Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
CHRISTEN C. AND BEN H. GARRETT
FAMILY FOUNDATION

A Employer identification number
20-5778710

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
1020 HUNTINGTON DRIVE

B Telephone number
626-282-8431

City or town, state or province, country, and ZIP or foreign postal code
SAN MARINO, CA 91108

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 2,156,081.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	101,075.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	10.	10.		STATEMENT 1
	4 Dividends and interest from securities	46,142.	45,457.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	4,636.			
	b Gross sales price for all assets on line 6a 731,161.				
	7 Capital gain net income (from Part IV, line 2)		4,636.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total** Add lines 1 through 11	151,863.	50,103.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	2,000.	0.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	1,444.	1,245.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	25,188.	25,188.		0.
	24 **Total operating and administrative expenses** Add lines 13 through 23	28,632.	26,433.		0.
	25 Contributions, gifts, grants paid	92,000.			92,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	120,632.	26,433.		92,000.
	27 Subtract line 26 from line 12.				
	a Excess of revenue over expenses and disbursements	31,231.			
	b **Net investment income** (if negative, enter -0-)		23,670.		
	c **Adjusted net income** (if negative enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	4,178.	1,990.	1,990.
	2 Savings and temporary cash investments	67,429.	84,381.	84,381.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,010,380.	1,168,825.	1,466,212.
	c Investments - corporate bonds STMT 7	745,169.	615,147.	603,498.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,827,156.	1,870,343.	2,156,081.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	1,827,156.	1,870,343.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	1,827,156.	1,870,343.	
	31 **Total liabilities and net assets/fund balances**	1,827,156.	1,870,343.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,827,156.
2	Enter amount from Part I, line 27a	2	31,231.
3	Other increases not included in line 2 (itemize) ▶ ADJUSTMENT FOR TIMING DIFFERENCES	3	11,956.
4	Add lines 1, 2, and 3	4	1,870,343.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,870,343.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr)
1a	WELLS FARGO MANAGED ACCOUNT	P	02/08/13	09/25/13
b	WELLS FARGO MANAGED ACCOUNT	P	10/24/11	04/02/13
c	WELLS FARGO MANAGED ACCOUNT	P	01/15/10	02/08/13
d	NUVEEN HIGH YIELD MUNI BD	P	09/22/11	02/08/13
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	220,172.		216,207.	3,965.
b	43,697.		33,993.	9,704.
c	357,449.		334,301.	23,148.
d	102,188.		142,024.	-39,836.
e	7,655.			7,655.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				3,965.
b				9,704.
c				23,148.
d				-39,836.
e				7,655.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 4,636.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	101,208.	1,844,009.	.054885
2011	101,500.	1,876,145.	.054100
2010	92,000.	1,776,572.	.051785
2009	66,400.	1,503,452.	.044165
2008	102,500.	1,327,027.	.077240

2	**Total** of line 1, column (d)	2	.282175
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056435
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,014,186.
5	Multiply line 4 by line 3	5	113,671.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	237.
7	Add lines 5 and 6	7	113,908.
8	Enter qualifying distributions from Part XII, line 4	8	92,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	473.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3	Add lines 1 and 2			3	473.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-			5	473.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	920.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	920.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	447.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 447. **Refunded** ▶			11	0.

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 8	10	X	

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			

14 The books are in care of ▶ CHRISTEN GARRETT Telephone no. ▶ 626-282-8431

Located at ▶ 1020 HUNTINGTON DRIVE, SAN MARINO, CA ZIP+4 ▶ 91108

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NOAH C. GARRETT 1020 HUNTINGTON DRIVE SAN MARINO, CA 91108	CEO 0.00	0.	0.	0.
BEN H. GARRETT 1020 HUNTINGTON DRIVE SAN MARINO, CA 91108	SECRETARY 0.00	0.	0.	0.
CHRISTEN C. GARRETT 1020 HUNTINGTON DRIVE SAN MARINO, CA 91108	CFO 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,970,038.
b	Average of monthly cash balances	1b	74,821.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,044,859.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,044,859.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,673.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,014,186.
6	**Minimum investment return** Enter 5% of line 5	6	100,709.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	100,709.
2a	Tax on investment income for 2013 from Part VI, line 5 2a 473.		
b	Income tax for 2013. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	473.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	100,236.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	100,236.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	100,236.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	92,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	92,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	92,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					100,236.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				0.	
b Total for prior years: ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2013:					
a From 2008					
b From 2009					
c From 2010					
d From 2011	5,702.				
e From 2012	9,895.				
f **Total** of lines 3a through e		15,597.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 92,000.					
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					92,000.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)		8,236.			8,236.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		7,361.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2014** Subtract lines 7 and 8 from line 6a		7,361.			
10 Analysis of line 9:					
a Excess from 2009					
b Excess from 2010					
c Excess from 2011					
d Excess from 2012	7,361.				
e Excess from 2013					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2013	Prior 3 years (b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CAL STATE UNIV LONG BEACH 1250 BELLFLOWER LONG BEACH, CA 90840		PC	TO SUPPORT EDUCATION	15,000.
CLAREMONT GRADUATE SCHOOL FD 165 EAST TENTH STREET CLAREMONT, CA 91711		PC	TO SUPPORT EDUCATION	2,500.
COLORADO COLLEGE 14 E CACHE LA POUDRE STREET COLORADO SPRINGS, CO 80903		PC	TO SUPPORT EDUCATION	1,000.
FLINTRIDGE PREP SCHOOL 4543 CROWN AVE LA CANADA, CA 91011		PC	TO SUPPORT EDUCATION	2,000.
FRIENDS OF THE HIGH LINE 529 WEST 20TH STREET, SUITE 8W NEW YORK, NY 10011		PC	TO PROTECT A HISTORIC STRUCTURE	2,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	92,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2013)

323611
10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	10.	
4 Dividends and interest from securities			14	46,142.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	4,636.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		50,788.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 50,788.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Signature of officer or trustee: [signature] | Date: 2014.05.01 | Title: CEO

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
BARBARA L. ALLEN	Barbara L Allen CPA BARBARA L. ALLEN	03/08/14		P00166979

