00 |
| C DHR 031613 60 | -2.00000 | 03/05/13 | 03/06/13 | 239.98 | 494.83 | -254.85 |
| C DHR 062213 60 | -2.00000 | 06/21/13 | 06/26/13 | 769.30 | 0.00 | 0 00 |
| C DIS 051813 60 | -2.00000 | 05/17/13 | 05/22/13 | 73.98 | 0.00 | 0 00 |
| C DIS 081713 65 | -1.00000 | 08/17/13 | 08/17/13 | 119.95 | 0 00 | 119.95 |
| | -2.00000 | 08/17/13 | 08/17/13 | 379.40 | 0.00 | 379.40 |
| C DOW 122113 42 | -5.00000 | 12/20/13 | 12/23/13 | 504.80 | 940 18 | -435.38 |
| C DVN 111613 65 | -3 00000 | 11/16/13 | 11/16/13 | 329.91 | 0.00 | 329.91 |
| C EBAY 042013 55 | -2.00000 | 04/20/13 | 04/20/13 | 133 98 | 0 00 | 133.98 |
| C EBAY 051813 55 | -2.00000 | 05/17/13 | 05/20/13 | 110 50 | 368.08 | -257.58 |
| C EBAY 101913 60 | -1.00000 | 10/19/13 | 10/19/13 | 40.95 | 0.00 | 40.95 |

# 2013 ENHANCED SUMMARY



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## Details of the Year-End Account Summary

**SHORT TERM OPTIONS** *Continued*

| Description | Quantity Sold | Date of Acquisition | Date of Sale or Exchange | Sales Price | Cost or Other Basis | Gain or Loss Amount |
|---|---|---|---|---|---|---|
| | -2 00000 | 10/19/13 | 10/19/13 | 557 90 | 0.00 | 557.90 |
| C EMC 011913 30 | -4.00000 | 01/19/13 | 01/19/13 | 667.98 | 0.00 | 667.98 |
| C EMC 021613 25 | -4 00000 | 02/16/13 | 02/16/13 | 161.83 | 0.00 | 161.83 |
| C EMC 072013 25 | -4.00000 | 07/17/13 | 07/18/13 | 287.97 | 178.65 | 109.32 |
| C EMC 101913 26 | -4.00000 | 10/19/13 | 10/19/13 | 333.09 | 0.00 | 333.09 |
| | -3 00000 | 10/19/13 | 10/19/13 | 389 88 | 0.00 | 389.88 |
| C F 111613 18 | -13.00000 | 11/16/13 | 11/16/13 | 350 50 | 0.00 | 350.50 |
| C FAST 081713 55 | -2.00000 | 08/17/13 | 08/17/13 | 199 98 | 0 00 | 199.98 |
| C FAST 111613 47 | -3.00000 | 11/14/13 | 11/15/13 | 344.88 | 64.59 | 280.29 |
| C FB 111613 57.50 | -3 00000 | 11/16/13 | 11/16/13 | 695 87 | 0.00 | 695 87 |
| C FCX 011913 39 | -3.00000 | 01/19/13 | 01/19/13 | 665.97 | 0.00 | 665.97 |
| C FCX 051813 37 | -3.00000 | 05/18/13 | 05/18/13 | 323.13 | 0.00 | 323.13 |
| C FCX 062213 32 | -3.00000 | 06/22/13 | 06/22/13 | 75.51 | 0.00 | 75.51 |
| C FCX 081713 28 | -4.00000 | 08/16/13 | 08/21/13 | 283.84 | 0.00 | 0.00 |
| C FITB 081713 18 | -9.00000 | 08/16/13 | 08/21/13 | 261.03 | 0.00 | 0.00 |
| C GILD 051813 47.50 | -2.00000 | 05/17/13 | 05/22/13 | 336.54 | 0.00 | 0.00 |
| C GILD 062213 60 | -2 00000 | 06/07/13 | 06/10/13 | 111.42 | 26.08 | 85.34 |
| C GILD 072013 55 | -2 00000 | 07/19/13 | 07/24/13 | 325.91 | 0.00 | 0.00 |
| C GNC 092113 50 | -2.00000 | 09/10/13 | 09/13/13 | 304.91 | 0.00 | 0.00 |
| C GPS 062213 37 | -3.00000 | 06/21/13 | 06/26/13 | 264.36 | 0.00 | 0.00 |
| C GPS 122113 47 | -5.00000 | 11/06/13 | 11/07/13 | 1,300.68 | 24.04 | 1,276 64 |
| C HAL 011913 40 | -1.00000 | 01/19/13 | 01/19/13 | 85.99 | 0.00 | 85.99 |
| C HAL 042013 37 | -4 00000 | 04/17/13 | 04/18/13 | 467.96 | 575 95 | -107 99 |
| C HAL 101913 41 | -4.00000 | 10/18/13 | 10/23/13 | 776.46 | 0.00 | 0.00 |

WELLS FARGO ADVISORS

# 2013 ENHANCED SUMMARY



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## Details of the Year-End Account Summary

**SHORT TERM OPTIONS** *Continued*

| Description | Quantity Sold | Date of Acquisition | Date of Sale or Exchange | Sales Price | Cost or Other Basis | Gain or Loss Amount |
|---|---|---|---|---|---|---|
| C HAL 111613 55 | -4.00000 | 11/15/13 | 11/18/13 | 108 86 | 494.56 | -385.70 |
| C HCA 031613 30.50 | -4 00000 | 03/15/13 | 03/20/13 | 739.96 | 0.00 | 0.00 |
| C HD 051813 70 | -2.00000 | 05/17/13 | 05/22/13 | 159.98 | 0.00 | 0.00 |
| C HFC 031613 44 | -5 00000 | 03/12/13 | 03/15/13 | 977 01 | 0.00 | 0.00 |
| C INTC 042013 22 | -7.00000 | 04/19/13 | 04/22/13 | 763 72 | 245.26 | 518.46 |
| C INTC 072013 23 | -7.00000 | 07/18/13 | 07/19/13 | 419.73 | 308.26 | 111.47 |
| C INTC 092113 23 | -3.00000 | 09/18/13 | 09/19/13 | 242.88 | 192 12 | 50.76 |
| | -7.00000 | 09/18/13 | 09/19/13 | 678 72 | 448.26 | 230.46 |
| C INTC 122113 24 | -10.00000 | 12/18/13 | 12/19/13 | 739 60 | 859 98 | -120.38 |
| C JNJ 011913 70 | -2 00000 | 01/18/13 | 01/24/13 | 271 99 | 0.00 | 0.00 |
| C JOY 031613 67.50 | -2.00000 | 03/16/13 | 03/16/13 | 88.27 | 0 00 | 88.27 |
| C JOY 051813 57 50 | -2 00000 | 05/18/13 | 05/18/13 | 159.91 | 0.00 | 159.91 |
| C JOY 062213 60 | -2 00000 | 06/22/13 | 06/22/13 | 175.38 | 0.00 | 175 38 |
| C JOY 072013 52 50 | -3.00000 | 07/20/13 | 07/20/13 | 278 88 | 0.00 | 278.88 |
| C JOY 101913 52.50 | -3.00000 | 10/18/13 | 10/21/13 | 713.87 | 353.49 | 360.38 |
| C JPM 011814 55 | -4 00000 | 12/31/13 | 01/06/14 | 561.26 | 0.00 | 0 00 |
| C JPM 031613 44 | -2.00000 | 03/15/13 | 03/20/13 | 249 98 | 0.00 | 0 00 |
| C JPM 092113 52.50 | -4.00000 | 09/19/13 | 09/20/13 | 291.97 | 314 88 | -22 91 |
| C KR 062213 36 | -5.00000 | 06/22/13 | 06/22/13 | 174 80 | 0.00 | 174.80 |
| C KR 072013 34 | -5 00000 | 07/19/13 | 07/24/13 | 275.25 | 0 00 | 0.00 |
| C KR 101913 40 | -4.00000 | 10/16/13 | 10/17/13 | 539.84 | 676.37 | -136.53 |
| C M 011913 41 | -4.00000 | 01/19/13 | 01/19/13 | 759 96 | 0.00 | 759.96 |
| C M 051813 40 | -4.00000 | 05/17/13 | 05/22/13 | 582 40 | 0.00 | 0 00 |
| C MAT 042013 38 | -3 00000 | 04/19/13 | 04/24/13 | 530 97 | 0.00 | 0.00 |

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## Details of the Year-End Account Summary

