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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE ASHURST FOUNDATION CO ELIZABETH K POZEN		A Employer identification number 20-6325176	
Number and street (or P O box number if mail is not delivered to street address) 9 ARLINGTON STREET		B Telephone number (see instructions) (617) 816-7904	
City or town, state or province, country, and ZIP or foreign postal code BOSTON, MA 021163410		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input type="checkbox"/> \$ 19,526,531		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	963,464			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	231	231		
	4 Dividends and interest from securities.	581,015	581,015		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	3,601,998			
	b Gross sales price for all assets on line 6a 6,362,664				
	7 Capital gain net income (from Part IV, line 2) . . .		3,601,998		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	5,146,708	4,183,244		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)	21,289	21,289		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	60,795	54,435		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	500	0		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	82,584	75,724		0
	25 Contributions, gifts, grants paid	637,550			637,550
	26 Total expenses and disbursements. Add lines 24 and 25	720,134	75,724		637,550
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	4,426,574			
	b Net investment income (if negative, enter -0-)		4,107,520		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		2	2
	2	Savings and temporary cash investments	2,903,907	180,443	180,443
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	11,171,347	10,258,395	10,258,395
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,381,077	9,087,691	9,087,691
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	16,456,331	19,526,531	19,526,531
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	16,456,331	19,526,531	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions)	16,456,331	19,526,531	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	16,456,331	19,526,531	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	16,456,331
2	Enter amount from Part I, line 27a	2	4,426,574
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	20,882,905
5	Decreases not included in line 2 (itemize) ▶ _____	5	1,356,374
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	19,526,531

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,601,998
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	420,422	12,676,936	0 033164
2011	312,000	8,519,649	0 036621
2010	239,925	6,277,928	0 038217
2009	300,050	4,883,704	0 061439
2008	143,717	6,154,853	0 023350

2	Total of line 1, column (d).	2	0 192791
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 038558
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	17,900,743
5	Multiply line 4 by line 3.	5	690,217
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	41,075
7	Add lines 5 and 6.	7	731,292
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	637,550

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	82,150
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2.	3	82,150
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	82,150
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	6,360
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	6,360
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	75,790
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MA _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶HARTNETT COMPANY Telephone no ▶(781) 721-5666 Located at ▶48 MT VERNON STREET WINCHESTER MA ZIP +4 ▶018902712			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIZABETH K POZEN	TRUSTEE	0	0	0
9 ARLINGTON STREET BOSTON,MA 021163410	0 00			
ROBERT C POZEN	TRUSTEE	0	0	0
9 ARLINGTON STREET BOSTON,MA 021163410	0 00			
DAVID E POZEN	TRUSTEE	0	0	0
305 RIVERSIDE DRIVE NEW YORK,NY 10025	0 00			
JOANNA R POZEN	TRUSTEE	0	0	0
27 PERRY STREET NEW YORK,NY 10014	0 00			

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	17,301,446
b	Average of monthly cash balances.	1b	871,897
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	18,173,343
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	18,173,343
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	272,600
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	17,900,743
6	Minimum investment return. Enter 5% of line 5.	6	895,037

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	895,037
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	82,150
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	82,150
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	812,887
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	812,887
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	812,887

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	637,550
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	637,550
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	637,550
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				812,887
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			627,032	
b Total for prior years 20__, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 637,550				
a Applied to 2012, but not more than line 2a			627,032	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				10,518
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				802,369
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV

4942(j)(3) or 4942(j)(5)

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ROBERT C POZEN

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ If the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	637,550
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d


