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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE HAVEN FOUNDATION		A Employer identification number 20-4356938						
Number and street (or P O box number if mail is not delivered to street address) C/O MARKS PANETH LLP, 685 THIRD AVE.		B Telephone number 212-503-8822						
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here <input type="checkbox"/>						
G Check all that apply: <table border="0"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity							
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return							
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change							
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>						
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 12,835,405.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>						

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		33,220.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		131,229.	131,229.		
4 Dividends and interest from securities		74,559.	74,559.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		91,369.			
b Gross sales price for all assets on line 6a 3,131,596.					
7 Capital gain net income (from Part IV, line 2)			91,369.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		330,377.	297,157.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans; employee benefits					
16a Legal fees STMT 1		7,956.	2,315.		5,641.
b Accounting fees					
c Other professional fees STMT 2		53,688.	53,688.		0.
17 Interest					
18 Taxes STMT 3		7,537.	38.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 4		4,118.	0.		4,118.
24 Total operating and administrative expenses. Add lines 13 through 23		73,299.	56,041.		9,759.
25 Contributions, gifts, grants paid		857,646.			865,120.
26 Total expenses and disbursements. Add lines 24 and 25		930,945.	56,041.		874,879.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<600,568.>			
b Net investment income (if negative, enter -0-)			241,116.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,793,473.	216,800.	216,800.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,287.	1,394.	1,394.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	10,009,927.	12,126,746.	12,617,211.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	12,804,687.	12,344,940.	12,835,405.
	17 Accounts payable and accrued expenses			
	18 Grants payable	73,499.	62,425.	
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	73,499.	62,425.	
	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	12,731,188.	12,282,515.	
	30 Total net assets or fund balances	12,731,188.	12,282,515.	
	31 Total liabilities and net assets/fund balances	12,804,687.	12,344,940.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,731,188.
2 Enter amount from Part I, line 27a	2	<600,568.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	151,895.
4 Add lines 1, 2, and 3	4	12,282,515.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,282,515.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,131,596.		3,040,227.	91,369.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			91,369.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	91,369.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	310,999.	11,841,019.	.026265
2011	339,853.	4,542,582.	.074815
2010			
2009			
2008			

2 Total of line 1, column (d)	2 .101080
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .050540
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4 12,646,032.
5 Multiply line 4 by line 3	5 639,130.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 2,411.
7 Add lines 5 and 6	7 641,541.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8 874,879.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2,411.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,411.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,411.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	6,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	6,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,589.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 3,589. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.THEHAVENFDN.ORG</u>	13	X	
14	The books are in care of ► <u>C/O MARK LEVENFUS, MARKS PANETH LLP</u> Telephone no. ► <u>212-503-8822</u> Located at ► <u>685 THIRD AVENUE, NEW YORK, NY</u> ZIP+4 ► <u>10017</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/> N/A	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/> N/A		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) <input type="checkbox"/> N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☒ Yes ☐ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**5b****X****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?**N/A**☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b**X****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**N/A****7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000**0**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	12,252,236.
b	Average of monthly cash balances	1b	586,375.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	12,838,611.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,838,611.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	192,579.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,646,032.
6	Minimum investment return. Enter 5% of line 5	6	632,302.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	632,302.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,411.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,411.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	629,891.
4	Recoveries of amounts treated as qualifying distributions	4	3,600.
5	Add lines 3 and 4	5	633,491.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	633,491.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	874,879.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	874,879.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,411.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	872,468.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				633,491.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			189,211.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 874,879.				
a Applied to 2012, but not more than line 2a			189,211.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				633,491.
e Remaining amount distributed out of corpus	52,177.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	52,177.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	52,177.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013	52,177.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AITHAN SHAPIIRA 154 BEACON STREET, #4 BOSTON, MA 02116	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
ALICIA PILLAR 1622 BEN HUR DRIVE SANTE FE, NM 87501	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,800.
ALISON RUZSA 499 VAN BRUNT ST, #2A BROOKLYN, NY 11231	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
ALTON CARSON 3205 TOLEDANO ST. NEW ORLEANS, LA 70125	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
ANDREA L HART 3241 BAKER ST. BERKELEY, CA 94702	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,568.
Total SEE CONTINUATION SHEET(S) ▶ 3a				857,646.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ANTHONY MORENO 59 WEST 71ST. STREET, APT. NEW YORK, NY 10023	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
BENJAMIN SCHULTZ 100 VANDIVER DR MADISON, TN 37115	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
BRANDON KOCH 402 CATON AVE. #3 BROOKLYN, NY 11218	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
BRUCE ARNOLD 201 EAST 12TH ST., #102 NEW YORK, NY 10003	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
CARSON CASE 657 5TH AVE., #2 BROOKLYN, NY 11215	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
COLEMAN HOUGH 1223 WILSHIRE BLVD #762 SANTA MONICA, CA 90403	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	9,900.
CRAFT EMERGENCY RELIEF FUND PO BOX 838 MONTPELIER, VT 05601	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
DEBBY YOUNG 165 WEST 83RD ST, #94 NEW YORK, NY 10024	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
DIANA PUNTAR 418 AVALON ST. MORRO BAY, CA 93442	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
DOUG WADA 41 CHARLTON STREET, APT B NEW YORK, NY 10014	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
Total from continuation sheets				835,278.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ED DILORENZO PO BOX 91268 PASADENA, CA 91109	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,250.
EMILY HABANSKY 155 BREWSTER ST, APT 4P BRIDGEPORT, CT 06605	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	20,000.
EMILY HOPKINS 33 WARREN STREET KINGSTON, NY 12401	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	11,591.
ENID FARBER 655 NINTH AVENUE, 2E NEW YORK, NY 10036	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
FRANGESCO PIAZZOLA 70-28 45TH AVENUE WOODSIDE, NY 11377	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
GILLIAN GAAR 604 11TH AVE. E #104 SEATTLE, WA 93102	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
HADLEY FERGUSON PO BOX 8091 MISSOULA, MT 59807	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,000.
HOLLY NEWSTEIN HAUTALA 246 E. BRIDGE STREET, APT46 WESTBROOK, ME 04092	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	20,000.
JACKI SHELTON-GREEN 226 S ELEVENTH ST MEBANE, NC 27302	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,900.
JAMES CRONIN 4580 BROADWAY #2K NEW YORK, NY 10040	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,200.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JAN LOWELL 2302 NW, 21ST STREET, LOT 15 BOYNTON BEACH, FL 33436	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	9,000.
JANET ROBIN 1127 1/2 S DOHENY DR LOS ANGELES, CA 90035	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,500.
JAZZ FOUNDATION 322 WEST 48TH STREET, 6TH FL. NEW YORK, NY 10036	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
JEFF UBAN 1910 S RACE STREET URBANA, IL 61801	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
JERRY TALLMER 11 RIVERSIDE DRIVE APT 4JW NEW YORK, NY 10023	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	9,000.
JESSE KANTU 3620 WOODCHASE DRIVE, #46 HOUSTON, TX 77042	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
JESSICA JENNIFER WILLIAMS 8320 BAINBRIDGE LOOP NE LACEY, WA 98516	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	22,500.
JI HYUN LEE 561 10TH AVENUE, APT 6P NEW YORK, NY 10036	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
JIM GERARD 312 WEST 15TH ST., APT 17 NEW YORK, NY 10011	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,500.
JOHN RICHARDS 1505 BERRY STREET OLD HICKORY, TN 37138	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JOSEPH ZAMPELLA 9 GRUNDY PLACE MERRICK, NY 11566	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JULIE BUSH 1186 S FAIRFAX AVE. #2 LOS ANGELES, CA 90019	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
KARIN GIUSTI PO BOX 109 NORTH BRANCH, NY 12766	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
KATHE BURKHART 535 W. 23RD. ST, APT. S3P NEW YORK, NY 10011	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
KEITH A HOFFMAN 1801 AVIATION WAY, APT 327 REDONDO BEACH, CA 90278	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
KEVIN KUTCH 499 VAN BRUNT ST, #2A BROOKLYN, NY 11231	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,000.
KEVIN SCANLON 499 VAN BRUNT ST. 2A BROOKLYN, NY 11231	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
LARITA HEET 1157 TIMBERBROOK KIRWOOD, MO 63122	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,480.
LAURA H. VON STETINA 370 NE CATTAIL DR MADISON, FL 32340	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
MARITRESS ZURBANO 103 VINE STREET #209 SEATTLE, WA 98121	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MARLENE MCCARTY 141 EAST 3RD STREET, APT 8H NEW YORK, NY 10009	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MARTIN DELOSSANTOS 314 SIXTH ST, #13 HOBOKEN, NJ 07030	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,700.
MARTINE KACZYNSKI 46 CHRISTIE ST. APT 1 TROY, NY 12180	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
MARY ELLEN BUXTON 499 VAN BRUNT ST, #2A BROOKLYN, NY 11231	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
MAX'S KANSAS CITY PROJECT PO BOX 53 WOODSTOCK, NY 12498	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MICHAEL A. SHEA PO BOX 1058 HEALDSBURG, CA 95448	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	18,000.
MICHAEL BECK 868 MILTON STREET OAKLAND, CA 94607	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
MICHAEL HALL 3176 N CHEROKEE LANE PROVO, UT 84604	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,531.
MICHAEL JOO 173 BEARD STREET BROOKLYN, NY 11231	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
MICHAEL NEVILLE 5210 W. WISCONSIN AVE. MILWAUKEE, WI 53208	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MUSICARES 11 WEST 42ND. STREET, 27TH FL NEW YORK, NY 10036	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
MYRA SAFFIAN 100 EAST PALISADE AVE. APT. D1 ENGLEWOOD, NJ 07631	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
PAMELA SMILOW 403 E 64TH ST, #4A NEW YORK, NY 10065	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
PAUL BEMPECHAT 27 KIRKLAND STREET CAMBRIDGE, MA 02138	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,500.
PEGGY MERCER 988 ESTLEE MERCER ROAD DOUGLAS, GA 31535	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
PEN AMERICAN CENTER 588 BROADWAY, SUITE 303 NEW YORK, NY 10012	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
PETER DAVID 76 CEDAR AVE. PATCHOGUE, NY 11772	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
PHILLIP WHITTEN 7255 EAST LAS PALMARISTAS SCOTTSDALE, AZ 85258	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,500.
RACHEL BEACH 979 LORIMER STREET #2 BROOKLYN, NY 11222	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
RACHEL SLICK 2437 N GOYETTE AVE TUCSON, AZ 85712	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient • Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RICHARD STREET 15 HILLCREST ST SAN ANSELMO, CA 94960	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	16,896.
ROBERT BOYD 93 SKILLMAN AVE. BROOKLYN, NY 11211	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
ROBERT ESPOSITO 255 W 43RD ST, APT 702 NEW YORK, NY 10036	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
ROBERT FLEMING 414 W. 120TH ST, #610 NEW YORK, NY 10027	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
ROBYN FLANS 4201 TOPANGA CNY BLVD, #202 WOODLAND HILLS, CA 91364	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
RON BURNS 1637 VINE STREET, #1004 LOS ANGELES, CA 90028	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
SCOTT PHILLIPS 502 FULTON COURT WEST NEW YORK, NJ 07093	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
SHAWN HUCKINS 7471 E29TH PLACE, UNIT 3001 DENVER, CO 80238	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
SKYE GILKERSON 876 PACIFIC BROOKLYN, NY 11238	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
STEPHANIE HAYES 22-37 42ND STREET ASTORIA, NY 11105	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
STEPHEN COHN 3810 B. HEFFRON DRIVE BURBANK, CA 91505	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8,280.
STEVEN LARSON 380 HIGH SPIRIT TRAIL WESTCLIFFE, CO 81252	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	19,800.
SUSAN CRIMP 21 WEST 58TH ST. NEW YORK, NY 10019	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
SWEET RELIEF MUSICIANS FUND 2601 E. CHAPMAN AVE., SUITE 204 FULLERTON, CA 92831	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
THE BLUES FOUNDATION, HART FUND 421 SOUTH MAIN STREET MEMPHIS, TN 38103	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
THOMAS H. KARGES 1806 FIVE IRON DRIVE CASTLE ROCK, CO 80104	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	24,000.
TJ NORRIS 346 NE MORGAN STREET PORTLAND, OR 97211	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
TOM PICCIRILLI 1142 SOUTH REDBUD DRIVE LOVELAND, CO 80537	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	40,000.
VICTOR HODGE 700 ABBERLEY WAY, APT 4 STONE MOUNTAIN, GA 30083	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
ZAK ZAIKINE 8885 OAK GROVE DRIVE SEBASTOPOL, CA 95472	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,250.
Total from continuation sheets				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	131,229.	
4 Dividends and interest from securities			14	74,559.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	91,369.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		297,157.	0.
13 Total. Add line 12, columns (b), (d), and (e)					297,157.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

THE HAVEN FOUNDATION

Employer identification number

20-4356938

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year .. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

THE HAVEN FOUNDATION**20-4356938****Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>SUBTERRANEAN PRESS</u> <u>P.O. BOX 190106</u> <u>BURTON, MI 48519</u>	\$ <u>12,000.</u>	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

THE HAVEN FOUNDATION

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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

[illegible]

Name of organization	Employer identification number
THE HAVEN FOUNDATION	20-4356938

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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FORM 990-PF	LEGAL FEES			STATEMENT	1
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
COHEN TAUBER SPOEVACK & WAGNER	7,956.	2,315.		5,641.	
TO FM 990-PF, PG 1, LN 16A	7,956.	2,315.		5,641.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENTS FEES	53,688.	53,688.		0.	
TO FORM 990-PF, PG 1, LN 16C	53,688.	53,688.		0.	

FORM 990-PF	TAXES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX WITHHELD	38.	38.		0.	
US TREASURY	7,499.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	7,537.	38.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	1,514.	0.		1,514.	
FILING FEE	750.	0.		750.	
POSTAGE	858.	0.		858.	
OFFICE EXPENSES	996.	0.		996.	
TO FORM 990-PF, PG 1, LN 23	4,118.	0.		4,118.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
DESCRIPTION		AMOUNT	
PRIOR GRANT PAYMENTS ADJUSTMENT			3,600.
COST BASIS ADJUSTMENT			148,295.
TOTAL TO FORM 990-PF, PART III, LINE 3			151,895.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
STOCKS - SCHEDULE ATTACHED	12,126,746.	12,617,211.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	12,126,746.	12,617,211.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	7
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STEPHEN KING C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
ARTHUR B. GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	PRES/DIR 1.00	0.	0.	0.
SUSAN GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	TREASURER/ASST SECRETARY/D 1.00	0.	0.	0.
MARK LEVENFUS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	ASSISTANT TREASURER 1.00	0.	0.	0.

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FREDRIC M. SANDERS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	SECRETARY 1.00	0.	0.	0.
DAVID RAPKIN C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
JOHN MCELROY C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
MARSHA DEFILIPPO C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
CHUCK VERRILL C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		<u>0.</u>	<u>0.</u>	<u>0.</u>

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	8
	PART XV, LINES 2A THROUGH 2D		

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARGARET MOREHOUSE
MARKS PANETH LLP, 685 THIRD AVE
NEW YORK, NY 10017

TELEPHONE NUMBER

212-503-8800

FORM AND CONTENT OF APPLICATIONS

GENERAL REQUEST GIVING DETAIL AS TO STATUS OF GRANTEE AND PURPOSE OF GRANT
OR GIFT

ANY SUBMISSION DEADLINES

APPLIC ARE ACCEP AT ANY TIME. NOTICE OF APPROVAL, REJECTION OR REQUEST FOR
ADD'L INFO. SENT IN 2 MOS

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS AS TO GEOGRAPHICAL AREAS, CHARITABLE FIELD & EDUCATIONAL

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Quantity	Security Description	COST	FMY
538 SHS	ABBOTT LABS COM	19,111.99	20,621.54
882 SHS	ABBVIE INC COM	36,199.56	46,578.42
343 SHS	ACCENTURE PLC CL A COM IRELAND	25,829.75	28,201.46
157 SHS	ACE LTD COM SWITZERLAND	13,544.09	16,254.21
529 SHS	ALTRIA GROUP INC COM	18,295.50	20,308.31
253 SHS	AMERICAN ELEC PWR INC COM	11,890.80	11,825.22
347 SHS	AMERICAN EXPRESS CO COM	22,966.46	31,483.31
344 SHS	AMGEN INC COM	33,849.45	39,243.52
544 SHS	AT&T INC COM	19,303.88	19,127.04
306 SHS	AUTOMATIC DATA PROCESSING INC COM	19,665.59	24,724.49
72 SHS	BLACKROCK INC CL A	17,831.58	22,785.84
301 SHS	BOEING CO COM	26,375.58	41,083.49
822 SHS	BRISTOL MYERS SQUIBB CO COM	30,489.17	43,689.30
331 SHS	CHEVRON CORP COM	38,539.18	41,345.21
240 SHS	CHUBB CORP COM	20,155.02	23,191.20
1120 SHS	CISCO SYS INC COM	24,769.62	25,121.60
240 SHS	CME GROUP INC COM	14,121.00	18,830.40
325 SHS	CMS ENERGY CORP COM	8,800.91	8,700.25

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Quantity	Security Description	COST	FMV
304 SHS	COMCAST CORP NEW CL A COM	14,759.50	15,797.36
302 SHS	CONOCOPHILLIPS COM	20,715.90	21,336.30
140 SHS	DOMINION RES INC VA NEW COM	7,979.51	9,056.60
211 SHS	DOVER CORP COM	14,887.57	20,369.94
406 SHS	DU PONT E I DE NEMOURS & CO COM	20,530.19	26,377.82
120 SHS	DUKE ENERGY CORP NEW COM	8,329.47	8,281.20
873 SHS	EMC CORP COM	22,170.88	21,955.95
207 SHS	EMERSON ELEC CO COM	11,509.22	14,527.26
550 SHS	EXXON MOBIL CORP COM	49,178.07	55,660.00
716 SHS	FIFTH THIRD BANCORP COM	12,802.35	15,057.48
668 SHS	HOME DEPOT INC COM	46,025.02	55,003.12
348 SHS	HONEYWELL INTL INC COM	25,202.55	31,796.76
1121 SHS	INTEL CORP COM	25,648.39	29,095.56
196 SHS	INTERNATIONAL BUSINESS MACHS COM	37,822.08	36,763.72
671 SHS	J P MORGAN CHASE & CO COM	32,621.41	39,240.08
547 SHS	JOHNSON & JOHNSON COM	43,582.12	50,099.73
262 SHS	KIMBERLY CLARK CORP COM	25,058.77	27,368.52
277 SHS	KLA-TENCOR CORP COM	15,486.39	17,855.42

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Quantity	Security Description	COST	FMV
192 SHS	LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLANDS	12,348.93	15,413.76
407 SHS	MACYS INC COM	18,538.37	21,733.80
438 SHS	MARSH & MCLENNAN COS INC COM	16,270.64	21,181.68
302 SHS	MCDONALDS CORP COM	29,219.91	29,303.06
765 SHS	MERCK & CO INC NEW COM	34,795.16	38,288.25
179 SHS	METLIFE INC COM	6,879.66	9,651.68
1343 SHS	MICROSOFT CORP COM	40,901.73	50,241.63
162 SHS	NEXTERA ENERGY INC COM	12,255.10	13,870.44
115 SHS	OCCIDENTAL PETE CORP DEL COM	9,294.36	10,936.50
114 SHS	PARKER HANNIFIN CORP COM	10,344.44	14,664.96
1663 SHS	PFIZER INC COM	47,191.75	50,937.69
631 SHS	PHILIP MORRIS INTL INC COM	56,984.98	54,979.03
209 SHS	PHILLIPS 66 COM	12,870.14	16,120.17
301 SHS	PNC FINL SVCS GROUP INC COM	19,887.69	23,351.58
191 SHS	PRICE T ROWE GROUP INC COM	13,729.65	16,000.07
411 SHS	PROCTER & GAMBLE CO COM	30,923.15	33,459.51
326 SHS	RAYTHEON CO COM NEW	19,598.68	29,568.20
312 SHS	ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTCH LISTING	20,868.57	22,236.24

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Quantity	Security Description	COST	FMV
435 SHS	RPM INTL INC COM	13,648.43	18,056.85
151 SHS	SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	12,892.62	13,606.61
125 SHS	SEMPRA ENERGY COM	9,576.90	11,220.00
170 SHS	SHERWIN WILLIAMS CO COM	29,578.08	31,195.00
485 SHS	TEXAS INSTRS INC COM	16,741.69	21,296.35
480 SHS	TIME WARNER INC NEW COM	26,551.44	33,465.60
275 SHS	TJX COS INC NEW COM	12,883.65	17,525.75
247 SHS	UNITED PARCEL SVC INC CL B	21,194.75	25,954.76
96 SHS	UNITED TECHNOLOGIES CORP COM	8,629.90	10,924.80
765 SHS	US BANCORP DEL COM NEW	25,622.09	30,906.00
180 SHS	V F CORP COM	7,492.99	11,221.20
1184 SHS	VERIZON COMMUNICATIONS INC COM	57,397.42	58,181.76
591 SHS	VODAFONE GROUP PLC SPONSORED ADR NEW UNITED KINGDOM	21,796.55	23,232.21
193 SHS	WAL-MART STORES INC COM	14,279.84	15,187.17
258 SHS	WASTE MGMT INC DEL COM	9,784.78	11,576.46
960 SHS	WELLS FARGO & CO NEW COM	36,640.40	43,584.00
357 SHS	WESTAR ENERGY INC COM	11,335.94	11,484.69
205 SHS	WISCONSIN ENERGY CORP COM	8,362.69	8,474.70

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Quantity	Security Description	COST	FMV
26298.959 SHS	BERNSTEIN INTERNATIONAL PORTFOLIO(1)	385,152.64	429,724.99
2510 815 SHS	BERNSTEIN EMERGING MARKETS PORTFOLIO(1)	75,543.98	68,670.79
1000 SHS	PFIZER INC	22,128.21	30,630.00
50 SHS	APPLE INC	24,031.99	28,055.50
25 SHS	GOOGLE INC-CL A	15,710.02	28,017.75
275 SHS	COGNIZANT TECH SOLUTIONS-A	18,190.28	27,769.50
975 SHS	HEWLETT-PACKARD CO	18,548.41	27,280.50
1600 SHS	BANK OF AMERICA CORP	16,832.07	24,912.00
105 SHS	INTERCONTINENTALEXCHANGE GROUP	13,646.54	23,616.60
105 SHS	VISA INC - CLASS A SHRS	16,902.79	23,381.40
240 SHS	SCHLUMBERGER LTD	18,866.06	21,626.40
275 SHS	DANAHER CORP	15,887.13	21,230.00
155 SHS	BOEING CO/THE	13,261.69	21,155.95
75 SHS	BIOGEN IDEC INC	11,314.06	20,981.25
250 SHS	HESS CORP	20,279.08	20,750.00
225 SHS	MARATHON PETROLEUM CORP	17,940.91	20,639.25
500 SHS	KROGER CO	12,975.15	19,765.00
17 SHS	PRICELINE COM INC	11,443.96	19,760.80

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Quantity	Security Description	COST	FMV
375 SHS	CITIGROUP INC	13,750.83	19,541.25
375 SHS	AMERICAN INTERNATIONAL GROUP	16,726.14	19,143.75
375 SHS	VALERO ENERGY CORP	13,397.93	18,900.00
400 SHS	WELLS FARGO & COMPANY	13,473.51	18,160.00
225 SHS	LYONDELLBASELL INDU-CL A	10,583.02	18,063.00
275 SHS	TJX COMPANIES INC	12,372.72	17,525.75
225 SHS	GILEAD SCIENCES INC	7,752.13	16,908.75
135 SHS	COSTCO WHOLESALE CORP	14,715.77	16,066.35
275 SHS	MEDTRONIC INC	13,193.79	15,782.25
181 SHS	PHILIP MORRIS INTERNATIONAL	16,274.89	15,770.53
300 SHS	LINCOLN NATIONAL CORP	13,448.50	15,486.00
200 SHS	CAPITAL ONE FINANCIAL CORP	10,924.64	15,322.00
145 SHS	PARTNERRE LTD	12,887.84	15,287.35
160 SHS	OCCIDENTAL PETROLEUM CORP	14,277.60	15,216.00
90 SHS	CELGENE CORP	7,420.90	15,206.40
200 SHS	UNITEDHEALTH GROUP INC	12,895.64	15,060.00
170 SHS	ANSYS INC	11,672.83	14,824.00
725 SHS	PULTE GROUP INC	11,639.73	14,768.25

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Quantity	Security Description	COST	FMV
140 SHS	CHUBB CORP	11,647.55	13,528.20
250 SHS	CIT GROUP INC	9,964.59	13,032.50
155 SHS	ILLINOIS TOOL WORKS	10,119.79	13,032.40
250 SHS	COMCAST CORP-CLASS A	8,501.39	12,991.25
225 SHS	FACEBOOK INC-A	5,692.99	12,298.50
160 SHS	WALT DISNEY CO/THE	7,905.11	12,224.00
95 SHS	PARKER HANNIFIN CORP	10,376.49	12,220.80
110 SHS	ALLERGAN INC	10,643.97	12,218.80
1000 SHS	XEROX CORP	10,346.98	12,170.00
145 SHS	AON PLC	10,318.87	12,164.05
75 SHS	COPA HOLDINGS SA-CLASS A	10,374.86	12,008.25
30 SHS	AMAZON.COM INC	7,624.27	11,963.70
300 SHS	VODAFONE GROUP PLC-SP ADR	8,515.85	11,793.00
130 SHS	AMPHENOL CORP-CL A	9,780.62	11,593.40
725 SHS	FORD MOTOR CO	7,541.84	11,186.75
200 SHS	EBAY INC	10,220.30	10,978.00
140 SHS	STARBUCKS CORP	6,866.25	10,974.60
40 SHS	PRECISION CASTPARTS CORP	7,335.52	10,772.00

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Quantity	Security Description	COST	FMV
155 SHS	AETNA INC	8,675.36	10,631.45
135 SHS	NIKE INC -CL B	10,662.26	10,616.40
350 SHS	GANNETT CO	7,063.52	10,353.00
450 SHS	ELECTRONIC ARTS INC	10,541.53	10,323.00
105 SHS	HERSHEY CO/THE	7,107.63	10,209.15
70 SHS	POLARIS INDUSTRIES INC	8,748.53	10,194.80
140 SHS	CVS/CAREMARK CORP	9,171.04	10,019.80
185 SHS	AMETEK INC	8,807.56	9,743.95
190 SHS	HALLIBURTON CO	7,989.39	9,642.50
25 SHS	INTUITIVE SURGICAL INC	10,245.28	9,602.00
115 SHS	HOME DEPOT INC	9,024.68	9,469.10
80 SHS	MONSANTO CO	7,531.88	9,324.00
200 SHS	EDISON INTERNATIONAL	9,260.38	9,260.00
165 SHS	DISCOVER FINANCIAL SERVICES	6,708.15	9,231.75
110 SHS	MEAD JOHNSON NUTRITION CO	9,190.98	9,213.60
225 SHS	GENERAL MOTORS CO	8,446.63	9,195.75
105 SHS	VIACOM INC-CLASS B	5,531.66	9,170.70
170 SHS	GLAXOSMITHKLINE PLC-SPON AD	9,026.92	9,076.30

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Quantity	Security Description	COST	FMV
250 SHS	AT&T INC	8,890.75	8,790.00
60 SHS	LIBERTY MEDIA CORP	7,850.20	8,787.00
185 SHS	QUINTILES TRANSNATIONAL HOLDIN	8,144.97	8,572.90
100 SHS	LIBERTY GLOBAL PLC-SERIES C	7,143.92	8,432.00
250 SHS	LKQ CORP	7,992.57	8,225.00
525 SHS	GENWORTH FINANCIAL INC-CL A	5,569.15	8,153.25
70 SHS	ILLUMINA INC	2,940.15	7,743.40
100 SHS	HARRIS CORP	4,868.99	6,981.00
130 SHS	MACY'S INC	5,441.54	6,942.00
100 SHS	NOBLE ENERGY INC	4,691.01	6,811.00
30 SHS	AFFILIATED MANAGERS GROUP INC	3,757.19	6,506.40
85 SHS	GREEN MOUNTAIN COFFEE ROASTE	4,768.18	6,424.30
130 SHS	GAMESTOP CORP-CLASS A	4,202.84	6,403.80
180 SHS	TWENTY-FIRST CENTURY-CL A WI	6,020.75	6,332.40
20 SHS	BLACKROCK INC	4,819.46	6,329.40
100 SHS	VF CORP	4,154.84	6,234.00
350 SHS	APPLIED MATERIALS INC	3,885.14	6,191.50
90 SHS	MONSTER BEVERAGE CORP	4,937.53	6,099.30

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Quantity	Security Description	COST	FMV
135 SHS	EXPEDITORS INTL WASH INC	5,868.86	5,973.75
80 SHS	TRW AUTOMOTIVE HOLDINGS CORP	3,378.95	5,951.20
75 SHS	OCEANEERING INTL INC	4,155.86	5,916.00
45 SHS	O'REILLY AUTOMOTIVE INC	3,697.65	5,791.95
35 SHS	MCKESSON CORP	3,220.76	5,649.00
22 SHS	VW GRAINGER INC	4,912.05	5,619.24
75 SHS	VERTEX PHARMACEUTICALS INC	4,216.10	5,572.50
25 SHS	LINKEDIN CORP - A	3,527.97	5,420.75
90 SHS	AMERICAN FINANCIAL GROUP INC	4,719.40	5,194.80
21 SHS	METTLER-TOLEDO INTERNATL INC	4,534.42	5,094.39
60 SHS	MICHAEL KORS HOLDINGS LTD	3,768.37	4,871.40
65 SHS	QUALCOMM INC	3,944.03	4,826.25
60 SHS	FLOWSERVE CORPORATION	2,085.16	4,729.80
70 SHS	ASSURANT INC	4,478.99	4,645.90
225 SHS	E*TRADE FINANCIAL CORP	3,271.16	4,419.00
65 SHS	VERISK ANALYTICS INC-CL A	4,037.68	4,271.80
45 SHS	LIBERTY GLOBAL PLC-A	3,494.04	4,004.55
7 SHS	CHIPOTLE MEXICAN GRILL-CL A	1,998.53	3,729.46

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Quantity	Security Description	COST	FMV
170 SHS	MICRON TECHNOLOGY INC	1,099.90	3,699.20
45 SHS	LEAR CORP	1,857.88	3,643.65
120 SHS	DELTA AIR LINES INC	1,191.52	3,296.40
165 SHS	REGAL ENTERTAINMENT GROUP-A	3,087.25	3,209.25
20 SHS	EVEREST RE GROUP LTD	2,414.26	3,117.40
85 SHS	ING US INC	3,012.88	2,987.75
100 SHS	HEALTH NET INC	2,858.70	2,967.00
30 SHS	WELLPOINT INC	1,767.69	2,771.70
	ACCRUED INCOME		5,398.86
		3,053,614.83	3,667,948.15
50000 SHS	ACE INA HLDG INC CO GTD NT DTD 11/23/10 2.600% DUE 11/23/15	52,251.50	51,728.00
100000 SHS	AMERICA MOVIL S A B DE C V CO GTD SR NT MEXICO DTD 03/30/10 3.625% DUE 03/30/15	106,615.40	103,251.00
100000 SHS	AMERICAN EXPRESS CR CORP SR UNSECD MTN DTD 06/12/12 1.750% DUE 06/12/15	102,185.00	101,614.00
100000 SHS	AMERICAN HONDA FIN CORP SR UNSECD MTN DTD 10/10/13 2.125% DUE 10/10/18	99,614.00	99,588.00
50000 SHS	AMERICAN INTL GROUP INC SR UNSECD NT DTD 09/13/11 4.250% DUE 09/15/14	52,868.88	51,249.50
50000 SHS	ANADARKO PETE CORP SR NT DTD 09/19/06 5.950% DUE 09/15/16	56,701.50	55,703.00
100000 SHS	ANHEUSER BUSCH INBEV WORLDWIDE INC SR UNSECD NT DTD 07/16/12 0.800% DUE 07/15/15	100,454.00	100,498.00
100000 SHS	APPLE INC SR UNSECD NT DTD 05/03/13 1.000% DUE 05/03/18	95,546.00	96,695.00

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Quantity	Security Description	COST	FMV
100000 SHS	AT&T INC GLOBAL SR NT DTD 07/30/10 2.500% DUE 08/15/15	104,440.00	102,670.00
100000 SHS	BANK NEW YORK INC MEDIUM TERM SR NT DTD 11/16/09 3.100% DUE 01/15/15	105,005.00	102,693.00
100000 SHS	BB&T CORP SR MTN CALL 2/16/16 @100 DTD 03/07/11 3.200% DUE 03/15/16	106,649.00	104,571.00
100000 SHS	BERKSHIRE HATHAWAY INC DEL SR UNSECD NT DTD 01/31/12 1.900% DUE 01/31/17	103,288.00	102,302.00
100000 SHS	BHP BILLITON FIN USA LTD CO GTD SR NT AUSTRALIA DTD 11/21/11 1.875% DUE 11/21/16	103,788.00	102,152.00
100000 SHS	BP CAP MKTS PLC CO GTD NT UNITED KINGDOM DTD 10/01/10 3.125% DUE 10/01/15	106,539.00	104,475.00
50000 SHS	CAPITAL ONE FINL CORP UNSECD SR NT DTD 03/23/12 2.150% DUE 03/23/15	51,108.50	50,829.00
50000 SHS	CITIGROUP INC GLOBAL SR UNSCD NT DTD 11/21/07 6.125% DUE 11/21/17	59,522.50	57,635.50
50000 SHS	COMCAST CORP NT DTD 05/15/03 5.300% DUE 01/15/14	52,624.50	50,073.50
50000 SHS	CONAGRA FOODS INC SR UNSECD NT DTD 01/25/13 1.900% DUE 01/25/18	49,141.00	49,083.50
50000 SHS	CVS CORP NT DTD 09/14/04 4.875% DUE 09/15/14	53,851.50	51,496.00
100000 SHS	DIAGEO FIN BV CO GTD NT NETHERLANDS DTD 11/06/09 3.250% DUE 01/15/15	105,454.00	102,869.00
100000 SHS	DU PONT E I DE NEMOURS & CO SR NT DTD 11/09/09 3.250% DUE 01/15/15	105,688.00	102,869.00
50000 SHS	EXPRESS SCRIPTS INC CO GTD NT DTD 05/02/11 3.125% DUE 05/15/16	53,050.00	52,185.50
100000 SHS	GENERAL ELEC CAP CORP SR UNSECD MTN DTD 01/09/12 2.900% DUE 01/09/17	103,677.00	104,397.00
100000 SHS	GOLDMAN SACHS GROUP INC SR UNSECD NT DTD 02/07/11 3.625% DUE 02/07/16	105,968.00	104,948.00
50000 SHS	HEWLETT-PACKARD CO SR UNSECD NT DTD 02/26/09 4.750% DUE 06/02/14	51,818.50	50,800.50
100000 SHS	HOME DEPOT INC SR NT DTD 03/24/06 5.400% DUE 03/01/16	115,089.00	109,689.00

THE HAVEN FOUNDATION
20-4356938
ATTACHMENT TO
FORM 990-PF, PAGE 2, PART II, LINE 10B

Quantity	Security Description	COST	FMV
100000 SHS	HSBC USA INC SR UNSECD NT DTD 02/13/12 2.375% DUE 02/13/15	102,896.00	102,037.00
100000 SHS	INTEL CORP UNSECD SR NT DTD 12/11/12 1.350% DUE 12/15/17	98,960.00	98,834.00
100000 SHS	JPMORGAN CHASE & CO SR UNSECD NT DTD 05/15/13 1.625% DUE 05/15/18	96,401.00	97,920.00
50000 SHS	KELLOGG CO UNSECD SR NT DTD 05/17/12 1.750% DUE 05/17/17	50,833.50	49,941.00
50000 SHS	KINDER MORGAN ENERGY PARTNERS L P SR UNSECD SR NT DTD 03/04/11 3 500% DUE 03/01/16	53,446.50	52,298.50
50000 SHS	KRAFT FOODS INC SR UNSECD NT DTD 02/08/10 4.125% DUE 02/09/16	53,698.50	53,008.00
11950 SHS	MEXICAN BONOS DESARR BD SER M ISIN MX0MGO0000K3 DTD 06/25/09 7.000% DUE 06/19/14	96,778.66	92,728.46
50000 SHS	MORGAN STANLEY SR UNSECD NT DTD 05/13/09 6.000% DUE 05/13/14	52,180.50	50,953.50
50000 SHS	NEWS AMER INC BD DTD 12/03/04 5.300% DUE 12/15/14	53,339.50	52,211.00
47000 SHS	ONTARIO PROV CDA DEB ISIN CA683234UF36 DTD 01/12/04 5.000% DUE 03/08/14	49,905.89	44,539.63
100000 SHS	PEPSICO INC SR NT DTD 05/10/11 2.500% DUE 05/10/16	105,729.00	103,753.00
50000 SHS	PETROBAS INTL FIN CO SR UNSECD GTD GLOBAL NT CAYMAN ISLANDS DTD 02/06/12 2.875% DUE 02/06/15	51,508.50	50,750.00
50000 SHS	PETRO-CDA UNSECD BD CANADA DTD 05/15/08 6.050% DUE 05/15/18	60,282.00	57,619.50
100000 SHS	SIMON PPTY GROUP L P NT CALL 9/2/16 @100 DTD 12/12/06 5.250% DUE 12/01/16	113,231.00	110,686.00
100000 SHS	STATOIL ASA GO GTD NT NORWAY DTD 08/17/10 3.125% DUE 08/17/17	108,256.00	104,973.00
50000 SHS	TEVA PHARMACEUTICAL FIN CO B V CO GTD NT NETHERLANDS ANTILLES DTD 11/10/11 2.400% DUE 11/10/16	52,001.50	51,443.50
50000 SHS	TIME WARNER INC NEW NT DTD 11/13/06 5.875% DUE 11/15/16	58,709.00	56,408.50

THE HAVEN FOUNDATION
20-4356938
ATTACHMENT TO
FORM 990-PF, PAGE 2, PART II, LINE 10B

Quantity	Security Description	COST	FMV
100000 SHS	TOTAL CAP CO GTD UNSECD SR NT FRANCE DTD 06/24/10 3.000% DUE 06/24/15	105,916.00	103,581.00
100000 SHS	TOYOTA MTR CR CORP SR UNSECD MTN DTD 09/15/11 2.000% DUE 09/15/16	103,509.00	102,861.00
25000 SHS	UNITED STATES TREAS NT DTD 11/15/13 0.625% DUE 11/15/16	24,928.79	24,923.75
100000 SHS	UNITEDHEALTH GROUP INC SR UNSECD NT DTD 10/22/12 1.400% DUE 10/15/17	100,067.00	98,737.00
100000 SHS	VERIZON COMMUNICATIONS INC SR UNSECD NT DTD 11/03/11 2.000% DUE 11/01/16	104,042.00	102,036.00
100000 SHS	VODAFONE GROUP PLC NEW NT UNITED KINGDOM DTD 02/27/07 5.625% DUE 02/27/17	117,697.00	111,868.00
100000 SHS	WAL-MART STORES INC SR UNSECD NT DTD 10/25/10 1.500% DUE 10/25/15	102,738.00	101,903.00
50000 SHS	WASTE MGMT INC DEL CO GTD SR NT DTD 08/29/11 2.600% DUE 09/01/16	52,698.00	51,608.50
50000 SHS	XEROX CORP SR UNSECD NT DTD 12/04/09 4.250% DUE 02/15/15	52,527.50	51,871.50
188888.264 SHS	BERNSTEIN SHORT DURATION PLUS PORTFOLIO(1)	2,244,399.56	2,221,325.98
164618.488 SHS	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(1)	2,274,182.76	2,197,656.81
	ACCRUED INCOME		39,817.24
		8,743,800.44	8,604,359.38
72 SHS	PUBLIC STORAGE INC COM (REIT)	11,064.16	10,837.44
79 SHS	SIMON PPTY GROUP INC NEW COM	13,350.75	12,020.64
33132.287 SHS	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I(1)	304,915.72	322,045.83
	ACCRUED INCOME	329,330.63	344,903.91
	GRAND TOTAL	12,126,745.90	12,617,211.44



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Guidelines for Assistance

The mission of The Haven Foundation is to strengthen and sustain the careers of freelance professional writers, artists and others connected with the entertainment industry across the United States ("qualified persons"). The Foundation accomplishes its mission through direct financial assistance to qualified persons, including financial support.

What The Haven Foundation Offers

Financial Support

Please see "Criteria for Assistance" for information on how The Foundation determines the level of financial assistance for each applicant. Receipt of a completed Confidential Application for Assistance is required before The Foundation will consider a request for financial assistance.

Chronic Illness or Injury Grant

In situations where a qualified person is dealing with a health crisis due to a recent chronic illness or chronic condition due to a recent injury or illness and where there is a question about returning to work. The Foundation's Grant Committee will determine whether a grant offer will be made. Grants are for one year and may be renewed for up to four more years, provided that the recipient submits a supplemental application annually, and that such supplemental application is approved by the Grant Committee. Renewal grants may not be for the same amount as the original grant. The amount of the renewal is to be determined by the Grant Committee.

Eligibility for Assistance

In order to be eligible for assistance an applicant must be:

- **A freelance qualified person.** The Foundation defines the group to include all persons connected with the artistic or entertainment industries including, but not limited to authors, actors, singers, dancers, directors, producers, choreographers, musicians, artists, screenwriters, technical support professionals or other performers. The Foundation considers the following media categories as part of the entertainment industry: books (including audio books), movies, music, theatre, dance and television.
- **A professional.** An individual who is committed to his/her industry or work, who has derived at least 1/3 (33.3%) of his/her income over the past three (3) years from his/her personal production, performance or other work in the industry.
- All work must be done by the qualified person; all work must be done in the United States. A qualified person that makes a portion of his/her income in a related activity such as teaching classes relevant to his/her field may also be eligible for support.
- **Experiencing a specific career-threatening emergency.** The qualified person must have experienced a recent, unforeseen emergency or triggering event that has significantly and adversely affected the qualified person's ability to produce, perform and/or market his/her

work and, thus, creates the need for immediate relief funds and/or assistance. The Foundation is not able to assist in situations of financial need that result solely from lack of employment, poor sales and/or poor business practices.

- **Legal US resident.**

Criteria for Assistance

All applicants must meet the basic eligibility requirements described above and submit completed applications with all required supporting documents in order to have their applications reviewed.

Application Procedure

Applicants must fill out and mail the application form with all requested information. **Incomplete applications cannot be considered.**

The completed, signed and dated application and supplementary materials should be sent to The Foundation's office. If the application package is deemed complete by The Foundation's staff it will be submitted to The Foundation's Grant Committee for consideration. The Foundation will make every effort to expedite the decision-making process so that we can respond to applicants quickly. If the application is not complete, the applicant will be notified.

The Foundation does not discriminate against applicants based on sex, race, religion, color, age, national origin, disability or sexual orientation.

Approval, Disbursement of Funds & Reporting

Once the application has been reviewed, the applicant will be notified.

The Foundation requests that beneficiaries provide periodic reports concerning their recovery from the emergency. **These may be requested on an annual basis for up to five years after The Foundation's assistance.**

We will provide beneficiaries with advance notice of any deadlines and reporting requirements.

Grants are not transferable.

Confidentiality

All information provided by applicants remains confidential, unless the applicant has deemed otherwise.

Application Checklist

Please complete the checklist below to make sure that all required materials have been included in your application package. Note that an application is not complete without the items listed below. If the situation has caused some of these items to be unavailable, please include an explanation with the application. This checklist is solely for your own use. It does not need to be included in your application package.

☐ Completed and Signed Application.

☐ Resume.

☐ Supporting Documentation:

☐ Medical records/bills, police reports, etc.

☐ Documentation of your work (books, articles, color and/or black & white copies, brochures, CD/DVD/VHS, etc.) Electronic versions or web address where your work can be seen is also acceptable. If you would like any materials returned, please include a list of items to be returned and a self-addressed, stamped envelope.

☐ IRS 1040 Forms and Schedule C's for the last three years.

Mail completed application to:

Grants

The Haven Foundation

P. O. Box 128

Brewer, ME 04412

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Sample



THE HAVEN FOUNDATION
PO BOX 128
Brewer, Maine 04412

(Name)
(Address)

(Date)

Re: Haven Foundation Grant of _____ a month for six months

Dear _____:

The Haven Foundation is giving you a one-time, non-transferable grant of \$_____ a month to help with your rent. You will be ineligible to apply for any more funds until _____.

The check will be sent out from our accounting firm in New York as per the current arrangement on a monthly basis.

Please send periodic updates to margaret@thehavenfdn.org.

If you have any questions concerning your grant award, please contact me at The Haven Foundation, PO Box 128, Brewer, Maine 04412.

Sincerely,

Margaret Morehouse RN,
The Haven Foundation Administrator

I have read and understand the terms of this agreement and will comply with the reporting requirements.

Acknowledgment: _____
Signature Date

Sample



THE HAVEN FOUNDATION
PO BOX 128
Brewer, Maine 04412

(Name)
(Address)

(Date)

Re: Haven Foundation Grant of _____ a month for six months

Dear _____:

The Haven Foundation is giving you a one-time, non-transferable grant of \$ _____ a month to help with your rent. You will be ineligible to apply for any more funds until _____.

The check will be sent out from our accounting firm in New York as per the current arrangement on a monthly basis.

Please send periodic updates to margaret@thehavenfdn.org.

If you have any questions concerning your grant award, please contact me at The Haven Foundation, PO Box 128, Brewer, Maine 04412.

Sincerely,

Margaret Morehouse RN,
The Haven Foundation Administrator

I have read and understand the terms of this agreement and will comply with the reporting requirements.

Acknowledgment: _____
Signature Date

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 CHIPOTLE MEXICAN GRILL-CL A	P	07/20/12	01/07/13
b	1 CHIPOTLE MEXICAN GRILL-CL A	P	07/09/12	01/07/13
c	40 TIBCO SOFTWARE INC	P	04/09/12	01/08/13
d	35 TIBCO SOFTWARE INC	P	03/14/12	01/08/13
e	15 CUMMINS INC	P	07/02/12	01/17/13
f	18 UNITEDHEALTH GROUP INC	P	04/13/12	01/23/13
g	40 RED HAT INC	P	07/10/12	01/25/13
h	30 DOLLAR GENERAL CORP	P	09/18/12	01/28/13
i	25 PHILIP MORRIS INTERNATIONAL	P	07/05/12	01/30/13
j	10 TIBCO SOFTWARE INC	P	05/30/12	01/30/13
k	20 OCEANEERING INTL INC	P	05/17/12	01/30/13
l	45 TIBCO SOFTWARE INC	P	05/16/12	01/30/13
m	25 TIBCO SOFTWARE INC	P	04/09/12	01/30/13
n	5 MCKESSON CORP	P	05/02/12	02/01/13
o	22 MCKESSON CORP	P	04/03/12	02/01/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,491.		1,591.	<100.>
b 298.		386.	<88.>
c 872.		1,301.	<429.>
d 763.		1,046.	<283.>
e 1,704.		1,432.	272.
f 1,003.		1,047.	<44.>
g 2,252.		2,048.	204.
h 1,409.		1,503.	<94.>
i 2,191.		2,225.	<34.>
j 234.		275.	<41.>
k 1,245.		952.	293.
l 1,055.		1,314.	<259.>
m 586.		813.	<227.>
n 519.		450.	69.
o 2,286.		1,948.	338.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<100.>
b			<88.>
c			<429.>
d			<283.>
e			272.
f			<44.>
g			204.
h			<94.>
i			<34.>
j			<41.>
k			293.
l			<259.>
m			<227.>
n			69.
o			338.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	18 MCKESSON CORP	P	03/06/12	02/01/13
b	40 MCGRAW-HILL COMPANIES INC	P	10/18/12	02/05/13
c	30 MCGRAW-HILL COMPANIES INC	P	08/27/12	02/05/13
d	25 MCGRAW-HILL COMPANIES INC	P	07/19/12	02/05/13
e	5 UNITEDHEALTH GROUP INC	P	07/02/12	02/05/13
f	33 UNITEDHEALTH GROUP INC	P	05/15/12	02/05/13
g	7 UNITEDHEALTH GROUP INC	P	04/13/12	02/05/13
h	15 NATIONAL - OILWELL INC	P	02/16/12	02/05/13
i	20 NATIONAL - OILWELL INC	P	02/14/12	02/05/13
j	5 HELMERICH & PAYNE	P	05/31/12	02/06/13
k	40 HELMERICH & PAYNE	P	05/22/12	02/06/13
l	50 ASTRAZENECA PLC-SPONS ADR	P	10/18/12	02/12/13
m	15 RACKSPACE HOSTING INC	P	10/18/12	02/12/13
n	45 RACKSPACE HOSTING INC	P	07/06/12	02/12/13
o	25 ASTRAZENECA PLC-SPONS ADR	P	06/05/12	02/12/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,870.		1,483.	387.
b 1,874.		2,232.	<358.>
c 1,406.		1,489.	<83.>
d 1,171.		1,187.	<16.>
e 285.		282.	3.
f 1,879.		1,830.	49.
g 399.		407.	<8.>
h 1,029.		1,258.	<229.>
i 1,372.		1,670.	<298.>
j 320.		224.	96.
k 2,562.		1,824.	738.
l 2,362.		2,387.	<25.>
m 1,113.		983.	130.
n 3,340.		2,003.	1,337.
o 1,181.		996.	185.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			387.
b			<358.>
c			<83.>
d			<16.>
e			3.
f			49.
g			<8.>
h			<229.>
i			<298.>
j			96.
k			738.
l			<25.>
m			130.
n			1,337.
o			185.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	55 DIRECTV	P	10/18/12	02/15/13
b	10 INTERCONTINENTALEXCHANGE INC	P	08/01/12	02/15/13
c	1 CHIPOTLE MEXICAN GRILL-CL A	P	07/31/12	02/15/13
d	4 CHIPOTLE MEXICAN GRILL-CL A	P	07/20/12	02/15/13
e	20 LINKEDIN CORP - A	P	06/04/12	02/15/13
f	35 DIRECTV	P	03/21/12	02/15/13
g	25 MGM RESORTS INTERNATIONAL	P	05/17/12	02/19/13
h	75 MGM RESORTS INTERNATIONAL	P	05/02/12	02/19/13
i	125 MGM RESORTS INTERNATIONAL	P	03/07/12	02/19/13
j	15 ULTA SALON COSMETICS & FRAGRAN	P	11/21/12	02/20/13
k	40 BP PLC-SPONS ADR	P	10/18/12	02/20/13
l	25 BP PLC-SPONS ADR	P	06/26/12	02/20/13
m	25 BP PLC-SPONS ADR	P	05/09/12	02/20/13
n	9 VF CORP	P	06/26/12	02/21/13
o	11 VF CORP	P	06/13/12	02/21/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,717.		2,860.	<143.>
b 1,574.		1,302.	272.
c 315.		292.	23.
d 1,259.		1,273.	<14.>
e 3,227.		1,785.	1,442.
f 1,729.		1,684.	45.
g 320.		260.	60.
h 960.		1,026.	<66.>
i 1,601.		1,698.	<97.>
j 1,295.		1,360.	<65.>
k 1,647.		1,733.	<86.>
l 1,030.		946.	84.
m 1,030.		983.	47.
n 1,432.		1,257.	175.
o 1,750.		1,517.	233.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<143.>
b			272.
c			23.
d			<14.>
e			1,442.
f			45.
g			60.
h			<66.>
i			<97.>
j			<65.>
k			<86.>
l			84.
m			47.
n			175.
o			233.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	30 CELGENE CORP	P	08/08/12	02/25/13
b	35 HELMERICH & PAYNE	P	10/18/12	02/28/13
c	15 CUMMINS INC	P	07/02/12	02/28/13
d	50 STATE STREET CORP	P	06/08/12	02/28/13
e	35 HELMERICH & PAYNE	P	05/31/12	02/28/13
f	100 DELTA AIR LINES INC	P	05/02/12	02/28/13
g	35 AKAMAI TECHNOLOGIES	P	01/18/13	03/01/13
h	20 IDEXX LABS CORP	P	07/23/12	03/01/13
i	105 LORILLARD INC	P	10/18/12	03/04/13
j	45 LORILLARD INC	P	08/15/12	03/04/13
k	30 LORILLARD INC	P	06/11/12	03/04/13
l	40 BROADCOM CORP-CL A	P	10/18/12	03/06/13
m	6 LINKEDIN CORP - A	P	10/18/12	03/06/13
n	4 LINKEDIN CORP - A	P	06/04/12	03/06/13
o	60 COACH INC	P	10/18/12	03/07/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,010.		2,148.	862.
b 2,327.		1,799.	528.
c 1,747.		1,432.	315.
d 2,849.		2,104.	745.
e 2,327.		1,571.	756.
f 1,433.		1,090.	343.
g 1,279.		1,393.	<114.>
h 1,822.		1,751.	71.
i 4,022.		4,133.	<111.>
j 1,724.		1,875.	<151.>
k 1,149.		1,242.	<93.>
l 1,335.		1,382.	<47.>
m 1,046.		640.	406.
n 697.		357.	340.
o 2,919.		3,424.	<505.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			862.
b			528.
c			315.
d			745.
e			756.
f			343.
g			<114.>
h			71.
i			<111.>
j			<151.>
k			<93.>
l			<47.>
m			406.
n			340.
o			<505.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 MGM RESORTS INTERNATIONAL	P	10/18/12	03/07/13
b	10 UNITEDHEALTH GROUP INC	P	08/10/12	03/07/13
c	35 COACH INC	P	07/31/12	03/07/13
d	100 MGM RESORTS INTERNATIONAL	P	07/13/12	03/07/13
e	20 UNITEDHEALTH GROUP INC	P	07/06/12	03/07/13
f	15 UNITEDHEALTH GROUP INC	P	07/02/12	03/07/13
g	75 MGM RESORTS INTERNATIONAL	P	05/17/12	03/07/13
h	50 BP PLC-SPONS ADR	P	11/09/12	03/08/13
i	85 BP PLC-SPONS ADR	P	10/18/12	03/08/13
j	5 CUMMINS INC	P	10/18/12	03/08/13
k	10 CUMMINS INC	P	07/02/12	03/08/13
l	10 STATE STREET CORP	P	06/11/12	03/08/13
m	20 STATE STREET CORP	P	06/08/12	03/08/13
n	8 F5 NETWORKS INC	P	05/21/12	03/08/13
o	17 F5 NETWORKS INC	P	04/16/12	03/08/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 311.		278.	33.
b 541.		519.	22.
c 1,703.		1,788.	<85.>
d 1,246.		981.	265.
e 1,082.		1,107.	<25.>
f 811.		847.	<36.>
g 934.		779.	155.
h 2,023.		2,049.	<26.>
i 3,439.		3,682.	<243.>
j 597.		465.	132.
k 1,195.		955.	240.
l 593.		430.	163.
m 1,185.		842.	343.
n 752.		928.	<176.>
o 1,598.		2,088.	<490.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			33.
b			22.
c			<85.>
d			265.
e			<25.>
f			<36.>
g			155.
h			<26.>
i			<243.>
j			132.
k			240.
l			163.
m			343.
n			<176.>
o			<490.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 F5 NETWORKS INC	P	10/18/12	03/14/13
b	15 F5 NETWORKS INC	P	05/30/12	03/14/13
c	10 F5 NETWORKS INC	P	05/25/12	03/14/13
d	300 MGM RESORTS INTERNATIONAL	P	10/18/12	03/15/13
e	25 ULTA SALON COSMETICS & FRAGRAN	P	12/13/12	03/21/13
f	25 ULTA SALON COSMETICS & FRAGRAN	P	11/21/12	03/21/13
g	25 CUMMINS INC	P	10/18/12	03/22/13
h	35 STATE STREET CORP	P	10/18/12	03/22/13
i	15 STATE STREET CORP	P	06/11/12	03/22/13
j	85 US BANCORP	P	10/18/12	03/25/13
k	105 US BANCORP	P	10/10/12	03/25/13
l	60 US BANCORP	P	10/09/12	03/25/13
m	30 IDEXX LABS CORP	P	07/23/12	04/01/13
n	65 AT&T INC	P	11/07/12	04/02/13
o	45 AT&T INC	P	11/06/12	04/02/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 458.		485.	<27.>
b 1,374.		1,595.	<221.>
c 916.		1,101.	<185.>
d 3,913.		3,341.	572.
e 1,943.		2,364.	<421.>
f 1,943.		2,266.	<323.>
g 2,838.		2,324.	514.
h 2,081.		1,569.	512.
i 892.		644.	248.
j 2,846.		2,913.	<67.>
k 3,516.		3,630.	<114.>
l 2,009.		2,081.	<72.>
m 2,723.		2,627.	96.
n 2,441.		2,209.	232.
o 1,690.		1,568.	122.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<27.>
b			<221.>
c			<185.>
d			572.
e			<421.>
f			<323.>
g			514.
h			512.
i			248.
j			<67.>
k			<114.>
l			<72.>
m			96.
n			232.
o			122.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	65 TIMKEN CO	P	12/10/12	04/08/13
b	80 BB&T CORP	P	10/18/12	04/08/13
c	125 MICRON TECHNOLOGY INC	P	10/18/12	04/08/13
d	30 BB&T CORP	P	09/17/12	04/08/13
e	115 BB&T CORP	P	09/14/12	04/08/13
f	125 ASTRAZENECA PLC-SPONS ADR	P	10/18/12	04/09/13
g	20 DOLLAR GENERAL CORP	P	10/18/12	04/09/13
h	25 DOLLAR GENERAL CORP	P	09/18/12	04/09/13
i	5 LEAR CORP	P	07/09/12	04/09/13
j	20 DOLLAR GENERAL CORP	P	10/26/12	04/10/13
k	35 DOLLAR GENERAL CORP	P	10/18/12	04/10/13
l	15 IDEXX LABS CORP	P	10/24/12	04/11/13
m	30 BUNGE LTD	P	10/01/12	04/11/13
n	5 IDEXX LABS CORP	P	07/23/12	04/11/13
o	150 CISCO SYSTEMS INC	P	10/18/12	04/24/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,453.		2,973.	480.
b 2,421.		2,446.	<25.>
c 1,161.		714.	447.
d 908.		1,010.	<102.>
e 3,480.		3,913.	<433.>
f 6,330.		5,968.	362.
g 998.		935.	63.
h 1,248.		1,253.	<5.>
i 263.		183.	80.
j 1,007.		957.	50.
k 1,762.		1,636.	126.
l 1,365.		1,440.	<75.>
m 2,041.		2,028.	13.
n 455.		438.	17.
o 3,071.		2,785.	286.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			480.
b			<25.>
c			447.
d			<102.>
e			<433.>
f			362.
g			63.
h			<5.>
i			80.
j			50.
k			126.
l			<75.>
m			13.
n			17.
o			286.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	75 TIBCO SOFTWARE INC	P	10/18/12	04/24/13
b	5 EXXON MOBIL CORP	P	08/21/12	04/24/13
c	20 EXXON MOBIL CORP	P	08/09/12	04/24/13
d	40 TIBCO SOFTWARE INC	P	07/25/12	04/24/13
e	75 CISCO SYSTEMS INC	P	06/04/12	04/24/13
f	30 TIBCO SOFTWARE INC	P	05/30/12	04/24/13
g	15 INTUIT INC	P	02/26/13	04/25/13
h	15 INTUIT INC	P	02/21/13	04/25/13
i	35 INTUIT INC	P	02/15/13	04/25/13
j	45 AKAMAI TECHNOLOGIES	P	02/01/13	04/25/13
k	50 AKAMAI TECHNOLOGIES	P	01/18/13	04/25/13
l	21 ROPER INDUSTRIES INC	P	12/26/12	04/25/13
m	45 INTUIT INC	P	10/18/12	04/25/13
n	9 ROPER INDUSTRIES INC	P	10/18/12	04/25/13
o	15 ROPER INDUSTRIES INC	P	08/30/12	04/25/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,410.		2,035.	<625.>
b 448.		440.	8.
c 1,792.		1,764.	28.
d 752.		1,105.	<353.>
e 1,535.		1,200.	335.
f 564.		827.	<263.>
g 841.		936.	<95.>
h 841.		917.	<76.>
i 1,962.		2,165.	<203.>
j 1,938.		1,883.	55.
k 2,154.		1,991.	163.
l 2,605.		2,305.	300.
m 2,522.		2,735.	<213.>
n 1,116.		992.	124.
o 1,860.		1,539.	321.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<625.>
b			8.
c			28.
d			<353.>
e			335.
f			<263.>
g			<95.>
h			<76.>
i			<203.>
j			55.
k			163.
l			300.
m			<213.>
n			124.
o			321.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	40 ESTEE LAUDER COMPANIES-CL A	P	06/05/12	04/29/13
b	5 ESTEE LAUDER COMPANIES-CL A	P	07/25/12	04/30/13
c	20 ESTEE LAUDER COMPANIES-CL A	P	07/10/12	04/30/13
d	4 CST BRANDS INC	P	02/13/13	05/03/13
e	17 CST BRANDS INC	P	01/17/13	05/03/13
f	115 TRIMBLE NAVIGATION LTD	P	11/20/12	05/14/13
g	150 TYSON FOODS INC-CL A	P	11/12/12	05/15/13
h	65 TYSON FOODS INC-CL A	P	10/24/12	05/15/13
i	35 TYSON FOODS INC-CL A	P	10/24/12	05/15/13
j	175 TYSON FOODS INC-CL A	P	08/08/12	05/15/13
k	25 RED HAT INC	P	07/11/12	05/17/13
l	5 RED HAT INC	P	07/10/12	05/17/13
m	40 TRIMBLE NAVIGATION LTD	P	02/01/13	05/20/13
n	45 TRIMBLE NAVIGATION LTD	P	11/20/12	05/20/13
o	45 PARTNERRE LTD	P	10/25/12	05/20/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,757.		2,147.	610.
b 348.		262.	86.
c 1,392.		1,034.	358.
d 119.		140.	<21.>
e 505.		512.	<7.>
f 3,252.		3,098.	154.
g 3,755.		2,530.	1,225.
h 1,627.		1,060.	567.
i 876.		571.	305.
j 4,380.		2,709.	1,671.
k 1,380.		1,281.	99.
l 276.		256.	20.
m 1,166.		1,255.	<89.>
n 1,311.		1,212.	99.
o 4,023.		3,676.	347.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			610.
b			86.
c			358.
d			<21.>
e			<7.>
f			154.
g			1,225.
h			567.
i			305.
j			1,671.
k			99.
l			20.
m			<89.>
n			99.
o			347.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 MICRON TECHNOLOGY INC	P	12/21/12	05/22/13
b	175 MICRON TECHNOLOGY INC	P	10/18/12	05/22/13
c	15 EXXON MOBIL CORP	P	09/04/12	05/22/13
d	10 EXXON MOBIL CORP	P	08/21/12	05/22/13
e	40 VALIDUS HOLDINGS LTD	P	10/18/12	05/24/13
f	40 VALIDUS HOLDINGS LTD	P	09/24/12	05/24/13
g	25 EBAY INC	P	08/24/12	06/04/13
h	55 EMC CORP/MASS	P	10/31/12	06/06/13
i	25 EBAY INC	P	08/24/12	06/06/13
j	50 ALTRIA GROUP INC	P	04/09/13	06/07/13
k	160 ALTRIA GROUP INC	P	10/23/12	06/07/13
l	25 VIRGIN MEDIA INC	P	05/20/13	06/10/13
m	55 VIRGIN MEDIA INC	P	05/20/13	06/10/13
n	55 VIRGIN MEDIA INC	P	04/25/13	06/10/13
o	40 VIRGIN MEDIA INC	P	04/16/13	06/10/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 280.		162.	118.
b 1,962.		999.	963.
c 1,384.		1,301.	83.
d 923.		880.	43.
e 1,417.		1,476.	<59.>
f 1,417.		1,372.	45.
g 1,322.		1,180.	142.
h 1,352.		1,337.	15.
i 1,283.		1,180.	103.
j 1,799.		1,776.	23.
k 5,757.		5,200.	557.
l 1,273.		1,253.	20.
m 2,816.		2,758.	58.
n 2,801.		2,682.	119.
o 2,034.		1,984.	50.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			118.
b			963.
c			83.
d			43.
e			<59.>
f			45.
g			142.
h			15.
i			103.
j			23.
k			557.
l			20.
m			58.
n			119.
o			50.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 CAPITAL ONE FINANCIAL CORP	P	03/01/13	06/10/13
b	15 COSTCO WHOLESALE CORP	P	03/21/13	06/12/13
c	20 ILLINOIS TOOL WORKS	P	03/21/13	06/12/13
d	25 CAPITAL ONE FINANCIAL CORP	P	03/01/13	06/12/13
e	15 STERICYCLE INC	P	02/15/13	06/12/13
f	50 FACEBOOK INC-A	P	11/08/12	06/12/13
g	100 DELTA AIR LINES INC	P	11/06/12	06/12/13
h	10 LINKEDIN CORP - A	P	11/06/12	06/12/13
i	5 LINKEDIN CORP - A	P	10/18/12	06/12/13
j	125 NV ENERGY INC	P	10/18/12	06/12/13
k	45 MEDTRONIC INC	P	10/05/12	06/12/13
l	10 O'REILLY AUTOMOTIVE INC	P	09/21/12	06/12/13
m	50 DISCOVER FINANCIAL SERVICES	P	09/13/12	06/12/13
n	50 TJX COMPANIES INC	P	09/06/12	06/12/13
o	25 EBAY INC	P	08/24/12	06/12/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,562.		1,295.	267.
b 1,644.		1,552.	92.
c 1,390.		1,259.	131.
d 1,531.		1,295.	236.
e 1,592.		1,450.	142.
f 1,187.		1,006.	181.
g 1,812.		993.	819.
h 1,678.		1,041.	637.
i 839.		533.	306.
j 2,938.		2,365.	573.
k 2,323.		2,010.	313.
l 1,102.		842.	260.
m 2,373.		1,926.	447.
n 2,500.		2,313.	187.
o 1,273.		1,180.	93.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			267.
b			92.
c			131.
d			236.
e			142.
f			181.
g			819.
h			637.
i			306.
j			573.
k			313.
l			260.
m			447.
n			187.
o			93.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 CELGENE CORP	P	08/21/12	06/12/13
b	15 UNITEDHEALTH GROUP INC	P	08/10/12	06/12/13
c	5 CELGENE CORP	P	08/08/12	06/12/13
d	4 CHIPOTLE MEXICAN GRILL-CL A	P	07/31/12	06/12/13
e	11 BIOGEN IDEC INC	P	07/25/12	06/12/13
f	10 PHILIP MORRIS INTERNATIONAL	P	07/25/12	06/12/13
g	9 VF CORP	P	07/09/12	06/12/13
h	18 PHILIP MORRIS INTERNATIONAL	P	07/05/12	06/12/13
i	15 ANSYS INC	P	06/28/12	06/12/13
j	10 AFFILIATED MANAGERS GROUP INC	P	06/26/12	06/12/13
k	1 VF CORP	P	06/26/12	06/12/13
l	5 CITRIX SYSTEMS INC	P	06/12/12	06/12/13
m	5 INTERCONTINENTALEXCHANGE INC	P	08/02/12	06/13/13
n	15 INTERCONTINENTALEXCHANGE INC	P	08/01/12	06/13/13
o	15 F5 NETWORKS INC	P	02/01/13	06/17/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	594.	356.	238.
b	960.	778.	182.
c	594.	358.	236.
d	1,464.	1,168.	296.
e	2,302.	1,564.	738.
f	916.	872.	44.
g	1,701.	1,206.	495.
h	1,649.	1,602.	47.
i	1,092.	928.	164.
j	1,650.	1,025.	625.
k	189.	140.	49.
l	310.	381.	<71.>
m	860.	636.	224.
n	2,579.	1,954.	625.
o	1,117.	1,585.	<468.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			238.
b			182.
c			236.
d			296.
e			738.
f			44.
g			495.
h			47.
i			164.
j			625.
k			49.
l			<71.>
m			224.
n			625.
o			<468.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 F5 NETWORKS INC	P	01/30/13	06/17/13
b	10 IDEXX LABS CORP	P	11/27/12	06/17/13
c	10 IDEXX LABS CORP	P	11/26/12	06/17/13
d	10 IDEXX LABS CORP	P	11/20/12	06/17/13
e	10 IDEXX LABS CORP	P	10/31/12	06/17/13
f	20 IDEXX LABS CORP	P	10/24/12	06/17/13
g	25 F5 NETWORKS INC	P	10/18/12	06/17/13
h	73 COMCAST CORP-CLASS A	P	10/18/12	06/25/13
i	35 GAMESTOP CORP-CLASS A	P	10/18/12	06/25/13
j	8 COMCAST CORP-CLASS A	P	09/24/12	06/25/13
k	57 COMCAST CORP-CLASS A	P	09/24/12	06/25/13
l	75 JPMORGAN CHASE & CO	P	02/28/13	07/01/13
m	125 JPMORGAN CHASE & CO	P	02/05/13	07/01/13
n	65 SOLARWINDS INC	P	02/21/13	07/02/13
o	35 CITRIX SYSTEMS INC	P	10/18/12	07/02/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 745.		1,053.	<308.>
b 917.		930.	<13.>
c 917.		939.	<22.>
d 917.		917.	0.
e 917.		970.	<53.>
f 1,835.		1,920.	<85.>
g 1,862.		2,426.	<564.>
h 2,923.		2,723.	200.
i 1,403.		809.	594.
j 320.		291.	29.
k 2,282.		2,073.	209.
l 3,989.		3,696.	293.
m 6,648.		6,021.	627.
n 2,535.		3,506.	<971.>
o 2,156.		2,302.	<146.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<308.>
b			<13.>
c			<22.>
d			0.
e			<53.>
f			<85.>
g			<564.>
h			200.
i			594.
j			29.
k			209.
l			293.
m			627.
n			<971.>
o			<146.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 CITRIX SYSTEMS INC	P	09/26/12	07/02/13
b	50 DISCOVER FINANCIAL SERVICES	P	09/13/12	07/08/13
c	10 STERICYCLE INC	P	02/15/13	07/10/13
d	15 AMAZON.COM INC	P	10/26/12	07/10/13
e	5 CITRIX SYSTEMS INC	P	10/24/12	07/19/13
f	25 CITRIX SYSTEMS INC	P	10/18/12	07/19/13
g	10 VERTEX PHARMACEUTICALS INC	P	11/05/12	07/22/13
h	5 TIME WARNER CABLE	P	10/18/12	07/22/13
i	14 BIOGEN IDEC INC	P	08/13/12	07/25/13
j	11 BIOGEN IDEC INC	P	07/25/12	07/25/13
k	15 LEAR CORP	P	10/18/12	07/26/13
l	25 HARLEY DAVIDSON INC	P	02/28/13	08/02/13
m	35 HARLEY DAVIDSON INC	P	02/27/13	08/02/13
n	25 HARLEY DAVIDSON INC	P	02/05/13	08/02/13
o	15 GAMESTOP CORP-CLASS A	P	01/02/13	08/02/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,540.		1,859.	<319.>
b 2,513.		1,926.	587.
c 1,145.		966.	179.
d 4,351.		3,535.	816.
e 325.		320.	5.
f 1,625.		1,645.	<20.>
g 882.		461.	421.
h 579.		501.	78.
i 3,208.		2,014.	1,194.
j 2,521.		1,564.	957.
k 1,010.		619.	391.
l 1,464.		1,321.	143.
m 2,050.		1,824.	226.
n 1,464.		1,314.	150.
o 754.		381.	373.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<319.>
b			587.
c			179.
d			816.
e			5.
f			<20.>
g			421.
h			78.
i			1,194.
j			957.
k			391.
l			143.
m			226.
n			150.
o			373.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	40 GAMESTOP CORP-CLASS A	P	10/18/12	08/02/13
b	20 NATIONAL - OILWELL INC	P	10/18/12	08/02/13
c	25 TIME WARNER CABLE	P	10/18/12	08/02/13
d	9 LIBERTY GLOBAL PLC-A	P	04/25/13	08/07/13
e	10 LIBERTY GLOBAL PLC-A	P	04/16/13	08/07/13
f	60 TAIWAN SEMICONDUCTOR-SP ADR	P	06/05/13	08/08/13
g	190 TAIWAN SEMICONDUCTOR-SP ADR	P	04/23/13	08/08/13
h	125 APPLIED MATERIALS INC	P	12/14/12	08/08/13
i	10 BLACKROCK INC	P	02/04/13	08/09/13
j	5 PRECISION CASTPARTS CORP	P	09/24/12	08/09/13
k	5 PRECISION CASTPARTS CORP	P	09/20/12	08/09/13
l	15 EXXON MOBIL CORP	P	10/18/12	08/12/13
m	20 EXXON MOBIL CORP	P	10/12/12	08/12/13
n	15 EXXON MOBIL CORP	P	10/08/12	08/12/13
o	75 EXXON MOBIL CORP	P	09/06/12	08/12/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,012.		925.	1,087.
b 1,428.		1,637.	<209.>
c 2,902.		2,503.	399.
d 716.		686.	30.
e 796.		762.	34.
f 971.		1,123.	<152.>
g 3,074.		3,474.	<400.>
h 1,962.		1,388.	574.
i 2,811.		2,348.	463.
j 1,097.		804.	293.
k 1,097.		805.	292.
l 1,349.		1,402.	<53.>
m 1,798.		1,816.	<18.>
n 1,349.		1,387.	<38.>
o 6,743.		6,668.	75.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,087.
b			<209.>
c			399.
d			30.
e			34.
f			<152.>
g			<400.>
h			574.
i			463.
j			293.
k			292.
l			<53.>
m			<18.>
n			<38.>
o			75.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 EXXON MOBIL CORP	P	09/04/12	08/12/13
b	75 ELECTRONIC ARTS INC	P	02/13/13	08/21/13
c	10 PRECISION CASTPARTS CORP	P	10/18/12	08/21/13
d	25 DIAMOND OFFSHORE DRILLING	P	10/18/12	08/22/13
e	60 DIAMOND OFFSHORE DRILLING	P	10/12/12	08/22/13
f	5 EOG RESOURCES INC	P	12/14/12	08/26/13
g	5 EOG RESOURCES INC	P	12/14/12	08/26/13
h	5 LINKEDIN CORP - A	P	11/06/12	09/04/13
i	25 FACEBOOK INC-A	P	11/08/12	09/05/13
j	45 AXIALL CORP	P	06/26/13	09/10/13
k	95 AXIALL CORP	P	05/22/13	09/10/13
l	1 BIOGEN IDEC INC	P	10/18/12	09/12/13
m	65 EXXON MOBIL CORP	P	10/18/12	09/12/13
n	8 LINKEDIN CORP - A	P	11/07/12	09/17/13
o	10 PRECISION CASTPARTS CORP	P	10/18/12	09/17/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 450.		434.	16.
b 1,993.		1,287.	706.
c 2,159.		1,683.	476.
d 1,628.		1,771.	<143.>
e 3,907.		3,904.	3.
f 790.		598.	192.
g 790.		598.	192.
h 1,195.		521.	674.
i 1,063.		503.	560.
j 1,877.		1,905.	<28.>
k 3,963.		4,528.	<565.>
l 234.		151.	83.
m 5,728.		6,074.	<346.>
n 1,980.		810.	1,170.
o 2,358.		1,683.	675.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			16.
b			706.
c			476.
d			<143.>
e			3.
f			192.
g			192.
h			674.
i			560.
j			<28.>
k			<565.>
l			83.
m			<346.>
n			1,170.
o			675.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	105 MICRON TECHNOLOGY INC	P	12/21/12	09/18/13
b	115 DELTA AIR LINES INC	P	11/06/12	09/18/13
c	60 DISCOVER FINANCIAL SERVICES	P	10/18/12	09/19/13
d	20 STERICYCLE INC	P	03/12/13	09/24/13
e	15 STERICYCLE INC	P	02/21/13	09/24/13
f	150 HUNTSMAN CORP	P	02/28/13	09/25/13
g	25 FACEBOOK INC-A	P	11/09/12	09/25/13
h	25 FACEBOOK INC-A	P	11/08/12	09/25/13
i	10 AMAZON.COM INC	P	10/26/12	09/25/13
j	20 RED HAT INC	P	11/27/12	09/27/13
k	35 RED HAT INC	P	10/18/12	09/27/13
l	125 ABERCROMBIE & FITCH CO-CL A	P	07/15/13	10/01/13
m	25 FACEBOOK INC-A	P	11/09/12	10/03/13
n	5 LINKEDIN CORP - A	P	11/07/12	10/03/13
o	70 HUNTSMAN CORP	P	02/28/13	10/10/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,804.		679.	1,125.
b 2,676.		1,142.	1,534.
c 3,168.		2,418.	750.
d 2,287.		1,971.	316.
e 1,715.		1,438.	277.
f 3,073.		2,591.	482.
g 1,231.		497.	734.
h 1,231.		503.	728.
i 3,135.		2,357.	778.
j 925.		969.	<44.>
k 1,619.		1,834.	<215.>
l 4,463.		6,444.	<1,981.>
m 1,229.		497.	732.
n 1,240.		506.	734.
o 1,508.		1,209.	299.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,125.
b			1,534.
c			750.
d			316.
e			277.
f			482.
g			734.
h			728.
i			778.
j			<44.>
k			<215.>
l			<1,981.>
m			732.
n			734.
o			299.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	65 DELTA AIR LINES INC	P	11/06/12	10/10/13
b	35 ROYAL DUTCH SHELL PLC-ADR	P	10/18/12	10/10/13
c	130 HUNTSMAN CORP	P	02/28/13	10/11/13
d	55 EMC CORP/MASS	P	07/26/13	10/15/13
e	35 ROCK-TENN COMPANY -CL A	P	04/24/13	10/15/13
f	25 FACEBOOK INC-A	P	11/09/12	10/15/13
g	10 PRECISION CASTPARTS CORP	P	10/18/12	10/15/13
h	15 EBAY INC	P	11/07/12	10/16/13
i	125 HELIX ENERGY SOLUTIONS GROUP	P	02/12/13	10/17/13
j	10 EOG RESOURCES INC	P	02/01/13	10/17/13
k	15 EOG RESOURCES INC	P	01/31/13	10/17/13
l	5 EOG RESOURCES INC	P	12/14/12	10/17/13
m	5 CITRIX SYSTEMS INC	P	10/26/12	10/18/13
n	15 CITRIX SYSTEMS INC	P	10/24/12	10/18/13
o	65 EMC CORP/MASS	P	08/01/13	10/24/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,595.		645.	950.
b 2,243.		2,432.	<189.>
c 2,820.		2,245.	575.
d 1,359.		1,453.	<94.>
e 3,710.		3,417.	293.
f 1,248.		497.	751.
g 2,384.		1,683.	701.
h 805.		720.	85.
i 3,021.		3,044.	<23.>
j 1,814.		1,274.	540.
k 2,721.		1,886.	835.
l 907.		598.	309.
m 285.		314.	<29.>
n 855.		960.	<105.>
o 1,545.		1,716.	<171.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			950.
b			<189.>
c			575.
d			<94.>
e			293.
f			751.
g			701.
h			85.
i			<23.>
j			540.
k			835.
l			309.
m			<29.>
n			<105.>
o			<171.>

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	80 EMC CORP/MASS	P	07/26/13	10/24/13
b	50 CAPITAL ONE FINANCIAL CORP	P	03/01/13	10/24/13
c	5 CITRIX SYSTEMS INC	P	11/28/12	10/24/13
d	20 CITRIX SYSTEMS INC	P	10/26/12	10/24/13
e	10 AMAZON.COM INC	P	10/26/12	10/25/13
f	40 SENSATA TECHNOLOGIES HOLDIN	P	06/17/13	10/30/13
g	25 EXXON MOBIL CORP	P	02/13/13	10/31/13
h	25 EXXON MOBIL CORP	P	11/08/12	10/31/13
i	15 ESTEE LAUDER COMPANIES-CL A	P	03/14/13	11/01/13
j	30 SENSATA TECHNOLOGIES HOLDIN	P	08/01/13	11/11/13
k	70 SENSATA TECHNOLOGIES HOLDIN	P	06/17/13	11/11/13
l	25 FACEBOOK INC-A	P	03/14/13	11/11/13
m	40 BROWN & BROWN INC	P	07/11/13	11/12/13
n	65 BROWN & BROWN INC	P	06/19/13	11/12/13
o	25 CITRIX SYSTEMS INC	P	08/30/13	11/14/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,901.		2,114.	<213.>
b 3,528.		2,590.	938.
c 291.		298.	<7.>
d 1,165.		1,256.	<91.>
e 3,582.		2,357.	1,225.
f 1,523.		1,428.	95.
g 2,253.		2,213.	40.
h 2,253.		2,197.	56.
i 1,048.		975.	73.
j 1,114.		1,138.	<24.>
k 2,600.		2,499.	101.
l 1,168.		679.	489.
m 1,256.		1,336.	<80.>
n 2,040.		2,102.	<62.>
o 1,380.		1,779.	<399.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<213.>
b			938.
c			<7.>
d			<91.>
e			1,225.
f			95.
g			40.
h			56.
i			73.
j			<24.>
k			101.
l			489.
m			<80.>
n			<62.>
o			<399.>

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 CITRIX SYSTEMS INC	P	05/01/13	11/14/13
b	30 ROCK-TENN COMPANY -CL A	P	04/24/13	11/14/13
c	13 WW GRAINGER INC	P	03/19/13	11/14/13
d	35 CITRIX SYSTEMS INC	P	02/25/13	11/14/13
e	20 CITRIX SYSTEMS INC	P	11/28/12	11/14/13
f	40 EXPEDITORS INTL WASH INC	P	09/18/13	11/20/13
g	1 BIOGEN IDEC INC	P	11/27/12	11/26/13
h	5 ALLERGAN INC	P	05/01/13	12/03/13
i	10 CAPITAL ONE FINANCIAL CORP	P	03/11/13	12/03/13
j	15 CAPITAL ONE FINANCIAL CORP	P	03/01/13	12/03/13
k	20 WELLPOINT INC	P	12/11/12	12/03/13
l	1 INTUITIVE SURGICAL INC	P	12/21/12	12/06/13
m	30 EVEREST RE GROUP LTD	P	02/15/13	12/16/13
n	5 ALLERGAN INC	P	01/18/11	01/07/13
o	15 ALLERGAN INC	P	12/14/10	01/07/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,380.		1,561.	<181.>
b 2,861.		2,928.	<67.>
c 3,447.		2,899.	548.
d 1,931.		2,542.	<611.>
e 1,104.		1,193.	<89.>
f 1,739.		1,801.	<62.>
g 290.		148.	142.
h 479.		498.	<19.>
i 715.		544.	171.
j 1,072.		777.	295.
k 1,852.		1,178.	674.
l 377.		500.	<123.>
m 4,461.		3,621.	840.
n 492.		361.	131.
o 1,475.		1,061.	414.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<181.>
b			<67.>
c			548.
d			<611.>
e			<89.>
f			<62.>
g			142.
h			<19.>
i			171.
j			295.
k			674.
l			<123.>
m			840.
n			131.
o			414.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3. GOOGLE INC-CL A	P	12/14/10	01/07/13
b	5 UNITEDHEALTH GROUP INC	P	08/08/11	01/08/13
c	20 UNITEDHEALTH GROUP INC	P	06/22/11	01/08/13
d	20 DOLLAR GENERAL CORP	P	12/07/11	01/10/13
e	35 DOLLAR GENERAL CORP	P	09/09/11	01/10/13
f	50 UNITEDHEALTH GROUP INC	P	08/08/11	01/15/13
g	5 CITRIX SYSTEMS INC	P	01/12/11	01/15/13
h	20 CITRIX SYSTEMS INC	P	12/14/10	01/15/13
i	325 MICRON TECHNOLOGY INC	P	10/14/11	01/17/13
j	125 CISCO SYSTEMS INC	P	12/23/11	01/23/13
k	17 UNITEDHEALTH GROUP INC	P	08/25/11	01/23/13
l	15 UNITEDHEALTH GROUP INC	P	08/08/11	01/23/13
m	100 DELTA AIR LINES INC	P	07/22/11	01/23/13
n	10 CITRIX SYSTEMS INC	P	06/22/11	01/23/13
o	15 CITRIX SYSTEMS INC	P	05/19/11	01/23/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,210.		1,790.	420.
b 259.		213.	46.
c 1,035.		1,045.	<10.>
d 859.		780.	79.
e 1,504.		1,213.	291.
f 2,673.		2,132.	541.
g 344.		342.	2.
h 1,378.		1,376.	2.
i 2,532.		1,813.	719.
j 2,585.		2,284.	301.
k 947.		779.	168.
l 836.		640.	196.
m 1,388.		811.	577.
n 690.		767.	<77.>
o 1,034.		1,245.	<211.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			420.
b			46.
c			<10.>
d			79.
e			291.
f			541.
g			2.
h			2.
i			719.
j			301.
k			168.
l			196.
m			577.
n			<77.>
o			<211.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 75 DELTA AIR LINES INC	P	02/23/11	01/23/13
b 50 DELTA AIR LINES INC	P	01/25/11	01/23/13
c 10 CITRIX SYSTEMS INC	P	01/12/11	01/23/13
d 5 DOLLAR GENERAL CORP	P	12/07/11	01/28/13
e 30 BOEING CO/THE	P	11/11/11	01/28/13
f 15 BOEING CO/THE	P	11/02/11	01/28/13
g 100 PFIZER INC	P	12/14/10	01/28/13
h 15 BOEING CO/THE	P	11/14/11	01/30/13
i 25 SCHLUMBERGER LTD	P	12/14/10	01/30/13
j 125 MGM RESORTS INTERNATIONAL	P	01/09/12	01/31/13
k 25 COMCAST CORP-CLASS A	P	02/22/11	01/31/13
l 145 APPLIED MATERIALS INC	P	10/18/11	02/04/13
m 55 APPLIED MATERIALS INC	P	07/15/11	02/04/13
n 60 MCGRAW-HILL COMPANIES INC	P	05/16/11	02/05/13
o 100 APPLIED MATERIALS INC	P	11/02/11	02/11/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,041.		785.	256.
b 694.		585.	109.
c 690.		685.	5.
d 235.		195.	40.
e 2,231.		2,009.	222.
f 1,116.		966.	150.
g 2,692.		1,723.	969.
h 1,118.		1,030.	88.
i 1,971.		2,050.	<79.>
j 1,588.		1,412.	176.
k 954.		632.	322.
l 1,890.		1,676.	214.
m 717.		683.	34.
n 2,811.		2,538.	273.
o 1,362.		1,199.	163.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			256.
b			109.
c			5.
d			40.
e			222.
f			150.
g			969.
h			88.
i			<79.>
j			176.
k			322.
l			214.
m			34.
n			273.
o			163.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 APPLIED MATERIALS INC	P	10/18/11	02/11/13
b	25 ASTRAZENECA PLC-SPONS ADR	P	11/23/11	02/12/13
c	25 ASTRAZENECA PLC-SPONS ADR	P	09/06/11	02/12/13
d	25 ASTRAZENECA PLC-SPONS ADR	P	12/21/10	02/12/13
e	125 ASTRAZENECA PLC-SPONS ADR	P	12/14/10	02/12/13
f	100 ASTRAZENECA PLC-SPONS ADR	P	12/14/10	02/12/13
g	35 DIRECTV	P	08/25/11	02/15/13
h	35 SCHLUMBERGER LTD	P	12/14/10	02/15/13
i	225 MGM RESORTS INTERNATIONAL	P	01/09/12	02/19/13
j	25 MGM RESORTS INTERNATIONAL	P	01/09/12	02/19/13
k	25 BP PLC-SPONS ADR	P	11/22/11	02/20/13
l	50 BP PLC-SPONS ADR	P	10/28/11	02/20/13
m	25 BP PLC-SPONS ADR	P	10/11/11	02/20/13
n	55 BP PLC-SPONS ADR	P	10/07/11	02/20/13
o	35 BP PLC-SPONS ADR	P	09/16/11	02/20/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 340.		289.	51.
b 1,181.		1,077.	104.
c 1,181.		1,114.	67.
d 1,181.		1,145.	36.
e 5,904.		6,121.	<217.>
f 4,723.		4,897.	<174.>
g 1,729.		1,480.	249.
h 2,787.		2,870.	<83.>
i 2,881.		2,542.	339.
j 320.		282.	38.
k 1,030.		1,036.	<6.>
l 2,059.		2,269.	<210.>
m 1,030.		954.	76.
n 2,265.		2,039.	226.
o 1,442.		1,384.	58.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			51.
b			104.
c			67.
d			36.
e			<217.>
f			<174.>
g			249.
h			<83.>
i			339.
j			38.
k			<6.>
l			<210.>
m			76.
n			226.
o			58.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	70 BP PLC-SPONS ADR	P	09/15/11	02/20/13
b	40 BP PLC-SPONS ADR	P	08/19/11	02/20/13
c	60 CISCO SYSTEMS INC	P	02/14/12	02/28/13
d	60 CISCO SYSTEMS INC	P	02/08/12	02/28/13
e	30 CISCO SYSTEMS INC	P	12/23/11	02/28/13
f	25 DELTA AIR LINES INC	P	07/22/11	02/28/13
g	10 APPLE INC	P	12/14/10	02/28/13
h	90 LORILLARD INC	P	10/06/11	03/04/13
i	30 LORILLARD INC	P	08/19/11	03/04/13
j	20 ALTRIA GROUP INC	P	03/18/11	03/04/13
k	30 ALTRIA GROUP INC	P	12/14/10	03/04/13
l	45 BROADCOM CORP-CL A	P	05/24/11	03/06/13
m	20 BROADCOM CORP-CL A	P	03/11/11	03/06/13
n	100 PFIZER INC	P	12/14/10	03/06/13
o	5 LEAR CORP	P	10/05/11	03/11/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,883.		2,751.	132.
b 1,647.		1,556.	91.
c 1,252.		1,193.	59.
d 1,252.		1,210.	42.
e 626.		548.	78.
f 358.		203.	155.
g 4,456.		3,214.	1,242.
h 3,447.		3,411.	36.
i 1,149.		1,086.	63.
j 677.		495.	182.
k 1,016.		744.	272.
l 1,502.		1,475.	27.
m 668.		798.	<130.>
n 2,810.		1,723.	1,087.
o 277.		215.	62.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			132.
b			91.
c			59.
d			42.
e			78.
f			155.
g			1,242.
h			36.
i			63.
j			182.
k			272.
l			27.
m			<130.>
n			1,087.
o			62.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	35 LEAR CORP	P	05/19/11	03/11/13
b	40 LEAR CORP	P	12/14/10	03/11/13
c	15 EOG RESOURCES INC	P	07/22/11	03/14/13
d	10 EOG RESOURCES INC	P	01/20/11	03/14/13
e	175 MICRON TECHNOLOGY INC	P	11/03/11	03/15/13
f	25 MICRON TECHNOLOGY INC	P	10/14/11	03/15/13
g	30 CITIGROUP INC	P	05/16/11	03/21/13
h	40 CITIGROUP INC	P	05/02/11	03/21/13
i	60 CITIGROUP INC	P	04/19/11	03/21/13
j	50 CITIGROUP INC	P	03/30/11	03/21/13
k	20 CITIGROUP INC	P	03/01/11	03/21/13
l	15 VISA INC - CLASS A SHRS	P	08/04/11	03/28/13
m	50 STARBUCKS CORP	P	12/14/10	04/01/13
n	75 CISCO SYSTEMS INC	P	02/14/12	04/02/13
o	35 AT&T INC	P	12/01/11	04/02/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,937.		1,757.	180.
b 2,214.		1,927.	287.
c 1,970.		1,592.	378.
d 1,313.		998.	315.
e 1,648.		992.	656.
f 235.		139.	96.
g 1,380.		1,238.	142.
h 1,840.		1,818.	22.
i 2,760.		2,685.	75.
j 2,300.		2,249.	51.
k 920.		943.	<23.>
l 2,537.		1,285.	1,252.
m 2,851.		1,607.	1,244.
n 1,590.		1,491.	99.
o 1,314.		1,005.	309.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			180.
b			287.
c			378.
d			315.
e			656.
f			96.
g			142.
h			22.
i			75.
j			51.
k			<23.>
l			1,252.
m			1,244.
n			99.
o			309.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15 TIME WARNER CABLE	P	08/24/11	04/02/13
b	5 QUALCOMM INC	P	04/28/11	04/02/13
c	20 QUALCOMM INC	P	04/15/11	04/02/13
d	25 AT&T INC	P	04/07/11	04/02/13
e	15 QUALCOMM INC	P	03/23/11	04/02/13
f	10 TIME WARNER CABLE	P	12/14/10	04/02/13
g	5 PRECISION CASTPARTS CORP	P	11/01/11	04/04/13
h	7 PRECISION CASTPARTS CORP	P	09/13/11	04/04/13
i	3 PRECISION CASTPARTS CORP	P	08/05/11	04/04/13
j	60 GANNETT CO	P	11/23/11	04/05/13
k	60 GANNETT CO	P	10/10/11	04/05/13
l	175 MICRON TECHNOLOGY INC	P	11/03/11	04/08/13
m	2 FLOWSERVE CORPORATION	P	05/06/11	04/08/13
n	2 FLOWSERVE CORPORATION	P	04/14/11	04/08/13
o	25 NOBLE ENERGY INC	P	12/14/10	04/08/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,455.		947.	508.
b 331.		287.	44.
c 1,323.		1,065.	258.
d 939.		762.	177.
e 992.		781.	211.
f 970.		651.	319.
g 923.		793.	130.
h 1,292.		1,140.	152.
i 554.		446.	108.
j 1,216.		626.	590.
k 1,216.		642.	574.
l 1,625.		992.	633.
m 321.		244.	77.
n 321.		254.	67.
o 2,856.		2,060.	796.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			508.
b			44.
c			258.
d			177.
e			211.
f			319.
g			130.
h			152.
i			108.
j			590.
k			574.
l			633.
m			77.
n			67.
o			796.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 LEAR CORP	P	10/05/11	04/09/13
b	100 PFIZER INC	P	12/14/10	04/11/13
c	5 QUALCOMM INC	P	08/25/11	04/18/13
d	25 QUALCOMM INC	P	07/19/11	04/18/13
e	15 QUALCOMM INC	P	04/28/11	04/18/13
f	20 STARBUCKS CORP	P	12/14/10	04/23/13
g	25 WELLS FARGO & COMPANY	P	03/22/12	04/24/13
h	50 WELLS FARGO & COMPANY	P	03/21/12	04/24/13
i	40 WELLS FARGO & COMPANY	P	03/20/12	04/24/13
j	49 CISCO SYSTEMS INC	P	02/28/12	04/24/13
k	1 CISCO SYSTEMS INC	P	02/14/12	04/24/13
l	110 WELLS FARGO & COMPANY	P	02/10/12	04/24/13
m	20 INTUIT INC	P	08/03/11	04/25/13
n	20 INTUIT INC	P	07/25/11	04/25/13
o	20 VERTEX PHARMACEUTICALS INC	P	01/09/12	04/26/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,314.		1,075.	239.
b 3,015.		1,723.	1,292.
c 320.		236.	84.
d 1,598.		1,421.	177.
e 959.		862.	97.
f 1,185.		643.	542.
g 932.		836.	96.
h 1,864.		1,712.	152.
i 1,491.		1,364.	127.
j 1,003.		989.	14.
k 20.		20.	0.
l 4,101.		3,325.	776.
m 1,121.		914.	207.
n 1,121.		975.	146.
o 1,586.		706.	880.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			239.
b			1,292.
c			84.
d			177.
e			97.
f			542.
g			96.
h			152.
i			127.
j			14.
k			0.
l			776.
m			207.
n			146.
o			880.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 VISA INC - CLASS A SHRS	P	08/04/11	05/01/13
b	50 APPLIED MATERIALS INC	P	02/01/12	05/03/13
c	150 APPLIED MATERIALS INC	P	12/22/11	05/03/13
d	50 APPLIED MATERIALS INC	P	11/02/11	05/03/13
e	3 COMCAST CORP-CLASS A	P	01/20/11	05/03/13
f	47 COMCAST CORP-CLASS A	P	01/13/11	05/03/13
g	125 PFIZER INC	P	12/14/10	05/03/13
h	125 KROGER CO	P	01/19/11	05/07/13
i	100 ALTRIA GROUP INC	P	03/18/11	05/10/13
j	100 TYSON FOODS INC-CL A	P	06/30/11	05/15/13
k	50 CITIGROUP INC	P	05/19/11	05/21/13
l	25 WELLS FARGO & COMPANY	P	04/13/12	05/30/13
m	25 WELLS FARGO & COMPANY	P	03/22/12	05/30/13
n	30 EMC CORP/MASS	P	03/16/11	06/06/13
o	25 DANAHER CORP	P	12/14/10	06/06/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,672.		857.	815.
b 745.		628.	117.
c 2,236.		1,568.	668.
d 745.		600.	145.
e 128.		70.	58.
f 2,000.		1,061.	939.
g 3,621.		2,153.	1,468.
h 4,352.		2,682.	1,670.
i 3,657.		2,476.	1,181.
j 2,503.		1,941.	562.
k 2,587.		2,069.	518.
l 1,037.		832.	205.
m 1,037.		836.	201.
n 737.		772.	<35.>
o 1,502.		1,141.	361.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			815.
b			117.
c			668.
d			145.
e			58.
f			939.
g			1,468.
h			1,670.
i			1,181.
j			562.
k			518.
l			205.
m			201.
n			<35.>
o			361.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	50 EMC CORP/MASS	P	12/14/10	06/06/13
b	100 HEWLETT-PACKARD CO	P	12/14/10	06/06/13
c	40 ALTRIA GROUP INC	P	03/18/11	06/07/13
d	25 KROGER CO	P	01/26/11	06/07/13
e	125 KROGER CO	P	01/25/11	06/07/13
f	75 MACY'S INC	P	05/29/12	06/12/13
g	100 APPLIED MATERIALS INC	P	02/01/12	06/12/13
h	15 HERSHEY CO/THE	P	12/15/11	06/12/13
i	20 HARLEY DAVIDSON INC	P	10/26/11	06/12/13
j	115 NV ENERGY INC	P	10/05/11	06/12/13
k	135 NV ENERGY INC	P	08/16/11	06/12/13
l	15 CITRIX SYSTEMS INC	P	08/10/11	06/12/13
m	10 VISA INC - CLASS A SHRS	P	08/04/11	06/12/13
n	50 HEWLETT-PACKARD CO	P	07/15/11	06/12/13
o	5 CITRIX SYSTEMS INC	P	06/22/11	06/12/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,229.		1,133.	96.
b 2,411.		4,169.	<1,758.>
c 1,439.		990.	449.
d 850.		543.	307.
e 4,250.		2,713.	1,537.
f 3,603.		2,900.	703.
g 1,530.		1,256.	274.
h 1,319.		891.	428.
i 1,050.		759.	291.
j 2,703.		1,635.	1,068.
k 3,173.		1,964.	1,209.
l 930.		921.	9.
m 1,790.		857.	933.
n 1,250.		1,760.	<510.>
o 310.		383.	<73.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			96.
b			<1,758.>
c			449.
d			307.
e			1,537.
f			703.
g			274.
h			428.
i			291.
j			1,068.
k			1,209.
l			9.
m			933.
n			<510.>
o			<73.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 20 WELLPOINT INC	P	04/26/11	06/12/13
b 5 WELLPOINT INC	P	04/08/11	06/12/13
c 50 COMCAST CORP-CLASS A	P	03/18/11	06/12/13
d 20 HEWLETT-PACKARD CO	P	02/24/11	06/12/13
e 25 HEWLETT-PACKARD CO	P	02/23/11	06/12/13
f 10 NOBLE ENERGY INC	P	02/23/11	06/12/13
g 15 COMCAST CORP-CLASS A	P	02/22/11	06/12/13
h 75 KROGER CO	P	01/26/11	06/12/13
i 60 VIACOM INC-CLASS B	P	12/16/10	06/12/13
j 3363.041 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV	P	12/14/10	06/12/13
k 5 APPLE INC	P	12/14/10	06/12/13
l 3773.557 BERNSTEIN INTERMEDIATE DURATION PORTFOLI	P	12/14/10	06/12/13
m 2589.603 BERNSTEIN INTERNATIONAL PORTFOLIO	P	12/14/10	06/12/13
n 6340.697 BERNSTEIN SHORT DURATION PLUS PORTFOLIO	P	12/14/10	06/12/13
o 25 DANAHER CORP	P	12/14/10	06/12/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,567.		1,461.	106.
b 392.		345.	47.
c 1,968.		1,205.	763.
d 500.		855.	<355.>
e 625.		1,080.	<455.>
f 573.		445.	128.
g 590.		379.	211.
h 2,578.		1,629.	949.
i 3,924.		2,321.	1,603.
j 33,395.		29,998.	3,397.
k 2,171.		1,607.	564.
l 51,660.		51,320.	340.
m 37,860.		40,165.	<2,305.>
n 74,630.		75,327.	<697.>
o 1,537.		1,141.	396.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			106.
b			47.
c			763.
d			<355.>
e			<455.>
f			128.
g			211.
h			949.
i			1,603.
j			3,397.
k			564.
l			340.
m			<2,305.>
n			<697.>
o			396.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 GOOGLE INC-CL A	P	12/14/10	06/12/13
b	30 HEWLETT-PACKARD CO	P	12/14/10	06/12/13
c	10 NOBLE ENERGY INC	P	12/14/10	06/12/13
d	20 SCHLUMBERGER LTD	P	12/14/10	06/12/13
e	15 STARBUCKS CORP	P	12/14/10	06/12/13
f	25 STARBUCKS CORP	P	12/14/10	06/12/13
g	25 THE WALT DISNEY CO.	P	12/14/10	06/12/13
h	25 HARLEY DAVIDSON INC	P	11/07/11	06/13/13
i	20 HARLEY DAVIDSON INC	P	10/26/11	06/13/13
j	11 FLOWSERVE CORPORATION	P	04/14/11	06/13/13
k	10 APPLE INC	P	12/14/10	06/17/13
l	65 GAMESTOP CORP-CLASS A	P	04/20/11	06/25/13
m	10 GAMESTOP CORP-CLASS A	P	04/20/11	06/25/13
n	15 COMCAST CORP-CLASS A	P	02/22/11	06/25/13
o	47 COMCAST CORP-CLASS A	P	01/20/11	06/25/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,360.		2,983.	1,377.
b 750.		1,251.	<501.>
c 573.		412.	161.
d 1,422.		1,640.	<218.>
e 970.		482.	488.
f 1,617.		803.	814.
g 1,575.		935.	640.
h 1,321.		967.	354.
i 1,057.		759.	298.
j 1,797.		1,398.	399.
k 4,337.		3,214.	1,123.
l 2,605.		1,716.	889.
m 401.		264.	137.
n 601.		379.	222.
o 1,882.		1,093.	789.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,377.
b			<501.>
c			161.
d			<218.>
e			488.
f			814.
g			640.
h			354.
i			298.
j			399.
k			1,123.
l			889.
m			137.
n			222.
o			789.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	26 JPMORGAN CHASE & CO	P	04/30/12	07/01/13
b	25 JPMORGAN CHASE & CO	P	03/23/12	07/01/13
c	25 JPMORGAN CHASE & CO	P	03/22/12	07/01/13
d	30 JPMORGAN CHASE & CO	P	09/13/11	07/01/13
e	25 JPMORGAN CHASE & CO	P	12/21/10	07/01/13
f	19 JPMORGAN CHASE & CO	P	12/14/10	07/01/13
g	10 CITRIX SYSTEMS INC	P	06/12/12	07/02/13
h	20 OCEANEERING INTL INC	P	05/22/12	07/10/13
i	20 VERTEX PHARMACEUTICALS INC	P	06/29/12	07/22/13
j	20 TIME WARNER CABLE	P	12/22/11	07/22/13
k	15 TIME WARNER CABLE	P	11/21/11	07/22/13
l	5 TIME WARNER CABLE	P	08/24/11	07/22/13
m	10 ALLERGAN INC	P	03/17/11	07/24/13
n	20 ALLERGAN INC	P	02/14/11	07/24/13
o	15 ALLERGAN INC	P	01/18/11	07/24/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,383.		1,115.	268.
b 1,330.		1,120.	210.
c 1,330.		1,119.	211.
d 1,596.		984.	612.
e 1,330.		1,025.	305.
f 1,011.		779.	232.
g 616.		762.	<146.>
h 1,577.		956.	621.
i 1,763.		1,102.	661.
j 2,315.		1,262.	1,053.
k 1,737.		901.	836.
l 579.		316.	263.
m 903.		700.	203.
n 1,805.		1,480.	325.
o 1,354.		1,082.	272.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			268.
b			210.
c			211.
d			612.
e			305.
f			232.
g			<146.>
h			621.
i			661.
j			1,053.
k			836.
l			263.
m			203.
n			325.
o			272.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 AFFILIATED MANAGERS GROUP INC	P	06/26/12	07/25/13
b	10 PRECISION CASTPARTS CORP	P	02/06/12	07/25/13
c	5 PRECISION CASTPARTS CORP	P	11/01/11	07/25/13
d	5 MONSANTO CO	P	04/07/11	07/25/13
e	15 MONSANTO CO	P	04/06/11	07/25/13
f	15 MONSANTO CO	P	03/31/11	07/25/13
g	20 LEAR CORP	P	07/09/12	07/26/13
h	15 ALLERGAN INC	P	03/31/11	08/01/13
i	5 ALLERGAN INC	P	03/17/11	08/01/13
j	20 NATIONAL - OILWELL INC	P	04/13/12	08/02/13
k	20 NATIONAL - OILWELL INC	P	03/28/12	08/02/13
l	20 NATIONAL - OILWELL INC	P	03/27/12	08/02/13
m	10 HARLEY DAVIDSON INC	P	11/07/11	08/02/13
n	150 APPLIED MATERIALS INC	P	06/04/12	08/08/13
o	25 QUALCOMM INC	P	02/29/12	08/08/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,741.		1,025.	716.
b 2,253.		1,712.	541.
c 1,126.		793.	333.
d 505.		342.	163.
e 1,515.		1,046.	469.
f 1,515.		1,085.	430.
g 1,346.		732.	614.
h 1,368.		1,068.	300.
i 456.		350.	106.
j 1,428.		1,579.	<151.>
k 1,428.		1,553.	<125.>
l 1,428.		1,566.	<138.>
m 586.		387.	199.
n 2,354.		1,505.	849.
o 1,644.		1,558.	86.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			716.
b			541.
c			333.
d			163.
e			469.
f			430.
g			614.
h			300.
i			106.
j			<151.>
k			<125.>
l			<138.>
m			199.
n			849.
o			86.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	20 QUALCOMM INC	P	08/25/11	08/08/13
b	9 HERSHEY CO/THE	P	12/22/11	08/19/13
c	6 HERSHEY CO/THE	P	12/15/11	08/19/13
d	10 VIACOM INC-CLASS B	P	06/03/11	08/19/13
e	35 VIACOM INC-CLASS B	P	12/16/10	08/19/13
f	15 THE WALT DISNEY CO.	P	08/10/11	08/21/13
g	25 THE WALT DISNEY CO.	P	07/08/11	08/21/13
h	25 THE WALT DISNEY CO.	P	04/06/11	08/21/13
i	10 THE WALT DISNEY CO.	P	12/14/10	08/21/13
j	15 THE WALT DISNEY CO.	P	11/14/11	09/10/13
k	20 THE WALT DISNEY CO.	P	08/10/11	09/10/13
l	4 BIOGEN IDEC INC	P	08/13/12	09/12/13
m	25 VIACOM INC-CLASS B	P	06/10/11	09/17/13
n	15 VIACOM INC-CLASS B	P	06/03/11	09/17/13
o	50 DISCOVER FINANCIAL SERVICES	P	09/13/12	09/19/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,315.		945.	370.
b 852.		549.	303.
c 568.		356.	212.
d 780.		498.	282.
e 2,730.		1,354.	1,376.
f 922.		468.	454.
g 1,536.		989.	547.
h 1,536.		1,056.	480.
i 615.		374.	241.
j 939.		545.	394.
k 1,252.		624.	628.
l 937.		576.	361.
m 2,080.		1,210.	870.
n 1,248.		747.	501.
o 2,640.		1,926.	714.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			370.
b			303.
c			212.
d			282.
e			1,376.
f			454.
g			547.
h			480.
i			241.
j			394.
k			628.
l			361.
m			870.
n			501.
o			714.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	80 FIDELITY NATIONAL FINANCIAL IN	P	09/13/12	09/20/13
b	10 MCKESSON CORP	P	05/02/12	09/20/13
c	15 MONSANTO CO	P	04/26/11	09/23/13
d	10 MONSANTO CO	P	04/07/11	09/23/13
e	15 RED HAT INC	P	07/11/12	09/27/13
f	25 EBAY INC	P	08/24/12	10/02/13
g	4 PRICELINE.COM INC	P	05/17/12	10/03/13
h	5 INTERCONTINENTALEXCHANGE INC	P	08/02/12	10/09/13
i	50 ROYAL DUTCH SHELL PLC-ADR	P	09/18/12	10/10/13
j	10 AFFILIATED MANAGERS GROUP INC	P	06/27/12	10/10/13
k	5 AFFILIATED MANAGERS GROUP INC	P	06/26/12	10/10/13
l	10 PHILIP MORRIS INTERNATIONAL	P	08/08/12	10/15/13
m	25 PHILIP MORRIS INTERNATIONAL	P	07/25/12	10/15/13
n	10 COGNIZANT TECH SOLUTIONS-A	P	04/24/12	10/15/13
o	15 COGNIZANT TECH SOLUTIONS-A	P	04/20/12	10/15/13

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,072.		1,592.	480.
b	1,312.		900.	412.
c	1,589.		1,002.	587.
d	1,059.		684.	375.
e	694.		769.	<75.>
f	1,388.		1,180.	208.
g	4,204.		2,588.	1,616.
h	945.		636.	309.
i	3,205.		3,624.	<419.>
j	1,874.		1,047.	827.
k	937.		513.	424.
l	858.		913.	<55.>
m	2,145.		2,180.	<35.>
n	863.		722.	141.
o	1,295.		1,083.	212.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			480.
b			412.
c			587.
d			375.
e			<75.>
f			208.
g			1,616.
h			309.
i			<419.>
j			827.
k			424.
l			<55.>
m			<35.>
n			141.
o			212.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	20 COGNIZANT TECH SOLUTIONS-A	P	04/16/12	10/15/13
b	55 COGNIZANT TECH SOLUTIONS-A	P	04/10/12	10/15/13
c	35 EBAY INC	P	08/24/12	10/16/13
d	10 CELGENE CORP	P	08/21/12	10/23/13
e	2 PRICELINE.COM INC	P	05/17/12	10/23/13
f	5 BIOGEN IDEC INC	P	10/18/12	10/30/13
g	20 NOBLE ENERGY INC	P	03/08/11	10/30/13
h	10 NOBLE ENERGY INC	P	02/23/11	10/30/13
i	30 EXXON MOBIL CORP	P	10/18/12	10/31/13
j	35 ESTEE LAUDER COMPANIES-CL A	P	10/18/12	11/01/13
k	15 ESTEE LAUDER COMPANIES-CL A	P	09/26/12	11/01/13
l	15 ESTEE LAUDER COMPANIES-CL A	P	07/25/12	11/01/13
m	20 MACY'S INC	P	10/18/12	11/04/13
n	100 MACY'S INC	P	06/07/12	11/04/13
o	25 MACY'S INC	P	05/29/12	11/04/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,727.		1,463.	264.
b 4,749.		4,143.	606.
c 1,879.		1,652.	227.
d 1,591.		713.	878.
e 2,148.		1,294.	854.
f 1,259.		754.	505.
g 1,526.		917.	609.
h 763.		445.	318.
i 2,703.		2,803.	<100.>
j 2,446.		2,261.	185.
k 1,048.		910.	138.
l 1,048.		787.	261.
m 930.		811.	119.
n 4,649.		3,666.	983.
o 1,162.		967.	195.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			264.
b			606.
c			227.
d			878.
e			854.
f			505.
g			609.
h			318.
i			<100.>
j			185.
k			138.
l			261.
m			119.
n			983.
o			195.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	125 HEWLETT-PACKARD CO	P	08/05/11	11/07/13
b	10 CIT GROUP INC	P	01/10/12	11/11/13
c	60 CIT GROUP INC	P	12/21/11	11/11/13
d	55 CIT GROUP INC	P	12/19/11	11/11/13
e	2 CHIPOTLE MEXICAN GRILL-CL A	P	10/18/12	11/12/13
f	1 CHIPOTLE MEXICAN GRILL-CL A	P	07/31/12	11/12/13
g	15 WELLPOINT INC	P	07/26/12	11/14/13
h	70 WELLPOINT INC	P	07/25/12	11/14/13
i	15 WELLPOINT INC	P	02/06/12	11/14/13
j	30 WELLPOINT INC	P	08/24/11	11/14/13
k	15 WELLPOINT INC	P	05/18/11	11/14/13
l	15 WELLPOINT INC	P	04/29/11	11/14/13
m	5 WELLPOINT INC	P	04/26/11	11/14/13
n	5 BOEING CO/THE	P	02/16/12	11/20/13
o	15 BOEING CO/THE	P	01/10/12	11/20/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,237.		4,060.	<823.>
b 484.		370.	114.
c 2,904.		2,102.	802.
d 2,662.		1,873.	789.
e 1,067.		571.	496.
f 533.		292.	241.
g 1,332.		794.	538.
h 6,215.		3,763.	2,452.
i 1,332.		971.	361.
j 2,664.		1,797.	867.
k 1,332.		1,214.	118.
l 1,332.		1,145.	187.
m 444.		365.	79.
n 670.		376.	294.
o 2,009.		1,125.	884.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<823.>
b			114.
c			802.
d			789.
e			496.
f			241.
g			538.
h			2,452.
i			361.
j			867.
k			118.
l			187.
m			79.
n			294.
o			884.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	20 BOEING CO/THE	P	11/25/11	11/20/13
b	60 WELLPOINT INC	P	11/14/12	11/22/13
c	10 WELLPOINT INC	P	07/26/12	11/22/13
d	90 FIDELITY NATIONAL FINANCIAL IN	P	10/18/12	11/25/13
e	20 FIDELITY NATIONAL FINANCIAL IN	P	09/13/12	11/25/13
f	9 BIOGEN IDEC INC	P	10/18/12	11/26/13
g	15 THE WALT DISNEY CO.	P	11/18/11	11/27/13
h	15 THE WALT DISNEY CO.	P	11/14/11	11/27/13
i	15 WELLPOINT INC	P	11/14/12	12/03/13
j	35 ALLERGAN INC	P	10/18/12	12/03/13
k	25 UNITEDHEALTH GROUP INC	P	08/15/12	12/04/13
l	4 INTUITIVE SURGICAL INC	P	11/28/12	12/06/13
m	2 INTUITIVE SURGICAL INC	P	11/27/12	12/06/13
n	10 INTUITIVE SURGICAL INC	P	11/14/12	12/06/13
o	2 INTUITIVE SURGICAL INC	P	09/21/12	12/06/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,678.		1,260.	1,418.
b 5,544.		3,311.	2,233.
c 924.		529.	395.
d 2,561.		2,069.	492.
e 569.		398.	171.
f 2,609.		1,357.	1,252.
g 1,061.		534.	527.
h 1,061.		545.	516.
i 1,389.		828.	561.
j 3,350.		3,329.	21.
k 1,841.		1,311.	530.
l 1,508.		2,120.	<612.>
m 754.		1,058.	<304.>
n 3,769.		5,303.	<1,534.>
o 754.		1,029.	<275.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,418.
b			2,233.
c			395.
d			492.
e			171.
f			1,252.
g			527.
h			516.
i			561.
j			21.
k			530.
l			<612.>
m			<304.>
n			<1,534.>
o			<275.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 5 INTUITIVE SURGICAL INC		P	08/08/12	12/06/13
b CST BRANDS INC - CIL		P	01/01/01	05/10/13
c LIBERTY GLOBAL PLC-A - CIL		P	01/01/01	06/28/13
d LIBERTY GLOBAL PLC-SERIES C - CIL		P	01/01/01	06/28/13
e 498,000 NORWEGIAN GOVT BD ISIN NO0010226962 DTD 0		P	01/25/13	06/11/13
f 580,000 SWEDISH GOVT SER 1049 BD ISIN SE000125013		P	03/06/13	06/11/13
g 40,000 INTERNATIONAL BK FOR RECON & DEV SR UNSECD		P	01/03/13	07/09/13
h 30,000 INTERNATIONAL BK FOR RECON & DEV SR UNSECD		P	01/03/13	07/08/13
i 25,000 INTERNATIONAL BK FOR RECON & DEV SR UNSECD		P	01/03/13	07/03/13
j 48,000 NEW S WALES TREAS CORP SR UNSECD EX-DIV BD		P	01/11/13	06/20/13
k 100,000 AT&T INC SR UNSECD BD DTD 02/13/12 0.875%		P	12/26/12	04/16/13
l 100,000 BARCLAYS BK PLC SR NT UNITED KINGDOM DTD		P	12/19/12	05/22/13
m 50,000 BARRICK GOLD CORP SR UNSECD NT SER WI DTD		P	06/05/13	12/02/13
n 100,000 CATERPILLAR INC UNSECD SR NT DTD 05/27/11		P	12/26/12	05/22/13
o 100,000 DISNEY WALT CO NEW SR UNSECD MTN DTD 12/0		P	11/29/12	12/26/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,884.		2,506.	<622.>
b 21.			21.
c 39.			39.
d 13.			13.
e 91,838.		97,064.	<5,226.>
f 94,714.		98,000.	<3,286.>
g 17,063.		20,210.	<3,147.>
h 12,793.		15,158.	<2,365.>
i 10,646.		12,631.	<1,985.>
j 44,034.		50,459.	<6,425.>
k 100,326.		100,243.	83.
l 101,132.		101,725.	<593.>
m 52,347.		51,389.	958.
n 100,975.		101,398.	<423.>
o 100,528.		100,858.	<330.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<622.>
b			21.
c			39.
d			13.
e			<5,226.>
f			<3,286.>
g			<3,147.>
h			<2,365.>
i			<1,985.>
j			<6,425.>
k			83.
l			<593.>
m			958.
n			<423.>
o			<330.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	50,000 DOW CHEM CO SR UNSECD NT DTD 08/07/09 5.90	P	12/04/12	06/24/13
b	100,000 GENERAL ELEC CO UNSECD SR NT DTD 10/09/12	P	11/29/12	07/03/13
c	50,000 GENERAL MLS INC SR UNSECD NT DTD 03/17/08	P	07/19/13	12/26/13
d	100,000 JPMORGAN CHASE & CO NT DTD 06/29/11 3.150	P	11/29/12	07/02/13
e	100,000 METLIFE INC SR UNSECD NT DTD 08/06/10 2.3	P	11/29/12	05/22/13
f	109,000 NEW ZEALAND GOVT EX-DIV BD SER 415 ISIN N	P	02/05/13	06/10/13
g	76,936.15 UNITED STATES TREAS NT INFLATION INDEX	P	04/16/13	07/22/13
h	37,871.69 UNITED STATES TREAS NT INFLATION INDEX	P	01/31/13	07/22/13
i	115,786.6 UNITED STATES TREAS NT INFLATION INDEX	P	01/31/13	07/15/13
j	40,000 UNITED STATES TREAS NT DTD 07/15/13 0.625%	P	07/22/13	09/19/13
k	75,000 UNITED STATES TREAS NT DTD 07/15/13 0.625%	P	07/22/13	08/27/13
l	100,000 UNITED TECHNOLOGIES CORP SR UNSECD NT DTD	P	12/26/12	05/20/13
m	100,000 WELLS FARGO & CO NEW UNSECD MEDIUM TERM S	P	01/15/13	09/27/13
n	95 AT&T INC COM	P	05/29/13	10/21/13
o	538 AT&T INC COM	P	04/24/13	10/21/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 54,179.		55,353.	<1,174.>
b 99,933.		100,093.	<160.>
c 52,745.		53,723.	<978.>
d 103,962.		106,171.	<2,209.>
e 101,315.		102,127.	<812.>
f 91,089.		98,821.	<7,732.>
g 79,857.		82,327.	<2,470.>
h 39,310.		40,753.	<1,443.>
i 119,839.		124,600.	<4,761.>
j 40,000.		40,049.	<49.>
k 74,780.		75,091.	<311.>
l 101,679.		101,551.	128.
m 103,265.		105,377.	<2,112.>
n 3,331.		3,418.	<87.>
o 18,865.		19,898.	<1,033.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,174.>
b			<160.>
c			<978.>
d			<2,209.>
e			<812.>
f			<7,732.>
g			<2,470.>
h			<1,443.>
i			<4,761.>
j			<49.>
k			<311.>
l			128.
m			<2,112.>
n			<87.>
o			<1,033.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	4 APPLE INC COM	P	05/29/13	06/25/13
b	11 APPLE INC COM	P	04/24/13	06/25/13
c	10 APPLE INC COM	P	01/07/13	06/25/13
d	55 BHP BILLITON LTD SPONSORED ADR AUSTRALIA	P	04/24/13	05/07/13
e	54 BHP BILLITON LTD SPONSORED ADR AUSTRALIA	P	01/07/13	05/07/13
f	25 BRISTOL MYERS SQUIBB CO COM	P	05/29/13	11/01/13
g	126 BRISTOL MYERS SQUIBB CO COM	P	04/24/13	11/01/13
h	203 BRISTOL MYERS SQUIBB CO COM	P	05/29/13	06/25/13
i	13 CHEVRON CORP COM	P	01/07/13	03/12/13
j	37 CHEVRON CORP COM	P	01/07/13	03/11/13
k	22 DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	01/07/13	03/19/13
l	49 DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	01/07/13	03/18/13
m	25 DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	01/07/13	03/15/13
n	36 DIGITAL RLTY TR INC REITS	P	05/29/13	06/14/13
o	15 DIGITAL RLTY TR INC REITS	P	01/07/13	06/14/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,599.		1,789.	<190.>
b 4,398.		4,503.	<105.>
c 3,998.		5,215.	<1,217.>
d 3,763.		3,635.	128.
e 3,695.		4,297.	<602.>
f 1,312.		1,168.	144.
g 6,611.		5,240.	1,371.
h 9,164.		9,481.	<317.>
i 1,544.		1,424.	120.
j 4,390.		4,053.	337.
k 2,701.		2,564.	137.
l 5,946.		5,710.	236.
m 3,035.		2,913.	122.
n 2,173.		2,196.	<23.>
o 905.		1,029.	<124.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<190.>
b			<105.>
c			<1,217.>
d			128.
e			<602.>
f			144.
g			1,371.
h			<317.>
i			120.
j			337.
k			137.
l			236.
m			122.
n			<23.>
o			<124.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	75 DIGITAL RLTY TR INC REITS	P	04/24/13	06/13/13
b	55 DIGITAL RLTY TR INC REITS	P	01/07/13	06/13/13
c	24 DOVER CORP COM	P	01/07/13	03/13/13
d	40 DOVER CORP COM	P	01/07/13	03/12/13
e	146 HEINZ H J CO COM	P	01/07/13	03/07/13
f	119 HOME DEPOT INC COM	P	05/29/13	09/20/13
g	140 INTEL CORP COM	P	01/07/13	02/07/13
h	44 INTERNATIONAL BUSINESS MACHS COM	P	05/29/13	07/17/13
i	107 KINDER MORGAN INC DEL COM	P	05/29/13	09/06/13
j	203 KINDER MORGAN INC DEL COM	P	04/24/13	09/06/13
k	196 KINDER MORGAN INC DEL COM	P	01/07/13	09/06/13
l	1 MEREDITH CORP COM	P	01/07/13	03/20/13
m	35 MEREDITH CORP COM	P	01/07/13	03/19/13
n	37 MEREDITH CORP COM	P	01/07/13	03/18/13
o	24 MEREDITH CORP COM	P	01/07/13	03/15/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,459.		5,476.	<1,017.>
b 3,270.		3,774.	<504.>
c 1,757.		1,608.	149.
d 2,929.		2,680.	249.
e 10,584.		8,506.	2,078.
f 9,181.		9,463.	<282.>
g 2,906.		2,978.	<72.>
h 8,551.		9,159.	<608.>
i 3,837.		4,236.	<399.>
j 7,280.		8,125.	<845.>
k 7,029.		7,272.	<243.>
l 36.		34.	2.
m 1,272.		1,186.	86.
n 1,335.		1,254.	81.
o 869.		813.	56.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,017.>
b			<504.>
c			149.
d			249.
e			2,078.
f			<282.>
g			<72.>
h			<608.>
i			<399.>
j			<845.>
k			<243.>
l			2.
m			86.
n			81.
o			56.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	33 NORDSTROM INC COM	P	05/29/13	08/07/13
b	71 NORDSTROM INC COM	P	04/24/13	08/07/13
c	67 NORDSTROM INC COM	P	01/07/13	08/07/13
d	42 OCCIDENTAL PETE CORP DEL COM	P	05/29/13	09/06/13
e	52 OCCIDENTAL PETE CORP DEL COM	P	04/24/13	09/06/13
f	76 PHILIP MORRIS INTL INC COM	P	04/24/13	06/25/13
g	0.372 ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DU	P	05/29/13	10/08/13
h	11 ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTCH	P	05/29/13	09/09/13
i	73 ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTCH	P	01/07/13	09/09/13
j	134 ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTC	P	01/07/13	09/06/13
k	36 SEMPRA ENERGY COM	P	04/24/13	07/18/13
l	40 SEMPRA ENERGY COM	P	01/07/13	01/08/13
m	121 VERIZON COMMUNICATIONS INC COM	P	04/24/13	06/25/13
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,943.		1,960.	<17.>
b 4,181.		3,933.	248.
c 3,945.		3,653.	292.
d 3,748.		3,979.	<231.>
e 4,641.		4,395.	246.
f 6,557.		7,201.	<644.>
g 24.		24.	0.
h 709.		745.	<36.>
i 4,705.		5,042.	<337.>
j 8,703.		9,255.	<552.>
k 3,067.		2,940.	127.
l 2,840.		2,879.	<39.>
m 6,052.		6,255.	<203.>
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<17.>
b			248.
c			292.
d			<231.>
e			246.
f			<644.>
g			0.
h			<36.>
i			<337.>
j			<552.>
k			127.
l			<39.>
m			<203.>
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	91,369.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► File a separate application for each return.
► Information about Form 8868 and its instructions is at www.irs.gov/form8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension • check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
	THE HAVEN FOUNDATION	Employer identification number (EIN) or 20-4356938
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. C/O MARKS PANETH LLP, 685 THIRD AVE., NO. 5TH FL	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10017	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

C/O MARK LEVENFUS, MARKS PANETH LLP

- The books are in the care of ► **685 THIRD AVENUE - NEW YORK, NY 10017**

Telephone No. ► **212-503-8822**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2013** or
► ☐ tax year beginning _____, and ending _____

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	2,395.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	6,000.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
Type or print Name of exempt organization or other filer, see instructions. THE HAVEN FOUNDATION	Employer identification number (EIN) or 20-4356938
File by the due date for filing your return. See instructions. Number, street, and room or suite no. If a P.O. box, see instructions. C/O MARKS PANETH LLP, 685 THIRD AVE., NO. 5TH FL	Social security number (SSN)
City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10017	

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

C/O MARK LEVENFUS, MARKS PANETH LLP

• The books are in the care of **685 THIRD AVENUE - NEW YORK, NY 10017**

Telephone No. **212-503-8822**

Fax No.

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014.**

5 For calendar year **2013**, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS REQUESTED TO GATHER INFORMATION TO PREPARE A COMPLETE AND ACCRUATE TAX RETURN

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	2,411.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	6,000.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **[Signature]** Title **CPA ESQ.**

Date **8/13/14**