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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052








2013

Open to Public Inspection


For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation CASCADE FOUNDATION		A Employer identification number 20-4956488	
Number and street (or P O box number if mail is not delivered to street address) P O BOX 958		B Telephone number (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code ROCKPORT, ME 048560958		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 20,478,923		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) <u>MODIFIED CASH</u> (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	352,174	352,174		
	4 Dividends and interest from securities.	122,067	122,067		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	133,455			
	b Gross sales price for all assets on line 6a 3,025,994				
	7 Capital gain net income (from Part IV, line 2)		133,455		
	8 Net short-term capital gain			76,875	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	628,910	628,910		
	12 Total. Add lines 1 through 11	1,236,606	1,236,606	76,875	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	239,625			239,625
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	23,954	23,954		
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	11,500	11,500		
	c Other professional fees (attach schedule)	69,021			69,021
	17 Interest	899	899		
	18 Taxes (attach schedule) (see instructions)	356	356		
	19 Depreciation (attach schedule) and depletion	2,454	2,454		
	20 Occupancy	38,481	38,481		
	21 Travel, conferences, and meetings	24,442			24,442
	22 Printing and publications				
	23 Other expenses (attach schedule).	15,933	9,182		6,751
	24 Total operating and administrative expenses. Add lines 13 through 23	426,665	86,826		339,839
	25 Contributions, gifts, grants paid	994,290			994,290
	26 Total expenses and disbursements. Add lines 24 and 25	1,420,955	86,826		1,334,129
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-184,349			
	b Net investment income (if negative, enter -0-)		1,149,780		
	c Adjusted net income (if negative, enter -0-)			76,875	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
					(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			25,515	12,891	12,891
	2	Savings and temporary cash investments			3,827,990	4,370,981	4,370,981
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges			5,985	1,094	1,094
	10a	Investments—U S and state government obligations (attach schedule)			4,850,069	 3,753,017	3,527,081
	b	Investments—corporate stock (attach schedule)			5,334,358	 5,227,475	5,796,780
	c	Investments—corporate bonds (attach schedule).			1,782,296	 3,906,867	4,229,137
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)			3,477,791	 1,813,331	2,171,328
	14	Land, buildings, and equipment basis ▶ _____ 310,233 Less accumulated depreciation (attach schedule) ▶ 17,587			291,353	 292,646	292,646
Liabilities	15	Other assets (describe ▶ _____)			10,200	 24,785	76,985
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)			19,605,557	19,403,087	20,478,923
	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			6,914	 4,141	
	23	Total liabilities (add lines 17 through 22)			6,914	4,141	
		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted			19,598,643	19,398,946	
	25	Temporarily restricted					
	26	Permanently restricted					
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances (see page 17 of the instructions)			19,598,643	19,398,946	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)			19,605,557	19,403,087	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	19,598,643
2	Enter amount from Part I, line 27a	2	-184,349
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	19,414,294
5	Decreases not included in line 2 (itemize) ▶  _____	5	15,348
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	19,398,946

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICALLY TRADED STOCK	P	2009-04-05	2013-12-31
b	PUBLICALLY TRADED STOCK	P	2013-02-10	2013-12-31
c	CPITAL GAINS FROM LIMITED PARTNERS	P	2013-12-31	2013-12-31
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,132,560		2,075,980	56,580
b 893,434		933,168	-39,734
c 116,609			116,609
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			56,580
b			-39,734
c			116,609
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	133,455
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	76,875

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	20,882,158	21,323,279	0.979313
2011	21,188,943	20,417,695	1.037774
2010	21,502,442	19,713,317	1.090757
2009	22,168,657	14,161,468	1.565421
2008	17,346,353	16,495,512	1.05158

2 Total of line 1, column (d).	2	5.724844
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	1.144969
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	19,557,165
5 Multiply line 4 by line 3.	5	22,392,348
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	11,498
7 Add lines 5 and 6.	7	22,403,846
8 Enter qualifying distributions from Part XII, line 4.	8	20,406,894

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	22,996
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2.	3	22,996
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	22,996
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	15,000
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	20,000
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	35,000
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	12,004
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 12,004 Refunded	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> ME _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶NA				
14	The books are in care of ▶DANIEL DIBNER Telephone no ▶(203) 903-0762 Located at ▶688 COMMERCIAL STREET ROCKPORT ME ZIP +4 ▶04856			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

2

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GOLDMAN SACHS 200 WEST ST 39TH FLOOR NEW YORK, NY 10282	MANAGE FUNDS	69,021
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1UNIVERSITY OF PENNSYLVANIA NEW LABORATORY FOR STUDENTS	134,890
2LOWELL OBSERVATORY PHILANTHROPIC SCIENTIFIC RESEARCH	100,000
3UNITED MID-COAST CHARITIES PHILANTHROPIC XMAS CAMPAIGN	95,000
4FRIENDS OF THE STRAND THEATER PAID TO UPGRADE DIGITAL SCANNER	66,000

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1GOLDMAN SACHS VARIOUS FUNDS 17259434	17,259,434
2OTHER INVESTMENTS STATEMENT 118 1813331	1,813,331
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3	19,072,765

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	15,294,719
b	Average of monthly cash balances.	1b	4,262,600
c	Fair market value of all other assets (see instructions).	1c	297,671
d	Total (add lines 1a, b, and c).	1d	19,854,990
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	19,854,990
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	297,825
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	19,557,165
6	Minimum investment return. Enter 5% of line 5.	6	977,858

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	977,858
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	22,996
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	22,996
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	954,862
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	954,862
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	954,862

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,334,129
b	Program-related investments—total from Part IX-B.	1b	19,072,765
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	20,406,894
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	20,406,894
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				954,862
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				306,772
f Total of lines 3a through e.	306,772			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 20,406,894				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				954,862
e Remaining amount distributed out of corpus	1,334,129			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,640,901			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,640,901			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .	686,039			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☒ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years				(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010		
		76,875	710,869	731,431	347,781	1,866,956	
b	85% of line 2a	65,344	604,239	621,716	295,614	1,586,913	
c	Qualifying distributions from Part XII, line 4 for each year listed	20,406,894	20,882,158	2,188,943	21,502,442	64,980,437	
d	Amounts included in line 2c not used directly for active conduct of exempt activities	20,406,894	20,882,158	2,188,943	21,502,442	64,980,437	
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c						
3	Complete 3a, b, or c for the alternative test relied upon						
a	"Assets" alternative test—enter						
(1)	Value of all assets	19,403,087	19,887,395	20,790,976	20,092,448	80,173,906	
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)	19,085,655	19,570,709	20,764,300	20,061,938	79,482,602	
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .	651,905	710,776	680,590	657,111	2,700,382	
c	"Support" alternative test—enter						
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)						
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).						
(3)	Largest amount of support from an exempt organization						
(4)	Gross investment income	1,236,606	863,619	1,091,434	721,130	3,912,789	

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	994,290
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

1a(1)

No

(2)

Other assets.

1a(2)

No

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

1b(1)

No

(2)

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

(3)

Rental of facilities, equipment, or other assets.

1b(3)

No

(4)

Reimbursement arrangements.

1b(4)

No

(5)

Loans or loan guarantees.

1b(5)

No

(6)

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2014-11-17

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name

ROBERT G WILKINS E A

Preparer's Signature

Date

2014-11-17

Check if self-employed

PTIN

P00170295

Firm's name

PDQ TAX SERVICE INC

Firm's EIN

03-0306470

Firm's address






51 ADAMS DRIVE SAINT JOHNSBURY, VT 05819

Phone no


(802) 748-3382

Form 990-PF (2013)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DANIEL DIBNER  688 COMMERCIAL STREET ROCKPORT, ME 04856	MANAGER 35 00	96,622	0	0
VICTORIA DIBNER  688 COMMERCIAL STREET ROCKPORT, ME 04856	ASST MANAGER 35 00	96,622	0	0
AURORA C DIBNER  74 GLOUCESTER COURT NEWINGTON, CT 06111	DIRECTOR 5 00	11,000	0	0
AVALON B DIBNER  5 WOODS WAY REDDING, CT 06896	DIRECTOR 5 00	11,000	0	0
BERN L DIBNER  1303 DELAWARE AVE APT 1311 WILMINGTON, DE 19806	DIRECTOR 5 00	11,000	0	0


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
UNIVERSITY OF PENNSYLVANIA 418 CURIE BLVD PHILADELPHIA,PA 191044217	ADVISE BD	PC	NEW LABORATORY FOR STUDENTS OPERATING EXPENSE	134,890
UNITED MID-COAST CHARITIES P O BOX 205 CAMDEN,ME 048430205	ADVISE BD	PC	PHILANTHROPIC XMAS CAMPAIGN	95,000
FARNSWORTH ART MUSEUM 160 MUSEUM STREET ROCKLAND,ME 04841	NONE	PC	SUPPORT FINE ARTS	52,500
LOWELL OBSERVATORY 1400 W MARS HILL ROAD FLAGSTAFF,AZ 86001	ADVISE BD	PC	1 PHILANTHROPIC 2 SCIENTIFIC RESEARCH	100,000
SMITHSONIAN INSTITUTE P O BOX 37012 WASHINGTON,DC 20013	NONE	PC	SMITHSONIAN DIBNER LIBRARY FUNDING	30,000
NATURE CONSERVANCY OF MAINE 14 MAIN STREET SUITE 401 BRUNSWICK,ME 04011	NONE	PC	LAND PRESERVATION	25,000
PLANNED PARENTHOOD 434 WEST 33RD STREET NEW YORK,NY 10001	NONE	PC	NATIONAL FUND RAISER	25,000
COASTAL MTN LAND TRUST 101 MOUNT BATTLE STREET CAMDEN,ME 04843	NONE	PC	OPERATING EXPS	27,700
FAMILY PLANNING ASSOCIATION P O BOX 587 AUGUSTA,ME 043320587	NONE	PC	FAMILY PLANNING SERVICES	25,000
OWLS HEAD TRANSPORT MUSEUM 117 MUSEUM STREET OWLS HEAD,ME 04854	NONE	PC	OPERATING EXPS	20,000
SMILE TRAIN 41 MADISON AVE 28TH FLOOR NEW YORK,NY 10010	NONE	PC	ORAL SURGERY	25,000
NEW HOPE FOR WOMEN 9 PARK STREET ROCKLAND,ME 04841	NONE	PC	SUPPORTS WOMEN SHELTER	10,000
TOWN OF ROCKPORT P O BOX 10 ROCKPORT,ME 048560010	NONE	PC	POLICE CLUB K-9 PROGRAM	15,500
BREAST FEEDING RESOURCE 1355 OLD YORK ROAD SUITE 101 ABINGTON,PA 19001	NONE	PC	LOW INCOME BREASTFEEDING COUNSELING	15,000
CHILDREN ORGAN TRANSPLANT ASSO 2501 COTA AVENUE BLOOMINGTON,IN 47403	NONE	PC	MEDICAL RESEARCH	10,000
Total  3a				994,290


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MEDSHARE INTERNATIONAL 3240 CLIFTON SPRINGS ROAD DECATUR,GA 30034	NONE	PC	MEDICAL SUPPLISEFOR DISASTGER AREAOF THE WORLD	10,000
OCEANA 1350 CONN AVENUE NW WASHINGTON,DC 200361722	NONE	PC	PRESERVE & PROTECTMARINE LIFEWORLDWIDE	10,000
REEF RELIEF 631 GREENE STREET KEY WEST,FL 33040	NONE	PC	ENVIRONMENTALRESEARCH	10,000
WEATHERVANE THEATRE P O BOX 127 ROUTE 3 WHITEFIELD,NH 035980127	NONE	PC	REBUILD FROM FIRE	10,000
ASHOKA 1700 NORTH MOORE ST STE 2000 ARLINGTON,VA 222091939	NONE	PC	CHARITABLE GIFT	10,000
WHEELCHAIR FOUNDATION 3820 BLACKHAWK ROAD DANVILLE,CA 94506	NONE	PC	SUPPLIES FREEWHEELCHAIRS FORDISABLED CHILDREN	10,000
ENVIRONMENTAL DEFENSE FUND 1875 CONN AVE NW STE 600 WASHINGTON,DC 200095739	NONE	PC	PROTECT ENVIRONMENTINITIATIVE WORLDWIDE	10,000
ACCION INTERNATIONAL 56 ROLAND STREET CHARLESTOWN,MA 02129	NONE	PC	ENTREPRENEURIAL	10,000
MEALS ON WHEELS 39 SUMMER STREET ROCKLAND,ME 048412389	NONE	PC	OPERATING EXPS	10,000
USO WORLD HEADQUARTERS P O BOX 96860 WASHINGTON,DC 200906860	NONE	PC	OPERATING EXPS	10,000
SAVE THE CHILDREN 54 WILTON ROAD WESTPORT,CT 06880	NONE	PC	CHILD CHARITY	10,000
THE COMMUNITY SCHOOL P O BOX 555 CAMDEN,ME 048430555	NONE	PC	SINGLE MOTHERCOUNSELING ANDEDUCATION	10,000
FOSTER CARE FOR SUCCESS 21351 GENTRY DRIVE STE 130 STERLING,VA 20166	NONE	PC	YOUTH MENTOR PROGRAMUNDER PRIVILEGECHILDREN	10,000
FRIENDS OF THE HUNLEY 1250 SUPPLY ST BLDG 255 NORTH CHARLESTON,SC 29405	NONE	PC	RESTORATION CIVIL WARSUBMARINE - HUNLEY	10,000
PENOBSCOT MARINE MUSEUM P O BOX 498 SEARSPORT,ME 049740498	NONE	PC	UNDER RESTRICTED	10,000
Total ▶ 3a				994,290

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WOUNDED WARRIER PROJECT P O BOX 758517 TOPEKA,KS 66675	NONE	PC	NATIONAL CAMPAIGNFOR WOUNDED SOLDIERS	10,000
CAMDEN CONFERENCE P O BOX 882 CAMDEN,ME 048430882	NONE	PC	PUBLIC AWARENESSPROGRAMS	12,000
CAMDEN INTL FILM FESTIVAL P O BOX 836 CAMDEN,ME 048430836	NONE	PC	CULTURAL EDUCATIONPROGRAMS	10,000
ROCKPORT PUBLIC LIBRARY P O BOX 8 ROCKPORT,ME 048560008	NONE	PC	OPERATING EXPS	5,500
ISLAND INSTITUTE P O BOX 648 ROCKLAND,ME 048410648	NONE	PC	OCEANIC ANDMARITIME NATUREEDUCATION	5,000
EVERYMAN REPERTORY THEATRE P O BOX 938 ROCKPORT,ME 048560938	NONE	PC	FUNDRAISER	5,000
STATION MAINE 75 MECHANIC STREET ROCKLAND,ME 04841	NONE	PC	MARITIME AND CHILDEDUCATION PROGRAM	5,000
OSPREY CLUB 22 WHITE STREET ROCKLAND,ME 04841	ADVISE BD	PC	MEDICAL SERVICESFOR POOR	7,500
BIGELOW LABORATORY P O BOX 475 WEST BOOTHBAY HARBOR,ME 045750475	NONE	PC	OCEANIC RESEARCHAND EDUCATION	5,000
LEARNING ALLY 20 ROSZEL ROAD PRINCETON,NJ 085409983	NONE	PC	FREE AUDIORECORDING DEVICESFOR IMPAIRED	5,000
LUNA PRESERVATION SOCIETY P O BOX 1866 BROOKLINE,MA 024461866	NONE	PC	OPERATING EXPS	5,000
ISLANDWOOD 4450 BLAKELY AVENUE BAINBRIDGE ISLAND,WA 98110	NONE	PC	EDUCATIONAL	5,000
RESTORATIVE JUSTICE PROJECT P O BOX 141 BELFAST,ME 049150141	NONE	PC	FIRST OFFENDEREDUCATION ANDSUPPORT	5,000
WOMEN FOR WOMEN INTL P O BOX 9224 CENTRAL ISLIP,NY 117229224	NONE	PC	OPERATING EXPENSES	2,500
MEGUNTCOOK ROWING P O BOX 855 CAMDEN,ME 048430855	ADVISE BD	PC	PUBLIC ROWINGEDUCATION	20,000
Total  3a				994,290

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS OF THE STRAND THEATER 345 MAIN STREET ROCKLAND, ME 04841	NONE	PC	PAID TO UPGRADE DIGITAL SCANNER	66,000
RAGGED MTN RECREATION FOUNDATION P O BOX 438 CAMDEN, ME 048430438	NONE	PC	MANAGEMENT OF WILDLIFE OPERATING ERXPENSES	10,200
MAINE MEDIA WORKSHOPS AND COLLEGE P O BOX 200 ROCKPORT, ME 048560200	NONE	PC	MUSIC EDUCATION FOR STUDENTS OPERATING EXPENSES	10,000
KNOX COUNTY HEALTH CLINIC 22 WHITE STREET ROCKLAND, ME 04841	NONE	PC	FREE HEALTH SERVICE FOR THE POOR OPERATING EXPENSE	10,000
VICTORIA MUSEUM 109 DANFORTH STREET PORTLAND, ME 04101	NONE	PC	1864 MANSION RESTORATION PROJECT	10,000
THE GENERAL HENRY KNOX MUSEUM P O BOX 326 THOMASTON, ME 048610326	NONE	PC	REVOLUTIONARY WAR GENERAL HOMESTEAD RESTORATION	5,500
THE JACKSON LABORATORY P O BOX 254 BAR HARBOR, ME 046090254	NONE	PC	HEALTH SERVICES-GROW MICE FOR EXPERIMENTAL PURPOSE	5,000
MAINE MARITIME MUSEUM 243 WASHINGTON STREET BATH, ME 04530	NONE	PC	PURCHASE HARDWARE FOR SCANNER UPGRADE	5,000
HOPE ELEPHANTS P O BOX 2025 HOPE, ME 048472025	NONE	PC	SAVE ELEPHANTS-CARE AND MANAGEMENT FOR 2 ELEPHANTS	3,000
MERRYSRING NATURE CENTER P O BOX 893 CAMDEN, ME 048430893	NONE	PC	NATURE CENTER FOR WILD FLOWER MAINTENTANCE EXPENSE	500
AMERICAN CANCER SOCIETY 825 BROOK STREET 1-91 TECH CENTER ROCKY HILL, CT 060673450	NONE	PC	UCONN RELAY FOR LIFE	500
YALE-NEW HAVEN HOSPITAL P O BOX 1849 NEW HAVEN, CT 065081849	NONE	PC	SCIENCE AND MEDICINE RESEARCH EXPENSES	500
Total  3a				994,290

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2013

Attachment
Sequence No **179**

Name(s) shown on return
CASCADE FOUNDATION

Business or activity to which this form relates
FORM 990PF - 1

Identifying number

20-4956488

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	\$ 2,600,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost	
7	Listed property Enter the amount from line 29	7		
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8		
9	Tentative deduction Enter the smaller of line 5 or line 8	9		
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10		
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11		
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12		
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12	13		

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	1,299

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	781
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property		See Add'l Data				
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (see instructions.)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	2,454
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V

Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)
Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A—Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed? <input type="checkbox"/> Yes <input type="checkbox"/> No						24b If "Yes," is the evidence written? <input type="checkbox"/> Yes <input type="checkbox"/> No			
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation/ deduction	(i) Elected section 179 cost	
25Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)							25		
26 Property used more than 50% in a qualified business use									
		%							
		%							
		%							
27 Property used 50% or less in a qualified business use									
		%				S/L -			
		%				S/L -			
		%				S/L -			
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						28			
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							29		

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person
If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

30 Total business/investment miles driven during the year (do not include commuting miles)	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
31 Total commuting miles driven during the year												
32 Total other personal(noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		
Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles		

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year (see instructions)					
43 Amortization of costs that began before your 2013 tax year				43	
44 Total. Add amounts in column (f) See the instructions for where to report				44	

Additional Data

Software ID:
Software Version:
EIN: 20-4956488
Name: CASCADE FOUNDATION

Form 4562, Part III, Line 19, Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System:

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
b 5-year property		833	5	HY	S/L	83
b 5-year property		334	5	HY	S/L	33
b 5-year property		600	5	HY	S/L	60
b 5-year property		1,981	5	HY	S/L	198

TY 2013 Accounting Fees Schedule

Name: CASCADE FOUNDATION

EIN: 20-4956488

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PDQ TAX SERVICE	11,500	11,500	0	0

TY 2013 Compensation Explanation**Name:** CASCADE FOUNDATION**EIN:** 20-4956488

Person Name	Explanation
DANIEL DIBNER	WAGES PAID TO ADMINISTER THE FOUNDATION, CONTROL AND OVERSEE THE ASSETS
VICTORIA DIBNER	ASSIST THE MANAGER IN MANAGING AND OVERSEEING THE FOUNDATIONS ASSETS
AURORA C DIBNER	MEET WITH THE MANAGERS FOUR TIMES A YEAR TO ASSIST IN GUIDING THE FOUNDATION ASSETS
AVALON B DIBNER	MEET WITH THE MANAGERS FOUR TIMES A YEAR TO AID IN GUIDING THE FOUNDATION ASSETS
BERN L DIBNER	MEET WITH THE MANAGERS FOUR TIMES A YEAR TO AID IN GUIDING THE FOUNDATION ASSETS

TY 2013 Contractor Compensation Explanation

Name: CASCADE FOUNDATION

EIN: 20-4956488

Contractor	Explanation
GOLDMAN SACHS	FEES DEDUCTED FROM VARIOUS CUSTOMER FUNDS DURING EACH QTR OF 2013

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: CASCADE FOUNDATION

EIN: 20-4956488

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
ACCOUNTING SOFTWARE	2006-04-19	524	524	SL	5	0	0	0	
PRINTER	2007-11-04	617	617	SL	5	0	0	0	
TERA BACKUP UNIT	2008-03-01	420	395	M	5	24	0	0	
VCD MACBO LAPTOP	2008-05-04	2,690	2,535	M	5	155	0	0	
FIREPROOF SAFE	2008-08-25	2,474	1,923	M	7	221	0	0	
RENEW QUICKBOOKS	2008-09-01	1,321	1,245	M	5	76	0	0	
M-TECH LAPTOP	2008-09-12	5,061	3,807	M	5	292	0	0	
MICROSOFT OFFICE	2008-11-06	220	206	M	5	13	0	0	
SIRIUS RADIO	2010-09-05	241	118	SL	5	48	0	0	
GENERATOR	2011-08-29	1,028	202	SL	7	147	0	0	
M-TECH LAPTOP	2011-10-21	5,521	1,242	SL	5	1,104	0	0	
LAND FOR OFFICE	2012-06-01	284,050	0	NDA		0	0	0	
DELL MONITOR	2013-01-11	833	0	SL	5	83	0	0	
CELL PHONE	2013-04-15	334	0	SL	5	33	0	0	
COMPUTER EQUIP	2013-05-29	600	0	SL	5	60	0	0	
APPLE COMPUTER	2013-07-01	1,981	0	SL	5	198	0	0	

**TY 2013 Investments Corporate
Bonds Schedule**

Name: CASCADE FOUNDATION
EIN: 20-4956488

Name of Bond	End of Year Book Value	End of Year Fair Market Value
PRIVATE CORP BONDS	3,906,867	4,229,137

**TY 2013 Investments Corporate
Stock Schedule**

Name: CASCADE FOUNDATION

EIN: 20-4956488

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS VARIOUS STOCK FU	5,227,475	5,796,780

TY 2013 Investments Government Obligations Schedule

Name: CASCADE FOUNDATION

EIN: 20-4956488

**US Government Securities - End of
Year Book Value:**

3,753,017

**US Government Securities - End of
Year Fair Market Value:**

3,527,081

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Investments - Other Schedule**Name:** CASCADE FOUNDATION**EIN:** 20-4956488

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
GMS ALPHA IV PORTFOLIO		0	0
GS CAPITAL PARTNERS VI PARALLELL		369,478	546,051
VCFA PRIVATE EQUITY PARTNERS IV		353,217	353,217
GSCP VI PARALLEL AIV		64,210	64,210
MOUNT KENNETT CAPITAL PARTNERS		599,729	608,611
OTHER PRIVATE EQUITY		263,618	285,514
GS VINTAGE FUND LP		163,079	313,725

TY 2013 Land, Etc. Schedule**Name:** CASCADE FOUNDATION**EIN:** 20-4956488

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER & OFFICE EQUIPMENT	26,184	17,587	8,597	8,596
LAND	284,050	0	284,050	284,050

TY 2013 Other Assets Schedule**Name:** CASCADE FOUNDATION**EIN:** 20-4956488

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DAVINCI HORSE SCULPTURE ANTIQUE	5,800	5,800	58,000
BRONZE ART	3,985	3,985	3,985
PREPAID EXCISE TAX	415	15,000	15,000

TY 2013 Other Decreases Schedule

Name: CASCADE FOUNDATION

EIN: 20-4956488

Description	Amount
2012 FEDERAL EXCISE TAX	14,612
MEALS 50	736

TY 2013 Other Expenses Schedule**Name:** CASCADE FOUNDATION**EIN:** 20-4956488

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
COMPUTER EXPENSE	860	860	0	0
DUES	551	551	0	0
MISCELLANEOUS K-1 EXP	6,015	0	0	6,015
OFFICE EXPENSES	2,094	2,094	0	0
TELEPHONE	4,844	4,844	0	0
MEALS 50	736	0	0	736
REPAIRS	333	333	0	0
NON EMPLOYEE INSURANCE	500	500	0	0

TY 2013 Other Income Schedule**Name:** CASCADE FOUNDATION**EIN:** 20-4956488

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
K-1 MISC INCOME	2,944	2,944	0
UBTI K-1	380	380	0
UNREALIZED GAINS	625,586	625,586	0

TY 2013 Other Liabilities Schedule

Name: CASCADE FOUNDATION

EIN: 20-4956488

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL TAXES	6,914	4,141

TY 2013 Other Professional Fees Schedule

Name: CASCADE FOUNDATION

EIN: 20-4956488

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GOLDMAN MANAGEMENT FEES	69,021	0	0	69,021

TY 2013 Taxes Schedule

Name: CASCADE FOUNDATION

EIN: 20-4956488

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES WITHHELD	356	356	0	0