(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE FLOATING RATE INC TR - 625 SHS		2013-04-17	2013-05-20
FIDELITY CANADA FUND - 36 SHS		2012-12-07	2013-04-16
FIDELITY FLOATING RA TE HIGH INC - 1018 SHS		2012-04-30	2013-04-16
FIDELITY FLOATING RA TE HIGH INC - 1000 SHS	P	2013-05-02	2013-05-20
FIDELITY ADVISOR NEW INSIGHTS FD - 152 SHS	P	2012-12-17	2013-04-16
FIDELITY CONVERTIBLE SECURITIES FD - 176 SHS	P	2012-07-06	2013-04-16
FIDELITY FLOATING RA TE HIGH INC - 213 SHS	P	2012-01-31	2013-04-16
FIDELITY CONVERTIBLE SECURITIES FD - 42 SHS	P	2012-04-04	2013-04-16
ADOBE SYS INC - 2600 SHS	P	2003-04-23	2013-04-16
AKAMAI TECHNOLOGIES INC - 36000 SHS	P	2003-12-12	2013-04-16
AMERICAN EXPRESS CO - 3950 SHS	P	2003-01-10	2013-04-16
BAKER HUGHES - 1075 SHS	P	2003-03-25	2013-04-16
DEVON ENERGY CORP - 1050 SHS	P	2004-03-17	2013-04-16
DISNEY WALT CO - 5750 SHS	P	2002-06-19	2013-04-16
EXXON MOBIL CORP - 2010 SHS	P	2003-09-03	2013-04-16
FIDELITY CANADA FUND - 2478 SHS	P	2007-12-12	2013-04-16
FIDELITY FLOATING RA TE HIGH INC - 1759 SHS	P	2008-12-31	2013-04-16
FIDELITY ADVISOR NEW INSIGHTS FD - 68726 SHS	P	2003-12-26	2013-04-16
FIDELITY CONVERTIBLE SECURITIES FD - 5936 SHS	P	2007-12-12	2013-04-16
GILEAD SCIENCES - 14470 SHS	P	2003-08-08	2013-04-16
GOLDMAN SACHS GROUP - 1105 SHS	P	2002-12-02	2013-04-16
HONEYWELL INTL INC - 2065 SHS	P	2003-01-10	2013-04-16
JP MORGAN CHASE - 3150 SHS	P	2004-12-29	2013-04-16
MONSANTO CO - 3200 SHS	P	2004-04-23	2013-04-16
NIKE INC - 1335 SHS	P	2004-11-29	2013-04-16
PHILIP MORRIS INTL - 2065 SHS	P	2004-12-29	2013-04-16
PHILLIPS 66 - 1300 SHS	P	2003-12-05	2013-04-16
UNITED TECHNOLOGIES - 1285 SHS	P	2003-01-10	2013-04-16
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h


(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,576		14,337	239
1,879		1,876	3
10,278		10,154	124
10,040		10,020	20
3,715		3,369	346
4,848		4,458	390
2,132		2,106	26
1,159		1,066	93
117,273		48,766	68,507
1,249,820		551,898	697,922
255,583		134,144	121,439
47,944		32,234	15,710
56,157		30,564	25,593
343,641		106,427	237,214
173,751		76,700	97,051
130,774		146,937	-16,163
17,590		13,657	3,933
1,681,730		800,307	881,423
163,822		164,786	-964
742,665		114,820	627,845
157,946		92,220	65,726
150,030		57,061	92,969
151,055		122,759	28,296
333,506		60,569	272,937
79,998		28,950	51,048
195,211		67,580	127,631
75,411		18,270	57,141
120,980		44,631	76,349
69,150			69,150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			239
			3
			124
			20
			346
			390
			26
			93
			68,507
			697,922
			121,439
			15,710
			25,593
			237,214
			97,051
			-16,163
			3,933
			881,423
			-964
			627,845
			65,726
			92,969
			28,296
			272,937
			51,048
			127,631
			57,141
			76,349
			69,150


