Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
THE PER AND ASTRID HEIDENREICH FAMILY FOUNDATION

A Employer identification number
20-6382658

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
1010 WASHINGTON BOULEVARD, 9TH FLOOR

B Telephone number
203-413-2024

City or town, state or province, country, and ZIP or foreign postal code
STAMFORD, CT 06901

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 10,896,028.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,500.		N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	21.	11.		STATEMENT 1
	4 Dividends and interest from securities	137,908.	137,908.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	72,975.			
	b Gross sales price for all assets on line 6a 4,987,359.				
	7 Capital gain net income (from Part IV, line 2)		72,975.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	320.	44.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	212,724.	210,938.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	29,200.	9,950.		19,250.
	c Other professional fees STMT 5	23,463.	23,463.		0.
	17 Interest				
	18 Taxes STMT 6	1,547.	1,547.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	2,725.	0.		1,225.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	56,935.	34,960.		20,475.
	25 Contributions, gifts, grants paid	1,689,750.			1,689,750.
	26 **Total expenses and disbursements.** Add lines 24 and 25	1,746,685.	34,960.		1,710,225.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-1,533,961.			
	b **Net investment income** (if negative, enter -0-)		175,978.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	1,336,698.	210,886.	210,886.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	954,263.	499,573.	498,874.
	b Investments - corporate stock STMT 9	1,399,724.	3,087,529.	6,424,299.
	c Investments - corporate bonds STMT 10	5,346,326.	3,435,648.	3,443,897.
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	93,113.	354,573.	318,072.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	9,130,124.	7,588,209.	10,896,028.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ DUE TO HELP)	10,714.	0.	
	23 **Total liabilities** (add lines 17 through 22)	10,714.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	9,119,410.	7,588,209.	
	30 **Total net assets or fund balances**	9,119,410.	7,588,209.	
	31 **Total liabilities and net assets/fund balances**	9,130,124.	7,588,209.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,119,410.
2	Enter amount from Part I, line 27a	2	-1,533,961.
3	Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	3	2,760.
4	Add lines 1, 2, and 3	4	7,588,209.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,588,209.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	4,987,359.		4,914,384.	72,975.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				72,975.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 72,975.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	643,054.	12,532,049.	.051313
2011	673,445.	11,390,236.	.059125
2010	507,172.	8,628,758.	.058777
2009	484,573.	8,026,053.	.060375
2008	510,976.	9,490,113.	.053843

2	**Total** of line 1, column (d)	2	.283433
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056687
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	10,344,107.
5	Multiply line 4 by line 3	5	586,376.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,760.
7	Add lines 5 and 6	7	588,136.
8	Enter qualifying distributions from Part XII, line 4	8	1,710,225.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	1,760.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,760.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	1,760.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 4,854.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	4,854.
8 Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	3,094.
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	3,094. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CHRISTOPHER CARREIRA C/O WTAS LLC Telephone no. ▶ (203)987-3660

Located at ▶ 1700 EAST PUTNAM AVE, STE. 408, OLD GREENWICH, CT ZIP+4 ▶ 06870

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | 5b | | X

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 12 ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PER HEIDENREICH C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	TRUSTEE 0.00	0.	0.	0.
CECILIE H. JEDLICKA C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	TRUSTEE & EXECUTIVE DIRECT 0.00	0.	0.	0.
ASTRID HEIDENREICH C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	TRUSTEE 0.00	0.	0.	0.
FRITZ HEIDENREICH C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	10,169,259.
b	Average of monthly cash balances		1b	332,372.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	10,501,631.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	10,501,631.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	157,524.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	10,344,107.
6	**Minimum investment return.** Enter 5% of line 5		6	517,205.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	517,205.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 1,760.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	1,760.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	515,445.
4	*Recoveries of amounts treated as qualifying distributions*		4	0.
5	Add lines 3 and 4		5	515,445.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	515,445.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,710,225.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,710,225.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,760.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,708,465.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				515,445.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009 66,047.				
c From 2010 85,864.				
d From 2011 113,515.				
e From 2012 20,166.				
f **Total** of lines 3a through e	285,592.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 1,710,225.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				515,445.
e Remaining amount distributed out of corpus	1,194,780.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,480,372.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	1,480,372.			
10 Analysis of line 9:				
a Excess from 2009 66,047.				
b Excess from 2010 85,864.				
c Excess from 2011 113,515.				
d Excess from 2012 20,166.				
e Excess from 2013 1,194,780.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 13

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
a Paid during the year				
VARIOUS (SEE ATTACHED)	NONE	501(C)(3)	GENERAL PURPOSE	791,250.
PER HEIDENREICH FUND FOR YOUNG GOLFERS ANKERVEIEN 127 OSLO, NORWAY 0766	NONE	NC	THE PURPOSE OF THE DONATION IS TO DISTRIBUTE FUNDS AND FINANCIAL SUPPORT TO THE MOST PROMISING AND	898,500.
Total			▶ 3a	1,689,750.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

** SEE PURPOSE OF GRANT CONTINUATIONS

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	21.	
4 Dividends and interest from securities			14	137,908.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	320.	
8 Gain or (loss) from sales of assets other than inventory			18	72,975.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		211,224.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	211,224.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/13/14 Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRIS CARREIRA	[signature]	5/9/14		P01250834
Firm's name ▶ WTAS LLC			Firm's EIN ▶ 33-1197384	
Firm's address ▶ 1700 EAST PUTNAM AVENUE, SUITE 408 OLD GREENWICH, CT 06870			Phone no. 203-987-3660	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ML #02093 (SEE ATTACHED)	P	VARIOUS	VARIOUS
b	ML #02094 (SEE ATTACHED)	P	VARIOUS	VARIOUS
c	ML #02095 (SEE ATTACHED)	P	VARIOUS	VARIOUS
d	ML #04999 (SEE ATTACHED)	P	VARIOUS	VARIOUS
e	ML #02094 (SEE ATTACHED)	P	VARIOUS	VARIOUS
f	RBC #54643 (SEE ATTACHED)	P	VARIOUS	VARIOUS
g	RBC #54643 (SEE ATTACHED)	P	VARIOUS	VARIOUS
h	ML #04999 (SEE ATTACHED)	P	VARIOUS	VARIOUS
i	RBC #54643 (SEE ATTACHED)	P	VARIOUS	VARIOUS
j	SPDR GOLD TR SHS	P	VARIOUS	VARIOUS
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	318,462.		303,419.	15,043.
b	36,252.		37,756.	-1,504.
c	67,943.		63,335.	4,608.
d	450,133.		449,650.	483.
e	132.		132.	0.
f	268,658.		287,197.	-18,539.
g	22,380.		22,253.	127.
h	876,207.		871,917.	4,290.
i	2,920,865.		2,878,725.	42,140.
j	287.			287.
k	26,040.			26,040.
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				15,043.
b				-1,504.
c				4,608.
d				483.
e				0.
f				-18,539.
g				127.
h				4,290.
i				42,140.
j				287.
k				26,040.
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	72,975.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - PER HEIDENREICH FUND FOR YOUNG GOLFERS

THE PURPOSE OF THE DONATION IS TO DISTRIBUTE FUNDS AND FINANCIAL SUPPORT TO THE MOST PROMISING AND TALENTED JUNIOR GOLFERS WITHIN OSLO GOLFKLUBB ("OGK"), OR TO JUNIOR GOLFERS OUTSIDE OGK, WITH THE OBJECT OF HELPING THEM REACH THEIR HIGHEST POTENTIAL. SUCH SUPPORT SHALL BE GIVEN ONLY TO A FEW EXCEPTIONALLY TALENTED CANDIDATES, AND SHALT BE AWARDED TO THE CANDIDATES AS A SUPPORT FOR TRAINING, COMPETING, TRAVEL AND DEVELOPMENT OF THEIR GOLFING SKILLS.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH #7EG-04481	11.	11.	
RBC #968-54643	10.	0.	
TOTAL TO PART I, LINE 3	21.	11.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH #7EG-02093 - DIVIDENDS	5,962.	0.	5,962.	5,962.	
MERRILL LYNCH #7EG-02093 - INTEREST	5.	0.	5.	5.	
MERRILL LYNCH #7EG-02094 - DIVIDENDS	4,980.	0.	4,980.	4,980.	
MERRILL LYNCH #7EG-02094 - INTEREST	4.	0.	4.	4.	
MERRILL LYNCH #7EG-02095 - DIVIDENDS	6,216.	0.	6,216.	6,216.	
MERRILL LYNCH #7EG-02095 - INTEREST	6.	0.	6.	6.	
MERRILL LYNCH #7EG-04999 - DIVIDENDS	1.	0.	1.	1.	
MERRILL LYNCH #7EG-04999 - INTEREST	27,520.	0.	27,520.	27,520.	
MERRILL LYNCH #7EG-04999 - OID	2.	0.	2.	2.	
MERRILL LYNCH #7EG-04999 - US INT	5,333.	0.	5,333.	5,333.	
MERRILL LYNCH #7EG-04999- ABP ADJUSTMENT	-12,165.	0.	-12,165.	-12,165.	

MERRILL LYNCH #7EG-04999- ACCRUED INTEREST	-2,101.	0.	-2,101.	-2,101.	
RBC #968-54643 - ADDITIONAL INTEREST	189.	0.	189.	189.	
RBC #968-54643 - DIVIDENDS	123,832.	26,040.	97,792.	97,792.	
RBC #968-54643 - INTEREST	198.	0.	198.	198.	
RBC #968-54643 - US INT	3,966.	0.	3,966.	3,966.	
TO PART I, LINE 4	163,948.	26,040.	137,908.	137,908.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ML #02094 - SUB PAYMENTS IN LIEU OF DIVIDENDS	44.	44.	
ML #02094 - NONDIVIDEND DISTRIBUTIONS	202.	0.	
ML #02095 - NONDIVIDEND DISTRIBUTIONS	74.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	320.	44.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	29,200.	9,950.		19,250.
TO FORM 990-PF, PG 1, LN 16B	29,200.	9,950.		19,250.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	23,463.	23,463.		0.
TO FORM 990-PF, PG 1, LN 16C	23,463.	23,463.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RBC #968-54643 - FOREIGN TAXES PAID	965.	965.		0.
ML #02094 - FOREIGN TAXES PAID	552.	552.		0.
ML #02095 - FOREIGN TAXES PAID	30.	30.		0.
TO FORM 990-PF, PG 1, LN 18	1,547.	1,547.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	75.	0.		75.
MISCELLANEOUS EXPENSES	1,500.	0.		0.
SECTION 988 LOSS	1,150.	0.		1,150.
TO FORM 990-PF, PG 1, LN 23	2,725.	0.		1,225.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH #7EG-04999 (SEE ATTACHED)	X		499,573.	498,874.
TOTAL U.S. GOVERNMENT OBLIGATIONS			499,573.	498,874.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			499,573.	498,874.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
7,295,500 SHS HURTIGRUTEN AS	1,031,584.	4,172,296.
MERRILL LYNCH #7EG-02093 (SEE ATTACHED)	317,945.	350,300.
MERRILL LYNCH #7EG-02094 (SEE ATTACHED)	179,859.	201,053.
MERRILL LYNCH #7EG-02095 (SEE ATTACHED)	300,143.	344,055.
RBC #968-54643 (SEE ATTACHED)	1,257,998.	1,356,595.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,087,529.	6,424,299.

FORM 990-PF CORPORATE BONDS STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH #7EG-04999 (SEE ATTACHED)	748,443.	753,283.
RBC #968-54643 (SEE ATTACHED)	2,687,205.	2,690,614.
TOTAL TO FORM 990-PF, PART II, LINE 10C	3,435,648.	3,443,897.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
RBC #968-54643 (SEE ATTACHED)	COST	354,573.	318,072.
TOTAL TO FORM 990-PF, PART II, LINE 13		354,573.	318,072.

FORM 990-PF EXPENDITURE RESPONSIBILITY STATEMENT STATEMENT 12
PART VII-B, LINE 5C

GRANTEE'S NAME

PER HEIDENREICH FUND FOR YOUNG GOLFERS

GRANTEE'S ADDRESS

ANKERVEIEN 127
OSLO, NORWAY, 0766

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED	VERIFICATION DATE
898,500.	01/04/13		03/24/14

PURPOSE OF GRANT

THE PURPOSE OF THE DONATION IS TO DISTRIBUTE FUNDS AND FINANCIAL SUPPORT TO THE MOST PROMISING AND TALENTED JUNIOR GOLFERS WITHIN OSLO GOLFKLUBB ("OGK"), OR TO JUNIOR GOLFERS OUTSIDE OGK, WITH THE OBJECT OF HELPING THEM REACH THEIR HIGHEST POTENTIAL. SUCH SUPPORT SHALL BE GIVEN ONLY TO A FEW EXCEPTIONALLY TALENTED CANDIDATES, AND SHALT BE AWARDED TO THE CANDIDATES AS A SUPPORT FOR TRAINING, COMPETING, TRAVEL AND DEVELOPMENT OF THEIR GOLFING SKILLS.

DATES OF REPORTS BY GRANTEE

02/28/14

RESULTS OF VERIFICATION

PLEASE SEE THE ATTACHED FINANCIAL STATEMENTS AND AUDITOR'S REPORT.

THE PER AND ASTRID HEIDENREICH

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B — 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
FREEPORT-MCMORAN C & G GLB 01.400% FEB 13 2015		*CUSIP Number*	*35671DAV7*					
15000.0000	Sale	03/18/13	11/21/13	15,117.60	15,089.25	0.00	28.35	
COX COMMUNICATIONS INC GLB 05 450% DEC 15 2014		*CUSIP Number*	*224044BM8*					
11000.0000	Sale	02/03/12	01/07/13	12,010.57	11,892.29	0.00	118.28	
CITIGROUP INC GLB 05.125% MAY 05 2014		*CUSIP Number*	*172967CK5*					
15000.0000	Sale	02/03/12	01/07/13	15,788.85	15,510.67	0.00	278.18	
CATERPILLAR FINANCIAL SE SER MTNG 01.250% NOV 06 2017		*CUSIP Number*	*14912L5J6*					
5000.0000	Sale	05/02/13	08/28/13	4,874.65	5,050.86	0.00	(176.21)	

THE PER AND ASTRID HEIDENREICH

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CITIGROUP INC GLB 01.250% JAN 15 2016		CUSIP Number	172967GG0					
5000.0000	Sale	01/07/13	08/28/13	4,961.70	4,993.65	0 00	(31.95)	
MORGAN STANLEY GLB 01.750% FEB 25 2016		CUSIP Number	61746BDG8					
5000.0000	Sale	03/18/13	08/28/13	4,997.70	5,041.00	0.00	(43.30)	
MORGAN STANLEY SUBORDINATED GLB 04.750% APR 01 2014		CUSIP Number	61748AAE6					
5000.0000	Sale	11/28/12	02/19/13	5,180.30	5,149.81	0.00	30.49	
20000.0000	Sale	11/28/12	03/18/13	20,689.80	20,556.10	0.00	133 70	
	Security Subtotal			**25,870.10**	**25,705.91**	**0.00**	**164.19**	
FEDERAL NATL MTG ASSOC NOTES 00 625% OCT 30 2014		CUSIP Number	3135G0DW0					
20000.0000	Sale	06/13/12	02/19/13	20,117.56	20,056.17	0 00	61.39	
20000.0000	Sale	06/13/12	05/02/13	20,127.40	20,049 27	0.00	78.13	
10000.0000	Sale	11/21/12	10/03/13	10,047 40	10,034.56	0.00	12.84	
	Security Subtotal			**50,292.36**	**50,140.00**	**0.00**	**152.36**	
FEDERAL NATL MTG ASSOC CALLABLE NOTES SR 0001 01 000% FEB 27 2017		CUSIP Number	3135G0UY7					
20000.0000	Sale	04/16/13	08/28/13	19,780.00	20,175.50	0.00	(395.50)	
VENTAS REALTY LP/CAP CRP COMPANY GUARNT 02 000% FEB 15 2018		CUSIP Number	92276MBA2					
5000.0000	Sale	01/07/13	08/28/13	4,862.55	5,003.91	0.00	(141.36)	
WALGREEN CO GLB 01.000% MAR 13 2015		CUSIP Number	931422AG4					
5000.0000	Sale	11/28/12	02/19/13	5,018.75	5,007.10	0 00	11.65	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
USD ONTARIO PROVINCE		*CUSIP Number*	*68323ABJ2*					
0.950% MAY 26 2015								
5000.0000	Sale	09/05/12	02/19/13	5,047.25	5,048.26	0.00	(1.01)	
DEUTSCHE BANK AG LONDON		*CUSIP Number*	*2515A0NY5*					
SER GMTN GLB								
04.875% MAY 20 2013								
15000.0000	Sale	02/03/12	01/07/13	15,237.90	15,173.00	0.00	64.90	
PRUDENTIAL FINANCIAL INC		*CUSIP Number*	*74432QBL8*					
SER MTN								
03.875% JAN 14 2015								
5000.0000	Sale	06/13/12	02/19/13	5,274.40	5,166.99	0.00	107.41	
U.S. TREASURY NOTE		*CUSIP Number*	*912828VN7*					
0.250% JUL 31 2015								
10000.0000	Sale	08/28/13	10/03/13	9,994.11	9,975.43	0 00	18.68	
U.S. TREASURY NOTE		*CUSIP Number*	*912828SG6*					
0.250% FEB 28 2014								
5000.0000	Sale	03/02/12	02/19/13	5,003.69	4,997.09	0.00	6.60	
U S. TREASURY NOTE		*CUSIP Number*	*912828SW1*					
0 250% MAY 31 2014								
10000.0000	Sale	06/13/12	02/19/13	10,006.22	9,991.83	0.00	14.39	
15000.0000	Sale	06/13/12	04/16/13	15,015.18	14,987.75	0.00	27.43	
35000.0000	Sale	06/13/12	05/23/13	35,039.53	34,971.40	0.00	68.13	
	Security Subtotal			***60,060.93***	***59,950.98***	***0.00***	***109.95***	
U S. TREASURY NOTE		*CUSIP Number*	*912828PE4*					
1 250% OCT 31 2015								
01 250% OCT 31 2015								
15000.0000	Sale	09/05/12	02/19/13	15,350.34	15,365.23	0.00	(14.89)	
10000.0000	Sale	09/05/12	05/23/13	10,227.31	10,219.66	0.00	7.65	
	Security Subtotal			***25,577.65***	***25,584.89***	***0.00***	***(7.24)***	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
U.S. TREASURY NOTE 0.500% OCT 15 2014 00.500% OCT 15 2014		*CUSIP Number*	*912828RL6*					
79000.0000	Sale	11/21/12	05/23/13	79,348.45	79,240.18	0.00	108.27	
40000.0000	Sale	08/28/13	10/03/13	40,149.87	40,137.63	0.00	12.24	
	Security Subtotal			***119,498.32***	***119,377.81***	***0.00***	***120.51***	
U.S. TREASURY NOTE 1.000% SEP 30 2016 01 000% SEP 30 2016		*CUSIP Number*	*912828RJ1*					
40000.0000	Sale	04/11/13	05/02/13	40,863.93	40,765.43	0.00	98.50	
Noncovered Short Term Capital Gains and Losses Subtotal				**450,133.01**	**449,650.02**	**0.00**	**482.99**	
NET SHORT TERM CAPITAL GAINS AND LOSSES				**450,133.01**	**449,650.02**	**0.00**	**482.99**	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ANHEUSER-BUSCH INBEV WOR COMPANY GUARANT 01.500% JUL 14 2014		*CUSIP Number*	*03523TBL1*					
5000.0000	Sale	08/18/11	02/19/13	5,065.25	5,032.80	0.00	32.45	
10000.0000	Sale	08/18/11	06/06/13	10,096.10	10,051.43	0 00	44.67	
5000.0000	Sale	08/18/11	10/02/13	5,045.45	5,018.16	0.00	27.29	
20000.0000	Sale	12/13/11	10/02/13	20,181.80	20,056.48	0.00	125.32	
	Security Subtotal			***40,388.60***	***40,158.87***	***0.00***	***229.73***	
ANHEUSER-BUSCH INBEV WOR COMPANY GUARNT GLB 01.375% JUL 15 2017		*CUSIP Number*	*03523TBN7*					
15000.0000	Sale	07/26/12	08/28/13	14,845.95	15,136.28	0.00	(290.33)	
AMERICAN INTL GROUP GLB 03.800% MAR 22 2017		*CUSIP Number*	*026874CS4*					
5000.0000	Sale	07/26/12	08/28/13	5,289.15	5,143.17	0 00	145.98	

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2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BERKSHIRE HATHAWAY INC GLB 02.200% AUG 15 2016		*CUSIP Number*	*084670BB3*					
5000.0000	Sale	01/19/12	08/28/13	5,154.20	5,113.28	0.00	40.92	
BHP BILLITON FIN USA LTD COMPANY GUARNT GLB 01.125% NOV 21 2014		*CUSIP Number*	*055451AJ7*					
5000.0000	Sale	12/13/11	02/19/13	5,053.75	5,011.40	0 00	42.35	
HEWLETT-PACKARD CO GLB 01.550% MAY 30 2014		*CUSIP Number*	*428236BK8*					
5000.0000	Sale	02/03/12	02/19/13	5,012.40	5,007.26	0.00	5.14	
CAPITAL ONE FINANCIAL CO GLB 02.125% JUL 15 2014		*CUSIP Number*	*14040HAV7*					
5000.0000	Sale	08/18/11	02/19/13	5,087.10	4,985.90	0.00	101.20	
15000.0000	Sale	08/18/11	10/02/13	15,161.55	14,957.70	0.00	203.85	
	Security Subtotal			***20,248.65***	***19,943.60***	***0.00***	***305.05***	
DIRECTV HOLDINGS/FING COMPANY GUARNT GLB 04 750% OCT 01 2014		*CUSIP Number*	*25459HAL9*					
4000.0000	Sale	10/26/11	02/19/13	4,242.32	4,199.46	0 00	42 86	
5000.0000	Sale	10/26/11	10/02/13	5,190 05	5,153.23	0.00	36.82	
9000.0000	Sale	10/26/11	10/04/13	9,343.71	9,274.25	0.00	69.46	
1000.0000	Sale	02/16/12	10/04/13	1,038.19	1,032.41	0.00	5.78	
	Security Subtotal			***19,814.27***	***19,659.35***	***0.00***	***154.92***	
EBAY INC GLB 01.350% JUL 15 2017		*CUSIP Number*	*278642AG8*					
5000.0000	Sale	07/26/12	08/28/13	4,941.80	5,029.35	0.00	(87.55)	
ENTERPRISE PRODUCTS OPER COMP GUARNT SER G GLB 05 600% OCT 15 2014		*CUSIP Number*	*293791AN9*					
3000.0000	Sale	02/03/12	02/19/13	3,231.06	3,196.52	0.00	34.54	

