"EXTENSION GRANTED TO 11/17/14"

Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

**2013**

Open to Public Inspection

**For calendar year 2013 or tax year beginning , and ending**

Name of foundation
THRESHOLD OF HOPE, INC.
C/O KATHLEEN POZNIAK

**A Employer identification number**
20-3879071

Number and street (or P O box number if mail is not delivered to street address)
3959 HUCKLE DR

Room/suite

B Telephone number
920-265-4786

City or town, state or province, country, and ZIP or foreign postal code
SPRINGFIELD, MO 65809

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 6,747,797.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

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## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | 50. | | N/A | |
| | 2 Check ▶ ☒ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 3. | 3. | | STATEMENT 1 |
| | 4 Dividends and interest from securities | 146,779. | 146,779. | | STATEMENT 2 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 132,548. | | | |
| | b Gross sales price for all assets on line 6a 3,026,168. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 132,548. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 279,380. | 279,330. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees | | | | |
| | c Other professional fees STMT 3 | 63,146. | 63,146. | | 0. |
| | 17 Interest | | | | |
| | 18 Taxes STMT 4 | 1,605. | 0. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 5 | 199. | 0. | | 0. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 64,950. | 63,146. | | 0. |
| | 25 Contributions, gifts, grants paid | 750. | | | 750. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 65,700. | 63,146. | | 750. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | 213,680. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 216,184. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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10-10-13 LHA **For Paperwork Reduction Act Notice, see instructions.**

Form **990-PF** (2013)

| | | **Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | 3,720. | 1,219. | 1,219. |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 | Other notes and loans receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments - U.S. and state government obligations | | | |
| | b | Investments - corporate stock | | | |
| | c | Investments - corporate bonds | | | |
| | 11 | Investments - land, buildings, and equipment: basis ▶ | | | |
| | | Less accumulated depreciation ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other STMT 6 | 6,372,422. | 6,746,578. | 6,746,578. |
| | 14 | Land, buildings, and equipment: basis ▶ | | | |
| | | Less accumulated depreciation ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 6,376,142. | 6,747,797. | 6,747,797. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | 6,376,142. | 6,747,797. | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** | 6,376,142. | 6,747,797. | |
| | 31 | **Total liabilities and net assets/fund balances** | 6,376,142. | 6,747,797. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 6,376,142. |
| 2 | Enter amount from Part I, line 27a | 2 | 213,680. |
| 3 | Other increases not included in line 2 (itemize) ▶ UNREALIZED GAINS | 3 | 157,975. |
| 4 | Add lines 1, 2, and 3 | 4 | 6,747,797. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 6,747,797. |

THRESHOLD OF HOPE, INC.
Form 990-PF (2013) C/O KATHLEEN POZNIAK 20-3879071 Page 3

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENT | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 3,026,168. | | 2,893,620. | 132,548. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 132,548. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 132,548.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2012 | 12,000. | 6,065,190. | .001979 |
| 2011 | 750. | 5,965,629. | .000126 |
| 2010 | 1,000,000. | 6,271,761. | .159445 |
| 2009 | 1,170,000. | 9,505,574. | .123086 |
| 2008 | 92,500. | 375,717. | .246196 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .530832 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .106166 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 6,464,000. |
| 5 | Multiply line 4 by line 3 | 5 | 686,257. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 2,162. |
| 7 | Add lines 5 and 6 | 7 | 688,419. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 750. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1.<br>Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)<br>b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b<br>c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | 1 | 4,324. |
| 2 ~~Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)~~ | | | 2 | 0. |
| 3 Add lines 1 and 2 | | | 3 | 4,324. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 4 | 0. |
| 5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 4,324. |
| 6 Credits/Payments: | | | | |
| a 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a | 3,620. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| d Backup withholding erroneously withheld | 6d | | | |
| 7 Total credits and payments. Add lines 6a through 6d | | | 7 | 3,620. |
| 8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** SEE STATEMENT 7 ▶ | | | 9 | 704. |
| 10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | |
| 11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ | Refunded ▶ | | 11 | |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? | 1b | | X |
| *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | | X |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶<br>WI | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ WWW.THRESHOLDOFHOPE.ORG

14 The books are in care of ▶ THE FOUNDATION Telephone no. ▶ 920-265-4786

Located at ▶ 3959 HUCKLE DR, SPRINGFIELD, MO ZIP+4 ▶ 65809

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No<br>If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.<br>▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

*If "Yes" to 6b, file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| KATHLEEN K. POZNIAK<br>3959 HUCKLE DR<br>SPRINGFIELD, MO 65809 | PRESIDENT/DIRECTOR<br>1.00 | 0. | 0. | 0. |
| KYLENE K. PUCKETT<br>23319 BRIAR OAKS TRAIL<br>KIRKSVILLE, MO 63501 | VICE-PRESIDENT/DIRECTOR<br>1.00 | 0. | 0. | 0. |
| DAVID P. POZNIAK II<br>3959 HUCKLE DR<br>SPRINGFIELD, MO 65809 | TREASURER/DIRECTOR<br>1.00 | 0. | 0. | 0. |
| REGAN M. POZNIAK<br>3959 HUCKLE DR<br>SPRINGFIELD, MO 65809 | SECRETARY/DIRECTOR<br>1.00 | 0. | 0. | 0. |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over $50,000 for professional services ▶ 0

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 AWARDING GRANTS TO ORGANIZATIONS TO PROVIDE FOOD AND SHELTER FOR THE HOMELESS | 750. |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions.<br>3 | |
| Total. Add lines 1 through 3 ▶ | 0. |

Form **990-PF** (2013)

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10-10-13

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | | |
| a | Average monthly fair market value of securities | | | 1a | 6,559,500. |
| b | Average of monthly cash balances | | | 1b | 2,937. |
| c | Fair market value of all other assets | | | 1c | |
| d | **Total** (add lines 1a, b, and c) | | | 1d | 6,562,437. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | | 3 | 6,562,437. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | | | 4 | 98,437. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | | 5 | 6,464,000. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | | 6 | 323,200. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 323,200. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 4,324. | | |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 4,324. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 318,876. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 0. |
| 5 | Add lines 3 and 4 | | | 5 | 318,876. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 318,876. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 750. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 750. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 750. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form **990-PF** (2013)

## Part XIII Undistributed Income (see instructions)

| | | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|---|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | | 318,876. |
| 2 Undistributed income, if any, as of the end of 2013: | | | | | |
| a Enter amount for 2012 only | | | | 0. | |
| b Total for prior years: _____, _____, _____ | | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | | |
| a From 2008 | | | | | |
| b From 2009 | 276,935. | | | | |
| c From 2010 | 690,369. | | | | |
| d From 2011 | | | | | |
| e From 2012 | | | | | |
| f Total of lines 3a through e | | 967,304. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 750. | | | | | |
| a Applied to 2012, but not more than line 2a | | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | 0. | | | |
| d Applied to 2013 distributable amount | | | | | 750. |
| e Remaining amount distributed out of corpus | | 0. | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | | 318,126. | | | 318,126. |
| 6 **Enter the net total of each column as indicated below:** | | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | 649,178. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | | 0. | | |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr. | | | | 0. | |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | | 0. | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 | | 0. | | | |
| 9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | | 649,178. | | | |
| 10 Analysis of line 9: | | | | | |
| a Excess from 2009 | | | | | |
| b Excess from 2010 | 649,178. | | | | |
| c Excess from 2011 | | | | | |
| d Excess from 2012 | | | | | |
| e Excess from 2013 | | | | | |

## Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon:<br>a "Assets" alternative test - enter:<br>(1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter:<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

KATHLEEN K. POZNIAK

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| GENEROSITY USA<br>6324 CHATHAM #251<br>KANSAS CITY, MO 64151 | NONE | PUBLIC | PROVIDE FOR THE NEEDY | 750. |
| | | | | |
| | | | | |
| | | | | |
| Total | | | ▶ 3a | 750. |
| b *Approved for future payment*<br>NONE | | | | |
| | | | | |
| | | | | |
| Total | | | ▶ 3b | 0. |

Form **990-PF** (2013)

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## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 3. | |
| 4 Dividends and interest from securities | | | 14 | 146,779. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 132,548. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 279,330. | 0. |

**13 Total.** Add line 12, columns (b), (d), and (e) 13 279,330.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | ~~(2) Other assets~~ | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee ▶

Date 10/30/14

Title ▶ President

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self- employed | PTIN |
|---|---|---|---|---|
| RICHARD L BOTTORF, CPA | | 10-30-14 | | P00117498 |

Firm's name ▶ KPM CPAS, PC

Firm's EIN ▶ 43-1109768

Firm's address ▶ 2003 EAST SUNSHINE
SPRINGFIELD, MO 65804

Phone no. (417)882-4300

Form **990-PF** (2013)

THRESHOLD OF HOPE, INC.
C/O KATHLEEN POZNIAK

CONTINUATION FOR 990-PF, PART IV
20-3879071 PAGE 1 OF 1

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | GENWORTH 1875961 (SEE ATTACHED) | P | VARIOUS | VARIOUS |
| b | GENWORTH 1875961 (SEE ATTACHED) | P | VARIOUS | VARIOUS |
| c | GENWORTH 1875963 (SEE ATTACHED) | P | VARIOUS | VARIOUS |
| d | GENWORTH 1875963 (SEE ATTACHED) | P | VARIOUS | VARIOUS |
| e | GENWORTH 1812775 (SEE ATTACHED) | P | VARIOUS | VARIOUS |
| f | GENWORTH 1812775 (SEE ATTACHED) | P | VARIOUS | VARIOUS |
| g | CAPITAL GAINS DIVIDENDS | | | |
| h | | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 46,901. | | 54,056. | <7,155.> |
| b | 827,795. | | 744,849. | 82,946. |
| c | 689,321. | | 715,349. | <26,028.> |
| d | 717,613. | | 715,968. | 1,645. |
| e | 277,502. | | 276,729. | 773. |
| f | 455,611. | | 386,669. | 68,942. |
| g | 11,425. | | | 11,425. |
| h | | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | | <7,155.> |
| b | | | | 82,946. |
| c | | | | <26,028.> |
| d | | | | 1,645. |
| e | | | | 773. |
| f | | | | 68,942. |
| g | | | | 11,425. |
| h | | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | 132,548. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 | 3 | N/A |

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## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| INTEREST INCOME | 3. | 3. | |
| TOTAL TO PART I, LINE 3 | 3. | 3. | |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| GENWORTH | 158,204. | 11,425. | 146,779. | 146,779. | |
| TO PART I, LINE 4 | 158,204. | 11,425. | 146,779. | 146,779. | |

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT FEES | 63,146. | 63,146. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 63,146. | 63,146. | | 0. |

## FORM 990-PF TAXES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| 2013 EXCISE TAX | 1,605. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 1,605. | 0. | | 0. |

## FORM 990-PF OTHER EXPENSES STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| REGISTERED AGENT FEE | 99. | 0. | | 0. |
| BANK FEES | 100. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 199. | 0. | | 0. |

## FORM 990-PF OTHER INVESTMENTS STATEMENT 6

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| GENWORTH | FMV | 6,746,578. | 6,746,578. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 6,746,578. | 6,746,578. |

## FORM 990-PF INTEREST AND PENALTIES STATEMENT 7

| | |
|---|---|
| TAX DUE FROM FORM 990-PF, PART VI | 704. |
| LATE PAYMENT INTEREST | 11. |
| LATE PAYMENT PENALTY | 25. |
| TOTAL AMOUNT DUE | 740. |

## FORM 990-PF LATE PAYMENT PENALTY STATEMENT 8

| DESCRIPTION | DATE | AMOUNT | BALANCE | MONTHS | PENALTY |
|---|---|---|---|---|---|
| TAX DUE | 05/15/14 | 704. | 704. | 7 | 25. |
| DATE FILED | 11/17/14 | | 704. | | |
| TOTAL LATE PAYMENT PENALTY | | | | | 25. |

## FORM 990-PF LATE PAYMENT INTEREST STATEMENT 9

| DESCRIPTION | DATE | AMOUNT | BALANCE | RATE | DAYS | INTEREST |
|---|---|---|---|---|---|---|
| TAX DUE | 05/15/14 | 704. | 704. | .0300 | 186 | 11. |
| DATE FILED | 11/17/14 | | 715. | | | |
| TOTAL LATE PAYMENT INTEREST | | | | | | 11. |

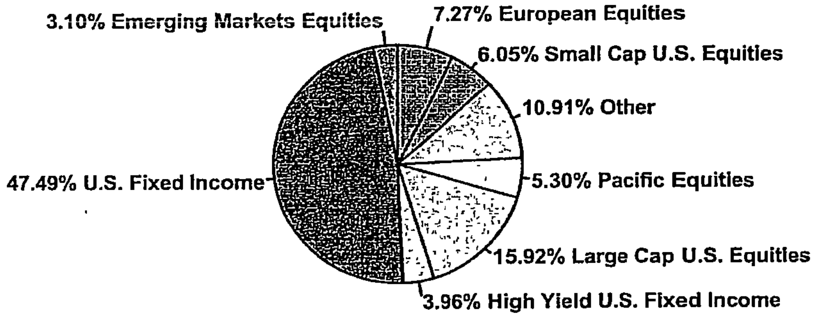
as of December 31, 2013

# Account Holdings

Threshold of Hope, Inc.

1875961 | **New Frontier ETF, Profile 2, Moderate Conservative**

## ASSET ALLOCATION



### OTHER

▨ Intl Equities 2.98% ▨ Cash Equivalents 2 64% ☐ Real Estate Investment Trusts 1.83% ▨ Diversified Global Fixed Income 1.55%

▨ Emerging Markets Fixed Income 1.03 ▨ Hard Assets 0.88%

| ACCOUNT HOLDINGS | Shares | Price | Market Value |
|---|---|---|---|
| ETF - Equity | | | |
| **Emerging Markets Equities** | | | |
| SPDR S&P China | 949.000 | 77.93 | 73,955.57 |
| Vanguard FTSE Emerging Markets ETF | 1,046.000 | 41.14 | 43,032.44 |
| **European Equities** | | | |
| Vanguard FTSE European ETF | 2,454.000 | 58.80 | 144,295.20 |
| iShares MSCI Switzerland Cap Idx | 3,931.000 | 32.99 | 129,683.69 |
| **Hard Assets** | | | |
| SPDR Gold Trust | 285.000 | 116.12 | 33,094.20 |
| **Intl Equities** | | | |
| Vanguard FTSE All-Wld ex-U.S.SmCp | 728.000 | 102.94 | 74,940.32 |
| iShares MSCI Canada Index | 1,282.000 | 29.16 | 37,383.12 |
| **Large Cap U.S. Equities** | | | |
| Vanguard Value ETF | 4,490.000 | 76.39 | 342,991.10 |
| Vanguard Growth ETF | 2,761.000 | 93.05 | 256,911.05 |
| **Pacific Equities** | | | |
| Vanguard FTSE Pacific ETF | 3,262.000 | 61.30 | 199,960.60 |
| **Real Estate Investment Trusts** | | | |
| SPDR Dow Jones REIT | 967.000 | 71.27 | 68,918.09 |
| **Small Cap U.S. Equities** | | | |
| Vanguard Small Cap Value ETF | 1,326.000 | 97.37 | 129,112.62 |
| Vanguard Small Cap Growth ETF | 810.000 | 122.30 | 99,063.00 |
| TOTAL | | | $1,633,341.00 |

Σ ⑦ 6,746,577.52

as of December 31, 2013

# Account Holdings

Threshold of Hope, Inc.

1875961 | **New Frontier ETF, Profile 2, Moderate Conservative**

| ACCOUNT HOLDINGS | Shares | Price | Market Value |
|---|---|---|---|
| **ETF - Fixed Income** | | | |
| **Diversified Global Fixed Income** | | | |
| SPDR Barclays Int'l Treas Bond | 1,013.000 | 57.74 | 58,490.62 |
| **Emerging Markets Fixed Income** | | | |
| iShares JPMorgan USD Emerg Markets Bond | 360.000 | 108.16 | 38,937.60 |
| **High Yield U.S. Fixed Income** | | | |
| SPDR Barclays High Yield Bond | 3,677.000 | 40.56 | 149,139.12 |
| **U.S. Fixed Income** | | | |
| iShares Barclays 7-10 Year Treasury | 6,508.000 | 99.24 | 645,853.92 |
| iShares Barclays 20+ Yr Trs Bond | 3,962.000 | 101.86 | 403,569.32 |
| iShares Barclays MBS Fixed-Rate Bond | 1,685.000 | 104.57 | 176,200.45 |
| iShares iBoxx $ Invest Grade Corp Bd | 1,326.000 | 114.19 | 151,413.29 |
| PowerShares Senior Loan Port | 5,730.000 | 24.88 | 142,562.40 |
| iShares Barclays TIPS Bond | 1,273.000 | 109.90 | 139,902.70 |
| iShares 10+ Year Credit Bond | 1,552.000 | 55.01 | 85,367.76 |
| iShares Barclays 1-3 Yr Trs Bond | 535.000 | 84.38 | 45,143.30 |
| TOTAL | | | $2,036,580.48 |
| **CASH & CASH EQUIVALENTS** | | | |
| CASH | | | 99,368.69 |
| TOTAL | | | $99,368.69 |
| **ACCRUED INCOME** | | | |
| Accrued | | | 4,529.47 |
| TOTAL | | | $4,529.47 |
| **TOTAL** | | | ① $3,773,819.63 |

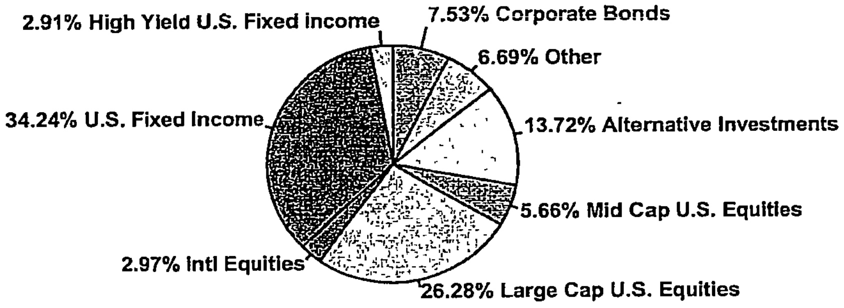
as of December 31, 2013

# Account Holdings

Threshold of Hope, Inc.

1875963 | **GFAM Preservation Strategy**

## ASSET ALLOCATION



### OTHER

▨ Cash Equivalents 2.53%

▨ U.S Equity Hedge 2.27%

▨ Diversified Global Fixed Income 1.75%

▨ U.S. Equities 0.14%

| ACCOUNT HOLDINGS | Shares | Price | Market Value |
|---|---|---|---|
| **ETF - Equity** | | | |
| **Alternative Investments** | | | |
| Barclays ETN S&P Dynamic VEQTOR ETN | 838.000 | 146.12 | 122,448.56 |
| **Large Cap U.S. Equities** | | | |
| SPDR S&P 500 | 1,978.000 | 184.69 | 365,316.82 |
| **U.S. Equities** | | | |
| iPath S&P 500 VIX Short-Term Futures | 47.000 | 42.55 | 1,999.85 |
| TOTAL | | | $489,765.23 |
| **ETF - Fixed Income** | | | |
| **Corporate Bonds** | | | |
| iShares Floating Rate Note Fund | 1,816.000 | 50.72 | 92,107.52 |
| TOTAL | | | $92,107.52 |

as of December 31, 2013

# Account Holdings

Threshold of Hope, Inc.

1875963 | **GFAM Preservation Strategy**

| ACCOUNT HOLDINGS | Shares | Price | Market Value |
|---|---|---|---|
| MUTUAL FUNDS | | | |
| **Alternative Investments** | | | |
| Ramius Dynamic Replication Fund | 3,585.074 | 9.84 | 35,277.13 |
| Ramius Trading Strat Managed Futures I | 3,366.202 | 9.81 | 33,022.44 |
| **Cash Equivalents** | | | |
| Rydex U.S. Govt Money Market | 18,924.630 | 1.00 | 18,924.63 |
| **Corporate Bonds** | | | |
| PIMCO Investment Grade Corp Bd Admin | 1,226.516 | 10.24 | 12,559.52 |
| **Diversified Global Fixed Income** | | | |
| Aberdeen Asia Bond Institutional | 2,496.351 | 9.76 | 24,364.39 |
| **High Yield U.S. Fixed Income** | | | |
| T. Rowe Price Instl Floating Rate | 3,932.003 | 10.29 | 40,460.31 |
| **Intl Equities** | | | |
| Lazard US Global Listed Infrastrcture | 2,226.617 | 13.13 | 29,235.48 |
| T. Rowe Price Int'l Discovery Fund | 216.331 | 55.74 | 12,058.29 |
| **Mid Cap U.S. Equities** | | | |
| Aston/Optimum Mid Cap I | 1,761.753 | 44.67 | 78,697.51 |
| **U.S. Equity Hedge** | | | |
| Ramius Strategic Volatility I | 6,045.534 | 5.21 | 31,497.23 |
| **U.S. Fixed Income** | | | |
| Driehaus Active Income Fund | 18,174.526 | 10.77 | 195,739.64 |
| PIMCO Income Administrative | 8,468.698 | 12.26 | 103,826.24 |
| Federated Short-Term Income Fund | 10,328.650 | 8.57 | 88,516.53 |
| PIMCO Low Duration Admin | 7,253.247 | 10.33 | 74,926.04 |
| JPMorgan Core Bond A | 1,112.139 | 11.48 | 12,767.36 |
| TOTAL | | | $791,872.74 |
| CASH & CASH EQUIVALENTS | | | |
| CASH | | | 16,214.56 |
| TOTAL | | | $16,214.56 |
| ACCRUED INCOME | | | |
| Accrued | | | 1,938.93 |
| TOTAL | | | $1,938.93 |
| **TOTAL** | | | ① $1,391,898.98 |

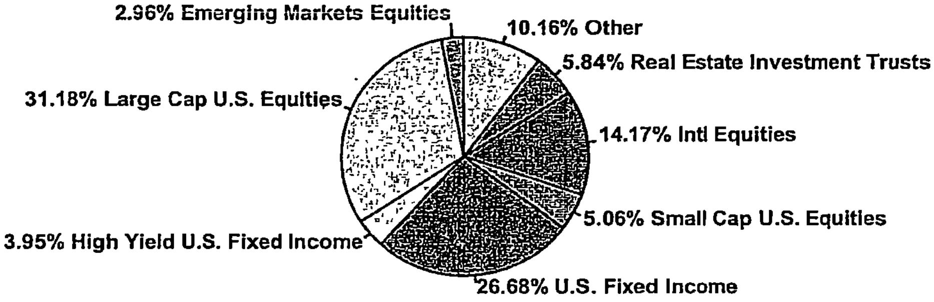
as of December 31, 2013

# Account Holdings

Threshold of Hope, Inc.

1812775 | **SSgA, Profile 3, Moderate**

## ASSET ALLOCATION



### OTHER

▨ Pacific Equities 2.49%

▨ Cash Equivalents 2.19%

▨ Mid Cap U.S. Equities 2.02%

▨ European Equities 1.53%

▨ Hard Assets 1.44%

▨ Diversified Global Fixed Income 0.49%

| ACCOUNT HOLDINGS | Shares | Price | Market Value |
|---|---|---|---|
| ETF - Equity | | | |
| **Emerging Markets Equities** | | | |
| SPDR S&P Emerging Markets | 721.000 | 64.66 | 46,619.86 |
| **European Equities** | | | |
| SPDR STOXX Europe 50 | 614.000 | 39.33 | 24,148.62 |
| **Hard Assets** | | | |
| SPDR Gold Trust | 195.000 | 116.12 | 22,643.40 |
| **Intl Equities** | | | |
| SPDR S&P World Ex-US | 6,525.000 | 29.34 | 191,443.50 |
| SPDR S&P Int'l Small Cap | 941.000 | 33.57 | 31,589.37 |
| **Large Cap U.S. Equities** | | | |
| SPDR S&P 500 | 2,488.000 | 184.69 | 459,508.72 |
| SPDR S&P Dividend | 425.000 | 72.62 | 30,863.50 |
| **Mid Cap U.S. Equities** | | | |
| MidCap SPDRs | 130.000 | 244.20 | 31,746.00 |
| **Pacific Equities** | | | |
| Vanguard FTSE Pacific ETF | 640.000 | 61.30 | 39,232.00 |
| **Real Estate Investment Trusts** | | | |
| SPDR Dow Jones REIT | 855.000 | 71.27 | 60,935.85 |
| SPDR Dow Jones Int'l Real Estate | 751.000 | 41.20 | 30,941.20 |
| **Small Cap U.S. Equities** | | | |
| SPDR Russell 2000 | 1,150.000 | 69.23 | 79,614.50 |
| TOTAL | | | $1,049,286.52 |

**as of December 31, 2013**

# Account Holdings

Threshold of Hope, Inc.

1812775 | **SSgA, Profile 3, Moderate**

| ACCOUNT HOLDINGS | Shares | Price | Market Value |
|---|---|---|---|
| **ETF - Fixed Income** | | | |
| **Diversified Global Fixed Income** | | | |
| SPDR DB Int'l Govt Infl-Protected Bond | 132.000 | 58.45 | 7,715.40 |
| **High Yield U.S. Fixed Income** | | | |
| SPDR Barclays High Yield Bond | 1,533.000 | 40.56 | 62,178.48 |
| **U.S. Fixed Income** | | | |
| SPDR Barclays Aggregate Bond | 6,755.000 | 56.40 | 380,981.32 |
| SPDR Barclays TIPS | 427.000 | 54.41 | 23,233.07 |
| SPDR Barclays Cap Long Term Corp Bd | 422.000 | 37.12 | 15,664 64 |
| TOTAL | | | $489,772.91 |
| **CASH & CASH EQUIVALENTS** | | | |
| CASH | | | 34,462.91 |
| TOTAL | | | $34,462.91 |
| **ACCRUED INCOME** | | | |
| Accrued | | | 7,336.57 |
| TOTAL | | | $7,336.57 |
| **TOTAL** | | | ① $1,580,858.91 |

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Client List > Accounts > Gain/Loss > Realized Gain/Loss

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## Threshold of Hope, Inc.

Exchange Traded Funds, 1875961

$3,698,139.85

Advisor ID. AGA709
Email Address: kkpgb@yahoo.com
Phone Number: 920-265-4786

| Account Number | Account Status | Approach | Investment Strategy |
|---|---|---|---|
| 1875961 | Funded | Strategic | New Frontier ETF, Profile 2, Moderate Conservative |

Activity Holdings Performance Gain/Loss Historical Market Values Account Information .Fees On-Demand Report

**Realized Gain/Loss** Unrealized Gain/Loss

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01/01/2013-12/31/2013

### 2 Short Term Gain/Loss

| Position | Symbol | Shares | Purchase Date | Sale Date | Cost Basis | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|
| SPDR Gold Trust | GLD | 77 | 1/9/2013 | 9/9/2013 | $12,344.61 | $10,312.64 | ($2,031.97) |
| Vanguard Long Term Bond ETF | BLV | 452 | 1/9/2013 - 8/20/2013 | 9/9/2013 | $41,712.02 | $36,588 77 | ($5,123.25) |
| | | | | Total | $54,056.63 | $46,901.41 | ($7,155.22) |

### 20 Long Term Gain/Loss

| Position | Symbol | Shares | Purchase Date | Sale Date | Cost Basis | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|
| iShares Barclays 1-3 Yr Trs Bond | SHY | 789 | 7/13/2011 - 1/13/2012 | 9/9/2013 | $66,694 89 | $66,424.90 | ($269.99) |
| iShares Barclays 7-10 Year Treasury | IEF | 1512 | 12/2/2009 - 5/4/2010 | 1/9/2013 | $136,020 76 | $161,617.94 | $25,597.18 |
| iShares Barclays MBS Fixed-Rate Bond | MBB | 453 | 7/12/2010 - 9/29/2011 | 9/9/2013 | $49,064.88 | $46,929.99 | ($2,134.89) |
| iShares Barclays TIPS Bond | TIP | 518 | 11/3/2009 - 5/4/2010 | 1/9/2013 - 9/9/: | $54,108.82 | $60,476.70 | $6,367.88 |
| iShares JPMorgan USD Emerg Markets Bonc | EMB | 105 | 8/6/2010 | 9/9/2013 | $11,452.01 | $11,111.96 | ($340.05) |
| iShares MSCI Canada Index | EWC | 180 | 12/29/2011 | 1/9/2013 | $4,710.51 | $5,171.58 | $461.07 |
| iShares MSCI Switzerland Cap Idx | EWL | 336 | 12/29/2011 | 9/9/2013 | $7,559.06 | $10,140.33 | $2,581.27 |
| SPDR Barclays High Yield Bond | JNK | 1469 | 12/2/2009 - 12/23/2010 | 1/9/2013 - 9/9/: | $57,406.06 | $58,422.40 | $1,016.34 |
| SPDR Barclays Int'l Treas Bond | BWX | 550 | 2/2/2010 - 1/13/2012 | 9/9/2013 | $31,798.79 | $31,154.76 | ($644.03) |
| SPDR Dow Jones REIT | RWR | 50 | 8/6/2010 - 12/23/2010 | 1/9/2013 | $2,846.87 | $3,716.61 | $869 74 |
| SPDR S&P China | GXC | 282 | 7/13/2011 | 1/9/2013 | $21,727.34 | $21,316.44 | ($410.90) |
| Vanguard FTSE All-Wld ex-U.S.SmCp | VSS | 216 | 8/6/2010 | 1/9/2013 - 9/9/: | $18,374.98 | $20,533.95 | $2,158.97 |
| Vanguard FTSE Emerging Markets ETF | VWO | 466 | 8/6/2010 | 1/9/2013 | $19,733.44 | $20,863.64 | $1,130.20 |
| Vanguard FTSE European ETF | VGK | 877 | 8/6/2010 | 1/9/2013 - 9/9/: | $41,503.75 | $44,540.20 | $3,036.45 |
| Vanguard FTSE Pacific ETF | VPL | 494 | 3/2/2010 - 7/12/2010 | 1/9/2013 - 9/9/. | $25,552.42 | $27,496.84 | $1,944 42 |
| Vanguard Growth ETF | VUG | 432 | 2/2/2010 - 8/6/2010 | 1/9/2013 - 9/9/: | $23,716.92 | $35,247.25 | $11,530.33 |
| Vanguard Long Term Bond ETF | BLV | 1243 | 12/23/2010 - 1/13/2012 | 9/9/2013 | $106,165.62 | $100,619 10 | ($5,546.52) |
| Vanguard Small Cap Growth ETF | VBK | 293 | 7/12/2010 - 8/6/2010 | 9/9/2013 | $18,169.22 | $32,751.07 | $14,581.85 |
| Vanguard Small Cap Value ETF | VBR | 241 | 9/30/2009 - 12/2/2009 | 1/9/2013 - 9/9/: | $12,496.00 | $19,317.34 | $6,821.34 |
| Vanguard Value ETF | VTV | 715 | 2/2/2010 - 5/4/2010 | 9/9/2013 | $35,746 79 | $49,941.89 | $14,195.10 |

Total

See Next page

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Client List > Accounts > Gain/Loss > Realized Gain/Loss

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## Threshold of Hope, Inc.

Exchange Traded Funds, 1875961

$3,698,139.85

Advisor ID AGA709
Email Address: kkpgb@yahoo.com
Phone Number: 920-265-4786

| Account Number | Account Status | Approach | Investment Strategy |
|---|---|---|---|
| 1875961 | Funded | Strategic | New Frontier ETF, Profile 2, Moderate Conservative |

Activity Holdings Performance Gain/Loss Historical Market Values Account Information Fees On-Demand Report

**Realized Gain/Loss** Unrealized Gain/Loss

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### 2 Short Term Gain/Loss

### 20 Long Term Gain/Loss

| Position | Symbol | Shares | Purchase Date | Sale Date | Cost Basis | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|
| iShares Barclays 1-3 Yr Trs Bond | SHY | 789 | 7/13/2011 - 1/13/2012 | 9/9/2013 | $66,694.89 | $66,424.90 | ($269.99) |
| iShares Barclays 7-10 Year Treasury | IEF | 1512 | 12/2/2009 - 5/4/2010 | 1/9/2013 | $136,020.76 | $161,617 94 | $25,597.18 |
| iShares Barclays MBS Fixed-Rate Bond | MBB | 453 | 7/12/2010 - 9/29/2011 | 9/9/2013 | $49,064.88 | $46,929.99 | ($2,134 89) |
| iShares Barclays TIPS Bond | TIP | 518 | 11/3/2009 - 5/4/2010 | 1/9/2013 - 9/9/ | $54,108.82 | $60,476.70 | $6,367.88 |
| iShares JPMorgan USD Emerg Markets Bond | EMB | 105 | 8/6/2010 | 9/9/2013 | $11,452.01 | $11,111.96 | ($340.05) |
| iShares MSCI Canada Index | EWC | 180 | 12/29/2011 | 1/9/2013 | $4,710.51 | $5,171.58 | $461.07 |
| iShares MSCI Switzerland Cap Idx | EWL | 336 | 12/29/2011 | 9/9/2013 | $7,559.06 | $10,140.33 | $2,581.27 |
| SPDR Barclays High Yield Bond | JNK | 1469 | 12/2/2009 - 12/23/2010 | 1/9/2013 - 9/9/ | $57,406.06 | $58,422.40 | $1,016.34 |
| SPDR Barclays Int'l Treas Bond | BWX | 550 | 2/2/2010 - 1/13/2012 | 9/9/2013 | $31,798.79 | $31,154.76 | ($644.03) |
| SPDR Dow Jones REIT | RWR | 50 | 8/6/2010 - 12/23/2010 | 1/9/2013 | $2,846.87 | $3,716.61 | $869.74 |
| SPDR S&P China | GXC | 282 | 7/13/2011 | 1/9/2013 | $21,727.34 | $21,316.44 | ($410.90) |
| Vanguard FTSE All-Wld ex-U.S.SmCp | VSS | 216 | 8/6/2010 | 1/9/2013 - 9/9/. | $18,374.98 | $20,533 95 | $2,158.97 |
| Vanguard FTSE Emerging Markets ETF | VWO | 466 | 8/6/2010 | 1/9/2013 | $19,733.44 | $20,863.64 | $1,130.20 |
| Vanguard FTSE European ETF | VGK | 877 | 8/6/2010 | 1/9/2013 - 9/9/ | $41,503.75 | $44,540.20 | $3,036.45 |
| Vanguard FTSE Pacific ETF | VPL | 494 | 3/2/2010 - 7/12/2010 | 1/9/2013 - 9/9/ | $25,552.42 | $27,496.84 | $1,944.42 |
| Vanguard Growth ETF | VUG | 432 | 2/2/2010 - 8/6/2010 | 1/9/2013 - 9/9/ | $23,716 92 | $35,247.25 | $11,530.33 |
| Vanguard Long Term Bond ETF | BLV | 1243 | 12/23/2010 - 1/13/2012 | 9/9/2013 | $106,165.62 | $100,619.10 | ($5,546.52) |
| Vanguard Small Cap Growth ETF | VBK | 293 | 7/12/2010 - 8/6/2010 | 9/9/2013 | $18,169.22 | $32,751.07 | $14,581.85 |
| Vanguard Small Cap Value ETF | VBR | 241 | 9/30/2009 - 12/2/2009 | 1/9/2013 - 9/9/ | $12,496 00 | $19,317.34 | $6,821.34 |
| Vanguard Value ETF | VTV | 715 | 2/2/2010 - 5/4/2010 | 9/9/2013 | $35,746.79 | $49,941.89 | $14,195.10 |
| | | | | Total | $744,849.13 | $827,794.89 | $82,945.76 |

### 166 Dividend, Interest, and Other Income

| Position | Symbol | Description | Shares | Date | Proceeds | Income |
|---|---|---|---|---|---|---|
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 2.43 | 11/29/2013 | $2.43 | $2 43 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.76 | 7/31/2013 | $0.76 | $0.76 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.73 | 8/30/2013 | $0.73 | $0.73 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.73 | 1/31/2013 | $0.73 | $0 73 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0 67 | 10/31/2013 | $0.67 | $0 67 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0 66 | 6/28/2013 | $0.66 | $0.66 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.65 | 5/31/2013 | $0.65 | $0.65 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0 62 | 9/30/2013 | $0 62 | $0.62 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.61 | 4/30/2013 | $0.61 | $0.61 |

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Client List > Accounts > Gain/Loss > Realized Gain/Loss

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## Threshold of Hope, Inc.

Individually Managed Accounts, 1875963

$1,384,012.27

Advisor ID: AGA7O9
Email Address: kkpgb@yahoo.com
Phone Number: 920-265-4786

| Account Number | Account Status | Approach | Investment Strategy |
|---|---|---|---|
| 1875963 | Funded | Absolute Return | Savos Preservation Strategy |

Activity Holdings Performance Gain/Loss Historical Market Values Account Information Fees On-Demand Report

**Realized Gain/Loss** Unrealized Gain/Loss

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01/01/2013-12/31/2013

### 21 Short Term Gain/Loss

| Position | Symbol | Shares | Purchase Date | Sale Date | Cost Basis | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|
| Aberdeen Asia Bond Institutional | CSABX | 1636 143 | 6/18/2012 - 1/9/2013 | 6/17/2013 | $18,255.90 | $17,474.01 | ($781 89) |
| American Century Real Estate Inv | REACX | 3937 906 | 5/20/2013 - 6/14/2013 | 6/17/2013 - 7/9/2013 | $105,369 04 | $96,994.27 | ($8,374.77) |
| Federated Short Int Total Return Bond SS | FGCSX | 3025 259 | 4/27/2012 - 12/31/2012 | 1/7/2013 - 6/25/2013 | $32,161 26 | $32,339 95 | $178 69 |
| Federated Total Rtrn Govt Instl Svc | FTGSX | 311.338 | 1/31/2012 - 6/7/2013 | 1/4/2013 - 6/14/2013 | $3,628 56 | $3,514.10 | ($114 46) |
| iPath S&P 500 VIX Short-Term Futures | VXX | 0 75 | 10/9/2013 | 11/11/2013 | $50 80 | $36.36 | ($14 44) |
| iPath S&P 500 VIX Short-Term Futures | VXX--Z | 4437 | 6/25/2013 - 10/9/2013 | 7/9/2013 - 10/18/2013 | $94,488 73 | $67,406.02 | ($27,082 71) |
| iPath Seasonal Natural Gas ETN | DCNG | 1600 | 11/14/2012 - 4/30/2013 | 2/22/2013 - 5/31/2013 | $49,957.41 | $49,122.28 | ($835.13) |
| iShares Barclays 20+ Yr Trs Bond | TLT | 121 | 11/8/2012 | 1/8/2013 | $14,976 17 | $14,403.86 | ($572.31) |
| iShares Dow Jones US Home Construction | ITB | 2204 | 11/8/2012 - 3/1/2013 | 2/22/2013 - 6/21/2013 | $46,642 04 | $48,853.51 | -$2,211.47 |
| iShares Dow Jones US Real Estate | IYR | 1488 | 11/8/2012 - 1/4/2013 | 5/16/2013 - 5/23/2013 | $96,284 27 | $111,184.04 | $14,899.77 |
| iShares Floating Rate Note Fund | FLOT | 492 | 7/11/2013 - 7/12/2013 | 8/29/2013 - 10/9/2013 | $24,896.12 | $24,911.81 | $15.69 |
| JPMorgan Core Bond A | PGBOX | 36.72 | 12/31/2011 | 6/17/2013 | $435 13 | $435.13 | $0.00 |
| Lazard US Global Listed Infrastrcture | GLIFX | 3206 432 | 1/4/2013 - 1/8/2013 | 6/18/2013 - 6/25/2013 | $35,536 90 | $38,809.97 | $3,273 07 |
| Market Vectors Gold Miners ETF | GDX | 768 | 4/8/2013 | 4/16/2013 | $26,786 26 | $22,578.82 | ($4,207.44) |
| Merger Fund | MERFX | 1712 172 | 8/6/2012 - 12/28/2012 | 1/24/2013 - 1/25/2013 | $27,168.74 | $26,955.12 | ($213.62) |
| PIMCO Investment Grade Corp Bd Admin | PGCAX | 1248.779 | 6/29/2012 - 5/6/2013 | 6/25/2013 | $14,114.59 | $13,087.20 | ($1,027.39) |
| ProShares UltraPro Short S&P 500 | | 1927 | 6/21/2013 | 6/25/2013 | $49,030.69 | $49,100 54 | $69.85 |
| T. Rowe Price High Yield Adv | PAHIX | 613.194 | 5/31/2012 - 12/31/2012 | 5/20/2013 | $4,169.69 | $4,451.79 | $282 10 |
| T. Rowe Price Short Term Bond Adv | PASHX | 3045.567 | 4/4/2012 - 12/31/2012 | 1/16/2013 - 6/25/2013 | $14,745.05 | $14,719 18 | ($25.87) |
| T. Rowe Price U S. Bond Index | PBDIX | 257.778 | 6/29/2012 - 12/31/2012 | 6/25/2013 | $3,002.49 | $2,835.56 | ($166.93) |
| Utilities Select Sector SPDR | XLU | 1332 | 5/20/2013 | 5/29/2013 | $53,649.75 | $50,107.87 | ($3,541.88) |
| | | | | Total | $715,349.59 | $689,321.39 | ($26,028.20) |

### 11 Long Term Gain/Loss

| Position | Symbol | Shares | Purchase Date | Sale Date | Cost Basis | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|
| Aberdeen Asia Bond Institutional | CSABX | 2418 879 | 10/5/2011 - 3/19/2012 | 6/17/2013 | $25,290.03 | $25,833.63 | $543.60 |
| Federated Short Int Total Return Bond SS | FGCSX | 5216.603 | 4/27/2012 - 5/31/2012 | 6/14/2013 - 6/2 | $55,432.38 | $54,568.60 | ($863.78) |
| Federated Short-Term Income Fund | FSISX | 2476 202 | 4/3/2012 - 4/4/2012 | 6/14/2013 - 6/2 | $21,369.63 | $21,288.37 | ($81.26) |
| Federated Total Rtrn Govt Instl Svc | FTGSX | 6073 448 | 9/15/2011 - 6/8/2012 | 1/4/2013 - 6/14 | $71,560.53 | $68,913 03 | ($2,647.50) |
| GLG International Small Cap | GLGSX | 1191 125 | 12/14/2010 - 12/16/2011 | 4/11/2013 | $12,040.50 | $10,943.94 | ($1,096.56) |
| JPMorgan Core Bond A | PGBOX | 7535 543 | 10/5/2011 - 4/9/2012 | 1/7/2013 - 6/25 | $89,163 28 | $89,469.68 | $306.40 |
| PIMCO Investment Grade Corp Bd Admin | PGCAX | 4368 873 | 10/17/2011 - 5/31/2012 | 6/14/2013 - 6/2 | $46,126.69 | $46,784.33 | $657 64 |
| PIMCO Low Duration Admin | PLDAX | 6093 749 | 4/3/2012 - 4/5/2012 | 6/25/2013 - 7/8 | $63,352.87 | $62,174 37 | ($1,178.50) |
| T. Rowe Price High Yield Adv | PAHIX | 13673.971 | 10/19/2011 - 4/30/2012 | 2/27/2013 - 5/2 | $90,159.89 | $97,868.95 | $7,709.06 |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| T Rowe Price Short Term Bond Adv | PASHX | 32294 251 | 4/5/2012 - 5/31/2012 | 5/6/2013 - 6/25 | $156,394 19 | $155,568.90 | ($825.29) |
| T. Rowe Price U.S. Bond Index | PBDIX | 7476 434 | 6/6/2011 - 5/31/2012 | 1/4/2013 - 6/25 | $85,077 82 | $84,199.31 | ($878.51) |
| | | | | Total | $715,967.81 | $717,613.11 | $1,645.30 |

## 146 Dividend, Interest, and Other Income

| Position | Symbol | Description | Shares | Date | Proceeds | Income |
|---|---|---|---|---|---|---|
| Aberdeen Asia Bond Institutional | CSABX | Aberdeen Asia Bond In | 81.044 | 12/23/2013 | $789.37 | $789 37 |
| Aberdeen Asia Bond Institutional | CSABX | Aberdeen Asia Bond In | 0 | 6/17/2013 | $432.13 | $432.13 |
| Aberdeen Asia Bond Institutional | CSABX | Aberdeen Asia Bond In | 0 | 12/23/2013 | $395 29 | $395 29 |
| Aberdeen Asia Bond Institutional | CSABX | Aberdeen Asia Bond In | 0 | 3/18/2013 | $104.11 | $104.11 |
| American Century Real Estate Inv | REACX | American Century Real | 0 | 6/11/2013 | $706.15 | $706 15 |
| Aston/Optimum Mid Cap I | ABMIX | Aston/Optimum Mid Ca | 153 901 | 12/30/2013 | $6,857.81 | $6,857.81 |
| Aston/Optimum Mid Cap I | ABMIX | Aston/Optimum Mid Ca | 0 | 12/30/2013 | $98.72 | $98.72 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.52 | 6/28/2013 | $0.52 | $0.52 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.36 | 11/29/2013 | $0.36 | $0.36 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.31 | 5/31/2013 | $0.31 | $0.31 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0 24 | 3/28/2013 | $0.24 | $0.24 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.22 | 9/30/2013 | $0.22 | $0 22 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.2 | 7/31/2013 | $0 20 | $0.20 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.2 | 4/30/2013 | $0.20 | $0.20 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0 19 | 1/31/2013 | $0.19 | $0.19 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0.17 | 2/28/2013 | $0.17 | $0.17 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0 15 | 8/30/2013 | $0 15 | $0 15 |
| BlackRock Liquidity T-Fund Mgmt | BPTXX | BlackRock Liquidity T-F | 0 11 | 10/31/2013 | $0.11 | $0.11 |
| Driehaus Active Income Fund | LCMAX | Driehaus Active Incom | 0 | 12/18/2013 | $950 53 | $950.53 |
| Driehaus Active Income Fund | LCMAX | Driehaus Active Incom | 0 | 9/19/2013 | $896.68 | $896 68 |
| Driehaus Active Income Fund | LCMAX | Driehaus Active Incom | 0 | 6/20/2013 | $809 58 | $809.58 |
| Driehaus Active Income Fund | LCMAX | Driehaus Active Incom | 0 | 3/21/2013 | $438 18 | $438 18 |
| Federated Short Int Total Return Bond SS | FGCSX | Federated Short Int To | 0 | 4/30/2013 | $79.69 | $79.69 |
| Federated Short Int Total Return Bond SS | FGCSX | Federated Short Int To | 0 | 5/31/2013 | $72.83 | $72.83 |
| Federated Short Int Total Return Bond SS | FGCSX | Federated Short Int To | 0 | 3/28/2013 | $64.41 | $64.41 |
| Federated Short Int Total Return Bond SS | FGCSX | Federated Short Int To | 0 | 1/31/2013 | $63 40 | $63.40 |
| Federated Short Int Total Return Bond SS | FGCSX | Federated Short Int To | 0 | 2/28/2013 | $55.32 | $55.32 |
| Federated Short Int Total Return Bond SS | FGCSX | Federated Short Int To | 0 | 6/28/2013 | $42.35 | $42.35 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 5/31/2013 | $133.33 | $133.33 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 6/28/2013 | $129.06 | $129.06 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 1/31/2013 | $127.66 | $127.66 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 8/30/2013 | $112.68 | $112.68 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 7/31/2013 | $111.32 | $111 32 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 2/28/2013 | $106 37 | $106.37 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 9/30/2013 | $105.83 | $105.83 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 11/29/2013 | $104.22 | $104.22 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 10/31/2013 | $104.18 | $104.18 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 4/30/2013 | $100.97 | $100.97 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 3/28/2013 | $100.63 | $100 63 |
| Federated Short-Term Income Fund | FSISX | Federated Short-Term | 0 | 12/30/2013 | $58.87 | $58.87 |
| Federated Total Rtn Govt Instl Svc | FTGSX | Federated Total Rtn G | 37.109 | 6/7/2013 | $417.48 | $417.48 |
| Federated Total Rtn Govt Instl Svc | FTGSX | Federated Total Rtn G | 0 | 1/31/2013 | $74.54 | $74.54 |
| Federated Total Rtn Govt Instl Svc | FTGSX | Federated Total Rtn G | 6.221 | 6/7/2013 | $69 99 | $69.99 |
| Federated Total Rtn Govt Instl Svc | FTGSX | Federated Total Rtn G | 0 | 2/28/2013 | $69 42 | $69.42 |

**eWealthManager** Welcome Richard Bottorf

Client List > Accounts > Gain/Loss > Realized Gain/Loss

EXPAND TO READ ABOUT THIS PAGE

## Threshold of Hope, Inc.

Exchange Traded Funds, 1812775

$1,609,244.28

Advisor ID: AGA709
Email Address: kkpgb@yahoo com
Phone Number: 920-265-4786

| Account Number | Account Status | Approach | Investment Strategy |
|---|---|---|---|
| 1812775 | Funded | Tactical Constrained | SSgA, Profile 3, Moderate |

Activity Holdings Performance Gain/Loss Historical Market Values Account Information Fees On-Demand Report

**Realized Gain/Loss** Unrealized Gain/Loss

Print Download

Filter By | Date from 01/01/2013 to 12/31/2013 | Accounting Method: First In, First Out | Last Updated: 10/20/2014 | Restore Default

01/01/2013-12/31/2013

### 15 Short Term Gain/Loss

| Position | Symbol | Shares | Purchase Date | Sale Date | Cost Basis | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|
| SPDR Barclays Cap Interm Term Credit | ITR | 1746 | 3/11/2013 - 9/11/2013 | 4/9/2013 - 11/11/2013 | $60,398 22 | $59,235.06 | ($1,163 16) |
| SPDR Barclays Cap Long Term Corp Bd | LWC | 743 | 2/13/2013 | 4/9/2013 - 10/9/2013 | $29,995 80 | $30,602.50 | $606.70 |
| SPDR Barclays Short Term High Yield Bond | SJNK | 970 | 11/12/2012 - 5/8/2013 | 6/11/2013 - 7/10/2013 | $29,675 93 | $29,333.04 | ($342 89) |
| SPDR Barclays TIPS | IPE | 11 | 10/12/2012 | 10/9/2013 | $671.11 | $612.66 | ($58.45) |
| SPDR DB Int'l Govt Infl-Protected Bond | WIP | 6 | 4/9/2013 | 8/9/2013 - 10/9/2013 | $378.16 | $357.22 | ($20.94) |
| SPDR Dow Jones Int'l Real Estate | RWX | 35 | 7/17/2012 | 3/11/2013 - 5/8/2013 | $1,310.14 | $1,556.72 | $246.58 |
| SPDR Euro Stoxx 50 | FEZ | 1266 | 9/14/2012 - 12/11/2012 | 1/11/2013 - 4/9/2013 | $41,310.61 | $43,652.53 | $2,341.92 |
| SPDR Russell 2000 | TWOK | 48 | 11/11/2013 | 12/10/2013 | $3,152.64 | $3,202.99 | $50.35 |
| SPDR S&P 600 Small Cap | SLY | 25 | 11/12/2012 - 10/9/2013 | 11/11/2013 | $1,917 12 | $2,497.21 | $580.09 |
| SPDR S&P Dividend | SDY | 27 | 11/12/2012 - 4/9/2013 | 10/9/2013 - 12/10/2013 | $1,618 29 | $1,910.12 | $291.83 |
| SPDR S&P Emerging Markets | GMM | 411 | 5/14/2012 - 1/11/2013 | 5/8/2013 - 12/10/2013 | $26,868 27 | $26,454.47 | ($413.80) |
| SPDR S&P Int'l Dividend | DWX | 388 | 9/14/2012 - 11/11/2013 | 1/11/2013 - 12/10/2013 | $18,737.80 | $18,045.24 | ($692.56) |
| SPDR STOXX Europe 50 | FEU | 12 | 8/9/2013 | 9/11/2013 - 11/11/2013 | $429.84 | $442 83 | $12.99 |
| Vanguard FTSE Pacific ETF | VPL | 141 | 5/8/2013 | 7/10/2013 - 9/11/2013 | $8,682.75 | $8,422.63 | ($260.12) |
| Vanguard Interm-Tm Corp Bd Idx | VCIT | 590 | 3/14/2012 - 12/11/2012 | 3/11/2013 | $51,581.77 | $51,176.60 | ($405.17) |
| | | | | Total | $276,728.45 | $277,501.82 | $773.37 |

### 15 Long Term Gain/Loss

| Position | Symbol | Shares | Purchase Date | Sale Date | Cost Basis | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|
| MidCap SPDRs | MDY | 11 | 12/15/2010 | 1/11/2013 - 12/ | $1,792.28 | $2,370.72 | $578.44 |
| SPDR Barclays Aggregate Bond | LAG | 797 | 4/1/2010 | 7/10/2013 - 11/ | $43,838.22 | $45,042.12 | $1,203.90 |
| SPDR Barclays High Yield Bond | JNK | 1355 | 10/12/2011 - 8/14/2012 | 2/13/2013 - 11/ | $52,154.45 | $54,721.99 | $2,567.54 |
| SPDR Barclays TIPS | IPE | 1 | 9/14/2012 | 10/9/2013 | $60.57 | $55.70 | ($4.87) |
| SPDR Dow Jones Int'l Real Estate | RWX | 11 | 7/17/2012 | 10/9/2013 | $411.75 | $461.29 | $49.54 |
| SPDR Dow Jones REIT | RWR | 50 | 8/12/2011 - 9/14/2011 | 1/11/2013 - 7/1 | $3,077.74 | $4,001.41 | $923.67 |
| SPDR Gold Trust | GLD | 82 | 4/1/2010 - 8/14/2012 | 8/9/2013 - 8/29 | $11,850.92 | $11,113.69 | ($737.23) |
| SPDR S&P 500 | SPY | 357 | 4/1/2010 | 1/11/2013 - 12/ | $41,958 21 | $58,439.59 | $16,481.38 |
| SPDR S&P 600 Small Cap | SLY | 744 | 9/15/2010 - 10/12/2012 | 1/11/2013 - 11/ | $50,523.19 | $72,412 09 | $21,888.90 |
| SPDR S&P Dividend | SDY | 1172 | 4/30/2010 - 8/14/2012 | 1/11/2013 - 10/ | $57,782.52 | $75,785.84 | $18,003.32 |
| SPDR S&P Emerging Markets | GMM | 518 | 9/14/2011 - 5/21/2012 | 3/11/2013 - 6/1 | $32,152.46 | $33,807.88 | $1,655.42 |
| SPDR S&P Int'l Dividend | DWX | 274 | 9/14/2012 - 11/12/2012 | 12/10/2013 | $13,133.85 | $12,702.51 | ($431.34) |
| SPDR S&P Int'l Small Cap | GWX | 119 | 4/1/2010 | 1/11/2013 - 9/1 | $3,226.02 | $3,713.21 | $487.19 |
| SPDR S&P World Ex-US | GWL | 558 | 4/1/2010 - 6/2/2010 | 5/8/2013 - 11/1 | $12,901.43 | $15,339.53 | $2,438.10 |
| Vanguard Interm-Tm Corp Bd Idx | VCIT | 753 | 5/16/2011 - 2/14/2012 | 1/11/2013 - 3/1 | $61,804.82 | $65,643.10 | $3,838.28 |
| | | | | | 386,668.43 | 455,610.67 | 68,942.24 |

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing** *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . ▶ ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Enter filer's identifying number**

| | | |
|---|---|---|
| **Type or print**<br>File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions.<br>THRESHOLD OF HOPE, INC.<br>C/O KATHLEEN POZNIAK | Employer identification number (EIN) or<br>20-3879071 |
| | Number, street, and room or suite no If a P O box, see instructions<br>3959 HUCKLE DR | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>SPRINGFIELD, MO 65809 | |

Enter the Return code for the return that this application is for (file a separate application for each return) . . . 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ THE FOUNDATION 3959 HUCKLE DR - SPRINGFIELD, MO 65809
  Telephone No ▶ 920-265-4786 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above. The extension is for the organization's return for
▶ ☒ calendar year 2013 or
▶ ☐ tax year beginning ________ , and ending ________ .

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

| | | | |
|---|---|---|---|
| 3a | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | $ 0. |
| b | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit. | 3b | $ 2,015. |
| c | **Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 3c | $ 0. |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.** Form **8868** (Rev. 1-2014)
323841
12-31-13

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

## Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

**Enter filer's identifying number, see instructions**

**Type or print**

File by the due date for filing your return See instructions

Name of exempt organization or other filer, see instructions.
THRESHOLD OF HOPE, INC.
C/O KATHLEEN POZNIAK

Employer identification number (EIN) or
20-3879071

Number, street, and room or suite no If a P O. box, see instructions
3959 HUCKLE DR

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions
SPRINGFIELD, MO 65809

Enter the Return code for the return that this application is for (file a separate application for each return) . . . 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ THE FOUNDATION 3959 HUCKLE DR - SPRINGFIELD, MO 65809

Telephone No ▶ 920-265-4786 Fax No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014.

5 For calendar year 2013 , or other tax year beginning ____________ , and ending ____________.

6 If the tax year entered in line 5 is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME NEEDED TO FILE A COMPLETE AND ACCURATE RETURN.

| | | | |
|---|---|---|---|
| 8a | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions. | 8a | $ 0. |
| b | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | $ 3,620. |
| c | **Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions | 8c | $ 0. |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Date ▶

Form **8868** (Rev. 1-2014)

KPM CPAs, PC
2003 E Sunshine, Springfield, MO 65804
43-1109768 417-882-4300

323842
12-31-13

fB