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALZHEIMERS ASSOCIATION 311 ARSENAL STREET WATERTOWN,MA 02472		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	11,300
AM ACADEMY OF ARTS & SCIENCES 136 IRVING ST CAMBRIDGE,MA 02138		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	500
AMERICAN LIVER FOUNDATION 88 WINCHESTER STREET NEWTON,MA 02446		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	250
AMNESTY INTERNATIONAL 58 DAY ST SUITE 409 SOMERVILLE,MA 02144		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	5,000
ASHOKA 1700 MAIN ST ARLINGTON,VA 22209		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	250
BOSTON ARTS ACADEMY FOUNDATION 174 IPSWICH STREET BOSTON,MA 02215		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	10,000
BOSTON BOOK FESTIVAL 1100 MASS AVE CAMBRIDGE,MA 02138		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
BOSTON FOUNDATION 75 ARLINGTON STREET BOSTON,MA 02116		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	85,000
BOSTON HEALTHCARE FOR THE HOMELESS 780 ALBANY STREET BOSTON,MA 02118		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	5,000
BOSTON LYRIC 45 FRANKLIN STREET BOSTON,MA 02110		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	5,000
BOSTON PUBLIC LIBRARY FOUNDATION 700 BOYLSTON STREET BOSTON,MA 02116		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
BRIGHAM & WOMENS HOSPITAL 75 FRANCIS STREET BOSTON,MA 02215		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	300
BROOKINGS INSTITUTION 1775 MASS AVE NW WASHINGTON,DC 20036		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	10,000
CAMBRIDGE IN AMERICA - MURRAY EDWARDS COLLEGE 292 MADISON AVE NEWYORK,NY 10017		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,150
CAPE UNITED WAY 749 MAIN STREET HYANNIS,MA 02601		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	5,000
Total  3a				637,550


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CAPITAL MARKETS REGULATIONS COMMITTEE 125 MT AUBURN STREET CAMBRIDGE,MA 02138		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	2,000
COMBINED JEWISH PHILANTROPIES 126 HIGH STREET BOSTON,MA 02110		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	10,000
COMMITTEE TO LIGHT COMMONWEALTH AVE 234 CLARENDON STREET BOSTON,MA 02116		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
COMMUNITY LEGAL SERVICES AND COUNSELING ONE WEST STREET CAMBRIDGE,MA 02139		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	250
COMMUNITY STUDIES OF NY 155 WEST 72ND ST NEWYORK,NY 10023		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	7,200
COUNCIL ON FOREIGN RELATIONS 58 EAST 68TH ST NEWYORK,NY 10065		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	250
DIMOCK COMMUNITY HEALTH CENTER 55 DIMOCK STREET ROXBURY,MA 02119		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	5,000
DOCTORS WITHOUT BORDERS USA 333 7TH AVE 2ND FL NEWYORK,NY 10001		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
FACING HISTORY 16 HURD RD BROOKLINE,MA 02445		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	500
FEATHERSTONE ARTS CENTER PO BOX 114 OAK BLUFFS,MA 02557		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	100
FRACTIONAL ATLAS 248 W 35TH STREET NEWYORK,NY 10001		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	25,000
FRIENDS OF COTUIT LIBRARY 871 MAIN STREET COTUIT,MA 02635		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	250
FRIENDS OF THE PUBLIC GARDEN 87 MOUNT VERNON STREET BOSTON,MA 02108		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	5,000
HABITAT FOR HUMANITY PO BOX 1093 VINEYARD HAVEN,MA 02568		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	250
HARVARD HUMANIST CHAPLAINCY MEMORIAL CHURCH ONE HARVARD YARD CAMBRIDGE,MA 02135		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	500
Total  3a				637,550