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2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENERAL ELEC CAP CORP GLB 02.100% JAN 07 2014		*CUSIP Number*	*36962G4X9*					
5000.0000	Sale	08/18/11	02/19/13	5,073.25	5,020.36	0.00	52.89	
15000.0000	Sale	08/18/11	10/02/13	15,070.95	15,017.51	0.00	53.44	
	Security Subtotal			***20,144.20***	***20,037.87***	***0.00***	***106.33***	
GOOGLE INC GLB 01 250% MAY 19 2014		*CUSIP Number*	*38259PAA0*					
5000.0000	Sale	10/18/11	02/19/13	5,055.00	5,034.67	0.00	20.33	
10000.0000	Sale	10/18/11	03/18/13	10,111.10	10,064.85	0.00	46.25	
	Security Subtotal			***15,166.10***	***15,099.52***	***0.00***	***66.58***	
JPMORGAN CHASE & CO - 04.650% JUN 01 2014		*CUSIP Number*	*46625HHN3*					
5000.0000	Sale	12/13/11	02/19/13	5,253.80	5,141.85	0.00	111.95	
15000.0000	Sale	12/13/11	10/02/13	15,410.40	15,218.46	0.00	191.94	
	Security Subtotal			***20,664.20***	***20,360.31***	***0.00***	***303.89***	
KELLOGG CO GLB 01.125% MAY 15 2015		*CUSIP Number*	*487836BG2*					
10000.0000	Sale	06/13/12	10/02/13	10,071.90	10,028.44	0.00	43.46	
FEDERAL NATL MTG ASSOC NOTES SR 11 01.250% FEB 27 2014		*CUSIP Number*	*3135G0AP8*					
5000.0000	Sale	10/18/11	02/19/13	5,053.28	5,033.42	0.00	19.86	
15000.0000	Sale	10/18/11	05/23/13	15,122.91	15,073 64	0 00	49.27	
	Security Subtotal			***20,176.19***	***20,107.06***	***0.00***	***69.13***	
FEDERAL NATL MTG ASSOC NOTES 00.625% OCT 30 2014		*CUSIP Number*	*3135G0DW0*					
20000.0000	Sale	06/13/12	08/28/13	20,095.42	20,038.57	0.00	56.85	
20000.0000	Sale	06/13/12	10/03/13	20,094.80	20,035.34	0.00	59.46	
	Security Subtotal			***40,190.22***	***40,073.91***	***0.00***	***116.31***	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NBC UNIVERSAL GLB 02.100% APR 01 2014		*CUSIP Number*	*63946BAA8*					
5000.0000	Sale	10/18/11	02/19/13	5,079.20	5,040.55	0.00	38.65	
10000.0000	Sale	10/18/11	10/24/13	10,073.60	10,031.03	0.00	42.57	
	Security Subtotal			***15,152.80***	***15,071.58***	***0.00***	***81.22***	
ROGERS WIRELESS INC COMPANY GUARNT GLB 06.375% MAR 01 2014		*CUSIP Number*	*77531QAD0*					
5000.0000	Sale	10/26/11	02/19/13	5,283.15	5,251.29	0.00	31.86	
TIME WARNER CABLE INC COMPANY GUARNT 07.500% APR 01 2014		*CUSIP Number*	*88732JAR9*					
5000.0000	Sale	01/19/12	02/19/13	5,366.60	5,331.92	0.00	34.68	
10000.0000	Sale	01/19/12	08/28/13	10,383.10	10,347.33	0 00	35.77	
	Security Subtotal			***15,749.70***	***15,679.25***	***0.00***	***70.45***	
TIME WARNER CABLE INC COMPANY GUARNT 03.500% FEB 01 2015		*CUSIP Number*	*88732JAV0*					
4000.0000	Sale	02/16/12	02/19/13	4,199.32	4,174 29	0.00	25.03	
15000.0000	Sale	02/16/12	08/01/13	15,466.35	15,501.64	0.00	(35.29)	
	Security Subtotal			***19,665.67***	***19,675.93***	***0.00***	***(10.26)***	
VERIZON COMMUNICATIONS GLB 01 950% MAR 28 2014		*CUSIP Number*	*92343VBA1*					
5000.0000	Sale	08/18/11	02/19/13	5,081.00	5,046.05	0.00	34.95	
10000.0000	Sale	08/18/11	10/02/13	10,066.20	10,039.90	0.00	26.30	
	Security Subtotal			***15,147.20***	***15,085.95***	***0.00***	***61.25***	
VERIZON COMMUNICATIONS GLB 02.000% NOV 01 2016		*CUSIP Number*	*92343VBD5*					
5000.0000	Sale	07/26/12	08/28/13	5,087.25	5,163.75	0.00	(76.50)	
15000.0000	Sale	07/26/12	10/02/13	15,223.50	15,476.80	0 00	(253.30)	
	Security Subtotal			***20,310.75***	***20,640.55***	***0.00***	***(329.80)***	

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2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
USD BP CAPITAL PLC 1.846% MAY 05 2017		*CUSIP Number*	*05565QBY3*					
5000.0000	Sale	07/26/12	08/28/13	5,008.60	5,105.80	0.00	(97.20)	
USD BARRICK GOLD COR SER WI VARI% MAY 30 2014		*CUSIP Number*	*067901AE8*					
5000.0000	Sale	10/18/11	02/19/13	5,063.45	5,030.93	0.00	32.52	
10000.0000	Redemption	10/18/11	12/16/13	10,068.25	10,022.26	0.00	45.99	
	Security Subtotal			***15,131.70***	***15,053.19***	***0.00***	***78.51***	
USD RABOBANK UTRECHT 3.375% JAN 19 2017		*CUSIP Number*	*21686CAD2*					
5000.0000	Sale	01/19/12	08/28/13	5,260.90	5,059.15	0.00	201.75	
BNP PARIBAS BANK GUARANTEED 03.250% MAR 11 2015		*CUSIP Number*	*05567LG68*					
5000.0000	Sale	02/03/12	02/19/13	5,212.20	5,017 50	0.00	194.70	
XL CAPITAL LTD GLB 05.250% SEP 15 2014		*CUSIP Number*	*98372PAF5*					
5000.0000	Sale	12/13/11	02/19/13	5,296 55	5,154.36	0.00	142.19	
AMERICAN EXPRESS CREDIT SER MTN GLB 01 750% JUN 12 2015		*CUSIP Number*	*0258M0DE6*					
10000.0000	Sale	06/13/12	10/02/13	10,183.00	10,036.69	0.00	146.31	
PRUDENTIAL FINANCIAL INC SER MTN 03.875% JAN 14 2015		*CUSIP Number*	*74432QBL8*					
10000.0000	Sale	06/13/12	10/04/13	10,398.20	10,224.20	0.00	174.00	
DR PEPPER SNAPPLE GROUP COMPANY GUARANT GLB 02.900% JAN 15 2016		*CUSIP Number*	*26138EAM1*					
5000.0000	Sale	12/13/11	08/28/13	5,189.25	5,106.13	0.00	83.12	

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2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AMERICAN EXPRESS CREDIT SER MTN 02.800% SEP 19 2016		*CUSIP Number*	*0258M0DC0*					
5000.0000	Sale	01/19/12	08/28/13	5,214.15	5,042.61	0.00	171.54	
FEDERAL NATL MTG ASSOC NOTES 04.375% MAR 15 2013		*CUSIP Number*	*31359MRG0*					
5000.0000	Sale	03/03/11	02/19/13	5,013.71	5,011.01	0.00	2.70	
30000.0000	Redemption	03/03/11	03/15/13	30,000.00	30,000.00	0.00	0.00	
	Security Subtotal			***35,013.71***	***35,011.01***	***0.00***	***2.70***	
U.S TREASURY NOTE 0.375% NOV 15 2014 00.375% NOV 15 2014		*CUSIP Number*	*912828RQ5*					
15000.0000	Sale	12/13/11	02/19/13	15,033.93	15,004.90	0.00	29.03	
85000.0000	Sale	12/13/11	05/28/13	85,212.22	85,023.44	0 00	188.78	
	Security Subtotal			***100,246.15***	***100,028.34***	***0.00***	***217.81***	
U S. TREASURY NOTE 0.250% FEB 28 2014		*CUSIP Number*	*912828SG6*					
35000.0000	Sale	03/02/12	04/16/13	35,036 80	34,979.61	0.00	57.19	
U.S TREASURY NOTE 1.000% JUL 15 2013 01.000% JUL 15 2013		*CUSIP Number*	*912828NN6*					
10000.0000	Sale	03/03/11	02/19/13	10,034.34	10,001.00	0.00	33.34	
45000.0000	Sale	03/03/11	04/11/13	45,103.56	45,002.83	0.00	100.73	
	Security Subtotal			***55,137.90***	***55,003.83***	***0.00***	***134.07***	
U S. TREASURY NOTE 0.750% SEP 15 2013 00.750% SEP 15 2013		*CUSIP Number*	*912828NY2*					
10000.0000	Sale	03/03/11	02/19/13	10,033.95	9,925.82	0.00	108.13	
70000.0000	Sale	03/03/11	04/11/13	70,193.90	69,480.70	0.00	713.20	
	Security Subtotal			***80,227.85***	***79,406.52***	***0.00***	***821.33***	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
U.S. TREASURY NOTE 1.250% APR 15 2014 01.250% APR 15 2014		*CUSIP Number*	*912828QC7*					
15000.0000	Sale	05/04/11	02/19/13	15,180.42	15,046.51	0.00	133.91	
15000.0000	Sale	05/04/11	05/23/13	15,148.78	15,035.85	0.00	112.93	
20000 0000	Sale	05/04/11	10/03/13	20,122.59	20,028.26	0.00	94.33	
10000.0000	Sale	05/04/11	10/29/13	10,051.53	10,012.34	0.00	39.19	
	Security Subtotal			***60,503.32***	***60,122.96***	***0.00***	***380.36***	
U.S TREASURY NOTE 0.500% OCT 15 2014 00 500% OCT 15 2014		*CUSIP Number*	*912828RL6*					
25000.0000	Sale	10/18/11	02/19/13	25,106.36	25,015.15	0.00	91.21	
10000.0000	Sale	10/18/11	05/02/13	10,047.62	10,005.31	0.00	42.31	
66000.0000	Sale	10/18/11	05/23/13	66,291.10	66,033.61	0.00	257.49	
	Security Subtotal			***101,445.08***	***101,054.07***	***0.00***	***391.01***	
Noncovered Long Term Capital Gains and Losses Subtotal				**876,207.27**	**871,916.71**	**0.00**	**4,290.56**	
NET LONG TERM CAPITAL GAINS AND LOSSES				**876,207.27**	**871,916.71**	**0.00**	**4,290.56**	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				**1,326,340.28**				
TOTAL REPORTED SALES PROCEEDS				**1,326,340.28**				

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
0.00	482.99	0.00	4,290.56

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2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ABB LTD SPON ADR		*CUSIP Number*	*000375204*					
41.0000	Sale	01/14/13	08/16/13	925.98	869.18	0.00	56.80	
ASTRAZENECA PLC SPND ADR		*CUSIP Number*	*046353108*					
5.0000	Sale	01/14/13	09/18/13	260.29	244.00	0.00	16.29	
10.0000	Sale	01/14/13	09/19/13	523.01	488.00	0.00	35.01	
	Security Subtotal			***783.30***	***732.00***	***0.00***	***51.30***	
COMPANHIA ENERG DE ADR		*CUSIP Number*	*204409601*					
22.0000	Sale	01/14/13	04/03/13	256.51	239.36	0.00	17.15	
	Cash/Lieu	02/25/13	05/22/13	4.16	3.70	0.00	0.46	
31.0000	Sale	01/14/13	07/10/13	280.17	298.86	0.00	(18.69)	
51.0000	Sale	02/25/13	07/10/13	460.95	525.14	0.00	(64.19)	
	Security Subtotal			***1,001.79***	***1,067.06***	***0.00***	***(65.27)***	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CANADIAN OIL SANDS LTD (NEW) SHS		*CUSIP Number*	*13643E105*					
40.0000	Sale	01/14/13	08/16/13	808.03	822.96	0.00	(14.93)	
4.0000	Sale	02/25/13	08/16/13	80.81	80.69	0.00	0.12	
	Security Subtotal			***888.84***	***903.65***	***0.00***	***(14.81)***	
DIAGEO PLC SPSD ADR NEW		*CUSIP Number*	*25243Q205*					
2.0000	Sale	01/14/13	03/11/13	238.24	230.66	0.00	7.58	
3.0000	Sale	01/14/13	04/29/13	364.10	345.98	0.00	18.12	
4.0000	Sale	02/25/13	04/29/13	485.48	474.40	0.00	11.08	
	Security Subtotal			***1,087.82***	***1,051.04***	***0.00***	***36.78***	
NOVARTIS ADR		*CUSIP Number*	*66987V109*					
8.0000	Sale	01/14/13	09/18/13	613.39	524.07	0.00	89.32	
NORTH ATLANTIC DRILLING LTD SHS		*CUSIP Number*	*KK9999C13*					
32.0000	Sale	01/14/13	04/03/13	288.76	334.90	0.00	(46.14)	
12.0000	Sale	01/14/13	04/04/13	106.14	125.59	0.00	(19.45)	
31.0000	Sale	01/14/13	04/04/13	274.20	324.44	0.00	(50.24)	
16.0000	Sale	01/14/13	04/05/13	140.93	167.45	0 00	(26.52)	
12.0000	Sale	01/14/13	04/08/13	106.71	125.59	0.00	(18.88)	
24.0000	Sale	02/26/13	04/08/13	213.45	240.24	0.00	(26.79)	
1.0000	Sale	02/26/13	04/08/13	8.89	10.01	0.00	(1.12)	
	Security Subtotal			***1,139.08***	***1,328.22***	***0.00***	***(189.14)***	
PETROCHINA CO LTD SP ADR		*CUSIP Number*	*71646E100*					
4.0000	Sale	01/14/13	03/11/13	558.83	566.80	7.97 (W)	0 00	
3.0000	Sale	01/14/13	04/03/13	394.83	425.10	0.00	(30.27)	
3.0000	Sale	01/14/13	04/29/13	381.61	425.10	0.00	(43.49)	
1.0000	Sale	01/14/13	04/30/13	126.88	139.70	0.00 (Y)	(12.82)	
1.0000	Sale	01/14/13	06/14/13	107.08	139.70	0.00 (Y)	(32.62)	
2.0000	Sale	01/14/13	07/22/13	240.02	279.41	0.00 (Y)	(39.39)	
6.0000	Sale	02/25/13	07/22/13	720.09	826.26	0.00	(106.17)	
	Security Subtotal			***2,529.34***	***2,802.07***	***7.97***	***(264.76)***	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PHILIP MORRIS INTL INC		*CUSIP Number*	*718172109*					
9.0000	Sale	01/14/13	11/06/13	816.13	800.19	0.00	15.94	
9.0000	Sale	02/25/13	11/06/13	816.14	837.18	0.00	(21.04)	
10.0000	Sale	05/30/13	11/06/13	906.83	925.78	0.00	(18.95)	
	Security Subtotal			***2,539.10***	***2,563.15***	***0.00***	***(24.05)***	
RIOCAN RL EST INV TR ONT		*CUSIP Number*	*766910103*					
16.0000	Sale	01/14/13	08/16/13	366.71	443.36	0.00	(76.65)	
14.0000	Sale	01/14/13	09/19/13	341.83	387.94	0.00	(46.11)	
26.0000	Sale	02/25/13	09/19/13	634.84	703.04	0.00	(68.20)	
	Security Subtotal			***1,343.38***	***1,534.34***	***0.00***	***(190.96)***	
SINGAPORE TELECOMM LT AD		*CUSIP Number*	*82929R304*					
32.0000	Sale	01/14/13	07/23/13	989.99	900.80	0.00	89.19	
22.0000	Sale	01/14/13	09/19/13	671.95	619.30	0.00	52.65	
	Security Subtotal			***1,661.94***	***1,520.10***	***0.00***	***141.84***	
TAIWAN S MANUFCTRING ADR		*CUSIP Number*	*874039100*					
14.0000	Sale	01/14/13	02/01/13	252.98	252.98	0.00	0.00	
22.0000	Sale	01/14/13	04/03/13	376.06	397.54	0.00	(21.48)	
10.0000	Sale	01/14/13	08/16/13	160.66	180.70	0.00	(20.04)	
	Security Subtotal			***789.70***	***831.22***	***0.00***	***(41.52)***	
UNILEVER NV NY REG SHS		*CUSIP Number*	*904784709*					
27.0000	Sale	01/14/13	11/06/13	1,055.51	1,033.83	0.00	21.68	
UNTD OVERSEAS BK SPN ADR		*CUSIP Number*	*911271302*					
26.0000	Sale	01/14/13	09/19/13	890.23	799.50	0.00	90.73	
VODAFONE GROP PLC SP ADR		*CUSIP Number*	*92857W209*					
16.0000	Sale	01/14/13	07/23/13	477.49	420.32	0.00	57.17	
ZURICH INSURANCE GROUP AG SHS SPON ADR		*CUSIP Number*	*989825104*					
24.0000	Sale	01/14/13	09/19/13	623.01	618.43	0.00	4.58	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TELEKOMUNIKASI TBK PT 250 IDR PAR ORDINARY		*CUSIP Number*	*Y71474137*					
696.0000	Sale	01/14/13	03/13/13	779.59	668.16	0.00	111.43	
138.0000	Sale	01/14/13	08/19/13	137.70	132.48	0.00	5.22	
	Security Subtotal			***917.29***	***800.64***	***0.00***	***116.65***	
RECKITT BENCKISER GROUP GBP PAR ORDINARY		*CUSIP Number*	*G74079107*					
9.0000	Sale	01/14/13	04/03/13	649.93	576.90	0.00	73 03	
11.0000	Sale	01/14/13	07/23/13	790.42	705.10	0.00	85.32	
	Security Subtotal			***1,440.35***	***1,282.00***	***0.00***	***158.35***	
ROY DUT SHELL PLC B LON .07GBP PAR ORDINARY		*CUSIP Number*	*G7690A118*					
21.0000	Sale	01/15/13	08/16/13	698.33	750.82	0.00	(52.49)	
SMITHS GROUP PLC, LONDON GBP PAR ORDINARY		*CUSIP Number*	*G82401111*					
25.0000	Sale	01/15/13	04/03/13	476.58	489.68	0.00	(13.10)	
NESTLE SA CHAM UND VEVE		*CUSIP Number*	*H57312649*					
12.0000	Sale	01/15/13	08/16/13	804.73	790.83	0.00	13.90	
OSRAM LICHT AG EUR PAR ORDINARY		*CUSIP Number*	*D5963B113*					
1.0000	Sale	01/15/13	11/06/13	51.29	38.96	0.00	12.33	
1.0000	Sale	02/26/13	11/06/13	51 29	36.29	0.00	15.00	
2.0000	Sale	05/31/13	11/06/13	102.59	75.18	0.00	27.41	
	Security Subtotal			***205.17***	***150.43***	***0.00***	***54.74***	
BHP BILLITON LIMITED 1.AUD PAR ORDINARY		*CUSIP Number*	*Q1498M100*					
10.0000	Sale	01/14/13	04/03/13	336.69	386.00	0.00	(49.31)	
BOC HONG KONG (HLDGS) 5.HKD PAR ORDINARY		*CUSIP Number*	*Y0920U103*					
166.0000	Sale	01/15/13	08/19/13	530.47	553.84	0.00	(23.37)	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
INDUSTRIAS PENOLES SA DE MXN PAR ORDINARY		*CUSIP Number*	*P55409141*					
16.0000	Sale	01/14/13	09/03/13	489.42	821.92	0.00	(332.50)	
19.0000	Sale	02/25/13	09/03/13	581.20	876.47	0.00	(295.27)	
8.0000	Sale	05/30/13	09/03/13	244.72	292.44	0.00	(47.72)	
21.0000	Sale	05/30/13	11/06/13	593.04	767.64	0.00	(174.60)	
7.0000	Sale	05/30/13	11/07/13	195.25	255.88	0.00	(60 63)	
	Security Subtotal			***2,103.63***	***3,014.35***	***0.00***	***(910.72)***	
STATOIL ASA 2.5NOK PAR ORDINARY		*CUSIP Number*	*R8413J103*					
18.0000	Sale	01/14/13	04/03/13	437.93	466.74	0.00	(28.81)	
MTN GROUP LTD ZAR PAR ORDINARY		*CUSIP Number*	*S8039R108*					
67.0000	Sale	01/14/13	08/16/13	1,293.39	1,369.48	0.00	(76.09)	
14.0000	Sale	01/14/13	11/07/13	272.57	286.16	13.59 (W)	0.00	
19.0000	Sale	02/25/13	11/07/13	369.92	386.65	16.73 (W)	0.00	
5.0000	Sale	02/25/13	11/07/13	97.35	101.75	0.00	(4.40)	
	Security Subtotal			***2,033.23***	***2,144.04***	***30.32***	***(80.49)***	
ISRAEL CHEMICALS LTD 1.ILS PAR ORDINARY		*CUSIP Number*	*M5920A109*					
86.0000	Sale	01/14/13	07/17/13	850.24	1,103.38	0.00	(253.14)	
84 0000	Sale	02/25/13	07/17/13	830.48	1,099.56	0.00	(269.08)	
13.0000	Sale	05/30/13	07/17/13	128.53	145.99	0.00	(17.46)	
125.0000	Sale	05/30/13	11/08/13	1,069.18	1,403.75	0.00	(334.57)	
	Security Subtotal			***2,878.43***	***3,752.68***	***0.00***	***(874.25)***	
SANOFI 2.EUR PAR ORDINARY		*CUSIP Number*	*F5548N101*					
7.0000	Sale	01/15/13	04/03/13	722.05	677.44	0.00	44.61	
6.0000	Sale	01/15/13	08/16/13	617.63	580.67	0.00	36.96	
	Security Subtotal			***1,339.68***	***1,258.11***	***0.00***	***81.57***	
ROCHE HOLDINGS GENUSH FN CHF PAR ORDINARY		*CUSIP Number*	*H69293217*					
7.0000	Sale	01/15/13	08/16/13	1,781.38	1,475.31	0.00	306.07	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TESCO PLC 5P	*FN*	*CUSIP Number*	*G87621101*					
GBP PAR ORDINARY								
96.0000	Sale	01/14/13	08/16/13	550.56	549 12	0.00	1.44	
60.0000	Sale	02/25/13	08/16/13	344.10	340.20	0.00	3.90	
39.0000	Sale	02/25/13	11/06/13	227.48	221.13	0.00	6.35	
39.0000	Sale	05/30/13	11/06/13	227.48	220.35	0.00	7.13	
	Security Subtotal			***1,349.62***	***1,330.80***	***0.00***	***18.82***	
MUNICH RE-INS REGD		*CUSIP Number*	*D55535104*					
10.EUR PAR ORDINARY								
3.0000	Sale	01/14/13	08/16/13	569.08	550.05	0.00	19.03	
Covered Short Term Capital Gains and Losses Subtotal				**36,252.49**	**37,794.50**	**38.29**	**(1,503.72)**	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DEUTSCHE POST AG		*CUSIP Number*	*D19225107*					
EUR PAR ORDINARY								
145.0000	Prin Payment	05/30/13	05/30/13	132.22	132.22	0 00	0.00	
Noncovered Short Term Capital Gains and Losses Subtotal				**132.22**	**132.22**	**0.00**	**0.00**	
NET SHORT TERM CAPITAL GAINS AND LOSSES				**36,384.71**	**37,926.72**	**38.29**	**(1,503.72)**	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				**36,384.71**				
TOTAL REPORTED SALES PROCEEDS				**36,384.71**				

(W) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code.

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

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The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ABBOTT LABS		*CUSIP Number*	*002824100*					
38.0000	Sale	01/07/13	01/15/13	1,259.36	1,264.13	0.00	(4.77)	
ABBVIE INC SHS		*CUSIP Number*	*00287Y109*					
23.0000	Sale	01/07/13	04/04/13	955.05	794.33	0.00	160.72	
15.0000	Sale	01/07/13	06/17/13	645.06	518.04	0.00	127.02	
24.0000	Sale	01/15/13	06/17/13	1,032.11	826.73	0.00	205.38	
	Security Subtotal			***2,632.22***	***2,139.10***	***0.00***	***493.12***	
BLACKROCK INC		*CUSIP Number*	*09247X101*					
4.0000	Sale	01/07/13	04/04/13	996.44	869.16	0.00	127.28	
3.0000	Sale	01/07/13	10/30/13	923.78	651.87	0.00	271.91	
	Security Subtotal			***1,920.22***	***1,521.03***	***0.00***	***399.19***	
EQT CORP		*CUSIP Number*	*26884L109*					
8.0000	Sale	01/07/13	01/22/13	482.55	484.81	0.00	(2 26)	
29.0000	Sale	01/07/13	01/23/13	1,727.05	1,757.45	0.00	(30.40)	
3.0000	Sale	01/07/13	01/24/13	184.00	181.80	0.00	2.20	
	Security Subtotal			***2,393.60***	***2,424.06***	***0.00***	***(30.46)***	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EATON CORP PLC		*CUSIP Number*	*G29183103*					
13.0000	Sale	01/07/13	10/30/13	931.85	720.06	0.00	211.79	
EMERSON ELEC CO		*CUSIP Number*	*291011104*					
11.0000	Sale	01/07/13	04/19/13	587.53	603.46	0.00	(15.93)	
24.0000	Sale	01/07/13	04/22/13	1,279.42	1,316.64	0.00	(37.22)	
34.0000	Sale	02/25/13	04/22/13	1,812.52	1,937.97	0.00	(125.45)	
	Security Subtotal			***3,679.47***	***3,858.07***	***0.00***	***(178.60)***	
FIDELITY NATL INFO SVCS INC		*CUSIP Number*	*31620M106*					
19.0000	Sale	01/07/13	06/17/13	834.72	678.27	0.00	156.45	
INTL BUSINESS MACHINES CORP IBM		*CUSIP Number*	*459200101*					
4.0000	Sale	01/07/13	08/08/13	753.01	773.68	0.00	(20.67)	
8.0000	Sale	01/07/13	09/06/13	1,471.20	1,547.37	0.00	(76.17)	
12.0000	Sale	02/25/13	09/06/13	2,206.81	2,404.45	0.00	(197.64)	
11.0000	Sale	05/30/13	09/06/13	2,022.92	2,317.27	0.00	(294.35)	
	Security Subtotal			***6,453.94***	***7,042.77***	***0.00***	***(588.83)***	
LORILLARD INC		*CUSIP Number*	*544147101*					
60.0000	Sale	01/07/13	09/19/13	2,711 09	2,314.46	0.00	396.63	
2.0000	Sale	02/25/13	09/19/13	90.37	82.83	0.00	7.54	
51 0000	Sale	02/25/13	09/20/13	2,302.13	2,112.07	0.00	190.06	
4.0000	Sale	03/05/13	09/20/13	180.55	154.01	0.00	26.54	
11.0000	Sale	03/06/13	09/20/13	496.54	422.08	0.00	74.46	
5.0000	Sale	03/07/13	09/20/13	225.70	191.92	0.00	33.78	
33.0000	Sale	05/30/13	09/20/13	1,489.62	1,416.94	0.00	72.68	
26.0000	Sale	05/30/13	09/23/13	1,161.60	1,116.37	0.00	45.23	
	Security Subtotal			***8,657.60***	***7,810.68***	***0.00***	***846.92***	
LOWE'S COMPANIES INC		*CUSIP Number*	*548661107*					
30.0000	Sale	01/07/13	08/08/13	1,372.49	1,046.55	0.00	325.94	
32.0000	Sale	01/07/13	10/30/13	1,608.64	1,116.31	0.00	492.33	
	Security Subtotal			***2,981.13***	***2,162.86***	***0.00***	***818.27***	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MALLINCKRODT PLC SHS		*CUSIP Number*	*G5785G107*					
1.0000	Sale	03/05/13	07/08/13	43.01	45 20	0 00	(2.19)	
4.0000	Sale	03/06/13	07/08/13	172.05	182.64	0.00	(10.59)	
2.0000	Sale	03/07/13	07/08/13	86.03	91.04	0.00	(5 01)	
3.0000	Sale	05/30/13	07/08/13	129.05	136.98	0.00	(7.93)	
	Cash/Lieu	05/30/13	07/15/13	25.87	28.51	0.00	(2.64)	
	Security Subtotal			***456.01***	***484.37***	***0.00***	***(28.36)***	
MC CORMICK NON VTG		*CUSIP Number*	*579780206*					
13.0000	Sale	01/07/13	08/23/13	910.60	846.82	0.00	63.78	
MICROCHIP TECHNOLOGY INC		*CUSIP Number*	*595017104*					
9.0000	Sale	02/25/13	05/06/13	338.18	330.66	0.00	7.52	
61.0000	Sale	01/07/13	05/06/13	2,292.05	2,006.59	0.00	285.46	
50.0000	Sale	02/25/13	05/07/13	1,856.19	1,836.99	0.00	19.20	
	Security Subtotal			***4,486.42***	***4,174.24***	***0.00***	***312.18***	
NEW YORK CMNTY BANCORP		*CUSIP Number*	*649445103*					
48.0000	Sale	01/07/13	03/05/13	646.32	636.00	0.00	10.32	
110.0000	Sale	01/07/13	03/06/13	1,469.64	1,457.50	0.00	12 14	
100.0000	Sale	02/25/13	03/06/13	1,336 05	1,352.69	0.00	(16.64)	
52.0000	Sale	02/25/13	03/07/13	698.81	703.40	0.00	(4.59)	
	Security Subtotal			***4,150.82***	***4,149.59***	***0.00***	***1.23***	
NEXTERA ENERGY INC SHS		*CUSIP Number*	*65339F101*					
31.0000	Sale	01/07/13	09/06/13	2,485.37	2,188.55	0.00	296.82	
ONEOK INC (OKLAHOMA)		*CUSIP Number*	*682680103*					
13.0000	Sale	01/07/13	11/20/13	743.05	577.30	0.00	165.75	
PACCAR INC		*CUSIP Number*	*693718108*					
40.0000	Sale	01/07/13	04/04/13	1,931.09	1,853.20	0.00	77.89	
38.0000	Sale	02/25/13	04/04/13	1,834.54	1,794 36	0.00	40.18	
	Security Subtotal			***3,765.63***	***3,647.56***	***0.00***	***118.07***	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ROYAL DUTCH SHELL PLC SPONS ADR A		*CUSIP Number*	*780259206*					
30.0000	Sale	01/07/13	06/17/13	1,975.55	2,072.03	96.48 (W)	0.00	
3.0000	Sale	01/07/13	06/17/13	197.56	207.20	0.00	(9.64)	
32.0000	Sale	02/25/13	06/17/13	2,107.26	2,096.73	0.00	10.53	
30.0000	Sale	01/07/13	06/18/13	1,979.93	2,126.58	0.00 (Y)	(146.65)	
	Security Subtotal			***6,260.30***	***6,502.54***	***96.48***	***(145.76)***	
TIME WARNER CABLE INC SHS		*CUSIP Number*	*88732J207*					
12.0000	Sale	01/07/13	11/20/13	1,452.15	1,166.74	0.00	285.41	
V F CORPORATION		*CUSIP Number*	*918204108*					
8.0000	Sale	01/07/13	08/08/13	1,595.27	1,194.86	0.00	400.41	
WHIRLPOOL CORP		*CUSIP Number*	*963320106*					
1.0000	Sale	04/19/13	10/30/13	146.61	117.25	0.00	29.36	
7.0000	Sale	04/22/13	10/30/13	1,026.29	817.36	0.00	208.93	
	Security Subtotal			***1,172.90***	***934.61***	***0.00***	***238.29***	
YUM BRANDS INC		*CUSIP Number*	*988498101*					
23.0000	Sale	01/07/13	11/20/13	1,666.26	1,558.04	0.00	108.22	
14.0000	Sale	01/07/13	12/06/13	1,039.60	948.38	0.00	91.22	
35.0000	Sale	02/25/13	12/06/13	2,599.02	2,299.36	0.00	299.66	
34.0000	Sale	05/30/13	12/06/13	2,524.77	2,344.98	0.00	179.79	
12.0000	Sale	10/10/13	12/06/13	891.10	792.57	0.00	98.53	
	Security Subtotal			***8,720.75***	***7,943.33***	***0.00***	***777.42***	
Covered Short Term Capital Gains and Losses Subtotal				**67,943.38**	**63,431.54**	**96.48**	**4,608.32**	
NET SHORT TERM CAPITAL GAINS AND LOSSES				**67,943.38**	**63,431.54**	**96.48**	**4,608.32**	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				**67,943.38**				
TOTAL REPORTED SALES PROCEEDS				**67,943.38**				

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The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ABBOTT LABS		*CUSIP Number*	*002824100*					
152.0000	Sale	01/04/13	02/04/13	5,092.91	5,055.08	0.00	37.83	
AIR PRODUCTS&CHEM		*CUSIP Number*	*009158106*					
12.0000	Sale	05/02/13	06/04/13	1,144.46	1,069.63	0.00	74.83	
117.0000	Sale	05/02/13	07/02/13	10,818.81	10,428.93	0.00	389.88	
53.0000	Sale	05/29/13	07/02/13	4,900.84	4,995.32	0.00	(94.48)	
	Security Subtotal			***16,864.11***	***16,493.88***	***0.00***	***370.23***	
AUTOMATIC DATA PROC		*CUSIP Number*	*053015103*					
20.0000	Sale	01/04/13	03/04/13	1,226.88	1,173.67	0.00	53.21	
12.0000	Sale	01/04/13	06/04/13	829.67	704.20	0.00	125.47	
6.0000	Sale	01/04/13	08/02/13	425.44	352.10	0.00	73.34	
23.0000	Sale	01/04/13	09/04/13	1,638.74	1,349.72	0.00	289.02	
	Security Subtotal			***4,120.73***	***3,579.69***	***0.00***	***541.04***	
BAXTER INTERNTL INC		*CUSIP Number*	*071813109*					
20.0000	Sale	01/04/13	03/04/13	1,372.29	1,359.00	0.00	13.29	
8.0000	Sale	01/04/13	06/04/13	559.33	543.60	0.00	15.73	
46.0000	Sale	01/04/13	08/02/13	3,255.45	3,125.70	0.00	129.75	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BAXTER INTERNTL INC		CUSIP Number	071813109					
10.0000	Sale	02/04/13	08/02/13	707.70	687.25	0.00	20.45	
87.0000	Sale	02/26/13	08/02/13	6,157.05	5,831.78	0.00	325.27	
12.0000	Sale	05/02/13	08/02/13	849.24	847.08	0.00	2.16	
77.0000	Sale	05/29/13	08/02/13	5,449.35	5,515.49	0.00	(66 14)	
11.0000	Sale	07/02/13	08/02/13	778.48	771.94	0.00	6.54	
	Security Subtotal			***19,128.89***	***18,681.84***	***0.00***	***447.05***	
CSX CORP		CUSIP Number	126408103					
39.0000	Sale	08/02/13	09/04/13	980.26	1,013.23	0.00	(32.97)	
CHEVRON CORP		CUSIP Number	166764100					
10.0000	Sale	01/04/13	03/04/13	1,166.37	1,100.60	0.00	65.77	
35.0000	Sale	01/04/13	06/04/13	4,315.41	3,852.10	0.00	463.31	
5.0000	Sale	02/04/13	06/04/13	616.48	575.61	0.00	40.87	
49.0000	Sale	02/26/13	06/04/13	6,041.59	5,624.08	0.00	417.51	
5.0000	Sale	05/02/13	06/04/13	616.48	610.63	0.00	5.85	
42.0000	Sale	05/29/13	06/04/13	5,178.52	5,301.66	0.00	(123.14)	
	Security Subtotal			***17,934.85***	***17,064.68***	***0.00***	***870.17***	
COCA COLA COM		CUSIP Number	191216100					
34.0000	Sale	01/04/13	03/04/13	1,310.18	1,280.78	0.00	29.40	
100.0000	Sale	01/04/13	05/02/13	4,191.51	3,766.99	0.00	424.52	
21.0000	Sale	02/04/13	05/02/13	880.21	786.87	0.00	93.34	
147.0000	Sale	02/26/13	05/02/13	6,161 54	5,567.77	0 00	593.77	
382.0000	Sale	06/04/13	08/02/13	15,260.98	15,842.42	0.00	(581.44)	
38.0000	Sale	07/02/13	08/02/13	1,518.11	1,539.32	0.00	(21.21)	
	Security Subtotal			***29,322.53***	***28,784.15***	***0.00***	***538.38***	
DU PONT E I DE NEMOURS		CUSIP Number	263534109					
9.0000	Sale	08/02/13	09/04/13	509.25	539.60	0.00	(30 35)	
270.0000	Sale	08/02/13	11/04/13	16,224.85	16,187.90	0.00	36.95	
21.0000	Sale	10/10/13	11/04/13	1,261.94	1,212.80	0.00	49.14	
	Security Subtotal			***17,996.04***	***17,940.30***	***0.00***	***55.74***	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EXXON MOBIL CORP COM		*CUSIP Number*	*30231G102*					
13.0000	Sale	01/04/13	03/04/13	1,157.13	1,152.06	0.00	5 07	
9.0000	Sale	01/04/13	06/04/13	818.23	797.58	0.00	20.65	
5.0000	Sale	01/04/13	08/02/13	459.44	443.10	0.00	16.34	
8.0000	Sale	01/04/13	09/04/13	696.89	708.96	0.00	(12.07)	
	Security Subtotal			***3,131.69***	***3,101.70***	***0.00***	***29.99***	
EATON CORP PLC		*CUSIP Number*	*G29183103*					
89.0000	Sale	01/04/13	02/04/13	5,051.73	5,026.84	0.00	24.89	
EMERSON ELEC CO		*CUSIP Number*	*291011104*					
18.0000	Sale	01/04/13	03/04/13	996.17	988.66	0.00	7.51	
16.0000	Sale	01/04/13	06/04/13	914.11	878.81	0.00	35.30	
36.0000	Sale	01/04/13	08/02/13	2,233.67	1,977.32	0.00	256.35	
20.0000	Sale	01/04/13	09/04/13	1,225.06	1,098.52	0.00	126.54	
	Security Subtotal			***5,369.01***	***4,943.31***	***0.00***	***425.70***	
GENL DYNAMICS CORP COM		*CUSIP Number*	*369550108*					
70.0000	Sale	01/04/13	02/04/13	4,553.12	4,991.28	0.00	(438.16)	
GENUINE PARTS CO		*CUSIP Number*	*372460105*					
8.0000	Sale	07/02/13	08/02/13	668.43	660.67	0.00	7.76	
2.0000	Sale	07/02/13	09/04/13	153.73	165.17	0.00	(11.44)	
	Security Subtotal			***822.16***	***825.84***	***0.00***	***(3.68)***	
HONEYWELL INTL INC DEL		*CUSIP Number*	*438516106*					
16.0000	Sale	01/04/13	03/04/13	1,107 43	1,052.55	0.00	54.88	
8 0000	Sale	01/04/13	06/04/13	622.16	526.27	0.00	95.89	
52.0000	Sale	01/04/13	07/02/13	4,112.14	3,420 79	0.00	691.35	
8.0000	Sale	02/04/13	07/02/13	632.63	550.38	0 00	82 25	
82.0000	Sale	02/26/13	07/02/13	6,484.53	5,657.53	0 00	827.00	
5.0000	Sale	05/02/13	07/02/13	395.39	370.44	0 00	24.95	
62.0000	Sale	05/29/13	07/02/13	4,902.95	4,906.82	0.00	(3.87)	
	Security Subtotal			***18,257.23***	***16,484.78***	***0.00***	***1,772.45***	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JOHNSON AND JOHNSON COM		*CUSIP Number*	*478160104*					
7.0000	Sale	03/04/13	06/04/13	589.98	539.56	0.00	50.42	
17.0000	Sale	03/04/13	08/02/13	1,594.25	1,310.35	0.00	283.90	
3.0000	Sale	03/04/13	09/04/13	260.29	231.24	0.00	29.05	
	Security Subtotal			***2,444.52***	***2,081.15***	***0.00***	***363.37***	
LINEAR TECHNOLOGY CORP		*CUSIP Number*	*535678106*					
31.0000	Sale	01/04/13	03/04/13	1,172.00	1,098.80	0.00	73 20	
35.0000	Sale	01/04/13	06/04/13	1,321.44	1,240 57	0.00	80.87	
41.0000	Sale	01/04/13	08/02/13	1,650.59	1,453.24	0.00	197.35	
22.0000	Sale	01/04/13	09/04/13	853.21	779 79	0.00	73.42	
	Security Subtotal			***4,997.24***	***4,572.40***	***0.00***	***424.84***	
MCDONALDS CORP COM		*CUSIP Number*	*580135101*					
10.0000	Sale	01/04/13	03/04/13	951.78	898.20	0.00	53.58	
6.0000	Sale	01/04/13	06/04/13	590.86	538.92	0.00	51.94	
8.0000	Sale	01/04/13	09/04/13	757.33	718.56	0.00	38.77	
	Security Subtotal			***2,299.97***	***2,155.68***	***0.00***	***144.29***	
MEDTRONIC INC COM		*CUSIP Number*	*585055106*					
32.0000	Sale	01/04/13	03/04/13	1,442.74	1,361.12	0.00	81.62	
18.0000	Sale	01/04/13	06/04/13	929.28	765.63	0.00	163.65	
69.0000	Sale	01/04/13	08/02/13	3,763.24	2,934.92	0.00	828.32	
6.0000	Sale	02/04/13	08/02/13	327.23	279.24	0.00	47.99	
136.0000	Sale	02/26/13	08/02/13	7,417.42	5,956.79	0.00	1,460.63	
14.0000	Sale	05/02/13	08/02/13	763.56	663.86	0.00	99.70	
89.0000	Sale	05/29/13	08/02/13	4,854.06	4,607.73	0.00	246.33	
14.0000	Sale	07/02/13	08/02/13	763.56	728.50	0.00	35.06	
274.0000	Sale	09/04/13	11/04/13	15,597.37	14,592.25	0.00	1,005.12	
38.0000	Sale	10/10/13	11/04/13	2,163.14	2,041.23	0.00	121.91	
	Security Subtotal			***38,021.60***	***33,931.27***	***0.00***	***4,090.33***	
MICROSOFT CORP		*CUSIP Number*	*594918104*					
48.0000	Sale	01/04/13	03/04/13	1,333.72	1,292.88	0.00	40.84	
21.0000	Sale	01/04/13	05/02/13	693.92	565.64	0.00	128.28	
29.0000	Sale	01/04/13	06/04/13	1,014 40	781.11	0.00	233.29	
44 0000	Sale	01/04/13	09/04/13	1,379.39	1,185 14	0 00	194.25	
45.0000	Sale	01/04/13	12/03/13	1,723.23	1,212.08	0.00	511.15	
22.0000	Sale	02/04/13	12/03/13	842.47	611.54	0.00	230.93	
209.0000	Sale	02/26/13	12/03/13	8,003.47	5,727.87	0.00	2,275 60	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MICROSOFT CORP		*CUSIP Number*	*594918104*					
143.0000	Sale	05/29/13	12/03/13	5,476.06	5,007.16	0.00	468.90	
33.0000	Sale	07/02/13	12/03/13	1,263.70	1,134.07	0.00	129.63	
34.0000	Sale	08/02/13	12/03/13	1,302.00	1,076.40	0.00	225.60	
15.0000	Sale	10/10/13	12/03/13	574.41	501.56	0.00	72.85	
25.0000	Sale	11/04/13	12/03/13	957.37	891.00	0.00	66.37	
	Security Subtotal			***24,564.14***	***19,986.45***	***0.00***	***4,577.69***	
NORTHROP GRUMMAN CORP		*CUSIP Number*	*666807102*					
12.0000	Sale	08/02/13	09/04/13	1,122.58	1,145.94	0.00	(23.36)	
3.0000	Sale	08/02/13	11/04/13	326.44	286.49	0.00	39.95	
	Security Subtotal			***1,449.02***	***1,432.43***	***0.00***	***16.59***	
PAYCHEX INC		*CUSIP Number*	*704326107*					
38.0000	Sale	01/04/13	03/04/13	1,260.25	1,214.86	0.00	45.39	
19.0000	Sale	01/04/13	06/04/13	706.12	607.43	0.00	98.69	
45.0000	Sale	01/04/13	08/02/13	1,815.53	1,438.65	0.00	376.88	
23.0000	Sale	01/04/13	09/04/13	887.32	735.31	0.00	152.01	
	Security Subtotal			***4,669.22***	***3,996.25***	***0.00***	***672.97***	
PROCTER & GAMBLE CO		*CUSIP Number*	*742718109*					
14.0000	Sale	02/04/13	03/04/13	1,065.68	1,052.72	0.00	12.96	
7.0000	Sale	02/04/13	06/04/13	541.75	526.36	0.00	15.39	
11.0000	Sale	02/04/13	08/02/13	892.67	827.14	0.00	65.53	
10.0000	Sale	02/04/13	09/04/13	772.19	751.94	0.00	20.25	
	Security Subtotal			***3,272.29***	***3,158.16***	***0.00***	***114.13***	
QUEST DIAGNOSTICS INC		*CUSIP Number*	*74834L100*					
303.0000	Sale	11/04/13	12/31/13	16,306.97	18,747.94	0.00	(2,440.97)	
75.0000	Sale	12/03/13	12/31/13	4,036.38	4,608.05	0.00	(571.67)	
	Security Subtotal			***20,343.35***	***23,355.99***	***0.00***	***(3,012.64)***	
RAYTHEON CO DELAWARE NEW		*CUSIP Number*	*755111507*					
22.0000	Sale	08/02/13	09/04/13	1,671.92	1,654.32	0.00	17.60	
TARGET CORP	*COM*	*CUSIP Number*	*87612E106*					
152.0000	Sale	03/04/13	05/02/13	10,516.81	10,045.70	0.00	471.11	
10.0000	Sale	06/04/13	08/02/13	714.43	714.96	0.00	(0.53)	
211.0000	Sale	06/04/13	12/03/13	13,269.79	15,085.66	0.00	(1,815.87)	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TARGET CORP	*COM*	*CUSIP Number*	*87612E106*					
24.0000	Sale	07/02/13	12/03/13	1,509.35	1,670.19	0.00	(160.84)	
3.0000	Sale	09/04/13	12/03/13	188.67	190.80	0.00	(2.13)	
28.0000	Sale	10/10/13	12/03/13	1,760.92	1,769.79	0.00	(8.87)	
22.0000	Sale	11/04/13	12/03/13	1,383.59	1,430.91	0.00	(47.32)	
	Security Subtotal			***29,343.56***	***30,908.01***	***0.00***	***(1,564.45)***	
3M COMPANY		*CUSIP Number*	*88579Y101*					
11.0000	Sale	01/04/13	03/04/13	1,132.37	1,044.45	0.00	87.92	
7.0000	Sale	01/04/13	06/04/13	770.23	664.65	0.00	105.58	
12.0000	Sale	01/04/13	08/02/13	1,415 15	1,139.40	0.00	275.75	
8.0000	Sale	01/04/13	09/04/13	909.71	759.60	0.00	150.11	
15.0000	Sale	01/04/13	12/03/13	1,901.88	1,424.25	0.00	477.63	
4.0000	Sale	02/04/13	12/03/13	507.16	404.20	0.00	102.96	
55.0000	Sale	02/26/13	12/03/13	6,973.57	5,624.29	0.00	1,349.28	
7.0000	Sale	05/02/13	12/03/13	887.54	743.73	0.00	143.81	
47.0000	Sale	05/29/13	12/03/13	5,959.24	5,213.91	0.00	745.33	
7.0000	Sale	07/02/13	12/03/13	887.54	767.17	0.00	120.37	
6.0000	Sale	10/10/13	12/03/13	760.75	712.19	0.00	48.56	
7.0000	Sale	11/04/13	12/03/13	887.56	883.19	0.00	4.37	
	Security Subtotal			***22,992.70***	***19,381.03***	***0.00***	***3,611.67***	
TIFFANY & CO NEW		*CUSIP Number*	*886547108*					
82.0000	Sale	01/04/13	02/04/13	5,135.34	5,006.10	0.00	129.24	
UNITED TECHS CORP	*COM*	*CUSIP Number*	*913017109*					
13.0000	Sale	02/04/13	03/04/13	1,154.83	1,168.67	13.84 (W)	0.00	
8.0000	Sale	02/04/13	06/04/13	753.38	719.18	0.00	34.20	
23.0000	Sale	02/04/13	08/02/13	2,465.19	2,067.66	0.00	397.53	
8.0000	Sale	02/04/13	09/04/13	818.96	719.18	0.00	99.78	
	Security Subtotal			***5,192.36***	***4,674.69***	***13.84***	***531.51***	
WAL-MART STORES INC		*CUSIP Number*	*931142103*					
17.0000	Sale	01/04/13	03/04/13	1,221.82	1,165.11	0.00	56.71	
11.0000	Sale	01/04/13	06/04/13	836.26	753.89	0.00	82.37	
13.0000	Sale	01/04/13	08/02/13	1,017.73	890.97	0.00	126.76	
5.0000	Sale	01/04/13	09/04/13	363.67	342.68	0.00	20.99	
	Security Subtotal			***3,439.48***	***3,152.65***	***0.00***	***286.83***	

