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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2013Department of the Treasury
Internal Revenue Service▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation ROBERT L. MAULDIN CHARITABLE TRUST		A Employer identification number 20-3969051
Number and street (or P.O. box number if mail is not delivered to street address) 385 WINTERLOCKEN ROAD	Room/suite	B Telephone number (see instructions) 704-637-2002
City or town, state or province, country, and ZIP or foreign postal code SALISBURY, NC 28144		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 302764	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	6017	6017	6017	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		14478		
	8 Net short-term capital gain			826	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	6017	20495	6843		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	70	70	70	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	3480	3480	3480	
	24 Total operating and administrative expenses. Add lines 13 through 23	3550	3550	3550	
	25 Contributions, gifts, grants paid	15500			15500
26 Total expenses and disbursements. Add lines 24 and 25	19050	3550	3550	15500	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	(13033)				
b Net investment income (if negative, enter -0-)		16945			
c Adjusted net income (if negative, enter -0-)			3293		

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form **990-PF** (2013)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments		1266	22424	22424
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	247236	227488	280340	
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)				
	14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	248502	249912	302764		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	248502	249912		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	248502	249912		
31	Total liabilities and net assets/fund balances (see instructions)	248502	249912			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	248502
2	Enter amount from Part I, line 27a	2	(13033)
3	Other increases not included in line 2 (itemize) ▶ STOCK ACCOUNT REINVESTMENTS	3	14443
4	Add lines 1, 2, and 3	4	249912
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	249912

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CAPITAL GAIN DISTRIBUTION-WELLS FARGO				
b SCHEDULE-MERRILL LYNCH				
c SCHEDULE-MERRILL LYNCH				
d SCHEDULE-WELLS FARGO				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a			822	
b			(1411)	
c			15092	
d			(25)	
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	14478
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }			3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			
2 Total of line 1, column (d)			2
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4
5 Multiply line 4 by line 3			5
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	339
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	339
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	339
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	339
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			✓
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		✓
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
c	Did the foundation file Form 1120-POL for this year?		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
4b	If "Yes," has it filed a tax return on Form 990-T for this year?		✓
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		✓
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>		✓
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>		✓
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		
Website address ▶				
14	The books are in care of ▶ MARK MAULDIN	Telephone no. ▶	704-637-2002	
	Located at ▶ 385 WINTERLOCKEN ROAD, SALISBURY	ZIP+4 ▶	28144	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here. ▶ ☐	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☐ No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☐ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☐ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☐ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT MARK MAULDIN 385 WINTERLOCKEN ROAD, SALISBURY, NC 28144	TRUSTEE	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	CALVARY LUTHERAN CHURCH, SPENCER, NC 28159	
		14000
2	NORTH ROWAN HIGH SCHOOL, SPENCER, NC 28159	
		1000
3	SPENCER LITTLE LEAGUE, SPENCER, NC 28159	
		500
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1		
2		
All other program-related investments See instructions.		
3		
Total. Add lines 1 through 3		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	280244
b	Average of monthly cash balances	1b	11845
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	292089
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	292089
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	4381
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	287708
6	Minimum investment return. Enter 5% of line 5	6	14385

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	14385
2a	Tax on investment income for 2013 from Part VI, line 5	2a	339
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	339
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	14046
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	14046
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	14046

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	15500
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	15500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	170
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	15330

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				14046
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			1687	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4. ► \$ 15500				
a Applied to 2012, but not more than line 2a			1687	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				13813
e Remaining amount distributed out of corpus	233			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	233			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	233			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013	233			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	3293	4374	4064	7645	19376
b 85% of line 2a	2799	3718	3454	6498	16469
c Qualifying distributions from Part XII, line 4 for each year listed	15500	14300	10700	10500	51000
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	15500	14300	10700	10500	51000
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets	302764	281413	268896	290529	1143602
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
CALVARY LUTHERAN CHURCH SPENCER, NC 28159			RELIGIOUS	14000
NORTH ROWAN HIGH SCHOOL SPENCER, NC 28159			EDUCATIONAL	1000
SPENCER LITTLE LEAGUE ASSN SPENCER, NC 28159			CIVIC COMMUNITY	500
Total			3a	15500
b <i>Approved for future payment</i>				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					6017
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a CAPITAL GAIN ON SECURITIES					14478
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)					20495
13	Total. Add line 12, columns (b), (d), and (e)					20495

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|-------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | ✓ |
| (2) Other assets | 1a(2) | | ✓ |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | ✓ |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | ✓ |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | ✓ |
| (4) Reimbursement arrangements | 1b(4) | | ✓ |
| (5) Loans or loan guarantees | 1b(5) | | ✓ |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | ✓ |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | ✓ |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

7/8/14
Date

Trustee
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

ALVIN B BRANDON

Preparer's signature

Alvin S. Gerson

Date

7-4-14

Check ☐ if self-employed

PTIN

PO1296681

Firm's name ▶ ALVIN B BRANDON, CPA

Firm's EIN ▶ 56-1844694

Firm's address ► P.O. BOX 3177, SALISBURY, NC 28145

Phone no	704-637-2002
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2013 ENHANCED 1099

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As of Date: 1/24/14

Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Your Financial Advisor :
MICHAEL CLIMER / WES CLIMER
6000 FAIRVIEW RD STE 800
CHARLOTTE NC 28210
(704) 442-5150

R L MAULDIN CHARITABLE TR
ROBERT M MAULDIN TTEE
U/A DTD 12/20/2005
CHARITABLE TRUST
385 WINTERLOCKEN ROAD
SALISBURY NC 28144-9461

Account Number: 4409-2663
Your Federal Identification Number: 20-3969051

Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder. TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in *italics*. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED 1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental information not reported to the IRS	
					Gain or Loss Amount	Additional Information
SCHRODER ABSOLUTE / 808090633 RETURN EMD AND CURRENCY FUND INVESTOR CL 11/21/13	832 0000	08/06/13	8,494.72	8,519.68	-24.96	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS					-24.96	

*Box 2a Sales price less commissions and option premiums

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

001 / JQ / JQCC



Account No.
571-49700

Taxpayer No.
20-3969051

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ROBERT M MAULDIN TTEE

2013 SUPPLEMENTAL TAX INFORMATION STATEMENT

The following sections are provided to facilitate your review and the preparation of your tax return.

Your Supplemental Tax Information Statement that follows is a summary of the gains and losses on your Widely Held Fixed Investment Trust (WHFIT) securities. As a WHFIT interest holder, you must report your pro-rata share of the securities sold by the WHFIT trust in addition to any gain (loss) from the sales that you have made of your WHFIT securities. This section includes your pro-rata share of principal payments made by Real Estate Mortgage Investment Conduits (REMICs) and Collateralized Debt Obligations (CDOs).

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
SPDR GOLD TRUST								
14 0000	Trust Asset Sale	09/27/12	CUSIP Number 78463V107	0.73	0.80	0.00	(0.07)	
3 0000	Trust Asset Sale	01/28/13	01/07/13	0.16	0.17	0.00	(0.01)	
14 0000	Trust Asset Sale	09/27/12	02/21/13	0.76	0.86	0.00	(0.10)	
3 0000	Trust Asset Sale	01/28/13	03/12/13	0.15	0.16	0.00	(0.01)	
14 0000	Trust Asset Sale	09/27/12	03/12/13	0.74	0.82	0.00	(0.08)	
3 0000	Trust Asset Sale	01/28/13	04/09/13	0.16	0.17	0.00	(0.01)	
14 0000	Trust Asset Sale	09/27/12	04/09/13	0.76	0.85	0.00	(0.09)	
3 0000	Trust Asset Sale	01/28/13	05/10/13	0.17	0.19	0.00	(0.02)	
14 0000	Trust Asset Sale	09/27/12	05/10/13	0.78	0.97	0.00	(0.19)	
5 0000	Trust Asset Sale	04/25/13	05/10/13	0.28	0.29	0.00	(0.01)	
3 0000	Trust Asset Sale	01/28/13	06/17/13	0.15	0.18	0.00	(0.03)	
5 0000	Trust Asset Sale	04/25/13	06/17/13	0.24	0.26	0.00	(0.02)	
14 0000	Trust Asset Sale	09/27/12	06/17/13	0.68	0.88	0.00	(0.20)	
3 0000	Trust Asset Sale	01/28/13	07/10/13	0.14	0.19	0.00	(0.05)	
14 0000	Trust Asset Sale	09/27/12	07/10/13	0.67	0.95	0.00	(0.28)	
5 0000	Trust Asset Sale	04/25/13	07/10/13	0.24	0.28	0.00	(0.04)	
3 0000	Trust Asset Sale	01/28/13	07/31/13	384.97	480.41	0.00	(95.44)	



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571-49700

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20-3969051

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ROBERT M MAULDIN TTEE

2013 SUPPLEMENTAL TAX INFORMATION STATEMENT

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

SPDR GOLD TRUST	CUSIP Number	78463V107						
14 0000 Sale	09/27/12	07/31/13	1,796.53	2,406.34	0.00	(609.81)		
5 0000 Sale	04/25/13	07/31/13	641.63	707.96	0.00	(66.33)		
Security Subtotal			2,829.94	3,602.73	0.00	(772.79)		

Noncovered Short Term Capital Gains and Losses Subtotal

(772.79)

NET SHORT TERM CAPITAL GAINS AND LOSSES

(772.79)

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

SPDR GOLD TRUST	CUSIP Number	78463V107						
15 0000 Trust Asset Sale	11/02/11	01/07/13	0.79	0.83	0.00	(0.04)		
2 0000 Trust Asset Sale	12/16/11	01/07/13	0.11	0.10	0.00	0.01		
15 0000 Trust Asset Sale	11/02/11	02/21/13	0.82	0.90	0.00	(0.08)		
2 0000 Trust Asset Sale	12/16/11	02/21/13	0.11	0.11	0.00	0.00		
15 0000 Trust Asset Sale	11/02/11	03/12/13	0.79	0.86	0.00	(0.07)		
2 0000 Trust Asset Sale	12/16/11	03/12/13	0.11	0.11	0.00	0.00		
15 0000 Trust Asset Sale	11/02/11	04/09/13	0.81	0.89	0.00	(0.08)		
2 0000 Trust Asset Sale	12/16/11	04/09/13	0.11	0.11	0.00	0.00		
15 0000 Trust Asset Sale	11/02/11	05/10/13	0.83	1.02	0.00	(0.19)		
2 0000 Trust Asset Sale	12/16/11	05/10/13	0.11	0.12	0.00	(0.01)		
15 0000 Trust Asset Sale	11/02/11	06/17/13	0.73	0.92	0.00	(0.19)		
2 0000 Trust Asset Sale	12/16/11	06/17/13	0.10	0.11	0.00	(0.01)		
15 0000 Trust Asset Sale	11/02/11	07/10/13	0.72	0.99	0.00	(0.27)		
2 0000 Trust Asset Sale	12/16/11	07/10/13	0.10	0.12	0.00	(0.02)		
15 0000 Trust Asset Sale	11/02/11	07/31/13	1,924.85	2,512.41	0.00	(587.56)		
2 0000 Sale	12/16/11	07/31/13	256.64	306.65	0.00	(50.01)		
Security Subtotal			2,187.73	2,826.25	0.00	(638.52)		

Noncovered Long Term Capital Gains and Losses Subtotal

(638.52)



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ROBERT M MAULDIN TTEE

2013 SUPPLEMENTAL TAX INFORMATION STATEMENT

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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NET LONG TERM CAPITAL GAINS AND LOSSES

2,187.73 2,826.25 0.00 (638.52)

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES
TOTAL REPORTED SALES PROCEEDS

5,017.67
5,017.67

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
0.00	(772.79)	0.00	(638.52)

ROBERT M MAULDIN TTEE

2013 TAX REPORTING STATEMENT

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ISHARES DJ US TELCOMMUN SECTOR INDEX FUND								
1 0000	Sale	01/28/13	04/25/13	26.89	24.73	0.00	2.16	
1 0000	Sale	01/28/13	07/31/13	28.04	24.73	0.00	3.31	
Security Subtotal				54.93	49.46	0.00	5.47	
ISHARES IBOMX \$ INVT GRADE CORP BD								
3 0000	Sale	09/27/12	07/31/13	343.66	364.95	0.00	(21.29)	
VANGUARD MSCI EMERGING MARKETS ETF								
7 0000	Sale	04/25/13	07/31/13	275.81	303.00	0.00	(27.19)	
ISHARES 1-3 YEAR CREDIT BOND ETF								
5 0000	Sale	09/27/12	07/31/13	526.36	528.24	0.00	(1.88)	
2 0000	Sale	04/25/13	07/31/13	210.55	211.08	0.00	(0.53)	
Security Subtotal				736.91	739.32	0.00	(2.41)	

ROBERT M MAULDIN TTEE

2013 TAX REPORTING STATEMENT

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ISHARES MBS ETF								
1.0000	Sale	08/02/12	07/31/13	104.93	108.93	0.00	(4.00)	
6.0000	Sale	09/27/12	07/31/13	629.59	655.35	0.00	(25.76)	
2.0000	Sale	04/25/13	07/31/13	209.87	216.32	0.00	(6.45)	
				944.39	980.60	0.00	(36.21)	
Security Subtotal								
ISHARES U.S. PREFERRED STOCK ETF								
4.0000	Sale	09/27/12	07/31/13	155.46	159.58	0.00	(4.12)	
ISHARES IBOX\$ HIGH YIELD CORPORATE BOND								
1.0000	Sale	09/27/12	07/31/13	92.93	92.61	0.00	0.32	
SPDR S P BRIC 40								
39.0000	Sale	04/25/13	07/31/13	843.58	897.14	0.00	(53.56)	
SPDR KBW REGIONAL BANKIN ETF								
18.0000	Sale	01/28/13	07/31/13	667.46	531.47	0.00	135.99	
SPDR BARCLAYS HIGH YIELD BOND ETF								
7.0000	Sale	09/27/12	07/31/13	282.10	282.03	0.00	0.07	
3.0000	Sale	04/25/13	07/31/13	120.91	124.59	0.00	(3.68)	
				403.01	406.62	0.00	(3.61)	
Security Subtotal								
ISHARES -3 YEAR INTL TREASURY BOND ETF								
2.0000	Sale	08/02/12	07/31/13	187.21	186.95	0.00	0.26	
7.0000	Sale	04/25/13	07/31/13	655.27	652.89	0.00	2.38	
				842.48	839.84	0.00	2.64	
Security Subtotal								
PERKINS MID CAP VALUE FUND CL I								
3.0000	Sale	12/20/12	01/28/13	68.04	64.56	0.00	3.48	
1.0000	Sale	12/20/12	01/28/13	22.68	21.81	0.00	0.87	
2560	Sale	12/20/12	01/28/13	5.81	5.51	0.00	0.30	
14.0000	Sale	12/20/12	01/28/13	317.53	301.28	0.00	16.25	
10.0000	Sale	06/25/12	01/28/13	226.80	201.50	0.00	25.30	



ROBERT M MAULDIN TTEE

Account No.
571-49700

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2013 TAX REPORTING STATEMENT

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PERKINS MID CAP VALUE FUND CL I								
		CUSIP Number	47103C241					
1 0000	Sale	09/27/12	01/28/13	22.68	22.84	0.00	(0.16)	
1 0000	Sale	09/27/12	01/28/13	22.68	22.11	0.00	0.57	
				686.22	639.61	0.00	46.61	
Security Subtotal								
FIDELITY ADV FLOATING RATE HIGH INC FD CL I								
		CUSIP Number	315807552					
.4260	Sale	12/31/12	01/28/13	4.25	4.23	0.00	0.02	
1 0000	Sale	11/30/12	04/25/13	10.00	9.93	0.00	0.07	
2 0000	Sale	12/10/12	04/25/13	20.00	19.79	0.00	0.21	
1 0000	Sale	01/31/13	04/25/13	10.00	9.96	0.00	0.04	
1 0000	Sale	02/28/13	04/25/13	10.00	9.91	0.00	0.09	
1 0000	Sale	02/28/13	04/25/13	10.00	9.95	0.00	0.05	
.6480	Sale	03/28/13	04/25/13	6.48	6.45	0.00	0.03	
1 0000	Sale	03/28/13	04/25/13	10.01	9.98	0.00	0.03	
1 0000	Sale	04/30/12	04/25/13	10.00	9.83	0.00	0.17	
1 0000	Sale	07/31/12	04/25/13	10.00	9.84	0.00	0.16	
3 0000	Sale	08/02/12	04/25/13	30.00	29.55	0.00	0.45	
1 0000	Sale	08/31/12	04/25/13	10.00	9.83	0.00	0.17	
1 0000	Sale	08/31/12	04/25/13	10.00	9.88	0.00	0.12	
18 0000	Sale	09/27/12	04/25/13	180.00	178.74	0.00	1.26	
1 0000	Sale	10/05/12	04/25/13	10.00	9.91	0.00	0.09	
1 0000	Sale	10/05/12	04/25/13	10.00	9.93	0.00	0.07	
1 0000	Sale	10/31/12	04/25/13	10.00	9.92	0.00	0.08	
1 0000	Sale	11/30/12	04/25/13	10.00	9.91	0.00	0.09	
0710	Sale	06/28/13	07/10/13	0.70	0.69	0.00	0.01	
0010	Sale	07/31/13	08/23/13	0.01	0.01	0.00	0.00	
				371.45	368.24	0.00	3.21	
Security Subtotal								
DELAWARE DIVERSIFIED FLOATING RATE FUND CL I								
		CUSIP Number	245908637					
8530	Sale	08/06/13	10/24/13	7.33	7.34	0.00	(0.01)	
DELAWARE EMERGING MARKETS FUND INSTL CL								
		CUSIP Number	245914817					
5920	Sale	08/06/13	10/24/13	10.01	8.64	0.00	1.37	
NEUBERGER BERMAN EMERG MARKETS EQUITY FUND INST								
		CUSIP Number	641224415					
1890	Sale	08/06/13	10/22/13	3.22	2.99	0.00	0.23	

ROBERT M MAULDIN TTEE

2013 TAX REPORTING STATEMENT

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JP MORGAN MID CAP VALUE								
	FD CL SELECT	CUSIP Number	339183105					
	4480 Sale	12/19/12	01/28/13	13.08	12.36	0.00	0.72	
	2.0000 Sale	12/14/12	04/25/13	62.64	55.64	0.00	7.00	
	.4910 Sale	12/19/12	04/25/13	15.39	13.54	0.00	1.84	
	4.0000 Sale	12/19/12	04/25/13	125.29	111.92	0.00	13.37	
	Security Subtotal			216.39	193.46	0.00	22.93	
BLACKROCK FLOATING RATE INCOME PORTFOLIO CL INST								
	.9890 Sale	CUSIP Number	09256H187	10.34	10.33	0.00	0.01	
GOLDMAN SACHS STRATEGIC INCOME FUND CL I								
	8.0000 Sale	08/02/12	01/28/13	83.76	79.68	0.00	4.08	
	0520 Sale	09/27/12	01/28/13	0.54	0.53	0.00	0.01	
	1110 Sale	07/31/13	10/24/13	1.17	1.17	0.00	0.00	
	Security Subtotal			85.47	81.38	0.00	4.09	
DWS ENHANCED COMMODITY STRATEGY FUND CL S								
	.5820 Sale	CUSIP Number	23337G258	1.80	1.78	0.00	0.02	
WILLIAM BLAIR SMALL MID CAP GROWTH FUND I								
	6040 Sale	01/28/13	04/25/13	9.96	9.34	0.00	0.62	
	5.0000 Sale	01/28/13	04/25/13	82.45	77.35	0.00	5.10	
	1540 Sale	01/28/13	04/25/13	2.54	2.39	0.00	0.15	
	46.0000 Sale	01/28/13	07/10/13	825.70	711.62	0.00	114.08	
	6640 Sale	01/28/13	07/10/13	11.92	10.27	0.00	1.65	
	14.0000 Sale	01/28/13	07/31/13	259.14	216.58	0.00	42.56	
	1740 Sale	01/28/13	07/31/13	3.22	2.69	0.00	0.53	
	0080 Sale	01/28/13	10/24/13	0.16	0.12	0.00	0.04	
	Security Subtotal			1,195.09	1,030.36	0.00	164.73	
ALLIANZGI SHRT DURATION HIGH INCOME FUND CL P								
	1520 Sale	CUSIP Number	01880B884	2.41	2.41	0.00	0.00	

ROBERT M MAULDIN TTEE

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
IVY ASSET STRATEGY FUND CL I								
		CUSIP Number	466001864					
.3290	Sale	12/13/12	01/28/13	8.98	7.98	0.00	1.00	
.0730	Sale	04/25/13	07/10/13	2.01	1.99	0.00	0.02	
.1010	Sale	07/31/13	10/24/13	3.13	2.88	0.00	0.25	
	Security Subtotal			14.12	12.85	0.00	1.27	
IVY SCIENCE AND TECHNOLOGY FUND CL I								
		CUSIP Number	466001807					
1560	Sale	04/25/13	07/10/13	7.22	6.70	0.00	0.52	
8.0000	Sale	04/25/13	07/10/13	370.32	343.60	0.00	26.72	
5790	Sale	04/25/13	07/10/13	26.80	24.87	0.00	1.93	
5.0000	Sale	04/25/13	07/31/13	242.75	214.75	0.00	28.00	
.0060	Sale	04/25/13	07/31/13	0.30	0.26	0.00	0.04	
.4210	Sale	04/25/13	07/31/13	20.43	18.08	0.00	2.35	
.9940	Sale	04/25/13	10/24/13	52.70	42.69	0.00	10.01	
	Security Subtotal			720.52	650.95	0.00	69.57	
SCHRODER ABSOLUTE RTN EMD & CURRENCY FD INV CL								
		CUSIP Number	808090633					
.1620	Sale	08/06/13	10/22/13	1.67	1.66	0.00	0.01	
ING GLOBAL REAL ESTATE FUND CL I								
		CUSIP Number	44980Q302					
.1790	Sale	01/02/13	01/28/13	3.29	3.23	0.00	0.06	
0320	Sale	04/02/13	04/25/13	0.64	0.52	0.00	0.12	
1020	Sale	10/02/13	10/24/13	1.94	1.88	0.00	0.06	
	Security Subtotal			5.87	5.63	0.00	0.24	
NATIXIS GATEWAY FUND CL								
		CUSIP Number	367829884					
3830	Sale	12/21/12	01/28/13	10.54	10.43	0.00	0.11	
.2900	Sale	03/22/13	04/26/13	8.15	7.98	0.00	0.17	
.3960	Sale	06/21/13	07/11/13	11.10	10.94	0.00	0.16	
9240	Sale	09/25/13	10/22/13	26.31	25.78	0.00	0.53	
	Security Subtotal			56.10	55.13	0.00	0.97	
BLACKROCK MULTI ASSET INCOME PORTFOLIO INSTL								
		CUSIP Number	09256H336					
9700	Sale	08/06/13	10/22/13	10.86	10.68	0.00	0.18	



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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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PIMCO FOREIGN BOND FD (USD HEDGED) CL P

CUSIP Number 72201M784

0920 Sale	12/31/12	01/28/13	0.99	0.99	0.00	0.00	0.00	
1.0000 Sale	07/31/12	07/31/13	10.58	11.02	0.00	0.00	(0.44)	
1.0000 Sale	08/31/12	07/31/13	10.58	11.11	0.00	0.00	(0.53)	
1.0000 Sale	09/27/12	07/31/13	10.58	11.02	0.00	0.00	(0.44)	
8.0000 Sale	09/27/12	07/31/13	84.64	90.32	0.00	0.00	(5.68)	
1.0000 Sale	10/01/12	07/31/13	10.58	11.30	0.00	0.00	(0.72)	
1.0000 Sale	11/01/12	07/31/13	10.58	11.31	0.00	0.00	(0.73)	
1.0000 Sale	12/12/12	07/31/13	10.58	11.39	0.00	0.00	(0.81)	
1.0000 Sale	12/12/12	07/31/13	10.58	10.97	0.00	0.00	(0.39)	
1.0000 Sale	12/12/12	07/31/13	10.58	10.97	0.00	0.00	(0.39)	
18.0000 Sale	12/12/12	07/31/13	190.44	197.46	0.00	0.00	(7.02)	
9.0000 Sale	12/27/12	07/31/13	95.22	96.93	0.00	0.00	(1.71)	
1.0000 Sale	12/31/12	07/31/13	10.58	10.82	0.00	0.00	(0.24)	
1.0000 Sale	01/31/13	07/31/13	10.58	10.77	0.00	0.00	(0.19)	
1.0000 Sale	02/28/13	07/31/13	10.58	10.81	0.00	0.00	(0.23)	
1.0000 Sale	04/26/13	07/31/13	10.58	10.90	0.00	0.00	(0.32)	
1.0000 Sale	04/30/13	07/31/13	10.58	10.95	0.00	0.00	(0.37)	
1.0000 Sale	06/28/13	07/31/13	10.58	10.71	0.00	0.00	(0.13)	
1.0000 Sale	07/10/13	07/31/13	10.58	10.51	0.00	0.00	0.07	
15.0000 Sale	07/10/13	07/31/13	158.71	158.25	0.00	0.00	0.46	
.0010 Sale	07/31/13	07/31/13	0.01	0.01	0.00	0.00	0.00	
.9280 Sale	07/31/13	08/23/13	9.73	9.82	0.00	0.00	(0.09)	
.0010 Sale	08/30/13	09/20/13	0.01	0.01	0.00	0.00	0.00	
Security Subtotal				718.35	0.00		(19.90)	

FIRST EAGLE FUNDS OF AMERICA CL I

CUSIP Number 32008F663

.2220 Sale	04/25/13	07/10/13	7.37	7.02	0.00	0.00	0.35	
9.0000 Sale	04/25/13	07/10/13	298.80	284.67	0.00	0.00	14.13	
1050 Sale	04/25/13	07/31/13	3.52	3.32	0.00	0.00	0.20	
3.0000 Sale	04/25/13	07/31/13	100.83	94.89	0.00	0.00	5.94	
8690 Sale	04/25/13	07/31/13	29.22	27.49	0.00	0.00	1.73	
.1310 Sale	04/25/13	10/24/13	4.60	4.14	0.00	0.00	0.46	
Security Subtotal				444.34	0.00		22.81	

JP MORGAN STRATEGIC INCOME OPP FUND

CUSIP Number 4812A4351

.8060 Sale	08/06/13	10/22/13	9.58	9.57	0.00	0.00	0.01	
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2013 TAX REPORTING STATEMENT

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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FEDERATED PRUDENT BEAR FUND CL INSTL CUSIP Number 314172339

63 0000	Sale	08/02/12	07/31/13	190.26	254.52	0.00	(64.26)	
207 0000	Sale	09/27/12	07/31/13	625.14	778.32	0.00	(153.18)	
1 0000	Sale	01/28/13	07/31/13	3.02	3.72	0.00	(0.70)	
146 0000	Sale	01/28/13	07/31/13	440.92	509.54	0.00	(68.62)	
199 0000	Sale	04/25/13	07/31/13	600.98	646.75	0.00	(45.77)	
1 0000	Sale	07/10/13	07/31/13	3.02	3.25	0.00	(0.23)	
.4290	Sale	07/10/13	07/31/13	1.30	1.34	0.00	(0.04)	
94 0000	Sale	07/10/13	07/31/13	283.89	293.28	0.00	(9.39)	
Security Subtotal				2,148.53	2,490.72	0.00	(342.19)	

FRANKLIN INCOME FD ADV CLASS CUSIP Number 353496847

.1090	Sale	09/27/12	01/28/13	0.25	0.24	0.00	0.01	
.1350	Sale	07/10/13	07/31/13	0.31	0.31	0.00	0.00	
Security Subtotal				0.56	0.55	0.00	0.01	

TEMPLETON GLBL BOND FD ADV CL CUSIP Number 880208400

0240	Sale	01/11/13	01/28/13	0.32	0.31	0.00	0.01	
2260	Sale	04/17/13	04/25/13	3.07	3.05	0.00	0.02	
.5230	Sale	10/17/13	10/24/13	6.88	6.86	0.00	0.02	
Security Subtotal				10.27	10.22	0.00	0.05	

Covered Short Term Capital Gains and Losses Subtotal 12,071.22 12,098.97 (27.75)

NET SHORT TERM CAPITAL GAINS AND LOSSES 12,071.22 12,098.97 (27.75)

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ISHARES IBOXX \$ INVNT GRADE CORP BD CUSIP Number 464287242

14 0000	Sale	01/27/12	07/31/13	1,603.70	1,623.02	0.00	(19.32)	
5 0000	Sale	03/23/12	07/31/13	572.75	575.46	0.00	(2.71)	
Security Subtotal				2,176.45	2,198.48	0.00	(22.03)	



