Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: JMM CHARITABLE FOUNDATION, INC.

A Employer identification number: 20-4679694

Number and street (or P O box number if mail is not delivered to street address): 5200 WEST CENTURY BLVD

Room/suite:

B Telephone number: (973) 533-4120

City or town, state or province, country, and ZIP or foreign postal code: LOS ANGELES, CA 90045

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 11,248,125.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	10,000.		N/A	
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	165,409.	165,409.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	431,373.			
	b Gross sales price for all assets on line 6a 3,240,265.				
	7 Capital gain net income (from Part IV, line 2)		431,373.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	80,056.	80,056.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	686,838.	676,838.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	16,247.	6,247.		10,000.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	41,470.	41,470.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	57,717.	47,717.		10,000.
	25 Contributions, gifts, grants paid	2,047,500.			2,047,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	2,105,217.	47,717.		2,057,500.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<1,418,379.>			
	b **Net investment income** (if negative, enter -0-)		629,121.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	51,175.	52,682.	52,682.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 5	1,588,733.	1,241,437.	1,219,695.
	b Investments - corporate stock STMT 6	4,940,710.	3,309,576.	4,938,094.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 7	5,181,560.	5,740,104.	5,037,654.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	11,762,178.	10,343,799.	11,248,125.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	11,762,178.	10,343,799.	
	30 **Total net assets or fund balances**	11,762,178.	10,343,799.	
	31 **Total liabilities and net assets/fund balances**	11,762,178.	10,343,799.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,762,178.
2	Enter amount from Part I, line 27a	2	<1,418,379.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	10,343,799.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,343,799.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	3,240,265.		2,808,892.	431,373.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				431,373.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 431,373.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	2,310,626.	12,550,130.	.184112
2011	651,732.	13,137,818.	.049607
2010	335,000.	12,419,361.	.026974
2009	480,966.	11,780,423.	.040828
2008	884,132.	16,186,854.	.054620

2	**Total** of line 1, column (d)	2	.356141
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.071228
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	11,745,299.
5	Multiply line 4 by line 3	5	836,594.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,291.
7	Add lines 5 and 6	7	842,885.
8	Enter qualifying distributions from Part XII, line 4	8	2,057,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	6,291.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	6,291.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	6,291.
6 Credits/Payments:				
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	10,001.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	10,001.
8 Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,710.
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶		3,710. Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JOHN MAI Telephone no. ▶ (310)551-9323

Located at ▶ 5200 WEST CENTURY BLVD, LOS ANGELES, CA ZIP+4 ▶ 90045

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WALTER W. WANG 1421 STONE CANYON ROAD LOS ANGELES, CA 90077	PRESIDENT, DIRECTOR 1.00	0.	0.	0.
SHIRLEY W. WANG 1421 STONE CANYON ROAD LOS ANGELES, CA 90077	DIRECTOR 1.00	0.	0.	0.
JOHN MAI 5200 W CENTURY BLVD LOS ANGELES, CA 90045	SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,754,361.
b	Average of monthly cash balances	1b	169,800.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	11,924,161.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,924,161.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	178,862.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,745,299.
6	**Minimum investment return.** Enter 5% of line 5	6	587,265.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	587,265.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	6,291.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	6,291.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	580,974.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	580,974.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	580,974.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,057,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,057,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	6,291.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,051,209.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				580,974.
2 Undistributed income, if any, as of the end of 2013 a Enter amount for 2012 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012 1,169,362.				
f Total of lines 3a through e	1,169,362.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶$ 2,057,500.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				580,974.
e Remaining amount distributed out of corpus	1,476,526.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,645,888.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	2,645,888.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012 1,169,362.				
e Excess from 2013 1,476,526.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 8

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DEA EDUCATIONAL FOUNDATION 2020 PENNSYLVANIA AVE NW WASHINGTON, DC 20006	NONE	PUBLIC CHARITY	CHARITABLE	50,000.
FACING HISTORY AND OURSELVES 350 S BIXEL STREET SUITE 160 LOS ANGELES, CA 90017	NONE	PUBLIC CHARITY	CHARITABLE	10,000.
HARVARD WESTLAKE SCHOOL 700 NORTH FARING ROAD LOS ANGELES, CA 90077	NONE	PUBLIC CHARITY	CHARITABLE	1,800,000.
CLEAN STREAM MINISTRIES PO BOX 7076 VAN NUYS, CA 91409	NONE	PUBLIC CHARITY	CHARITABLE	25,000.
SHERIFF'S YOUTH FOUNDATION 4700 RAMONA BLVD MONTEREY PARK, CA 91754	NONE	PUBLIC CHARITY	CHARITABLE	100,000.
Total ... SEE CONTINUATION SHEET(S)			▶ 3a	2,047,500.
b *Approved for future payment* NONE				
Total ..			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	165,409.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			01	80,056.	
8 Gain or (loss) from sales of assets other than inventory			18	431,373.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		676,838.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 676,838.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11/12/17 | Title OFFICER

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
RONALD G. WEINER, CPA	RONALD G. WEINER,	10/23/14		P00690869

Firm's name ▶ PERELSON WEINER LLP | Firm's EIN ▶ 13-3791592

Firm's address ▶ ONE DAG HAMMARSKJOLD PLAZA NEW YORK, NE 10017-2286 | Phone no. (212) 605-3100

Form **990-PF** (2013)

323622 10-10-13

11541023 798001 59052900 2013.04030 JMM CHARITABLE FOUNDATION, 59052901

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990 .

OMB No 1545-0047

2013

Name of the organization	Employer identification number
JMM CHARITABLE FOUNDATION, INC.	20-4679694

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
JMM CHARITABLE FOUNDATION, INC.	20-4679694

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WALTER WANG 1421 STONE CANYON ROAD LOS ANGELES, CA 90077	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2	SHIRLEY WANG 1421 STONE CANYON ROAD LOS ANGELES, CA 90077	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
JMM CHARITABLE FOUNDATION, INC.	20-4679694

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization: JMM CHARITABLE FOUNDATION, INC.

Employer identification number: 20-4679694

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GS:GOV/CORP	P		
b	ADVISORY	P		
c	WESTFIELD:LCG	P		
d	WESTFIELD:LCG-DISALLOWED	P		
e	WESTFIELD:LCG	P		
f	WESTFIELD:LCG-DISALLOWED	P		
g	THUR WHITEHALL PARALLEL GLOBAL K-1	P		
h	THUR WHITEHALL PARALLEL GLOBAL K-1	P		
i	CAPITAL GAINS DIVIDENDS			
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	656,641.		635,107.	21,534.
b	1,671,947.		1,464,334.	207,613.
c	304,052.		286,388.	17,664.
d	205.			205.
e	523,453.		417,611.	105,842.
f	219.			219.
g			5,452.	<5,452.>
h	26,933.			26,933.
i	56,815.			56,815.
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				21,534.
b				207,613.
c				17,664.
d				205.
e				105,842.
f				219.
g				<5,452.>
h				26,933.
i				56,815.
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	431,373.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NECO FOUNDATION 12 EAST 33 STREET NEW YORK, NY 10016	NONE	PUBLIC CHARITY	CHARITABLE	25,000.
PUBLIC MEDIA INC 521 EAST 14 STREET NEW YORK, NY 10009	NONE	PUBLIC CHARITY	CHARITABLE	25,000.
JOYFUL HEART FOUNDATION 10914-A KINROSS AVE LOS ANGELES, CA 90024	NONE	PUBLIC CHARITY	CHARITABLE	12,500.
Total from continuation sheets				62,500.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS ACADIAN	12.	0.	12.	12.	
GOLDMAN SACHS BROKERAGE	125.	0.	125.	125.	
GOLDMAN SACHS GS: GOV/CORP	32,532.	0.	32,532.	32,532.	
GOLDMAN SACHS GS:ADVISORY	168,778.	56,815.	111,963.	111,963.	
GOLDMAN SACHS TRILOGY	19.	0.	19.	19.	
GOLDMAN SACHS WESTFIELD:LCG	20,317.	0.	20,317.	20,317.	
WHITEHALL PARALLEL GLOBAL K-1	441.	0.	441.	441.	
TO PART I, LINE 4	222,224.	56,815.	165,409.	165,409.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
WHITEHALL PARALLEL GLOBAL K-1	89,280.	89,280.	
WHITEHALL PARALLEL GLOBAL K-1	<9,224.>	<9,224.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	80,056.	80,056.	