THE PER AND ASTRID HEIDENREICH

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Covered Short Term Capital Gains and Losses Subtotal				318,461.97	303,433.18	13.84	15,042.63	
NET SHORT TERM CAPITAL GAINS AND LOSSES				318,461.97	303,433.18	13.84	15,042.63	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				318,461.97				
TOTAL REPORTED SALES PROCEEDS				318,461.97				

(W) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code.

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
15,042.63	0.00	0.00	0.00

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Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time In the case of bonds purchased at a premium, cost basis information may be amortized In addition, cost basis information may reflect accretion for zero coupon and OID bonds Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
66 185	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☐	03/01/13	03/21/13	654 51	654.57	-0 06
25 369	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☐	05/01/13	05/23/13	251 37	251 15	0 22
62.489	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☐	02/01/13	05/23/13	619.19	617 39	1.80
157.403	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☐	08/01/12	05/23/13	1,559 67	1,548 85	10 82
168.113	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☐	06/01/12	05/23/13	1,665 79	1,649 19	16.60
176 476	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☐	07/02/12	05/23/13	1,748 66	1,731.23	17 43
53.101	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 570 30 WASH SALE REPLACEMENT ADDITION 1 10 WASH SALE LOSS DISALLOWED 0 00 SYMBOL VFIRX, CUSIP 922031851	☐	01/02/13	01/17/13	569 61	571 40	-1.79
52 079	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 559 32 WASH SALE REPLACEMENT ADDITION 1 08 WASH SALE LOSS DISALLOWED 0 00 SYMBOL VFIRX, CUSIP 922031851	☐	12/31/12	01/17/13	558 65	560.41	-1 76
12.811	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 137 58	☐	01/02/13	01/17/13	137 42	137 60	-0 17

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 0 01 WASH SALE LOSS DISALLOWED 0 00 SYMBOL VFIRX, CUSIP 922031851						
18 498	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL SYMBOL VFIRX, CUSIP 922031851	☐	05/01/13	05/23/13	198.47	198.85	-0 38
19 817	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL SYMBOL VFIRX, CUSIP 922031851	☐	04/02/13	05/23/13	212 62	212 83	-0 21
3,211 169	VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL CL SYMBOL VAIPX, CUSIP 922031737	☐	12/26/12	10/02/13	84,442 40	92,035 00	-7,592 60
3,267 045	VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL CL SYMBOL VAIPX, CUSIP 922031737	☐	02/20/13	10/02/13	85,911.74	92,035 00	-6,123 26
3,316.510	VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL CL SYMBOL VAIPX, CUSIP 922031737	☐	05/24/13	10/02/13	87,212 50	92,035 00	-4,822.50
18 959	VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL CL SYMBOL VAIPX, CUSIP 922031737	☐	03/28/13	10/02/13	498.55	537.69	-39 14
3 198	VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL CL SYMBOL VAIPX, CUSIP 922031737	☐	03/28/13	10/02/13	84 10	90.69	-6.59
1 371	VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL CL SYMBOL VAIPX, CUSIP 922031737	☐	03/28/13	10/02/13	36 05	38 87	-2.82
16 870	VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL CL SYMBOL VAIPX, CUSIP 922031737	☐	06/28/13	10/02/13	443 62	441.82	1.80
70 465	VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL CL SYMBOL VAIPX, CUSIP 922031737	☐	09/27/13	10/02/13	1,852 98	1,849 00	3 98
	Short-Term Subtotal				**268,657.90**	**287,196.53**	**-18,538.63**

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
133 410	PIMCO SHORT TERM FUND INSTL CL ORIGINAL COST 1,318 09	☐	01/02/13	03/21/13	1,319.31	1,319 43	-0.12

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 1 41 WASH SALE LOSS DISALLOWED 0 07 SYMBOL PTSHX, CUSIP 693390601						
40,245 299	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☑	02/18/11	03/21/13	397,991.18	397,630.51	360.67
50 114	PIMCO SHORT TERM FUND INSTL CL ORIGINAL COST 495 63 WASH SALE REPLACEMENT ADDITION 0 07 WASH SALE LOSS DISALLOWED 0 00 SYMBOL PTSHX, CUSIP 693390601	☐	04/01/13	05/23/13	496 57	495.70	0 87
52 894	PIMCO SHORT TERM FUND INSTL CL ORIGINAL COST 522 06 WASH SALE REPLACEMENT ADDITION 0 24 WASH SALE LOSS DISALLOWED 0 00 SYMBOL PTSHX, CUSIP 693390601	☐	09/04/12	05/23/13	524.11	522.31	1 81
136 984	PIMCO SHORT TERM FUND INSTL CL ORIGINAL COST 1,352 03 WASH SALE REPLACEMENT ADDITION 0 63 WASH SALE LOSS DISALLOWED 0 00 SYMBOL PTSHX, CUSIP 693390601	☐	09/04/12	05/23/13	1,357 34	1,352 67	4.67
163 472	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☐	05/01/12	05/23/13	1,619 80	1,603.66	16 14
210.837	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☑	09/01/11	05/23/13	2,089 13	2,072.53	16.60
175.754	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☐	04/02/12	05/23/13	1,741 50	1,722.39	19 11
197 234	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☐	03/01/12	05/23/13	1,954 34	1,928 95	25 39
217 388	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☑	11/01/11	05/23/13	2,154 04	2,128 23	25 81
232 195	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☑	10/03/11	05/23/13	2,300 76	2,268 55	32 21
209 558	PIMCO SHORT TERM FUND	☐	02/01/12	05/23/13	2,076.46	2,043 19	33 27

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	INSTL CL SYMBOL PTSHX, CUSIP 693390601						
284.946	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☑	12/01/11	05/23/13	2,823 46	2,781 07	42.39
276.879	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☐	01/03/12	05/23/13	2,743 52	2,680 19	63.33
598.630	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☑	12/08/11	05/23/13	5,931.67	5,794 74	136.93
1,240 139	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☑	12/08/11	05/23/13	12,288 22	12,004.55	283.67
23,270 362	PIMCO SHORT TERM FUND INSTL CL SYMBOL PTSHX, CUSIP 693390601	☑	02/18/11	05/23/13	230,580 10	229,915.20	664 90
18,535 681	PIMCO TOTAL RETURN FUND INSTL CL SYMBOL PTTRX, CUSIP 693390700	☑	01/12/07	08/05/13	199,965 00	191,846 46	8,118.54
48,753 463	PIMCO TOTAL RETURN FUND INSTL CL SYMBOL PTTRX, CUSIP 693390700	☑	01/12/07	10/02/13	527,965 00	504,604 04	23,360.96
112 960	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 1,213 18 WASH SALE REPLACEMENT ADDITION 7 99 WASH SALE LOSS DISALLOWED 0 00 SYMBOL VFIRX, CUSIP 922031851	☐	12/31/12	01/17/13	1,211.72	1,221 18	-9.46
102.836	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 1,104 45 WASH SALE REPLACEMENT ADDITION 5 22 WASH SALE LOSS DISALLOWED 0 00 SYMBOL VFIRX, CUSIP 922031851	☐	12/31/12	01/17/13	1,103 12	1,109 68	-6 56
116 895	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 1,255 45 WASH SALE REPLACEMENT ADDITION 4 76 WASH SALE LOSS DISALLOWED 0 00 SYMBOL VFIRX, CUSIP 922031851	☐	12/31/12	01/17/13	1,253 93	1,260 21	-6 28
113.453	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 1,218 48	☐	12/31/12	01/17/13	1,217 01	1,223 11	-6 10

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 4 63 WASH SALE LOSS DISALLOWED 0 00 SYMBOL VFIRX, CUSIP 922031851						
120.573	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 1,294 95 WASH SALE REPLACEMENT ADDITION 2 50 WASH SALE LOSS DISALLOWED 1 73 SYMBOL VFIRX, CUSIP 922031851	☐	12/31/12	01/17/13	1,293 39	1,295 71	-2.33
26.627	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 285 97 WASH SALE REPLACEMENT ADDITION 1 35 WASH SALE LOSS DISALLOWED 0 00 SYMBOL VFIRX, CUSIP 922031851	☐	12/31/12	01/17/13	285.63	287 32	-1 70
115.146	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 1,236 66 WASH SALE REPLACEMENT ADDITION 0 09 WASH SALE LOSS DISALLOWED 0 00 SYMBOL VFIRX, CUSIP 922031851	☐	12/31/12	01/17/13	1,235.17	1,236.76	-1 59
10,823 100	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL SYMBOL VFIRX, CUSIP 922031851	☑	02/23/11	01/17/13	116,099.34	115,376.26	723.08
51 469	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 552 26 WASH SALE REPLACEMENT ADDITION 1 73 WASH SALE LOSS DISALLOWED 1 62 SYMBOL VFIRX, CUSIP 922031851	☐	02/01/13	02/19/13	551.73	552 37	-0 64
80,638 830	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL SYMBOL VFIRX, CUSIP 922031851	☑	02/23/11	02/19/13	864,413.27	859,624.97	4,788 30
36 837	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL ORIGINAL COST 395 63 WASH SALE REPLACEMENT ADDITION 1 62 WASH SALE LOSS DISALLOWED 0 00 SYMBOL VFIRX, CUSIP 922031851	☐	03/01/13	05/23/13	395 24	397 25	-2 02
125 900	VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL SYMBOL VFIRX, CUSIP 922031851	☑	04/01/11	05/23/13	1,350.82	1,340.84	9.98
51,719 301	VANGUARD FIXED INCOME SECS	☑	02/23/11	05/23/13	554,913 24	551,337 40	3,575.84

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	FD SHORT TERM TREAS FD ADMIRAL SYMBOL VFIRX, CUSIP 922031851						
	Long-Term Subtotal				**2,943,245.12**	**2,900,977.45**	**42,267.67**
Total Gains and Losses					**3,211,903.02**		**23,729.04**
	Short-Term						**-18,538.63**
	Long-Term						**42,267.67**
	Term Unknown						**0.00**

The Per and Astrid Heidenreich Family Foundation		
Tax ID #20-6382658		
Charitable Organization	**Amount**	**Date**
Atlantic Classical Orchestra	$ 500	1/22/2013
Martin Health Foundation	$ 15,000	1/24/2013
Americares	$ 15,000	2/7/2013
Harlem Children Zone	$ 20,000	2/7/2013
United Way (Alexis de Tocqueville Society)	$ 10,000	2/7/2013
Camp Americkids, Stamford	$ 5,000	2/7/2013
House of Hope	$ 1,000	2/25/2013
Loblollypop Foundation Inc	$ 5,000	3/14/2013
Hobe Sound Community Chest	$ 5,000	3/14/2013
Hobe Sound Nature Center	$ 2,000	3/14/2013
Florida Oceanographic Society	$ 500	3/14/2013
Horizons at Greens Farm Academy	$ 20,000	3/19/2013
Greenwich Adult Day Care	$ 10,000	3/19/2013
Boys and Girls Club of Martin County	$ 10,000	3/19/2013
United Way of Martin County	$ 5,000	3/19/2013
Norwalk Maritime Aquarium	$ 20,000	4/2/2013
Norwalk Maritime Aquarium	$ 105,000	4/2/2013
Habitat for Humanity	$ 10,000	4/2/2013
Jacob A Riis Neighborhood Settlement	$ 10,000	4/8/2013
Norwalk Community College	$ 30,000	4/8/2013
Sound Beach Volunteer Services Foundation	$ 3,000	4/8/2013
F L A G	$ 1,500	4/23/2013
Sound Waters, Cove Island Park (No Tall Ships)	$ 10,000	4/23/2013
Boys & Girls Club of Greenwich	$ 5,000	4/23/2013
Kids in Crisis	$ 5,000	4/23/2013
George Washington Carver Community Center of Norwalk	$ 35,000	5/2/2013
Connecticut Veterans Legal Association	$ 1,000	5/21/2013
The Child Guidance Center for Southern CT	$ 2,500	5/21/2013
Connecticut Food Bank	$ 10,000	5/21/2013
Family and Children's Agency	$ 10,000	5/21/2013
WNET for WLIW	$ 500	5/21/2013
First Tee of CT	$ 500	5/21/2013
Horizons at Greens Farm Academy	$ 3,500	5/28/2013
Stamford Hospital	$ 20,000	6/5/2013
Alliance for Cancer Gene Therapy (Margot Tusa)	$ 5,000	6/5/2013
Greenwich Police Scholarship	$ 1,500	6/5/2013
Connecticut Public Broadcasting	$ 1,500	6/5/2013
Doctors without Borders	$ 1,500	6/10/2013
American Scandinavian Foundation	$ 2,000	6/11/2013
Amogerone VFC	$ 2,000	6/11/2013
Teach For America -general	$ 25,000	6/27/2013
Memorial Sloan-Kettering	$ 10,000	6/27/2013
Feed the Children	$ 1,500	6/27/2013
Whole Planet Foundation	$ 2,500	6/27/2013
UNICEF	$ 2,000	7/8/2013
The Greenwich Campership Fund	$ 500	7/9/2013
WGA Caddie Scholarship Fund	$ 250	7/16/2013
American Scandinavian Foundation (NORAM)	$ 7,500	7/16/2013
Community Centers, Inc	$ 3,100	7/24/2013
Norwalk Maritime Aquarium	$ 200,000	8/13/2013
Connecticut Maritime Association (CMA) Education Foundation Inc	$ 10,000	8/20/2013
Americares Free Clinic	$ 50,000	8/31/2013
OG PTA	$ 1,000	9/19/2013
Greenwich Symphony	$ 500	9/24/2013
Family Centers	$ 10,000	9/30/2013
Pathways, Inc	$ 1,000	9/30/2013
Greenwich Emergency Medical (GEMS)	$ 10,000	10/15/2013
Silver Shield Association Inc	$ 5,000	10/15/2013
University of Colorado Foundation	$ 500	10/15/2013
Call A Ride	$ 500	10/15/2013
Patient Airlift Services	$ 2,000	10/17/2013
Greenwich Arts Council	$ 250	10/22/2013
CMS PTA	$ 1,000	10/22/2013
Arch Street Teen Center	$ 750	10/22/2013
R A M P	$ 500	10/22/2013
NMSU Foundation	$ 2,000	10/22/2013
USSGA Memorial Fund	$ 500	10/28/2013
Perrot Memorial Library Assoc	$ 1,000	10/28/2013
Greenwich Point Conservancy	$ 400	10/28/2013
Jackie Robinson Park of Fame (Yerwood)	$ 5,000	11/11/2013
Greenwich Green & Clean	$ 500	11/11/2013
The White Doves Holiday Project	$ 1,000	11/18/2013
Greenwich Historical Society	$ 1,000	11/18/2013
Goodwill Industries of Western CT, Inc	$ 500	11/20/2013
The Salvation Army	$ 750	11/20/2013
Adopt a Dog	$ 1,000	11/20/2013
Greenwich High School Student Loan Fund	$ 1,250	11/20/2013
Meals on Wheels	$ 500	11/20/2013
St Saviours Church Nursery School	$ 500	11/20/2013
Norwegian Seaman's Church	$ 10,000	12/11/2013
Total	**$ 791,250**	

OSLO GOLFKLUBB

Ankerveien 127
0766 Oslo
Norway

Per Heidenreich Fund for Young Golfers

Below is a summary of activities for the 2013 calendar year with respect to funds from the Per and Astrid Heidenreich Family Foundation's grant to establish the Per Heidenreich Fund for Young Golfers to support young, aspiring golf talents.

Purpose of Grant

The purpose of the Donation is to distribute funds and financial support to the most promising and talented junior golfers within Oslo Golfklubb ("OGK"), or to junior golfers outside OGK, with the object of helping them reach their highest potential. Such support shall be given only to a few exceptionally talented candidates, and shalt be awarded to the candidates as a support for training, competing, travel and development of their golfing skills.

2013 Activities

- Participation for 2 girls in the Spanish Ladies Open in June. Sofie Kjus Huseby and Saskia Sterud.
- Practice for 3 players at Valderama following the Spanish Ladies Open. Sofie Kjus Huseby, Saskia Sterud and Kristoffer Reitan.
- Qualifying tournaments for the European Tour and the British Open in May and June for Kristoffer Ventura.
- Attendance at an LPGA tournament event, and practice with a pro for 7 players in Florida in November. Participants were Dorthea Forbrigd, Sofie Kjus Huseby, Saskia Sterud, Kristoffer Ventura, Kristoffer Reitan, Henrik Ditlev Simonsen Skogseth and Jarle Volden. Coaches were Jan Wojtaszek and Caroline Diethelm.

Experience Gained

- Nomination and selection of players were done according to the criteria set forth in the Letter of Donation dated October 23, 2012.
- Players were given the opportunity to practice beyond what their club can offer.
- Players were given the ability to practice technical skills at challenging courses, giving them a broader view of skills required to compete at a higher level.
- Players gained new skills through participation in competitions at a new and higher level.
- Practicing alongside the best players in the world provided encouragement for further development.
- Developed contacts to further improve on plans for 2014 and beyond.
- All logistics were performed according to plans.
- Player selection should be considered on a continual basis throughout the year.
- Activities, which also provided a collateral learning benefit to the club, coaches and other players, created added value.

PER HEIDENREICH FUND FOR YOUNG GOLFERS

Balance Sheet as of December 31. 2013	**(In NOK)**
Current Assets	
Bank Accounts	2 369 981,11
Investments	2 868 290,80
Total Assets	**5 238 271,91**
Equity	
Initial Donation OGK	5 000 000,00
Profit for 2013	238 271,91
Total Equity	**5 238 271,91**

Profit & Loss Account for 2013	**(In NOK)**
Revenues	
Interest Income	176 036,14
Unrealized Capital Gains	269 632,77
Total revenues	**445 668,91**
Costs	
Operational costs (see attached)	**207 397,00**
Profit for 2013	**238 271,91**

Oslo, 28th February 2014

Westye Høegh
Chairman

Knut Ekjord jr.
Board member

Einar Skogstad
Board member

Jan Aaseth
Board member

PER HEIDENREICH FUND FOR YOUNG GOLFERS

2013 Operational Cost Detail (in NOK)

	Florida	Kval "The Open"	Las Colinas	Total
	158 316	**13 934**	**35 147**	
Air fare	49 207	6 193	12 042	67 442
Rental cars	20 278		3 450	23 728
Hotels etc.	35 202		6 420	41 622
Greenfee/entrance fee	15 277	7 741	8 500	31 518
Luggage - plane	11 977			11 977
Food	25 531		4 735	30 266
Misc.	844			844
Sum	**158 316**	**13 934**	**35 147**	**207 397**

NITSCHKE

STATSAUTORISERTE REVISORER

Independent member

BKR

INTERNATIONAL

Per Heidenreich Fund for Young Golfers
c/o Oslo Golfklubb

INDEPENDENT AUDITOR'S REPORT

Report on the Financial Statements for 2013

We have audited the accompanying financial statements of the Per Heidenreich Fund for Young Golfers, which comprise the balance sheet as at December 31, 2013, and the income statement reporting a profit of NOK 238.271,91.

The Board of Directors and the Management's Responsibility for the Financial Statements

The Board of Directors and the Management is responsible for the preparation and fair presentation of these financial statements in accordance with the Norwegian Accounting Act and accounting standards and practices generally accepted in Norway, and for such internal control as the Board of Directors and the Management determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with laws, regulations, and auditing standards and practices generally accepted in Norway, including International Standards on Auditing issued by International Auditing and Assurance Standards Board. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements are prepared in accordance with the law and regulations and present fairly, in all material respects, the financial position of the Per Heidenreich Fund for Young Golfers as of December 31, 2013 in accordance with the Norwegian Accounting Act and accounting standards and practices generally accepted in Norway.

Stabekk, 24, March 2014
Nitschke AS

Erling Kolstad
State Authorized Public Accountant

FORM 990-PF | PART XV - LINE 1A LIST OF FOUNDATION MANAGERS | STATEMENT 13

NAME OF MANAGER

PER HEIDENREICH
CECILIE H. JEDLICKA
ASTRID HEIDENREICH
FRITZ HEIDENREICH

FDTN BLACKROCK

Account Number:)4999

24-Hour Assistance: (800) MERRILL
Access Code. 59-734-04999

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES [1] Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ U S TREASURY NOTE *1.250% APR 15 2014 01 250% APR 15 2014* *MOODY'S AAA S&P. *** CUSIP. 912828QC7* *ORIGINAL UNIT/TOTAL COST 100 7894/50,394.70*	05/04/11	50,000	50,038.89	100 3240	**50,162.00**	123 11	132 21	**625**	1 24
U.S. TREASURY NOTE *0 500% OCT 15 2014 00 500% OCT 15 2014* *MOODY'S AAA S&P *** CUSIP 912828RL6*	08/28/13	80,000	80,275 27	100 2810	**80,224.80**	(50.47)	84.62	**400**	.49
Δ FEDERAL NATL MTG ASSOC *NOTES 00.625% OCI 30 2014* *MOODY'S AAA S&P AA+ CUSIP 3135G0DW0* *ORIGINAL UNIT/TOTAL COST 100 6260/60,375 60*	11/21/12	60,000	60,162 30	100 3680	**60,220.80**	58.50	62.50	**375**	.62
U S TREASURY NOTE *0 250% JUL 31 2015* *MOODY'S AAA S&P *** CUSIP. 912828VN7*	08/28/13	30,000	29,926 27	99 9960	**29,998.80**	72 53	31 18	**75**	25
Δ U S TREASURY NOTE *1 250% OCI 31 2015 01 250% OCI 31 2015* *MOODY'S AAA S&P *** CUSIP 912828PE4* *ORIGINAL UNIT/TOTAL COST: 102 8440/56,564.25*	09/05/12	55,000	55,913.38	101.6560	55,910 80	(2 58)	115 85	688	1.22
Δ U S TREASURY NOTE *ORIGINAL UNIT/TOTAL COST 102 6058/41,042 32*	11/28/12	40,000	40,656 48	101 6560	40,662 40	5 92	84.25	500	1.22
Δ U S TREASURY NOTE *ORIGINAL UNIT/TOTAL COST 102 1683/61,300 98*	05/28/13	60,000	60,985.78	101.6560	60,993 60	7 82	126 38	750	1 22

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FDTN BLACKROCK Account Number. -04999

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES [1] (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ U.S. TREASURY NOTE	10/02/13	30,000	30,496 28	101.6560	30,496 80	.52	63 19	375	1 22
ORIGINAL UNIT/TOTAL COST. 101 8675/30,560.26									
Subtotal		*185,000*	*188,051 92*		***188,063.60***	*11 68*	*389 67*	***2,313***	*1 22*
Δ U.S. TREASURY NOTE	04/11/13	55,000	55,847 05	100.9380	**55,515.90**	(331.15)	185 36	**550**	.99
1.000% AUG 31 2016 01.000% AUG 31 2016									
*MOODY'S AAA S&P *** CUSIP 912828RF9*									
ORIGINAL UNIT/TOTAL COST. 101 9495/56,072 26									
Δ U S TREASURY NOTE	04/11/13	15,000	15,232.10	100 8590	**15,128.85**	(103 25)	37 91	**150**	.99
1.000% SEP 30 2016 01 000% SEP 30 2016									
*MOODY'S AAA S&P *** CUSIP 912828RJ1*									
ORIGINAL UNIT/TOTAL COST. 101 9456/15,291.85									
Δ FEDERAL NATL MTG ASSOC	04/16/13	20,000	20,039 48	97 7970	**19,559.40**	(480.08)	82 00	**240**	1 22
CALLABLE NOTES 01.200% FEB 28 2018									
MOODY'S AAA S&P AA+ CUSIP: 3135G0UK7									
PAR CALL DATE 08/28/13 PAR CALL PRICE. 100 00									
ORIGINAL UNIT/TOTAL COST· 100 2300/20,046.00									
TOTAL		495,000	499,573.28		**498,874.15**	(699 13)	1,005.45	**4,728**	.95

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ ROGERS WIRELESS INC	10/26/11	10,000	10,082 04	100.9540	**10,095.40**	13.36	212 50	**638**	6 31
COMPANY GUARNT GLB 06 375% MAR 01 2014									
MOODY'S BAA1 S&P BBB+< CUSIP. 775310AD0									
ORIGINAL UNIT/TOTAL COST· 111 3520/11,135 20									
Δ HEWLETT-PACKARD CO	02/03/12	10,000	10,004 76	100 3650	**10,036.50**	31 74	12 92	**155**	1.54
GLB 01 550% MAY 30 2014									
MOODY'S BAA1 S&P BBB+ CUSIP 428236BK8									
ORIGINAL UNIT/TOTAL COST 100.2620/10,026 20									

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FDTN BLACKROCK   Account Number. 04999   **24-Hour Assistance: (800) MERRILL**
Access Code: 59-734-04999

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ XL CAPITAL LTD	12/13/11	15,000	15,211.54	103 0700	**15,460.50**	248 96	231.87	**788**	5 09
COMPANY GUARANT GLB 05.250% SEP 15 2014									
MOODY'S: BAA2 S&P A-< CUSIP 98372PAΓ5									
ORIGINAL UNIT/TOTAL COST 105 3260/15,798 90									
Δ DIRECTV HOLDINGS/FING	02/16/12	10,000	10,248 87	102 9750	**10,297.50**	48 63	118 75	**475**	4 61
COMPANY GUARNT GLB 04 750% OCT 01 2014									
MOODY'S BAA2 S&P BBB CUSIP 25459HAL9									
ORIGINAL UNIT/TOTAL COST 108 5500/10,855 00									
Δ ENTERPRISE PRODUCTS OPER	02/03/12	15,000	15,473 55	103 9140	**15,587.10**	113.55	177 33	**840**	5.38
COMP GUARNT SER G GLB 05 600% OCT 15 2014									
MOODY'S BAA1 S&P BBB+ CUSIP 293791AN9									
ORIGINAL UNIT/TOTAL COST 110 6010/16,590 15									
Δ BHP BILLITON FIN USA LTD	12/13/11	15,000	15,017 47	100 7200	**15,108.00**	90.53	18.75	**169**	1 11
COMPANY GUARNT GLB 01 125% NOV 21 2014									
MOODY'S A1 S&P A+< CUSIP 055451AJ7									
ORIGINAL UNIT/TOTAL COST. 100.3800/15,057.00									
Δ PRUDENTIAL FINANCIAL INC	06/13/12	10,000	10,184 29	103 3760	**10,337.60**	153 31	179 76	**388**	3 74
SER MIN 03 875% JAN 14 2015									
MOODY'S BAA1 S&P A CUSIP 74432QBL8									
ORIGINAL UNIT/TOTAL COST: 104.5050/10,450 50									
Δ BNP PARIBAS	02/03/12	15,000	15,030 93	103 0560	**15,458.40**	427.47	148 96	**488**	3.15
BANK GUARNTD SER 0212 03 250% MAR 11 2015									
MOODY'S A2 S&P A+< CUSIP 05567LG68									
ORIGINAL UNIT/TOTAL COST 100 5190/15,077 85									
Δ WALGREEN CO	11/28/12	15,000	15,012.47	100 4110	**15,061.65**	49 18	45 00	**150**	.99
GLB 01 000% MAR 13 2015									
MOODY'S BAA1 S&P BBB CUSIP 931422AG4									
ORIGINAL UNIT/TOTAL COST 100 1570/15,023 55									

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FDTN BLACKROCK Account Number 04999

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ PFIZER INC	07/20/12	20,000	21,154 11	105.6650	**21,133.00**	(21.11)	315.06	**1,070**	5 06
5 35% DUE 3/15/2015									
MOODY'S A1 S&P AA CUSIP 717081DA8									
ORIGINAL UNIT/TOTAL COST 112 5850/22,517 00									
Δ CAPITAL ONE FINANCIAL CO	10/02/13	20,000	20,291 51	101 6580	**20,331.60**	40 09	117.06	**430**	2 11
GLB 02 150% MAR 23 2015									
MOODY'S BAA1 S&P. BBB CUSIP 14040HAZ8									
ORIGINAL UNIT/TOTAL COST 101.7330/20,346 60									
Δ WELLS FARGO BANK NA	02/03/12	19,000	19,570 17	104 0130	19,762 47	192 30	145.40	689	3.48
GLB 03 625% APR 15 2015									
MOODY'S A2 S&P A+ CUSIP 94974BEU0									
ORIGINAL UNIT/TOTAL COST 107 3330/20,393 27									
Δ WELLS FARGO BANK NA	10/02/13	10,000	10,381.92	104.0130	10,401.30	19.38	76.53	363	3 48
ORIGINAL UNIT/TOTAL COST 104 5080/10,450 80									
Subtotal		*29,000*	*29,952 09*		***30,163.77***	*211.68*	*221.93*	***1,052***	*3.48*
Δ KELLOGG CO	06/13/12	15,000	15,036.49	100 6810	**15,102.15**	65 66	21 56	**169**	1 11
GLB 01 125% MAY 15 2015									
MOODY'S. BAA1 S&P. BBB+ CUSIP 487836BG2									
ORIGINAL UNIT/TOTAL COST. 100.5120/15,076.80									
Δ USD ONTARIO PROVINCE	09/05/12	35,000	35,210.04	100 7690	**35,269.15**	59.11	32 33	**333**	94
0 950% MAY 26 2015									
MOODY'S AA2 S&P AA < CUSIP 68323ABJ2									
ORIGINAL UNIT/TOTAL COST 101 1560/35,404 60									
Δ AMERICAN EXPRESS CREDIT	06/13/12	15,000	15,047 48	101.6140	**15,242.10**	194.62	13 85	**263**	1.72
SER MTN GLB 01 750% JUN 12 2015									
MOODY'S. A2 S&P A- CUSIP 0258M0DE6									
ORIGINAL UNIT/TOTAL COST 100 6450/15,096 /5									

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YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ JPMORGAN CHASE & CO *03 400% JUN 24 2015* *MOODY'S A3 S&P A CUSIP 46625HHR4* *ORIGINAL UNIT/TOTAL COST 104 3410/20,868 20*	10/02/13	20,000	20,750 61	103 8440	**20,768.80**	18 19	13.22	**680**	3 27
Δ SHELL INTERNATIONAL FIN *COMPANY GUARNT GLB 03 100% JUN 28 2015* *MOODY'S AA1 S&P AA< CUSIP 822582AQ5* *ORIGINAL UNIT/TOTAL COST 105 4440/15,816 60*	05/28/13	15,000	15,587 02	103 7780	**15,566.70**	(20.32)	3.87	**465**	2 98
Δ COX COMMUNICATIONS INC *05 500% OCT 01 2015* *MOODY'S BAA2 S&P BBB CUSIP 224044BH9* *ORIGINAL UNIT/TOTAL COST 112 7470/22,549 40*	01/07/13	20,000	21,642.96	107 3380	**21,467.60**	(175 36)	275.00	**1,100**	5 12
Δ BANK OF AMERICA CORP *GLB 01 500% OCT 09 2015* *MOODY'S BAA2 S&P A- CUSIP 06051GER6* *ORIGINAL UNIT/TOTAL COST. 100.6210/15,093.15*	04/16/13	15,000	15,067.05	101 0070	15,151 05	84 00	51 25	225	1 48
Δ BANK OF AMERICA CORP *ORIGINAL UNIT/TOTAL COST 100 7630/15,114 45*	10/02/13	15,000	15,101.25	101 0070	15,151.05	49 80	51.25	225	1 48
Subtotal		*30,000*	*30,168.30*		***30,302.10***	*133.80*	*102 50*	***450***	*1 48*
Δ DEUTSCHE BANK AG *GLB 03 250% JAN 11 2016* *MOODY'S A2 S&P A< CUSIP. 2515A14E8* *ORIGINAL UNIT/TOTAL COST 105 0450/5,252 25*	10/29/13	5,000	5,233 25	104 5870	**5,229.35**	(3 90)	76 74	**163**	3 10
CITIGROUP INC *GLB 01 250% JAN 15 2016* *MOODY'S BAA2 S&P A CUSIP 172967GG0*	01/07/13	30,000	29,961 90	100 3320	**30,099.60**	137 70	172 92	**375**	1.24

FDTN BLACKROCK Account Number· 04999

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ DR PEPPER SNAPPLE GROUP	12/13/11	15,000	15,275 20	103 7850	**15,567.75**	292.55	200.58	**435**	2 79
COMPANY GUARNT GLB 02.900% JAN 15 2016									
MOODY'S· BAA1 S&P· BBB+ CUSIP 26138EAM1									
ORIGINAL UNIT/TOTAL COST 103 6000/15,540 00									
Δ US BANCORP	10/24/13	5,000	5,216 39	104.3090	**5,215.45**	(0.94)	71 71	**173**	3 29
JR SUBORDINATED 03 442% FEB 01 2016									
MOODY'S A3 S&P BBB+ CUSIP. 902973AV8									
ORIGINAL UNIT/TOTAL COST 104 6810/5,234 05									
Δ GOLDMAN SACHS GROUP INC	02/03/12	20,000	20,156 73	104.9480	20,989 60	832 87	290 00	725	3 45
GLB 03 625% FEB 07 2016									
MOODY'S BAA1 S&P. A- . CUSIP 38143USC6									
ORIGINAL UNIT/TOTAL COST 101 4480/20,289 60									
Δ GOLDMAN SACHS GROUP INC	11/28/12	10,000	10,387.27	104 9480	10,494.80	107 53	145 00	363	3 45
ORIGINAL UNIT/TOTAL COST· 105 8080/10,580 80									
Subtotal		*30,000*	*30,544 00*		***31,484.40***	*940 40*	*435 00*	***1,088***	*3 45*
Δ USD VODAFONE GROUP	05/02/13	10,000	10,014.75	100 3500	**10,035.00**	20 25	33.00	**90**	.89
900% FEB 19 2016									
MOODY'S A3 S&P A-< CUSIP· 92857WBA7									
ORIGINAL UNIT/TOTAL COST 100 1920/10.019 20									
Δ MORGAN STANLEY	03/18/13	30,000	30,213.93	101.3420	**30,402.60**	188 67	183.75	**525**	1 72
GLB 01.750% FEB 25 2016									
MOODY'S BAA2 S&P A- CUSIP. 61746BDG8									
ORIGINAL UNIT/TOTAL COST 100 9660/30,289 80									
Δ APPLE INC	05/02/13	15,000	15,006.58	99 2740	**14,891.10**	(115 48)	10.88	**68**	45
GLB 00.450% MAY 03 2016									
MOODY'S AA1 S&P: AA+ CUSIP 037833AH3									
ORIGINAL UNIT/TOTAL COST 100.0560/15,008 40									

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FDTN BLACKROCK Account Number. 04999

24-Hour Assistance: (800) MERRILL
Access Code 59-734-04999

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ METLIFE INC	01/19/12	17,000	18,660 57	113 7030	19,329.51	668.94	95.63	1,148	5.93
06 750% JUN 01 2016									
MOODY S· A3 S&P A- CUSIP· 59156RAU2									
ORIGINAL UNII/TOTAL COST 117 1780/19,920 26									
Δ METLIFE INC	02/16/12	8,000	8,859.67	113 7030	9,096 24	236.57	45.00	540	5.93
ORIGINAL UNIT/TOTAL COST. 118 6430/9,491.44									
Subtotal		*25,000*	*27,520 24*		***28,425.75***	*905 51*	*140 63*	***1,688***	*5 93*
Δ BERKSHIRE HATHAWAY INC	01/19/12	15,000	15,302 75	103 2900	15,493 50	190 75	124.67	330	2 12
GLB 02 200% AUG 15 2016									
MOODY'S AA2 'S&P AA CUSIP. 084670BB3									
ORIGINAL UNIT/TOTAL COST: 103.4620/15,519.30									
Δ BERKSHIRE HATHAWAY INC	10/02/13	5,000	5,170 69	103.2900	5,164 50	(6 19)	41 56	110	2.12
ORIGINAL UNIT/TOTAL COST 103 7140/5,185 70									
Subtotal		*20,000*	*20,473 44*		***20,658.00***	*184 56*	*166 23*	***440***	*2 12*
Δ VERIZON COMMUNICATIONS	10/02/13	25,000	25,749 76	103 4040	**25,851.00**	101 24	178 82	**625**	2 41
GLB 02 500% SEP 15 2016									
MOODY'S BAA1 S&P BBB+ CUSIP 92343VBN3									
ORIGINAL UNIT/TOTAL COST· 103 2530/25,813 25									
Δ AMERICAN EXPRESS CREDIT	01/19/12	15,000	15,114 49	104 4880	**15,673.20**	558 71	119 00	**420**	2 67
SER MTN 02.800% SEP 19 2016									
MOODY'S A2 S&P· A- CUSIP 0258M0DC0									
ORIGINAL UNIT/TOTAL COST 101 2770/15,191 55									
Δ STATOIL ASA	05/02/13	15,000	15,485 11	102 2300	**15,334.50**	(150 61)	28.50	**270**	1.76
COMPANY GUARNT GLB 01 800% NOV 23 2016									
MOODY'S· AA2 S&P AA-< CUSIP 85771PAD4									
ORIGINAL UNIT/TOTAL COST 103 9520/15,592 80									

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FDTN BLACKROCK Account Number: ·04999

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ MYLAN INC *GLB 01 350% NOV 29 2016* *MOODY'S· BAA3 S&P BBB- CUSIP. 628530BE6* *ORIGINAL UNIT/TOTAL COST 100 2760/15,041 10*	11/21/13	15,000	15,040 20	99 8170	**14,972.55**	(67 65)	18.00	**203**	1.35
Δ USD RABOBANK UTRECHT *3 375% JAN 19 2017* *MOODY'S AA2 S&P AA-< CUSIP 21686CAD2* *ORIGINAL UNIT/TOTAL COST 101 7060/15,255 90*	01/19/12	15,000	15,161 01	105 2890	**15,793.35**	632 34	227 81	**507**	3 20
Δ AMERICAN INTL GROUP *GLB 03 800% MAR 22 2017* *MOODY'S BAA1 S&P· A- CUSIP 026874CS4* *ORIGINAL UNIT/TOTAL COST· 103 6860/25,921 50*	07/26/12	25,000	25,652 76	106 7900	**26,697.50**	1,044.74	261 25	**950**	3.55
Δ USD BP CAPITAL PLC *1 846% MAY 05 2017* *MOODY'S A2 S&P. A< CUSIP. 05565QBY3* *ORIGINAL UNIT/TOTAL COST: 102 7270/15,409 05*	07/26/12	15,000	15,289.67	101.0040	**15,150.60**	(139.07)	43 07	**277**	1 82
Δ ANHEUSER-BUSCH INBEV WOR *COMPANY GUARNT GLB 01 375% JUL 15 2017* *MOODY'S· A3 S&P· A< CUSIP· 03523TBN7* *ORIGINAL UNIT/TOTAL COST. 101 1580/25,289.50*	07/26/12	25,000	25,208 27	99 7900	**24,947.50**	(260 77)	158.51	**344**	1 37
Δ EBAY INC *GLB 01 350% JUL 15 2017* *MOODY'S. A2 S&P. A CUSIP 278642AG8* *ORIGINAL UNIT/TOTAL COST 100 7480/15,112 20*	07/26/12	15,000	15,080.76	99 4300	**14,914.50**	(166 26)	93.38	**203**	1 35
Δ CATERPILLAR FINANCIAL SE *SER MTNG 01 250% NOV 06 2017* *MOODY'S A2 S&P. A CUSIP 14912L5J6* *ORIGINAL UNIT/TOTAL COST 101.0940/15,164 10*	05/02/13	15,000	15,140 83	98 5670	**14,785.05**	(355 78)	28 65	**188**	1.26

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FDTN BLACKROCK — Account Number 04999 — **24-Hour Assistance: (800) MERRILL**
Access Code: 59-734-04999

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ VENTAS REALTY LP/CAP CRP	01/07/13	10,000	10,007.27	98.3010	9,830.10	(177 17)	75.56	200	2 03
COMPANY GUARNT 02 000% FEB 15 2018									
MOODY'S. BAA1 S&P BBB+ CUSIP 92276MBA2									
PAR CALL DATE 01/15/18 PAR CALL PRICE 100 00									
ORIGINAL UNIT/TOTAL COST 100.0890/10.008 90									
Δ VENTAS REALTY LP/CAP CRP	03/18/13	15,000	15,086.79	98 3010	14,745 15	(341 64)	113.33	300	2 03
ORIGINAL UNIT/TOTAL COST 100 6830/15,102 45									
Subtotal		*25,000*	*25,094 06*		***24,575.25***	*(518 81)*	*188 89*	***500***	*2.03*
BANK OF NEW YORK MELLON	10/24/13	10,000	9,859 50	97 8960	**9,789.60**	(69 90)	43 13	**135**	1.37
SER MTN 01.350% MAR 06 2018									
MOODY'S A1 S&P A+ CUSIP 06406HCJ6									
PAR CALL DATE 02/06/18 PAR CALL PRICE 100 00									
TOTAL		734,000	748,442 63		**753,283.22**	4,840.59	5,142.67	**19,770**	2.62

HIGH QUALITY DIV

Account Number: . __ 02093

24-Hour Assistance: (800) MERRILL
Access Code. 59-734-02093

ACCOUNT INVESTMENT OBJECTIVE

November 30, 2013 - December 31, 2013

YOUR EMA ASSETS

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AUTOMATIC DATA PROC	ADP	01/04/13	25	58.6836	1,467 09	80 7990	2,019 98	552.89	48	2.37
		02/04/13	10	60 6480	606 48	80.7990	807.99	201.51	20	2.37
		02/26/13	92	60.9153	5,604 21	80 7990	7,433 51	1,829 30	177	2 37
		05/02/13	2	67 5650	135 13	80.7990	161.60	26 47	4	2 37
		05/29/13	76	70 0298	5,322 27	80 7990	6,140 72	818 45	146	2 37
		07/02/13	9	70.1044	630 94	80 7990	727 19	96 25	18	2.37

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HIGH QUALITY DIV Account Number. -02093

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AUTOMATIC DATA PROC	ADP	10/10/13	22	71 1236	1,564 72	80 7990	1,777.58	212 86	43	2 37
		11/04/13	13	75 3230	979 20	80.7990	1,050 39	71 19	25	2 37
		12/03/13	39	80 4702	3,138 34	80 7990	3,151 16	12.82	75	2 37
Subtotal			*288*		*19,448.38*		***23,270.12***	*3,821.74*	***556***	*2.37*
CSX CORP	CSX	08/02/13	606	25.9802	15,744 06	28.7700	17,434 62	1,690 56	364	2 08
		10/10/13	45	25.7471	1,158.62	28 7700	1,294 65	136 03	27	2.08
		11/04/13	54	26.5598	1,434 23	28 7700	1,553.58	119 35	33	2.08
		12/03/13	138	27.5000	3,795 00	28 7700	3,970.26	175 26	83	2 08
Subtotal			*843*		*22,131.91*		***24,253.11***	*2,121.20*	***507***	*2.08*
EMERSON ELEC CO	EMR	01/04/13	2	54 9250	109.85	70 1800	140.36	30.51	4	2 45
		02/04/13	8	57.8600	462.88	70 1800	561 44	98 56	14	2 45
		02/26/13	105	55.7774	5,856 63	70.1800	7,368 90	1,512 27	181	2 45
		05/02/13	19	55.8400	1,060 96	70.1800	1,333 42	272 46	33	2.45
		05/29/13	93	57.5403	5,351 25	70.1800	6,526.74	1,175 49	160	2 45
		07/02/13	23	55.8282	1,284 05	70.1800	1,614 14	330.09	40	2 45
		10/10/13	12	64.1983	770 38	70.1800	842 16	71.78	21	2.45
		11/04/13	16	67.3650	1,077.84	70.1800	1,122 88	45.04	28	2 45
		12/03/13	68	66 8826	4,548.02	70.1800	4,772 24	224.22	117	2 45
Subtotal			*346*		*20,521 86*		***24,282.28***	*3,760 42*	***598***	*2.45*
EXXON MOBIL CORP COM	XOM	01/04/13	22	88 6200	1,949 64	101 2000	2,226.40	276 76	56	2 49
		02/04/13	8	89 1900	713 52	101 2000	809 60	96 08	21	2 49
		02/26/13	64	88.3259	5,652.86	101 2000	6,476.80	823 94	162	2.49
		05/02/13	14	88 4807	1,238 73	101 2000	1,416 80	178 07	36	2 49
		05/29/13	57	91 9449	5,240 86	101.2000	5,768 40	527 54	144	2.49
		07/02/13	10	90 6580	906 58	101 2000	1,012.00	105 42	26	2 49
		10/10/13	22	84 9872	1,869 72	101 2000	2,226 40	356.68	56	2.49
		11/04/13	10	90 6490	906 49	101.2000	1,012 00	105 51	26	2 49
		12/03/13	39	93.3946	3,642 39	101 2000	3,946 80	304 41	99	2 49
Subtotal			*246*		*22,120 79*		***24,895.20***	*2,774 41*	***626***	*2 49*

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YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
GENUINE PARTS CO	GPC	07/02/13	199	82 5831	16,434 05	83 1900	16,554 81	120 76	428	2 58
		10/10/13	12	79.3200	951.84	83 1900	998.28	46.44	26	2.58
		11/04/13	25	79 4856	1,987 14	83 1900	2,079 75	92 61	54	2 58
		12/03/13	40	83 8297	3,353 19	83 1900	3,327 60	(25 59)	86	2.58
Subtotal			*276*		*22,726 22*		***22,960.44***	*234 22*	***594***	*2 58*
JOHNSON AND JOHNSON COM	JNJ	03/04/13	103	77.0796	7,939 20	91.5900	9,433 77	1,494.57	272	2 88
		05/02/13	5	85.0860	425.43	91 5900	457.95	32 52	14	2.88
		05/29/13	64	86 4651	5,533 77	91 5900	5,861.76	327.99	169	2.88
		07/02/13	4	86 9250	347 70	91 5900	366.36	18 66	11	2.88
		10/10/13	16	86 9450	1,391.12	91 5900	1,465 44	74 32	43	2 88
		11/04/13	9	93 1244	838 12	91 5900	824.31	(13 81)	24	2.88
		12/03/13	44	94.2736	4,148 04	91.5900	4,029.96	(118.08)	117	2.88
Subtotal			*245*		*20,623 38*		***22,439.55***	*1,816.17*	***650***	*2 88*
LINEAR TECHNOLOGY CORP	LLTC	01/04/13	13	35.4453	460 79	45.5500	592 15	131.36	14	2 28
		02/04/13	14	37 2307	521.23	45 5500	637.70	116 47	15	2 28
		02/26/13	148	37 6599	5,573 67	45 5500	6,741 40	1,167 73	154	2.28
		05/02/13	38	36.9792	1,405 21	45 5500	1,730.90	325 69	40	2.28
		05/29/13	153	37.0967	5,675 80	45 5500	6,969 15	1,293 35	160	2.28
		07/02/13	28	37 2328	1,042 52	45 5500	1,275.40	232 88	30	2 28
		10/10/13	33	39 2651	1,295.75	45.5500	1,503.15	207.40	35	2 28
		11/04/13	33	40.8803	1,349.05	45.5500	1,503 15	154.10	35	2.28
		12/03/13	80	43 1102	3,448 82	45 5500	3,644 00	195 18	84	2 28
Subtotal			*540*		*20,772 84*		***24,597.00***	*3,824 16*	***567***	*2 28*
MCDONALDS CORP COM	MCD	01/04/13	32	89 8200	2,874.24	97 0300	3,104.96	230 72	104	3.33
		02/04/13	5	95 3480	476 74	97 0300	485.15	8 41	17	3 33
		02/26/13	58	96 2417	5,582 02	97 0300	5,627.74	45 72	188	3 33
		05/02/13	3	102 2066	306 62	97.0300	291.09	(15 53)	10	3 33
		05/29/13	59	100.4000	5,923 60	97.0300	5,724.77	(198.83)	192	3 33
		07/02/13	5	100.3600	501.80	97.0300	485 15	(16.65)	17	3 33

HIGH QUALITY DIV Account Number. 02093

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
MCDONALDS CORP COM	MCD	10/10/13	17	93 7852	1,594 35	97 0300	1,649 51	55 16	56	3.33
		11/04/13	13	97 4738	1,267.16	97.0300	1,261 39	(5.77)	43	3 33
		12/03/13	47	96 4787	4,534 50	97 0300	4,560 41	25.91	153	3 33
Subtotal			*239*		*23,061.03*		***23,190.17***	*129.14*	***780***	*3 33*
NORTHROP GRUMMAN CORP	NOC	08/02/13	160	95 4950	15,279 21	114 6100	18,337 60	3,058 39	391	2.12
		10/10/13	13	95.4776	1,241 21	114 6100	1,489 93	248.72	32	2.12
		12/03/13	33	112.1872	3,702 18	114 6100	3,782 13	79.95	81	2 12
Subtotal			*206*		*20,222.60*		***23,609.66***	*3,387.06*	***504***	*2.12*
PAYCHEX INC	PAYX	01/04/13	33	31.9700	1,055.01	45.5300	1,502.49	447 48	47	3 07
		02/04/13	18	33 0738	595 33	45.5300	819.54	224 21	26	3.07
		02/26/13	172	32.9654	5,670 05	45.5300	7,831.16	2,161 11	241	3.07
		05/02/13	2	36 8850	73.77	45 5300	91 06	17 29	3	3 07
		05/29/13	142	37 9662	5,391 21	45.5300	6,465.26	1,074 05	199	3 07
		07/02/13	28	36 8503	1,031.81	45.5300	1,274 84	243.03	40	3.07
		10/10/13	28	39 7350	1,112.58	45 5300	1,274 84	162 26	40	3 07
		11/04/13	23	41 9995	965.99	45 5300	1,047 19	81 20	33	3 07
		12/03/13	82	44 1456	3,619 94	45 5300	3,733.46	113 52	115	3 07
Subtotal			*528*		*19,515.69*		***24,039.84***	*4,524 15*	***744***	*3 07*
PROCTER & GAMBLE CO	PG	02/04/13	35	75.1942	2,631 80	81.4100	2,849 35	217 55	85	2 95
		02/26/13	73	76 0358	5,550.62	81.4100	5,942.93	392 31	176	2.95
		05/02/13	12	77 7600	933 12	81.4100	976 92	43 80	29	2.95
		05/29/13	68	79 5923	5,412.28	81 4100	5,535 88	123 60	164	2 95
		07/02/13	9	78 1100	702.99	81 4100	732 69	29 70	22	2.95
		10/10/13	19	77 5668	1,473 77	81 4100	1,546 79	73.02	46	2 95
		11/04/13	14	81 6350	1,142 89	81 4100	1,139 74	(3 15)	34	2 95
		12/03/13	47	83.4929	3,924.17	81.4100	3,826 27	(97 90)	114	2 95
Subtotal			*277*		*21,771 64*		***22,550.57***	*778.93*	***670***	*2 95*

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HIGH QUALITY DIV

Account Number. -02093

24-Hour Assistance: (800) MERRILL
Access Code· 59-734-02093

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
QUEST DIAGNOSTICS INC	DGX	11/04/13	303	61 8743	18,747 94	53 5400	16,222.62	(2,525 32)	364	2 24
		12/03/13	75	61 4406	4,608 05	53 5400	4,015.50	(592 55)	90	2.24
Subtotal			*378*		*23,355 99*		***20,238.12***	*(3,117 87)*	***454***	*2.24*
RAYTHEON CO DELAWARE NEW	RTN	08/02/13	201	75 1964	15,114 48	90.7000	18,230 70	3,116 22	443	2 42
		10/10/13	22	75.2168	1,654 77	90.7000	1,995 40	340 63	49	2.42
		12/03/13	39	88.3971	3,447 49	90 7000	3,537.30	89.81	86	2.42
Subtotal			*262*		*20,216.74*		***23,763.40***	*3,546.66*	***578***	*2 42*
UNITED TECHS CORP COM	UTX	02/04/13	12	89 8983	1,078.78	113 8000	1,365.60	286 82	29	2.07
		02/04/13	13	89 9169	1,168 92◆	113.8000	1,479 40	310 48	31	2.07
		02/26/13	52	88 8519	4,620 30	113 8000	5,917.60	1,297.30	123	2 07
		05/02/13	9	91 8555	826 70	113 8000	1,024 20	197 50	22	2 07
		05/29/13	55	95.3305	5,243.18	113 8000	6,259.00	1,015 82	130	2 07
		07/02/13	8	94 6637	757.31	113 8000	910 40	153 09	19	2 07
		10/10/13	11	104 7200	1,151 92	113.8000	1,251.80	99 88	26	2 07
		11/04/13	13	107.8476	1,402 02	113 8000	1,479.40	77 38	31	2.07
		12/03/13	36	110 2933	3,970 56	113 8000	4,096.80	126 24	85	2 07
Subtotal			*209*		*20,219 69*		***23,784.20***	*3,564 51*	***496***	*2.07*
WAL-MART STORES INC	WMT	01/04/13	27	68 5355	1,850 46	78 6900	2,124.63	274.17	51	2 38
		02/04/13	10	69 4100	694 10	78 6900	786 90	92.80	19	2 38
		02/26/13	78	70.8658	5,527.54	78 6900	6,137 82	610 28	147	2 38
		05/02/13	2	78 4200	156.84	78.6900	157 38	54	4	2 38
		05/29/13	78	76 7251	5,984 56	78.6900	6,137 82	153.26	147	2.38
		07/02/13	15	74 7853	1,121 78	78 6900	1,180 35	58 57	29	2.38
		10/10/13	18	73 6555	1,325 80	78 6900	1,416 42	90.62	34	2.38
		11/04/13	14	77 5450	1,085 63	78 6900	1,101 66	16 03	27	2 38
		12/03/13	43	81 1413	3,489 08	78 6900	3,383.67	(105 41)	81	2 38
Subtotal			*285*		*21,235 79*		***22,426.65***	*1,190.86*	***539***	*2 38*
TOTAL					317,944.55		**350,300.31**	32,355.76	**8,863**	2 53

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SCHAFER CULLEN INTL | Account Number. 02094

MERRILL LYNCH CONSULTS SERVICE

November 30, 2013 - December 31, 2013

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est. Annual Yield%*
CASH	1 26	1 26		**1.26**		
+ML BANK DEPOSIT PROGRAM	9,905 00	9,905 00	1.0000	**9,905.00**	5	.05
+FDIC INSURED NOT SIPC COVERED						
TOTAL		9,906.26		**9,906.26**	**5**	.05

EQUITIES *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
ABB LTD SPON ADR	ABB	01/14/13	24	21.1995	508 79	26 5600	637.44	128 65	17	2.65
		02/25/13	56	22.6598	1,268 95	26.5600	1,487 36	218.41	40	2.65
		05/30/13	70	22 3351	1,563.46	26.5600	1,859 20	295.74	50	2.65
		10/10/13	20	23 2060	464 12	26 5600	531 20	67.08	15	2 65
Subtotal			*170*		*3,805 32*		***4,515.20***	*709.88*	***122***	*2 65*

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SCHAFER CULLEN INTL Account Number. -02094

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ALSTOM	AOMFF	08/16/13	116	37 2480	4,320 77	36 4812	4,231.82	(88 95)		
14.EUR PAR ORDINARY		10/10/13	27	34.8596	941.21	36.4812	984 99	43.78		
Subtotal			*143*		*5,261 98*		***5,216.81***	*(45.17)*		
ASSECO POLAND SA SP ADR	ASOZY	11/08/13	38	16 2500	617 50	15 2350	578.93	(38 57)	21	3.57
		11/12/13	24	16 4779	395.47	15 2350	365 64	(29.83)	14	3 57
		11/13/13	22	16.5204	363 45	15 2350	335 17	(28 28)	12	3 57
		11/14/13	25	16.4312	410 78	15.2350	380.88	(29.90)	14	3.57
		11/15/13	21	16 4371	345.18	15 2350	319 94	(25 24)	12	3 57
		11/18/13	25	16 6968	417 42	15.2350	380 88	(36.54)	14	3 57
		11/19/13	29	16 5620	480 30	15 2350	441-82	(38 48)	16	3 57
Subtotal			*184*		*3,030 10*		***2,803.26***	*(226 84)*	***103***	*3.57*
ASTRAZENECA PLC SPND ADR	AZN	01/14/13	2	48 8000	97.60	59.3700	118 74	21.14	6	4 71
		02/25/13	20	45.1500	903.00	59.3700	1,187.40	284 40	56	4 71
		05/30/13	12	52 1900	626 28	59 3700	712 44	86 16	34	4 71
		10/10/13	5	50 2580	251 29	59 3700	296.85	45 56	14	4.71
Subtotal			*39*		*1,878 17*		***2,315.43***	*437.26*	***110***	*4 71*
BAE SYSTEMS PLC	BAESF	01/14/13	194	5 6800	1,101 92	7 2046	1,397.69	295.77		
GBP PAR ORDINARY		02/25/13	231	5 3300	1,231 23	7.2046	1,664.26	433.03		
		05/30/13	133	6.3200	840 56	7.2046	958.21	117.65		
Subtotal			*558*		*3,173 71*		***4,020.16***	*846 45*		
BAYER AG NAMEN-AKT	BAYZF	01/15/13	11	96 4636	1,061.10	140 6886	1,547.57	486 47		
EUR PAR ORDINARY		02/26/13	12	93 8433	1,126 12	140 6886	1,688.26	562.14		
EST MKT PRICE AS OF 12/30/13										
		05/31/13	8	108 6500	869.20	140.6886	1,125 51	256.31		
Subtotal			*31*		*3,056 42*		***4,361.34***	*1,304.92*		

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BHP BILLITON LIMITED	BHPLF	01/14/13	11	38 6000	424 60	33.9877	373.86	(50 74)		
1 AUD PAR ORDINARY		02/25/13	22	37 8690	833.12	33 9877	747 73	(85 39)		
		05/30/13	18	34.0400	612 72	33.9877	611 78	(0 94)		
		10/10/13	9	33 8000	304 20	33 9877	305 89	1.69		
		11/07/13	25	36 2136	905.34	33.9877	849.69	(55.65)		
Subtotal			*85*		*3,079 98*		***2,888.95***	*(191.03)*		
BOC HONG KONG (HLDGS)	BNKHF	01/15/13	243	3.3363	810 73	3 2049	778.79	(31 94)		
5 HKD PAR ORDINARY		02/26/13	416	3 3498	1,393.52	3.2049	1,333.24	(60 28)		
		05/31/13	391	3 3893	1,325.23	3.2049	1,253 12	(72 11)		
Subtotal			*1,050*		*3,529 48*		***3,365.15***	*(164.33)*		
BRITISH AMN TOBACO SPADR	BTI	01/14/13	19	101 3410	1,925 48	107 4200	2,040.98	115.50	83	4.02
		02/25/13	18	103 6472	1,865 65	107.4200	1,933 56	67 91	78	4.02
		05/30/13	17	111 5817	1,896 89	107.4200	1,826 14	(70 75)	74	4 02
		10/10/13	13	103 5300	1,345.89	107 4200	1,396.46	50 57	57	4 02
Subtotal			*67*		*7,033.91*		***7,197.14***	*163.23*	**292**	*4.02*
CANADIAN OIL SANDS LTD	COSWF	02/25/13	38	20 1723	766.55	18.8047	714 58	(51 97)	48	6 60
(NEW) SHS		05/30/13	4	19 7700	79 08	18 8047	75.22	(3.86)	5	6.60
		10/10/13	9	19.5100	175 59	18 8047	169 24	(6 35)	12	6.60
Subtotal			*51*		*1,021.22*		***959.04***	*(62 18)*	***65***	*6.60*
DEUTSCHE POST AG	DPSTF	01/14/13	73	21 8782	1,597 11	36.6327	2,674 19	1,077.08		
EUR PAR ORDINARY		02/25/13	72	21 8080	1,570 18	36 6327	2,637 55	1,067 37		
EST MKT PRICE AS OF 12/30/13										
		05/30/13	52	26 0700	1,355.64	36 6327	1,904.90	549.26		
Subtotal			*197*		*4,522 93*		***7,216.64***	*2,693.71*		
DEUTSCHE TELEKOM AG-REG	DTEGF	09/19/13	328	13 6646	4,482 02	17.1816	5,635.56	1,153 54		
EUR PAR ORDINARY		10/11/13	117	15 3724	1,798 58	17 1816	2,010.25	211 67		
EST MKT PRICE AS OF 12/30/13										
Subtotal			*445*		*6,280 60*		***7,645.81***	*1,365 21*		

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
GDF SUEZ	GDSZF	01/15/13	40	20 5750	823.00	23 5560	942 24	119 24	83	8.78
1 EUR PAR ORDINARY		02/26/13	43	18.9032	812 84	23 5560	1,012.91	200 07	90	8.78
		05/31/13	41	20.8804	856 10	23 5560	965 80	109.70	85	8 78
		08/26/13	64	22.3325	1,429 28	23.5560	1,507.58	78 30	133	8 78
Subtotal			*188*		*3,921.22*		***4,428.53***	*507 31*	***391***	*8 78*
GLAXOSMITHKLINE NEW	GLAXF	01/15/13	37	22.1443	819 34	26 6904	987.54	168 20	36	3 59
.25GBP PAR ORDINARY		02/26/13	36	22 1822	798 56	26.6904	960 85	162 29	35	3 59
		04/03/13	72	23 6481	1,702.67	26.6904	1,921.71	219 04	70	3.59
		05/31/13	52	26 3500	1,370 20	26 6904	1,387.90	17 70	50	3.59
Subtotal			*197*		*4,690 77*		***5,258.00***	*567 23*	***191***	*3.59*
HSBC HLDG PLC SP ADR	HSBC	01/14/13	30	54 4500	1,633.50	55.1300	1,653.90	20 40	72	4.35
		02/25/13	30	55.0820	1,652 46	55 1300	1,653.90	1 44	72	4 35
		05/30/13	31	56.1454	1,740 51	55.1300	1,709.03	(31 48)	75	4.35
		10/10/13	18	54 2977	977.36	55 1300	992 34	14 98	44	4.35
Subtotal			*109*		*6,003.83*		***6,009.17***	*5 34*	***263***	*4 35*
IMPERIAL TOBACCO GRP	ITYBF	04/30/13	66	36 0681	2,380.50	38 7230	2,555 72	175.22		
10GBP PAR ORDINARY		05/30/13	29	36 8500	1,068 65	38 7230	1,122.97	54 32		
		10/10/13	16	35 7000	571.20	38.7230	619.57	48 37		
Subtotal			*111*		*4,020 35*		***4,298.26***	*277.91*		
KIRIN BREWERY 2503	KNBWF	04/04/13	147	16 4978	2,425 19	14 3951	2,116 08	(309 11)		
JPY PAR ORDINARY		05/30/13	53	16 7500	887 75	14 3951	762.94	(124 81)		
EST MKT PRICE AS OF 12/30/13										
		08/19/13	80	15.0157	1,201 26	14 3951	1,151 61	(49 65)		
		10/10/13	68	15 0000	1,020 00	14 3951	978.87	(41 13)		
Subtotal			*348*		*5,534 20*		***5,009.50***	*(524.70)*		

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
LUKOIL SPONSORED ADR	LUKOY	02/01/13	10	67 7540	677 54	63 1200	631 20	(46 34)	37	5 79
		02/04/13	7	67.3800	471.66	63 1200	441.84	(29 82)	26	5 79
		02/25/13	17	65.1000	1,106.70	63 1200	1,073.04	(33 66)	63	5 79
		05/30/13	36	61.0400	2,197.44	63.1200	2,272.32	74.88	132	5 79
		10/10/13	5	65.9800	329 90	63.1200	315.60	(14.30)	19	5 79
		11/07/13	20	64 7790	1,295.58	63 1200	1,262 40	(33.18)	74	5.79
Subtotal			*95*		*6,078 82*		***5,996.40***	*(82 42)*	***351***	*5 79*
MANULIFE FINANCIAL CORP	MFC	03/11/13	42	15 2526	640 61	19.7300	828.66	188.05	21	2 46
		03/12/13	105	15 2390	1,600.10	19.7300	2,071.65	471 55	52	2 46
		05/30/13	63	16.2663	1,024.78	19 7300	1,242.99	218 21	31	2 46
		08/16/13	61	17.0781	1,041 77	19 7300	1,203.53	161.76	30	2 46
		10/10/13	80	16.8580	1,348.64	19.7300	1,578.40	229.76	39	2 46
Subtotal			*351*		*5,655 90*		***6,925.23***	*1,269.33*	***173***	*2 46*
MTN GROUP LTD	MTNOF	01/14/13	14	20 7407	290.37◆	20.7203	290.08	(0 29)		
ZAR PAR ORDINARY		02/25/13	62	20 3500	1,261 70	20 7203	1,284 66	22 96		
		02/25/13	19	20 6505	392 36◆	20 7203	393 69	1 33		
		05/30/13	117	17.9500	2,100.15	20 7203	2,424 28	324.13		
Subtotal			*212*		*4,044 58*		***4,392.71***	*348.13*		
MUNICH RE-INS REGD	MURGF	01/14/13	6	183 3500	1,100 10	221 5067	1,329.04	228 94		
10 EUR PAR ORDINARY		02/25/13	9	181.0000	1,629.00	221.5067	1,993 56	364 56		
EST MKT PRICE AS OF 12/30/13										
		05/30/13	5	190 8600	954 30	221 5067	1,107.53	153.23		
		10/10/13	3	195 3000	585 90	221 5067	664 52	78 62		
Subtotal			*23*		*4,269 30*		***5,094.65***	*825 35*		
NESTLE SA CHAM UND VEVE	NSRGF	01/15/13	17	65 9023	1,120 34	73 4244	1,248 21	127 87		
EST MKT PRICE AS OF 12/30/13		02/26/13	26	69 5361	1,807 94	73 4244	1,909.03	101 09		
		05/31/13	33	67 9027	2,240 79	73.4244	2,423.01	182.22		
Subtotal			*76*		*5,169.07*		***5,580.25***	*411.18*		

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
NIPPON TEL & TEL 9432	NPPXF	07/23/13	81	53 6434	4,345 12	53 8509	4,361.92	16 80		
JPY PAR ORDINARY		08/19/13	24	50 8279	1,219.87	53 8509	1,292.42	72.55		
EST MKT PRICE AS OF 12/30/13										
Subtotal			*105*		*5,564.99*		***5,654.34***	*89.35*		
NORTH ATLANTIC DRILLING	NATDF	02/26/13	93	10.0100	930 93	9 4777	881.43	(49 50)		
5 USD PAR ORDINARY		04/30/13	12	8 8583	106 30	9 4777	113.73	7 43		
EST MKT PRICE AS OF 12/30/13										
		04/30/13	32	8 8581	283 46	9.4777	303.29	19 83		
		05/01/13	12	8 9700	107 64	9 4777	113.73	6.09		
		05/01/13	16	8.9700	143 52	9 4777	151.64	8.12		
		05/01/13	31	8.9700	278.07	9.4777	293 81	15 74		
		05/01/13	1	8.9700	8.97	9.4777	9 48	51		
		10/10/13	214	9 5900	2,052 26	9.4777	2,028.23	(24.03)		
Subtotal			*411*		*3,911.15*		***3,895.34***	*(15 81)*		
NOVARTIS ADR	NVS	01/14/13	21	65 5090	1,375.69	80 3800	1,687 98	312 29	44	2 55
		02/25/13	26	69 5480	1,808 25	80 3800	2,089.88	281.63	54	2.55
		05/30/13	27	73 1688	1,975 56	80 3800	2,170.26	194.70	56	2 55
		10/10/13	13	73 8276	959.76	80 3800	1,044.94	85.18	27	2 55
Subtotal			*87*		*6,119.26*		***6,993.06***	*873 80*	***181***	*2 55*
PT TELEKOMUNIKASI INDONE	TLKMF	01/14/13	2,990	0.1920	574 08	0 1767	528 33	(45.75)		
50 IDR PAR ORDINARY		02/25/13	6,385	0.2060	1,315 31	0 1767	1,128.23	(187 08)		
EST MKT PRICE AS OF 12/30/13										
		05/30/13	3,460	0 2440	844 24	0 1767	611.38	(232 86)		
Subtotal			*12,835*		*2,733 63*		***2,267.94***	*(465 69)*		
RECKITT BENCKISER GROUP	RBGPF	01/14/13	10	64.1000	641 00	79 3840	793 84	152 84		
GBP PAR ORDINARY		02/25/13	26	66.8900	1,739 14	79 3840	2,063 98	324 84		
		05/30/13	23	73 5500	1,691 65	79 3840	1,825 83	134 18		
		10/10/13	13	69.3200	901.16	79 3840	1,031 99	130 83		
Subtotal			*72*		*4,972 95*		***5,715.64***	*742.69*		

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
RIOCAN RL EST INV TR ONT	RIOCF	02/25/13	5	27.0400	135 20	23.3129	116.56	(18 64)	7	5.34
		05/30/13	67	26 6200	1,783.54	23 3129	1,561 96	(221.58)	84	5 34
Subtotal			*72*		*1,918.74*		***1,678.52***	*(240.22)*	***91***	*5.34*
ROCHE HOLDINGS GENUSH FN	RHHVF	01/15/13	1	210 7600	210.76	280 2046	280.20	69.44		
CHF PAR ORDINARY		02/26/13	6	229.3833	1,376.30	280.2046	1,681 23	304.93		
EST MKT PRICE AS OF 12/30/13										
		05/31/13	6	253.4550	1,520 73	280.2046	1,681.23	160.50		
Subtotal			*13*		*3,107 79*		***3,642.66***	*534.87*		
ROY DUT SHELL PLC B LON	RYDBF	01/15/13	18	35.7533	643 56	37.7624	679 72	36 16		
07GBP PAR ORDINARY		02/26/13	43	33 2809	1,431 08	37 7624	1,623.78	192.70		
		05/31/13	16	35 2450	563 92	37 7624	604.20	40 28		
Subtotal			*77*		*2,638 56*		***2,907.70***	*269.14*		
RTL GROUP (BRUSSELS LST	RTGFF	06/14/13	8	83 1287	665 03	128 0804	1,024 64	359 61		
EUR PAR ORDINARY		06/17/13	16	83.3600	1,333 76	128 0804	2,049 29	715 53		
		06/18/13	2	83.8500	167 70	128.0804	256 16	88 46		
		06/19/13	15	83.9960	1,259 94	128 0804	1,921 21	661 27		
		07/16/13	10	87.0570	870.57	128.0804	1,280 80	410.23		
		07/17/13	7	86 9185	608 43	128.0804	896 56	288.13		
Subtotal			*58*		*4,905 43*		***7,428.66***	*2,523.23*		
SANOFI	SNYNF	01/15/13	7	96 7771	677 44	106.2674	743 87	66.43	34	4 48
2 EUR PAR ORDINARY		02/26/13	20	92.1150	1,842 30	106 2674	2,125.35	283.05	96	4 48
		05/31/13	14	109.2192	1,529 07	106.2674	1,487.74	(41 33)	67	4 48
Subtotal			*41*		*4,048 81*		***4,356.96***	*308 15*	***197***	*4.48*
SIEMENS AG GERM	SMAWF	01/15/13	11	106 7781	1,174 56	137.1032	1,508 14	333 58		
EUR PAR ORDINARY		02/26/13	13	99.4638	1,293 03	137.1032	1,782.34	489 31		
ESI MKI PRICE AS OF 12/30/13										
		05/31/13	16	103 4581	1,655.33	137 1032	2,193.65	538.32		
Subtotal			*40*		*4,122 92*		***5,484.13***	*1,361.21*		

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November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SINGAPORE TELECOMM LT AD	SGAPY	01/14/13	5	28 1500	140 75	29 1000	145 50	4 75	7	4 41
		02/25/13	58	28 3100	1,641 98	29.1000	1,687 80	45 82	75	4.41
		05/30/13	53	30 1400	1,597 42	29.1000	1,542.30	(55 12)	69	4.41
		10/10/13	14	30 2600	423 64	29 1000	407.40	(16.24)	18	4 41
Subtotal			*130*		*3,803.79*		***3,783.00***	*(20.79)*	***169***	*4 41*
SMITHS GROUP PLC, LONDON *GBP PAR ORDINARY*	SMGKF	01/15/13	45	19 5871	881 42	24 5124	1,103 06	221 64		
		02/26/13	73	18.6757	1,363 33	24 5124	1,789 41	426.08		
		05/31/13	48	21.5270	1,033.30	24.5124	1,176 60	143.30		
Subtotal			*166*		*3,278.05*		***4,069.07***	*791.02*		
SONIC HEALTHCARE LTD *2AUD PAR ORDINARY*	SKHCF	01/14/13	88	14.1500	1,245 20	14 8332	1,305 32	60 12		
		02/25/13	96	13.7600	1,320 96	14.8332	1,423.99	103 03		
		05/30/13	92	14 1950	1,305.94	14.8332	1,364.65	58 71		
		10/10/13	25	14.9400	373.50	14.8332	370.83	(2.67)		
Subtotal			*301*		*4,245.60*		***4,464.79***	*219.19*		
STATOIL ASA *2 5NOK PAR ORDINARY*	STOHF	01/14/13	25	25.9300	648 25	24 2300	605.75	(42.50)		
EST MKT PRICE AS OF 12/30/13		02/25/13	45	25 6000	1,152 00	24 2300	1,090 35	(61 65)		
		05/30/13	4	23 0500	92.20	24.2300	96.92	4 72		
		10/10/13	13	22 7500	295 75	24.2300	314 99	19 24		
Subtotal			*87*		*2,188.20*		***2,108.01***	*(80 19)*		
TAIWAN S MANUFCTRING ADR	TSM	01/14/13	15	18 0700	271.05	17 4400	261 60	(9 45)	6	2.29
		02/25/13	41	18 6985	766.64	17 4400	715 04	(51.60)	17	2 29
		05/30/13	23	19.1082	439 49	17 4400	401.12	(38 37)	10	2 29
		10/10/13	5	17.5680	87 84	17 4400	87.20	(0 64)	2	2 29
Subtotal			*84*		*1,565 02*		***1,464.96***	*(100 06)*	***35***	*2.29*
TESCO PLC 5P FN *GBP PAR ORDINARY*	TSCDF	05/30/13	219	5.6500	1,237 35	5 5376	1,212 73	(24.62)		
		10/10/13	45	5 7200	257 40	5.5376	249 19	(8 21)		
Subtotal			*264*		*1,494.75*		***1,461.92***	*(32 83)*		

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TOTAL S A SP ADR	TOT	01/14/13	21	53.1161	1,115 44	61.2700	1,286 67	171 23	56	4 28
		02/25/13	22	50 6590	1,114 50	61.2700	1,347 94	233 44	58	4.28
		05/30/13	23	51 3913	1,182 00	61.2700	1,409 21	227 21	61	4 28
		08/16/13	27	55 3688	1,494 96	61.2700	1,654.29	159 33	71	4.28
		10/10/13	7	59 1485	414 04	61 2700	428 89	14 85	19	4 28
Subtotal			*100*		*5,320 94*		***6,127.00***	*806 06*	***265***	*4 28*
UNILEVER NV NY REG SHS	UN	01/14/13	16	38.2900	612 64	40 2300	643 68	31.04	19	2.94
		02/25/13	41	39 2463	1,609.10	40 2300	1,649.43	40.33	49	2 94
		05/30/13	39	41 7051	1,626 50	40.2300	1,568 97	(57.53)	47	2.94
		10/10/13	35	37 3880	1,308.58	40.2300	1,408.05	99.47	42	2 94
Subtotal			*131*		*5,156 82*		***5,270.13***	*113.31*	***157***	*2 94*
UNTD OVERSEAS BK SPN ADR	UOVEY	01/14/13	23	30 7500	707 25	33 6200	773 26	66 01	22	2 81
		02/25/13	47	31 6300	1,486 61	33 6200	1,580 14	93 53	45	2 81
		05/30/13	43	33 8800	1,456.84	33 6200	1,445 66	(11 18)	41	2 81
		10/10/13	20	33 4500	669 00	33 6200	672 40	3.40	19	2 81
Subtotal			*133*		*4,319.70*		***4,471.46***	*151 76*	***127***	*2 81*
VODAFONE GROP PLC SP ADR	VOD	01/14/13	57	26 2700	1,497 39	39 3100	2,240 67	743 28	91	4.04
		02/25/13	82	24.7558	2,029.98	39 3100	3,223 42	1,193 44	131	4 04
		05/30/13	48	29 4868	1,415 37	39 3100	1,886 88	471.51	77	4 04
Subtotal			*187*		*4,942 74*		***7,350.97***	*2,408 23*	***299***	*4.04*
ZURICH INSURANCE GROUP AG SHS SPON ADR	ZURVY	01/14/13	31	25 7680	798 81	29.1700	904 27	105 46		
		02/25/13	56	25 4678	1,426 20	29 1700	1,633.52	207.32		
		05/30/13	64	26 8181	1,716 36	29 1700	1,866 88	150 52		
		10/10/13	19	26 1000	495.90	29 1700	554 23	58 33		
Subtotal			*170*		*4,437 27*		***4,958.90***	*521.63*		
TOTAL					179,858 97		**201,052.79**	21,193 82	**3,582**	1 78

SANTA BARBARA DIV GR   Account Number: 02095

MERRILL LYNCH CONSULTS SERVICE

November 30, 2013 - December 31, 2013

YOUR EMA ASSETS

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ABBVIE INC SHS	ABBV	01/15/13	19	34.4473	654 50	52.8100	1,003.39	348 89	31	3.02
		02/25/13	80	37 9976	3,039.81	52.8100	4,224.80	1,184.99	128	3.02
		05/30/13	69	44 7491	3,087 69	52.8100	3,643 89	556.20	111	3.02
		10/10/13	18	45 2516	814 53	52 8100	950.58	136 05	29	3.02
Subtotal			*186*		*7,596 53*		***9,822.66***	*2,226.13*	***299***	*3 02*

SANTA BARBARA DIV GR

Account Number. 02095

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ACCENTURE PLC SHS	ACN	01/07/13	29	68 6900	1,992.01	82 2200	2,384 38	392 37	54	2 26
		02/25/13	28	75 2935	2,108.22	82.2200	2,302.16	193 94	53	2.26
		05/30/13	26	83 1557	2,162 05	82.2200	2,137.72	(24 33)	49	2 26
		10/10/13	9	71 1355	640 22	82 2200	739 98	99 76	17	2 26
Subtotal			*92*		*6,902 50*		***7,564.24***	*661 74*	***173***	*2 26*
ACE LIMITED	ACE	04/04/13	43	88 8144	3,819 02	103 5300	4,451.79	632 77	109	2.43
		05/30/13	20	89 9000	1,798.00	103.5300	2,070 60	272 60	51	2 43
		09/27/13	15	94.3720	1,415 58	103 5300	1,552 95	137.37	38	2 43
		10/10/13	8	92.8662	742 93	103 5300	828 24	85.31	21	2 43
Subtotal			*86*		*7,775 53*		***8,903.58***	*1,128 05*	***219***	*2 43*
APPLE INC	AAPL	01/07/13	4	521 4750	2,085.90	561.0200	2,244 08	158.18	49	2.17
		02/25/13	4	449 8775	1,799 51	561.0200	2,244.08	444.57	49	2.17
		04/04/13	3	427 5633	1,282 69	561 0200	1,683 06	400 37	37	2 17
		05/30/13	5	451.7620	2,258 81	561 0200	2,805 10	546.29	61	2 17
		10/10/13	2	490 2400	980.48	561.0200	1,122.04	141.56	25	2.17
Subtotal			*18*		*8,407 39*		***10,098.36***	*1,690 97*	***221***	*2.17*
AT&T INC	T	01/07/13	75	35.3980	2,654 85	35 1600	2,637 00	(17.85)	138	5 23
		02/25/13	73	35 7871	2,612.46	35.1600	2,566.68	(45 78)	135	5 23
		05/30/13	68	35.6879	2,426 78	35.1600	2,390.88	(35 90)	126	5 23
		08/26/13	43	33 9267	1,458 85	35.1600	1,511 88	53.03	80	5.23
		10/10/13	30	33 9480	1,018 44	35.1600	1,054 80	36.36	56	5 23
Subtotal			*289*		*10,171 38*		***10,161.24***	*(10 14)*	***535***	*5.23*
BANK NEW YORK MELLON *CORP*	BK	09/06/13	83	30.5373	2,534 60	34 9400	2,900 02	365.42	50	1.71
		09/09/13	76	30 6419	2,328 79	34 9400	2,655.44	326.65	46	1 71
		09/10/13	31	31 1974	967 12	34 9400	1,083 14	116 02	19	1.71
		10/10/13	19	30.5873	581 16	34 9400	663 86	82 70	12	1 71
		11/20/13	49	33 4646	1,639 77	34 9400	1,712 06	72 29	30	1 71
Subtotal			*258*		*8,051 44*		***9,014.52***	*963 08*	***157***	*1 71*

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SANTA BARBARA DIV GR Account Number -02095

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BLACKROCK INC	BLK	01/07/13	3	217 2900	651 87	316 4700	949 41	297.54	21	2 12
		02/25/13	9	241.7855	2,176 07	316.4700	2,848.23	672.16	61	2 12
		05/30/13	8	285.8837	2,287 07	316 4700	2,531 76	244 69	54	2.12
		10/10/13	2	276 4900	552 98	316.4700	632.94	79 96	14	2 12
Subtotal			*22*		*5,667 99*		***6,962.34***	*1,294 35*	***150***	*2.12*
CATERPILLAR INC DEL	CAT	01/07/13	19	95.3684	1,812 00	90 8100	1,725.39	(86.61)	46	2 64
		02/25/13	18	90 8850	1,635 93	90.8100	1,634 58	(1 35)	44	2 64
		05/30/13	16	86.6800	1,386 88	90.8100	1,452 96	66.08	39	2.64
		10/10/13	6	84.6716	508 03	90 8100	544.86	36.83	15	2 64
Subtotal			*59*		*5,342 84*		***5,357.79***	*14.95*	***144***	*2 64*
CHEVRON CORP	CVX	01/07/13	24	109.5366	2,628 88	124.9100	2,997.84	368.96	96	3 20
		02/25/13	24	115.7508	2,778 02	124 9100	2,997.84	219 82	96	3 20
		05/30/13	21	125 6671	2,639 01	124 9100	2,623 11	(15 90)	84	3 20
		10/10/13	8	115.5150	924 12	124 9100	999 28	75.16	32	3 20
Subtotal			*77*		*8,970 03*		***9,618.07***	*648 04*	***308***	*3.20*
COCA COLA COM	KO	01/07/13	73	37 3661	2,727 73	41 3100	3,015 63	287 90	82	2 71
		02/25/13	72	38 1650	2,747 88	41 3100	2,974.32	226.44	81	2.71
		05/30/13	64	40 9998	2,623 99	41.3100	2,643 84	19 85	72	2.71
		10/10/13	19	37 5552	713 55	41 3100	784.89	71 34	22	2 71
Subtotal			*228*		*8,813 15*		***9,418.68***	*605 53*	***257***	*2 71*
COVIDIEN PLC SHS NEW	COV	03/05/13	8	58.9887	471 91	68.1000	544 80	72 89	11	1 87
		03/06/13	34	59 5758	2,025 58	68 1000	2,315 40	289.82	44	1 87
		03/07/13	17	59 3982	1,009 77	68 1000	1,157.70	147 93	22	1 87
		05/30/13	26	59.5776	1,549 02	68 1000	1,770.60	221.58	34	1.87
		07/08/13	9	58.3733	525.36	68 1000	612.90	87.54	12	1 87
		10/10/13	9	60 1877	541 69	68 1000	612.90	71 21	12	1.87
Subtotal			*103*		*6,123 33*		***7,014.30***	*890 97*	***135***	*1 87*

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SANTA BARBARA DIV GR Account Number. -02095

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CULLEN FRST BKRS PV$0 01	CFR	01/07/13	33	56.8515	1,876.10	74 4300	2,456 19	580.09	66	2 68
		02/25/13	32	60 9853	1,951 53	74.4300	2,381 76	430 23	64	2 68
		05/30/13	29	64 8900	1,881 81	74 4300	2,158 47	276 66	58	2.68
		10/10/13	9	70 8500	637.65	74 4300	669 87	32 22	18	2.68
Subtotal			*103*		*6,347.09*		***7,666.29***	*1,319 20*	***206***	*2 68*
CVS CAREMARK CORP	CVS	01/07/13	39	49.9400	1,947 66	71.5700	2,791.23	843.57	43	1 53
		01/22/13	15	52 0226	780.34	71.5700	1,073 55	293 21	17	1 53
		02/25/13	52	51 4146	2,673 56	71 5700	3,721.64	1,048.08	58	1.53
		05/30/13	50	58 9280	2,946 40	71 5700	3,578.50	632 10	55	1 53
		10/10/13	15	58.4873	877 31	71 5700	1,073 55	196 24	17	1 53
Subtotal			*171*		*9,225.27*		***12,238.47***	*3,013 20*	***190***	*1.53*
EATON CORP PLC	ETN	01/07/13	22	55 8350	1,228 37	76 1200	1,674 64	446 27	37	2 20
		02/25/13	32	60.6459	1,940.67	76 1200	2,435 84	495 17	54	2.20
		05/30/13	31	67 7261	2,099 51	76 1200	2,359 72	260 21	53	2 20
		10/10/13	10	67 2570	672.57	76 1200	761.20	88 63	17	2.20
Subtotal			*95*		*5,941.12*		***7,231.40***	*1,290.28*	***161***	*2 20*
FIDELITY NATL INFO SVCS *INC*	FIS	01/07/13	34	35.6988	1,213 76	53.6800	1,825 12	611 36	30	1 63
		02/25/13	50	37 1844	1,859 22	53 6800	2,684.00	824 78	44	1.63
		05/30/13	47	45 8151	2,153 31	53 6800	2,522.96	369 65	42	1 63
		10/10/13	12	45.5175	546.21	53 6800	644 16	97 95	11	1 63
Subtotal			*143*		*5,772 50*		***7,676.24***	*1,903 74*	***127***	*1 63*
HONEYWELL INTL INC DEL	HON	06/17/13	73	79 5439	5,806 71	91 3700	6,670.01	863 30	132	1 97
		10/10/13	7	84 2257	589 58	91 3700	639 59	50 01	13	1 97
Subtotal			*80*		*6,396.29*		***7,309.60***	*913 31*	***145***	*1 97*
ITC HLDGS CORP	ITC	01/07/13	19	80.4942	1,529 39	95 8200	1,820.58	291.19	33	1 77
		02/25/13	19	82 6742	1,570 81	95.8200	1,820 58	249 77	33	1 77
		05/30/13	17	87.6200	1,489 54	95 8200	1,628 94	139 40	29	1 77
		10/10/13	7	96 4200	674 94	95 8200	670 74	(4 20)	12	1.77
Subtotal			*62*		*5,264.68*		***5,940.84***	*676 16*	***107***	*1 77*

SANTA BARBARA DIV GR

Account Number. 02095

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
JPMORGAN CHASE & CO	JPM	01/07/13	59	45 3167	2,673.69	58 4800	3,450.32	776.63	90	2 59
		02/25/13	58	48 7258	2,826 10	58.4800	3,391.84	565 74	89	2.59
		05/30/13	52	55 6176	2,892 12	58.4800	3,040 96	148.84	80	2 59
		10/10/13	18	52 0788	937 42	58 4800	1,052.64	115.22	28	2.59
Subtotal			*187*		*9,329 33*		***10,935.76***	*1,606.43*	***287***	*2.59*
KINDER MORGAN INC DEL	KMI	01/07/13	52	37.1025	1,929.33	36 0000	1,872 00	(57 33)	86	4 55
		02/25/13	53	36 7971	1,950 25	36.0000	1,908 00	(42.25)	87	4 55
		05/30/13	50	39 0256	1,951 28	36 0000	1,800 00	(151.28)	82	4 55
		09/27/13	51	35 8286	1,827 26	36.0000	1,836 00	8 74	84	4.55
		10/10/13	24	35 1858	844 46	36 0000	864 00	19.54	40	4 55
Subtotal			*230*		*8,502 58*		***8,280.00***	*(222 58)*	***379***	*4 55*
LOWE'S COMPANIES INC	LOW	01/07/13	6	34 8850	209.31	49 5500	297 30	87.99	5	1.45
		02/25/13	64	37 5400	2,402 56	49 5500	3,171 20	768.64	47	1 45
		05/30/13	60	42 9076	2,574 46	49 5500	2,973.00	398.54	44	1.45
		10/10/13	22	47 6550	1,048 41	49.5500	1,090 10	41.69	16	1 45
Subtotal			*152*		*6,234 74*		***7,531.60***	*1,296 86*	***112***	*1 45*
MACYS INC	M	11/20/13	136	50.9008	6,922 52	53.4000	**7,262.40**	339 88	**136**	1.87
MC CORMICK NON VTG	MKC	01/07/13	21	65 1400	1,367.94	68 9200	1,447 32	79.38	32	2 14
		02/25/13	33	66.1687	2,183 57	68 9200	2,274.36	90.79	49	2 14
		05/30/13	29	71.4100	2,070 89	68 9200	1,998.68	(72 21)	43	2.14
		10/10/13	9	66 0566	594.51	68 9200	620 28	25.77	14	2 14
Subtotal			*92*		*6,216 91*		***6,340.64***	*123 73*	***138***	*2.14*
MICROSOFT CORP	MSFT	01/07/13	77	26 7197	2,057 42	37 4100	2,880.57	823.15	87	2 99
		02/25/13	76	27 7684	2,110 40	37.4100	2,843 16	732 76	86	2.99
		05/30/13	70	35 1100	2,457 70	37 4100	2,618 70	161.00	79	2 99
		10/10/13	26	33 3600	867 36	37.4100	972 66	105 30	30	2 99
Subtotal			*249*		*7,492 88*		***9,315.09***	*1,822.21*	***282***	*2.99*

SANTA BARBARA DIV GR Account Number. 02095

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
MOTOROLA SOLUTIONS INC	MSI	01/07/13	37	56 6654	2,096 62	67 5000	2,497 50	400.88	46	1.83
		02/25/13	37	61 4754	2,274 59	67 5000	2,497 50	222 91	46	1 83
		05/30/13	31	58.7100	1,820 01	67 5000	2,092 50	272 49	39	1 83
		10/10/13	12	60 4975	725 97	67 5000	810.00	84 03	15	1 83
Subtotal			*117*		*6,917 19*		***7,897.50***	*980 31*	***146***	*1.83*
NEXTERA ENERGY INC SHS	NEE	01/07/13	5	70 5980	352 99	85 6200	428 10	75 11	14	3 08
		02/25/13	35	73 1420	2,559 97	85 6200	2,996 70	436.73	93	3 08
		05/30/13	32	76 2446	2,439 83	85 6200	2,739 84	300 01	85	3 08
		10/10/13	9	80.8022	727 22	85 6200	770.58	43.36	24	3 08
Subtotal			*81*		*6,080 01*		***6,935.22***	*855 21*	***216***	*3 08*
NOVO NORDISK A S ADR	NVO	01/07/13	13	166 5953	2,165 74	184.7600	2,401.88	236.14	30	1.22
		02/25/13	13	175 1900	2,277 47	184 7600	2,401 88	124 41	30	1 22
		05/30/13	11	166 3927	1,830 32	184.7600	2,032.36	202 04	25	1.22
		10/10/13	4	167.5200	670 08	184.7600	739.04	68.96	10	1 22
Subtotal			*41*		*6,943 61*		***7,575.16***	*631 55*	***95***	*1 22*
ONEOK INC (OKLAHOMA)	OKE	01/07/13	30	44 4073	1,332 22	62 1800	1,865 40	533 18	46	2 44
		02/25/13	41	47.1265	1,932 19	62 1800	2,549.38	617 19	63	2.44
		05/30/13	38	45 6800	1,735.84	62 1800	2,362.84	627 00	58	2 44
		10/10/13	11	54.8872	603 76	62 1800	683.98	80 22	17	2 44
Subtotal			*120*		*5,604 01*		***7,461.60***	*1,857 59*	***184***	*2 44*
PACKAGING CORP AMERICA	PKG	06/18/13	49	49.6751	2,434 08	63 2800	3,100 72	666 64	79	2 52
		06/19/13	10	49.6180	496.18	63 2800	632.80	136 62	16	2 52
		08/22/13	15	54 0433	810 65	63 2800	949.20	138 55	24	2 52
		08/23/13	7	54.0528	378 37	63 2800	442 96	64.59	12	2 52
		10/10/13	9	56 9255	512 33	63 2800	569.52	57.19	15	2.52
Subtotal			*90*		*4,631 61*		***5,695.20***	*1,063.59*	***146***	*2.52*

SANTA BARBARA DIV GR   Account Number: -02095

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
PFIZER INC	PFE	01/07/13	114	25 9958	2,963 53	30 6300	3,491 82	528 29	119	3.39
		02/25/13	114	27 1171	3,091 35	30 6300	3,491.82	400 47	119	3.39
		05/30/13	100	28 3058	2,830 58	30.6300	3,063.00	232 42	104	3.39
		10/10/13	38	28 5186	1,083 71	30.6300	1,163 94	80 23	40	3 39
Subtotal			*366*		*9,969.17*		***11,210.58***	*1,241 41*	***382***	*3 39*
PHILIP MORRIS INTL INC	PM	01/07/13	29	86.1641	2,498 76	87.1300	2,526.77	28 01	110	4.31
		02/25/13	28	93 0200	2,604.56	87.1300	2,439.64	(164.92)	106	4.31
		05/30/13	26	92 5776	2,407 02	87.1300	2,265 38	(141.64)	98	4 31
		09/27/13	19	87 3373	1,659 41	87 1300	1,655.47	(3 94)	72	4.31
		10/10/13	10	85 4060	854 06	87.1300	871.30	17.24	38	4 31
Subtotal			*112*		*10,023.81*		***9,758.56***	*(265.25)*	***424***	*4 31*
PHILLIPS 66 SHS	PSX	01/29/13	32	60 0371	1,921 19	77.1300	2,468 16	546.97	50	2 02
		02/25/13	31	63 2512	1,960 79	77 1300	2,391.03	430.24	49	2.02
		05/30/13	30	66 5903	1,997.71	77.1300	2,313.90	316 19	47	2 02
		10/10/13	7	58 6914	410 84	77 1300	539.91	129.07	11	2.02
Subtotal			*100*		*6,290 53*		***7,713.00***	*1,422.47*	***157***	*2.02*
QUALCOMM INC	QCOM	01/07/13	37	64 0100	2,368 37	74.2500	2,747.25	378.88	52	1.88
		02/25/13	34	65 9482	2,242 24	74 2500	2,524 50	282 26	48	1 88
		05/24/13	14	64 2914	900 08	74.2500	1,039.50	139.42	20	1 88
		05/30/13	39	64.5869	2,518.89	74.2500	2,895.75	376 86	55	1.88
		10/10/13	14	66 6900	933.66	74.2500	1,039 50	105 84	20	1 88
Subtotal			*138*		*8,963.24*		***10,246.50***	*1,283.26*	***195***	*1 88*
SEADRILL LTD	SDRL	01/07/13	67	37.6998	2,525.89	41 0800	2,752.36	226.47	255	9.25
		02/25/13	65	36 6653	2,383 25	41 0800	2,670.20	286.95	247	9 25
		05/30/13	58	41 4558	2,404.44	41 0800	2,382.64	(21 80)	221	9.25
		08/26/13	9	44.0277	396 25	41.0800	369.72	(26.53)	35	9.25
		10/10/13	22	45 3381	997.44	41.0800	903.76	(93 68)	84	9 25
Subtotal			*221*		*8,707 27*		***9,078.68***	*371 41*	***842***	*9.25*

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SANTA BARBARA DIV GR   Account Number: 02095

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SOUTHERN COPPER CORP	SCCO	01/07/13	73	39 4176	2,877 49	28.7100	2,095 83	(781.66)	50	2 36
		02/25/13	74	36 5028	2,701.21	28 7100	2,124.54	(576 67)	51	2 36
		05/30/13	62	31.9698	1,982.13	28 7100	1,780.02	(202 11)	43	2 36
		10/10/13	26	26 9173	699.85	28 7100	746 46	46 61	18	2 36
Subtotal			*235*		*8,260 68*		***6,746.85***	*(1,513 83)*	***162***	*2.36*
TIME WARNER CABLE INC *SHS*	TWC	01/07/13	15	97.2280	1,458 42	135 5000	2,032 50	574 08	39	1 91
		02/25/13	25	87 0536	2,176 34	135 5000	3,387 50	1,211 16	65	1 91
		05/30/13	24	97.0625	2,329 50	135 5000	3,252 00	922.50	63	1.91
		10/10/13	7	115.4285	808.00	135.5000	948.50	140 50	19	1 91
Subtotal			*71*		*6,772.26*		***9,620.50***	*2,848 24*	***186***	*1 91*
TUPPERWARE BRANDS CORP	TUP	05/15/13	16	82 8400	1,325 44	94.5300	1,512 48	187.04	40	2.62
		05/16/13	8	83 2612	666 09	94 5300	756 24	90 15	20	2 62
		05/30/13	11	81 9400	901.34	94 5300	1,039.83	138 49	28	2 62
		08/22/13	12	83 1625	997.95	94 5300	1,134 36	136.41	30	2.62
		08/23/13	6	83 6966	502 18	94 5300	567.18	65 00	15	2 62
		10/10/13	5	86.4640	432.32	94 5300	472.65	40 33	13	2 62
Subtotal			*58*		*4,825 32*		***5,482.74***	*657.42*	***146***	*2 62*
UNION PACIFIC CORP	UNP	01/07/13	17	130.2611	2,214 44	168.0000	2,856 00	641 56	54	1.88
		02/25/13	16	136 3850	2,182.16	168 0000	2,688 00	505 84	51	1 88
		05/30/13	16	157.9156	2,526 65	168 0000	2,688 00	161.35	51	1.88
		10/10/13	5	156.1020	780 51	168 0000	840 00	59 49	16	1.88
		11/01/13	12	152 9850	1,835 82	168 0000	2,016.00	180 18	38	1.88
Subtotal			*66*		*9,539 58*		***11,088.00***	*1,548 42*	***210***	*1 88*
UNITEDHEALTH GROUP INC	UNH	09/06/13	79	74 3697	5,875 21	75 3000	5,948 70	73 49	89	1 48
		10/10/13	8	73 4775	587 82	75 3000	602 40	14 58	9	1.48
		11/01/13	12	68.4125	820.95	75 3000	903.60	82.65	14	1 48
Subtotal			*99*		*7,283 98*		***7,454.70***	*170.72*	***112***	*1.48*

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SANTA BARBARA DIV GR Account Number -02095

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
V F CORPORATION	VFC	01/07/13	32	37.3390	1,194 85	62 3400	1,994 88	800 03	34	1 68
		02/25/13	60	40 0365	2,402 19	62 3400	3,740 40	1,338.21	63	1 68
		05/30/13	56	46 3625	2,596 30	62 3400	3,491 04	894.74	59	1.68
		10/10/13	16	48 6312	778 10	62 3400	997 44	219.34	17	1.68
Subtotal			*164*		*6,971 44*		***10,223.76***	*3,252.32*	***173***	*1.68*
WELLS FARGO & CO NEW DEL	WFC	01/07/13	63	34 6952	2,185 80	45 4000	2,860 20	674.40	76	2 64
		02/25/13	62	35 5166	2,202.03	45 4000	2,814 80	612 77	75	2 64
		05/24/13	25	40 1360	1,003.40	45 4000	1,135.00	131.60	30	2 64
		05/30/13	67	41 3971	2,773.61	45 4000	3,041 80	268 19	81	2.64
		10/10/13	25	41 2552	1,031 38	45 4000	1,135.00	103.62	30	2.64
Subtotal			*242*		*9,196.22*		***10,986.80***	*1,790 58*	***292***	*2 64*
WHIRLPOOL CORP	WHR	04/22/13	24	116 7650	2,802 36	156 8600	3,764 64	962.28	60	1.59
		05/30/13	17	129.5200	2,201 84	156.8600	2,666 62	464 78	43	1 59
		10/10/13	5	138.1440	690 72	156.8600	784 30	93 58	13	1 59
Subtotal			*46*		*5,694 92*		***7,215.56***	*1,520 64*	***116***	*1 59*
TOTAL					300,142 87		**344,055.02**	43,912 15	**9,052**	2 63

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ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated EAI is only an estimate of income generated by the investment and the actual income may be higher or lower In the event the investment matures, is sold or called, the full EAI may not be realized

** The Unrealized Gain/Loss may not reflect your investments' total return Specifically, the net cost may include dividend and capital gains distributions which have been reinvestedAdditionally, the information that appears in these columns may be based on information provided by you or at your direction RBC has not verified such data Please see "About Your Statement" on page 2 for further information*

Your Financial Advisor has elected to display Asset Detail with the following options asset purchases (tax lots) listed individually, reinvested dividends and capital gains distributions consolidated,and the cost of reinvested dividends and capital gains distributions included

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	PURCHASE DATE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
EATON VANCE ATLANTA CAP	EISMX	11,668.911	$24 690	$288,105 41		$230,853.99	$57,251 42	
SMID CAP FD CL I		4,081.633			12/26/12	$74,035 00	$26,740 52	
		3,703 704			02/20/13	$74,035 00	$17,409 45	
		3,503.788			05/24/13	$74,035.00	$12,473.52	
		307 557			10/03/13	$7,035 00	$558.58	
		72.229			Reinvest	$1,713.99	$69 34	
RIVERPARK WEDGEWOOD FUND	RWGIX	20,471 541	$17.650	$361,322 70		$296,350.79	$64,971 91	
INSTL CL		6,734 993			12/26/12	$92,035.00	$26,837 63	
		6,424.581			02/20/13	$92,035 00	$21,358 86	
		6,080 635			05/24/13	$92,035 00	$15,288 21	
		925 355			10/03/13	$15,035 00	$1,297 52	
		305.977			Reinvest	$5,210.79	$189 70	
RS INVT TR	RSNYX	8,928 761	$35 760	$319,292.49		$335,273.17	-$15,980 68	
GLOBAL NATURAL RES FD CL Y		2,510 917			12/26/12	$92,000 00	-$2,209.61	
		2,451 372			02/20/13	$92,000 00	-$4,338 94	
		2,371 745			05/24/13	$92,000.00	-$7,186 40	
		1,267 494			10/03/13	$48,000 00	-$2,674.42	
		327.233			Reinvest	$11,273 17	$428 68	
TOTAL US EQUITIES				**$968,720.60**		**$862,477.95**	**$106,242.65**	

WTAS LLC

Brokerage provided by RBC Advisor Services

ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	PURCHASE DATE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ABERDEEN FDS EMERGING MKTS INSTL FD	ABEMX	13,202.047	$14.470	$191,033 62		$206,481.94	-$15,448.32	$2,666 81
		3,523.382			*12/26/12*	*$55,035.00*	*-$4,051.66*	
		3,448.276			*02/20/13*	*$55,035 00*	*-$5,138.45*	
		3,452.605			*05/24/13*	*$55,035 00*	*-$5,075.81*	
		2,615.694			*10/03/13*	*$39,035 00*	*-$1,185.91*	
		162 090			*Reinvest*	*$2,341 94*	*$3 50*	
DREYFUS INVESTMENTS INTERNATIONAL STOCK FUND CL I	DISRX	12,634.169	$15.580	$196,840 35		$189,038.02	$7,802.33	$3,019 57
		3,754 266			*12/26/12*	*$55,035.00*	*$3,456.46*	
		3,723.764			*02/20/13*	*$55,035.00*	*$2,981.24*	
		3,611.293			*05/24/13*	*$55,035 00*	*$1,228.94*	
		1,482.914			*10/03/13*	*$23,035.00*	*$68 80*	
		61 932			*Reinvest*	*$898.02*	*$66 88*	
TOTAL INTERNATIONAL EQUITIES				**$387,873.97**		**$395,519.96**	**-$7,645.99**	**$5,686.38**

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	PURCHASE DATE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
EATON VANCE MUT FDS TR FLTG RATE FD CL I	EIBLX	14,534 073	$9 190	$133,568 13		$133,373 54	$194 59	$5,217.73
		4,057 018			*12/26/12*	*$37,035.00*	*$249 00*	
		4,039.301			*02/20/13*	*$37,035 00*	*$86.18*	
		4,008.667			*05/24/13*	*$37,035 00*	*-$195.35*	
		2,076 503			*10/03/13*	*$19,035.00*	*$48 06*	
		352 584			*Reinvest*	*$3,233 54*	*$6 71*	
FIDELITY NEW MARKETS INCOME INCOME FUND	FNMIX	21,128 127	$15 590	$329,387.50		$360,552 96	-$31,165 46	$15,930.61
		5,183 099			*12/26/12*	*$92,035.00*	*-$11,230.49*	
		5,278 256			*02/20/13*	*$92,035.00*	*-$9,746 99*	
		5,367 561			*05/24/13*	*$92,035 00*	*-$8,354 72*	
		4,405 286			*10/03/13*	*$70,035.00*	*-$1,356.59*	
		893 925			*Reinvest*	*$14,412.96*	*-$476 67*	
PIMCO FOREIGN BOND FUND INSTL CL HEDGED	PFORX	31,926.968	$10 520	$335,871 70		$344,661.59	-$8,789 89	$7,822.11
		8,394 161			*12/26/12*	*$92,035.00*	*-$3,728.43*	
		8,542.247			*02/20/13*	*$92,035 00*	*-$2,170 56*	
		8,518 519			*05/24/13*	*$92,035.00*	*-$2,420 18*	
		5,482 042			*10/03/13*	*$58,035.00*	*-$363 92*	
		989 999			*Reinvest*	*$10,521 59*	*-$106 81*	
PIMCO TOTAL RETURN FUND INSTL CL	PTTRX	114,693.962	$10.690	$1,226,078 45		$1,175,893 54	$50,184 91	$31,655.53
		55,638 844			*01/12/07*	*$575,868 54*	*$18,910 70*	
		59,055 118			*04/08/09*	*$600,025 00*	*$31,274 21*	
TEMPLETON GLOBAL BOND FUND ADVISOR CL	TGBAX	25,667 593	$13 090	$335,988 79		$341,501 80	-$5,513 01	$13,167.48
		6,953.893			*12/26/12*	*$92,000 00*	*-$973 54*	
		6,840 149			*02/20/13*	*$92,000 00*	*-$2,462 45*	

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	PURCHASE DATE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
		6,835 067			*05/24/13*	*$92,000.00*	*-$2,528.97*	
		4,237 288			*10/03/13*	*$55,000.00*	*$466.10*	
		801 196			*Reinvest*	*$10,501.80*	*-$14.13*	
VANGUARD MALVERN FDS	VTAPX	13,348 957	$24 700	$329,719 24		$331,221 78	-$1,502 54	$186 89
SHRT TRM INFLTN PRTCTD SECS FD		*13,341 395*			*10/03/13*	*$331,035 00*	*-$1,502.54*	
		7 562			*Reinvest*	*$186.78*	*$0 00*	
TOTAL TAXABLE FIXED INCOME		**221,299.680**		**$2,690,613.81**		**$2,687,205.21**	**$3,408.60**	**$73,980.35**

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	PURCHASE DATE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ING GLOBAL REAL ESTATE FD	IRGWX	7,128 514	$18.350	$130,808.23		$132,565 26	-$1,757.03	$5,374.90
CL W		*2,009.777*			*12/26/12*	*$37,000 00*	*-$120 59*	
		2,016 349			*02/20/13*	*$37,000 00*	*$0 00*	
		1,922 078			*05/24/13*	*$37,000.00*	*-$1,729 87*	
		1,039.387			*10/03/13*	*$19,000 00*	*$72 75*	
		140 923			*Reinvest*	*$2,565 26*	*$20 68*	
PIMCO COMMODITY REAL RETURN	PCRIX	17,612.142	$5 490	$96,690.66		$110,737 26	-$14,046.60	$1,444.20
STRATEGY FUND INSTITUTIONAL CL		*4,197 901*			*12/26/12*	*$28,035 00*	*-$4,988 52*	
		4,242.424			*02/20/13*	*$28,035 00*	*-$4,744.09*	
		4,508.857			*05/24/13*	*$28,035 00*	*-$3,281.37*	
		4,210 526			*10/03/13*	*$24,035 00*	*-$919.21*	
		452.434			*Reinvest*	*$2,597.26*	*-$113.39*	
SPDR GOLD TR	GLD	780.000	$116 120	$90,573 60		$111,270 12	-$20,696.52	
GOLD SHS		*174 000*			*12/26/12*	*$27,995.94*	*-$7,791 06*	
		183 000			*02/20/13*	*$28,054.08*	*-$6,804.12*	
		210 000			*05/24/13*	*$28,188.39*	*-$3,803.19*	
		213.000			*10/03/13*	*$27,031 71*	*-$2,298 15*	
TOTAL OTHER ASSETS				**$318,072.49**		**$354,572.64**	**-$36,500.15**	**$6,819.10**