**SHORT TERM OPTIONS** *Continued*

| Description | Quantity Sold | Date of Acquisition | Date of Sale or Exchange | Sales Price | Cost or Other Basis | Gain or Loss Amount |
|---|---|---|---|---|---|---|
| C MET 031613 37 | -3 00000 | 03/15/13 | 03/20/13 | 365.98 | 0.00 | 0.00 |
| C MSFT 042013 30 | -4.00000 | 04/20/13 | 04/20/13 | 348.56 | 0 00 | 348.56 |
| C MSFT 062213 33 | -4.00000 | 06/21/13 | 06/26/13 | 375.85 | 0.00 | 0.00 |
| C MSFT 081713 35 | -4 00000 | 08/17/13 | 08/17/13 | 275.84 | 0.00 | 275.84 |
| C MSFT 101913 35 | -6.00000 | 10/19/13 | 10/19/13 | 503.76 | 0.00 | 503.76 |
| C NKE 101913 60 | -2.00000 | 10/18/13 | 10/23/13 | 579.97 | 0.00 | 0.00 |
| C NKE 101913 65 | -3.00000 | 10/18/13 | 10/23/13 | 452.88 | 0 00 | 0 00 |
| C OIH 072013 47 | -5.00000 | 07/20/13 | 07/20/13 | 349.97 | 0.00 | 349.97 |
| C OIH 101913 47 | -11.00000 | 10/18/13 | 10/23/13 | 780.57 | 0.00 | 0.00 |
| C ORCL 122113 36 | -5 00000 | 12/19/13 | 12/20/13 | 224.80 | 235.18 | -10.38 |
| C PSX 111613 62.50 | -2.00000 | 11/11/13 | 11/14/13 | 209.91 | 0.00 | 0.00 |
| C QCOM 011913 62.50 | -3.00000 | 01/18/13 | 01/24/13 | 622 49 | 0.00 | 0.00 |
| C QCOM 111613 72.50 | -2.00000 | 11/16/13 | 11/16/13 | 107.91 | 0 00 | 107 91 |
| C SNDK 042013 47 | -4.00000 | 04/19/13 | 04/24/13 | 1,429.18 | 0.00 | 0.00 |
| C STX 021613 33 | -7.00000 | 02/15/13 | 02/21/13 | 954.36 | 0.00 | 0 00 |
| C TEVA 021613 40 | -2.00000 | 02/16/13 | 02/16/13 | 67.98 | 0.00 | 67.98 |
| C TGT 011913 60 | -2 00000 | 01/17/13 | 01/18/13 | 449 98 | 345.68 | 104 30 |
| C TGT 072013 62 50 | -2.00000 | 07/19/13 | 07/24/13 | 465 07 | 0.00 | 0.00 |
| C TJX 072013 47.50 | -2.00000 | 07/19/13 | 07/24/13 | 259.98 | 0.00 | 0 00 |
| C TSN 011913 17 50 | -2.00000 | 01/18/13 | 01/24/13 | 79.98 | 0 00 | 0.00 |
| C TSN 042013 20 | -4.00000 | 04/19/13 | 04/24/13 | 359 97 | 0 00 | 0.00 |
| C TSN 051813 25 | -5 00000 | 05/16/13 | 05/17/13 | 224 80 | 125.19 | 99 61 |
| C TSN 092113 27 | -2.00000 | 08/14/13 | 08/19/13 | 119.92 | 0.00 | 0 00 |
| | -3.00000 | 09/20/13 | 09/25/13 | 179 88 | 0 00 | 0 00 |

WELLS FARGO ADVISORS

# 2013 ENHANCED SUMMARY



**As of Date: 2/07/14**

THE LINVILLE FAMILY FOUNDATION
CAPSTONE CUSTOM BALANCED
724 VALENCIA RD
VENICE FL 34285-2141

**Your Financial Advisor :**
JOHN COOKE
8888 KEYSTONE CROSSING
SUITE 400
INDIANAPOLIS, IN 46240
(317) 844-4850

**Account Number: 1341-2276**

## Details of the Year-End Account Summary

**SHORT TERM OPTIONS** *Continued*

| Description | Quantity Sold | Date of Acquisition | Date of Sale or Exchange | Sales Price | Cost or Other Basis | Gain or Loss Amount |
|---|---|---|---|---|---|---|
| | -1.00000 | 09/20/13 | 09/25/13 | 115 95 | 0.00 | 0.00 |
| C UNH 062213 57 50 | -4.00000 | 06/12/13 | 06/17/13 | 875.96 | 0.00 | 0.00 |
| C UPL 021613 19 | -1.00000 | 02/16/13 | 02/16/13 | 59.98 | 0.00 | 59.98 |
| C USB 031613 36 | -3 00000 | 03/16/13 | 03/16/13 | 200.98 | 0.00 | 200.98 |
| C USB 092113 36 | -6.00000 | 09/20/13 | 09/25/13 | 341.97 | 0.00 | 0.00 |
| C USB 122113 38 | -3.00000 | 12/20/13 | 12/26/13 | 113.88 | 0.00 | 0 00 |
| C VLO 031613 36 | -5 00000 | 02/08/13 | 02/13/13 | 736.80 | 0.00 | 0 00 |
| C WDC 042013 50 | -2.00000 | 04/11/13 | 04/12/13 | 195 98 | 463 20 | -267.22 |
| C WDC 101913 55 | -2.00000 | 09/25/13 | 09/30/13 | 775 97 | 0.00 | 0.00 |
| C WFC 042013 36 | -4.00000 | 04/12/13 | 04/15/13 | 233.74 | 352.02 | -118 28 |
| C WFC 101913 38 | -4.00000 | 08/06/13 | 08/09/13 | 487.96 | 0.00 | 0 00 |
| C YHOO 042013 21 | -5.00000 | 04/19/13 | 04/24/13 | 274.97 | 0.00 | 0 00 |
| C YHOO 111613 35 | -5 00000 | 11/14/13 | 11/15/13 | 207.32 | 431 00 | -223 68 |
| **TOTAL SHORT TERM OPTIONS** | | | | **$49,702.19** | **$12,543.84** | **$11,540.62** |