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HARVARD UNIVERSITY 124 MOUNT AUBURN STREET CAMBRIDGE,MA 02138		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
HOME FOR LITTLE WANDERERS 271 HUNTINGTON AVE BOSTON,MA 02115		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	20,000
INSTITUTE FOR INTERNATIONAL EDUCATION 1400 K STREET NW 650 WASHINGTON,DC 20005		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
INSTITUTE OF CONTEMPORARY ART 100 NORTHERN AVENUE BOSTON,MA 02210		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	10,000
MARTHA'S VINEYARD CEREBRAL PALSEY CAMP PO BOX 1357 VINEYARD HAVEN,MA 02568		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	200
MARTHA'S VINEYARD HEBREW CENTER 130 CENTER STREET VINEYARD HAVEN,MA 02568		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	500
MARTHA'S VINEYARD SUMMER INSTITUTE PO BOX 692 VINEYARD HAVEN,MA 02568		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	2,000
MASS GENERAL HOSPITAL 100 CAMBRIDGE STREET BOSTON,MA 02114		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	10,000
MASS SOCIETY FOR PREVENTION OF CRUELTY TO CHILDREN 99 SUMMER STREET BOSTON,MA 02110		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	500
MASSACHUSETTS COLLEGE OF ART 621 HUNTINGTON STREET BOSTON,MA 02115		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	50,000
MASSACHUSETTS INSTITUTE FOR TECHNOLOGY 600 MEMORIAL DRIVE CAMBRIDGE,MA 02139		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	10,000
MASSACHUSETTS TAXPAYERS FOUNDATION 333 WASHINGTON STREET BOSTON,MA 02108		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	5,000
MCLEAN HOSPITAL 115 MILL STREET BELMONT,MA 02478		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	25,000
MCMULLEN MUSEUM 140 COMMONWEALTH AVE CHESTNUT HILL,MA 02467		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
MERCY CORPS PO BOX 2669 PORTLAND,OR 97208		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
Total  3a				637,550

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MSPCA 350 SOUTH HUNTINGTON AVE BOSTON,MA 02130		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
MUSEUM OF AFRICAN AMERICAN HISTORY 14 BEACON STREET BOSTON,MA 02108		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
MUSEUM OF FINE ARTS BOSTON 465 HUNTINGTON AVE BOSTON,MA 02115		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	9,000
NEW ENGLAND CONSERVATORY 290 HUNTINGTON AVE BOSTON,MA 02115		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	4,500
NEW REPERATORY THEATRE 200 DEXTER AVE WATERTOWN,MA 02472		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
NOBLE AND GREENOUGH SCHOOL 10 CAMPUS DRIVE DEDHAM,MA 02026		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	500
NORTHSTAR FUND 520 EIGHTH AVE SUITE 2201 NEWYORK,NY 10018		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	4,000
NYU LANGONE MEDICAL CENTER ONE PARK AVE 17TH FL NEWYORK,NY 10016		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	21,000
ONE FUND BOSTON PO BOX 990009 BOSTON,MA 02199		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	500
OXFAM AMERICA 226 CAUSEWAY STREET 5TH FL BOSTON,MA 02114		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
PARTNERS IN HEALTH 888 COMMONWEALTH AVE BOSTON,MA 02215		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	3,500
PLANNED PARENTHOOD 99 BISHOP RICHARD ALLEN DRIVE CAMBRIDGE,MA 02139		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
PMC JIMMY FUND 10 BROOKLINE PLACE WEST BROOKLINE,MA 02445		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	490
ROXBURY LATIN SCHOOL 101 THERESA AVE WEST ROXBURY,MA 02132		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	25,000
SHELTER MUSIC 243 SYLVIA STREET ARLINGTON,MA 02476		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	250
Total  3a				637,550