Firm's name ▶ FOSTER, GRIFFITH & ALLEN, INC. Firm's EIN ▶ 20-0308015

Firm's address ▶ 31351 VIA COLINAS, SUITE 101 WESTLAKE VILLAGE, CA 91362 Phone no. (818)889-4740

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	**Schedule of Contributors** ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.	OMB No 1545-0047 **2013**

Name of the organization	Employer identification number
CHRISTEN C. AND BEN H. GARRETT FAMILY FOUNDATION	20-5778710

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
CHRISTEN C. AND BEN H. GARRETT FAMILY FOUNDATION	20-5778710

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BEN AND CHRISTEN GARRETT 1020 HUNTINGTON DRIVE SAN MARINO, CA 91108	$ 32,040.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
2	BEN AND CHRISTEN GARRETT 1020 HUNTINGTON DRIVE SAN MARINO, CA 91108	$ 38,895.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
3	BEN AND CHRISTEN GARRETT 1020 HUNTINGTON DRIVE SAN MARINO, CA 91108	$ 30,140.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
CHRISTEN C. AND BEN H. GARRETT FAMILY FOUNDATION	20-5778710

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	900 SHARES AT&T, INC.	$ 32,040.	11/19/13
2	750 SHARES BRISTOL MYERS SQUIBB CO	$ 38,895.	11/19/13
3	250 SHARES CHEVRON CORP	$ 30,140.	11/19/13
		$	
		$	
		$	

Name of organization	Employer identification number
CHRISTEN C. AND BEN H. GARRETT FAMILY FOUNDATION	20-5778710

Part III *Exclusively* religious, charitable, etc , individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of $1,000 or less for the year. (Enter this information once) ▶ $ ________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GUIDE DOGS OF AMERICA 13445 GLENOAKS BLVD SYLMAR, CA 91342		PC	TO PROVIDE GUIDE DOGS TO VISUALLY IMPAIRED PERSONS	1,500.
INTERNATIONAL BIRD RESCUE 444 W OCEAN BLVD STE 777 LONG BEACH, CA 90802		PC	TO SUPPORT AQUATIC BIRDS	6,000.
KPCC-S.C. PUBLIC RADIO PO BOX 51920 LOS ANGELES, CA 90051		PC	TO SUPPORT PUBLIC RADIO	1,000.
MICHAEL FOX FOUNDATION 20 EXCHANGE PLACE, SUITE 3200 NEW YORK, NY 10005		PC	TO SUPPORT PARKINSON'S RESEARCH	6,000.
NATURAL RESOURCES DEFENSE COUNCIL PO BOX 1830 MERRIFIELD, VA 22116		PC	TO PROTECT WILDLIFE AND WILD PLACES	5,000.
PASADENA HOSPITAL ASSOCIATION, LTD. DBA HUNTINGTON HOSPITAL 100 W. CALIFORNIA BLVD PASADENA, CA 91105		PC	TO PROVIDE FOR PREVENTION AND TREATMENT OF ILLNESS	6,000.
PASADENA HUMANE SOCIETY 361 SOUTH RAYMOND PASADENA, CA 91105		PC	TO PROMOTE HUMANE TREATMENT FOR ANIMALS	1,000.
PLANNED PARENTHOOD OF PASADENA 1045 N LAKE AVENUE PASADENA, CA 91104		PC	TO SUPPORT REPRODUCTIVE HEALTH CARE PROVIDER	1,000.
ROSE BOWL AQUATICS CENTER 360 N ARROYO BLVD PASADENA, CA 91103		PC	TO SUPPORT COMMUNITY AQUATICS FACILITY	2,500.
SCRIPPS INSTITUTION OF OCEANOGRAPHY 9500 GILMAN DRIVE LA JOLLA, CA 92093		PC	TO SUPPORT GLOBAL SCIENCE RESEARCH	1,000.
Total from continuation sheets				69,500.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SEARCH DOGS FOUNDATION 205 NORTH SIGNAL STREET OJAI, CA 93023		PC	TO PROVIDE RESCUE DOGS FOR FIRST RESPONDERS	8,000.
SURFAID INTERNATIONAL PO BOX 130369 CARLSBAD, CA 92013		PC	TO SUPPORT COMMUNITY DEVELOPMENT IN INDONESIA	6,000.
SURFRIDER FOUNDATION PO BOX 6010 SAN CLEMENTE, CA 92674		PC	TO PROTECT OCEANS AND BEACHES	3,000.
THE ARBORETUM FOUNDATION 301 N BALDWIN AVE ARCADIA, CA 91007		PC	TO SUPPORT THE LOS ANGELES ARBORETUM	10,000.
THE RAPTOR TRUST 1390 WHITE RIDGE ROAD MILLINGTON, NJ 07946		PC	FOR WILD BIRD REHABILITATION	3,000.
THE XERCES SOCIETY 4828 SOUTHEAST HAWTHORNE BLVD PORTLAND, OR 97215		PC	TO PROTECT WILDLIFE HABITAT	2,000.
UC IRVINE FOUNDATION MPAA 101 IRVINE, CA 92697		PC	TO SUPPORT EDUCATION	2,500.
SOUTH BAY WILDLIFE REHAB 26363 SILVER SPUR ROAD RANCHO PALOS VERDES, CA 90275		PC	TO SUPPORT CALIFORNIA NATIVE WILDLIFE	2,000.
THREE ANGELS CHILDREN'S RELIEF 25876 THE OLD ROAD #285 STEVENSON RANCH, CA 91381		PC	TO PROVIDE FOR CHILDREN IN HAITI	1,000.
HEIFER PROJECT INTERNATIONAL 1 WORLD AVENUE LITTLE ROCK, AR 72202		PC	TO PROMOTE SUSTAINABLE DEVELOPMENT	1,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BANK ACCOUNT	10.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	10.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
WELLS FARGO	53,112.	7,655.	45,457.
WELLS FARGO	685.	0.	685.
TOTAL TO FM 990-PF, PART I, LN 4	53,797.	7,655.	46,142.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	2,000.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	2,000.	0.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	139.	0.		0.
FOREIGN TAXES	1,245.	1,245.		0.
OTHER TAX AND FILING	60.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,444.	1,245.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGED ACCOUNT FEES	25,181.	25,181.		0.
OTHER INVESTMENT EXPENSE	7.	7.		0.
TO FORM 990-PF, PG 1, LN 23	25,188.	25,188.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	1,168,825.	1,466,212.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,168,825.	1,466,212.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	615,147.	603,498.
TOTAL TO FORM 990-PF, PART II, LINE 10C	615,147.	603,498.