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	184.	184.		0.
NY STATE TAXES	913.	913.		0.
ILLINOIS TAXES	5,150.	5,150.		0.
FEDERAL EXCISE TAXES	10,000.	0.		10,000.
TO FORM 990-PF, PG 1, LN 18	16,247.	6,247.		10,000.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	41,470.	41,470.		0.
TO FORM 990-PF, PG 1, LN 23	41,470.	41,470.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS	X		1,241,437.	1,219,695.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,241,437.	1,219,695.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,241,437.	1,219,695.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS	3,309,576.	4,938,094.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,309,576.	4,938,094.

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS	COST	5,740,104.	5,037,654.
TOTAL TO FORM 990-PF, PART II, LINE 13		5,740,104.	5,037,654.

FORM 990-PF | PART XV - LINE 1A LIST OF FOUNDATION MANAGERS | STATEMENT 8

NAME OF MANAGER

WALTER W. WANG
SHIRLEY W. WANG

JMM CHARITABLE FDN INC GS: GOV/CORP FI

Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes You should use only your official form 1099's or other tax records for tax reporting This information is not, and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
U.S. TREASURY NOTE 1 250000% 09/30/2015 MS ON THE RUN	Oct 27 2010	Mar 26 2013	100,000.00	102,339 84	99,770.00	0.00	2,569.84	2,569 84	LT
U S TREASURY NOTE 1.375000% 09/30/2018 MS ON THE RUN	Oct 17 2011	Mar 26 2013	100,000.00	102,515.63	98,581.58 [3]	0 00	4,304 69	3,934 05	LT
U S TREASURY NOTE 2 250000% 07/31/2018 JJ ON THE RUN	Aug 05 2011	Mar 26 2013	50,000 00	53,605 47	50,848 17 [3]	0 00	2,515 47	2,757 30	LT
U.S. TREASURY NOTE 2 375000% 07/31/2017 JJ ON THE RUN	Oct 24 2011	Mar 26 2013	50,000 00	53,677 73	52,203 17 [3]	0 00	783 20	1,474 56	LT
U S TREASURY NOTE 3.500000% 02/15/2018 FA ON THE RUN	Aug 23 2010	Mar 26 2013	100,000 00	113,105 47	106,665 06 [3]	0 00	3,175 78	6,440 41	LT
FFCB 3 0% 09/22/2014 MS	Aug 02 2010	Jul 22 2013	75,000 00	77,430 00	76,357 62 [3]	0.00	(2,287 50)	1,072 38	LT
IADB 4 25% 09/14/2015 MS SER 96 SR LIEN	May 08 2009	Jul 22 2013	50,000 00	53,966 50	50,681 03 [3]	0 00	2,091 50	3,285 47	LT
FHLB 3 625% 10/18/2013 AO SR LIEN	May 14 2009	Oct 18 2013	100,000 00	100,000 00	100,000.00 [3]	0 00	(5,250 00)	0 00	LT

	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
LONG TERM GAINS	656,640 64	635,106 63	0 00	7,902.98	21,534.01
NET LONG TERM GAINS (LOSSES)	**656,640.64**	**635,106.63**	**0.00**	**7,902.98**	**21,534.01**

[3] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently.

Goldman Sachs

JMM CHARITABLE FDN INC ADVISORY
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes You should use only your official form 1099's or other tax records for tax reporting This information is not, and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third-party and Goldman Sachs makes no representation as to the accuracy of such information

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
GS SHORT DURATION INCOME INSTITUTIONAL MUTUAL FUND	Mar 26 2013	Apr 23 2013	12,230 92	125,000 01	124,510 77	0 00	489 24	489.24	ST
ISHARES MSCI EAFE ETF	Jan 07 2013	Dec 26 2013	23,400.00	1,546,947 05	1,339,823.16	0.00	207,123 89	207,123 89	ST

	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
SHORT TERM GAINS	1,671,947 06	1,464,333 93	0 00	207,613 13	207,613 13
NET SHORT TERM GAINS (LOSSES)	**1,671,947.06**	**1,464,333.93**	**0.00**	**207,613.13**	**207,613.13**

Goldman Sachs

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting This information is not, and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	Sep 21 2011	Jan 03 2013	2.00	151.01	129.39	0.00	21.62	21.62	LT
	Sep 21 2011	Jan 03 2013	10.00	752.86	646.97	0.00	105.89	105.89	LT
	Sep 22 2011	Jan 03 2013	20.00	1,510.13	1,207.78	0.00	302.35	302.35	LT
	Sep 22 2011	Jan 04 2013	17.00	1,278.41	1,026.61	0.00	251.80	251.80	LT
	Sep 30 2011	Jan 04 2013	39.00	2,932.82	2,531.42	0.00	401.40	401.40	LT
MICROSOFT CORPORATION CMN	Jan 06 2012	Jan 07 2013	264.00	7,050.94	7,398.63	0.00	(347.69)	(347.69)	LT
TEXAS INSTRUMENTS INC CMN	Oct 18 2012	Jan 09 2013	69.00	2,198.11	1,980.50	0.00	217.61	217.61	ST
	Oct 18 2012	Jan 09 2013	35.00	1,110.93	1,004.60	0.00	106.33	106.33	ST
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	Sep 30 2011	Jan 10 2013	7.00	523.64	454.36	0.00	69.28	69.28	LT
	Oct 06 2011	Jan 10 2013	18.00	1,346.49	1,182.55	0.00	163.94	163.94	LT
	Nov 16 2011	Jan 10 2013	3.00	225.37	208.62	0.00	16.75	16.75	LT
	Nov 16 2011	Jan 10 2013	4.00	299.22	278.15	0.00	21.07	21.07	LT
CELGENE CORPORATION CMN	Mar 07 2011	Jan 11 2013	59.00	5,583.71	3,173.84	0.00	2,409.87	2,409.87	LT
	Mar 11 2011	Jan 11 2013	102.00	9,653.18	5,405.92	0.00	4,247.26	4,247.26	LT
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	Nov 16 2011	Jan 11 2013	4.00	312.41	278.15	0.00	34.26	34.26	LT
COMCAST CORPORATION CMN CLASS A VOTING	Dec 28 2010	Jan 11 2013	98.00	3,760.41	2,156.00	0.00	1,604.41	1,604.41	LT
	Jan 14 2011	Jan 11 2013	34.00	1,304.64	771.37	0.00	533.27	533.27	LT
MICROSOFT CORPORATION CMN	Jan 06 2012	Jan 11 2013	113.00	3,036.82	3,166.84	0.00	(130.02)	(130.02)	LT
	Jan 06 2012	Jan 11 2013	106.00	2,825.53	2,970.66	0.00	(145.13)	(145.13)	LT
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	Nov 16 2011	Jan 14 2013	9.00	704.20	625.85	0.00	78.35	78.35	LT
TEXAS INSTRUMENTS INC. CMN	Oct 18 2012	Jan 22 2013	9.00	299.33	258.33	0.00	41.00	41.00	ST
	Oct 23 2012	Jan 22 2013	40.00	1,330.35	1,095.58	0.00	234.77	234.77	ST
	Oct 23 2012	Jan 22 2013	57.00	1,895.75	1,590.82	0.00	304.93	304.93	ST
COGNIZANT TECHNOLOGY SOLUTIONS CORP	Nov 16 2011	Jan 23 2013	5.00	387.80	347.69	0.00	40.11	40.11	LT

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CLASS A	Nov 16 2011	Jan 23 2013	7 00	543 74	483 88	0 00	59 86	59 86	LT
	Nov 16 2011	Jan 23 2013	13.00	1,008 29	898 64	0 00	109 65	109 65	LT
	Nov 16 2011	Jan 24 2013	9 00	692 16	622 14	0 00	70 02	70 02	LT
	Nov 16 2011	Jan 24 2013	11.00	856 18	752 54	0 00	103 64	103 64	LT
	Nov 16 2011	Jan 24 2013	1 00	76 90	68 41	0 00	8 49	8 49	LT
VALERO ENERGY CORPORATION CMN	Jan 13 2011	Jan 24 2013	45 00	1,709 75	1,123 90	0 00	585 85	585 85	LT
	Jan 31 2011	Jan 24 2013	41.00	1,557 77	1,042 64	0 00	515 13	515 13	LT
	Jan 31 2011	Jan 25 2013	15.00	571.74	381 45	0 00	190 29	190 29	LT
LOWES COMPANIES INC CMN	Dec 05 2011	Jan 29 2013	57 00	2,183 42	1,398 33	0 00	785 09	785 09	LT
	Dec 14 2011	Jan 29 2013	16.00	612.89	390 75	0 00	222 14	222 14	LT
THE HOME DEPOT, INC. CMN	Nov 29 2011	Jan 29 2013	6.00	402 61	233 68	0 00	168 93	168 93	LT
	Dec 02 2011	Jan 29 2013	54.00	3,623 43	2,166 94	0.00	1,456 49	1,456 49	LT
LOWES COMPANIES INC CMN	Dec 14 2011	Jan 30 2013	34.00	1,300 96	830 34	0 00	470 62	470 62	LT
VERTEX PHARMACEUTICALS INC CMN	Sep 23 2011	Jan 30 2013	26.00	1,169.21	1,311 60	0 00	(142 39)	(142 39)	LT
PPG INDUSTRIES, INC CMN	Apr 19 2012	Jan 31 2013	21.00	2,906.22	2,115 61	0 00	790 61	790.61	ST
	Apr 20 2012	Jan 31 2013	24.00	3,321.40	2,437.59	0 00	883 81	883 81	ST
VERTEX PHARMACEUTICALS INC CMN	Sep 23 2011	Jan 31 2013	34.00	1,524.47	1,715 17	0 00	(190 70)	(190 70)	LT
	Sep 30 2011	Jan 31 2013	94.00	4,214 70	4,197 28	0 00	17 42	17 42	LT
	Oct 03 2011	Jan 31 2013	12 00	538.04	505 72	0 00	32 32	32 32	LT
	Oct 03 2011	Jan 31 2013	72.00	3,228 29	3,034.34	0 00	193 95	193 95	LT
	Dec 05 2011	Jan 31 2013	63.00	2,824 75	1,860.30	0 00	964.45	964 45	LT
	Dec 05 2011	Jan 31 2013	71.00	3,183.44	2,096 52	0 00	1,086 92	1,086 92	LT
	Dec 08 2011	Jan 31 2013	25 00	1,120.93	715.43	0.00	405.50	405 50	LT
	Dec 08 2011	Jan 31 2013	91 00	4,080 20	2,604 18	0 00	1,476 02	1,476 02	LT
PPG INDUSTRIES, INC CMN	Apr 20 2012	Feb 01 2013	25.00	3,507 06	2,539 16	0 00	967 90	967 90	ST
	Apr 23 2012	Feb 01 2013	26.00	3,647 33	2,614 44	0.00	1,032 89	1,032 89	ST
TEXAS INSTRUMENTS INC. CMN	Oct 23 2012	Feb 04 2013	29.00	966 36	794 30	0.00	172 06	172 06	ST
	Nov 07 2012	Feb 04 2013	14.00	466.52	411 29	0 00	55 23	55 23	ST
	Nov 12 2012	Feb 04 2013	41 00	1,366 23	1,209 21	0 00	157 02	157 02	ST
	Nov 16 2012	Feb 04 2013	14 00	469 03	397.34	0 00	71 69	71.69	ST
	Nov 16 2012	Feb 04 2013	28.00	933.03	795.16	0 00	137.87	137.87	ST
	Nov 16 2012	Feb 04 2013	50 00	1,666.13	1,419 08	0 00	247 05	247.05	ST
	Nov 29 2012	Feb 04 2013	76 00	2,546 19	2,284 29	0 00	261 90	261 90	ST
	Nov 29 2012	Feb 04 2013	77.00	2,579 69	2,303 39	0 00	276 30	276 30	ST

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
MONDELEZ INTERNATIONAL, INC. CMN	May 30 2012	Feb 06 2013	38.00	1,066.12	946.74	0.00	119.38	119.38	ST
	May 31 2012	Feb 06 2013	89.00	2,496.95	2,214.78	0.00	282.17	282.17	ST
LOWES COMPANIES INC CMN	Dec 14 2011	Feb 14 2013	95.00	3,755.28	2,320.07	0.00	1,435.21	1,435.21	LT
VALERO ENERGY CORPORATION CMN	Jan 31 2011	Feb 15 2013	26.00	1,223.97	661.19	0.00	562.78	562.78	LT
	Apr 08 2011	Feb 15 2013	51.00	2,400.86	1,443.73	0.00	957.13	957.13	LT
THE HERSHEY COMPANY CMN	Apr 04 2011	Feb 25 2013	11.00	892.75	601.11	0.00	291.64	291.64	LT
	Apr 04 2011	Feb 26 2013	7.00	567.12	382.53	0.00	184.59	184.59	LT
	Apr 05 2011	Feb 26 2013	1.00	81.02	55.31	0.00	25.71	25.71	LT
COACH INC CMN	Jan 11 2013	Feb 27 2013	114.00	5,458.24	6,684.96	0.00	(1,226.72)	(1,226.72)	ST
	Jan 14 2013	Feb 27 2013	2.00	95.76	122.71	0.00	(26.95)	(26.95)	ST
	Jan 14 2013	Feb 27 2013	25.00	1,205.37	1,533.00	0.00	(327.63)	(327.63)	ST
	Jan 14 2013	Feb 27 2013	87.00	4,194.68	5,337.72	0.00	(1,143.04)	(1,143.04)	ST
CELGENE CORPORATION CMN	Mar 11 2011	Mar 01 2013	2.00	208.67	106.00	0.00	102.67	102.67	LT
UNITED TECHNOLOGIES CORP CMN	Feb 26 2010	Mar 01 2013	2.00	179.81	137.37	0.00	42.44	42.44	LT
CITIGROUP INC CMN	Oct 11 2011	Mar 18 2013	154.00	7,119.52	4,298.70	0.00	2,820.82	2,820.82	LT
HALLIBURTON COMPANY CMN	Oct 28 2010	Mar 18 2013	52.00	2,106.92	1,630.70	0.00	476.22	476.22	LT
STANLEY BLACK & DECKER INC CMN	Dec 13 2011	Mar 18 2013	24.00	1,933.96	1,562.20	0.00	371.76	371.76	LT
	Dec 14 2011	Mar 18 2013	6.00	483.49	382.34	0.00	101.15	101.15	LT
THERMO FISHER SCIENTIFIC INC CMN	Jan 11 2012	Mar 18 2013	2.00	152.99	99.52	0.00	53.47	53.47	LT
	Jan 12 2012	Mar 18 2013	48.00	3,671.74	2,391.34	0.00	1,280.40	1,280.40	LT
HALLIBURTON COMPANY CMN	Oct 28 2010	Mar 19 2013	10.00	397.24	313.60	0.00	83.64	83.64	LT
	Oct 29 2010	Mar 19 2013	14.00	556.13	445.49	0.00	110.64	110.64	LT
STANLEY BLACK & DECKER INC CMN	Dec 14 2011	Mar 19 2013	7.00	567.49	446.06	0.00	121.43	121.43	LT
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	Jun 02 2011	Apr 02 2013	12.00	547.46	668.77	0.00	(121.31)	(121.31)	LT
	Jun 03 2011	Apr 02 2013	11.00	501.84	613.95	0.00	(112.11)	(112.11)	LT
	Jun 03 2011	Apr 03 2013	69.00	3,120.37	3,851.11	0.00	(730.74)	(730.74)	LT
	Jun 06 2011	Apr 03 2013	49.00	2,215.92	2,679.95	0.00	(464.03)	(464.03)	LT
CITIGROUP INC CMN	Oct 11 2011	Apr 03 2013	110.00	4,676.09	3,070.50	0.00	1,605.59	1,605.59	LT
	Mar 14 2012	Apr 03 2013	260.00	11,052.58	9,123.50	0.00	1,929.08	1,929.08	LT
HALLIBURTON COMPANY CMN	Oct 29 2010	Apr 03 2013	110.00	4,259.31	3,500.27	0.00	759.04	759.04	LT

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CHECK POINT SOFTWARE TECH. LTD ORDINARY	Jun 06 2011	Apr 04 2013	35 00	1,596 84	1,914 25	0 00	(317.41)	(317.41)	LT
SHARES	Jun 07 2011	Apr 04 2013	76 00	3,467 42	4,164 44	0.00	(697 02)	(697.02)	LT
	Jun 08 2011	Apr 04 2013	83 00	3,786 79	4,520.30	0.00	(733.51)	(733 51)	LT
HALLIBURTON COMPANY CMN	Oct 29 2010	Apr 04 2013	10.00	385 91	318.21	0.00	67 70	67.70	LT
	Sep 27 2011	Apr 04 2013	31 00	1,196.31	1,089.52	0 00	106 79	106 79	LT
DANAHER CORPORATION CMN	Apr 27 2011	Apr 08 2013	41 00	2,487.32	2,260 20	0 00	227 12	227 12	LT
KRAFT FOODS GROUP, INC CMN	May 30 2012	Apr 08 2013	12.00	619.93	480.11	0 00	139 82	139 82	ST
	May 31 2012	Apr 08 2013	35 00	1,808.14	1,399.09	0 00	409.05	409 05	ST
	Jun 07 2012	Apr 08 2013	4 00	206 65	158 93	0 00	47 72	47 72	ST
MONDELEZ INTERNATIONAL, INC. CMN	May 31 2012	Apr 08 2013	15 00	452.19	373.28	0.00	78 91	78.91	ST
	Jun 07 2012	Apr 08 2013	71.00	2,140 36	1,763.92	0 00	376 44	376 44	ST
	Jun 08 2012	Apr 08 2013	62.00	1,869.04	1,540 09	0.00	328.95	328 95	ST
	Jun 08 2012	Apr 08 2013	80 00	2,411.67	1,986 25	0 00	425 42	425 42	ST
THE HERSHEY COMPANY CMN	Apr 05 2011	Apr 08 2013	13 00	1,107 54	718 97	0 00	388 57	388 57	LT
DANAHER CORPORATION CMN	Apr 27 2011	Apr 09 2013	16.00	965 63	882.03	0 00	83 60	83 60	LT
KRAFT FOODS GROUP, INC CMN	Jun 07 2012	Apr 09 2013	20.00	1,014 23	794 64	0 00	219 59	219 59	ST
	Jun 08 2012	Apr 09 2013	21 00	1,064 94	832 57	0 00	232.37	232 37	ST
	Jun 08 2012	Apr 09 2013	27 00	1,369 21	1,087 18	0 00	282 03	282 03	ST
	Jul 10 2012	Apr 09 2013	2 00	101 42	83 04	0 00	18 38	18 38	ST
	Jul 10 2012	Apr 09 2013	33 00	1,676 90	1,370 19	0 00	306 71	306 71	ST
MICROSOFT CORPORATION CMN	Jan 06 2012	Apr 11 2013	242.00	6,970 49	6,782 08	0 00	188 41	188 41	LT
	Feb 16 2012	Apr 11 2013	284 00	8,180 24	8,929 78	0 00	(749 54)	(749 54)	LT
STATE STREET CORPORATION (NEW) CMN	Feb 29 2012	Apr 12 2013	64.00	3,816 69	2,721.84	0 00	1,094 85	1,094 85	LT
STANLEY BLACK & DECKER INC CMN	Dec 14 2011	Apr 16 2013	9 00	691 13	573.51	0 00	117.62	117 62	LT
	Dec 14 2011	Apr 16 2013	30 00	2,303 78	1,911.70	0 00	392.08	392 08	LT
WARNER CHILCOTT PLC CMN	Feb 05 2010	Apr 16 2013	18.00	251 72	461 54	0 00	(209.82)	(209 82)	LT
	Feb 05 2010	Apr 16 2013	46.00	643 27	1,179.50	0 00	(536.23)	(536.23)	LT
	Aug 05 2011	Apr 16 2013	71 00	992 88	1,290.96	0 00	(298 08)	(298 08)	LT
	Aug 08 2011	Apr 16 2013	91 00	1,272 57	1,577.89	0 00	(305.32)	(305.32)	LT
BROADCOM CORP CL-A CMN CLASS A	Sep 14 2011	Apr 17 2013	49.00	1,595 59	1,708.69	0 00	(113.10)	(113.10)	LT
	Jan 06 2012	Apr 17 2013	94.00	3,060 94	2,830.34	0 00	230.60	230 60	LT
	Apr 19 2012	Apr 17 2013	55.00	1,790.98	1,982.72	0 00	(191.74)	(191 74)	ST
	Apr 20 2012	Apr 17 2013	45.00	1,465.35	1,568.20	0 00	(102.85)	(102 85)	ST
	Apr 26 2012	Apr 17 2013	37.00	1,204.84	1,339.94	0.00	(135 10)	(135 10)	ST

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Sep 28 2012	Apr 17 2013	23.00	748.95	802.34	0.00	(53.39)	(53.39)	ST
BROADCOM CORP CL-A CMN CLASS A								113 10	
DISALLOWED LOSSES								191 74	
								4 57	
DANAHER CORPORATION CMN	Apr 27 2011	Apr 17 2013	44 00	2,629 52	2,425.58	0 00	203 94	203 94	LT
STANLEY BLACK & DECKER INC CMN	Dec 14 2011	Apr 17 2013	8 00	608 90	509 79	0.00	99 11	99 11	LT
WARNER CHILCOTT PLC CMN	Aug 08 2011	Apr 17 2013	107.00	1,446 08	1,855 32	0.00	(409 24)	(409 24)	LT
	Aug 09 2011	Apr 17 2013	13.00	175 69	228 25	0 00	(52.56)	(52.56)	LT
	Aug 09 2011	Apr 17 2013	118 00	1,594 74	2,071 83	0 00	(477 09)	(477.09)	LT
BROADCOM CORP CL-A CMN CLASS A	Sep 14 2011	Apr 18 2013	5 00	159 71	185.67	0.00	(25 96)	(25 96)	LT
	Sep 14 2011	Apr 18 2013	44.00	1,405 42	1,641 04	0 00	(235 62)	(235 62)	LT
	Apr 19 2012	Apr 18 2013	10 00	319 41	383 12	0 00	(63 71)	(63.71)	ST
	Apr 19 2012	Apr 18 2013	45.00	1,460 22	1,724 03	0 00	(263.81)	(263.81)	ST
	Apr 20 2012	Apr 18 2013	2 00	64 90	74.22	0.00	(9 32)	(9 32)	ST
	Sep 28 2012	Apr 18 2013	32 00	1,038 37	1,116.30	0.00	(77 93)	(77.93)	ST
HALLIBURTON COMPANY CMN	Sep 27 2011	Apr 19 2013	103 00	3,811 69	3,620.02	0 00	191 67	191 67	LT
	Dec 23 2011	Apr 19 2013	29 00	1,073 19	975.09	0.00	98 10	98 10	LT
BROADCOM CORP CL-A CMN CLASS A	Sep 28 2012	Apr 22 2013	10.00	325 20	348.85	0 00	(23.65)	(23.65)	ST
	Sep 28 2012	Apr 22 2013	17.00	543 27	593.04	0.00	(49.77)	(49.77)	ST
WARNER CHILCOTT PLC CMN	Aug 09 2011	Apr 22 2013	17.00	235 06	298.48	0.00	(63 42)	(63 42)	LT
	Aug 09 2011	Apr 22 2013	109 00	1,507 18	1,913.81	0 00	(406.63)	(406 63)	LT
BROADCOM CORP CL-A CMN CLASS A	Sep 28 2012	Apr 23 2013	40.00	1,311.51	1,395.38	0.00	(83 87)	(83 87)	ST
CELGENE CORPORATION CMN	Mar 11 2011	Apr 23 2013	57 00	7,193 01	3,020 95	0.00	4,172 06	4,172.06	LT
STANLEY BLACK & DECKER INC CMN	Dec 14 2011	Apr 23 2013	25 00	1,924 13	1,593 08	0.00	331 05	331 05	LT
WARNER CHILCOTT PLC CMN	Aug 09 2011	Apr 23 2013	87 00	1,179 48	1,527.54	0 00	(348 06)	(348 06)	LT
	Aug 10 2011	Apr 23 2013	308 00	4,175 61	5,162 85	0 00	(987 24)	(987 24)	LT
BROADCOM CORP CL-A CMN CLASS A	Sep 28 2012	Apr 24 2013	14 00	493 89	488 38	0 00	5 51	5.51	ST
	Oct 18 2012	Apr 24 2013	9 00	317 50	312 13	0 00	5 37	5 37	ST
LOWES COMPANIES INC CMN	Dec 14 2011	Apr 24 2013	312 00	11,770 46	7,619.60	0 00	4,150 86	4,150 86	LT
STANLEY BLACK & DECKER INC CMN	Dec 14 2011	Apr 24 2013	12.00	940 31	764 68	0.00	175 63	175 63	LT

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Dec 14 2011	Apr 24 2013	22.00	1,709.92	1,401.91	0 00	308 01	308 01	LT
LOWES COMPANIES INC CMN	Dec 14 2011	Apr 25 2013	193.00	7,297.62	4,713.41	0 00	2,584 21	2,584 21	LT
STANLEY BLACK & DECKER INC CMN	Dec 14 2011	Apr 25 2013	4.00	303.58	254.89	0.00	48.69	48.69	LT
	Jan 26 2012	Apr 25 2013	32.00	2,428.69	2,317 32	0.00	111 37	111 37	LT
VERIZON COMMUNICATIONS INC CMN	Feb 04 2013	Apr 25 2013	43.00	2,276.88	1,918 14	0 00	358.74	358 74	ST
WALT DISNEY COMPANY (THE) CMN	Jun 05 2012	Apr 25 2013	163.00	10,074 40	7,269.90	0.00	2,804 50	2,804 50	ST
	Jun 06 2012	Apr 25 2013	17 00	1,050 70	773.29	0 00	277 41	277 41	ST
CISCO SYSTEMS, INC CMN	Sep 01 2011	May 01 2013	149 00	3,042 31	2,364 80	0 00	677 51	677 51	LT
	Dec 22 2011	May 01 2013	26.00	530 87	472 48	0 00	58 39	58 39	LT
	Dec 22 2011	May 01 2013	132.00	2,719 71	2,398 76	0 00	320 95	320 95	LT
	Dec 23 2011	May 01 2013	44 00	906 57	810 62	0 00	95 95	95 95	LT
CST BRANDS, INC CMN	Apr 08 2011	May 02 2013	0 11	3 53	0 00	0 00	3 53	3 53	LT
JPMORGAN CHASE & CO CMN	Apr 12 2012	May 03 2013	50 00	2,359 44	2,216 93	0 00	142 51	142 51	LT
	Apr 12 2012	May 03 2013	99 00	4,721 04	4,389 51	0 00	331 53	331 53	LT
VERIZON COMMUNICATIONS INC CMN	Feb 04 2013	May 03 2013	32 00	1,684 17	1,420 35	0.00	263 82	263 82	ST
	Feb 04 2013	May 03 2013	36 00	1,894 68	1,605 88	0 00	288 80	288 80	ST
CST BRANDS, INC CMN	Apr 08 2011	May 08 2013	28 00	875 36	590.87	0 00	284 49	284 49	LT
	Jun 23 2011	May 08 2013	24.00	750 31	444.55	0.00	305 76	305 76	LT
	Aug 22 2011	May 08 2013	43 00	1,344 30	604 99	0 00	739 31	739 31	LT
BROADCOM CORP CL-A CMN CLASS A	Oct 18 2012	May 13 2013	24 00	867 15	832 35	0 00	34 80	34 80	ST
	Oct 18 2012	May 13 2013	41 00	1,497 56	1,421 94	0 00	75 62	75 62	ST
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	Nov 16 2011	May 17 2013	11 00	703 97	752.54	0.00	(48.57)	(48 57)	LT
	Nov 23 2011	May 17 2013	19.00	1,215 95	1,192.02	0 00	23 93	23 93	LT
	Jun 14 2012	May 17 2013	32.00	2,047 92	1,887.55	0 00	160 37	160 37	ST
	Jun 15 2012	May 17 2013	18 00	1,151 96	1,090 31	0 00	61 65	61 65	ST
	Jun 18 2012	May 17 2013	7 00	447 99	420 65	0 00	27.34	27 34	ST
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A DISALLOWED LOSSES								48 57	
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	Nov 16 2011	May 20 2013	10 00	644 29	667 31	0 00	(23 02)	(23 02)	LT
	Nov 16 2011	May 20 2013	10 00	642 85	673.83	0 00	(30 98)	(30.98)	LT
	Nov 16 2011	May 20 2013	1 00	64 29	67 99	0 00	(3 70)	(3 70)	LT
	Nov 16 2011	May 20 2013	1.00	64 43	67 79	0 00	(3 36)	(3 36)	LT
	Jun 18 2012	May 20 2013	15.00	964 27	901 38	0.00	62 89	62.89	ST
	Sep 19 2012	May 20 2013	2 00	128 57	137 33	0 00	(8.76)	(8 76)	ST

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Sep 19 2012	May 20 2013	6.00	386.57	411.97	0.00	(25.40)	(25.40)	ST
COGNIZANT TECHNOLOGY SOLUTIONS CORP								30.98	
CLASS A DISALLOWED LOSSES								23 02	
								3 70	
								8.76	
VERIZON COMMUNICATIONS INC CMN	Feb 04 2013	May 20 2013	17.00	898 88	754.56	0 00	144 32	144 32	ST
BROADCOM CORP CL-A CMN CLASS A	Oct 18 2012	May 21 2013	6 00	224 06	208 09	0 00	15.97	15 97	ST
	Oct 23 2012	May 21 2013	25.00	933 56	833 98	0.00	99 58	99 58	ST
COGNIZANT TECHNOLOGY SOLUTIONS CORP	Nov 16 2011	May 21 2013	3.00	193 52	204.07	0 00	(10 55)	(10 55)	LT
CLASS A	Nov 16 2011	May 21 2013	6.00	386 31	408 14	0.00	(21 83)	(21 83)	LT
	Nov 16 2011	May 21 2013	1.00	64 38	66 39	0.00	(2.01)	(2.01)	LT
	Sep 19 2012	May 21 2013	9 00	580 56	617 96	0 00	(37 40)	(37 40)	ST
VERIZON COMMUNICATIONS INC CMN	Feb 04 2013	May 21 2013	18.00	939.08	798.94	0.00	140 14	140 14	ST
	Feb 04 2013	May 21 2013	29.00	1,510 35	1,287.19	0 00	223 16	223 16	ST
BROADCOM CORP CL-A CMN CLASS A	Oct 23 2012	May 22 2013	31 00	1,135 03	1,030 62	0 00	104.41	104 41	ST
	Oct 23 2012	May 22 2013	31 00	1,135.03	1,034.14	0 00	100 89	100 89	ST
VALERO ENERGY CORPORATION CMN	Apr 08 2011	Jun 04 2013	98 00	3,802.18	2,545 35	0 00	1,256 83	1,256 83	LT
VERIZON COMMUNICATIONS INC CMN	Feb 04 2013	Jun 17 2013	65 00	3,292 98	2,885 08	0 00	407 90	407 90	ST
	Feb 05 2013	Jun 17 2013	9 00	455 95	402 01	0 00	53 94	53.94	ST
	Feb 05 2013	Jun 18 2013	13.00	669 73	580 68	0.00	89 05	89 05	ST
	Feb 06 2013	Jun 18 2013	29.00	1,494 02	1,299.08	0 00	194 94	194 94	ST
	Feb 06 2013	Jun 18 2013	30 00	1,545 53	1,343.87	0 00	201 66	201 66	ST
STANLEY BLACK & DECKER INC CMN	Jan 26 2012	Jun 20 2013	25.00	1,930.15	1,810.41	0 00	119 74	119 74	LT
	Jan 26 2012	Jun 21 2013	2 00	152 27	144.83	0 00	7 44	7 44	LT
	Apr 19 2012	Jun 21 2013	11.00	837.51	813 69	0 00	23 82	23 82	LT
	Apr 19 2012	Jun 24 2013	8.00	593.90	591 78	0 00	2 12	2 12	LT
	Apr 19 2012	Jun 24 2013	22 00	1,629.16	1,627 39	0.00	1 77	1 77	LT
APPLE, INC CMN	Feb 04 2009	Jun 26 2013	29.00	11,516.09	2,784.18	0 00	8,731 91	8,731 91	LT
ORACLE CORPORATION CMN	Oct 19 2009	Jun 26 2013	125 00	3,759 71	2,806 70	0 00	953 01	953.01	LT
	Oct 19 2009	Jun 26 2013	206.00	6,196 00	4,606.22	0 00	1,589 78	1,589 78	LT

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Sep 29 2010	Jun 26 2013	162 00	4,872 58	4,394 14	0 00	478 44	478.44	LT
	Sep 30 2010	Jun 26 2013	113 00	3,398 78	3,068 19	0 00	330.59	330 59	LT
	Mar 13 2012	Jun 26 2013	125 00	3,759 71	3,751 50	0 00	8 21	8.21	LT
	Mar 13 2012	Jun 26 2013	146.00	4,391 34	4,396 32	0 00	(4 98)	(4 98)	LT
EMC CORPORATION MASS CMN	Feb 28 2011	Jun 27 2013	127 00	2,998 46	3,452 29	0 00	(453 83)	(453.83)	LT
	Mar 14 2011	Jun 27 2013	13 00	306 92	335 06	0 00	(28 14)	(28 14)	LT
	Mar 29 2011	Jun 27 2013	43.00	1,015 23	1,131.62	0.00	(116 39)	(116 39)	LT
	Jun 22 2011	Jun 27 2013	1.00	23 85	26.69	0.00	(2 84)	(2 84)	LT
	Jun 22 2011	Jun 27 2013	79 00	1,865 18	2,108 33	0.00	(243.15)	(243 15)	LT
	Jun 23 2011	Jun 27 2013	91 00	2,169 96	2,358.27	0 00	(188 31)	(188.31)	LT
CISCO SYSTEMS, INC. CMN	Dec 23 2011	Jul 15 2013	91.00	2,351 63	1,676 51	0 00	675 12	675 12	LT
	Jan 25 2013	Jul 15 2013	44 00	1,137.06	931 15	0.00	205 91	205.91	ST
	Jan 25 2013	Jul 15 2013	118 00	3,049 37	2,501 33	0 00	548.04	548 04	ST
	Jan 25 2013	Jul 16 2013	5 00	128 65	105 81	0 00	22.84	22 84	ST
	Jan 25 2013	Jul 16 2013	67 00	1,723 59	1,417 88	0 00	305 71	305 71	ST
	Feb 14 2013	Jul 16 2013	74.00	1,903 99	1,547.44	0 00	356.55	356.55	ST
	Feb 14 2013	Jul 17 2013	19.00	489.48	397.31	0 00	92.17	92.17	ST
	Feb 14 2013	Jul 17 2013	78 00	2,005 08	1,631 08	0 00	374.00	374.00	ST
	Apr 02 2013	Jul 17 2013	92.00	2,370 13	1,950.18	0 00	419 95	419 95	ST
	Apr 02 2013	Jul 18 2013	49 00	1,269 61	1,038.68	0.00	230.93	230.93	ST
	Apr 02 2013	Jul 19 2013	24.00	617 09	508.74	0 00	108 35	108.35	ST
	Apr 02 2013	Jul 19 2013	49.00	1,264 46	1,038.68	0.00	225 78	225 78	ST
VISA INC CMN CLASS A	Jun 27 2012	Jul 24 2013	1.00	186 64	123.52	0 00	63 12	63.12	LT
STANLEY BLACK & DECKERIINC CMN	Apr 19 2012	Jul 25 2013	18 00	1,485 66	1,331.50	0 00	154 16	154 16	LT
	May 04 2012	Jul 25 2013	12 00	990 43	868 60	0.00	121.83	121 83	LT
	May 04 2012	Jul 26 2013	5 00	420 16	361.92	0 00	58.24	58.24	LT
	May 07 2012	Jul 26 2013	36 00	3,025 15	2,611 67	0.00	413 48	413 48	LT
	May 08 2012	Jul 26 2013	8.00	672 26	567 58	0.00	104 68	104 68	LT
	May 15 2012	Jul 26 2013	30.00	2,520 96	2,038 01	0.00	482 95	482 95	LT
	May 16 2012	Jul 26 2013	35.00	2,941 12	2,402.46	0 00	538 66	538 66	LT
AMERICAN INTL GROUP, INC. CMN	Sep 11 2012	Jul 30 2013	8.00	366.95	264 54	0 00	102 41	102 41	ST
COMCAST CORPORATION CMN CLASS A VOTING	Jan 14 2011	Jul 30 2013	8 00	345.43	181 50	0 00	163 93	163 93	LT
DANAHER CORPORATION CMN	Apr 27 2011	Jul 30 2013	5.00	338.00	275.64	0.00	62.36	62 36	LT

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
OCCIDENTAL PETROLEUM CORP CMN	Apr 08 2011	Aug 02 2013	3 00	266 46	310 19	0.00	(43.73)	(43 73)	LT
	Apr 08 2011	Aug 02 2013	102 00	9,059 72	10,546 44	0 00	(1,486 72)	(1,486.72)	LT
	Apr 08 2011	Aug 05 2013	41 00	3,620 03	4,239 26	0 00	(619 23)	(619 23)	LT
	Apr 26 2012	Aug 05 2013	49.00	4,326 38	4,497 91	0.00	(171.53)	(171 53)	LT
PFIZER INC. CMN	Apr 11 2013	Aug 19 2013	276 00	7,840 03	8,425 98	0.00	(585 95)	(585 95)	ST
NIKE CLASS-B CMN CLASS B	May 18 2012	Aug 23 2013	38 00	2,437.38	2,016 91	0.00	420 47	420 47	LT
	May 21 2012	Aug 23 2013	24 00	1,539 41	1,283 38	0.00	256 03	256.03	LT
	May 21 2012	Aug 23 2013	92.00	5,904.17	4,919 62	0.00	984 55	984 55	LT
PFIZER INC. CMN	Apr 11 2013	Aug 26 2013	455 00	12,827 41	13,890 65	0.00	(1,063.24)	(1,063 24)	ST
	Apr 26 2013	Aug 26 2013	248 00	6,991 64	7,444.24	0 00	(452 60)	(452 60)	ST
COSTCO WHOLESALE CORPORATION CMN	Oct 31 2012	Sep 06 2013	35 00	4,002 07	3,444 91	0 00	557 16	557 16	ST
ECOLAB INC CMN	Jan 31 2013	Sep 06 2013	7.00	648 80	509 00	0.00	139 80	139 80	ST
	Jan 31 2013	Sep 09 2013	16 00	1,492 54	1,163.43	0.00	329 11	329 11	ST
	Feb 01 2013	Sep 09 2013	3 00	279 85	221 32	0 00	58 53	58 53	ST
KRAFT FOODS GROUP, INC CMN	Jul 10 2012	Sep 10 2013	7 00	373 04	290.65	0.00	82 39	82 39	LT
	Jul 10 2012	Sep 10 2013	23 00	1,225 69	940 84	0 00	284 85	284.85	LT
	Aug 13 2012	Sep 10 2013	15 00	799 37	653 75	0 00	145 62	145 62	LT
	Aug 13 2012	Sep 11 2013	69.00	3,688 38	3,007 23	0.00	681 15	681 15	LT
	Aug 13 2012	Sep 11 2013	45 00	2,411 08	1,961 23	0 00	449 85	449 85	LT
	Aug 14 2012	Sep 11 2013	7.00	375 06	301 49	0 00	73 57	73 57	LT
	Aug 14 2012	Sep 12 2013	14 00	747 35	602.97	0 00	144 38	144 38	LT
	Sep 28 2012	Sep 12 2013	6 00	320 29	262.80	0 00	57 49	57 49	ST
	Sep 28 2012	Sep 12 2013	21 00	1,123 13	919 80	0.00	203 33	203 33	ST
	Sep 28 2012	Sep 12 2013	37 00	1,975 14	1,620.59	0.00	354 55	354.55	ST
	Sep 28 2012	Sep 13 2013	37.00	1,998 20	1,620 59	0 00	377 61	377 61	ST
NIKE CLASS-B CMN CLASS B	May 21 2012	Sep 16 2013	46 00	3,133 81	2,459 81	0.00	674 00	674 00	LT
	Jun 05 2012	Sep 16 2013	3 00	204 38	160 95	0 00	43 43	43 43	LT
	Sep 28 2012	Sep 16 2013	74 00	5,041.34	3,511.70	0.00	1,529 64	1,529 64	ST
	Sep 28 2012	Sep 17 2013	20 00	1,364 98	949.11	0.00	415 87	415 87	ST
	Jan 11 2013	Sep 17 2013	100 00	6,824 91	5,327 29	0 00	1,497 62	1,497.62	ST

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
COCA-COLA COMPANY (THE) CMN	Apr 08 2013	Sep 23 2013	212 00	8,180 07	8,652.31	0 00	(472 24)	(472 24)	ST
	Apr 09 2013	Sep 23 2013	317.00	12,231 51	13,014 05	0 00	(782 54)	(782 54)	ST
	May 14 2013	Sep 23 2013	98 00	3,781 35	4,160 04	0 00	(378 69)	(378 69)	ST
ACTAVIS INC CMN	Jul 13 2012	Oct 01 2013	13 00	1,872 00	995 48	0 00	876 52	876 52	LT
	Jul 13 2012	Oct 01 2013	33 00	4,752 00	2,489 37	0 00	2,262 63	2,262 63	LT
	Jul 16 2012	Oct 01 2013	56 00	8,064 00	4,331 12	0 00	3,732.88	3,732 88	LT
	Sep 28 2012	Oct 01 2013	59 00	8,496 00	5,038 49	0 00	3,457.51	3,457 51	LT
	May 22 2013	Oct 01 2013	36 00	5,184 00	4,684 68	0 00	499 32	499 32	ST
	May 22 2013	Oct 01 2013	39.00	5,616 00	5,138 64	0 00	477.36	477 36	ST
INTERNATIONAL PAPER CO CMN	Feb 16 2012	Oct 01 2013	18 00	813 78	606 18	0 00	207 60	207 60	LT
	Feb 16 2012	Oct 02 2013	43.00	1,918 02	1,448.09	0 00	469.93	469 93	LT
	Feb 17 2012	Oct 02 2013	69.00	3,077 74	2,301 48	0 00	776 26	776 26	LT
	Feb 28 2012	Oct 02 2013	69.00	3,077 75	2,390.24	0.00	687.51	687 51	LT
	Feb 28 2012	Oct 03 2013	98.00	4,303 01	3,394 83	0 00	908 18	908 18	LT
	Feb 28 2012	Oct 04 2013	7 00	304.90	242 49	0.00	62 41	62 41	LT
	Feb 29 2012	Oct 04 2013	87.00	3,789 39	3,089.55	0 00	699.84	699 84	LT
VODAFONE GROUP PLC SPONSORED ADR CMN	Jun 26 2013	Oct 08 2013	46.00	1,602.90	1,296.98	0 00	305 92	305 92	ST
	Jun 26 2013	Oct 08 2013	129.00	4,495 08	3,647 33	0 00	847 75	847 75	ST
	Jun 26 2013	Oct 08 2013	85.00	2,961 87	2,396.59	0 00	565.28	565 28	ST
	Jun 27 2013	Oct 08 2013	31.00	1,080 21	881 03	0 00	199 18	199 18	ST
	Jun 27 2013	Oct 09 2013	14 00	484 09	397 88	0.00	86 21	86 21	ST
	Jun 27 2013	Oct 09 2013	35 00	1,209 03	994.71	0 00	214 32	214 32	ST
	Jun 27 2013	Oct 10 2013	14 00	487 22	397 88	0.00	89.34	89.34	ST
	Jun 27 2013	Oct 10 2013	38 00	1,317 40	1,079 97	0 00	237 43	237 43	ST
	Jun 28 2013	Oct 10 2013	10 00	348 02	288 62	0 00	59 40	59 40	ST
	Jun 28 2013	Oct 11 2013	11 00	385.65	315 51	0.00	70.14	70 14	ST
	Jun 28 2013	Oct 11 2013	34 00	1,192 02	981 29	0 00	210 73	210 73	ST
	Jun 28 2013	Oct 11 2013	35 00	1,233 46	1,003 90	0 00	229 56	229 56	ST
	Jul 17 2013	Oct 11 2013	7 00	247 17	205 55	0 00	41 62	41 62	ST
	Jul 17 2013	Oct 11 2013	11 00	387 66	323 00	0 00	64 66	64 66	ST
	Jul 19 2013	Oct 11 2013	26.00	918 05	767 87	0 00	150 18	150 18	ST
MERCK & CO., INC CMN	Aug 13 2012	Oct 21 2013	514 00	23,835 25	22,839 54	0 00	995.71	995 71	LT
	Aug 30 2012	Oct 21 2013	27 00	1,252 05	1,165 18	0 00	86 87	86 87	LT
CITRIX SYSTEMS INC CMN	Jun 20 2011	Oct 22 2013	51 00	2,890 55	3,797 29	0 00	(906 74)	(906 74)	LT

Goldman Sachs

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Aug 02 2011	Oct 22 2013	128 00	7,254 71	8,882.56	0 00	(1,627 85)	(1,627 85)	LT
	Aug 22 2011	Oct 22 2013	21.00	1,190.22	1,096.24	0 00	93.98	93 98	LT
	Aug 22 2011	Oct 22 2013	50.00	2,833 87	2,610.10	0 00	223 77	223 77	LT
	Feb 12 2013	Oct 22 2013	45 00	2,550 48	3,308.72	0 00	(758 24)	(758 24)	ST
	Apr 02 2013	Oct 22 2013	20.00	1,133.55	1,427.88	0 00	(294 33)	(294 33)	ST
	Apr 03 2013	Oct 22 2013	6 00	340.07	424.20	0.00	(84 13)	(84 13)	ST
MERCK & CO , INC CMN	Aug 30 2012	Oct 22 2013	78.00	3,627 67	3,366.06	0.00	261 61	261 61	LT
	Aug 30 2012	Oct 22 2013	106 00	4,929 91	4,571.24	0 00	358 67	358 67	LT
VALERO ENERGY CORPORATION CMN	Apr 08 2011	Oct 22 2013	120.00	4,801 19	3,116 75	0.00	1,684 44	1,684 44	LT
ALTERA CORP CMN	Aug 16 2013	Oct 23 2013	6 00	196.91	210.71	0.00	(13 80)	(13 80)	ST
	Aug 16 2013	Oct 23 2013	52 00	1,678 80	1,826.13	0 00	(147.33)	(147 33)	ST
	Aug 16 2013	Oct 23 2013	54 00	1,743 37	1,897.34	0 00	(153 97)	(153 97)	ST
	Aug 19 2013	Oct 23 2013	31.00	1,017 38	1,086.58	0 00	(69 20)	(69 20)	ST
	Aug 19 2013	Oct 23 2013	43 00	1,411 21	1,498 24	0 00	(87 03)	(87.03)	ST
	Aug 19 2013	Oct 23 2013	49.00	1,608 11	1,723 42	0 00	(115 31)	(115 31)	ST
CITRIX SYSTEMS INC CMN	Apr 03 2013	Oct 23 2013	75 00	4,202 64	5,302.48	0.00	(1,099 84)	(1,099 84)	ST
STARBUCKS CORP CMN	Dec 02 2011	Oct 24 2013	46 00	3,631.29	2,021 31	0 00	1,609 98	1,609 98	LT
APPLE, INC CMN	Feb 04 2009	Oct 28 2013	24 00	12,714 92	2,304 15	0 00	10,410 77	10,410 77	LT
	Jul 10 2009	Oct 28 2013	20 00	10,595 76	2,765.30	0 00	7,830 46	7,830 46	LT
ALTERA CORP CMN	Aug 19 2013	Nov 01 2013	34 00	1,125 95	1,184 65	0.00	(58 70)	(58 70)	ST
	Aug 20 2013	Nov 01 2013	10 00	331 17	349.59	0 00	(18.42)	(18 42)	ST
	Aug 20 2013	Nov 01 2013	29 00	960 37	1,014.75	0 00	(54 38)	(54 38)	ST
	Aug 20 2013	Nov 04 2013	32 00	1,070 53	1,118.67	0 00	(48 14)	(48 14)	ST
	Aug 21 2013	Nov 04 2013	1 00	33 46	34.97	0 00	(1 51)	(1.51)	ST
	Aug 21 2013	Nov 05 2013	30 00	987 26	1,048.96	0.00	(61 70)	(61 70)	ST
	Aug 22 2013	Nov 05 2013	28 00	921 45	967.94	0.00	(46 49)	(46 49)	ST
BRISTOL-MYERS SQUIBB COMPANY CMN	Mar 05 2013	Nov 05 2013	72 00	3,781.84	2,699.82	0 00	1,082 02	1,082 02	ST
	Mar 05 2013	Nov 05 2013	94 00	4,937 41	3,524.77	0.00	1,412 64	1,412 64	ST
CARDINAL HEALTH INC CMN	Mar 28 2012	Nov 05 2013	26 00	1,571 43	1,115.00	0 00	456 43	456.43	LT
	Mar 28 2012	Nov 05 2013	46.00	2,780.22	1,972.70	0.00	807 52	807 52	LT

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Mar 29 2012	Nov 05 2013	58.00	3,505 50	2,493'95	0 00	1,011 55	1,011 55	LT
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	Sep 19 2012	Nov 05 2013	2'00	178 44	136'94	0 00	41 50	41 50	LT
	Sep 19 2012	Nov 05 2013	26'00	2,319 61	1,784.12	0 00	535 49	535 49	LT
	Sep 19 2012	Nov 05 2013	37 00	3,300 99	2,540'51	0.00	760 48	760 48	LT
CARDINAL HEALTH INC CMN	Mar 29 2012	Nov 06 2013	21.00	1,282.73	902.98	0 00	379 75	379 75	LT
ALTERA CORP CMN	Aug 22 2013	Nov 07 2013	6.00	198.58	207.42	0 00	(8.84)	(8 84)	ST
	Aug 22 2013	Nov 07 2013	43.00	1,423 11	1,479.61	0 00	(56 50)	(56 50)	ST
	Aug 23 2013	Nov 07 2013	3 00	99.29	103 83	0 00	(4.54)	(4 54)	ST
	Aug 29 2013	Nov 07 2013	8 00	264 76	287 73	0 00	(22'97)	(22 97)	ST
	Aug 29 2013	Nov 07 2013	16 00	533 26	575 47	0 00	(42 21)	(42 21)	ST
	Aug 29 2013	Nov 07 2013	27 00	899.87	969 44	0'00	(69 57)	(69 57)	ST
	Aug 30 2013	Nov 07 2013	1 00	33.33	35.39	0 00	(2'06)	(2 06)	ST
INTL BUSINESS MACHINES CORP CMN	Jul 15 2013	Nov 13 2013	15 00	2,746.48	2,906 57	0 00	(160 09)	(160 09)	ST
	Jul 15 2013	Nov 13 2013	32 00	5,859 17	6,227 37	0 00	(368.20)	(368.20)	ST
	Jul 15 2013	Nov 13 2013	35 00	6,408 47	6,768 84	0 00	(360.37)	(360 37)	ST
	Jul 16 2013	Nov 13 2013	20.00	3,661 98	3,870.23	0 00	(208 25)	(208 25)	ST
ALTERA CORP CMN	Aug 30 2013	Nov 14 2013	23.00	749 09	813.97	0 00	(64 88)	(64 88)	ST
	Aug 30 2013	Nov 14 2013	27.00	877.63	955 53	0.00	(77 90)	(77 90)	ST
	Aug.30 2013	Nov 18 2013	4.00	129 37	141.56	0.00	(12 19)	(12 19)	ST
	Sep 04 2013	Nov 18 2013	6.00	194 07	222 61	0 00	(28 54)	(28 54)	ST
	Sep 04 2013	Nov 18 2013	15.00	485 15	558 58	0 00	(73 43)	(73.43)	ST
	Sep 04 2013	Nov 19 2013	14.00	434 59	519 41	0 00	(84 82)	(84 82)	ST
	Sep 09 2013	Nov 19 2013	5.00	155 39	189 57	0 00	(34 18)	(34 18)	ST
	Sep 09 2013	Nov 19 2013	14.00	434 59	530 79	0 00	(96 20)	(96 20)	ST
	Sep 09 2013	Nov 19 2013	21.00	652 63	796 18	0 00	(143 55)	(143 55)	ST
AMGEN INC CMN	Aug 26 2013	Nov 22 2013	77.00	8,685.62	8,798.61	0 00	(112 99)	(112 99)	ST
EMC CORPORATION MASS CMN	Jun 23 2011	Dec 09 2013	15 00	354 68	388 73	0'00	(34 05)	(34.05)	LT
	Jan 05 2012	Dec 09 2013	102 00	2,411 81	2,239 82	0 00	171 99	171.99	LT
	Jan 05 2012	Dec 09 2013	79 00	1,867 97	1,728 61	0 00	139 36	139 36	LT
	Jan 06 2012	Dec 09 2013	15 00	355 75	330 51	0 00	25.24	25 24	LT
	Jan 06 2012	Dec 09 2013	122.00	2,884 71	2,688.11	0 00	196 60	196 60	LT
	Sep 06 2012	Dec 09 2013	46 00	1,090 95	1,264.40	0'00	(173 45)	(173.45)	LT
	Sep.06 2012	Dec 09 2013	103.00	2,442 78	2,821 49	0 00	(378 71)	(378 71)	LT
	Sep 07 2012	Dec 09 2013	42.00	996'09	1,162 04	0 00	(165 95)	(165.95)	LT

JMM CHARITABLE FDN INC WESTFIELD: LCG

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
VALERO ENERGY CORPORATION CMN	Apr 08 2011	Dec 09 2013	35.00	1,621.70	909 05	0 00	712 65	712 65	LT
	Jun 23 2011	Dec 09 2013	39 00	1,807 05	884 47	0 00	922 58	922 58	LT
EMC CORPORATION MASS CMN	Sep 07 2012	Dec 11 2013	10 00	233 15	276 68	0 00	(43 53)	(43.53)	LT
	Jan 07 2013	Dec 11 2013	19 00	442.97	459 99	0 00	(17 02)	(17 02)	ST
	Jan 11 2013	Dec 11 2013	28.00	652 80	668.57	0 00	(15 77)	(15 77)	ST
	Jan 14 2013	Dec 11 2013	41.00	955.89	983 44	0 00	(27 55)	(27 55)	ST
	Oct 04 2013	Dec 11 2013	30.00	699.43	761 94	0 00	(62 51)	(62.51)	ST
	Oct 04 2013	Dec 11 2013	72.00	1,682 98	1,828 65	0 00	(145 67)	(145 67)	ST
	Oct 04 2013	Dec 11 2013	61 00	1,427.82	1,549 27	0 00	(121.45)	(121 45)	ST
APPLE, INC. CMN	Jul 10 2009	Dec 16 2013	18 00	8,901 06	2,212.24	0 00	6,688 82	6,688 82	LT

	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
SHORT TERM GAINS	167,776 47	136,991 37	0 00	30,785.10	30,785 10
SHORT TERM LOSSES	136,275.79	149,396.86	0 00	(13,121 07)	(13,121 07)
SHORT TERM DISALLOWED LOSSES					205 07
NET SHORT TERM GAINS (LOSSES)	**304,052.26**	**286,388.23**	**0.00**	**17,664.03**	**17,869.10**
LONG TERM GAINS	419,723 87	297,698.18	0 00	122,025.69	122,025.69
LONG TERM LOSSES	103,729 33	119,912 45	0 00	(16,183 12)	(16,183 12)
LONG TERM DISALLOWED LOSSES					219 37
NET LONG TERM GAINS (LOSSES)	**523,453.20**	**417,610.63**	**0.00**	**105,842.57**	**106,061.94**

● If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

● If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
File by the due date for filing your return See instructions	JMM CHARITABLE FOUNDATION, INC.	20-4679694
	Number, street, and room or suite no If a P.O. box, see instructions. 5200 WEST CENTURY BLVD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. LOS ANGELES, CA 90045	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

● The books are in the care of ▶ JOHN MAI 5200 WEST CENTURY BLVD - LOS ANGELES, CA 90045

Telephone No. ▶ (310)551-9323 Fax No. ▶

● If the organization does not have an office or place of business in the United States, check this box ▶ [ ]

● If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ▶ [ ]. If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014.

5 For calendar year 2013, or other tax year beginning ______, and ending ______.

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO COMPILE ALL THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$	10,001.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$	20,002.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ OFFICER Date ▶

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12-31-13