



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning

, and ending

Name of foundation SHIRLIE & OWEN SIEGEL FOUNDATION		A Employer identification number 20-3903312	
Number and street (or P O box number if mail is not delivered to street address) 1415 ELINOR PLACE		B Telephone number 773-628-5107	
City or town, state or province, country, and ZIP or foreign postal code EVANSTON, IL 60201		C If exemption application is pending, check here ► <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here ► <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>	
H Check type of organization <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 2,353,461.	J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>	
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income
1 Contributions, gifts, grants, etc., received			N/A
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B Interest on savings and temporary cash investments		2.	2.
3 Dividends and interest from securities		39,598.	39,598.
5a Gross rents b Net rental income or (loss)			
6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 385,147.		24,080.	
7 Capital gain net income (from Part IV, line 2)		24,080.	
8 Net short-term capital gain			
9 Income modifications Gross sales less returns and allowances			
10a Gross sales less returns and allowances b Less Cost of goods sold			
c Gross profit or (loss)			
11 Other income			
12 Total Add lines 1 through 11		63,680.	63,680.
13 Compensation of officers, directors, trustees, etc		0.	0.
14 Other employee salaries and wages			
15 Pension plans, employee benefits RECEIVED Stmt 3			
16a Legal fees b Accounting fees C Other professional fees SEP 1 2014 IRS-OC		9,535.	9,535.
17 Interest			
18 Taxes			
19 Depreciation and depletion AGDEN, UT			
Operating and Administrative Expenses Stmt 4			
20 Occupancy			
21 Travel, conferences, and meetings			
22 Printing and publications			
23 Other expenses		140.	140.
24 Total operating and administrative expenses Add lines 13 through 23		9,675.	9,675.
25 Contributions, gifts, grants paid		101,000.	101,000.
26 Total expenses and disbursements Add lines 24 and 25		110,675.	9,675.
27 Subtract line 26 from line 12		-46,995.	
a Excess of revenue over expenses and disbursements			
b Net investment income (if negative, enter -0-)		54,005.	
c Adjusted net income (if negative, enter -0-)			N/A

Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year
			(a) Book Value	(b) Book Value
			(c) Fair Market Value	
	1 Cash - non-interest-bearing		8,536.	13,544.
	2 Savings and temporary cash investments			13,544.
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ►			
	Less accumulated depreciation ►			
	12 Investments - mortgage loans			
	13 Investments - other	Stmt 5	1,919,424.	1,867,421.
	14 Land, buildings, and equipment basis ►			2,339,917.
	Less accumulated depreciation ►			
	15 Other assets (describe ►)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)		1,927,960.	1,880,965.
				2,353,461.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ►)			
	23 Total liabilities (add lines 17 through 22)		0.	0.
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted		1,927,960.	1,880,965.
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances		1,927,960.	1,880,965.
	31 Total liabilities and net assets/fund balances		1,927,960.	1,880,965.

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,927,960.
2 Enter amount from Part I, line 27a	2	-46,995.
3 Other increases not included in line 2 (itemize) ►	3	0.
4 Add lines 1, 2, and 3	4	1,880,965.
5 Decreases not included in line 2 (itemize) ►	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,880,965.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a AMERITRADE			P	Various	12/31/13
b AMERITRADE			P	Various	12/31/13
c Capital Gains Dividends					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 63,960.		67,451.	-3,491.		
b 309,664.		293,616.	16,048.		
c 11,523.			11,523.		
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a			-3,491.		
b			16,048.		
c			11,523.		
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	24,080.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8			3	N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	91,317.	2,082,414.	.043852
2011	31,629.	1,982,107.	.015957
2010	27,744.	635,820.	.043635
2009	29,727.	559,330.	.053148
2008	34,909.	606,774.	.057532

2 Total of line 1, column (d)

2 .214124

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .042825

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

4 2,026,098.

5 Multiply line 4 by line 3

5 86,768.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 540.

7 Add lines 5 and 6

7 87,308.

8 Enter qualifying distributions from Part XII, line 4

8 101,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1	1	540.
	Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	540.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	540.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	6,895.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	6,895.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,355.
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ►	11	0.

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		
c	Did the foundation file Form 1120-POL for this year?		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	N/A	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	6	X
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>IL</u>	7	X
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	X
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X
Website address ► N/A			
14	The books are in care of ► SCOTT SIEGEL Located at ► 1415 ELINOR PLACE, EVANSTON, IL	Telephone no ► 773-628-5107 ZIP+4 ► 60201	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ►	16	Yes X No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ►	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?			N/A	5b
Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		N/A	
If "Yes," attach the statement required by Regulations section 53 4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		6b	X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		N/A	7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SCOTT SIEGEL	DIRECTOR 10.00	0.	0.	0.
JEFFREY SIEGEL	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

 0

Form 990-PF (2013)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ► 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
3	
Total. Add lines 1 through 3 ►	0.

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a Average monthly fair market value of securities	1a	2,049,377.
b Average of monthly cash balances	1b	7,575.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	2,056,952.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,056,952.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,854.
5 Net value of noncharitable-use assets Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,026,098.
6 Minimum investment return Enter 5% of line 5	6	101,305.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1 Minimum investment return from Part X, line 6	1	101,305.
2a Tax on investment income for 2013 from Part VI, line 5	2a	540.
b Income tax for 2013 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	540.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	100,765.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	100,765.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	100,765.

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	101,000.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	101,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	540.
6 Adjusted qualifying distributions Subtract line 5 from line 4	6	100,460.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				100,765.
2 Undistributed income, if any, as of the end of 2013			99,987.	
a Enter amount for 2012 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ 101,000.			99,987.	
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				1,013.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below.	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.	
d Subtract line 6c from line 6b Taxable amount - see instructions			0.	
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr				0.
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				99,752.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
VARIOUS PUBLIC CHARITIES PER ATTACHED LIST				101,000.
Total			► 3a	101,000.
<i>b Approved for future payment</i>				
None				
Total			► 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2.	
4 Dividends and interest from securities			14	39,598.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	24,080.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		63,680.	0.
13 Total. Add line 12, columns (b), (d), and (e)			13		63,680.
(See worksheet in line 13 instructions to verify calculations)					

Relationship of Activities to the Accomplishment of Exempt Purposes

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
AMERITRADE	2.	2.	
Total to Part I, line 3	2.	2.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
AMERITRADE	51,121.	11,523.	39,598.	39,598.	
To Part I, line 4	51,121.	11,523.	39,598.	39,598.	

Form 990-PF Accounting Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING FEES	9,535.	9,535.		0.
To Form 990-PF, Pg 1, ln 16b	9,535.	9,535.		0.

Form 990-PF Other Expenses Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ILLINOIS AG FEE	15.	15.		0.
IL CHARITY BUREAU FUND	115.	115.		0.
STATE LICENSE FEE	10.	10.		0.
To Form 990-PF, Pg 1, ln 23	140.	140.		0.

Form 990-PF

Other Investments

Statement 5

Description	Valuation Method	Book Value	Fair Market Value
HELD AT AMERITRADE	COST	1,867,421.	2,339,917.
Total to Form 990-PF, Part II, line 13		1,867,421.	2,339,917.



BDF Balasa Dinverno Foltz LLC

Private Wealth Management

Balasa Dinverno Foltz LLC
REALIZED GAINS AND LOSSES
Shirlie and Owen Siegel Foundation
 From 01-01-2013 Through 12-31-2013

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain or Loss	
						Short Term	Long Term
11-19-2008	01-22-2013	987.25	DFA Large Cap Value	11,353	23,980		12,627
02-18-2011	03-20-2013	417.00	iShares MSCI EAFE Growth Index	26,392	26,441		49
01-04-2010	03-20-2013	235.00	iShares MSCI EAFE Growth Index	13,339	14,901		1,562
02-18-2011	03-20-2013	65.00	iShares S&P 500	8,766	10,223		1,457
02-18-2011	06-19-2013	300.00	iShares Russell 1000 Value	20,751	25,485		4,734
02-23-2011	08-30-2013	6,616.26	Vanguard Total Bond Market	69,748	69,980		232
01-31-2012	08-30-2013	2,996.00	DFA Inflation Protected Securities	37,500	34,674		-2,826
11-07-2012	08-30-2013	1,818.18	PIMCO Income Fund	22,406	21,980	-426	
11-07-2012	08-30-2013	3,842.63	PIMCO Global Advantage Strategy Bond Fund	45,044	41,980	-3,064	
02-18-2011	08-30-2013	9,765.26	PIMCO Total Return	105,767	103,980		-1,787
TOTAL GAINS					0		20,661
TOTAL LOSSES						-3,491	-4,613
TOTAL REALIZED GAIN/LOSS				361,066	373,624	-3,491	16,048
				12,557			

We have gathered the information contained in this report from sources we believe reliable, however, we do not guarantee the accuracy or completeness of such information. Please review Form 1099 as sent by your custodian for the exact information that was reported to the IRS. Please note: for deductibility of management fees, please consult with your CPA.

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

ACCOUNT SUMMARY

Total Account Value: **\$2,339,916.51**

YOUR INDEPENDENT ADVISOR

BALASA DINVERNO FOLTZ LLC
500 PARK BLVD
SUITE 1400
ITASCA IL 60143-0000

For questions regarding the services provided
by your Independent Advisor call
(630) 875-4900

Questions? - Contact us.
(800) 431-3500

TD Ameritrade Cleaning, Inc., Member SIPC

CHANGE IN ACCOUNT VALUE

	This Month 12/1/13 - 12/31/13	Year to Date 1/1/13 - 12/31/13
BEGINNING VALUE	\$2,349,914.89	\$2,153,727.72
Deposits to Account		466.42
Dividends and Interest	24,813.28	62,042.94
Market Appreciation/Depreciation	10,732.00	316,382.88
Withdrawals from Account	(45,500.00)	(179,640.81)
Other Income or Expense	(43.66)	(13,062.64)
ENDING VALUE	\$2,339,916.51	\$186,188.79
CHANGE IN VALUE	(\$9,998.38)	

ASSETS	LIABILITIES	Market Value as of 12/31/13
Exchange Traded Funds (ETFs)	Margin Loan	\$978,669.58
Mutual Funds	TOTAL LIABILITIES	1,389,908.34
TOTAL ASSETS	\$2,368,577.92	\$28,661.41
TOTAL LIABILITIES	(\$28,661.41)	
TOTAL ACCOUNT VALUE	\$2,339,916.51	

Market Appreciation/ Depreciation	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals

TD Ameritrade

Institutional

MONTHLY STATEMENT

Reporting Period December 1 -31, 2013

ACCOUNT SUMMARY *(continued)*

CASH SERVICES

	Number of Transactions	This Month	Year to Date
Checks Written	7	\$(45,500.00)	\$(174,640.81)
TOTAL CASH SERVICES		\$(45,500.00)	\$(174,640.81)

HOLDINGS DETAIL

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)
GUGGENHEIM BULLETPSHARES 2016	BSCG	8/30/13	1,584	\$22.29	\$35,307.36	\$35,063.75	\$243.61
GUGGENHEIM BULLETPSHARES 2015	BSCF	8/30/13	1,606	21.88	35,139.28	35,035.24	104.04
GUGGENHEIM BULLETPSHARES 2014	BSCE	8/30/13	1,643	21.25	34,913.75	35,005.73	(91.98)
ISHARES CORE S&P 500 ETF	IVV	1/4/10	1,244	185.65	230,948.60	158,802.78	72,145.82
ISHARES MSCI EAFE ETF	EFA	1/4/10	672	67.095	45,087.84	40,418.49	4,669.35
ISHARES RUSSELL 1000 VALUE ETF	IWD	1/4/10	2,055	94.17	193,519.35	134,014.02	59,505.33
ISHARES RUSSELL 1000 GROWTH ETF	IWF	1/4/10	3,646	85.95	313,373.70	209,263.12	104,110.58

Questions? Consult your Independent Advisor:
BALASA DINVERNO FOLTZ LLC (630) 875-4900

TD Ameritrade

Institutional

MONTHLY STATEMENT

Reporting Period December 1 - 31, 2013

HOLDINGS DETAIL (continued)

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)
VANGUARD	VBK	1/4/10	739	122.30	90,379.70	55,966.38	34,413.32
CRSP US SM CAP GROWTH IND ETF							
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$978,669.58	\$703,569.51	\$275,100.07	
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION							
978,669.58							

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)
AQR	AIMOX	3/22/13	2,933.048	\$15.74	\$46,166.18	\$41,200.00	\$4,966.18
INTL MOMENTUM L	BSIX	8/30/13	5,023.116	10.16	51,034.86	50,000.00	1,034.86
BLACKROCK							
STRAT INCM OPTYS I	DFCEX	11/17/06	5,403.092	19.46	105,144.17	103,038.41	2,105.76
DFA	DFSCX	2/23/11	2,813.486	20.11	56,579.20	39,240.00	17,339.20
EMERGING MARKETS CORE EQUITY	DFISX	1/4/10	5,360.953	19.21	102,983.91	88,960.13	14,023.78
DFA	US MICRO CAP FD						
DFA	INTL SML PTFL						
DFA	DFGFX	2/18/11	6,970.12	10.01	69,770.90	70,000.00	(229.10)
DFA	DFSVX	2/18/11	2,833.514	35.41	100,334.73	78,480.00	21,854.73
US SMALL CAP VALUE PORT	DFLVX	11/19/08	7,096.183	31.62	224,381.31	92,608.69	131,772.62
DFA	US LARGE CAP VALUE PORT						
DFA	DFGEX	1/30/12	4,707.729	8.84	41,616.32	39,000.00	2,616.32
DFA	GLOBAL REAL ESTATE SEC PTF						
DFA	DFIVX	1/4/10	4,759.657	19.83	94,384.00	90,677.98	3,706.02
DFA	INTL VALUE PTF						

Questions? Consult your Independent Advisor:
BALASA DINVERNO FOLTZ LLC (630) 875-4900



Institutional

MONTHLY STATEMENT

Reporting Period December 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)
JP MORGAN STRATEGIC INCOME OPP FD SELECT	JSOSX	2/18/11	6,976 431	11.89	82,949.76	83,255.00	(305.24)
LOOMIS SAYLES BD FD INSTL	LSBDX	1/4/10	7,390 466	15.16	112,039.46	105,543.03	6,496.43
PIMCO TOTAL RET FD INSTL	PTTRX	1/5/10	9,037.845	10.69	96,614.56	97,993.34	(1,378.78)
PIMCO FDS INCOME INSTL	PIMIX	11/7/12	4,048.701	12.26	49,637.07	49,893.80	(256.73)
PIMCO FDS EMERGING LOCAL BOND	PELBX	6/30/10	4,389.757	9.33	40,956.43	44,367.46	(3,411.03)
PIMCO FUNDS GLOBAL ADV STRAT BOND I	PSAIX	11/7/12	4,894.993	11.04	54,040.72	57,380.52	(3,339.80)
VANGUARD TOTAL BOND MKT INDEX SIGNAL	VBTSX	2/23/11	5,802.534	10.56	61,274.76	60,873.94	400.82
TOTAL MUTUAL FUNDS					\$1,389,908.34	\$1,192,512.30	\$197,396.04
TOTAL HOLDINGS MARGIN LOAN					\$2,368,577.92 (28,661.41)	\$1,896,081.81	\$472,496.11
TOTAL ACCOUNT VALUE					\$2,339,916.51		

Questions? Consult your independent Advisor:
BALASA DINVERNO FOL TZ LLC (630) 875-4900



Ameritrade
Institutional

Application for Extension of Time To File an
Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.
► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ►
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ►

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions SHIRLIE & OWEN SIEGEL FOUNDATION	Employer identification number (EIN) or 20-3903312
	Number, street, and room or suite no. If a P.O. box, see instructions. C/O KIPNIS ROSEN & BLOOM 5550 W TOUHY #300	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions SKOKIE IL 60077	

Enter the Return code for the return that this application is for (file a separate application for each return)

 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► HOWARD BLOOM

Telephone No. ► 847-329-1199

Fax No. ► 847-329-0022

- If the organization does not have an office or place of business in the United States, check this box ►
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ► . If it is for part of the group, check this box ► and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 - calendar year 20 ____ or
 - tax year beginning _____, 20 _____, and ending _____, 20 _____.
- 2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period
- 3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. **3a** \$ _____
- b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. **3b** \$ _____
- c **Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. **3c** \$ _____

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions SHIRLIE & OWEN SIEGEL FOUNDATION	Employer identification number (EIN) or 20-3903312
File by the due date for filing your return. See Instructions	Number, street, and room or suite no. If a P O box, see instructions. C/O KIPNIS ROSEN & BLOOM 5550 W TOUHY AVE #300	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions SKOKIE IL 60077	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 1041-A	08
Form 990-BL	02	Form 4720 (other than individual)	09
Form 4720 (individual)	03	Form 5227	10
Form 990-PF	04	Form 6069	11
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 8870	12
Form 990-T (trust other than above)	06		

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

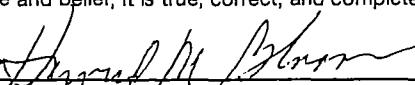
- The books are in the care of ► **HOWARD BLOOM**
Telephone No. ► **847-329-1199** Fax No. ► **847-329-0022**
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until **NOVEMBER 15, 2014**.
- For calendar year , or other tax year beginning , 20 , and ending , 20 .
- If the tax year entered in line 5 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period
- State in detail why you need the extension **TAXPAYER WAS UNABLE TO ACCUMULATE DATA NECESSARY TO FILE A TIMELY RETURN**

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$
c	Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature 

INTERNAL REVENUE SERVICE
W & I - FIELD ASSISTANCE
SCHILLER PARK, IL 60176

Date ► 08/08/14

Form 8868 (Rev 1-2014)

AUG 21 2014

RECEIVED
24398