FORM 990-PF | LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10 | STATEMENT 8

NAME OF CONTRIBUTOR	ADDRESS
CHRISTEN AND BEN GARRETT, 1020 HUNTINGTON DRIVE, SAN MARINO, CA 91108	

FORM 990-PF | PART XV - LINE 1A LIST OF FOUNDATION MANAGERS | STATEMENT 9

NAME OF MANAGER

BEN H. GARRETT
CHRISTEN C. GARRETT

Forms 1099 for 2013

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

A schedule of your net gains and losses is shown below. Although we have shown quantity sold on the sales with either two decimal places, as a whole number, or as a fractional share, all of these amounts will be filed with the IRS as whole numbers per IRS publication 1220. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully when preparing your return. Note also that an asterisk symbol (*) next to tax cost indicates that the tax cost has been adjusted for current year's return of capital. If applicable, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment Rather, under IRC Section 988, they are either ordinary income or loss.

Proceeds from Short Term Covered Security Transactions

This category includes transactions that are short-term gain or loss on Form 1099-B, Box 1c. Form 1099-B, Box 6b is checked because this category includes only sales of covered securities. If applicable, transactions subject to wash sale are shown separately. If wash sales are not shown separately, your account has no wash sales in this category. For individual taxpayers, these transactions are reportable on Form 8949, Part I, Box A.

Short Term Covered Security Transactions (Form 8949, Part I, Box A)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition (Box 1b)	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis (Box 3)	Net gain or loss
VODAFONE GROUP PLC NEW ADR	VOD	92857W209	125	06/28/12	01/09/13	$3,290 12	$3,509.94	$-219 82
NOVARTIS AG - ADR	NVS	66987V109	51	06/28/12	01/29/13	$3,455.12	$2,777.96	$677 16
HUSSMAN STRATEGIC GROWTH FUI	HSGFX	448108100	360 62	07/02/12	02/08/13	$3,750 40	$4,125.44	$-375 04
ISHARES TR SMALLCAP 600 INDEX	IJR	464287804	15	06/28/12	02/08/13	$1,259 53	$1,052 78	$206 75
ISHARES MSCI EAFE	EFA	464287465	90	06/28/12	02/08/13	$5,261.31	$4,300.19	$961 12
VANGUARD BD INDEX FD INC	BSV	921937827	29	11/16/12	02/08/13	$2,345 29	$2,360 52	$-15 23
AFFILIATED MANAGERS GROUP INC	AMG	008252108	7	06/28/12	02/25/13	$1,003 48	$722 47	$281 01
AFFILIATED MANAGERS GROUP INC	AMG	008252108	12	02/08/13	02/25/13	$1,720.25	$1,762.44	$-42 19

GARRETT, CHRISTEN _ BEN FAM FDN-IMA

Short Term Covered Security Transactions (Form 8949, Part I, Box A)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition (Box 1b)	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis (Box 3)	Net gain or loss
THERMO FISHER SCIENTIFIC INC	TMO	883556102	31	02/08/13	07/11/13	$2,749 65	$2,307 88	$441 77
SCHLUMBERGER LTD	SLB	806857108	19	02/08/13	07/11/13	$1,462 41	$1,487 89	$-25.48
NESTLE S A REGISTERED SHARES	NSRGY	641069406	18	02/08/13	07/11/13	$1,208.23	$1,255 48	$-47 25
3M CO	MMM	88579Y101	14	02/08/13	07/11/13	$1,601 70	$1,433 18	$168 52
MCKESSON CORP	MCK	58155Q103	13	02/08/13	07/11/13	$1,533 58	$1,342.11	$191 47
GOOGLE INC	GOOG	38259P508	3	02/08/13	07/11/13	$2,738 86	$2,340 09	$398 77
DIAMOND HILL LONG-SHORT FD CL	DHLSX	25264S833	105.62	02/12/13	07/11/13	$2,226 55	$2,086 08	$140 47
GATEWAY FUND - Y 1986	GTEYX	367829884	926	02/12/13	07/11/13	$26,020.51	$25,677 90	$342 61
GATEWAY FUND - Y 1986	GTEYX	367829884	1630.89	08/13/12	07/11/13	$45,828.01	$44,653 77	$1,174 24
UNITEDHEALTH GROUP INC	UNH	91324P102	24	04/02/13	07/11/13	$1,637 73	$1,486 04	$151 69
VANGUARD BD INDEX FD INC	BSV	921937827	100	11/16/12	07/11/13	$8,012.14	$8,139.71	$-127.57
VANGUARD INTERMEDIATE TERM B	BIV	921937819	50	11/16/12	07/11/13	$4,160 94	$4,525.19	$-364 25
UNITEDHEALTH GROUP INC	UNH	91324P102	9	02/08/13	07/11/13	$614 15	$515 52	$98 63
COACH INC	COH	189754104	25	01/09/13	07/11/13	$1,479.25	$1,457 50	$21.75
CHEVRON CORP	CVX	166764100	17	08/09/12	07/11/13	$2,103.73	$1,908 76	$194 97
CHEVRON CORP	CVX	166764100	14	02/08/13	07/11/13	$1,732.48	$1,609 44	$123 04
DIAGEO PLC - ADR	DEO	25243Q205	12	02/08/13	07/11/13	$1,456.98	$1,428.72	$28 26
WALT DISNEY CO	DIS	254687106	8	02/08/13	07/11/13	$526 79	$435 61	$91 18
GILEAD SCIENCES INC	GILD	375558103	30	02/08/13	07/11/13	$1,677 11	$1,211 70	$465 41
APPLE COMPUTER INC COM	AAPL	037833100	8	01/29/13	07/11/13	$3,383 62	$3,669.49	$-285 87
ANHEUSER-BUSCH INBEV SPN ADR	BUD	03524A108	18	02/08/13	07/11/13	$1,676 55	$1,544 76	$131 79
COMCAST CORP CLASS A	CMCSA	20030N101	37	02/08/13	07/11/13	$1,608 36	$1,436 71	$171 65
ISHARES S&P MIDCAP 400 VALUE	IJJ	464287705	23	02/08/13	07/11/13	$2,438 06	$2,212 41	$225 65
ISHARES S & P 500 INDEX FUND	IVV	464287200	21	02/08/13	07/11/13	$3,521 46	$3,192 00	$329 46
VANGUARD EUROPE PACIFIC ETF	VEA	921943858	206	02/08/13	07/11/13	$7,630.42	$7,487 85	$142 57
AQR MANAGED FUTURES STR-I	AQMIX	00203H859	1920 64	07/15/13	09/25/13	$19,206 35	$19,590 48	$-384 13