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SUFFOLK UNIVERSITY 8 ASHURST PLACE BOSTON,MA 02108		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	25,000
SUMMER SEARCH 3840 WASHINGTON STREET JAMAICA PLAIN,MA 02130		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	250
TEACH FOR AMERICA 60 CANAL STREET 3RD FL BOSTON,MA 02114		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	5,000
TEMPLE ISRAEL 477 LONGWOOD AVE BOSTON,MA 02215		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	4,610
TENACITY 38 EVERETT STREET BOSTON,MA 02134		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	100,000
TOBIN PROJECT ONE MIFFLIN PLACE CAMBRIDGE,MA 02138		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	5,000
UASPIRE 31 ST JAMES AVE SUITE 520 BOSTON,MA 02116		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
UNITED WAY OF MASS BAY 51 SLEEPER STREET BOSTON,MA 02210		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	10,000
VINEYARD HAVEN TENNIS FOUNDATION PO BOX 507 VINEYARD HAVEN,MA 02568		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	200
VINEYARD HOUSE 15 CHURCH STREET VINEYARD HAVEN,MA 02568		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	500
VINEYARD PLAYHOUSE 24 CHURCH STREET VINEYARD HAVEN,MA 02568		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	25,000
WBUR 890 COMMONWEALTH AVE 3RD FL BOSTON,MA 02215		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	25,000
WGBH ONE GUEST STREET BOSTON,MA 02135		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	25,000
YALE UNIVERSITY PO BOX 2038 NEW HAVEN,CT 06521		501(C)(3) PUBLIC CHA	TO SUPPORT THE ORGANIZATION'S STATED TAX-EXEMPT PURPOSE	1,000
Total  3a				637,550

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.</div>	<div>OMB No 1545-0047</div> <div>2013</div>
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<div>Name of the organization</div> <div>THE ASHURST FOUNDATION CO ELIZABETH K POZEN</div>	<div>Employer identification number</div> <div>20-6325176</div>
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Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div> <div>Form 990-PF</div>	<div>Section:</div> <div><div><input type="checkbox"/> 501(c)() (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div>
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Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE ASHURST FOUNDATION CO ELIZABETH K POZEN	Employer identification number 20-6325176
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<div>1</div>	<div>ROBERT C POZEN</div> <div>9 ARLINGTON STREET</div> <div>BOSTON, MA 021163410</div>	<div>\$ 315,319</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div>2</div>	<div>ROBERT C POZEN</div> <div>9 ARLINGTON STREET</div> <div>BOSTON, MA 021163410</div>	<div>\$ 288,145</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div>3</div>	<div>ROBERT C POZEN</div> <div>9 ARLINGTON STREET</div> <div>BOSTON, MA 021163410</div>	<div>\$ 360,000</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div></div>			<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div></div>			<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div></div>			<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>
<div></div>			<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions)</div>

Name of organization THE ASHURST FOUNDATION CO ELIZABETH K POZEN	Employer identification number 20-6325176
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	2,150 SHARES OF GOLDMAN SACHS GROUP	\$ 315,319	2013-01-30
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	7,127 SHS AKAMAI TECHNOLOGY	\$ 288,145	2013-01-30
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization THE ASHURST FOUNDATION CO ELIZABETH K POZEN	Employer identification number 20-6325176
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Part III	Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of <i>exclusively</i> religious, charitable, etc , contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	<div></div> <div></div>	<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	<div></div> <div></div>	<div></div> <div></div> <div></div>	

TY 2013 Investments Corporate
Stock Schedule

Name: THE ASHURST FOUNDATION CO ELIZABETH K POZEN
EIN: 20-6325176

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADOBE SYS INC	0	0
AKAMAI TECH	0	0
ALTRIA GROUP INC	186,192	186,192
AMERICAN EXPRESS CO	0	0
BAKER HUGHES INC	0	0
BCE INC COM NEW	4,831,251	4,831,251
CONOCOPHILLIPS	183,690	183,690
CYS INVTS INC COM	607,035	607,035
DEERE & COMPANY	146,128	146,128
DEVON ENERGY CORP	0	0
DISNEY WALT CO	0	0
EXXON MOBIL CORP	327,888	327,888
GENERAL ELECTRIC CO COM	151,362	151,362
GILEAD SCIENCES INC	295,143	295,143
GOLDMAN SACHS GROUP INC COM	185,237	185,237
HONEYWELL INTL INC	291,013	291,013
INTEL CORP COM	129,775	129,775
INTL BUSINESS MACHINES	98,474	98,474
JOHNSON & JOHNSON	174,021	174,021
JPMORGAN CHASE & CO	265,499	265,499
KRAFT FOODS INC CL A	60,271	60,271
MCDONALDS CORP	116,436	116,436
MONDELEZ INTL INC	118,467	118,467
MONSANTO CO	268,065	268,065
NIELSEN HOLDINGS	853,554	853,554
NIKE INC	185,984	185,984
PFIZER INC COM	114,863	114,863
PHILIP MORRIS INTL INC	242,657	242,657
PHILLIPS 66 COM	0	0
PROCTER & GAMBLE CO COM	122,115	122,115

