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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

**2013**

**Open to Public Inspection**

**For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013**

Name of foundation
The Quinn Foundation

% CONNIE BARNETT

**A Employer identification number**
20-4268916

Number and street (or P O box number if mail is not delivered to street address) 3101 West 142nd Street Suite
Room/suite

**B** Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code
Overland Park, KS 66224

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here ▶ ☐
**2.** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 11,861,763

**J** Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | 440,974 | | | |
| | 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | 405 | 405 | | |
| | 4 | Dividends and interest from securities | 283,882 | 283,882 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 528,980 | | | |
| | b | Gross sales price for all assets on line 6a 6,014,961 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 716,985 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 1,254,241 | 1,001,272 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 0 | | | |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | 21,906 | 0 | 0 | 21,336 |
| | b | Accounting fees (attach schedule) | 4,750 | 0 | 0 | 4,750 |
| | c | Other professional fees (attach schedule) | 41,268 | 41,268 | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) | 26,008 | 9,908 | | 16,100 |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 7,606 | 743 | | 6,863 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 101,538 | 51,919 | 0 | 49,049 |
| | 25 | Contributions, gifts, grants paid | 911,350 | | | 911,350 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 1,012,888 | 51,919 | 0 | 960,399 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 241,353 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 949,353 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|---|
| Assets | 1 | Cash—non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 319,424 | 841,518 | 841,518 |
| | 3 | Accounts receivable ▶ ________<br>Less allowance for doubtful accounts ▶ ________ | | | |
| | 4 | Pledges receivable ▶ ________<br>Less allowance for doubtful accounts ▶ ________ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ ________<br>Less allowance for doubtful accounts ▶ ________ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | | | |
| | c | Investments—corporate bonds (attach schedule) | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ ________<br>Less accumulated depreciation (attach schedule) ▶ ________ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 9,628,093 | 9,358,085 | 11,020,245 |
| | 14 | Land, buildings, and equipment basis ▶ ________<br>Less accumulated depreciation (attach schedule) ▶ ________ | | | |
| | 15 | Other assets (describe ▶ ________ ) | | | |
| | 16 | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 9,947,517 | 10,199,603 | 11,861,763 |
| Liabilities | 17 | Accounts payable and accrued expenses | | 2,500 | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ________ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | | 2,500 | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here** ▶ ☑ **and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | 9,947,517 | 10,197,103 | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 0 | | |
| | 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 9,947,517 | 10,197,103 | |
| | 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 9,947,517 | 10,199,603 | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 9,947,517 |
| 2 | Enter amount from Part I, line 27a | 2 | 241,353 |
| 3 | Other increases not included in line 2 (itemize) ▶ ________ | 3 | 8,233 |
| 4 | Add lines 1, 2, and 3 | 4 | 10,197,103 |
| 5 | Decreases not included in line 2 (itemize) ▶ ________ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 10,197,103 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 a | PUBLICLY TRADED SECURITIES | | | |
| b | PUBLICLY TRADED SECURITIES | | | |
| c | PUBLICLY TRADED SECURITIES | | | |
| d | PUBLICLY TRADED SECURITIES | | | |
| e | CAPITAL GAIN DIVIDENDS | P | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 1,730,661 | | 1,650,820 | 79,841 |
| b | 3,364,228 | | 3,003,626 | 360,602 |
| c | 683,385 | | 643,530 | 39,855 |
| d | 717 | | | 717 |
| e | | | | 235,970 |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | 79,841 |
| b | | | | 360,602 |
| c | | | | 39,855 |
| d | | | | 717 |
| e | | | | |

| | | | | |
|---|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | 716,985 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2012 | 172,909 | 9,033,902 | 0 01914 |
| 2011 | 261,633 | 7,153,628 | 0 036573 |
| 2010 | 77,343 | 6,502,065 | 0 011895 |
| 2009 | 88,823 | 2,782,513 | 0 031922 |
| 2008 | 458,443 | 4,942,068 | 0 092763 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d). | 2 | 0 192293 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 038459 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. | 4 | 11,098,297 |
| 5 | Multiply line 4 by line 3. | 5 | 426,829 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). | 6 | 9,494 |
| 7 | Add lines 5 and 6. | 7 | 436,323 |
| 8 | Enter qualifying distributions from Part XII, line 4. | 8 | 960,399 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)** | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b | 1 | 9,494 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 9,494 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 9,494 |
| 6 | Credits/Payments | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 13,241 | | |
| b | Exempt foreign organizations—tax withheld at source — 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 13,241 |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 3,747 |
| 11 | Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 3,747 **Refunded** ▶ | 11 | |

**Part VII-A** **Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>**(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities.* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>● By language in the governing instrument, or<br>● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions)<br>▶KS | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | | No |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.* | 10 | Yes | |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶N/A

14 The books are in care of ▶CONNIE BARNETT Telephone no ▶(913) 754-5440

Located at ▶6201 COLLEGE BOULEVARD SUITE 250 OVERLAND PARK KS ZIP+4 ▶66211

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | | | Yes | No |
|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | |
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions ) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)***

| | | | | |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | **5b** | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | **6b** | | No |
| | *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No | | | |
| **b** | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | **7b** | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| Chuck Laue<br>3101 West 142nd Street<br>Overland Park, KS 66224 | President<br>1 0 | 0 | 0 | 0 |
| Jennifer Laue<br>3101 West 142nd Street<br>Overland Park, KS 66224 | Secretary<br>1 0 | 0 | 0 | 0 |
| Scott Martinsen<br>6201 COLLEGE BOULEVARD SUITE 250<br>OVERLAND PARK, KS 66211 | Director<br>1 0 | 0 | 0 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . ▶ | | |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| **1** N/A | |
| **2** | |
| **3** | |
| **4** | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| **1** N/A | |
| **2** | |
| All other program-related investments See page 24 of the instructions | |
| **3** | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 11,054,813 |
| b | Average of monthly cash balances | 1b | 212,494 |
| c | Fair market value of all other assets (see instructions) | 1c | 0 |
| d | **Total** (add lines 1a, b, and c) | 1d | 11,267,307 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 11,267,307 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 169,010 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 11,098,297 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 554,915 |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 554,915 |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 9,494 | | |
| b | Income tax for 2013 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 9,494 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 545,421 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 545,421 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 545,421 |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 960,399 |
| b | Program-related investments—total from Part IX-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | 0 |
| b | Cash distribution test (attach the required schedule) | 3b | 0 |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 960,399 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 9,494 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 950,905 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|
| **1** Distributable amount for 2013 from Part XI, line 7 | | | | 545,421 |
| **2** Undistributed income, if any, as of the end of 2013 | | | | |
| **a** Enter amount for 2012 only. . . . . . . | | | 128,980 | |
| **b** Total for prior years 2011 , 2010 , 2009 | | 0 | | |
| **3** Excess distributions carryover, if any, to 2013 | | | | |
| **a** From 2008. . . . . . . | | | | |
| **b** From 2009. . . . . . . | | | | |
| **c** From 2010. . . . . . . | | | | |
| **d** From 2011. . . . . . . | | | | |
| **e** From 2012. . . . . . . | | | | |
| **f Total** of lines 3a through e. . . . . . . . . | | | | |
| **4** Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 960,399 | | | | |
| **a** Applied to 2012, but not more than line 2a | | | 128,980 | |
| **b** Applied to undistributed income of prior years (Election required—see instructions). . . . . . | | | | |
| **c** Treated as distributions out of corpus (Election required—see instructions). . . . . . . . . | | | | |
| **d** Applied to 2013 distributable amount. . . . . | | | | 545,421 |
| **e** Remaining amount distributed out of corpus | 285,998 | | | |
| **5** Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | | | |
| **6 Enter the net total of each column as indicated below:** | | | | |
| **a** Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 285,998 | | | |
| **b** Prior years' undistributed income Subtract line 4b from line 2b . . . . . . . . . . . | | 0 | | |
| **c** Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . | | | | |
| **d** Subtract line 6c from line 6b Taxable amount —see instructions . . . . . . . . . . . | | 0 | | |
| **e** Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . . . . . . . . . . . | | | | |
| **f** Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . . . . . . . | | | | 0 |
| **7** Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . . . . . . . . . | | | | |
| **8** Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . | | | | |
| **9 Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a . . . . . . | 285,998 | | | |
| **10** Analysis of line 9 | | | | |
| **a** Excess from 2009. . . . | | | | |
| **b** Excess from 2010. . . . | | | | |
| **c** Excess from 2011. . . . | | | | |
| **d** Excess from 2012. . . . | | | | |
| **e** Excess from 2013. . . . 285,998 | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | **(a)** 2013 | **(b)** 2012 | **(c)** 2011 | **(d)** 2010 | **(e) Total** |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . . . . . . . | | | | | |
| **b** 85% of line 2a . . . . . . . . . . | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed . . . . . | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . . . . . . . . . | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets . . . . . . | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . | | | | | |
| **c** "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| See Additional Data Table | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 911,350 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

**Part XVI-A Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments . . . . . . . . . . . . . | | | 14 | 405 | |
| 4 Dividends and interest from securities. . . . | | | 14 | 283,882 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. . . . . . | | | | | |
| b Not debt-financed property. . . . . | | | | | |
| 6 Net rental income or (loss) from personal property . . . . . . . . . . . . . . | | | | | |
| 7 Other investment income. . . . . | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory . . . . . . . . . . . . . . | | | 18 | 528,980 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory. . | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). . | | | | 813,267 | |

**13 Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . . **13** 813,267

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

**1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

| | | Yes | No |
|---|---|---|---|
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| **(1)** Cash. | 1a(1) | | No |
| **(2)** Other assets. | 1a(2) | | No |
| **b** Other transactions | | | |
| **(1)** Sales of assets to a noncharitable exempt organization. | 1b(1) | | No |
| **(2)** Purchases of assets from a noncharitable exempt organization. | 1b(2) | | No |
| **(3)** Rental of facilities, equipment, or other assets. | 1b(3) | | No |
| **(4)** Reimbursement arrangements. | 1b(4) | | No |
| **(5)** Loans or loan guarantees. | 1b(5) | | No |
| **(6)** Performance of services or membership or fundraising solicitations. | 1b(6) | | No |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |

**d** If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| **(a)** Line No | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

**b** If "Yes," complete the following schedule

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-05-12 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr )? ☑ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ☐ | PTIN |
|---|---|---|---|---|
| Firm's name | JMW & ASSOCIATES LLC | | Firm's EIN | |
| Firm's address | 6400 Glenwood Suite 100 Overland Park, KS 66202 | | Phone no (913) 499-4920 | |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| GREAT PLAINS SPCA PO Box 140202<br>Kansas City, MO 64114 | NO RELATIONSHIP | PC | ANIMAL WELFARE | 602,350 |
| THE NATURE CONSERVANCY OF KANSAS 700 SW JACKSON ST STE 804<br>TOPEKA, KS 66603 | NO RELATIONSHIP | PC | PUBLIC WELFARE | 15,000 |
| NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH ST<br>NEW YORK, NY 10011 | NO RELATIONSHIP | PC | PUBLIC WELFARE | 2,500 |
| THE WILDERNESS SOCIETY 1615 M STREET NW<br>WASHINGTON, DC 20036 | NO RELATIONSHIP | PC | PUBLIC WELFARE | 2,500 |
| COLORADO STATE UNIVERSITY FOUNDATION 510 UNIVERSITY SERVICES CENTER<br>601 SOUTH HOWES STREET<br>FORT COLLINS, CO 80523 | NO RELATIONSHIP | PC | VTH RENOVATION | 200,000 |
| CRISTO REY KANSAS CITY 211 W LINWOOD BLVD<br>KANSAS CITY, MO 64111 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| DAWGS N' TEXAS PO BOX 911<br>DALHART, TX 79022 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| DEFENDERS OF WILDLIFE 1130 17TH ST NW<br>WASHINGTON, DC 20036 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 1,500 |
| AFRICAN WILDLIFE FOUNDATION 1400 SIXTEENTH STREET NW SUITE 120<br>WASHINGTON, DC 20036 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 5,500 |
| AIDS SERVICE FOUNDATION PO BOX 32192<br>KANSAS CITY, MO 64171 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| AMERICAN RED CROSS 2025 E STREET NW<br>WASHINTON, DC 20006 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| ANIMAL FRIENDS RESCUE PROJECT PO BOX 51083<br>PACIFIC GROVE, CA 93950 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| FARM SANCTUARY 3100 AIKENS ROAD<br>WATKINS GLEN, NY 14891 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| FRIENDS OF ANIMALS 777 POST ROAD SUITE 205<br>DARIEN, CT 06820 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| HARVESTERS 3801 TOPPING AVENUE<br>KANSAS CITY, MO 64129 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 911,350 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| HEART OF AMERICA COUNCIL BSA<br>10210 HOLMES ROAD<br>KANSAS CITY, MO 64131 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 3,000 |
| HELP HUMANE SOCIETY 17122 BEL RAY PL<br>BELTON, MO 64012 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| KANSAS CITY HOSPICE 9221 WARD PARKWAY SUITE 100<br>KANSAS CITY, MO 64114 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| KC CARE CLINIC 3515 BROADWAY<br>KANSAS CITY, MO 64111 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 5,500 |
| MERCY FOR ANIMALS 8033 SUNSET BLVD STE 864<br>LOS ANGELES, CA 90046 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| MOCSA 3100 BROADWAY SUITE 400<br>KANSAS CITY, MO 64111 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 30,500 |
| MUTTVILLE PO BOX 410207<br>SAN FRANCISCO, CA 94141 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| NAPERVILLE AREA HUMANE SOCIETY 1620 W DIEHL ROAD<br>NAPERVILLE, IL 60563 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| NATIONAL WILDLIFE FEDERATION<br>11100 WILDLIFE CENTER DRIVE<br>RESTON, VA 20190 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 1,000 |
| NO KILL ADVOCACY CENTER 6114 LA SALLE AVE 837<br>OAKLAND, CA 94611 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 11,500 |
| NUTRITIONFACTSORG 700 PROFESSIONAL DRIVE<br>GAITHERSBURG, MD 20879 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| OCEAN CONSERVANCY 1300 19TH STREET NW<br>8TH FLOOR<br>WASHINGTON, DC 20036 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| OPERATION BREAKTHROUGH 3039 TROOST<br>KANSAS CITY, MO 64109 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| OPERATION WILDLIFE 23375 GUTHRIE RD<br>LINWOOD, KS 66052 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| OZANAM 421 EAST 137TH ST<br>KANSAS CITY, MO 64145 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 911,350 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| PCRM 5100 WISCONSIN AVE NW SUITE 400<br>WASHINGTON, DC 20016 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| SAVE INC PO BOX 45301<br>KANSAS CITY, MO 64171 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| SPAY NEUTER KANSAS CITY 1116 E 59TH STREET<br>KANSAS CITY, MO 64110 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 5,000 |
| THE HUMANE SOCIETY OF THE UNITED STATES 2100 L STREET NW<br>WASHINGTON, DC 20037 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 15,000 |
| THE MARINE MAMMAL CENTER PO BOX 778<br>MOSS LANDING, CA 95039 | NO RELATIONSHIP | PC | GENERAL OPERATING FUND | 500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 911,350 |

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**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2013**

| **Name of the organization** | **Employer identification number** |
|---|---|
| The Quinn Foundation | 20-4268916 |

**Organization type** (check one)

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☑ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**Name of organization**
The Quinn Foundation

**Employer identification number**
20-4268916

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | CHARLES A JENNIFER D LAUE<br>3101 WEST 142ND STREET<br>LEAWOOD, KS 66224 | $ 285,076 | Person ☑<br>Payroll ☐<br>Noncash ☑<br>(Complete Part II for noncash contributions ) |
| 2 | CHARLES A JENNIFER D LAUE<br>3101 WEST 142ND STREET<br>Leawood, KS 66224 | $ 155,898 | Person ☑<br>Payroll ☐<br>Noncash ☑<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |

**Name of organization**
The Quinn Foundation

**Employer identification number**
20-4268916

**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| 1 | 338 SH CTRP, 231 SH EOG, 192 SH GS, 998 SH ILMN, 89 SH LNKD, 474 SH RHHBY, 1128 SH CRM | $ 285,076 | 2013-09-26 |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| 2 | 3395 SH CMCSA | $ 155,898 | 2013-11-12 |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

**Name of organization**
The Quinn Foundation

**Employer identification number**
20-4268916

**Part III** ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc ,
contributions of **$1,000 or less** for the year (Enter this information once See instructions ) ▶ $
Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

| (e) Transfer of gift | |
|---|---|
| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

| (e) Transfer of gift | |
|---|---|
| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

| (e) Transfer of gift | |
|---|---|
| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

| (e) Transfer of gift | |
|---|---|
| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
| | |

# TY 2013 Accounting Fees Schedule

**Name:** The Quinn Foundation

**EIN:** 20-4268916

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| JMW & ASSOCIATES | 4,750 | | | 4,750 |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2013 Depreciation Schedule

**Name:** The Quinn Foundation

**EIN:** 20-4268916

| Description of Property | Date Acquired | Cost or Other Basis | Prior Years' Depreciation | Computation Method | Rate / Life (# of years) | Current Year's Depreciation Expense | Net Investment Income | Adjusted Net Income | Cost of Goods Sold Not Included |
|---|---|---|---|---|---|---|---|---|---|

# TY 2013 Explanation of Non-Filing with Attorney General Statement

**Name:** The Quinn Foundation

**EIN:** 20-4268916

**Statement:** Foundation is exempt from filing under the Kansas Regulations.

# TY 2013 Investments - Land Schedule

**Name:** The Quinn Foundation

**EIN:** 20-4268916

# TY 2013 Investments - Other Schedule

**Name:** The Quinn Foundation

**EIN:** 20-4268916

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| MORGAN STANLEY | AT COST | 9,358,085 | 11,020,245 |

# TY 2013 Land, Etc. Schedule

**Name:** The Quınn Foundatıon

**EIN:** 20-4268916

# TY 2013 Legal Fees Schedule

**Name:** The Quinn Foundation

**EIN:** 20-4268916

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| LEGAL/PF SUPPORT FEES | 21,906 | 0 | 0 | 21,336 |

# TY 2013 Other Expenses Schedule

**Name:** The Quinn Foundation

**EIN:** 20-4268916

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| INSURANCE | 6,759 | 26 | | 6,733 |
| CT CORPORATION | 130 | | | 130 |
| SPDR GOLD TR INVESTMENT FEES | 717 | 717 | | |

# TY 2013 Other Increases Schedule

**Name:** The Quinn Foundation

**EIN:** 20-4268916

| Description | Amount |
|---|---|
| BOOK ADJUSTMENT | 8,233 |

# TY 2013 Other Professional Fees Schedule

**Name:** The Quinn Foundation

**EIN:** 20-4268916

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| INVESTMENT ADVISOR FEES | 41,268 | 41,268 | | |

# TY 2013 Substantial Contributors Schedule

**Name:** The Quinn Foundation

**EIN:** 20-4268916

| Name | Address |
|---|---|
| CHARLES A JENNIFER D LAUE | 3101 WEST 142ND STREET<br>LEAWOOD, KS 66224 |
| CHARLES A JENNIFER D LAUE | 3101 WEST 142ND STREET<br>Leawood, KS 66224 |

# TY 2013 Taxes Schedule

**Name:** The Quinn Foundation

**EIN:** 20-4268916

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FEDERAL INCOME TAX | 23,000 | 6,900 | | 16,100 |
| FOREIGN TAXES | 3,008 | 3,008 | | |

Morgan Stanley

Corporate Tax Statement
Tax Year 2013

MSB FBO THF QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

**This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.**

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| SPDR GOLD TR GOLD SHS | CUSIP: 78463V107 | | Symbol (Box 1d) | | | | | | |
| | 0 000 | | 01/07/13 | $68 65 | $0 00 | $0 00 | $0 00 | $0 00 | CT |
| | 0 000 | | 02/21/13 | $70 94 | $0 00 | $0 00 | $0 00 | $0 00 | CT |
| | 0 000 | | 03/12/13 | $68 92 | $0 00 | $0 00 | $0 00 | $0 00 | CT |
| | 0 000 | | 04/09/13 | $70 58 | $0 00 | $0 00 | $0 00 | $0 00 | CT |
| | 0 000 | | 05/10/13 | $72 84 | $0 00 | $0 00 | $0 00 | $0 00 | CT |
| | 0 000 | | 06/17/13 | $63 70 | $0 00 | $0 00 | $0 00 | $0 00 | CT |
| | 0 000 | | 07/10/13 | $62 72 | $0 00 | $0 00 | $0 00 | $0 00 | CT |
| | 0 000 | | 08/15/13 | $57 73 | $0 00 | $0 00 | $0 00 | $0 00 | CT |
| | 0 000 | | 09/19/13 | $61 23 | $0 00 | $0 00 | $0 00 | $0 00 | CT |
| | 0 000 | | 10/14/13 | $62 98 | $0 00 | $0 00 | $0 00 | $0 00 | CT |
| | 0 000 | | 11/13/13 | $56 36 | $0 00 | $0.00 | $0 00 | $0 00 | CT |
| Security Subtotal | 0.000 | | | $716.65 | $0.00 | $0.00 | $0.00 | $0.00 | |
| Total Short Term Noncovered Securities | | | | $716.65 | $0 00 | $0.00 | $0.00 | $0 00 | |
| Total Short Term Covered and Noncovered Securities | | | | $684,102 02 | $643,529.58 | $0 00 | $39,855.79 | $0 00 | |





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Morgan Stanley

Corporate Tax Statement
Tax Year 2013

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Long Term - Covered Securities

(Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| ALLIANCE LTD DUR HI INC ADV | CUSIP 018528331 | | Symbol (Box 1d) ALHYX | | | | | | |
| | 19,801 980 | 06/06/12 | 10/10/13 | $210,297 03 | $200,000 00 | $0 00 | $10,297 03 | $0 00 | |
| ALLIANCEBER GLOBAL BOND ADV | CUSIP 01853W709 | | Symbol (Box 1d): ANAYX | | | | | | |
| | 47 094 | 01/20/12 | 10/14/13 | $388 05 | $393 71 | $0 00 | ($5 66) | $0 00 | |
| | 67 967 | 02/17/12 | 10/14/13 | $560 05 | $572 28 | $0 00 | ($12 23) | $0 00 | |
| | 68 438 | 03/20/12 | 10/14/13 | $563 93 | $572 14 | $0 00 | ($8 21) | $0 00 | |
| | 79 923 | 04/20/12 | 10/14/13 | $658 57 | $673 75 | $0 00 | ($15 18) | $0 00 | |
| | 70 329 | 05/18/12 | 10/14/13 | $579 51 | $592 17 | $0 00 | ($12 66) | $0 00 | |
| | 11,848 341 | 06/06/12 | 10/14/13 | $97 630 33 | $100,000 00 | $0 00 | ($2,369 67) | $0 00 | |
| | 83 482 | 06/20/12 | 10/14/13 | $687 89 | $704 59 | $0 00 | ($16 70) | $0 00 | |
| | 109 860 | 07/20/12 | 10/14/13 | $905 25 | $943 70 | $0 00 | ($38 45) | $0 00 | |
| | 91 525 | 08/20/12 | 10/14/13 | $754 18 | $783 45 | $0 00 | ($29 27) | $0 00 | |
| **Security Subtotal** | **12,466 959** | | | **$102,727 76** | **$105,235 79** | **$0 00** | **($2,508 03)** | **$0 00** | |
| COMCAST CORP CL A SPECIAL NEW | CUSIP 20030N200 | | Symbol (Box 1d) CMCSK | | | | | | |
| | 1 850 000 | 05/21/12 | 12/04/13 | $87,597 83 | $51 922 84 | $0 00 | $35 674 99 | $0 00 | |
| | 1,545 000 | 06/25/12 | 12/04/13 | $73,156 02 | $46,389 86 | $0 00 | $26,766 16 | $0 00 | |
| **Security Subtotal** | **3,395 000** | | | **$160,753 85** | **~~$98,312 70~~** | **$0 00** | **$62,441 15** | **$0 00** | |
| FEDERATED BOND INSTL | CUSIP 31420F509 | | Symbol (Box 1d) FDBIX | | | | | | |
| | 155 148 | 01/24/12 | 10/11/13 | $1,441 32 | $1 428 91 | $0 00 | $12 41 | $0 00 | |
| | 149 324 | 02/24/12 | 10/11/13 | $1,387 22 | $1,400 66 | $0 00 | ($13 44) | $0 00 | |
| | 150 609 | 03/26/12 | 10/11/13 | $1 399 16 | $1,406 69 | $0 00 | ($7 53) | $0 00 | |
| | 141 295 | 04/24/12 | 10/11/13 | $1 312 63 | $1 325 35 | $0 00 | ($12 72) | $0 00 | |
| | 145 197 | 05/24/12 | 10/11/13 | $1 348 88 | $1,351 78 | $0 00 | ($2 90) | $0 00 | |
| | 26 852 846 | 06/06/12 | 10/11/13 | $249,462 94 | $250 000 00 | $0 00 | ($537 06) | $0 00 | |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities (Continued)** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| FEDERATED BOND INSTL | 257 574 | 06/25/12 | 10/11/13 | $2,392 86 | $2,416 04 | $0 00 | ($23 18) | $0 00 | |
| | 263 733 | 07/24/12 | 10/11/13 | $2,450 08 | $2,513 38 | $0 00 | ($63 30) | $0 00 | |
| | 246,965 | 08/24/12 | 10/11/13 | $2 294 29 | $2,348 64 | $0.00 | ($54 35) | $0 00 | |
| **Security Subtotal** | **28,362 691** | | | **$263,489 38** | **$264,191 45** | **$0 00** | **($702 07)** | **$0 00** | |
| FIDELITY ADV INFLAT-PROT BD I | CUSIP 316146810 | | Symbol (Box 1d) FIPIX | | | | | | |
| | 1 751 | 01/31/12 | 09/26/13 | $21 68 | $22 82 | $0 00 | ($1 14) | $0 00 | |
| | 0 680 | 02/29/12 | 09/26/13 | $8 42 | $8 81 | $0 00 | ($0 39) | $0 00 | |
| | 1 031 | 03/30/12 | 09/26/13 | $12 76 | $13 23 | $0 00 | ($0 47) | $0 00 | |
| | 1 771 | 04/30/12 | 09/26/13 | $21 92 | $23 17 | $0 00 | ($1 25) | $0 00 | |
| | 23 890 | 05/11/12 | 09/26/13 | $295 76 | $312 48 | $0 00 | ($16 72) | $0 00 | |
| | 3 762 | 05/31/12 | 09/26/13 | $46 57 | $49 96 | $0 00 | ($3 39) | $0 00 | |
| | 2 664 | 06/29/12 | 09/26/13 | $32 98 | $35 17 | $0 00 | ($2 19) | $0 00 | |
| | 2.618 | 07/31/12 | 09/26/13 | $32.41 | $35.19 | $0.00 | ($2.78) | $0.00 | |
| **Security Subtotal** | **38 167** | | | **$472 50** | **$500 83** | **$0 00** | **($28 33)** | **$0 00** | |
| FIDELITY ADV REAL ESTATE I | CUSIP: 315918292 | | Symbol (Box 1d) FHEIX | | | | | | |
| | 12 434 | 03/02/12 | 10/11/13 | $253 40 | $225 82 | $0 00 | $27 58 | $0 00 | |
| | 27.471 | 06/01/12 | 10/11/13 | $559.97 | $496.41 | $0.00 | $63.56 | $0.00 | |
| **Security Subtotal** | **39 905** | | | **$813 37** | **$722 23** | **$0 00** | **$91 14** | **$0 00** | |
| FIDELITY ADV STRAT INC I | CUSIP 315920801 | | Symbol (Box 1d) FSRIX | | | | | | |
| | 157 983 | 01/31/12 | 10/14/13 | $1 957 41 | $1,966 86 | $0 00 | ($9 45) | $0 00 | |
| | 49 524 | 02/10/12 | 10/14/13 | $613 60 | $617 06 | $0 00 | ($3 46) | $0 00 | |
| | 149 738 | 02/29/12 | 10/14/13 | $1 855 25 | $1,882 39 | $0 00 | ($27 14) | $0 00 | |
| | 164 530 | 03/30/12 | 10/14/13 | $2 038 53 | $2 056 72 | $0 00 | ($18 19) | $0 00 | |
| | 109 213 | 04/30/12 | 10/14/13 | $1 353 15 | $1 376 09 | $0 00 | ($22 94) | $0 00 | |

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STATEMENT 14

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )
(Continued)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| FIDELITY ADV STRAT INC I | 170 906 | 05/31/12 | 10/14/13 | $2,117 53 | $2,119 23 | $0 00 | ($1 70) | $0 00 | |
| | 155 903 | 06/29/12 | 10/14/13 | $1,931 64 | $1,951 91 | $0 00 | ($20 27) | $0 00 | |
| | 158 428 | 07/31/12 | 10/14/13 | $1,962 90 | $2,012 04 | $0 00 | ($49 14) | $0 00 | |
| **Security Subtotal** | **1,116.225** | | | **$13,830 01** | **$13,982.30** | **$0 00** | **($152 29)** | **$0.00** | |
| INVESCO SHORT TERM BOND Y | **CUSIP** 00142C136 | | **Symbol (Box 1d)** STBYX | | | | | | |
| | 34,642 032 | 06/06/12 | 10/10/13 | $299 999 65 | $300,000 00 | $0 00 | ($0 35) | $0 00 | |
| ISHARES IBOXX INVEST GR COR BD | **CUSIP:** 464287242 | | **Symbol (Box 1d)** LQD | | | | | | |
| | 1 769 000 | 06/06/12 | 07/18/13 | $203,084 21 | $204,754 14 | $0 00 | ($1,669 93) | $0 00 | |
| LORD ABBETT BOND DEB F | **CUSIP** 544004609 | | **Symbol (Box 1d)** LBDFX | | | | | | |
| | 357 336 | 01/31/12 | 10/10/13 | $2,915 86 | $2,805 09 | $0 00 | $110 77 | $0 00 | |
| | 361 961 | 02/29/12 | 10/10/13 | $2,953 60 | $2 884 83 | $0 00 | $68 77 | $0 00 | |
| | 373 522 | 03/30/12 | 10/10/13 | $3 047 94 | $2,962 03 | $0 00 | $85 91 | $0 00 | |
| | 348 622 | 04/30/12 | 10/10/13 | $2,844 75 | $2,764 57 | $0 00 | $80 18 | $0 00 | |
| | 372 590 | 05/31/12 | 10/10/13 | $3,040 33 | $2 887 57 | $0 00 | $152 76 | $0 00 | |
| | 383 953 | 06/29/12 | 10/10/13 | $3,133 05 | $3,006 35 | $0 00 | $126 70 | $0 00 | |
| | 363 408 | 07/31/12 | 10/10/13 | $2,966 12 | $2,874 56 | $0 00 | $91 56 | $0 00 | |
| **Security Subtotal** | **2,561 392** | | | **$20,901 65** | **$20,185 00** | **$0.00** | **$716 65** | **$0 00** | |
| OPPENHEIMER INTL BOND Y | **CUSIP** 68380T509 | | **Symbol (Box 1d)** OIBYX | | | | | | |
| | 49 286 | 01/31/12 | 10/11/13 | $302 12 | $313 95 | $0 00 | ($11 83) | $0 00 | |
| | 69 128 | 02/29/12 | 10/11/13 | $423 75 | $441 73 | $0 00 | ($17 98) | $0 00 | |
| | 79 365 | 03/30/12 | 10/11/13 | $486 51 | $502 38 | $0 00 | ($15 87) | $0 00 | |
| | 66 255 | 04/30/12 | 10/11/13 | $406 14 | $422 71 | $0 00 | ($16 57) | $0 00 | |
| | 74 779 | 05/31/12 | 10/11/13 | $458 39 | $462 88 | $0 00 | ($4 49) | $0 00 | |

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STATEMENT 14

# Morgan Stanley

Corporate Tax Statement
Tax Year 2013

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Long Term - Covered Securities (Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| OPPENHEIMER INTL BOND Y | 32,154 341 | 06/06/12 | 10/11/13 | $197,105 79 | $199,999 68 | $0 00 | ($2,893 89) | $0 00 | |
| | 152 457 | 06/29/12 | 10/11/13 | $934 56 | $960 48 | $0 00 | ($25 92) | $0 00 | |
| | 173 200 | 07/31/12 | 10/11/13 | $1,062.28 | $1,115 41 | $0 00 | ($53.13) | $0 00 | |
| **Security Subtotal** | **32,818 811** | | | **$201,179 54** | **$204,219 22** | **$0 00** | **($3,039.68)** | **$0 00** | |
| RS HIGH YIELD A | CUSIP 74972K625 | | Symbol (Box 1d): GUHYX | | | | | | |
| | 165 572 | 01/31/12 | 05/21/13 | $1,233 51 | $1,145 76 | $0 00 | $87 75 | $0 00 | |
| | 165 414 | 02/29/12 | 05/21/13 | $1,232 33 | $1 166 17 | $0 00 | $66 16 | $0 00 | |
| | 160 575 | 03/30/12 | 05/21/13 | $1,196 28 | $1 122 42 | $0 00 | $73 86 | $0 00 | |
| | 167 429 | 04/30/12 | 05/21/13 | $1,247 34 | $1 173 68 | $0 00 | $73 66 | $0 00 | |
| | 34,377 114 | 06/06/12 | 06/11/13 | $248,202 76 | $234,108.15 | $0.00 | $14,094 61 | $0 00 | |
| **Security Subtotal** | **35,036 104** | | | **$253,112.22** | **$238,716.18** | **$0 00** | **$14,396.04** | **$0.00** | |
| **Total Long Term Covered Securities** | | | | **$1,730,661.17** | **~~$1,650,819.84~~** 1,708,465.41 | **$0.00** | **$79,841.33** | **$0 00** | |



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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

**OMB NO. 1545-0715**

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| ALLIANCEBER GLOBAL BOND ADV | CUSIP 01853W709 | | Symbol (Box 1d): ANAYX | | | | | | |
| | 14,667 458 | 08/06/10 | 10/14/13 | $120 859 85 | $123,500 00 | $0 00 | ($2,640 15) | $0 00 | |
| | 17 787 | 08/20/10 | 10/14/13 | $146 56 | $150 66 | $0 00 | ($4 10) | $0 00 | |
| | 42 271 | 09/20/10 | 10/14/13 | $348 31 | $356 77 | $0 00 | ($8 46) | $0 00 | |
| | 43 368 | 10/20/10 | 10/14/13 | $357 35 | $370 36 | $0 00 | ($13 01) | $0 00 | |
| | 47 302 | 11/19/10 | 10/14/13 | $389 77 | $399 23 | $0 00 | ($9 46) | $0 00 | |
| | 11 820 331 | 11/23/10 | 10/14/13 | $97 399 53 | $100,000 00 | $0 00 | ($2,600 47) | $0 00 | |
| | 69 508 | 12/20/10 | 10/14/13 | $572 75 | $581 09 | $0 00 | ($8 34) | $0 00 | |
| | 30 416 | 12/31/10 | 10/14/13 | $250 63 | $254 89 | $0 00 | ($4 26) | $0 00 | |
| | 56 731 | 01/20/11 | 10/14/13 | $467 46 | $473 14 | $0 00 | ($5 68) | $0 00 | |
| | 94 395 | 02/18/11 | 10/14/13 | $777 81 | $786 31 | $0 00 | ($8 50) | $0 00 | |
| | 76 796 | 03/18/11 | 10/14/13 | $632 80 | $641 25 | $0 00 | ($8 45) | $0 00 | |
| | 88 685 | 04/20/11 | 10/14/13 | $730 76 | $740 52 | $0 00 | ($9 76) | $0 00 | |
| | 93 384 | 05/20/11 | 10/14/13 | $769 48 | $787 23 | $0 00 | ($17 75) | $0 00 | |
| | 84 631 | 06/20/11 | 10/14/13 | $697 36 | $713 44 | $0 00 | ($16 08) | $0 00 | |
| | 85 335 | 07/20/11 | 10/14/13 | $703 16 | $721 93 | $0 00 | ($18 77) | $0 00 | |
| | 87 609 | 08/19/11 | 10/14/13 | $721 90 | $745 55 | $0 00 | ($23 65) | $0 00 | |
| | 78 785 | 09/20/11 | 10/14/13 | $649 19 | $667 31 | $0 00 | ($18 12) | $0 00 | |
| | 70 422 | 10/20/11 | 10/14/13 | $580 28 | $588 02 | $0 00 | ($7 74) | $0 00 | |
| | 62 533 | 11/18/11 | 10/14/13 | $515 27 | $524 03 | $0 00 | ($8 76) | $0 00 | |
| | 328 893 | 12/08/11 | 10/14/13 | $2,710 08 | $2 739 68 | $0 00 | ($29 60) | $0 00 | |
| | 56 965 | 12/20/11 | 10/14/13 | $469 39 | $473 95 | $0 00 | ($4 56) | $0 00 | |
| | 10 631 | 12/30/11 | 10/14/13 | $87 60 | $88 66 | $0 00 | ($1 06) | $0 00 | |
| Security Subtotal | 28,014 236 | | | $230,837 29 | $236,304 02 | $0 00 | ($5,466 73) | $0 00 | |
| CTRIP COM INTL LTD | CUSIP 22943F100 | | Symbol (Box 1d): CTRP | | | | | | |

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Morgan Stanley

Corporate Tax Statement
Tax Year 2013

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
**(Continued)**

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| CTRIP COM INTL LTD | 338 000 | 07/18/12 | 09/27/13 | $19,212 46 | ~~$4,922 26~~ | $0 00 | $14,290 20 | $0 00 | |
| EOG RESOURCES INC | CUSIP: 26875P101 | | Symbol (Box 1d) EOG | | | | | | |
| | 48 000 | 05/24/12 | 09/27/13 | $8,186 51 | $4,842 05 | $0 00 | $3,344 46 | $0 00 | |
| | 50 000 | 05/25/12 | 09/27/13 | $8,527 61 | $5,063 50 | $0 00 | $3,464 11 | $0 00 | |
| | 133 000 | 06/28/12 | 09/27/13 | $22,683 44 | $11,232 18 | $0 00 | $11,451 26 | $0 00 | |
| Security Subtotal | 231 000 | | | $39,397 56 | $21,137 73 | $0 00 | $18,259 83 | $0 00 | |
| FEDERATED BOND INSTL | CUSIP: 31420F509 | | Symbol (Box 1d): FDBIX | | | | | | |
| | 21,505 376 | 11/23/10 | 10/11/13 | $199,784 94 | $200,000 00 | $0 00 | ($215 06) | $0 00 | |
| | 112 903 | 11/24/10 | 10/11/13 | $1 048 87 | $1,038 71 | $0 00 | $10 16 | $0 00 | |
| | 196 443 | 12/27/10 | 10/11/13 | $1 824 96 | $1,785 67 | $0 00 | $39 29 | $0 00 | |
| | 99 766 | 01/24/11 | 10/11/13 | $926 83 | $911 86 | $0 00 | $14 97 | $0 00 | |
| | 10 905 125 | 01/25/11 | 10/11/13 | $101 308 61 | $100 000 00 | $0 00 | $1,308 61 | $0 00 | |
| | 147 688 | 02/24/11 | 10/11/13 | $1,372 02 | $1 358 73 | $0 00 | $13 29 | $0 00 | |
| | 143 897 | 03/24/11 | 10/11/13 | $1,336 80 | $1,325 29 | $0 00 | $11 51 | $0 00 | |
| | 149 823 | 04/25/11 | 10/11/13 | $1 391 86 | $1,387 36 | $0 00 | $4 50 | $0 00 | |
| | 143 870 | 05/24/11 | 10/11/13 | $1 336 55 | $1 343 75 | $0 00 | ($7 20) | $0 00 | |
| | 152 626 | 06/24/11 | 10/11/13 | $1 417 90 | $1,416 37 | $0 00 | $1 53 | $0 00 | |
| | 145 006 | 07/25/11 | 10/11/13 | $1 347 11 | $1 345 66 | $0 00 | $1 45 | $0 00 | |
| | 149 090 | 08/24/11 | 10/11/13 | $1,385 05 | $1,358 21 | $0 00 | $26 84 | $0 00 | |
| | 142 805 | 09/26/11 | 10/11/13 | $1,326 66 | $1,306 67 | $0 00 | $19 99 | $0 00 | |
| | 133 443 | 10/24/11 | 10/11/13 | $1,239 69 | $1,217 00 | $0 00 | $22 69 | $0 00 | |
| | 150 508 | 11/25/11 | 10/11/13 | $1 398 22 | $1 365 11 | $0 00 | $33 11 | $0 00 | |
| | 236 299 | 12/27/11 | 10/11/13 | $2,195 22 | $2,152 68 | $0 00 | $42 54 | $0 00 | |
| Security Subtotal | 34,514 668 | | | $320,641 29 | $319,313 07 | $0 00 | $1,328 22 | $0 00 | |

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STATEMENT 14

Morgan Stanley

Corporate Tax Statement
Tax Year 2013

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Long Term - Noncovered Securities # (Continued)

(Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| FIDELITY ADV INFLAT-PROT BD I | CUSIP 316146810 | | Symbol (Box 1d) FIPIX | | | | | | |
| | 16 780 826 | 11/23/10 | 09/26/13 | $207,746 63 | $199,999 93 | $0 00 | $7,746 70 | $0 00 | |
| | 0 734 | 11/30/10 | 09/26/13 | $9 09 | $8 73 | $0 00 | $0 36 | $0 00 | |
| | 118 833 | 12/17/10 | 09/26/13 | $1,471 15 | $1,378 21 | $0 00 | $92 94 | $0 00 | |
| | 12 185 | 12/31/10 | 09/26/13 | $150 85 | $141 44 | $0 00 | $9 41 | $0 00 | |
| | 11 654 | 01/31/11 | 09/26/13 | $144 28 | $135 40 | $0 00 | $8 88 | $0 00 | |
| | 9 234 | 02/28/11 | 09/26/13 | $114 32 | $108 02 | $0 00 | $6 30 | $0 00 | |
| | 8 912 | 03/31/11 | 09/26/13 | $110 33 | $105 24 | $0 00 | $5 09 | $0 00 | |
| | 7 340 | 04/29/11 | 09/26/13 | $90 87 | $88 65 | $0 00 | $2 22 | $0 00 | |
| | 80 444 | 05/11/11 | 09/26/13 | $995 90 | $967 62 | $0 00 | $28 28 | $0 00 | |
| | 8 164 | 05/31/11 | 09/26/13 | $101 07 | $98 37 | $0 00 | $2 70 | $0 00 | |
| | 7 531 | 06/30/11 | 09/26/13 | $93 23 | $91 27 | $0 00 | $1 96 | $0 00 | |
| | 7 382 | 07/29/11 | 09/26/13 | $91 39 | $92 86 | $0 00 | ($1 47) | $0 00 | |
| | 5 044 | 08/31/11 | 09/26/13 | $62 44 | $63 91 | $0 00 | ($1 47) | $0 00 | |
| | 2 991 | 09/30/11 | 09/26/13 | $37 03 | $37 84 | $0 00 | ($0 81) | $0 00 | |
| | 2 743 | 10/31/11 | 09/26/13 | $33 96 | $35 38 | $0 00 | ($1 42) | $0 00 | |
| | 1 391 | 11/30/11 | 09/26/13 | $17 22 | $18 02 | $0 00 | ($0 80) | $0 00 | |
| | 287 769 | 12/16/11 | 09/26/13 | $3,562 58 | $3,674 72 | $0 00 | ($112 14) | $0 00 | |
| | 1 483 | 12/30/11 | 09/26/13 | $18 36 | $18 90 | $0.00 | ($0.54) | $0.00 | |
| **Security Subtotal** | **17,354 660** | | | **$214,850 70** | **$207,064.51** | **$0 00** | **$7,786 19** | **$0 00** | |
| FIDELITY ADV REAL ESTATE I | CUSIP 315918292 | | Symbol (Box 1d). FHEIX | | | | | | |
| | 2 664 289 | 09/01/09 | 10/11/13 | $54 298 18 | $28 000 00 | $0 00 | $26 298 18 | $0 00 | |
| | 10 131 | 09/04/09 | 10/11/13 | $206 47 | $107 28 | $0 00 | $99 19 | $0 00 | |
| | 2 193 904 | 10/01/09 | 10/11/13 | $44,711 74 | $25 000 00 | $0 00 | $19,711 74 | $0 00 | |

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STATEMENT 14

Corporate Tax Statement
Tax Year 2013

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York NY 10001
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )
**(Continued)**

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| FIDELITY ADV REAL ESTATE I | 25 501 | 12/18/09 | 10/11/13 | $519 71 | $323 44 | $0 00 | $196 27 | $0 00 | |
| | 3 732 | 12/30/09 | 10/11/13 | $76 06 | $49 26 | $0 00 | $26 80 | $0 00 | |
| | 8 368 | 03/05/10 | 10/11/13 | $170 54 | $113 39 | $0 00 | $57 15 | $0 00 | |
| | 13 024 | 06/04/10 | 10/11/13 | $265 43 | $177 79 | $0 00 | $87 64 | $0 00 | |
| | 14 935 | 09/03/10 | 10/11/13 | $304 38 | $232 73 | $0 00 | $71 65 | $0 00 | |
| | 6,413 166 | 11/23/10 | 10/11/13 | $130 700 26 | $99,999 98 | $0 00 | $30,700 28 | $0 00 | |
| | 62 679 | 12/17/10 | 10/11/13 | $1,277 40 | $993 75 | $0 00 | $283 65 | $0 00 | |
| | 338 332 | 09/02/11 | 10/11/13 | $6,895 20 | $5,398 20 | $0 00 | $1,497 00 | $0 00 | |
| | 58 884 | 12/16/11 | 10/11/13 | $1,200 06 | $969 74 | $0 00 | $230 32 | $0 00 | |
| **Security Subtotal** | **11,806 945** | | | **$240,625 43** | **$161,365 56** | **$0 00** | **$79,259 87** | **$0 00** | |
| FIDELITY ADV STRAT INC I | CUSIP. 315920801 | | Symbol (Box 1d) FSRIX | | | | | | |
| | 20 266 075 | 01/21/09 | 10/14/13 | $251,096 67 | $200,000 02 | $0 00 | $51 096 65 | $0 00 | |
| | 15 849 | 01/30/09 | 10/14/13 | $196 37 | $157 05 | $0 00 | $39 32 | $0 00 | |
| | 84 212 | 02/27/09 | 10/14/13 | $1 043 39 | $819 98 | $0 00 | $223 41 | $0 00 | |
| | 97 982 | 03/31/09 | 10/14/13 | $1 214 00 | $976 87 | $0 00 | $237 13 | $0 00 | |
| | 92 556 | 04/30/09 | 10/14/13 | $1,146 77 | $969 62 | $0 00 | $177 15 | $0 00 | |
| | 93 428 | 05/29/09 | 10/14/13 | $1,157 57 | $1,017 53 | $0 00 | $140 04 | $0 00 | |
| | 78 529 | 06/30/09 | 10/14/13 | $972 97 | $875 13 | $0 00 | $97 84 | $0 00 | |
| | 83 388 | 07/31/09 | 10/14/13 | $1 033 18 | $966 42 | $0 00 | $66 76 | $0 00 | |
| | 82 658 | 08/31/09 | 10/14/13 | $1,024 13 | $973 01 | $0 00 | $51 12 | $0 00 | |
| | 73 479 | 09/30/09 | 10/14/13 | $910 40 | $897 70 | $0 00 | $12 70 | $0 00 | |
| | 74 171 | 10/30/09 | 10/14/13 | $918 98 | $907 65 | $0 00 | $11 33 | $0 00 | |
| | 71 815 | 11/30/09 | 10/14/13 | $889 79 | $890 44 | $0 00 | ($0 65) | $0 00 | |
| | 174 209 | 12/23/09 | 10/14/13 | $2 158 45 | $2 137 12 | $0 00 | $21 33 | $0 00 | |

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STATEMENT 14

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Long Term - Noncovered Securities # (Continued)

(Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| FIDELITY ADV STRAT INC I | 136 164 | 12/31/09 | 10/14/13 | $1,687 07 | $1,671 78 | $0 00 | $15 29 | $0 00 | |
| | 76 259 | 01/29/10 | 10/14/13 | $944 85 | $940 91 | $0 00 | $3 94 | $0 00 | |
| | 26 831 | 02/12/10 | 10/14/13 | $332 44 | $326 44 | $0 00 | $6 00 | $0 00 | |
| | 68 499 | 02/26/10 | 10/14/13 | $848 70 | $841 70 | $0 00 | $7 00 | $0 00 | |
| | 77 539 | 03/31/10 | 10/14/13 | $960 71 | $966 13 | $0 00 | ($5 42) | $0 00 | |
| | 73 020 | 04/30/10 | 10/14/13 | $904 72 | $918 69 | $0 00 | ($13 97) | $0 00 | |
| | 81 640 | 05/28/10 | 10/14/13 | $1,011 52 | $998 22 | $0 00 | $13 30 | $0 00 | |
| | 74 797 | 06/30/10 | 10/14/13 | $926 73 | $922 12 | $0 00 | $4 61 | $0 00 | |
| | 75 774 | 07/30/10 | 10/14/13 | $938 84 | $957 94 | $0 00 | ($19 10) | $0 00 | |
| | 23,267 152 | 08/06/10 | 10/14/13 | $288,280 01 | $296,500 07 | $0 00 | ($8 220 06) | $0 00 | |
| | 121 765 | 08/31/10 | 10/14/13 | $1 508 67 | $1,547 99 | $0 00 | ($39 32) | $0 00 | |
| | 132 595 | 09/30/10 | 10/14/13 | $1,642 85 | $1,717 88 | $0 00 | ($75 03) | $0 00 | |
| | 137 264 | 10/29/10 | 10/14/13 | $1,700 70 | $1,803 38 | $0 00 | ($102 68) | $0 00 | |
| | 135 603 | 11/30/10 | 10/14/13 | $1,680 12 | $1,740 38 | $0 00 | ($60 26) | $0 00 | |
| | 464 853 | 12/22/10 | 10/14/13 | $5,759 53 | $5,792 01 | $0 00 | ($32 48) | $0 00 | |
| | 557 701 | 12/22/10 | 10/14/13 | $6 909 92 | $6,948 89 | $0 00 | ($38 97) | $0 00 | |
| | 476 905 | 12/31/10 | 10/14/13 | $5,908 85 | $5,975 96 | $0 00 | ($67 11) | $0 00 | |
| | 158 474 | 01/31/11 | 10/14/13 | $1 963 49 | $2 003 43 | $0 00 | ($39 94) | $0 00 | |
| | 236 774 | 02/11/11 | 10/14/13 | $2 933 63 | $2 976 54 | $0 00 | ($42 91) | $0 00 | |
| | 141 053 | 02/28/11 | 10/14/13 | $1,747 65 | $1 784 62 | $0 00 | ($36 97) | $0 00 | |
| | 161 907 | 03/31/11 | 10/14/13 | $2,006 03 | $2,050 11 | $0 00 | ($44 08) | $0 00 | |
| | 155 765 | 04/29/11 | 10/14/13 | $1,929 93 | $2,003 87 | $0 00 | ($73 94) | $0 00 | |
| | 158 350 | 05/31/11 | 10/14/13 | $1,961 96 | $2,037 13 | $0 00 | ($75 17) | $0 00 | |
| | 150 337 | 06/30/11 | 10/14/13 | $1,862 68 | $1,917 31 | $0 00 | ($54 63) | $0 00 | |
| | 150 061 | 07/29/11 | 10/14/13 | $1,859 26 | $1,932 01 | $0 00 | ($72 75) | $0 00 | |

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**Corporate Tax Statement**
**Tax Year 2013**

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Identification Number 26 4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc

### Long Term - Noncovered Securities # (Continued)

(Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| FIDELITY ADV STRAT INC I | 150 326 | 08/31/11 | 10/14/13 | $1,862 54 | $1,906 51 | $0 00 | ($43 97) | $0 00 | |
| | 143 968 | 09/30/11 | 10/14/13 | $1,783 76 | $1,773 43 | $0 00 | $10 33 | $0 00 | |
| | 149 392 | 10/31/11 | 10/14/13 | $1,850 97 | $1,888 63 | $0 00 | ($37 66) | $0 00 | |
| | 147 369 | 11/30/11 | 10/14/13 | $1,825 90 | $1,830 23 | $0 00 | ($4 33) | $0 00 | |
| | 343 092 | 12/22/11 | 10/14/13 | $4,250 91 | $4,181 12 | $0 00 | $69 79 | $0 00 | |
| | 559 567 | 12/22/11 | 10/14/13 | $6,933 04 | $6,819 21 | $0 00 | $113 83 | $0 00 | |
| | 565 883 | 12/30/11 | 10/14/13 | $7,011.29 | $6,913 37 | $0 00 | $97 92 | $0.00 | |
| **Security Subtotal** | **50,645.030** | | | **$627,491 94** | **$584,176 55** | **$0 00** | **$43,315 39** | **$0.00** | |
| GOLDMAN SACHS GRP INC | CUSIP 38141G104 | | Symbol (Box 1d) GS | | | | | | |
| | 160 000 | 05/25/12 | 09/27/13 | $25,547 58 | $15,571 20 | $0 00 | $9,976 38 | $0 00 | |
| | 32 000 | 05/25/12 | 09/27/13 | $5,109 52 | $3,114 24 | $0 00 | $1,995.28 | $0.00 | |
| **Security Subtotal** | **192 000** | | | **$30,657 10** | **~~$18,685 44~~** 31,197.12 | **$0 00** | **$11,971 66** | **$0 00** | |
| GOLDMAN SACHS SMMD CP GW INST | CUSIP 38143H746 | | Symbol (Box 1d) GSMYX | | | | | | |
| | 2 602 274 | 11/23/10 | 03/18/13 | $45 643 89 | $37 296 91 | $0 00 | $8 346 98 | $0 00 | |
| | 12 794 | 12/08/10 | 03/18/13 | $224 41 | $189 51 | $0 00 | $34 90 | $0 00 | |
| | 184 958 | 12/08/10 | 03/18/13 | $3,244 16 | $2,739 83 | $0 00 | $504 33 | $0 00 | |
| | 471 773 | 12/07/11 | 03/18/13 | $8,274 90 | $6,595 39 | $0 00 | $1,679 51 | $0 00 | |
| | 32.036 | 12/07/11 | 03/18/13 | $561 91 | $447.87 | $0.00 | $114.04 | $0.00 | |
| **Security Subtotal** | **3,303 835** | | | **$57,949 27** | **$47,269 51** | **$0 00** | **$10,679 76** | **$0 00** | |
| GOLDMAN SACHS STRATIGIC GW INS | CUSIP 38142Y880 | | Symbol (Box 1d). GSTIX | | | | | | |
| | 12,789 729 | 07/26/07 | 12/04/13 | $184,300 00 | $139,129 36 | $0 00 | $45,170 64 | $0 00 | |
| HARTFORD EQUITY INC I | CUSIP 416649705 | | Symbol (Box 1d) HQIIX | | | | | | |
| | 4 054 897 | 02/17/10 | 03/11/13 | $65 000 00 | $45 159 92 | $0 00 | $19,840 08 | $0 00 | |
| | 434 589 | 02/17/10 | 03/18/13 | $6,953 42 | $4 840 07 | $0 00 | $2 113 35 | $0 00 | |

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STATEMENT 14

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked ) **(Continued)**

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| HARTFORD EQUITY INC I | 16 857 | 03/26/10 | 03/18/13 | $269 71 | $197 98 | $0 00 | $71 73 | $0 00 | |
| | 20 855 | 06/25/10 | 03/18/13 | $333 68 | $228 73 | $0 00 | $104 95 | $0 00 | |
| | 20 116 | 09/27/10 | 03/18/13 | $321 86 | $233 85 | $0 00 | $88 01 | $0 00 | |
| | 4,200 205 | 11/23/10 | 03/18/13 | $67 203 28 | $50 000 00 | $0 00 | $17,203 28 | $0 00 | |
| | 44 996 | 12/22/10 | 03/18/13 | $719 94 | $571 49 | $0 00 | $148 45 | $0 00 | |
| | 29 895 | 03/28/11 | 03/18/13 | $478 32 | $396 07 | $0 00 | $82 25 | $0 00 | |
| | 44 961 | 06/27/11 | 03/18/13 | $719 38 | $588 98 | $0 00 | $130 40 | $0 00 | |
| | 45 141 | 09/27/11 | 03/18/13 | $722 26 | $543 66 | $0 00 | $178 60 | $0 00 | |
| | 56,644 | 12/22/11 | 03/18/13 | $906 30 | $752 80 | $0.00 | $153 50 | $0 00 | |
| **Security Subtotal** | **8,969 156** | | | **$143,628 15** | **$103,513 55** | **$0 00** | **$40,114 60** | **$0 00** | |
| ILLUMINA INC | CUSIP 452327109 | | Symbol (Box 1d) ILMN | | | | | | |
| | 307 000 | 05/24/12 | 09/27/13 | $24 913 97 | $13 226 85 | $0 00 | $11,687 12 | $0 00 | |
| | 400 000 | 05/25/12 | 09/27/13 | $32 461 19 | $17 599 00 | $0 00 | $14 862 19 | $0 00 | |
| | 155 000 | 06/05/12 | 09/27/13 | $12,578 71 | $6,352 21 | $0 00 | $6,226 50 | $0 00 | |
| | 136 000 | 08/22/12 | 09/27/13 | $11,036 81 | $5,676 72 | $0.00 | $5,360 09 | $0.00 | |
| **Security Subtotal** | **998 000** | | | **$80,990 68** | **$42,854 78** | **$0 00** | **$38,135 90** | **$0 00** | |
| LINKEDIN CORP A | CUSIP 53578A108 | | Symbol (Box 1d) LNKD | | | | | | |
| | 43 000 | 05/29/12 | 09/27/13 | $10 558 03 | $4,233 50 | $0 00 | $6 324 53 | $0 00 | |
| | 46 000 | 06/05/12 | 09/27/13 | $11,294 64 | $4,251 25 | $0.00 | $7,043 39 | $0.00 | |
| **Security Subtotal** | **89 000** | | | **$21 852 67** | **~~$8,484 75~~** | **$0.00** | **$13,367 92** | **$0 00** | |
| LORD ABBETT BOND DEB F | CUSIP. 544004609 | | Symbol (Box 1d) LBDFX | | | | | | |
| | 51 747 675 | 11/23/10 | 10/10/13 | $422,260 51 | $400,000 21 | $0 00 | $22 260 30 | $0 00 | |
| | 18 825 | 11/30/10 | 10/10/13 | $153 61 | $144 95 | $0 00 | $8 66 | $0 00 | |
| | 264 054 | 12/31/10 | 10/10/13 | $2,154 68 | $2 059 55 | $0 00 | $95 13 | $0 00 | |

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Morgan Stanley

Corporate Tax Statement
Tax Year 2013

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box F checked ) **(Continued)**

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| LORD ABBETT BOND DEB F | 12,626 954 | 01/25/11 | 10/10/13 | $103,035 82 | $100,000 05 | $0 00 | $3,035 77 | $0 00 | |
| | 255 924 | 01/31/11 | 10/10/13 | $2,088 34 | $2,029 36 | $0 00 | $58 98 | $0 00 | |
| | 319 757 | 02/28/11 | 10/10/13 | $2,609 21 | $2,564 27 | $0 00 | $44 94 | $0 00 | |
| | 329 318 | 03/31/11 | 10/10/13 | $2 687 23 | $2,637 66 | $0 00 | $49 57 | $0 00 | |
| | 310 222 | 04/29/11 | 10/10/13 | $2 531 41 | $2,512 59 | $0 00 | $18 82 | $0 00 | |
| | 331 300 | 05/31/11 | 10/10/13 | $2,703 40 | $2,680 00 | $0 00 | $23 40 | $0 00 | |
| | 336 683 | 06/30/11 | 10/10/13 | $2,747 33 | $2,679 83 | $0 00 | $67 50 | $0 00 | |
| | 329 554 | 07/29/11 | 10/10/13 | $2,689 16 | $2,626 38 | $0 00 | $62 78 | $0 00 | |
| | 354 551 | 08/31/11 | 10/10/13 | $2,893 13 | $2,698 12 | $0 00 | $195 01 | $0 00 | |
| | 379 017 | 09/30/11 | 10/10/13 | $3,092 77 | $2,774 54 | $0 00 | $318 23 | $0 00 | |
| | 351 589 | 10/31/11 | 10/10/13 | $2,868 96 | $2 703 67 | $0 00 | $165 29 | $0 00 | |
| | 376 885 | 11/30/11 | 10/10/13 | $3,075 38 | $2,834 22 | $0 00 | $241 16 | $0 00 | |
| | 281 631 | 12/30/11 | 10/10/13 | $2,298 11 | $2,146.03 | $0.00 | $152.08 | $0.00 | |
| Security Subtotal | 68,613 939 | | | $559,889 05 | $533,091 43 | $0.00 | $26,797 62 | $0 00 | |
| OPPENHEIMER INTL BOND Y | CUSIP 68380T509 | | Symbol (Box 1d) OIBYX | | | | | | |
| | 18,740 515 | 08/06/10 | 10/11/13 | $114 879 17 | $123,499 99 | $0 00 | ($8 620 82) | $0 00 | |
| | 46 521 | 08/31/10 | 10/11/13 | $285 17 | $304 25 | $0 00 | ($19 08) | $0 00 | |
| | 60 091 | 09/30/10 | 10/11/13 | $368 36 | $408 62 | $0 00 | ($40 26) | $0 00 | |
| | 65 614 | 10/29/10 | 10/11/13 | $402 21 | $453 39 | $0 00 | ($51 18) | $0 00 | |
| | 64 436 | 11/30/10 | 10/11/13 | $394 99 | $416 90 | $0 00 | ($21 91) | $0 00 | |
| | 74 963 | 12/30/10 | 10/11/13 | $459 52 | $489 51 | $0 00 | ($29 99) | $0 00 | |
| | 181 518 | 12/30/10 | 10/11/13 | $1 112 70 | $1,185 31 | $0 00 | ($72 61) | $0 00 | |
| | 65 232 | 01/31/11 | 10/11/13 | $399 87 | $421 40 | $0 00 | ($21 53) | $0 00 | |
| | 57 932 | 02/28/11 | 10/11/13 | $355 12 | $375 98 | $0 00 | ($20 86) | $0 00 | |

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MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-9728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26 4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Long Term - Noncovered Securities # (Continued)

(Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| OPPENHEIMER INTL BOND Y | 62 075 | 03/31/11 | 10/11/13 | $380 52 | $406 59 | $0 00 | ($26 07) | $0 00 | |
| | 61 897 | 04/29/11 | 10/11/13 | $379 43 | $420 90 | $0 00 | ($41 47) | $0 00 | |
| | 59 783 | 05/31/11 | 10/11/13 | $366 47 | $401 74 | $0 00 | ($35 27) | $0 00 | |
| | 60 565 | 06/30/11 | 10/11/13 | $371 26 | $408 21 | $0 00 | ($36 95) | $0 00 | |
| | 62 051 | 07/29/11 | 10/11/13 | $380 37 | $422 57 | $0 00 | ($42 20) | $0 00 | |
| | 57 697 | 08/31/11 | 10/11/13 | $353 68 | $390 61 | $0 00 | ($36 93) | $0 00 | |
| | 67 940 | 09/30/11 | 10/11/13 | $416 47 | $427 34 | $0 00 | ($10 87) | $0 00 | |
| | 60 836 | 10/31/11 | 10/11/13 | $372 92 | $392 39 | $0 00 | ($19 47) | $0 00 | |
| | 63 283 | 11/30/11 | 10/11/13 | $387 92 | $398 05 | $0 00 | ($10 13) | $0 00 | |
| | 348 149 | 12/29/11 | 10/11/13 | $2,134 15 | $2,155 04 | $0 00 | ($20,89) | $0.00 | |
| **Security Subtotal** | **20,261 098** | | | **$124,200 30** | **$133,378 79** | **$0 00** | **($9,178 49)** | **$0 00** | |
| ROCHE HOLDINGS ADR | CUSIP 771195104 | | Symbol (Box 1d). RHHBY | | | | | | |
| | 224 000 | 05/09/12 | 09/27/13 | $15 090 62 | $9,507 39 | $0 00 | $5,583 23 | $0 00 | |
| | 50 000 | 05/21/12 | 09/27/13 | $3,368 44 | $2,029 50 | $0 00 | $1,338 94 | $0 00 | |
| | 200.000 | 05/25/12 | 09/27/13 | $13,473 76 | $7,952 00 | $0 00 | $5,521 76 | $0.00 | |
| **Security Subtotal** | **474 000** | | | **$31,932 82** | **$19,488.89** $15,714.12 | **$0 00** | **$12,443 93** | **$0 00** | |
| RS HIGH YIELD A | CUSIP 74972K625 | | Symbol (Box 1d) GUHYX | | | | | | |
| | 13,605 442 | 12/08/11 | 04/03/13 | $100 000 00 | $91 156 46 | $0 00 | $8,843 54 | $0 00 | |
| | 16,245 304 | 12/08/11 | 05/21/13 | $121 027 35 | $108,843 54 | $0 00 | $12,183 81 | $0 00 | |
| | 99 154 | 12/30/11 | 05/21/13 | $738 70 | $669.29 | $0 00 | $69.41 | $0.00 | |
| **Security Subtotal** | **29,949 900** | | | **$221,766 05** | **$200,669 29** | **$0 00** | **$21,096 76** | **$0 00** | |
| SALESFORCE COM,INC | CUSIP 79466L302 | | Symbol (Box 1d) CRM | | | | | | |
| | 324 000 | 05/24/12 | 09/27/13 | $16 994 18 | $11 961 82 | $0 00 | $5 032 36 | $0 00 | |
| | 300 000 | 05/25/12 | 09/27/13 | $15,735 36 | $11 008 50 | $0 00 | $4,726 86 | $0 00 | |

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Statement 14

Morgan Stanley

Corporate Tax Statement
Tax Year 2013

MSB FBO THE QUINN FOUNDATION
3101 WEST 142ND STREET
LEAWOOD KS 66224-0728

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 20-4268916
Account Number 358 148729 564

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Long Term - Noncovered Securities # (Continued)

(Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) | NOTES |
|---|---|---|---|---|---|---|---|---|---|
| SALESFORCE COM,INC | 168 000 | 06/05/12 | 09/27/13 | $8 811 80 | $5,625 60 | $0 00 | $3,186 20 | $0 00 | |
| | 336 000 | 07/26/12 | 09/27/13 | $17,623 60 | $10,485 51 | $0.00 | $7,138 09 | $0 00 | |
| Security Subtotal | 1,128 000 | | | $59,164 94 | ~~$39,081 43~~ 10,229.56 | $0 00 | $20,083 51 | $0 00 | |
| SPDR GOLD TR GOLD SHS | CUSIP· 78463V107 | Symbol (Box 1d): GLD | | | | | | | |
| | 160 000 | 01/21/09 | 12/04/13 | $18,955 25 | $13,430 14 | $0 00 | $5,525 11 | $0 00 | |
| | 512 000 | 11/10/10 | 12/04/13 | $60,656 81 | $70 266 88 | $0 00 | ($9,610 07) | $0 00 | |
| | 635,000 | 06/06/12 | 12/04/13 | $75,228,67 | $99,998 34 | $0 00 | ($24,769 67) | $0 00 | |
| Security Subtotal | 1,307,000 | | | $154,840.73 | $183,695.36 | $0.00 | ($28,854.63) | $0.00 | |
| Total Long Term Noncovered Securities | | | | $3,364,228.43 | $3,003,626.28 [illegible] | $0 00 | $360,602.15 | $0.00 | |
| Total Long Term Covered and Noncovered Securities | | | | $5,094,889 60 | $4,654,446.12 [illegible] | $0.00 | $440,443.48 | $0.00 | |
| Total Short and Long Term, Covered and Noncovered Securities | | | | $5,778,991.62 | ~~$5,297,975.70~~ [illegible] | $0.00 | ~~$480,299.27~~ [illegible] | $0 00 | |

### Form 1099-B Total Reportable Amounts

| | | | | | |
|---|---|---|---|---|---|
| Total Sales Price (Box 2a) | $5,778,991.62 | | | | |
| Total Cost or Other Basis (Box 3) | | $2,294,349.42 | | | |
| Total Wash Sale Loss Disallowed (Box 5) | | | $0.00 | | |
| Total Fed Tax Withheld (Box 4) | | | | | $0 00 |

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**



[illegible] 14