Short Term Covered Security Transactions (Form 8949, Part I, Box A)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition (Box 1b)	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis (Box 3)	Net gain or loss
VANGUARD EUROPE PACIFIC ETF	VEA	921943858	410	02/08/13	09/25/13	$16,297 78	$14,903 01	$1,394 77
VANGUARD EMERGING MARKETS E	VWO	922042858	819	02/08/13	09/25/13	$33,552 70	$36,256.06	$-2,703 36
Total short term gain/loss from covered security transactions not subject to wash sale:						$220,171 60	$216,207.08	$3,964 52

Total short term gain/loss from covered security transactions:	Gross Proceeds	Tax Cost	Gain or Loss	Loss Disallowed	Net Gain or Loss
Report each transaction on Form 8949, Part I, Box A	**$220,171.60**	**$216,207.08**	**$3,964.52**	**$0.00**	**$3,964.52**

Proceeds from Long Term Covered Security Transactions

This category includes transactions that are long-term gain or loss on Form 1099-B, Box 1c. Form 1099-B, Box 6b is checked because this category includes only sales of covered securities. If applicable, transactions subject to wash sale are shown separately. If wash sales are not shown separately, your account has no wash sales in this category. For individual taxpayers, these transactions are reportable on Form 8949, Part II, Box D.

Long Term Covered Security Transactions (Form 8949, Part II, Box D)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition (Box 1b)	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis (Box 3)	Net gain or loss
GILEAD SCIENCES INC	GILD	375558103	29	10/24/11	04/02/13	$1,396 25	$609 36	$786 89
CVS/CAREMARK CORPORATION	CVS	126650100	29	03/28/12	07/11/13	$1,757 66	$1,295 80	$461 86
NESTLE S A REGISTERED SHARES	NSRGY	641069406	4	06/28/12	07/11/13	$268.49	$231 44	$37.05
SCHLUMBERGER LTD	SLB	806857108	5	06/28/12	07/11/13	$384 84	$308 39	$76 45
TARGET CORP	TGT	87612E106	27	01/04/11	07/11/13	$1,956 65	$1,619.44	$337 21
THERMO FISHER SCIENTIFIC INC	TMO	883556102	5	06/28/12	07/11/13	$443 49	$250.80	$192 69
UNION PACIFIC CORP	UNP	907818108	33	06/28/12	07/11/13	$5,229 42	$3,753 64	$1,475 78
US BANCORP DEL NEW	USB	902973304	46	03/28/12	07/11/13	$1,712.09	$1,459 44	$252 65
VANGUARD INTERMEDIATE TERM B	BIV	921937819	117	02/09/12	07/11/13	$9,736 60	$10,236 86	$-500 26
DIAGEO PLC - ADR	DEO	25243Q205	1	06/28/12	07/11/13	$121 42	$100 65	$20 77
WALT DISNEY CO	DIS	254687106	30	06/28/12	07/11/13	$1,975.47	$1,418.39	$557.08
JOHNSON CONTROLS INC	JCI	478366107	55	06/28/12	07/11/13	$2,003 62	$1,454 15	$549.47

Long Term Covered Security Transactions (Form 8949, Part II, Box D)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition (Box 1b)	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis (Box 3)	Net gain or loss
AFFILIATED MANAGERS GROUP INC	AMG	008252108	10	06/28/12	07/11/13	$1,680.47	$1,032.10	$648 37
CME GROUP INC	CME	12572Q105	27	06/28/12	07/11/13	$2,072.84	$1,409 40	$663 44
COMCAST CORP CLASS A	CMCSA	20030N101	11	08/26/11	07/11/13	$478 16	$225 61	$252 55
BERSHIRE HATHAWAY INC	BRK B	084670702	24	06/28/12	07/11/13	$2,778.18	$1,952 14	$826 04
TEVA PHARMACEUTICAL INDUSTRIE	TEVA	881624209	100	06/28/12	08/19/13	$3,967 79	$3,875.99	$91 80
THERMO FISHER SCIENTIFIC INC	TMO	883556102	13	06/28/12	08/29/13	$1,161 96	$652 07	$509 89
JOHNSON CONTROLS INC	JCI	478366107	63	06/28/12	11/14/13	$3,092 49	$1,665 67	$1,426 82
GILEAD SCIENCES INC	GILD	375558103	21	10/24/11	12/12/13	$1,479.12	$441.26	$1,037 86
Total long term gain/loss from covered security transactions not subject to wash sale:						$43,697 01	$33,992 60	$9,704 41

Total long term gain/loss from covered security transactions:	Gross Proceeds	Tax Cost	Gain or Loss	Loss Disallowed	Net Gain or Loss
Report each transaction on Form 8949, Part II, Box D	**$43,697.01**	**$33,992.60**	**$9,704.41**	**$0.00**	**$9,704.41**

Proceeds from Long Term Noncovered Security Transactions

This category includes transactions that are long-term gain or loss. Form 1099-B, Box 6a is checked because this category includes only sales of non-covered securities. For sales of noncovered securities we report security description, symbol, cusip, quantity sold, sale date, federal income tax with-held and gross proceeds to the Internal Revenue Service but we do not report acquisition date, tax cost, wash sale loss disallowed and type of gain or loss. If applicable, transactions subject to wash sale are shown separately. If wash sales are not shown separately, your account has no wash sales in this category. For individual taxpayers, these transactions are reportable on Form 8949, Part II, Box E.

Long Term Noncovered Security Transactions (Form 8949, Part II, Box E)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis	Net gain or loss
HUSSMAN STRATEGIC GROWTH FUI	HSGFX	448108100	2142.21	01/15/10	02/08/13	$22,279.02	$26,906.19	$-4,627 17
HUSSMAN STRATEGIC GROWTH FUI	HSGFX	448108100	686.59	05/19/11	02/08/13	$7,140 55	$8,500 00	$-1,359 45
ISHARES TR SMALLCAP 600 INDEX	IJR	464287804	141	01/15/10	02/08/13	$11,839 58	$7,840 45	$3,999 13
ISHARES TR SMALLCAP 600 INDEX	IJR	464287804	35	12/22/11	02/08/13	$2,938.90	$2,418.14	$520.76

Long Term Noncovered Security Transactions (Form 8949, Part II, Box E)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis	Net gain or loss
ISHARES TR SMALLCAP 600 INDEX	IJR	464287804	182	12/08/11	02/08/13	$15,282 30	$12,123.30	$3,159 00
ISHARES MSCI EAFE	EFA	464287465	80	12/22/11	02/08/13	$4,676 72	$3,929 59	$747 13
ISHARES MSCI EAFE	EFA	464287465	90	10/24/11	02/08/13	$5,261.31	$4,741 85	$519 46
ISHARES MSCI EAFE	EFA	464287465	1215	03/24/10	02/08/13	$71,027 68	$66,773 97	$4,253 71
ISHARES MSCI EAFE	EFA	464287465	430	02/04/10	02/08/13	$25,137 37	$22,209 50	$2,927.87
ISHARES MSCI EAFE	EFA	464287465	1162	01/15/10	02/08/13	$67,929 35	$66,116 88	$1,812 47
VANGUARD BD INDEX FD INC	BSV	921937827	84	10/20/10	02/08/13	$6,793.25	$6,886 21	$-92 96
RIDGEWORTH SEIX HY BD-I 5855	SAMHX	76628T645	400.61	12/06/11	02/08/13	$4,082.19	$3,745.68	$336 51
RIDGEWORTH SEIX HY BD-I 5855	SAMHX	76628T645	245.46	12/22/11	02/08/13	$2,501.28	$2,300 00	$201.28
RIDGEWORTH SEIX HY BD-I 5855	SAMHX	76628T645	162 93	11/29/10	02/08/13	$1,660 25	$1,586.93	$73 32
DREYFUS EMG MKT DEBT LOC C-I 6	DDBIX	261980494	393.94	07/25/11	02/08/13	$6,113 96	$5,980.02	$133 94
DREYFUS EMG MKT DEBT LOC C-I 6	DDBIX	261980494	135 06	10/20/10	02/08/13	$2,096.12	$2,027 23	$68.89
INTEL CORP	INTC	458140100	30	02/04/10	06/17/13	$752 81	$571.50	$181 31
INTEL CORP	INTC	458140100	146	01/15/10	06/17/13	$3,663 68	$3,076.01	$587 67
CHEVRON CORP	CVX	166764100	6	04/11/06	07/11/13	$742 49	$392 53	$349 96
CISCO SYSTEMS INC	CSCO	17275R102	9	02/04/10	07/11/13	$230 26	$209 25	$21.01
CISCO SYSTEMS INC	CSCO	17275R102	89	01/15/10	07/11/13	$2,277.06	$2,175 05	$102.01
JPMORGAN CHASE & CO	JPM	46625H100	34	01/15/10	07/11/13	$1,897 51	$1,489 88	$407 63
DIAMOND HILL LONG-SHORT FD CL	DHLSX	25264S833	593.38	04/18/11	07/11/13	$12,508 37	$10,028 06	$2,480 31
ISHARES S & P 500 INDEX FUND	IVV	464287200	225	01/15/10	07/11/13	$37,729 98	$25,597 65	$12,132 33
VANGUARD INTERMEDIATE TERM B	BIV	921937819	203	06/22/11	07/11/13	$16,893 42	$17,222 52	$-329 10
JPMORGAN CHASE & CO	JPM	46625H100	10	03/24/10	07/11/13	$558 09	$445 50	$112 59
VANGUARD BD INDEX FD INC	BSV	921937827	8	08/26/11	07/11/13	$640 97	$654 08	$-13 11
CREDIT SUISSE COMM RT ST-CO 21	CRSOX	22544R305	156 44	07/25/11	09/25/13	$1,145 15	$1,498 70	$-353 55
CREDIT SUISSE COMM RT ST-CO 21	CRSOX	22544R305	2957.56	11/29/10	09/25/13	$21,649.33	$26,854 64	$-5,205 31
Total long term gain/loss from noncovered security transactions not subject to wash sale:						$357,448 95	$334,301 31	$23,147 64

Total long term gain/loss from noncovered security transactions:	Gross Proceeds	Tax Cost	Gain or Loss	Loss Disallowed	Net Gain or Loss
Report each transaction on Form 8949, Part II, Box E	$357,448.95	$334,301.31	$23,147.64	$0.00	$23,147.64

Proceeds from Noncovered Security Transactions with missing cost basis

This category includes transactions for which we do not have cost basis information to report to you and we do not calculate net gain or loss. Form 1099-B, Box 6a is checked because this category includes only sales of noncovered securities. For sales of noncovered securities we report security description, symbol, cusip, quantity sold, sale date, federal income tax withheld and gross proceeds to the Internal Revenue Service but we do not report acquisition date, tax cost, wash sale loss disallowed and type of gain or loss. For individual taxpayers, these transactions are reportable on Form 8949, Part I, Box B or Part II, Box E.

Noncovered Security Transactions with missing cost basis (Form 8949, Part I, Box B or Part II, Box E)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis	Net gain or loss
NUVEEN HIGH YIELD MUNI BD-R 16	NHMRX	67065Q772	5900	~~01/01/50~~ 09/22/11	02/08/13	$102,188.00	~~Unknown~~ 142024	39836
Total proceeds from noncovered security transactions with missing cost basis:						$102,188 00		
Total gross proceeds from noncovered security transactions with missing costs:						**Gross Proceeds**		
Report each transaction on Form 8949, Part I, Box B or Part II, Box E						**$102,188.00**		
Total proceeds reported to IRS on Form 1099-B						**$723,505.56**		

ASSET DETAIL

Asset Description	Quantity	Price	Market Value as of 12/31/13	Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Cash & Equivalents							
CASH							
PRINCIPAL			$5,574.58	$5,574.58	$0.00		
Total Cash			**$5,574.58**	**$5,574.58**	**$0.00**		
MONEY MARKET							
FEDERATED TREAS OBL FD 68	78,806.070		$78,806.07	$78,806.07	$0.00	$7 88	0.01%
Total Money Market			**$78,806.07**	**$78,806.07**	**$0.00**	**$7.88**	**0.01%**
Total Cash & Equivalents			**$84,380.65**	**$84,380.65**	**$0.00**	**$7.88**	**0.01%**

Asset Description	Quantity	Price	Market Value as of 12/31/13	Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Fixed Income							
DOMESTIC MUTUAL FUNDS							
RIDGEWORTH SEIX HIGH YIELD BOND FUND CLASS I #5855 SYMBOL· SAMHX CUSIP: 76628T645	9,916.287	$9.780	$96,981 29	$93,300.41	$3,680.88	$5,920.02	6.10%
VANGUARD INTERMEDIATE TERM B SYMBOL: BIV CUSIP: 921937819	1,045.000	81.700	85,376.50	87,990.30	- 2,613.80	2,636.54	3.09
VANGUARD SHORT TERM BOND ETF SYMBOL: BSV CUSIP· 921937827	3,093.000	79.930	247,223.49	251,031.13	- 3,807 64	2,938.35	1.19
Total Domestic Mutual Funds			**$429,581.28**	**$432,321.84**	**- $2,740.56**	**$11,494.91**	**2.68%**

ASSET DETAIL *(continued)*

Asset Description	Quantity	Price	Market Value as of 12/31/13	Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Fixed Income *(continued)*							
INTERNATIONAL MUTUAL FUNDS							
DREYFUS EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS I #6083 SYMBOL: DDBIX CUSIP: 261980494	7,107.206	$13 870	$98,576.95	$102,757 30	- $4,180.35	$4,363.82	4 43%
LAUDUS MONDRIAN INTERNATIONAL FIXED INCOME FUND CLASS INST #2940 SYMBOL: LIFNX CUSIP· 51855Q655	3,519.498	10.640	37,447.46	42,103.23	- 4,655.77	0.00	0.00
PIMCO FOREIGN BOND FUND USD HEDGED INSTL #103 SYMBOL: PFORX CUSIP: 693390882	3,601.963	10.520	37,892 65	37,964.69	- 72.04	882.48	2.33
Total International Mutual Funds			**$173,917.06**	**$182,825.22**	**- $8,908.16**	**$5,246.30**	**3.02%**
Total Fixed Income			**$603,498.34**	**$615,147.06**	**- $11,648.72**	**$16,741.21**	

ASSET DETAIL *(continued)*

Asset Description	Quantity	Price	Market Value as of 12/31/13	Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Equities							
CONSUMER DISCRETIONARY							
COACH INC SYMBOL: COH CUSIP. 189754104	86 000	$56.130	$4,827.18	$4,680.03	$147 15	$116 10	2.40%
COMCAST CORP CLASS A SYMBOL: CMCSA CUSIP. 20030N101	151.000	51.965	7,846.72	3,096.98	4,749.74	117 78	1 50
JOHNSON CONTROLS INC SYMBOL: JCI CUSIP: 478366107	110.000	51.300	5,643.00	3,239.41	2,403.59	96.80	1.71
TARGET CORP SYMBOL· TGT CUSIP: 87612E106	104 000	63 270	6,580.08	6,135 30	444.78	178 88	2 72
TJX COS INC NEW SYMBOL: TJX CUSIP. 872540109	105.000	63.730	6,691.65	4,315.49	2,376.16	60.90	0 91
WALT DISNEY CO SYMBOL. DIS CUSIP· 254687106	114.000	76 400	8,709.60	5,193.91	3,515.69	98 04	1.13
Total Consumer Discretionary			**$40,298.23**	**$26,661.12**	**$13,637.11**	**$668.50**	**1.66%**
CONSUMER STAPLES							
CVS/CAREMARK CORPORATION SYMBOL: CVS CUSIP. 126650100	93 000	$71.570	$6,656.01	$4,362 44	$2,293.57	$102.30	1 54%
MONSTER BEVERAGE CORP SYMBOL. MNST CUSIP: 611740101	65.000	67.770	4,405 05	3,131.65	1,273 40	0 00	0 00
Total Consumer Staples			**$11,061.06**	**$7,494.09**	**$3,566.97**	**$102.30**	**0.92%**
ENERGY							
APACHE CORP SYMBOL: APA CUSIP. 037411105	63 000	$85.940	$5,414 22	$5,268.05	$146 17	$50.40	0 93%
CHEVRON CORP SYMBOL: CVX CUSIP 166764100	290.000	124 910	36,223.90	25,730.49	10,493 41	1,160.00	3 20
NATIONAL OILWELL VARCO INC COM SYMBOL: NOV CUSIP 637071101	64 000	79 530	5,089.92	4,725.75	364 17	66 56	1 31
Total Energy			**$46,728.04**	**$35,724.29**	**$11,003.75**	**$1,276.96**	**2.73%**

ASSET DETAIL *(continued)*

ASSET DESCRIPTION	QUANTITY	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD
Equities *(continued)*							
FINANCIALS							
AFFILIATED MANAGERS GROUP, INC COM SYMBOL: AMG CUSIP· 008252108	30 000	$216 880	$6,506.40	$3,096.29	$3,410.11	$0 00	0 00%
AFLAC INC SYMBOL· AFL CUSIP. 001055102	90 000	66.800	6,012.00	3,623.35	2,388 65	133 20	2.22
BERKSHIRE HATHAWAY INC. SYMBOL: BRK.B CUSIP: 084670702	74.000	118.560	8,773 44	6,321.99	2,451 45	0.00	0 00
CAPITAL ONE FINANCIAL CORP SYMBOL: COF CUSIP: 14040H105	76.000	76.610	5,822.36	3,318.72	2,503 64	91.20	1.57
CME GROUP INCE SYMBOL: CME CUSIP: 12572Q105	81.000	78.460	6,355.26	4,398 34	1,956.92	145.80	2.29
GOLDMAN SACHS GROUP INC SYMBOL: GS CUSIP: 38141G104	29 000	177.260	5,140.54	2,674.09	2,466 45	63.80	1.24
JPMORGAN CHASE & CO SYMBOL: JPM CUSIP: 46625H100	137 000	58.480	8,011.76	5,841.11	2,170 65	208.24	2.60
US BANCORP DEL NEW SYMBOL· USB CUSIP: 902973304	146 000	40.400	5,898.40	4,744 35	1,154.05	134 32	2 28
Total Financials			**$52,520.16**	**$34,018.24**	**$18,501.92**	**$776.56**	**1.48%**

ASSET DETAIL *(continued)*

Asset Description	Quantity	Price	Market Value as of 12/31/13	Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Equities *(continued)*							
HEALTH CARE							
BAXTER INTL INC SYMBOL: BAX CUSIP. 071813109	77 000	$69.550	$5,355.35	$5,378 50	- $23.15	$150.92	2 82%
BRISTOL MYERS SQUIBB CO SYMBOL. BMY CUSIP: 110122108	750.000	53.150	39,862.50	20,160 00	19,702.50	1,080 00	2 71
GILEAD SCIENCES INC SYMBOL. GILD CUSIP: 375558103	78.000	75.100	5,857.80	1,677.73	4,180.07	0.00	0.00
MCKESSON CORP SYMBOL: MCK CUSIP: 58155Q103	43.000	161.400	6,940.20	4,101.66	2,838.54	41 28	0 59
THERMO FISHER SCIENTIFIC INC SYMBOL. TMO CUSIP: 883556102	43.000	111.350	4,788.05	2,156.86	2,631.19	25 80	0.54
UNITEDHEALTH GROUP INC SYMBOL· UNH CUSIP: 91324P102	104.000	75.300	7,831.20	3,848.25	3,982.95	116 48	1 49
Total Health Care			**$70,635.10**	**$37,323.00**	**$33,312.10**	**$1,414.48**	**2.00%**
INDUSTRIALS							
BOEING CO SYMBOL BA CUSIP: 097023105	53.000	$136 490	$7,233.97	$3,746 45	$3,487 52	$154 76	2 14%
CUMMINS INC SYMBOL: CMI CUSIP: 231021106	26.000	140 970	3,665 22	3,441.95	223.27	65 00	1 77
UNION PACIFIC CORP SYMBOL UNP CUSIP· 907818108	44.000	168.000	7,392 00	5,415.45	1,976.55	139.04	1.88
UNITED PARCEL SERVICE-CL B SYMBOL. UPS CUSIP. 911312106	53.000	105.080	5,569 24	4,050.79	1,518 45	131 44	2 36
3M CO COM SYMBOL· MMM CUSIP. 88579Y101	49 000	140.250	6,872 25	4,320 39	2,551.86	167 58	2 44
Total Industrials			**$30,732.68**	**$20,975.03**	**$9,757.65**	**$657.82**	**2.14%**

ASSET DETAIL *(continued)*

Asset Description	Quantity	Price	Market Value as of 12/31/13	Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Equities *(continued)*							
INFORMATION TECHNOLOGY							
APPLE INC SYMBOL· AAPL CUSIP· 037833100	21.000	$561.020	$11,781.42	$5,931.24	$5,850.18	$256.20	2.17%
CISCO SYSTEMS INC SYMBOL: CSCO CUSIP: 17275R102	304.000	22.430	6,818.72	5,646.15	1,172.57	206 72	3.03
E M C CORP MASS SYMBOL· EMC CUSIP· 268648102	155.000	25.150	3,898.25	3,654 89	243 36	62 00	1.59
GOOGLE INC CL A SYMBOL. GOOG CUSIP: 38259P508	7.000	1,120.710	7,844.97	4,036.35	3,808 62	0.00	0 00
INTERNATIONAL BUSINESS MACHS CORP COM SYMBOL IBM CUSIP: 459200101	24.000	187.570	4,501.68	4,889.37	- 387.69	91 20	2.03
MICROSOFT CORP SYMBOL MSFT CUSIP· 594918104	129 000	37 410	4,825.89	3,845 36	980 53	144 48	2 99
ORACLE CORPORATION SYMBOL· ORCL CUSIP: 68389X105	174.000	38.260	6,657 24	5,105.18	1,552 06	83 52	1.25
Total Information Technology			**$46,328.17**	**$33,108.54**	**$13,219.63**	**$844.12**	**1.82%**
MATERIALS							
CELANESE CORP SYMBOL CE CUSIP· 150870103	80.000	$55.310	$4,424 80	$2,680.79	$1,744 01	$57 60	1.30%
Total Materials			**$4,424.80**	**$2,680.79**	**$1,744.01**	**$57.60**	**1.30%**
TELECOMMUNICATION SERVICES							
AT & T INC SYMBOL· T CUSIP. 00206R102	900 000	$35 160	$31,644 00	$25,649 73	$5,994 27	$1,656 00	5 23%
Total Telecommunication Services			**$31,644.00**	**$25,649.73**	**$5,994.27**	**$1,656.00**	**5.23%**

ASSET DETAIL *(continued)*

Asset Description	Quantity	Price	Market Value as of 12/31/13	Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Equities *(continued)*							
INTERNATIONAL EQUITIES							
ANHEUSER-BUSCH INBEV SPN CUSIP: 03524A108	57.000	$106.460	$6,068.22	$3,399.79	$2,668.43	$142.73	2 35%
BHP BILLITON LIMITED - ADR SPONSORED ADR CUSIP: 088606108	47.000	68.200	3,205 40	2,873.58	331.82	109.04	3.40
DIAGEO PLC - ADR SPONSORED ADR CUSIP: 25243Q205	37.000	132 420	4,899.54	3,724.03	1,175 51	110 93	2.26
EATON CORP PLC CUSIP: G29183103	72 000	76.120	5,480 64	3,720 87	1,759.77	120.96	2 21
HSBC - ADR SPONSORED ADR CUSIP: 404280406	62.000	55 130	3,418 06	3,382 93	35 13	148.80	4.35
NESTLE S.A. REGISTERED SHARES - ADR SPONSORED ADR CUSIP. 641069406	67.000	73 590	4,930 53	3,876 62	1,053 91	121 67	2 47
SCHLUMBERGER LTD CUSIP: 806857108	74 000	90.110	6,668.14	4,564 20	2,103.94	92 50	1 39
TOTAL S A - ADR SPONSORED ADR CUSIP 89151E109	86.000	61 270	5,269.22	3,881.95	1,387.27	225 84	4.29
Total International Equities			**$39,939.75**	**$29,423.97**	**$10,515.78**	**$1,072.47**	**2.69%**

ASSET DETAIL *(continued)*

Asset Description	Quantity	Price	Market Value as of 12/31/13	Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Equities *(continued)*							
DOMESTIC MUTUAL FUNDS							
DIAMOND HILL LONG-SHORT FUND CLASS I #11 SYMBOL: DHLSX CUSIP: 25264S833	1,917.340	$22 520	$43,178 50	$32,254.89	$10,923.61	$163.80	0 38%
ISHARES CORE S & P 500 ETF SYMBOL: IVV CUSIP· 464287200	223 000	185.650	41,399 95	24,010 65	17,389.30	745 94	1 80
ISHARES CORE S&P SMALL-CAP ETF SYMBOL· IJR CUSIP: 464287804	829 000	109 130	90,468 77	45,447 35	45,021.42	906 10	1 00
ISHARES S&P MID-CAP 400 GROWTH SYMBOL· IJK CUSIP: 464287606	625 000	150.190	93,868.75	58,108 89	35,759 86	829 38	0 88
ISHARES S&P MID-CAP 400 VALUE SYMBOL: IJJ CUSIP: 464287705	515.000	116 230	59,858 45	36,212 69	23,645.76	883.74	1.48
Total Domestic Mutual Funds			**$328,774.42**	**$196,034.47**	**$132,739.95**	**$3,528.96**	**1.07%**
INTERNATIONAL MUTUAL FUNDS							
VANGUARD FTSE DEVELOPED MARKETS ETF SYMBOL. VEA CUSIP: 921943858	4,680.000	$41 680	$195,062.40	$170,112 38	$24,950 02	$5,068.44	2 60%
VANGUARD FTSE EMERGING MARKETS ETF SYMBOL: VWO CUSIP: 922042858	3,261.000	41.140	134,157 54	131,908.80	2,248.74	3,668.63	2 73
Total International Mutual Funds			**$329,219.94**	**$302,021.18**	**$27,198.76**	**$8,737.07**	**2.65%**
Total Equities			**$1,032,306.35**	**$751,114.45**	**$281,191.90**	**$20,792.84**	**2.01%**

ASSET DETAIL *(continued)*

Asset Description	Quantity	Price	Market Value as of 12/31/13	Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Complementary Strategies							
OTHER							
ASG GLOBAL ALTERNATIVES FUND CLASS Y #1993 SYMBOL· GAFYX CUSIP. 63872T885	5,263.819	$11.430	$60,165.45	$60,639 20	- $473 75	$0.01	0.00%
DRIEHAUS ACTIVE INCOME FUND SYMBOL· LCMAX CUSIP. 262028855	7,323 221	10.770	78,871.09	77,693 73	1,177.36	1,581 82	2 01
EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND CLASS I #0088 SYMBOL· EIGMX CUSIP· 277923728	2,029.193	9 420	19,115.00	19,602.00	- 487.00	787.33	4 12
MERGER FD SH BEN INT SYMBOL MERFX CUSIP: 589509108	3,720.842	16.010	59,570.68	58,580.63	990 05	1,157.18	1.94
Total Other			**$217,722.22**	**$216,515.56**	**$1,206.66**	**$3,526.34**	**1.62%**
Total Complementary Strategies			**$217,722.22**	**$216,515.56**	**$1,206.66**	**$3,526.34**	**1.62%**

ASSET DETAIL *(continued)*

Asset Description	Quantity	Price	Market Value as of 12/31/13	Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Real Assets							
REAL ASSET FUNDS							
CREDIT SUISSE COMMODITY RETURN STRATEGY FUND CLASS-I #2156 SYMBOL: CRSOX CUSIP: 22544R305	10,373.688	$7 230	$75,001.76	$88,962.27	- $13,960 51	$0.01	0 00%
SPDR DJ WILSHIRE INTERNATIONAL REAL ESTATE ETF SYMBOL· RWX CUSIP: 78463X863	1,819.000	41.200	74,942.80	67,040.14	7,902 66	3,399 71	4 54
VANGUARD REIT VIPER SYMBOL: VNQ CUSIP. 922908553	1,026 000	64 560	66,238.56	45,193.13	21,045.43	2,863.57	4 32
Total Real Asset Funds			**$216,183.12**	**$201,195.54**	**$14,987.58**	**$6,263.29**	**2.90%**
Total Real Assets			**$216,183.12**	**$201,195.54**	**$14,987.58**	**$6,263.29**	**2.90%**

	Account Value as of 12/31/13	Cost Basis	Unrealized Gain/Loss	Estimated Annual Income
TOTAL ASSETS	**$2,154,090.68**	**$1,868,353.26**	**$285,737.42**	**$47,331.56**