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UNITED TECHNOLOGIES CORP	195,167	195,167
VERIZON COMMUNICATIONS INC	108,108	108,108

TY 2013 Investments - Other Schedule**Name:** THE ASHURST FOUNDATION CO ELIZABETH K POZEN**EIN:** 20-6325176

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALPS ETF TR ALERIAN MLP EFT	FMV	510,929	510,929
DFA U.S. CORE EQUITY 1 PORTFOLIO	FMV	1,087,608	1,087,608
EATON VANCE FLOATING RATE INCOME TR	FMV	284,404	284,404
FIDELITY ADVISOR NEW INSIGHTS CL	FMV	456,119	456,119
FIDELITY CANADA	FMV	0	0
FIDELITY CONVERTIBLE SECURITIES	FMV	0	0
FIDELITY FLOATING RATE HIGH INC	FMV	326,058	326,058
POWERSHARES GLOBAL EXCHANGE	FMV	440,100	440,100
SELECT SECTOR SPDR TR UTILS	FMV	108,215	108,215
SPDR INDEX SHS FDS S&P INTL DIV	FMV	926,699	926,699
SPDR SER TR S&P REGL BKG	FMV	154,318	154,318
VANGUARD INDEX FDS VANGUARD REIT EFT	FMV	396,076	396,076
VANGUARD WORLD FDS VANGUARD CONSUMER	FMV	145,876	145,876
WISDOMTREE TR DIVID EX-FINANCIALS FD	FMV	1,029,487	1,029,487
WISDOMTREE TR EMERGING MKTS EQUITY	FMV	897,363	897,363
WISDOMTREE TR EUROPE SMALLCAP DIVID FD	FMV	684,195	684,195
WISDOMTREE TR MIDCAP DIVID FD	FMV	452,527	452,527
WISDOMTREE TR SMALLCAP EARNINGS FD	FMV	1,187,717	1,187,717

TY 2013 Other Decreases Schedule

Name: THE ASHURST FOUNDATION CO ELIZABETH K POZEN

EIN: 20-6325176

Description	Amount
CHANGE IN FAIR MARKET VALUE	1,356,374

TY 2013 Other Expenses Schedule

Name: THE ASHURST FOUNDATION CO ELIZABETH K POZEN

EIN: 20-6325176

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEES	500	0		0

TY 2013 Other Professional Fees Schedule

Name: THE ASHURST FOUNDATION CO ELIZABETH K POZEN

EIN: 20-6325176

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FIRST REPUBLIC BANK	21,289	21,289		0

TY 2013 Taxes Schedule**Name:** THE ASHURST FOUNDATION CO ELIZABETH K POZEN**EIN:** 20-6325176

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL INCOME TAXES	6,360	0		0
FOREIGN TAX WITHHELD	54,435	54,435		0

TY 2013 IRS Payment**Name:** THE ASHURST FOUNDATION CO ELIZABETH K POZEN**EIN:** 20-6325176**Routing Transit Number:** 321081669**Bank Account Number:** 80001487660**Type of Account:** Checking**Payment Amount in Dollars and** 75,790**Cents:****Requested Payment Date:** 2014-05-13**Taxpayer's Daytime Phone** (617) 816-7904
Number: