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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation JIM AND CAROL TRAWICK FOUNDATION, INC.		A Employer identification number 20-3932082
Number and street (or P.O. box number if mail is not delivered to street address) 7979 OLD GEORGETOWN ROAD	Room/suite 1000	B Telephone number 301-654-7030
City or town, state or province, country, and ZIP or foreign postal code BETHESDA, MD 20814		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 7,658,583. (Part I, column (d) must be on cash basis.)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	103,000.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	15,736.	15,736.		STATEMENT 1
	4 Dividends and interest from securities	115,045.	115,045.		STATEMENT 2
	5a Gross rents	18,960.	18,960.		STATEMENT 3
	b Net rental income or (loss) 18,960.				
	6a Net gain or (loss) from sale of assets not on line 10	346,805.			
	b Gross sales price for all assets on line 6a 3,048,355.				
	7 Capital gain net income (from Part IV, line 2)		346,805.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	599,546.	496,546.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	100,740.	0.		100,740.
	14 Other employee salaries and wages	5,783.	0.		5,783.
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	6,400.	0.		6,400.
	c Other professional fees STMT 5	56,040.	0.		56,040.
	17 Interest				
	18 Taxes STMT 6	12,573.	1,575.		7,528.
	19 Depreciation and depletion	1,931.	0.		
	20 Occupancy	77,543.	0.		77,543.
	21 Travel, conferences, and meetings	277.	0.		277.
	22 Printing and publications	4,853.	0.		4,853.
	23 Other expenses STMT 7	107,455.	41,385.		66,070.
	24 Total operating and administrative expenses. Add lines 13 through 23	373,595.	42,960.		325,234.
	25 Contributions, gifts, grants paid	875,196.			875,196.
26 Total expenses and disbursements. Add lines 24 and 25	1,248,791.	42,960.		1,200,430.	
27 Subtract line 26 from line 12	-649,245.				
a Excess of revenue over expenses and disbursements		453,586.			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1. Cash - non-interest-bearing			62,683.	58,978.	58,978.
	2. Savings and temporary cash investments			155,304.	222,088.	222,088.
	3. Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4. Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5. Grants receivable					
	6. Receivables due from officers, directors, trustees, and other disqualified persons					
	7. Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8. Inventories for sale or use					
	9. Prepaid expenses and deferred charges				1,400.	1,400.
	10a. Investments - U.S. and state government obligations					
	b. Investments - corporate stock	STMT 8		3,536,045.	3,134,098.	4,281,831.
	c. Investments - corporate bonds	STMT 9		1,931,113.	1,328,006.	1,305,416.
	Liabilities	11. Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶						
12. Investments - mortgage loans						
13. Investments - other		STMT 10		1,120,845.	1,413,726.	1,778,450.
14. Land, buildings, and equipment: basis ▶		58,383.				
Less: accumulated depreciation		STMT 11 ▶	53,260.	7,054.	5,123.	5,123.
15. Other assets (describe ▶)		STATEMENT 12)		5,237.	5,297.	5,297.
16. Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)				6,818,281.	6,168,716.	7,658,583.
17. Accounts payable and accrued expenses				1,500.	1,180.	
18. Grants payable						
Net Assets or Fund Balances	19. Deferred revenue					
	20. Loans from officers, directors, trustees, and other disqualified persons					
	21. Mortgages and other notes payable					
	22. Other liabilities (describe ▶)					
23. Total liabilities (add lines 17 through 22)			1,500.	1,180.		
Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>	24. Unrestricted					
	25. Temporarily restricted					
	26. Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	27. Capital stock, trust principal, or current funds			0.	0.	
	28. Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29. Retained earnings, accumulated income, endowment, or other funds			6,816,781.	6,167,536.	
	30. Total net assets or fund balances			6,816,781.	6,167,536.	
31. Total liabilities and net assets/fund balances			6,818,281.	6,168,716.		

Part III Analysis of Changes in Net Assets or Fund Balances

1. Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,816,781.
2. Enter amount from Part I, line 27a	2	-649,245.
3. Other increases not included in line 2 (itemize) ▶	3	0.
4. Add lines 1, 2, and 3	4	6,167,536.
5. Decreases not included in line 2 (itemize) ▶	5	0.
6. Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,167,536.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,048,355.		2,701,550.	346,805.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			346,805.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	346,805.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,092,112.	7,400,054.	.147582
2011	1,342,262.	8,125,870.	.165184
2010	1,131,004.	8,207,857.	.137795
2009	1,168,180.	8,356,677.	.139790
2008	1,011,172.	10,161,022.	.099515

2 Total of line 1, column (d)	2	.689866
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.137973
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	7,357,206.
5 Multiply line 4 by line 3	5	1,015,096.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,536.
7 Add lines 5 and 6	7	1,019,632.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,200,430.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	4,536.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	4,536.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	4,536.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	2,520.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments:		6c	
a 2013 estimated tax payments and 2012 overpayment credited to 2013		6d	
b Exempt foreign organizations - tax withheld at source		7	2,520.
c Tax paid with application for extension of time to file (Form 8868)		8	
d Backup withholding erroneously withheld		9	2,016.
7 Total credits and payments. Add lines 6a through 6d		10	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		11	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► MD		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.TRAWICK.ORG</u>	13	X	
14	The books are in care of ► <u>THE FOUNDATION</u> Telephone no. ► <u>301-654-7030</u> Located at ► <u>7979 OLD GEORGETOWN ROAD, 10TH FLOOR, BETHESDA, M</u> ZIP+4 ► <u>20814</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CAROL TRAWICK	PRESIDENT / DIRECTOR			
6600 ELGIN LANE				
BETHESDA, MD 20817	32.00	0.	0.	0.
ROBERT BREWER	DIRECTOR			
105 GRAFTON ST				
CHEVY CHASE, MD 20815	4.00	0.	0.	0.
GAIL NACHMAN	DIRECTOR			
5809 NICHOLSON LANE, APT 1407				
ROCKVILLE, MD 20852	4.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNE CANTREL - 8819 KENSINGTON	EXECUTIVE DIRECTOR			
PARKWAY, CHEVY CHASE, MD 20815	40.00	60,000.	3,600.	0.

Total number of other employees paid over \$50,000

0

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Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,238,717.
b	Average of monthly cash balances	1b	218,472.
c	Fair market value of all other assets	1c	12,056.
d	Total (add lines 1a, b, and c)	1d	7,469,245.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	7,469,245.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	112,039.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,357,206.
6	Minimum investment return. Enter 5% of line 5	6	367,860.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	367,860.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	4,536.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,536.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	363,324.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	363,324.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	363,324.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,200,430.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,200,430.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,536.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,195,894.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				363,324.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	505,549.			
b From 2009	753,476.			
c From 2010	725,543.			
d From 2011	938,476.			
e From 2012	727,101.			
f Total of lines 3a through e	3,650,145.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 1,200,430.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				363,324.
e Remaining amount distributed out of corpus	837,106.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,487,251.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	505,549.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	3,981,702.			
10 Analysis of line 9:				
a Excess from 2009	753,476.			
b Excess from 2010	725,543.			
c Excess from 2011	938,476.			
d Excess from 2012	727,101.			
e Excess from 2013	837,106.			

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- [illegible]

2013.03030 JIM AND CAROL TRAWICK FOUND 08911 1

Part XV. Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
A WIDER CIRCLE 4808 MOORLAND LANE BETHESDA, MD 20814		501(C)(3) ORG	SEMINAR	475.
ART AND REMEMBRANCE 5614 CONNECTICUT AVE NW, #131 WASHINGTON, DC 20015		501(C)(3) ORG	CO-OCCUPANT GRANT	5,000.
ART AND REMEMBRANCE 5614 CONNECTICUT AVE NW, #131 WASHINGTON, DC 20015		501(C)(3) ORG	CO-OCCUPANT GRANT	5,600.
ART FOR THE PEOPLE 7109 CARROLL AVENUE TAKOMA PARK, MD 20912		501(C)(3) ORG	ART FOR PEOPLE AT COMMUNITY VISION DAY	5,000.
ARTSTREAM 620 PERSHING DRIVE SILVER SPRING, MD 20910		501(C)(3) ORG	SCHOLARSHIP AWARD	3,600.
Total	SEE CONTINUATION SHEET(S)			875,196.
b Approved for future payment				
NONE				
Total				0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ARTSTREAM 620 PERSHING DRIVE SILVER SPRING, MD 20910		501(C)(3) ORG	SEMINAR	475.
B'NAI B'RITH HOMECREST HOUSE 14508 HOMECREST ROAD SILVER SPRING, MD 20906		501(C)(3) ORG	SPONSOR CONCERT SERIES	9,500.
BCC HIGH SCHOOL /LAZARUS LEADERSHIP FELLOW 7211 EXETER ROAD BETHESDA, MD 20814		501(C)(3) ORG	SPONSORSHIP	1,000.
BETHESDA COMMUNITY BASEBALL P.O. BOX 30306 BETHESDA, MD 20824-0306		501(C)(3) ORG	SPONSOR NON PROFIT NIGHT	2,500.
BETHESDA URBAN PARTNERSHIP 7700 OLD GEORGETOWN ROAD BETHESDA, MD 20814		501(C)(3) ORG	LITERARY FESTIVAL	2,500.
CAREER CATCHERS 8720 GEORGIA AVENUE SILVER SPRING, MD 20910		501(C)(3) ORG	SEMINAR	475.
CHILD CENTER AND ADULT SERVICES, INC. 16220 S FREDERICK AVENUE GAITHERSBURG, MD 20877		501(C)(3) ORG	COMMUNITY CARES GRANT	20,000.
CITY DANCE CENTER AT STRATHMORE 5301 TUCKERMAN LANE NORTH BETHESDA, MD 20852		501(C)(3) ORG	ARTS & CULTURE GRANT	2,600.
CLASS ACTS ARTS 8720 GEORGIA AVENUE SILVER SPRING, MD 20910		501(C)(3) ORG	SEMINAR	475.
CRITTENTON SERVICES OF GREATER WASHINGTON 815 SILVER SPRING AVENUE SILVER SPRING, MD 20910		501(C)(3) ORG	HIGH TEA SPONSORSHIP	1,250.
Total from continuation sheets				855,521.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GANDHI BRIGADE PO BOX 7381 SILVER SPRING, MD 20907		501(C)(3) ORG	MATERIALS AND SUPPLIES	3,433.
GAPBUSTER 6200 SHERIDAN ST RIVERDALE PARK, MD 20737		501(C)(3) ORG	CO-OCCUPANT GRANT	5,600.
GLEN ECHO PARK PARTNERSHIP 7300 MACARTHUR BLVD GLEN ECHO, MD 20812		501(C)(3) ORG	SPONSORSHIP FOR GALA	2,500.
HOMECREST HOUSE 14508 HOMECREST ROAD SILVER SPRING, MD 20906		501(C)(3) ORG	SEMINAR	475.
IMAGINATION STAGE 4908 AUBURN AVENUE BETHESDA, MD 20814		501(C)(3) ORG	ARTABILITY PROGRAM	117,500.
INTERFAITH WORKS 114 WEST MONTGOMERY AVENUE ROCKVILLE, MD 20850		501(C)(3) ORG	PROJECT INFORM	35,000.
INTERFAITH WORKS 114 WEST MONTGOMERY AVENUE ROCKVILLE, MD 20850		501(C)(3) ORG	PROJECT INFORM	5,000.
INTERPLAY 6777 SURREYWOOD LANE BETHESDA, MD 20817		501(C)(3) ORG	INTERPLAY SCHOLARSHIP AWARDS	3,050.
JEWISH FOUNDATION FOR GROUP HOMES 1500 E JEFFERSON ST. ROCKVILLE, MD 20852		501(C)(3) ORG	JFGH GALA	1,500.
KIDS INTERNATIONAL DISCOVERY MUSEUM 7979 OLD GEORGETOWN ROAD BETHESDA, MD 20814		501(C)(3) ORG	CO-OCCUPANT GRANT	5,600.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LATIN AMERICAN YOUTH CENTER 1419 COLUMBIA ROAD NW WASHINGTON, DC 20009		501(C)(3) ORG	INSPIRE PROGRAM	500.
LIBERTY'S PROMISE 1010 PENDLETON STREET ALEXANDRIA, VA 22314-1837		501(C)(3) ORG	HELPING IMMIGRANT YOUTH SUCCEED GRANT	25,000.
LIBERTY'S PROMISE 2900 JEFFERSON DAVIS HIGHWAY ALEXANDRIA, MD 22305		501(C)(3) ORG	SEMINAR	475.
LINKAGES TO LEARNING 51 MONROE STREET ROCKVILLE, MD 20850		501(C)(3) ORG	GRANT	1,000.
MARYLAND CLASSIC YOUTH ORCHESTRAS 5301 TUCKERMAN LANE NORTH BETHESDA, MD 20852		501(C)(3) ORG	TUITION SCHOLARSHIP AWARD	4,900.
MARYLAND MUTUAL VIETNAMESE ASSOC 8121 GEORGIA AVENUE SILVER SPRING, MD 20910		501(C)(3) ORG	MATERIALS AND SUPPLIES	3,432.
MARYLAND YOUTH BALLET 926 ELLSWORTH DRIVE SILVER SPRING, MD 20910		501(C)(3) ORG	TUITION SCHOLARSHIP AWARD	2,525.
MC COALITION FOR THE HOMELESS 600-B EAST GUDE DRIVE ROCKVILLE, MD 20850		501(C)(3) ORG	CREATIVE HOUSING INITIATIVE PROJECT	25,000.
MC COALITION FOR THE HOMELESS 932 HUNGERFORD DR ROCKVILLE, MD 20850		501(C)(3) ORG	GALA SPONSORSHIP	1,000.
MENTAL HEALTH ASSOCIATION 1000 TWINBROOK PARKWAY ROCKVILLE, MD 20851		501(C)(3) ORG	MY MENTAL HEALTH DAY SPONSORSHIP	1,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MINISTRIES UNITED SILVER SPRING 8818 GEORGIA AVENUE SILVER SPRING, MD 20910		501(C)(3) ORG	SEMINAR	475.
MINISTRIES UNITED SILVER SPRING 8818 GEORGIA AVENUE SILVER SPRING, MD 20910		501(C)(3) ORG	HEAT, WATER, AND LIGHT PROJECT	40,000.
MONTGOMERY COUNTY CHAMBER OF COMMERCE 51 MONROE STREET SUITE 1800 ROCKVILLE, MD 20850		501(C)(3) ORG	SILVER LEVEL SPONSOR- PUBLIC SAFETY AWARDS LUNCHEON	3,000.
NATIONAL PHILHARMONIC 5301 TUCKERMAN LANE NORTH BETHESDA, MD 20852-3385		501(C)(3) ORG	TUITION SCHOLARSHIP AWARD	2,940.
PROJECT CHANGE INC PO BOX 934 OLNEY, MD 20830		501(C)(3) ORG	TEAM OF STARS PROGRAM	145,000.
PROJECT CHANGE INC PO BOX 934 OLNEY, MD 20830		501(C)(3) ORG	SEMINAR	475.
SUNFLOWER BAKERY 8507 ZIGGY LANE GAITHERSBURG, MD 20877		501(C)(3) ORG	EMPLOYMENT SERVICES PROGRAM	25,000.
SUNFLOWER BAKERY 8507 ZIGGY LANE GAITHERSBURG, MD 20877		501(C)(3) ORG	MATERIALS AND SUPPLIES	6,866.
THE BERNIE SCHOLARSHIP P.O. BOX 2514 ROCKVILLE, MD 20847		501(C)(3) ORG	SCHOLARSHIP	1,500.
THE CENTER FOR ADOPTION SUPPORT AND EDUCATION 4000 BLACKBURN LANE SUITE 260 BURTONSVILLE, MD 20866		501(C)(3) ORG	LEADERSHIP AND EMPOWERMENT ACTION PROGRAM (LEAP)	40,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE CENTER FOR ADOPTION SUPPORT AND EDUCATION 4000 BLACKBURN LANE SUITE 260 BURTONSVILLE, MD 20866		501(C)(3) ORG	3L ACADEMY	125,000.
THE LEVINE SCHOOL OF MUSIC 2801 UPTON ST. NW WASHINGTON, DC 20008		501(C)(3) ORG	TUITION SCHOLARSHIP AWARD	5,000.
THE PUPPET CO 7300 MACARTHUR BLVD GLEN ECHO, MD 20812		501(C)(3) ORG	SEMINAR	475.
THE TREATMENT AND LEARNING CENTERS 2092 GAITHER ROAD, SUITE 100 ROCKVILLE, MD 20850		501(C)(3) ORG	HELP OTHERS HEAR PROGRAM	10,000.
THE TREATMENT AND LEARNING CENTERS 2092 GAITHER ROAD, SUITE 100 ROCKVILLE, MD 20850		501(C)(3) ORG	SEMINAR	475.
TOP BANANA HOME DELIVERED GROCERIES 14100 BRANDYWINE ROAD BRANDYWINE, MD 20613		501(C)(3) ORG	LIVING WELL AT HOME OUTREACH CAMPAIGN	10,000.
WOMEN WHO CARE MINISTRIES 19634 CLUBHOUSE ROAD, SUITE 325 MONTGOMERY VILLAGE, MD 20886		501(C)(3) ORG	HELPING KIDS EAT BACKPACK PROGRAM	15,000.
WOMEN WHO CARE MINISTRIES 19634 CLUBHOUSE ROAD, SUITE 325 MONTGOMERY VILLAGE, MD 20886		501(C)(3) ORG	SEMINAR	475.
WRITERS INC 4508 WALSH ST BETHESDA, MD 20815		501(C)(3) ORG	SEMINAR	475.
YMCA OF METROPOLITAN WASHINGTON 9601 COLESVILLE ROAD SILVER SPRING, MD 20901		501(C)(3) ORG	COMMON GROUND PROGRAM	130,500.
Total from continuation sheets				

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

Employer identification number

JIM AND CAROL TRAWICK FOUNDATION, INC.

20-3932082

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization	Employer identification number
JIM. AND CAROL TRAWICK FOUNDATION, INC.	20-3932082

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CAROL TRAWICK 6600 ELGIN LANE BETHESDA, MD 20817	\$ 45,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	CASEY FAMILY PROGRAMS 2001 EIGHT AVE, SUITE 2700 SEATTLE, WA 98121	\$ 58,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

Employer identification number

JIM AND CAROL TRAWICK FOUNDATION, INC.**20-3932082****Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization	Employer identification number
JIM AND CAROL TRAWICK FOUNDATION, INC.	20-3932082

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	T. ROWE PRICE SMALL CAP VALUE	P	05/11/12	03/14/13
b	VANGUARD INTERM TERM	P	11/21/12	09/19/13
c	VANGUARD INTERM TERM	P	06/27/12	09/19/13
d	BLACKROCK EQUITY DIVIDEND	P	10/20/11	10/03/13
e	CAPITAL ONE BANK	P	11/21/08	11/26/13
f	DFA EMERGING MKTS VALU	P	09/08/11	05/06/13
g	GATEWAY FUND	P	09/22/11	02/25/13
h	HUSSMAN STRATEGIC GROW	P	12/31/10	01/08/13
i	IVY ASSET STRATEGY FUND	P	09/10/10	03/14/13
j	JPMORGAN MID CAP VALUE	P	10/10/07	03/14/13
k	PIMCO LOW DURATION FUN	P	03/04/09	09/03/13
l	PIMCO TOTAL RETURN	P	10/31/11	08/28/13
m	SPDR S&P 500	P	09/06/07	07/17/13
n	SALLIE MAE BANK	P	12/03/08	12/10/13
o	SCHWAB FUNDAMENTALS US	P	11/17/11	08/30/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 35,278.		30,027.	5,251.
b 27,958.		30,027.	-2,069.
c 198,994.		208,265.	-9,271.
d 191,042.		155,336.	35,706.
e 95,000.		94,816.	184.
f 222,630.		240,388.	-17,758.
g 92,000.		79,889.	12,111.
h 220,673.		245,302.	-24,629.
i 80,000.		66,429.	13,571.
j 50,000.		44,956.	5,044.
k 108,889.		98,525.	10,364.
l 264,400.		268,025.	-3,625.
m 167,736.		156,673.	11,063.
n 95,000.		95,000.	0.
o 300,000.		224,189.	75,811.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			5,251.
b			-2,069.
c			-9,271.
d			35,706.
e			184.
f			-17,758.
g			12,111.
h			-24,629.
i			13,571.
j			5,044.
k			10,364.
l			-3,625.
m			11,063.
n			0.
o			75,811.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	T ROWE PRICE MID CAP	P	02/21/03	03/14/13
b	T ROWE PRICE SMALL CAP VALUE	P	08/11/11	03/14/13
c	UST INDX IDX	P	01/29/09	02/12/13
d	VANGUARD GNMA FUND	P	03/04/09	06/24/13
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 35,000.		32,167.	2,833.
b 4,722.		3,609.	1,113.
c 639,730.		497,268.	142,462.
d 128,153.		130,659.	-2,506.
e 91,150.			91,150.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,833.
b			1,113.
c			142,462.
d			-2,506.
e			91,150.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	346,805.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB #1101-1062	15,736.	15,736.	
TOTAL TO PART I, LINE 3	15,736.	15,736.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CAP GAIN DIVIDENDS	91,150.	91,150.	0.	0.	
CHARLES SCHWAB #1101-1062	115,045.	0.	115,045.	115,045.	
TO PART I, LINE 4	206,195.	91,150.	115,045.	115,045.	

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
OFFICE SPACE (SUBLET)	1	18,960.
TOTAL TO FORM 990-PF, PART I, LINE 5A		18,960.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,400.	0.		6,400.
TO FORM 990-PF, PG 1, LN 16B	6,400.	0.		6,400.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IT	1,040.	0.		1,040.
CONTRACT CONSULTANTS	55,000.	0.		55,000.
TO FORM 990-PF, PG 1, LN 16C	56,040.	0.		56,040.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	1,575.	1,575.		0.
PROPERTY TAXES	25.	0.		25.
PAYROLL TAXES	7,503.	0.		7,503.
FEDERAL TAXES	3,470.	0.		0.
TO FORM 990-PF, PG 1, LN 18	12,573.	1,575.		7,528.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT FEES	41,385.	41,385.		0.
RENTAL, REPAIRS AND MAINTENANCE	8,211.	0.		8,211.
OFFICE SUPPLIES AND EXPENSES	2,266.	0.		2,266.
BOOKS, DUES AND SUBSCRIPTIONS	21.	0.		21.
INSURANCE	2,859.	0.		2,859.
POSTAGE, MAILING SERVICE	933.	0.		933.
TELECOMMUNICATIONS	3,309.	0.		3,309.
WEBSITE MAINTENANCE	850.	0.		850.
SECURITY	378.	0.		378.
PAYROLL ADMIN FEE	2,198.	0.		2,198.
MEMBERSHIP AND DUES	2,048.	0.		2,048.
COLLABORATIVE PROGRAMS (MATERIALS & MEALS)	42,996.	0.		42,996.
MISCELLANEOUS	1.	0.		1.
TO FORM 990-PF, PG 1, LN 23	107,455.	41,385.		66,070.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB #1101-1062	3,134,098.	4,281,831.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,134,098.	4,281,831.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB #1101-1062	1,328,006.	1,305,416.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,328,006.	1,305,416.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB #1101-1062	COST	1,413,726.	1,778,450.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,413,726.	1,778,450.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	11
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
EXECUTIVE DESK	750.	717.	33.
OFFICE TELEPHONE SYSTEM	4,317.	4,124.	193.
COMPUTER (DELL DIMENSION E520)	870.	870.	0.
COMPUTER EQUIP FOR OFFICE (D LINK & NET SCREENS)	1,220.	1,220.	0.
COMPUTER (LATITUDE D 620)	2,200.	2,200.	0.
OFFICE POWEREDGE SERVER	1,403.	1,403.	0.
POWER SUPPLY	1,049.	1,049.	0.
DESK FOR EXEC. ASST.	813.	777.	36.
OFFICE SECURITY SYSTEM	8,636.	8,636.	0.
XEROX PRINTER	824.	824.	0.

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CONFERENCE RM CABINET	600.	573.	27.
10 CHAIRS	900.	860.	40.
2 COMPUTERS (DELL OPTIPLEX)			
FOR CO-OCCUPANTS	1,350.	1,350.	0.
4 MAHOGANY DESKS	1,720.	1,643.	77.
4 JR. EXEC. CHAIRS	680.	650.	30.
WALL SIGN	1,208.	1,154.	54.
4 TASK CHAIRS - CO-OCCUPANTS	630.	602.	28.
INSTALL WI-FI SWITCH AND			
CO-OCC. COMPUTERS	866.	866.	0.
WEBSITE DESIGN	4,750.	4,750.	0.
10TH FLOOR BUILD-OUT	4,314.	698.	3,616.
GRANTS MANAGEMENT SOFTWARE	6,910.	6,910.	0.
BACKUP SERVER	1,656.	1,656.	0.
COMPUTER MONITORS (2)	507.	507.	0.
OPTIPLEX COMPUTERS	1,334.	1,334.	0.
OPTIPLEX 330 COMPUTER	664.	664.	0.
SERVER	2,293.	2,183.	110.
DELL LASER PRINTER	1,172.	969.	203.
LAPTOP FOR CT	1,023.	846.	177.
VOSTRO LAPTOP GN	808.	668.	140.
DELL LAPTOP	1,418.	1,418.	0.
TWO COMPUTERS	1,498.	1,139.	359.
TOTAL TO FM 990-PF, PART II, LN 14	58,383.	53,260.	5,123.

FORM 990-PF	OTHER ASSETS		STATEMENT 12
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
RENT SECURITY DEPOSIT	5,237.	5,297.	5,297.
TO FORM 990-PF, PART II, LINE 15	5,237.	5,297.	5,297.

Asset Number	Description of property							
	Date placed in service	Method/IRC sec.	Life or rate	Line No.	Cost or other basis	Basis reduction	Accumulated depreciation/amortization	Current year deduction
1	EXECUTIVE DESK							
	01/06/07	200DB	7.00	17	750.		650.	67.
2	OFFICE TELEPHONE SYSTEM							
	01/10/07	200DB	7.00	17	4,317.		3,739.	385.
3	COMPUTER (DELL DIMENSION E520)							
	01/15/07	200DB	5.00	17	870.		870.	0.
4	COMPUTER EQUIP FOR OFFICE (D LINK & NET SCREENS)							
	01/15/07	200DB	5.00	17	1,220.		1,220.	0.
5	COMPUTER (LATITUDE D 620)							
	01/17/07	200DB	5.00	17	2,200.		2,200.	0.
6	OFFICE POWEREDGE SERVER							
	01/18/07	200DB	5.00	17	1,403.		1,403.	0.
7	POWER SUPPLY							
	01/18/07	200DB	5.00	17	1,049.		1,049.	0.
8	DESK FOR EXEC. ASST.							
	02/12/07	200DB	7.00	17	813.		704.	73.
10	OFFICE SECURITY SYSTEM							
	06/20/07	200DB	5.00	17	8,636.		8,636.	0.
11	XEROX PRINTER							
	07/27/07	200DB	5.00	17	824.		824.	0.
12	CONFERENCE RM CABINET							
	08/01/07	200DB	7.00	17	600.		520.	53.
13	10 CHAIRS							
	08/01/07	200DB	7.00	17	900.		779.	81.
14	2 COMPUTERS (DELL OPTIPLEX) FOR CO-OCCUPANTS							
	08/24/07	200DB	5.00	17	1,350.		1,350.	0.
15	4 MAHOGANY DESKS							
	09/01/07	200DB	7.00	17	1,720.		1,490.	153.
16	4 JR. EXEC. CHAIRS							
	09/01/07	200DB	7.00	17	680.		589.	61.
17	WALL SIGN							
	11/29/07	200DB	7.00	17	1,208.		1,047.	107.
18	4 TASK CHAIRS - CO-OCCUPANTS							
	09/26/07	200DB	7.00	17	630.		545.	57.
19	INSTALL WI-FI SWITCH AND CO-OCC. COMPUTERS							
	10/04/07	200DB	5.00	17	866.		866.	0.
20	WEBSITE DESIGN							
	07/09/07	200DB	5.00	17	4,750.		4,750.	0.
21	10TH FLOOR BUILD-OUT							
	09/01/07	SL	39.00	17	4,314.		587.	111.
22	GRANTS MANAGEMENT SOFTWARE							
	09/01/07	200DB	3.00	17	6,910.		6,910.	0.
23	BACKUP SERVER							
	11/29/07	200DB	5.00	17	1,656.		1,656.	0.
24	COMPUTER MONITORS (2)							
	06/24/08	200DB	5.00	17	507.	254.	238.	15.
25	OPTIPLEX COMPUTERS							
	07/16/08	200DB	5.00	17	1,334.	667.	629.	38.
26	OPTIPLEX 330 COMPUTER							
	11/08/08	200DB	5.00	17	664.	332.	313.	19.
27	SERVER							
	10/21/09	200DB	5.00	17	2,293.	1,147.	911.	125.
28	DELL LASER PRINTER							
	03/08/10	200DB	5.00	17	1,172.		834.	135.