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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	VANGUARD MSCI EMERGING MARKETS ETF		CUSIP Number 922042858					
2.0000	Sale	03/23/12	07/31/13	78.80	86.04	0.00	(7.24)	
15.0000	Sale	06/25/12	07/31/13	591.00	567.68	0.00	23.32	
Security Subtotal				669.80	653.72	0.00	16.08	
	ISHARES 1-3 YEAR CREDIT BOND ETF		CUSIP Number 464288646					
17.0000	Sale	01/27/12	07/31/13	1,789.63	1,776.53	0.00	13.10	
7.0000	Sale	03/23/12	07/31/13	736.91	734.53	0.00	2.38	
Security Subtotal				2,526.54	2,511.06	0.00	15.48	
	ISHARES MBS ETF		CUSIP Number 464288588					
14.0000	Sale	01/27/12	07/31/13	1,469.03	1,517.38	0.00	(48.35)	
6.0000	Sale	03/23/12	07/31/13	629.58	647.02	0.00	(17.44)	
Security Subtotal				2,098.61	2,164.40	0.00	(65.79)	
	ISHARES U.S. PREFERRED STOCK ETF		CUSIP Number 464288687					
10.0000	Sale	01/27/12	07/31/13	388.61	380.67	0.00	7.94	
2.0000	Sale	03/23/12	07/31/13	77.72	77.98	0.00	(0.26)	
Security Subtotal				466.33	458.65	0.00	7.68	
	ISHARES IBOXX\$ HIGH YIELD CORPORATE BOND		CUSIP Number 464288513					
5.0000	Sale	01/27/12	07/31/13	464.61	454.75	0.00	9.86	
3.0000	Sale	03/23/12	07/31/13	278.77	271.50	0.00	7.27	
Security Subtotal				743.38	726.25	0.00	17.13	
	SPDR S P BRIC 40		CUSIP Number 78463X798					
11.0000	Sale	03/23/12	07/31/13	237.93	273.22	0.00	(35.29)	
55.0000	Sale	06/25/12	07/31/13	1,189.65	1,117.48	0.00	72.17	
Security Subtotal				1,427.58	1,390.70	0.00	36.88	



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ROBERT M MAULDIN TTEE

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SPDR BARCLAYS HIGH YIELD BOND ETF								
CUSIP Number 78464A417								
26.0000	Sale	01/27/12	07/31/13	1,047.81	1,027.71	0.00	20.10	
11.0000	Sale	03/23/12	07/31/13	443.30	433.73	0.00	9.57	
Security Subtotal				1,491.11	1,461.44	0.00	29.67	
ISHARES JP MORGAN EM BON								
CUSIP Number 464288281								
8.0000	Sale	01/27/12	07/31/13	873.59	883.00	0.00	(9.41)	
2.0000	Sale	03/23/12	07/31/13	218.40	225.14	0.00	(6.74)	
Security Subtotal				1,091.99	1,108.14	0.00	(16.15)	
ISHARES -3 YEAR INTL TREASURY BOND ETF								
CUSIP Number 464288125								
12.0000	Sale	01/27/12	07/31/13	1,123.30	1,191.90	0.00	(68.60)	
5.0000	Sale	03/23/12	07/31/13	468.04	493.56	0.00	(25.52)	
Security Subtotal				1,591.34	1,685.46	0.00	(94.12)	
FIDELITY ADV FLOATING RATE HIGH INC FD CL I								
CUSIP Number 315807552								
1.0000	Sale	01/25/11	01/28/13	9.98	9.99	0.01 (WY)	0.00	
1.0000	Sale	01/25/11	01/28/13	9.98	10.33	0.35 (WY)	0.00	
1.0000	Sale	01/25/11	04/25/13	9.99	9.91	0.00 (Y)	0.08	
1.0000	Sale	01/25/11	04/25/13	10.00	10.26	0.26 (WY)	0.00	
1.0000	Sale	01/29/11	04/25/13	10.00	10.02	0.00 (Y)	(0.02)	
1.0000	Sale	01/29/11	04/25/13	10.00	9.90	0.00 (Y)	0.10	
1.0000	Sale	01/27/12	04/25/13	10.00	9.82	0.00	0.18	
103.0000	Sale	01/27/12	04/25/13	1,030.00	1,005.28	0.00	24.72	
1.0000	Sale	01/31/12	04/25/13	10.00	9.77	0.00	0.23	
1.0000	Sale	02/29/12	04/25/13	10.00	9.78	0.00	0.22	
1.0000	Sale	03/23/12	04/25/13	10.00	9.79	0.00	0.21	
18.0000	Sale	03/23/12	04/25/13	180.00	176.22	0.00	3.78	
1.0000	Sale	03/30/12	04/25/13	10.00	9.81	0.00	0.19	
1.0000	Sale	01/30/11	07/10/13	9.92	10.27	0.00 (Y)	(0.35)	
Security Subtotal				1,329.87	1,301.15	0.62	29.34	
PIMCO FOREIGN BOND FD (USD HEDGED) CL P								
CUSIP Number 72201M784								
99.0000	Sale	01/27/12	07/31/13	1,047.42	1,053.36	0.00	(5.94)	
1.0000	Sale	01/31/12	07/31/13	10.58	10.65	0.00	(0.07)	
1.0000	Sale	02/29/12	07/31/13	10.58	10.70	0.00	(0.12)	



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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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PIMCO FOREIGN BOND FD (USD HEDGED) CL P

CUSIP Number 72201M784

1.0000 Sale	03/23/12	07/31/13	10.58	10.71	0.00	(0.13)	
15.0000 Sale	03/23/12	07/31/13	158.70	160.65	0.00	(1.95)	
1.0000 Sale	03/30/12	07/31/13	10.58	10.74	0.00	(0.16)	
1.0000 Sale	04/30/12	07/31/13	10.58	10.82	0.00	(0.24)	
1.0000 Sale	05/31/12	07/31/13	10.58	10.85	0.00	(0.27)	
1.0000 Sale	07/02/12	07/31/13	10.58	10.48	0.00	0.10	
1.0000 Sale	07/02/12	07/31/13	10.58	10.83	0.00	(0.25)	
Security Subtotal				1,299.76	1,299.79	0.00	(9.03)

FEDERATED PRUDENT BEAR FUND CL INSTL

CUSIP Number 314172339

1.0000 Sale	01/27/12	07/31/13	3.01	4.53	0.00	(1.52)	
65.0000 Sale	01/27/12	07/31/13	196.30	271.05	0.00	(74.75)	
1.0000 Sale	03/23/12	07/31/13	3.02	4.10	0.00	(1.08)	
1509.0000 Sale	03/23/12	07/31/13	4,557.19	5,915.28	0.00	(1,358.09)	
Security Subtotal				4,759.52	6,194.96	0.00	(1,435.44)

Covered Long Term Capital Gains and Losses Subtotal

21,663.28

23,154.20

0.62

(1,490.30)

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

ISHARES CORE S&P MID-CAP ETF

CUSIP Number 464287507

13.0000 Sale	07/10/09	01/28/13	1,416.26	708.03	0.00	708.23	
44.0000 Sale	07/10/09	04/25/13	5,053.90	2,396.42	0.00	2,657.48	
10.0000 Sale	12/17/09	04/25/13	1,148.62	716.09	0.00	432.53	
4.0000 Sale	12/17/09	07/31/13	494.83	286.44	0.00	208.39	
Security Subtotal				8,113.61	4,106.98	0.00	4,006.63

ISHARES MSCI EAFE INDEX FUND

CUSIP Number 464287465

21.0000 Sale	07/10/09	01/28/13	1,230.36	924.39	0.00	305.97	
5.0000 Sale	07/10/09	04/25/13	306.26	220.09	0.00	86.17	
57.0000 Sale	07/10/09	07/31/13	3,450.82	2,509.08	0.00	941.74	
25.0000 Sale	12/17/09	07/31/13	1,513.53	1,376.99	0.00	136.54	
Security Subtotal				6,500.97	5,030.55	0.00	1,470.42

ROBERT M MAULDIN TTEE

2013 TAX REPORTING STATEMENT

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ISHARES IBOXX \$ INVST GRADE CORP BD								
	53.0000 Sale	10/01/09	07/31/13	6,071.15	5,573.88	0.00	497.27	
	15.0000 Sale	05/07/10	07/31/13	1,718.25	1,584.24	0.00	134.01	
	Security Subtotal			7,789.40	7,158.12	0.00	631.28	
VANGUARD INFORMATION TECH ETF								
	18.0000 Sale	07/10/09	01/28/13	1,276.35	737.30	0.00	539.05	
	44.0000 Sale	07/10/09	07/31/13	3,443.22	1,802.29	0.00	1,640.93	
	Security Subtotal			4,719.57	2,539.59	0.00	2,179.98	
VANGUARD MSCI EMERGING MKRKS ETF								
	13.0000 Sale	07/10/09	01/28/13	574.07	397.67	0.00	176.40	
	89.0000 Sale	07/10/09	07/31/13	3,506.55	2,722.52	0.00	784.03	
	11.0000 Sale	12/17/09	07/31/13	433.39	444.95	0.00	(11.56)	
	9.0000 Sale	05/07/10	07/31/13	354.59	345.41	0.00	9.18	
	40.0000 Sale	01/21/11	07/31/13	1,575.98	1,887.18	0.00	(311.20)	
	1.0000 Sale	01/25/11	07/31/13	39.40	47.09	0.00	(7.69)	
	9.0000 Sale	08/24/11	07/31/13	354.60	375.98	0.00	(21.38)	
	5.0000 Sale	11/02/11	07/31/13	196.99	207.03	0.00	(10.04)	
	Security Subtotal			7,035.57	6,427.83	0.00	607.74	
ISHARES BARCLAYS 1-3 YEA CREDIT								
	1.0000 Sale	01/21/11	01/28/13	105.60	104.48	0.00	1.12	
	139.0000 Sale	01/21/11	07/31/13	14,632.80	14,522.62	0.00	110.18	
	2.0000 Sale	03/21/11	07/31/13	210.54	209.57	0.00	0.97	
	2.0000 Sale	11/02/11	07/31/13	210.54	209.10	0.00	1.44	
	Security Subtotal			15,159.48	15,045.77	0.00	113.71	
ISHARES MBS ETF								
	57.0000 Sale	07/10/09	07/31/13	5,981.05	6,040.01	0.00	(58.96)	
	41.0000 Sale	10/01/09	07/31/13	4,302.15	4,376.00	0.00	(73.85)	
	12.0000 Sale	05/07/10	07/31/13	1,259.17	1,290.38	0.00	(31.21)	
	2.0000 Sale	03/21/11	07/31/13	209.86	211.14	0.00	(1.28)	
	1.0000 Sale	11/02/11	07/31/13	104.93	107.93	0.00	(3.00)	
	Security Subtotal			11,857.16	12,025.46	0.00	(168.30)	

ROBERT M MAULDIN TTEE

2013 TAX REPORTING STATEMENT

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
S&P US PFD STK INDEX FD								
ISHARES								
2 0000	Sale	08/06/09	01/28/13	80.53	72.12	0.00	8.41	
68 0000	Sale	08/06/09	07/31/13	2,642.56	2,452.01	0.00	190.55	
2 0000	Sale	08/14/09	07/31/13	77.72	72.08	0.00 (Y)	5.64	
21 0000	Sale	10/01/09	07/31/13	816.08	752.18	0.00	63.90	
22 0000	Sale	05/07/10	07/31/13	854.95	772.39	0.00	82.56	
2 0000	Sale	08/24/11	07/31/13	77.72	73.34	0.00	4.38	
2 0000	Sale	11/02/11	07/31/13	77.72	73.54	0.00	4.18	
				4,627.28	4,267.66	0.00	359.62	
Security Subtotal								
ISHARES IBOX\$ HIGH YIELD CORPORATE BOND FUND								
1 0000	Sale	11/02/11	01/28/13	94.71	87.76	0.00	6.95	
29 0000	Sale	11/02/11	07/31/13	2,694.76	2,544.98	0.00	149.78	
1 0000	Sale	11/10/11	07/31/13	92.92	87.84	0.00 (Y)	5.08	
18 0000	Sale	12/16/11	07/31/13	1,672.62	1,570.50	0.00	102.12	
				4,555.01	4,291.08	0.00	263.93	
Security Subtotal								
SPDR S P BRIC 40								
38 0000	Sale	07/10/09	78463X798	951.88	694.15	0.00	257.73	
109 0000	Sale	07/10/09	07/31/13	2,357.63	1,991.13	0.00	366.50	
50 0000	Sale	05/07/10	07/31/13	1,081.48	1,132.64	0.00	(51.16)	
5 0000	Sale	10/15/10	07/31/13	108.14	136.15	0.00	(28.01)	
46 0000	Sale	01/21/11	07/31/13	994.97	1,254.64	0.00	(259.67)	
3 0000	Sale	01/25/11	07/31/13	64.88	81.33	0.00	(16.45)	
25 0000	Sale	03/21/11	07/31/13	540.74	699.28	0.00	(158.54)	
16 0000	Sale	06/23/11	07/31/13	346.07	421.24	0.00	(75.17)	
24 0000	Sale	08/24/11	07/31/13	519.12	562.23	0.00	(43.11)	
6 0000	Sale	12/16/11	07/31/13	129.77	130.32	0.00	(0.55)	
				7,094.68	7,103.11	0.00	(8.43)	
Security Subtotal								
SPDR BARCLAYS HIGH YIELD BOND ETF								
9 0000	Sale	10/15/10	78464A417	371.96	361.79	0.00	10.17	
50 0000	Sale	10/15/10	07/31/13	2,015.00	2,009.93	0.00	5.07	
150 0000	Sale	01/21/11	07/31/13	6,045.03	6,034.32	0.00	10.71	
2 0000	Sale	03/21/11	07/31/13	80.60	80.85	0.00	(0.25)	
2 0000	Sale	06/23/11	07/31/13	80.60	78.95	0.00	1.65	
				8,593.19	8,565.84	0.00	27.35	
Security Subtotal								



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2013 TAX REPORTING STATEMENT

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ISHARES JP MORGAN EM BON								
33.0000	Sale	01/21/11	07/31/13	3,603.54	3,524.96	0.00	78.58	
1.0000	Sale	03/21/11	07/31/13	109.19	106.37	0.00	2.82	
Security Subtotal				3,712.73	3,631.33	0.00	81.40	
ISHARES -3 YEAR INTL TREASURY BOND ETF								
80.0000	Sale	01/21/11	07/31/13	7,488.67	8,367.28	0.00	(878.61)	
6.0000	Sale	11/02/11	07/31/13	561.65	615.39	0.00	(53.74)	
Security Subtotal				8,050.32	8,982.67	0.00	(932.35)	
HEALTH CARE SELECT SPDR								
19.0000	Sale	07/10/09	04/25/13	904.66	490.01	0.00	414.65	
16.0000	Sale	07/10/09	07/31/13	820.71	412.64	0.00	408.07	
Security Subtotal				1,725.37	902.65	0.00	822.72	
SECTOR SPDR CONSMRS STPL								
11.0000	Sale	07/10/09	01/28/13	403.53	253.10	0.00	150.43	
22.0000	Sale	05/07/10	04/25/13	900.08	591.12	0.00	308.96	
6.0000	Sale	07/30/10	04/25/13	245.48	161.45	0.00	84.03	
26.0000	Sale	07/30/10	07/31/13	1,080.09	699.63	0.00	380.46	
Security Subtotal				2,629.18	1,705.30	0.00	923.88	
CONSUMER DISCRETIONARY SPDR								
20.0000	Sale	07/10/09	01/28/13	1,012.88	441.16	0.00	571.72	
10.0000	Sale	07/10/09	04/25/13	546.24	220.58	0.00	325.66	
31.0000	Sale	07/10/09	07/31/13	1,849.24	683.81	0.00	1,165.43	
Security Subtotal				3,408.36	1,345.55	0.00	2,062.81	
SECTOR SPDR ENERGY								
8.0000	Sale	07/10/09	01/28/13	611.03	354.23	0.00	256.80	
7.0000	Sale	07/10/09	07/31/13	579.95	309.95	0.00	270.00	
Security Subtotal				1,190.98	664.18	0.00	526.80	
SECTOR SPDR FINANCIAL								
62.0000	Sale	05/07/10	01/28/13	1,074.44	939.26	0.00	135.18	
51.0000	Sale	10/15/10	01/28/13	883.82	732.35	0.00	151.47	
7.0000	Sale	10/15/10	04/25/13	131.06	100.52	0.00	30.54	



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ROBERT M MAULDIN TTEE

2013 TAX REPORTING STATEMENT

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SECTOR SPDR FINANCIAL								
5 0000	Sale	01/25/11	04/25/13	93.61	81.68	0.00	11.93	
11.0000	Sale	03/21/11	04/25/13	205.97	179.39	0.00	26.58	
	Security Subtotal			2,388.90	2,033.20	0.00	355.70	
SECTOR SPDR INDUSTRIAL								
28 0000	Sale	12/17/09	01/28/13	1,130.50	789.21	0.00	341.29	
1 0000	Sale	12/17/09	04/25/13	41.49	28.19	0.00	13.30	
	Security Subtotal			1,171.99	817.40	0.00	354.59	
SECTOR SPDR UTILITIES								
30 0000	Sale	05/07/10	01/28/13	1,081.90	875.40	0.00	206.50	
1.0000	Sale	07/30/10	01/28/13	36.06	30.32	0.00	5.74	
7.0000	Sale	10/15/10	01/28/13	252.45	222.74	0.00	29.71	
3.0000	Sale	03/21/11	01/28/13	108.19	93.97	0.00	14.22	
6.0000	Sale	12/16/11	01/28/13	216.39	209.46	0.00	6.93	
3.0000	Sale	12/16/11	04/25/13	122.84	104.73	0.00	18.11	
	Security Subtotal			1,817.83	1,536.62	0.00	281.21	
PERKINS MID CAP VALUE FUND CL I								
334 0000	Sale	01/25/11	01/28/13	7,575.12	7,685.34	0.00	(110.22)	
4.0000	Sale	01/31/11	01/28/13	90.72	87.24	0.00	3.48	
3.0000	Sale	02/08/11	01/28/13	68.04	64.41	0.00	3.63	
1.0000	Sale	02/08/11	01/28/13	22.68	21.77	0.00	0.91	
11 0000	Sale	02/08/11	01/28/13	249.47	236.17	0.00	13.30	
1 0000	Sale	03/21/11	01/28/13	22.68	23.12	0.00	(0.44)	
1.0000	Sale	03/21/11	01/28/13	22.68	23.52	0.00	(0.84)	
21 0000	Sale	08/24/11	01/28/13	476.28	442.89	0.00	33.39	
2 0000	Sale	12/22/11	01/28/13	45.36	40.39	0.00	4.97	
12 0000	Sale	12/22/11	01/28/13	272.16	242.40	0.00	29.76	
	Security Subtotal			8,845.19	8,867.25	0.00	(22.06)	
FIDELITY ADV FLOATING RATE HIGH INC FD CL I								
15.0000	Sale	01/25/11	01/28/13	149.70	148.20	0.00	1.50	
.4830	Sale	01/25/11	01/28/13	4.81	4.77	0.00	0.04	
353.0000	Sale	01/25/11	04/25/13	3,530.00	3,487.64	0.00	42.36	
1 0000	Sale	02/01/11	04/25/13	10.00	9.90	0.00	0.10	
1 0000	Sale	02/01/11	04/25/13	10.00	10.01	0.00	(0.01)	



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2013 TAX REPORTING STATEMENT
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

1099-B

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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FIDELITY ADV FLOATING RATE HIGH INC FD CL I CUSIP Number 315807552

3.0000	Sale	02/16/11	04/25/13	30.00	29.60	0.00 (Y)	0.40	
1.0000	Sale	02/22/11	04/25/13	10.00	9.81	0.00 (Y)	0.19	
1.0000	Sale	02/28/11	04/25/13	10.00	9.88	0.00	0.12	
1.0000	Sale	03/21/11	04/25/13	10.00	9.86	0.00	0.14	
7.0000	Sale	03/21/11	04/25/13	70.00	68.88	0.00	1.12	
1.0000	Sale	03/31/11	04/25/13	10.00	9.86	0.00	0.14	
1.0000	Sale	04/29/11	04/25/13	10.00	9.88	0.00	0.12	
1.0000	Sale	09/30/11	04/25/13	10.00	9.45	0.00	0.55	
Security Subtotal				3,864.51	3,817.74	0.00	46.77	

JP MORGAN MID CAP VALUE FD CL SELECT

CUSIP Number 339183105

32.0000	Sale	01/24/11	01/28/13	934.40	752.00	0.00	182.40	
258.0000	Sale	01/24/11	04/25/13	8,080.55	6,063.00	0.00	2,017.55	
1.0000	Sale	02/06/11	04/25/13	31.32	23.82	0.00 (Y)	7.50	
3.0000	Sale	02/06/11	04/25/13	93.96	70.47	0.00 (Y)	23.49	
1.0000	Sale	08/24/11	04/25/13	31.32	22.57	0.00	8.75	
27.0000	Sale	08/24/11	04/25/13	845.64	588.60	0.00	257.04	
Security Subtotal				10,017.19	7,520.46	0.00	2,496.73	

IVY ASSET STRATEGY FUND CL I

CUSIP Number 466001864

23.0000	Sale	10/01/09	01/28/13	627.90	487.14	0.00	140.76	
4.1200	Sale	10/01/09	01/28/13	11.25	8.73	0.00	2.52	
2.0000	Sale	10/01/09	07/10/13	55.12	42.36	0.00	12.76	
.7020	Sale	10/01/09	07/10/13	19.35	14.87	0.00	4.48	
Security Subtotal				713.62	553.10	0.00	160.52	

ING GLOBAL REAL ESTATE FUND CL I

CUSIP Number 44980Q302

29.0000	Sale	12/17/09	01/28/13	533.60	428.62	0.00	104.98	
5.3000	Sale	12/17/09	01/28/13	9.76	7.84	0.00	1.92	
5.0000	Sale	12/17/09	04/25/13	99.50	73.90	0.00	25.60	
12.0000	Sale	01/04/10	04/25/13	238.80	176.04	0.00	62.76	
Security Subtotal				881.66	686.40	0.00	195.26	



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ROBERT M MAULDIN TTEE

2013 TAX REPORTING STATEMENT

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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NATIXIS GATEWAY FUND CL Y CUSIP Number 367829884

2.0000	Sale	07/10/09	01/28/13	55.06	46.80	0.00	8.26	
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PIMCO FOREIGN BOND FD (USD HEDGED) CL P CUSIP Number 72201M784

18.0000	Sale	01/25/11	01/28/13	193.68	187.20	0.00	6.48	
298.0000	Sale	01/25/11	07/31/13	3,152.84	3,099.20	0.00	53.64	
1.0000	Sale	02/28/11	07/31/13	10.58	10.40	0.00	0.18	
1.0000	Sale	03/21/11	07/31/13	10.57	10.38	0.00	0.19	
6.0000	Sale	03/21/11	07/31/13	63.48	62.22	0.00	1.26	
1.0000	Sale	03/31/11	07/31/13	10.58	10.38	0.00	0.20	
1.0000	Sale	04/29/11	07/31/13	10.58	10.43	0.00	0.15	
1.0000	Sale	05/31/11	07/31/13	10.58	10.48	0.00	0.10	
1.0000	Sale	06/30/11	07/31/13	10.58	10.43	0.00	0.15	
1.0000	Sale	07/29/11	07/31/13	10.58	10.62	0.00	(0.04)	
1.0000	Sale	08/31/11	07/31/13	10.58	10.60	0.00	(0.02)	
1.0000	Sale	09/30/11	07/31/13	10.58	10.45	0.00	0.13	
1.0000	Sale	10/31/11	07/31/13	10.58	10.66	0.00	(0.08)	
1.0000	Sale	11/03/11	07/31/13	10.58	10.69	0.00	(0.11)	
1.0000	Sale	11/30/11	07/31/13	10.58	10.65	0.00	(0.07)	
1.0000	Sale	12/07/11	07/31/13	10.58	10.55	0.00	0.03	
4.0000	Sale	12/07/11	07/31/13	42.32	42.15	0.00	0.17	
1.0000	Sale	12/28/11	07/31/13	10.58	10.49	0.00	0.09	
4.0000	Sale	12/28/11	07/31/13	42.32	42.20	0.00	0.12	
1.0000	Sale	12/30/11	07/31/13	10.58	10.57	0.00	0.01	
Security Subtotal				3,653.33	3,590.75	0.00	62.58	

FEDERATED PRUDENT BEAR FUND CL INSTL CUSIP Number 314172339

157.0000	Sale	01/24/11	07/31/13	474.13	720.63	0.00	(246.50)	
15.0000	Sale	01/25/11	07/31/13	45.30	68.70	0.00	(23.40)	
27.0000	Sale	03/21/11	07/31/13	81.54	122.04	0.00	(40.50)	
43.0000	Sale	11/02/11	07/31/13	129.86	195.22	0.00	(65.36)	
Security Subtotal				730.83	1,106.59	0.00	(375.76)	



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ROBERT M MAULDIN TTEE

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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FRANKLIN INCOME FD ADV CLASS CUSIP Number 353496847

130 0000	Sale	12/16/11	01/28/13	297.70	265.20	0.00	32.50	
72 0000	Sale	12/16/11	07/31/13	167.04	146.88	0.00	20.16	
0340	Sale	12/16/11	07/31/13	0.08	0.07	0.00	0.01	
9660	Sale	12/16/11	10/24/13	2.28	1.97	0.00	0.31	
Security Subtotal				467.10	414.12	0.00	52.98	

TEMPLETON GLBL BOND FD ADV CL CUSIP Number 880208400

27 0000	Sale	10/01/09	01/28/13	360.18	336.69	0.00	23.49	
1 0000	Sale	10/01/09	04/25/13	13.59	12.47	0.00	1.12	
Security Subtotal				373.77	349.16	0.00	24.61	

Noncovered Long Term Capital Gains and Losses Subtotal

141,743.84

0.00

16,610.58

NET LONG TERM CAPITAL GAINS AND LOSSES

163,407.12

0.62

15,120.28

OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

FIDELITY ADV FLOATING RATE HIGH INC FD CL I	CUSIP Number	315807552
1 0000 Sale	10/04/12	01/28/13

9.98

N/A

N/A

Other Transactions Subtotal

9.98

0.00

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES
TOTAL REPORTED SALES PROCEEDS

175,488.32
175,488.32

(WY) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount for this transaction. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code. In addition, the cost basis of this transaction has been adjusted for the deferred loss amount of one or more previous "Wash Sales."

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

N/A Results which cannot be calculated because of insufficient data in the Capital Gain (or Loss) Column and are not included in the Realized Capital Gain and Loss summary



ROBERT M MAULDIN TTEE

2013 TAX REPORTING STATEMENT
2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
(27.75)	0.00	(1,490.30)	16,610.58

Account No.
571-49700

Taxpayer No.
20-3969051



Account No.
571-49700

Taxpayer No.
20-3969051

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ROBERT M MAULDIN TTEE

2013 TAX REPORTING STATEMENT

2013 OTHER DISTRIBUTIONS AND CHARGES*

Security Description	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
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FEE INFORMATION

PIA FEE

(3,022.56)

TOTAL FEES

(3,022.56)

* Information in the Other Distributions and Charges section may have an impact on your Alternative Minimum Tax (AMT) calculation. Consult your Tax Advisor for more details.

END OF STATEMENT FOR THIS ACCOUNT



2013 ENHANCED 1099

As of Date: 1/24/14

Your Financial Advisor :
MICHAEL CLIMER / WES CLIMER
6000 FAIRVIEW RD STE 800
CHARLOTTE NC 28210
(704) 442-5150

Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

R L MAULDIN CHARITABLE TR
ROBERT M MAULDIN TTEE
U/A DTD 12/20/2005
CHARITABLE TRUST
385 WINTERLOCKEN ROAD
SALISBURY NC 28144-9461

Account Number: 4409-2663
Your Federal Identification Number: 20-3969051

Federal Non-Reportable Information

THE FOLLOWING INFORMATION IS NOT PROVIDED TO THE IRS. THIS IS SUPPLEMENTARY INFORMATION ONLY THAT MIGHT BE USEFUL IN THE PREPARATION OF YOUR FEDERAL, STATE OR LOCAL TAX RETURN. MUCH OF THIS INFORMATION IS BASED ON GENERAL INFORMATION THAT IS PROVIDED BY THE ISSUER. PLEASE REVIEW THIS INFORMATION WITH YOUR TAX ADVISOR

Miscellaneous Activity Summary

Line Ref	Type	Amount
1	Miscellaneous Interest	0 00
2	Municipal Bonds - OID Not Subject to AMT	0 00
3	Municipal Bonds - OID Subject to AMT	0 00
4	Expenses Subject to 2% of Adjusted Gross Income	0 00
5	Expenses Not Subject to 2% Adjusted Gross Income	0 00
6	Widely Held Fixed Investment Trusts - Other Items	See Detail
7	Master Limited Partnership Distributions	0 00
8	Investment Expense Withheld from Tax-Exempt Income	0 00
9	Federally Non-reportable Dividends and Interest	0 00
10	Accrued Interest on Purchases	0 00
11	Federal Tax Exempt Accrued Interest on Purchases	0 00
12	Other Supplementary Information	0 00
13	Option Premiums	0 00
14	Advisory Fees	457 23
15	American Depositary Receipt (ADR) Fees	0 00

Miscellaneous Activity Detail

Description	Notes	Payment Date	# of Payments	Activity	Amount	Line Ref	CUSIP
P/M FEE		11/25/2013	1	ADVISORY FEES	-457 23	14	
TOTAL ADVISORY FEES					-457.23	14	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES