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Department of the Treasury

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security rumbers on this form as it may be made public.
mation about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

11-16-2014

		dar year 2013 or tax year foundation	3		, and e		A Employer identific	ation number
T	HE	RISE FOUND	ATION, INC				20-39921	108
Num	ber a	nd street (or PO box number	if mail is not delivered to stree	t address)		Room/susta	B Telephone number	
2	<u>30</u>	9 H E JOHNS	ON ROAD				270-843-	-2160
_			ountry, and ZIP or foreign (oostal code			C if exemption application	on is pending, check here
		LING GREEN	T	The Assessment of the Control of the	At		-	
iC	neck	all that apply:] Initial return] Final return	Initial return of a fo	ormer public c	narny	D 1. Foreign organiza	auons, check here
		-	Address change	Name change			2. Foreign organizatio	ns meeting the 85% test, ach computation
ı c	heck	type of organization:	X Section 501(c)(3) e				1	-
Ť	_	ction 4947(a)(1) nonexer		Other taxable private found	ation			n status was terminated (b)(1)(A), check here
Fa		rket value of all assets at		ing method: X Cash	Accr	uai	7	in a 60-month termination
(fr	om F	Part II, col (c), line 16)		ther (specify)				b)(1)(B), check here
▶	\$	25	4,198. (Part I, coll	ımn (d) must be on cash	basis.)			
Pa	rt l	Analysis of Revenue a	nd Expenses umns (b), (c), and (d) may not	(a) Revenue and	(b) Net in		(c) Adjusted net	(d) Disbursements for charitable purposes
_		necessarily equal the amou	nts in column (a))	expenses per books	inco	me me	income	(cash basis only)
	1	Contributions, gifts, gran					N/A	
	2	Check X if the foundation		1 003		1 002		
	3	cash investments		1,893. 4,096.		1,893. 4,096.)	STATEMENT 1
	4	Dividends and interest fr Gross rents	om securities	4,090.		4,030.	'	STATEMENT A
		Net rental income or (loss)					FUSA STOCK	- 2 X-2 X-
		Net gain or (loss) from sale of	f aggets not on line 10	25,497.		_	30 8 8 7 . 1	
5	Ъ	Gross sales price for all assets on line 6a	127,950.					
Revenue	7	Capital gain net income (from		(2) 20 F (4) (5)	2	5,497.		
œ	8	Net short-term capital ga	an	2				, G. S. Laguer
	9	Income modifications				7-2.		
	10a	Gross sales less returns and allowances				· · · · · · · · · · · · · · · · · · ·		
	1	Less Cost of goods sold	<u></u>	121-262-55	27.27		Europe de Esta.	
-	ł	Gross profit or (loss)			- 17-27-7		-	70 to 10 to
	11	Other income	-h 44	31,486.	 	1,486.	 	, , , - , - , - , - , - , - , - , - , -
\dashv	12	Total. Add lines 1 through		31,466.	- 3	1,400. 0.	<u> </u>	0
	14	Other employee salaries	•••	-				
		Pension plans, employer	•]			MED
868	ſ	Legal fees			ļ			- 170
ě	ь	Accounting fees					2001.0	
EX	C	Other professional fees	•				g nuv z	J 2014
ķ	17	Interest						
ta	18	Taxes	STMT 3	344.	ļ	344.	CODE	T C . TO . To
inis	19	Depreciation and depletion	O n					1 to
Ę	20	Occupancy	,				 	
D A	21 22	Travel, conferences, and Printing and publications						
ē	23	Other expenses	STMT 4	3,866.		2,616.		1,250
Operating and Administrative Expen		Total operating and adn		3,000.		2,010	-	1,250
Şer	`	expenses. Add fines 13		4,210.		2.960.	.]	1,250
ō	25	Contributions, gifts, gran		58,333.		2,960.	Consult.	58,333
	26	Total expenses and disl	•					
		Add lines 24 and 25	<u>.</u>	62,543.		2,960.		59,583
	27	Subtract line 26 from lin	e 12;					
		Excess of revenue over expe		<31,057.	No. of the last		三十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二	"自用的工作规则"中等
		Net investment income			<u>2</u>	8,526.	S' CANCELLE	2010年10日本
	l e	Adjusted not income (# :	nanativa enter (II.)		F. 12 2 2 12 2 2 2.		N/A	"在"表掉上鐵板

Fo	m 99	00-PF (2013) THE RISE FOUNDATION, IN		20-	-3992108 Page 2
Г	art	Balance Sheets Atlacted schedules and amounts in the description column should be for and-of-year amounts only	Beginning of year		of year
	au i	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	2,256.		1,095.
	2	Savings and temporary cash investments	238,496.	208,600.	253,103.
	3	Accounts receivable		<u>.</u>	_ 5, -2
		Less; allowance for doubtful accounts			
	4	Pledges receivable ▶	ាំរួន កែការ្យក់ដៅមិននៅ។		
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
	{	disqualified persons			
	7	Other notes and loans receivable		an to a salar na sensi si sensi. Salar na sensi	
		Less: allowance for doubtful accounts			
2	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges			
₹	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock			
	c	Investments - corporate bonds			
	11	investments - land buildings, and equipment basis			
		Less accumulated depreciation			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment: basis			
	1	Less accumulated depreciabos		,	
	15	Other assets (describe)			
	16	Total assets (to be completed by all filers - see the			
		instructions. Also, see page 1, item 1)	240,752.	209,695.	254,198.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
ø	19	Deferred revenue			
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
ā	21	Mortgages and other notes payable			
Į	22	Other liabilities (describe >			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here			
		and complete lines 24 through 26 and lines 30 and 31.			
893	24	Unrestricted			
Ĕ	25	Temporarily restricted			
8	26	Permanently restricted			
Ĕ		Foundations that do not follow SFAS 117, check here		- ·	
Net Assets or Fund Balances		and complete lines 27 through 31.			1000年,1000年
Ö	27	Capital stock, trust principal, or current funds	0.	0.	
set	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
Ş	29	Retained earnings, accumulated income, endowment, or other funds	240,752.	209,695.	
Š	30	Total net assets or fund balances	240,752.	209,695.	
	31	Total liabilities and net assets/fund balances	240,752.	209,695.	
	art	Analysis of Changes in Net Assets or Fund B			
드	art	His reservoir or originate in 1404 March of 1 fully p			
1	Total	net assets or fund balances at beginning of year - Part II, column (a), line	30		
		st agree with end-of-year figure reported on prior year's return)			240,752.
2		r amount from Part I, line 27a	•	2	<31,057.>
3	Othe	r increases not included in line 2 (itemize)		3	0.
		lines 1, 2, and 3		4	209,695.
		eases not included in fine 2 (itemize)		5	0.
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	olumn (b), line 30	6	209,695.
_					Form 990-PF (2013)

	RISE FOUNDATION AND LOSSES FOR Tax on		t Income			20-	39921	08 Page 3
	ribe the kind(s) of property sold (arehouse; or common stock, 200			`P-	ow acquired Purchase Donation	(c) Date acqu (mo., day,)		(d) Date sold mo., day, yr.)
1a								
b SEE ATTACHED	STATEMENTS							
<u>c</u>								
1								
<u>e</u>	40 December allowed	(-) (-)				(h) Coin		
(e) Gross sales price	(f) Depreciation allowed (or allowable)	, ,,,,	st or other basis expense of sale	\perp		(h) Gain ((e) plus (f)		
<u>4</u> b				\dashv	·			
G				+				
d				\neg				
e 127,950.		<u> </u>	102,45	3.		·		25,497.
	ig gain in column (h) and owned	by the foundation			(1)	Gains (Col. (h) gain minu	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	, , ,	cess of col. (1) col. (1), if any		còl.	(k), but not k Losses (fro	nss than -0-) m col. (h))	Of
8								
<u>b</u>				\perp				
<u>c</u>								
<u>d</u>	· · · · · · · · · · · · · · · · · · ·							<u> </u>
<u>e</u>				_				25,497.
2 Capital gain net income or (net ca		nter in Part I, line -0- in Part I, line		}	2			25,497.
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8, if (loss), enter -0- in Part I, line 8) and (6):		}	,		N/A	
Part V Qualification U	nder Section 4940(e) f	or Reduced	Tax on Net	Inve	stment Inc		M/ FL	
(For optional use by domestic private								
(1 of optional assets) domestic private	, roundations subject to the sound	311 4340(U) WX 011	not invocation in	0011102				
If section 4940(d)(2) applies, leave the	his part blank.							
Was the foundation liable for the sec			•	od?				Yes 🗶 No
If "Yes," the foundation does not qual 1 Enter the appropriate amount in a				ntries				
(8)		ie iiisti uctions be	Tote making any ci			<u> </u>	(d)	
Base period years Calendar year (or tax year beginni	(b) Adjusted qualifying	distributions	Net value of nor	(c) ncharit	able-use assets	(col	Distribution (b) divided	ratio
2012	119 111/	16,298.			280,426		(5) 4111404	.414719
2011		3,956.			324,797		· · · · · · · · · · · · · · · · · · ·	.012180
2010		4,606.			313,685		-	.014684
2009	1	72,194.			299,888			.574194
2008		4,393.			465,663			.009434
2 Total of line 1, column (d)			-			2		1.025211
3 Average distribution ratio for the	5-year base period - divide the tot	tal on line 2 by 5,	or by the number	of year	rs			
the foundation has been in exister	nce if less than 5 years					3		.205042
4 Enter the net value of noncharitab	ele-use assets for 2013 from Part	X, line 5				4		220,195.
5 Multiply line 4 by line 3						5		45,149.
6 Enter 1% of net investment incom	ne (1% of Part I, line 27b)			_		6		285.
7 Add lines 5 and 6						7		45,434.
8 Enter qualifying distributions from	n Part XII, line 4	• •	•			8		59,583.
If line 8 is equal to or greater than	•	ine 1b. and como	lete that part using	3 a 1%	tax rate			
See the Part VI instructions.		10, and comp	mai part usint	, u · /0 				

Form 990-PF (2013) THE RISE FOUNDAT	ION,	INC					3992			Page 4
Part VI Excise Tax Based on Investment	Income	(Section 494	0(a), 4	940(b), 4940(e), or 4	948	- see	nstr	ctio	ns)
1a Exempt operating foundations described in section 4940(d)(2), check h	ere 🕨 🔲 and e	enter "N/A	on line 1.	}				<u>.</u> - '	11
Date of ruling or determination letter:								-		- :
b Domestic foundations that meet the section 4940(e) require	ments in Pa	rt V, check here 🕨	► X a	and enter 1%	}	1	L.,		2	85.
of Part I, line 27b		-	-		1		-		٠.	•
c All other domestic foundations enter 2% of line 27b. Exempt	toreign org	ganizations enter 49	6 of Part	i, line 12, col. (b).	J		-		-	
2 Tax under section 511 (domestic section 4947(a)(1) trusts a	ind taxable	foundations only. O	thers ent	er -0-)		2	<u> </u>			<u>0.</u>
3 Add lines 1 and 2				-		3	<u> </u>		2	85.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts a	and taxable	foundations only. (Others en	ter -0-)	-	4	 _			<u>0.</u>
5 Tax based on investment income. Subtract line 4 from line	3. If zero or	less, enter -0-	-			5			2	85.
6 Credits/Payments:						-	Σä.	7		
 2013 estimated lax payments and 2012 overpayment credite 	ed to 2013		6a						/ %	
b Exempt foreign organizations - tax withheld at source			6b			• # • •				ه سرخ ^{م.} د عاد آن سند
c Tax paid with application for extension of time to file (Form 8	3868)	-	6c			*- 32				
d Backup withholding erroneously withheld		-	64				- 3 - 75	Ē. ·-	,	: " ; " :
7 Total credits and payments. Add lines 6a through 6d						7	ļ			0.
8 Enter any penalty for underpayment of estimated tax. Check	here	if Form 2220 is atta	ached			8	<u> </u>			
9 Tax due. If the total of lines 5 and 8 is more than line 7, ente	r amount o	wed SE	e sta	ATEMENT S	>	9	<u> </u>		2	85.
10 Overpayment. If line 7 is more than the total of lines 5 and 8	, enter the a	amount overpaid				10				
11 Enter the amount of line 10 to be: Credited to 2014 estimate				Refu	nded 🕨	11	ļ			
Part VII-A Statements Regarding Activitie								,	-	1
1a During the tax year, did the foundation attempt to influence a	any national	, state, or local legis	station or	did it participate or	intervene	IU		<u> </u>	Yes	No
any political campaign?								12		X
b Did it spend more than \$100 during the year (either directly								1b	-	X
If the answer is "Yes" to 1a or 1b, attach a detailed de		of the activities an	nd copie	s of any materals	publishe	ed or		5		
distributed by the foundation in connection with the a	ctivities							1		
c Did the foundation file Form 1120-POL for this year?								1c	*****	X
d Enter the amount (if any) of tax on political expenditures (see					^			-	- <u>;</u> = = =	
(1) On the foundation. > \$ 0.	. , ,	-			0.			·	- 510 - 510	
e Enter the reimbursement (if any) paid by the foundation duri	ng the year	for political expend	inure tax i	imposed on tound	ition				[]	
managers. > \$			000					- ,	`	v
2 Has the foundation engaged in any activities that have not pr		en reported to the i	IHS?		-			2		X
If "Yes," attach a detailed description of the activities.		00		at actuals af many						
3 Has the foundation made any changes, not previously report				ing articles of incor	poration, c	п		3	7 ()	X
bylaws, or other similar instruments? If "Yes," attach a co 4a Did the foundation have unrelated business gross income of				-			•	42		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		more during the yea	a 1	-		N	I/A	4b		
5 Was there a liquidation, termination, dissolution, or substant	· .	Ion diving the year'					7, 43	5		Y
if "Yes," attach the statement required by General Ins		= :	•	-	-		-		jz=	5 T.C.
6 Are the requirements of section 508(e) (relating to sections			either							
By language in the governing instrument, or	1541 (11100)	gii 4040) Salisiida C	.16.01.					١.,	7.	
By state legislation that effectively amends the governing a	nstrument s	so that no mandatos	rv directio	ons that conflict wit	h the state	law			· -	
remain in the governing instrument?			.,					6	X	
7 Did the foundation have at least \$5,000 in assets at any time	during the	vear? If "Yes " cor	molete F	- Part II. col. (c). ani	d Part XV	,		7	X	
. Did the talkabet have at least 40,000 in abboth at any sine		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,	(c), (c), (c),		•	•	<u> </u>	., .	13.17
8a Enter the states to which the foundation reports or with which	th it is regist	tered (see instructio	ons)					-	- ;-	- 1
KY		1500						;		1, 13:
b If the answer is "Yes" to line 7, has the foundation furnished	a copy of Fo	orm 990-PF to the A	Attorney (General (or desinna	te)			[·]		
of each state as required by General Instruction G? If "No					,			86	X	[` <u>`</u>
9 Is the foundation claiming status as a private operating found	-		ection 494	42(i)(3) or 4942(i)(5) for cale	ndar			- 	: 5-E
year 2013 or the taxable year beginning in 2013 (see instruc		-			-,			g		<u>x</u>
10 Did any persons become substantial contributors during the			•		1363		• •	10		X
	4					- '-	For	m 990	-PF	

		20-3992	108		Page 5
_	art VII-A Statements Regarding Activities (continued)				
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of	1			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)		11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory p	rivileges?			
	If "Yes," attach statement (see instructions)	į	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	_X	<u> </u>
	Website address ► N/A				
14	The books are in care of ▶ PORTIA B. PENNINGTON Telephone no.	≥ 270-84	<u>3-2</u>	<u> 160</u>	
	Located at ▶ 2309 H.E. JOHNSON ROAD, BOWLING GREEN, KY	ZIP+4 ▶42	<u> 103</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here				$\dot{\square}$
		15	N	/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank,			Yes	No
	securities, or other financial account in a foreign country?	1	16	İ	X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign	ľ			
	country				
Pa	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required	<u>.</u>		~	ن <u>بعبت</u>
		1		Yes	No
4.	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	ŀ		103	
78	During the year did the foundation (either directly or indirectly):	s X No			
		ا ۱۸۵ لکما 5			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)	[90]			
		s X No	`		
		s X No			
		8 X No		= = = .	
	(5) Transfer any income or assets to a disqualified person (or make any of either available			-5	
	for the benefit or use of a disqualified person)?	s X No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"				
	if the foundation agreed to make a grant to or to employ the official for a period after				
	termination of government service, if terminating within 90 days.)	s X No		7,	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			% ' E23 ;	
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b	<u> </u>	
	Organizations relying on a current notice regarding disaster assistance check here	▶□	, -		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected		- 1	3(4) 1	
	before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			1 v. E.	
-	defined in section 4942(j)(3) or 4942(j)(5)):	-		\$\$\	
9	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning	o		: : : : : : : : : : : : : : : : : : :	-
٠	before 2013?		-21	===``	劳马
	If "Yes," list the years >			1 3 T	
	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect		}	1.25.	
	valuation of assets) to the year's undistributed income? (If applying the provisions of section 4342(a)(2) to all years listed, answer "No" and attach	I	- 1		
		N/A	2b	'	-
	statement - see instructions.)	M/A.	20	-	
C	: If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		. 1	***	
_	O debt for a data and believe the second and an arrangement and a second a second and a second and a second and a second and a second a		, ,		
38	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time	(.	}	5.53	
		es XX No		ELET N	
t	of Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons aft	- 1			
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to d	1	1_1	146T	7.0
	of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C]	17_=-	
	Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b		
48	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
t	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose	that	152	는다. 는다.	
	had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b	L	X

Form 990-PF (2013) THE RISE FOUNDATION, INC 20-Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

00:02:48 a.m. 11-17

11-17-201	4			7 /21
20-3992 ued)	1	.08		Page 6
es X No		•	- 5-7 1:	
es X No		,		
s X No		 		
es X No		: :		
N/A. ►□		5 b	3 SAST	
s 🗌 No	. •	· _ · .		
s X No		6b		X
s X No		7b	1, 2 1, 2 1, 3 1, 3 1, 3 1, 3 1, 3 1, 3 1, 3 1, 3	
M/A .]	_	70		
(d) Contributions to employee benefit plan and deferred compensation	\$	a	(e) Exp ccount allowa	, other
0				0.
0				0.
R				
0	•	-		0.
(d) Contributions to employee benefit plan and deterred compensation	5	a	(e) Exp count allowa	ense , other nces

			- - <u> </u>
on 4945(e))?	Y	es X No	h-1 2 2
or to carry on, directly or indir		_	
	Ye	es 🗶 No	
ion described in section	_		
	L.]Ye	es X No	
y, or educational purposes, or t			
-	Ye	es X No	
nder the exceptions described	in Regulations		- 17-15-3
ructions)?		N/A	5b
here		▶□ [
from the tax because it mainta	ined		
.	I/A 🔲 Ye	es 🔲 No	' # ##P 화된
45-5(d)			
o pay premiums on			
	, J	s X No	- 陰陽等
personal benefit contract?		Ł	6b X
		Г	
shelter transaction?	Ye	s X No	
butable to the transaction?		N/A	7b
tees, Foundation Ma	nagers, Highly	y	
•			
r compensation.	·	r	
(b) Title, and average		(d) Contributions to employee benefit plans	(e) Expense account, other
to position	enter -0-)	and detered compensation	allowances
_PRESIDENT, DI	RECTOR		
			ŀ
5.00	0.	0.	0.
_SECRETARY, DI	RECTOR		
5.00	0.	0.	0.
VP, TREASURER	, DIRECTO	R	
5.00	0.	0.	0.
cluded on line 1). If none,	enter "NONE."		· · · · · · · · · · · · · · · · · · ·
(b) Title, and average	(a) Companyation	(CI) Contributions to employee benefit plans	(e) Expense account, other
devoted to position	(C) Controlisation	and deterred compensation	allowances
1			
7			
7			
		. •	0
		Form	990-PF (2013)
	ion described in section y, or educational purposes, or inder the exceptions described ructions)? There I from the tax because it maintates 45-5(d) To pay premiums on The personal benefit contract? The shelter transaction? The butable to the transaction? The butable to the transaction? The compensation. (b) Title, and average hours per week devoted to position PRESIDENT, DI 5.00 SECRETARY, DI 5.00 VP, TREASURER 5.00 TO COULD TITLE, and average hours per week devoted to position PRESIDENT, DI 5.00 SECRETARY, DI 5.00 TREASURER 5.00	cor to carry on, directly or indirectly, Ses?	or to carry on, directly or indirectly. Section

Form 990-PF (2013) THE RISE FOUNDATION, INC	20-	3992108 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)		
3 Five highest-paid independent contractors for professional services. If none, enter "NC	ONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
To a law when the share we want a series of the state of		> 0
Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities	· · · · · · · · · · · · · · · · · · ·	<u> </u>
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical in number of organizations and other beneficiaries served, conferences convened, research papers produced 1 N/A	nformation such as the , etc.	Expenses
2		
3		
4		
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on lines	1 and 2	Amount
1 N/A	Tanu Z.	Amount
2		
All other program-related investments. See instructions.		
Total. Add lines 1 through 3	<u></u>	0.
		Form 990-PF (2013)

	m 990-PF (2013) THE RISE FOUNDATION, INC	20	-3992108 Page 8
P	Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations	ndations	, see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	12	0.
b	Average of monthly cash balances	1b	223,548.
Ç	Fair market value of all other assets	1c	
đ	Total (add lines 1a, b, and c)	1d	223,548.
e	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	223,548.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,353.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	220,195.
6	Minimum investment return. Enter 5% of line 5	6	11,010.
P	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are foreign organizations check here and do not complete this part.)	nd certain	
_			11,010.
1	Minimum investment return from Part X, line 6 Tax on investment income for 2013 from Part VI, line 5 28 28 5	┝╌╁╴	11,010.
28	· · · · · · · · · · · · · · · · · · ·	- 1	
b		- 1	285.
Ç	Add lines 2a and 2b	2c	10,725.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 4	10,723.
4 5	Recoveries of amounts treated as qualifying distributions Add lines 3 and 4	5	10,725.
6	· · · · · · · · · · · · · · · · · · ·	6	10,723.
7	Deduction from distributable amount (see instructions)	7	10,725.
10	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		10,745.
Ŀ.	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
2	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	<u>59,583.</u>
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
2	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	59,583.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	285.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	59,298.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation (qualifies to	or the section

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THE RISE FOUNDATION, INC

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Part XIII Undistr	ibuted Income (s	see instructions)			
		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for time 7	r 2013 from Part XI,				10,725.
2 Undistributed income, if any	r, as of the end of 2013	er eng "effett" ("tille"		a inggh	Farenti Belling Fitte
a Enter amount for 2012	only			0.	-7.
6 Total for prior years:			0.		
3 Excess distributions car a From 2008	ryover, if any, to 2013:				natoria del poeto. La como del tro
b From 2009	145,416.		kana kang Palatan salah Janggaran		
e From 2010	143,410.				
d From 2011					
e From 2012	102,739.			的医呼吸管性心管	人 建设制度。19
f Total of lines 3a throug		248,155.			
4 Qualifying distributions		240,133.			
Part XII, line 4. ► \$	59,583.				
a Applied to 2012, but no	 			o.	
b Applied to undistributed		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			[] [] [] [] [] [] [] [] [] []
years (Election required			0.		
c Treated as distributions	·	er a literatura de de la manta, la color	V •		C 1/2- 2,74
(Election required - see	•	0.			
d Applied to 2013 distribu	•	(1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	\$ \\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	10,725.
e Remaining amount dist		48,858.			10,723.
_	· ·	20,030.			0.
(if an amount appears in col	umn (d), the same amount				N-1472 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
must be shown in column (a		그 문장을 마음을			
6 Enter the net total of ea indicated below:	ten column as				
& Corpus. Add lines 3f, 4c, an	d da Cubemat IIna C	297,013.			
b Prior years' undistribute		237,013.			
line 4b from line 2b	o moonic. Soosaa		0.		
c Enter the amount of pri	or veare'		•		
undistributed income fo		1			
deficiency has been issi				The state of the s	is and the control of
the section 4942(a) tax assessed	•		0.	一、开幕等代籍是在	
d Subtract line 6c from lir amount - see instruction			0.		
e Undistributed income fo	or 2012. Subtract line	าน สักษานาโน			
4a from line 2a. Taxable	amount - see instr.	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		0.	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
f Undistributed income for	or 2013. Subtract	Faw Williams	tu A Hilland		
lines 4d and 5 from line	1. This amount must				
be distributed in 2014					0.
7 Amounts treated as dist	tributions out of		三流 经保险证券	2.1. 特别的1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.	
corpus to satisfy require	ements imposed by	}		医特别国际的基础管理	
section 170(b)(1)(F) or	4942(g)(3)	0.	다 가는 사람이 있는 사람들이 되었다. "11년 전 1년 12년 20년 12년 12년 12년 12년 12년 12년 12년 12년 12년 12		
8 Excess distributions car	ryover from 2008				
not applied on line 5 or	fine 7	0.			
9 Excess distributions ca	rryover to 2014				
Subtract lines 7 and 8 fr	rom line 6a	297,013.			
10 Analysis of line 9:					
a Excess from 2009	145,416.				
b Excess from 2010					
c Excess from 2011					
d Excess from 2012	102,739.			医多种 医医生素	
e Excess from 2013	48,858.	国工业工业 企业		新的基础等等 注意	巴拉斯德斯斯特

Part XIV Private Operating Foundations (see instructions and Part VIIA Question 9) I the boundation is received a unique destination test that is a private operating foundation, and the ruling of esticitive for 2013, entre the date of the ruling Check for the indicate whether the foundation is a private operating foundation described in section First Year Privat Years Privat Years 2 a Cetter this lesses of the adjusted ent Color to the maintenance of the color to the maintenance of the adjusted ent Color to the maintenance of the adjusted ent Color to the maintenance of the adjusted ent Color to the maintenance of the color to the maintenance of the color to the maintenance of the color to th		FOUNDATIO			20-39	92108 Page
toundation, and the ruling is effective for 2013, either the date of the ruling Check box to made whether the frontation is a private generaling foundation described in section Sect	Part XIV Private Operating Fo	undations (see a	nstructions and Part VI	I-A, question 9)	N/A	
b Check hos to micrate whether the foundation is a private operating foundation described in section 4942(I)(3) or 4942(I)(5) 2 a First the lesser of the adjusted net income from Part 1 of the manumal mestiment return from Part XII, bits 4 for each year listed 5 85% of line 2 a. Coulailying distributions from Part XII, bits 4 for each year listed 4 Ampuints motidated in lab 2 c. of used directly for active conduct of exempt activities. Subtract line 25 for him in 26 5 Complete 3a, b. or c for the example activities. Subtract line 25 for him in 26 5 Complete 3a, b. or c for the example activities. Subtract line 25 for him in 26 5 Complete 3a, b. or c for the example activities. Subtract line 25 for him in 26 5 Complete 3a, b. or c for the example activities. Subtract line 25 for him in 26 5 Complete 3a, b. or c for the example activities. Subtract line 25 for him in 26 5 Complete 3a, b. or c for the example activities. Subtract line 25 for him in 26 5 Complete 3a, b. or c for the example activities. Subtract line 25 for him in 26 5 Nation of all assists used-home return and the example activities. The example 25 of minimum investment return shown in Part X, the 6 for each year listed. Subtract line 25 for resplicies of the example activities. Subtract line 25 for resplicies of the example activities. Subtract line 25 for resplicies of the example of minimum investment return shown in Part X, the 6 for each year listed. Subtract line 25 for resplicies of the example activities and 5 or more example graph and the example activities. Subtract line 25 for resplicies of the example of the example activities and 5 or more example graph and the example of the example activities and 5 or more example graph and the example activities and 5 or more example graph and the example activities and 5 or more example graph and the example activ	1 a If the foundation has received a ruling or o	ietermination letter th	at it is a private operating			
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b 85% of line 2a coultings distributions from Part XII, link 4 for each year listed 4 Amounts included in the 2c not used directly for active conduct of exempt activities c Outlying distributions made directly for active conduct of exempt activities c Outlying distributions made directly for active conduct of exempt activities Subbact line 2d from the 2c Complete 3b, or 0 for the alternative test related upon: a Asserts better size dupon: a Suppont alternative test - enter: (1) Total support other than gross investment income (interest, size dupon): a Suppont alternative test - enter: (1) Total support other than gross investment income (interest, size dupon): a Suppont alternative test - enter: (1) Total support other than gross investment income (interest, size dupon): a Support alternative test - enter: (1) Total support other than gross investment income (interest, size dupon): a Couplet size dupon (interest): a Larger amount of support from an exempt outport size size dupon (interest): a Larger amount of support from an exempt organizations as growed in a exempt organizations as growed in a exempt organization size of the louds of the size of the size of the louds o	investment return from Part X for				-	
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d Amounts included in line 2c not used directly for active conduct of exempt activities. Outsifying distributions made directly for active conduct of exempt activities. Subparatine 2d from him 2c 1 Complete 3d, no c 16 the alternative test enter. (1) Value of alsests qualifying under seadon 4942(k(7)(8)(k)) 5 Indownerd alternative test enter. (1) Total support other than gross investment income (interest, south and interest (1) Total support other than gross investment interest interest. (2) Support interestment interes	c Qualifying distributions from Part XII,					
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the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d. a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: b The form in which applications should be submitted and information and materials they should include: c Any submission deadlines:			• • • • •	=		
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: b The form in which applications should be submitted and information and materials they should include: c Any submission deadlines:						
b The form in which applications should be submitted and information and materials they should include: c Any submission deadlines:	the foundation makes gifts, grants, etc. (s	ee instructions) to ind	ividuals or organizations i	inder other conditions, c	omplete items 2a, b, c, and	<u>d.</u>
c Any submission deadlines:	a The name, address, and telephone number	er or e-mail address of	the person to whom appl	ications should be addre	ssed:	
c Any submission deadlines:						
	b The form in which applications should be	submitted and inform	ation and materials they s	hould include;		
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:	c Any submission deadlines:					
	d Any restrictions or limitations on awards,	such as by geograph:	cal areas, charitable fields	, kinds of institutions, or	other factors:	

12/21

3 Grants and Contributions Paid During the	e Year or Approved for Future	Payment		
Recipient	If recipient is an individual, show any relationship to	Foundation	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	status of recipient	contribution	Amoun
a Paid during the year				
BOWLING GREEN AREA CHAMBER	NONE	CHARITABLE	TO PROVIDE ASSISTANCE	
FOUNDATION, INC		ORGANIZATON	TO THE ORGANIZATION'S	
710 COLLEGE STREET			GOALS	
BOWLING GREEN, KY 42101				50,000
WKU FOUNDATION	NONE	CHARITABLE	TO PROVIDE ASSISTANCE	
1906 COLLEGE HEIGHTS BLVD		ORGANIZATON	TO THE ORGANIZATION'S	8,333
BOWLING GREEN KY 42101			GOALS	8,333
_				
Total			D 3a	58_333
b Approved for future payment				
NONE				
		<u> </u>		
Total				o rm 990-PF (2013

Form 990-PF (2	2013) THE R	ISE FOUNI	DATION,	INC		20-39			ige 13
Part XVII	Information Re Exempt Organ	-	nsfers To a	nd Transactions	and Relation:	ships With Nonch	aritable	B	
1 Did the or	ganization directly or indi	rectly engage in any	of the followin	g with any other organizati	ion described in sec	tion 501(c) of		Yes	No
the Code	(other than section 501(c)(3) organizations) (or in section 52	7, relating to political orga	nizations?			- "	\ \-
	from the reporting found						1		-
(1) Cash				, .			1a(1)		X
(2) Other	• •			-			1a(2)		Х
b Other tran									. 15
	of assets to a noncharita	hie evernot organiza	ation				16(1)	ı	X
	hases of assets from a no						16(2)		X
			O yanzanon .		•	•		<u> </u>	X
	al of facilities, equipment,		-	•	-	•	16(3)	 	X
• •	bursement arrangements				•	· ·	1b(4)	 	_
	s or loan guarantees					•	1b(5)		X
	ormance of services or me	•	-				1b(6)	_	X
	f facilities, equipment, ma	-					10	L	X
						market value of the goods		sets,	
				ed less than fair market val	lue in any transactio	n or sharing arrangement	, show in		
column (d	i) the value of the goods,								
(a) Lene no	(b) Amount involved	(c) Name o		exempt organization	(d) Description	on of transfers, transactions ar	nd sharing ar	rangeme	nts
			N/A		<u></u>				
				-					
			•						
			·····		<u> </u>	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
									
									
	 	 							
		 							
	· · · · · · · · · · · · · · · · · · ·	 							
		<u> </u>		 					
	=	-		or more tax-exempt organ	izations described		 1	1	٦
	501(c) of the Code (other	-	(3)) or in sect	on 527?			Yes	X] No
b if Yes, c	omplete the following sch								
	(a) Name of org	anization		(b) Type of organization		(c) Description of relation	iship		
	N/A								
					ļ	 			
					ļ				
					<u> </u>				
				g accompanying schedules and			May the IRS	liacusa (this
Sign	eller, it is true, correct, and cor	nplete Declaration of pr	reparer (other than	taxpayer) is based on all infor	mation of which prepare	rius any knownouge	sturn with the	e prepare	er e
Here				1	PRESI		X Yes		No
Sign	nature of officer or trustee			Date	Trtle	L	100		
1	Print/Type preparer's na		Preparer's si		Date	Check If PTIN	i		
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		1/4	As O Assault	Ulater	self- employed			
Paid	SARAH DURH	AM	I(I)M		14 4	· - I	10055	100	
Preparer			TANY	A CALLY A TONI	îrici n 🗀		00955		
Use Only	rom s name > STI	LES, CART	TEK AND	associates,	PSC	Firm's EIN ► 61-3	14947	U 5	
OSO CITIY	Firm's address 5 00	01 5				 			
	Firm's address ► 29			40004					
	L EL	IZABETHTO	OWN, KY	42701			<u> 769-6</u>		
							Form 99 0)-PF ((2013)

Form 990-PF (2013)

20-3992108 THE RISE FOUNDATION, INC Form 990-PF (2013) Page 12 Part XVI-A **Analysis of Income-Producing Activities** Unrelated business income Excluded by section 512, 513, or 514 Enter gross amounts unless otherwise indicated. (e) (C) Exclu-sion code (a) Business code (d) (b) Related or exempt Amount Amount function income 1 Program service revenue: Fees and contracts from government agencies 2 Membership dues and assessments 3 Interest on savings and temporary cash investments 4 Dividends and interest from securities 5 Net rental income or (loss) from real estate: a Debt-financed property b Not debt-financed property 6 Net rental income or (loss) from personal property 7 Other investment income 8 Gain or (loss) from sales of assets other 25,497. than inventory 9 Net income or (loss) from special events 10 Gross profit or (loss) from sales of inventory 11 Other revenue: 0. 12 Subtotal. Add columns (b), (d), and (e) <u>31,486</u> 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Relationship of Activities to the Accomplishment of Exempt Purposes Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of Line No. the foundation's exempt purposes (other than by providing funds for such purposes). INTEREST INCOME EARNED ON CASH DEPOSITS TO BE USED FOR ONGOING CHARITABLE DONATIONS OF THE ORGANIZATION

Form 8868 (Rev. 1 2014)					Page 2
If you are filing for an Additional (Not Automatic) 3-Month Ex	tension, d	complete only Part II and check this	box		X
Note. Only complete Part II if you have already been granted an a	automatic	3-month extension on a previously fi	led Form	8868	
• If you are filing for an Automatic 3-Month Extension, complet				<u> </u>	
Part II Additional (Not Automatic) 3-Month E	xtensio	n of Time. Only file the origin	al (no c	opies needed).	
		Enter filer's	identifyir	ng number, see in	structions
Type or Name of exempt organization or other filer, see instru-	ctions.		Employe	r identification nun	aber (EIN) or
print					
File by the THE RISE FOUNDATION, INC				20-39921	08
due date for filing your Number, street, and room or suite no. If a P.O. box, su		1	Social se	curity number (SS	N)
return See C/O STILES CARTER & ASSOC PS					
City, town or post office, state, and ZIP code. For a fo	-	ress, see instructions.			
ELIZABETHTOWN, KY 42702-0622	2				
Enter the Return code for the return that this application is for (file	e a separa	te application for each return)	- •		0 4
	r				T
Application	Return	Application			Return
Is For	Code	Is For		-	Code
Form 990 or Form 990-EZ	01				'
Form 990-BL	02	Form 1041-A	-		08
Form 4720 (individual)	03	Form 4720 (other than individual)			09
Form 990-PF	04 05	Form 5227			10
Form 990-T (sec. 401(a) or 408(a) trust)	06	Form 6069 Form 8870			12
Form 990-T (trust other than above)		<u> </u>	iovolv Glo	d Farm 0000	1
STOP! Do not complete Part II if you were not already granted PORTIA B. PENNI			iousiy nie	a rorm 6666.	
• The books are in the care of > 2309 H.E. JOHNS			N PV	42103	
Telephone No. ► 270 - 843 - 2160	3014 K	Fax No.	W 1 1 1	42103	
 If the organization does not have an office or place of business 	o in the life		•		
If this is for a Group Return, enter the organization's four digit (I this is foi	r the whole group	check this
box . If it is for part of the group, check this box .		ch a list with the names and EINs of			
4 request an additional 3-month extension of time until		100	an memo	ord the extension	<u> </u>
5 For calendar year 2013, or other tax year beginning		, and ending	1		
6 If the tax year entered in line 5 is for less than 12 months, c	heck reas		Final r	etum	·
Change in accounting period					
7 State in detail why you need the extension					
ADDITIONAL TIME IS NECESSARY	ro FI	LE A COMPLETE AND	ACCUR	ATE RETUR	N
					-
8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720,	or 6069,	enter the tentative tax, less any			
nonrefundable credits. See instructions			8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069	, enter an	y refundable credits and estimated	- =)		
tax payments made. Include any prior year overpayment all	owed as a	a credit and any amount paid			
previously with Form 8868.			8b	\$	0.
Balance due. Subtract line 8b from line 8a. Include your pa	yment wit	h this form, if required, by using			
EFTPS (Electronic Federal Tax Payment System). See instru	uctions		8c	\$	0.
Signature and Verificat	ion mu:	st be completed for Part II o	nly.		
Under penalties of perjury, I declare that I have examined this form, includ		anying schedules and statements, and to	the best o	f my knowledge and	belief,
it is true, correct, and complete, and that I am authorized to prepare this fo	orm.			11 17	1
Signature Title I	PRESI	DENT	Date	<u>►11-17</u>	74
, ,				Form 8868 (F	

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THE RISE FOUNDATE	ON, INC					2	20-3992	108
FORM 990-PF	INTERE	ST AND	PENALTIES			STAT	EMENT	5
TAX DUE FROM FORM LATE PAYMENT INT LATE PAYMENT PEN	TEREST	VI						85. 4. 10.
TOTAL AMOUNT DUE							2	99.
FORM 990-PF	LA	TE PAY	MENT PENALT	ΓY		STAT	EMENT	6
DESCRIPTION	DAT	E	AMOUNT	BALAN(CE I	MONTHS	PENAL	TY
TAX DUE DATE FILED	05/15 11/17		285.		285. 285.	7		10.
TOTAL LATE PAYMENT	PENALTY							10.
FORM 990-PF	LA	TE PAY	MENT INTERE	EST		STAT	EMENT	7
DESCRIPTION	DATE	AMOU	NT BAI	LANCE	RATE	DAYS	INTER	est
TAX DUE DATE FILED	05/15/14 11/17/14		285.	285. 289.	.030	0 186		4.

TOTAL LATE PAYMENT INTEREST

4.

THE	RISE	FOUNDATION,	INC
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20-3992108

FORM 990-PF INTERE	ST ON SAVING	GS AND TEM	PORARY	CASH IN	IVESTMENTS	STATEMENT 1
SOURCE	REVE	(A) REVENUE PER BOOKS		(B) IVESTMENT ICOME	(C) ADJUSTED NET INCOME	
CHARLES SCHWAB			1,893.	 	1,893.	
TOTAL TO PART I, LI	NE 3		1,893.		1,893.	
FORM 990-PF	DIVIDENDS	AND INTER	EST FRO	OM SECUE	RITIES	STATEMENT 2
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDEND	RE	(A) EVENUE R BOOKS		(C) ST- ADJUSTED DME NET INCOME
CHARLES SCHWAB	4,461.	36	5.	4,096.	4,09	06.
TO PART I, LINE 4	4,461.	36	5.	4,096.	4,09	16.
FORM 990-PF		TAX	ES			STATEMENT 3
DESCRIPTION		(A) EXPENSES PER BOOKS	NET IN	3) IVEST- INCOME	(C) ADJUSTED NET INCOM	
FEDERAL FOREIGN INVESTMENT	TAX	231. 113.		231. 113.		0.
TO FORM 990-PF, PG	1, LN 18	344.		344.		0.
FORM 990-PF		OTHER E	XPENSES	5		STATEMENT 4
DESCRIPTION		(A) EXPENSES PER BOOKS	(E NET IN MENT I	IVEST-	(C) ADJUSTED NET INCOM	
LEGAL & PROFESSIONAL INVESTMENT FEES INTEREST	L FEES	920. 2,605. 11.	· · · · · · · · · · · · · · · · · · · 	0. 2,605. 11.		920. 0. 0.
OFFICE EXPENSES		330.		0.		330.
TO FORM 990-PF, PG	1, LN 23	3,866.		2,616.	· · · · · · · · · · · · · · · · · · ·	1,250.

7657	934 STILES&CA	RTER ASSOCIA		00: 10: 14 a.m.	11-17-2014	18 /21
	•					
		, '		JATION FOR		
P	HE RISE FOUNDAT art IV- Capital Gains and Lo	'LON, INC sses for Tax on Investment Income	20-399	2108 P	AGE 4	OF 4
٠		d describe the kind(s) of property so		(b) How acquired	(c) Date acquired	(d) Date sold
	2-story b	rick warehouse; or common stock,	200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(mo., day, yr.)	(mo., day, yr.)
1a	SCHWAB HEALTH	CARE FUN			VARIOUS	
	VANGUARD BOND				VARIOUS	
	VANGUARD CORP			P	VARIOUS	VARIOUS
	CAPITAL GAINS	DIVIDENDS				
_ <u>e</u>						
1			***************************************			
<u>. g</u> h			· · · · · · · · · · · · · · · · · · ·			
1			· · · · · · · · · · · · · · · · · · ·			
	~ 					
k						
	····	······································				
m						
n	· · · · · · · · · · · · · · · · · · ·	····		<u> </u>		
_0		// O	1			<u> </u>
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) (e) p	Gain or (loss) lus (f) minus (g)	
a	573.					573.
<u>b</u>	12.					12.
C	136.					136.
₫	365.					365.
e			-			
f g						
h					• • • • • • • • • • • • • • • • • • • •	
1						· · · · · · · · · · · · · · · · · · ·
$\overline{\perp}$						
k						
1					<u></u>	
m						
<u>n</u>						
0	Complete only for assets shown	l ng gain in column (h) and owned by	the foundation on 12/31/69	(1) 1 00	ses (from col. (h))	
		(j) Adjusted basis	(k) Excess of col. (i)		ses (110111 col. (11)) if col. (h) gain ovei	
	(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (i), if any	but n	ot less than "-0-")	
a				- "	· · · · · · · · · · · · · · · · · · ·	573.
b						12.
С						136.
d			 			365.
<u>e</u>						
1			 		· · · · · · · · · · · · · · · · · · ·	
<u>a</u>						
<u>h</u>			 			
					<u> </u>	·-··

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 } If (loss), enter "-0-" in Part I, line 7
3 Net short-term capital gain or (loss) as defined	in sections 1222(5) and (6):

25,497.

If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

<u>k</u>

<u>n</u> 0

CONTINUATION FOR 990-PF, PART IV 20-3992108 PAGE 3 OF 4

THE RISE FOUNDAT		20-39	92108	PAGE 3 OF 4
Part IV Capital Gains and Lo	osses for Tax on Investment Income		100 11-	
	id describe the kind(s) of property sol brick warehouse; or common stock, 2		(b) How a P - Pur D - Dor	chase (mo day w) (mo day w)
1a JPMORGAN CHASE	3 & CO		1	P 11/05/10/03/15/13
b OAKMARK SELECT	r FUND			P 01/15/0906/05/13
c OAKMARK SELECT	r FUND]	P 12/15/1106/05/13
d OAKMARK SELECT	r FUND)	P 01/15/0910/10/13
e SCHWAB HEALTH				P 01/25/0706/05/13
I SCHWAB HEALTH				P 04/25/0706/05/13
9 SCHWAB HEALTH		· · · · · · · · · · · · · · · · · · ·		P 12/20/07/06/05/13
h SCHWAB HEALTH				P 12/20/07/06/05/13
SCHWAB HEALTH				P 12/23/1006/05/13
	CARE FUN			P 12/22/1106/05/13
	CARE FUN			P 01/25/0710/10/13
I OAKMARK SELECT				P VARIOUS VARIOUS
m HEARTLAND VALU				P VARIOUS VARIOUS
n JENSEN QUALITY				P VARIOUS VARIOUS
o LORD ABBETT BO				P VARIOUS VARIOUS
O LORD ABBETT BO		(a) Cont or other hage	<u> </u>	(h) Gain or (loss)
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(e) plus (f) minus (g)
a 8,982.		8,164.		818.
b 4,942.		2,126.		2,816.
c 58.		45.		13.
d 4,000.		1,580.		2,420.
e 3,509.		2,514.		995.
1,026.		793.		233.
g 59.		45.		14.
h 120.		92.		28.
165.		122.		43.
122.		98.		24.
k 2,000.		1,319.		681.
938.				938.
m 1,015.				1,015.
n 556.				556.
0 152.				152.
Complete only for assets shown	ing gain in column (h) and owned by	the foundation on 12/31/69		(i) Losses (from col. (h))
	(j) Adjusted basis	(k) Excess of cal. (i)	Gains (excess of col. (h) gain over col. (k),
(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (i), if any		but not less than '-0-")
a				818.
ъ				2,816.
C				13.
d				2,420.
e				995.
f				233.
0				14.
h				28.
				43.
1				24.
k				681.
"				938.
<u>m</u>				1,015.
n				556.
0				152.
<u>v</u>	-L	<u> </u>		152.
2 Capital gain net income or (net ca	antal loss) (If gain, also enter	r in Part I, line 7	,	
	(11/1035), 61.101)- in Part I, line 7	-2	
	oss) as defined in sections 1222(5) ar	nd (6):		
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line		r 1	,	

00:08:48 a.m. 11-17-2014 16 /21

CONTINUATION FOR 990-PF, PART IV 20-3992108 PAGE 2 OF 4 THE RISE FOUNDATION, INC

Part IV Capital Gains and Los		20 332	2100 -	2 01 2			
	ses for Tax on Investment Income	Loo real sets	(b) How acquired	(c) Date acquired (d) Date sold			
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.				(c) Date acquired (d) Date sold (mo., day, yr.)			
	D - Donation P	12/27/0706/05/13					
	1a HEARTLAND VALUE FUND						
b HEARTLAND VALU			<u>P</u>	12/27/07/06/05/13			
c HEARTLAND VALU			P	12/27/07/06/05/13			
d HEARTLAND VALU			P	12/27/07/06/05/13			
e HEARTLAND VALU			<u>P</u>	12/27/10/06/05/13			
f HEARTLAND VALU			<u>P</u>	12/27/07/10/10/13			
q HEARTLAND VALU		_, <u> </u>	P	12/27/07/10/10/13			
h HEARTLAND VALU			P	03/31/08/10/10/13			
HEARTLAND VALU			<u>P</u>	01/15/0910/10/13			
<u> </u>			P	12/29/11/10/10/13			
k HEARTLAND VALU			P	12/29/11/10/10/13			
HEARTLAND VALU			P	01/15/0911/21/13			
m ISHARES TR MSC			P	11/29/10/10/10/13			
n JENSEN QUALITY			P	01/15/0910/10/13			
JENSEN QUALITY	GROWTH		P	12/22/11/10/10/13			
(e) Gross sales price	(f) Depreciation allowed	(g) Cost or other basis	(h) Gain or (loss)			
(e) Gross saids price	(or allowable)	plus expense of sale	(e) (olus (f) minus (g)			
a 80.		74.		6.			
b 106.		99.		7.			
c 384.		357.		27.			
d 3,200.		2,976.		224.			
e 1,204.		1,178.		26.			
1 142.		120.		22.			
g 617.		522.		95.			
h 78.		60.		18.			
472.		235.		237.			
735.		575.		160.			
k 1,956.		1,529.		427.			
4,750.		2,237.		2,513.			
m 6,394.		5,451.		943.			
n 7,752.		4,027.		3,725.			
0 159.		118.		41.			
	ng gain in column (h) and owned by		(I) Lo	sses (from col. (h))			
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")			
a				6.			
<u>b</u>				7.			
С				27.			
d				224.			
e				26.			
1			·	22.			
9				95.			
h				18.			
1				237.			
1				160.			
k				427.			
<u> </u>				2,513.			
<u>'</u>				943.			
<u> </u>				3,725.			
		41.					
- •	2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):						
If gain, also enter in Part I, line 8, if (loss), enter "-0-" in Part I, line	column (c).	υ (ο).	3				

CONTINUATION FOR 990-PF, PART IV 20-3992108 PAGE 1 OF 4

THE RISE FOUNDAT		20-399	92108	PAGE 1	OF 4		
	d describe the kind(s) of property so irick warehouse, or common stock, ?		P - Purchas D - Donatio		()110-, uay, y1.)		
la VANGUARD BOND	INDEX		P	08/23/13	10/10/13		
b VANGUARD SMALL	CAP		P		10/10/13		
c CHEVRON			P		05/07/13		
d CISCO		·	P		03/27/13		
e JENSEN QUALITY	CDOWNU		P		10/10/13		
	GROWIN						
1 CHEVRON			<u>P</u>		05/07/13		
q CISCO			P		04/26/13		
h FNMA			P		05/01/13		
GENERAL ELECTR			P		05/31/13		
HEARTLAND VALU	E FUND		P	03/14/07	04/26/13		
k HEARTLAND VALU	E FUND		P	12/14/07	04/26/13		
HEARTLAND VALU	E FUND		P	12/27/10	04/26/13		
m HEARTLAND VALU			P		04/26/13		
n HEARTLAND VALU			P		04/26/13		
• HEARTLAND VALU			P		06/05/13		
O REAKTDAND VALO		1 110-1-1			06/03/13		
(e) Gross sales price	(1) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	((h) Gain or (loss) e) plus (l) minus (g)			
a 8,043.	<u> </u>	7,992.			51.		
b 5,090.		4,520.	•		570.		
c 290.		593.			<303.>		
d 169.		79.	·	·····	90.		
e 89.		71.	 		18.		
12,338.	· · · · · · · · · · · · · · · · · · ·	9,122.			3,216.		
g 8,215.		8,211.			4.		
h 20,000.		20,583.		····	<u><583.</u> >		
9,430.		6,463.	<u></u>		2,967.		
599.		716.			<u><117.</u> >		
k 4,385.		5,000.			<u> <615.</u> >		
1 2,469.		2,572.			<103.>		
m 5.		5.			0.		
n 42.		45.			<3.>		
0 27.		25.			2.		
	ng gain in column (h) and owned by			Losses (from col. (h))			
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (exce	ss of col. (h) gain over ut not less than "-0-")	r col. (k),		
a					51.		
b					570.		
C					<303.>		
		 			90.		
<u>d</u>							
<u>e</u>	 	· · · · · · · · · · · · · · · · · · ·	···		18.		
f		 		···	3,216.		
g	<u> </u>	 			4.		
h					<u> <583.</u> >		
<u> </u>					2,967.		
<u></u>					<117.>		
k					<615.>		
I					<103.>		
m					0.		
n		 	······································		<3. >		
	· · · · · · · · · · · · · · · · · · ·	 					
0	1	l	-, - ,		2.		
2 Capital gain net income or (net ca	apital loss) { If gain, also ente	r in Part I, line 7 5- in Part I, line 7	2				
 Net short-term capital gain or (lo If gain, also enter in Part I, line 8, 	ss) as defined in sections 1222(5) ar , column (c).						
If (loss), enter "-0-" in Part I, line	8	J i	9				