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Form 990

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)**

▶ Do not enter Social Security numbers on this form as it may be made public By law, the IRS generally cannot redact the information on the form

▶ Information about Form 990 and its instructions is at *www.IRS.gov/form990*

OMB No 1545-0047

**2013**

**Open to Public Inspection**

**A For the 2013 calendar year, or tax year beginning 01-01-2013 , 2013, and ending 12-31-2013**

B Check if applicable
☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization
RIGHTS AND RESOURCES INSTITUTE INC

Doing Business As
RIGHTS AND RESOURCES GROUP

Number and street (or P O box if mail is not delivered to street address) Room/suite
1238 WISCONSIN AVENUE NW NO 300

City or town, state or province, country, and ZIP or foreign postal code
WASHINGTON, DC 20007

**D Employer identification number**
20-3690821

E Telephone number
(202) 470-3900

G Gross receipts $ 10,606,715

F Name and address of principal officer
THOMAS A WHITE
1238 WISCONSIN AVENUE NW NO 300
WASHINGTON,DC 20007

H(a) Is this a group return for subordinates? ☐ Yes ☑ No

H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no ) ☐ 4947(a)(1) or ☐ 527

J **Website: ▶** WWW RIGHTSANDRESOURCES ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 2005 | M State of legal domicile DC

## Part I Summary

**Activities & Governance**

1 Briefly describe the organization's mission or most significant activities
TO SUPPORT LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY AND MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO OWN, CONTROL AND BENEFIT FROM NATURAL RESOURCES, ESPECIALLY LAND AND FORESTS

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

| | | | |
|---|---|---|---|
| 3 | Number of voting members of the governing body (Part VI, line 1a) | 3 | 11 |
| 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 10 |
| 5 | Total number of individuals employed in calendar year 2013 (Part V, line 2a) | 5 | 37 |
| 6 | Total number of volunteers (estimate if necessary) | 6 | 10 |
| 7a | Total unrelated business revenue from Part VIII, column (C), line 12 | 7a | 0 |
| b | Net unrelated business taxable income from Form 990-T, line 34 | 7b | 0 |

| | | | Prior Year | Current Year |
|---|---|---|---|---|
| Revenue | 8 | Contributions and grants (Part VIII, line 1h) | 8,687,116 | 10,554,212 |
| | 9 | Program service revenue (Part VIII, line 2g) | 10,278 | 38,000 |
| | 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d ) | 3,602 | 5,158 |
| | 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | 7,827 | 9,345 |
| | 12 | Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 8,708,823 | 10,606,715 |
| Expenses | 13 | Grants and similar amounts paid (Part IX, column (A), lines 1–3 ) | 3,120,405 | 4,647,871 |
| | 14 | Benefits paid to or for members (Part IX, column (A), line 4) | 0 | 0 |
| | 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 1,980,425 | 2,335,567 |
| | 16a | Professional fundraising fees (Part IX, column (A), line 11e) | 0 | 0 |
| | b | Total fundraising expenses (Part IX, column (D), line 25) ▶101,010 | | |
| | 17 | Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) | 3,002,771 | 2,820,607 |
| | 18 | Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25) | 8,103,601 | 9,804,045 |
| | 19 | Revenue less expenses Subtract line 18 from line 12 | 605,222 | 802,670 |
| | | | **Beginning of Current Year** | **End of Year** |
| Net Assets or Fund Balances | 20 | Total assets (Part X, line 16) | 3,861,203 | 4,131,987 |
| | 21 | Total liabilities (Part X, line 26) | 3,172,413 | 2,640,527 |
| | 22 | Net assets or fund balances Subtract line 21 from line 20 | 688,790 | 1,491,460 |

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

**Sign Here**

****** | 2014-08-20
Signature of officer | Date

LUIS COLOMER CHIEF OPERATING OFFICER/CFO
Type or print name and title

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| Firm's name ▶ | | | Firm's EIN ▶ | |
| Firm's address ▶ | | | Phone no | |

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III . . . . . . . . . . . . . ☑

1 Briefly describe the organization's mission

RRI'S MISSION IS TO SUPPORT LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY AND MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO OWN, CONTROL AND BENEFIT FROM NATURAL RESOURCES, ESPECIALLY LAND AND FORESTS

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . . . . . . . . . . . . . . . . . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code ) (Expenses $ 4,342,061 including grants of $ 4,647,871 ) (Revenue $ 38,000 )

COUNTRY AND REGIONAL PROGRAMSOVERARCHING ACCOMPLISHMENTS OF THE COUNTRY AND REGIONAL PROGRAMS IN 2013 INCLUDED A) INDONESIAN NGOS ENGAGED IN THE ROAD MAP WITH RRI ARE NOW PREPARING A STRATEGY TO IMPLEMENT THE 2013 SUPREME COURT DECISION AND CONTINUING TO ADVISE THE ANTI-CORRUPTION COMMISSION AND ADVOCATING FOR PASSAGE OF AN INDIGENOUS PEOPLES RIGHTS BILL, B) LAO PDR FOREST AND LAND REFORMS ARE STALLED AS THE POLITBURO IS IN THE CONTROL OF THOSE ANTI-REFORM LEADERS WHOSE RESPONSE TO CIVIL SOCIETY AND DONOR ACTIVISM HAS BEEN REPRESSION OF CIVIL LIBERTIES AND PROTESTS, C) LAND POLICY IN LIBERIA WAS APPROVED BY THE EXECUTIVE BRANCH AND IS IN PROCESS OF SUBMISSION TO THE CONGRESS FOR LEGISLATION, D) CAMEROON COUNTRY COALITION SUBMITTED A STRONG SET OF RECOMMENDATIONS FOR THE FOREST LAW REVISION, AND TO REDD ON GENDER AND RIGHTS, AND IS ENGAGED IN THE LAND REFORM DIALOGUE, E) AIDESEP WITH SUPPORT FROM FPP AND COLLABORATORS HAS SUCCESSFULLY RAISED THE ISSUE OF INDIGENOUS PEOPLES IN VOLUNTARY ISOLATION (PIAV) AND PERU IS IN THE PROCESS OF CREATING TWO NEW RESERVES FOR IDENTIFIED PIAV, F) THE BASELINE STUDY IN THE DRC HAS ADVANCED AND IS EXPECTED TO BE COMPLETE IN 2014 WHILE ENGAGEMENT OF CIVIL SOCIETY AND GOVERNMENT AROUND THE LAND REFORM HAS ADVANCED PARTICIPATION AND POSITIONED RRI TO ENGAGE FORMALLY IN DRC WITH A STRATEGY FOR 2014

4b (Code ) (Expenses $ 1,024,254 including grants of $ ) (Revenue $ )

STRATEGIC ANALYSISIN 2013, THE NEW DIRECTIONS WERE INTEGRATED INTO ALL REGIONAL AND GLOBAL PROGRAMS A) THE GENDER JUSTICE PROGRAM HAS IDENTIFIED A STRATEGIC NICHE, AND GROWN, CONSTITUTING ALMOST $500,000 IN PROGRAM ACTIVITIES IN 2013, A REFERENCE GROUP OF INTERNATIONAL EXPERTS WAS CONSTITUTED AND IS ALREADY PROVIDING GUIDANCE TO THE RRI PROGRAM, AND NEW RESEARCH ON WOMENS FOREST TENURE RIGHTS TO INFORM ON-GOING FOREST REFORMS WAS COMPLETED IN CAMEROON AND CHINA, B) RRI SCALED-UP ITS EFFORTS TO ENGAGE PRIVATE SECTOR INVESTORS, INCLUDING THE LAUNCH OF INFLUENTIAL ANALYSIS ON TENURE AS A FINANCIAL RISK, HIRING NEW STAFF IN RRG, AND ESTABLISHING A PROMISING WORKING GROUP OF LEADERS FROM NGOS AND LEADING PRIVATE COMPANIES TO BETTER LEVERAGE PRIVATE SECTOR INTERESTS IN SECURING COMMUNITY LAND RIGHTS, C) SOME PROGRESS WAS MADE ON ENGAGING FLEGT AT THE COUNTRY LEVEL, BUT ADVANCING VPAS IN NEW COUNTRIES AND IMPLEMENTING AGREED REFORMS IN VPA COUNTRIES ARE STILL VERY SLOW PARTICULARLY IN AFRICA RRI SUPPORTED FOREST TRENDS TO ASSESS THE PERFORMANCE OF FLEGT IN ADVANCING FOREST TENURE REFORMS AND RRI CONDUCTED WHAT WAS WIDELY ASSESSED AS A VERY USEFUL CONFERENCE IN LATIN AMERICA (LA) ON THE LESSONS LA COULD LEARN FROM AFRICA AND ASIA RRI PARTNERS AND COLLABORATORS ARE NOW DIRECTLY INVOLVED IN THE REDD PROCESSES IN EVERY COUNTRY WHERE RRI IS ACTIVE D) RRG COMPLETED NEW INVENTORIES OF AGRIBUSINESS, EXTRACTIVE AND INFRASTRUCTURE COMPANIES AND INVESTMENTS IN LIBERIA AND CAMEROON, AND IS WORKING ON REPORTS ON LAOS, MYANMAR, AND PERU RRI AND AAS PREPARED A REPORT ON IIRSA AND EXTRACTIVE INDUSTRIES IN FOREST AREAS IN COLOMBIA, PERU, PANAMA AND GUATEMALA, AND DISCUSSED FINDINGS WITH REPRESENTATIVES OF THE PRIVATE SECTOR IN THE MARCH 2013 DIALOGUE IN COLOMBIA RRI COLLABORATORS FINALIZED A DRAFT MAP OF THE MEGAPROJECTS IN THE COASTAL CHOC REGION (PANAMA, COLOMBIA, ECUADOR AND PERU), AND COMPLETED NEW ANALYSES OF STORA ENSO AND APP LAND ACQUISITIONS IN GUANGXI, CHINA FINAL REPORTS FOR THE CHOCO, STORA ENSO AND APP WILL BE AVAILABLE IN EARLY 2014 E) RRI, WITH THE MUNDEN PROJECT, ALSO COMPLETED NEW RESEARCH QUANTIFYING THE FINANCIAL RISKS OF INSECURE TENURE, AND ON THE REASONS WHY TENURE RISK IS NOT PRESENTLY INSURABLE THIS WAS LAUNCHED AT THE INTERLAKEN CONFERENCE AND RECEIVED SUBSTANTIAL ATTENTION IN THE FINANCIAL PRESS THE INSURABILITY PAPER WILL BE LAUNCHED IN EARLY 2014 MEETINGS WITH SELECTED INSTITUTIONAL INVESTORS AND LEADING AGRIBUSINESS AND MINING COMPANIES DEMONSTRATED GREATER WILLINGNESS THAN EXPECTED TO ADOPT NEW SCREENS AND STANDARDS AGAINST THESE RISKS, AND WORK IS UNDERWAY WITH SEVERAL OF THEM TO ADVISE THEM, E) RRI HAS COMPLETED A 5 YEARLY UPDATING OF ITS TENURE DATA, COMPLETED THE ANALYSIS, AND WILL LAUNCH THE REPORT IN 2014

4c (Code ) (Expenses $ 960,047 including grants of $ ) (Revenue $ )

STRATEGIC INITIATIVESA) THE INSTITUTIONAL DESIGN, APPRAISAL AND CONSULTATION PROCESS FOR THE TENURE FACILITY CONTINUED FACILITY TECHNICAL AND ADVISORY COMMITTEE MEETINGS WERE HELD, FACILITY PLANS WERE PRESENTED TO PARTNERS, DONORS AND OTHER CONSTITUENCIES FOR FEEDBACK, AND A DESIGN DOCUMENT WAS REVISED TO INCORPORATE ADDITIONAL ANALYSIS AND INPUT GIVEN RRG STAFF CONSTRAINTS, CONSULTATION AND APPRAISAL ARE NOT YET COMPLETED AND WILL BE FINALIZED IN 2014,B) RRI DID ACHIEVE AN IMPORTANT BREAKTHROUGH IN GAINING HIGH-LEVEL COMMITMENT, ACROSS A BROADER SET OF CRITICAL CONSTITUENCIES AND ORGANIZATIONS IN THE INTERLAKEN CONFERENCE THIS CONFERENCE WAS THE FIRST GLOBAL CONVENING ON COMMUNITY LAND RIGHTS AND WAS VERY SUCCESSFUL, INCLUDING LAUNCHING A NEW GLOBAL TARGET, ESTABLISHING THE NEW ALLIANCE FOR COMMUNITY LAND RIGHTS, AND ESTABLISHING WORKING GROUPS OF LEADING ORGANIZATIONS ON THE KEY STRATEGIES TO SCALE UP GLOBAL EFFORT, INCLUDING MAPPING, LEGAL REFORMS, LEVERAGING THE PRIVATE SECTOR, CONSERVATION, AND ENGAGING INTERNATIONAL PROCESSES

(Code ) (Expenses $ 2,107,565 including grants of $ ) (Revenue $ )

COMMUNICATIONS AND OUTREACH THE COMMUNICATIONS TEAM AMPLIFIED COLLECTIVE EFFORTS BY INCREASING COLLABORATION BETWEEN RRG AND RRI COMMUNICATIONS FOCAL POINTS (CFP) BY HOSTING THE SECOND COALITION-WIDE COMMUNICATIONS PLANNING MEETING TO DEVELOP COALITION WIDE COMMUNICATIONS STRATEGY WITH RRI PARTNERS AND KEY REGIONAL COLLABORATORS FOLLOW UP FROM THE SECOND COALITION-WIDE COMMUNICATIONS MEETING LED TO MONTHLY CFP WEBEX MEETINGS FOCUSED ON SHARING, CAPACITY BUILDING, AND DEVELOPMENT OF THE FIRST EVER RRI COALITION-WIDE COMMUNICATIONS STRATEGY, USING OUR BETTER COORDINATED NETWORK TO SUPPORT EFFORTS AROUND THE INTERLAKEN CONFERENCE, RRI GENERATED 18,000 INDIVIDUAL FACEBOOK ENGAGEMENTS SPANNING 41 COUNTRIES, 700 TWEETS USING #LANDRIGHTSNOW, CREATING 697,142 IMPRESSIONS ON TWITTER, 8 INDIVIDUAL BLOG POSTS FROM MEMBERS OF THE RRI COALITION, AND 12,000 VIEWS & 4,880 LIKES OF THE YOUTUBE VIDEO PRODUCED RRI FINDINGS AND GRAPHICS ARE AVAILABLE ONLINE, ADOPTED AND USED BY OTHER ORGANIZATIONS A) OVER 480 NEWS HITS HIGHLIGHTING RRI FINDINGS ACROSS 27 COUNTRIES IN 13 LANGUAGES (UP FROM 21 COUNTRIES AND 11 LANGUAGES IN 2012), INCLUDING THE INTERNATIONAL HERALD TRIBUNE, ASSOCIATED PRESS, BBC, THE GUARDIAN, REUTERS ALERTNET, AL JAZEERA, INTER PRESS SERVICE, AGENCIA EFE, LE MONDE, RADIO FRANCE INTERNATIONALE, DEUTSCH-WELLE, MONGABAY, OGLOBO, HUFFINGTON POST, BANGKOK POST, THE JAKARTA POST, US NEWS & WORLD REPORT, WASHINGTON POST, ECONOMIC TIMES INDIA AND BLOOMBERG NEWS, B) 204 WEBSITE VISITS PER DAY (20% INCREASE, WITH VISITS FROM 15 NEW COUNTRIES), C) 300% INCREASE IN SOCIAL MEDIA ENGAGEMENT (FACEBOOK, TWITTER, LINKEDIN NETWORK SUPPORT RRI SUPPORTED AND STRENGTHENED SEVERAL CONSTITUENCY-ORIENTED NETWORKS TO BETTER PROMOTE AND INFORM TENURE REFORMS AND TAKE ACCOUNT OF COMMUNITY RIGHTS IN REGIONAL AND GLOBAL INITIATIVES A) ORGANIZED THE 8TH ANNUAL MEETING OF MEGAFLORESTAIS WHICH GATHERED 25 LEADERS OF PUBLIC AGENCIES REPRESENTING 50% OF THE WORLDS FOREST IN BALI, INDONESIA IN OCTOBER TO DISCUSS THE ARCHITECTURE OF FOREST GOVERNANCE IN THE 21ST CENTURY, B) EXPOSED PROMISING SENIOR OFFICIALS FROM 8 MEGAFLORESTAIS AGENCIES TO TENURE REFORMS OPTIONS, MARKET CHANGES, COMMUNITY FORESTRY, INDIGENOUS PEOPLES RIGHTS, AND LEADERSHIP CHALLENGES DURING THE 4TH CONVENING OF THE NEXT GENERATION OF FOREST AGENCY LEADERS SEMINAR IN OAXACA, MEXICO IN MAY, C) EXPOSED GOVERNMENT OFFICIALS AND CIVIL SOCIETY REPRESENTATIVES OF 8 COUNTRIES CURRENTLY LOOKING INTO OR DESIGNING FOREST TENURE REFORMS TO MONTANAS APPROACH TO FOREST REGULATIONS IN WESTERN MONTANA, USA IN JULY, D) CO-ORGANIZED A PANEL OF THE CIVIL SOCIETY ADVISORY GROUP TO ITTO (CSAG) ON CHALLENGES AND OPPORTUNITIES FOR SUPPORTING LEGAL SMALLHOLDER AND COMMUNITY FORESTRY ENTERPRISES IN TODAYS CONTEXT DURING THE 49TH MEETING OF ITTC IN NOVEMBER IN GABON, E) ESTABLISHED RRIS GENDER JUSTICE STRATEGY DURING THE GENDER SCOPING MEETING, HELD IN NOVEMBER IN WASHINGTON DC, USA RRI NETWORKING SUPPORT PROGRAM BUILT SYNERGIES AND CONTRIBUTED TO CAPACITY-BUILDING BY SUPPORTING EXCHANGES AND STUDY TOURS FOR TARGETED AUDIENCES A) PROMOTED THE EXCHANGE OF IDEAS AND EXPERIENCES ON ACCESSING FLEGT PROCESSES TO PROMOTE RIGHTS AND TENURE AGENDA DURING THE INTERNATIONAL WORKSHOP (OCTOBER 2013) ON OPPORTUNITIES AND LESSONS FOR A RIGHTS BASED PERSPECTIVE ON FLEGT AND VPA PROCESSES IN LATIN AMERICA, B) SUPPORTED A VISIT OF THE CHINESE ACADEMY OF FORESTRY IN CAMEROON IN OCTOBER DURING THIS FIELD RESEARCH THEY ANALYZED COMPLIANCE WITH NATIONAL FOREST AND LAND TENURE POLICY, CAMEROONS INTERNATIONAL HUMAN RIGHTS COMMITMENTS, CHINESE INVESTMENT GUIDELINES, AND INDUSTRY BEST PRACTICES COORDINATION A NEW, SIMPLIFIED M&E SYSTEM WAS ESTABLISHED, BUT HAS NOT YET BEEN USED TO PROMOTE LEARNING BETWEEN PARTNERS A REVIEW OF THE COALITION WAS INITIATED, AND EVEN COMPLETED BOTH IN IN TERMS OF THE MID-CYCLE REVIEW OF THE MEMORANDUM OF UNDERSTANDING AND THE ASSESSMENT OF HOW BEST TO ORGANIZE THE 2013 INDEPENDENT MONITORING OF THE RRI HAS BEEN INITIATED A DRAFT REPORT WAS SUBMITTED AND CIRCULATED TO DONORS A FINAL REPORT WILL BE SUBMITTED IN 2014 COUNTRY, REGIONAL AND GLOBAL PLANNING MEETINGS HELD WITH BROAD PARTICIPATION FROM RRI PARTNERS, COLLABORATORS, FELLOWS AND OTHER RESOURCE PERSONS

4d Other program services (Describe in Schedule O )

(Expenses $ 2,107,565 including grants of $ ) (Revenue $ )

4e **Total program service expenses ▶** 8,433,927

## Part IV Checklist of Required Schedules

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A* | 1 | Yes | |
| 2 | Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)? | 2 | Yes | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I* | 3 | | No |
| 4 | **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II* | 4 | | No |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III* | 5 | | No |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I* | 6 | | No |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II* | 7 | | No |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III* | 8 | | No |
| 9 | Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV* | 9 | | No |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V* | 10 | | No |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable | | | |
| a | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.* | 11a | Yes | |
| b | Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII* | 11b | | No |
| c | Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII* | 11c | | No |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX* | 11d | | No |
| e | Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X* | 11e | | No |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X* | 11f | Yes | |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII* | 12a | Yes | |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional* | 12b | | No |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E* | 13 | | No |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | Yes | |
| b | Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV* | 14b | Yes | |
| 15 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV* | 15 | Yes | |
| 16 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV* | 16 | | No |
| 17 | Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)* | 17 | | No |
| 18 | Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II* | 18 | | No |
| 19 | Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III* | 19 | | No |
| 20a | Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H* | 20a | | No |
| b | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | | |

**Part IV** **Checklist of Required Schedules** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 21 | Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II* | 21 | Yes | |
| 22 | Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III* | 22 | | No |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J* | 23 | Yes | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a* | 24a | | No |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | |
| 25a | **Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I* | 25a | | No |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I* | 25b | | No |
| 26 | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If so, complete Schedule L, Part II* | 26 | | No |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III* | 27 | | No |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| a | A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28a | | No |
| b | A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28b | | No |
| c | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV* | 28c | | No |
| 29 | Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M* | 29 | | No |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M* | 30 | | No |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I* | 31 | | No |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II* | 32 | | No |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I* | 33 | | No |
| 34 | Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1* | 34 | | No |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | | No |
| b | If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* | 35b | | |
| 36 | **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2* | 36 | | No |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI* | 37 | | No |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O | 38 | Yes | |

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V . . . . . . . . . . . . . . ☐

| | | | | | Yes | No |
|---|---|---|---|---|---|---|
| 1a | Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable | 1a | 32 | | | |
| b | Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable | 1b | 0 | | | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | | | 1c | Yes | |
| 2a | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return | 2a | 37 | | | |
| b | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) | | | 2b | Yes | |
| 3a | Did the organization have unrelated business gross income of $1,000 or more during the year? | | | 3a | | No |
| b | If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O* | | | 3b | | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | | | 4a | | No |
| b | If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts | | | | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | | | 5a | | No |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | | 5b | | No |
| c | If "Yes," to line 5a or 5b, did the organization file Form 8886-T? | | | 5c | | |
| 6a | Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? | | | 6a | | No |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | | 6b | | |
| 7 | **Organizations that may receive deductible contributions under section 170(c).** | | | | | |
| a | Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor? | | | 7a | | No |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | | | 7b | | |
| c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | | | 7c | | No |
| d | If "Yes," indicate the number of Forms 8282 filed during the year | 7d | | | | |
| e | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | | 7e | | No |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | 7f | | No |
| g | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | | | 7g | | |
| h | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | | | 7h | | |
| 8 | **Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | | | 8 | | |
| 9 | **Sponsoring organizations maintaining donor advised funds.** | | | | | |
| a | Did the organization make any taxable distributions under section 4966? | | | 9a | | |
| b | Did the organization make a distribution to a donor, donor advisor, or related person? | | | 9b | | |
| 10 | **Section 501(c)(7) organizations.** Enter | | | | | |
| a | Initiation fees and capital contributions included on Part VIII, line 12 | 10a | | | | |
| b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | 10b | | | | |
| 11 | **Section 501(c)(12) organizations.** Enter | | | | | |
| a | Gross income from members or shareholders | 11a | | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them ) | 11b | | | | |
| 12a | **Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041? | | | 12a | | |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | 12b | | | | |
| 13 | **Section 501(c)(29) qualified nonprofit health insurance issuers.** | | | | | |
| a | Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O | | | 13a | | |
| b | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans | 13b | | | | |
| c | Enter the amount of reserves on hand | 13c | | | | |
| 14a | Did the organization receive any payments for indoor tanning services during the tax year? | | | 14a | | No |
| b | If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* | | | 14b | | |

**Part VI** **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . . . ☑

## Section A. Governing Body and Management

| | | | | | Yes | No |
|---|---|---|---|---|---|---|
| 1a | Enter the number of voting members of the governing body at the end of the tax year . . . | 1a | 11 | | | |
| | If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O | | | | | |
| b | Enter the number of voting members included in line 1a, above, who are independent . . . | 1b | 10 | | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . | | | 2 | | No |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . | | | 3 | | No |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . . | | | 4 | | No |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? . | | | 5 | | No |
| 6 | Did the organization have members or stockholders? . . . | | | 6 | | No |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . . | | | 7a | | No |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . . | | | 7b | | No |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | | | |
| a | The governing body? . . . | | | 8a | Yes | |
| b | Each committee with authority to act on behalf of the governing body? . . . | | | 8b | Yes | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* . . . | | | 9 | | No |

## Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

| | | | Yes | No |
|---|---|---|---|---|
| 10a | Did the organization have local chapters, branches, or affiliates? . . . | 10a | | No |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . . | 11a | Yes | |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990 . . . | | | |
| 12a | Did the organization have a written conflict of interest policy? *If "No," go to line 13* . . . | 12a | Yes | |
| b | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . | 12b | Yes | |
| c | Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* . . . | 12c | Yes | |
| 13 | Did the organization have a written whistleblower policy? . . . | 13 | Yes | |
| 14 | Did the organization have a written document retention and destruction policy? . . . | 14 | Yes | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a | The organization's CEO, Executive Director, or top management official . . . | 15a | Yes | |
| b | Other officers or key employees of the organization . . . | 15b | | No |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . | 16a | | No |
| b | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . . | 16b | | |

## Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed▶

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☑ Own website ☑ Another's website ☐ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization

▶THOMAS A WHITE 1238 WISCONSIN AVENUE NW SUITE 300
WASHINGTON, DC 20007 (202) 470-3900

**Part VII** **Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . . . . . . . . . . . .

## Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**1a** Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position: Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W- 2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| (1) JOHN HUDSON<br>CHAIR | 4 00 | X | | X | | | | 0 | 0 | 0 |
| (2) JEAN ADEN<br>SECRETARY | 1 00 | X | | X | | | | 0 | 0 | 0 |
| (3) DON G ROBERTS<br>TREASURER | 1 50 | X | | X | | | | 0 | 0 | 0 |
| (4) GHAN SHYAM PANDEY<br>MEMBER, EXECUTIVE COMMITTEE | 50 | X | | | | | | 0 | 0 | 0 |
| (5) DORIS CAPISTRANO<br>DIRECTOR | 50 | X | | | | | | 0 | 0 | 0 |
| (6) JAMES MUROMBEDZI<br>DIRECTOR | 50 | X | | | | | | 0 | 0 | 0 |
| (7) KYERETWEI OPOKU<br>DIRECTOR | 50 | X | | | | | | 0 | 0 | 0 |
| (8) UJJWAL PRADHAN<br>DIRECTOR | 50 | X | | | | | | 0 | 0 | 0 |
| (9) VICTORIA TAULI-CORPUZ<br>DIRECTOR | 50 | X | | | | | | 0 | 0 | 0 |
| (10) SUSAN KANDEL<br>DIRECTOR | 50 | X | | | | | | 0 | 0 | 0 |
| (11) THOMAS A WHITE<br>PRESIDENT AND RRI COORDINATOR | 40 00 | X | | X | | | | 181,822 | 0 | 40,566 |
| (12) ARVIND KHARE<br>EXECUTIVE DIRECTOR | 40 00 | | | X | | | | 149,158 | 0 | 21,763 |
| (13) JAMES-CHRISTOPHER MILLER<br>DIRECTOR, FINANCE & ADMINISTRATION | 40 00 | | | X | | | | 106,053 | 0 | 23,002 |
| (14) LUIS COLOMER<br>CHIEF OPERATING OFFICER | 40 00 | | | X | | | | 47,879 | 0 | 9,467 |
| (15) AUGUSTA MOLNAR<br>SR DIRECTOR, COUNTRY AND REGIONAL PROGRAMS | 40 00 | | | | | X | | 137,356 | 0 | 20,585 |
| | | | | | | | | | | |

**Part VII** **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position: Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | |
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| | | | | | | | | | | |

| | | (D) | (E) | (F) |
|---|---|---|---|---|
| 1b | **Sub-Total** . . . . . . . . . . . . . . . . ▶ | | | |
| c | **Total from continuation sheets to Part VII, Section A** . . . . ▶ | | | |
| d | **Total (add lines 1b and 1c)** . . . . . . . . . . . . . . ▶ | 622,268 | 0 | 115,383 |

**2** Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶4

| | | | Yes | No |
|---|---|---|---|---|
| **3** | Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* . . . . . . . . . . . . . . . . . . | 3 | | No |
| **4** | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* . . . . . . . . . . . . . . . . . . . . . . . . . . . | 4 | Yes | |
| **5** | Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* . . . . . . . . . . | 5 | | No |

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| BURNESS COMMUNICATIONS 7910 WOODMONT AVENUE 700 BETHESDA MD 208147034 | MEDIA OUTREACH | 214,938 |
| RADICAL INCLUSION INC 375 N STEPHANIE STREET 1411 HENDERSON NV 89014 | FACILITATION | 127,875 |
| | | |
| | | |
| | | |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶2

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII . . . . . . . . . . . . . . . . ☐

| | | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512-514 |
|---|---|---|---|---|---|---|---|
| Contributions, Gifts, Grants and Other Similar Amounts | 1a | Federated campaigns . . | 1a | | | | |
| | b | Membership dues . . . . | 1b | | | | |
| | c | Fundraising events . . . . | 1c | | | | |
| | d | Related organizations . . . | 1d | | | | |
| | e | Government grants (contributions) | 1e 10,300,212 | | | | |
| | f | All other contributions, gifts, grants, and similar amounts not included above | 1f 254,000 | | | | |
| | g | Noncash contributions included in lines 1a-1f $ | | | | | |
| | h | **Total.** Add lines 1a-1f . . . . . . . . ▶ | | 10,554,212 | | | |
| Program Service Revenue | | | Business Code | | | | |
| | 2a | SERVICE CONTRACTS | 900099 | 38,000 | 38,000 | | |
| | b | | | | | | |
| | c | | | | | | |
| | d | | | | | | |
| | e | | | | | | |
| | f | All other program service revenue | | | | | |
| | g | **Total.** Add lines 2a–2f . . . . . . . . . ▶ | | 38,000 | | | |
| Other Revenue | 3 | Investment income (including dividends, interest, and other similar amounts) . . . . . . . ▶ | | 5,158 | | | 5,158 |
| | 4 | Income from investment of tax-exempt bond proceeds . . ▶ | | | | | |
| | 5 | Royalties . . . . . . . . . . . . . ▶ | | | | | |
| | | | (i) Real / (ii) Personal | | | | |
| | 6a | Gross rents | | | | | |
| | b | Less rental expenses | | | | | |
| | c | Rental income or (loss) | | | | | |
| | d | Net rental income or (loss) . . . . . . . . ▶ | | | | | |
| | | | (i) Securities / (ii) Other | | | | |
| | 7a | Gross amount from sales of assets other than inventory | | | | | |
| | b | Less cost or other basis and sales expenses | | | | | |
| | c | Gain or (loss) | | | | | |
| | d | Net gain or (loss) . . . . . . . . . . . ▶ | | | | | |
| | 8a | Gross income from fundraising events (not including $ ______ of contributions reported on line 1c) See Part IV, line 18 . . | a | | | | |
| | b | Less direct expenses . . . | b | | | | |
| | c | Net income or (loss) from fundraising events . . ▶ | | | | | |
| | 9a | Gross income from gaming activities See Part IV, line 19 . . . | a | | | | |
| | b | Less direct expenses . . . | b | | | | |
| | c | Net income or (loss) from gaming activities . . ▶ | | | | | |
| | 10a | Gross sales of inventory, less returns and allowances . | a | | | | |
| | b | Less cost of goods sold . . | b | | | | |
| | c | Net income or (loss) from sales of inventory . . ▶ | | | | | |
| | | Miscellaneous Revenue | Business Code | | | | |
| | 11a | OTHER | 900099 | 9,345 | | | 9,345 |
| | b | | | | | | |
| | c | | | | | | |
| | d | All other revenue . . . . | | | | | |
| | e | **Total.** Add lines 11a–11d . . . . . . . ▶ | | 9,345 | | | |
| | 12 | **Total revenue.** See Instructions . . . . . ▶ | | 10,606,715 | 38,000 | 0 | 14,503 |

## Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . . . . . . . . . . . . ☐

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|
| 1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21 | 556,251 | 556,251 | | |
| 2 Grants and other assistance to individuals in the United States See Part IV, line 22 | | | | |
| 3 Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16 | 4,091,620 | 4,091,620 | | |
| 4 Benefits paid to or for members | | | | |
| 5 Compensation of current officers, directors, trustees, and key employees . . . . | 737,650 | 475,433 | 248,256 | 13,961 |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . | | | | |
| 7 Other salaries and wages | 1,258,325 | 744,467 | 447,159 | 66,699 |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) . . . . | 77,631 | 45,619 | 27,815 | 4,197 |
| 9 Other employee benefits . . . . . . . . | 109,972 | 67,750 | 36,931 | 5,291 |
| 10 Payroll taxes . . . . . . . . . . . . . | 151,989 | 90,805 | 54,377 | 6,807 |
| 11 Fees for services (non-employees) | | | | |
| a Management . . . . . . . | 46,090 | | 46,090 | |
| b Legal . . . . . . . . . . | 13,548 | | 13,548 | |
| c Accounting . . . . . . . . . . . . . | 44,276 | | 44,276 | |
| d Lobbying . . . . . . . . . . . . . | | | | |
| e Professional fundraising services See Part IV, line 17 | | | | |
| f Investment management fees . . . . . . . | | | | |
| g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O ) . . . . . . . . . | 797,175 | 739,711 | 57,464 | |
| 12 Advertising and promotion . . . . | | | | |
| 13 Office expenses . . . . . . . . | 149,016 | 31,232 | 117,105 | 679 |
| 14 Information technology . . . . . . . | | | | |
| 15 Royalties . . | | | | |
| 16 Occupancy . . . . . . . . . . . . . | 218,267 | 181,910 | 34,178 | 2,179 |
| 17 Travel . . . . . . . . . . . . . . | 535,553 | 533,708 | 1,588 | 257 |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . . . | | | | |
| 19 Conferences, conventions, and meetings . . . . | 328,886 | 326,791 | 2,095 | |
| 20 Interest . . . . . . . . . . . . . | | | | |
| 21 Payments to affiliates . . . . . . . . | | | | |
| 22 Depreciation, depletion, and amortization . . . . . | 94,168 | 78,482 | 14,746 | 940 |
| 23 Insurance . . . . . . . . . . . . . . . . . | | | | |
| 24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O ) | | | | |
| a PUBLICATIONS | 470,129 | 469,654 | 475 | |
| b MISCELLANEOUS | 123,499 | 494 | 123,005 | |
| c | | | | |
| d | | | | |
| e All other expenses | | | | |
| 25 **Total functional expenses.** Add lines 1 through 24e | 9,804,045 | 8,433,927 | 1,269,108 | 101,010 |
| 26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720) | | | | |

**Part X** **Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X . . . . . . . . . . . . . . . . . . . ┌

| | | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|---|
| Assets | 1 | Cash–non-interest-bearing | | 175,314 | 1 | 2,798,000 |
| | 2 | Savings and temporary cash investments | | 2,578,215 | 2 | |
| | 3 | Pledges and grants receivable, net | | 662,707 | 3 | 813,032 |
| | 4 | Accounts receivable, net | | 5,814 | 4 | |
| | 5 | Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L | | | 5 | |
| | 6 | Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L | | | 6 | |
| | 7 | Notes and loans receivable, net | | | 7 | |
| | 8 | Inventories for sale or use | | | 8 | |
| | 9 | Prepaid expenses and deferred charges | | 142,278 | 9 | 74,603 |
| | 10a | Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D | 10a 512,472 | | | |
| | b | Less accumulated depreciation | 10b 271,748 | 275,233 | 10c | 240,724 |
| | 11 | Investments—publicly traded securities | | | 11 | |
| | 12 | Investments—other securities See Part IV, line 11 | | | 12 | |
| | 13 | Investments—program-related See Part IV, line 11 | | | 13 | |
| | 14 | Intangible assets | | | 14 | |
| | 15 | Other assets See Part IV, line 11 | | 21,642 | 15 | 205,628 |
| | 16 | **Total assets.** Add lines 1 through 15 (must equal line 34) | | 3,861,203 | 16 | 4,131,987 |
| Liabilities | 17 | Accounts payable and accrued expenses | | 671,325 | 17 | 770,984 |
| | 18 | Grants payable | | | 18 | |
| | 19 | Deferred revenue | | 2,509,333 | 19 | 1,869,543 |
| | 20 | Tax-exempt bond liabilities | | | 20 | |
| | 21 | Escrow or custodial account liability Complete Part IV of Schedule D | | | 21 | |
| | 22 | Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L | | | 22 | |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | | 23 | |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | | 24 | |
| | 25 | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D | | -8,245 | 25 | 0 |
| | 26 | **Total liabilities.** Add lines 17 through 25 | | 3,172,413 | 26 | 2,640,527 |
| Net Assets or Fund Balances | | **Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.** | | | | |
| | 27 | Unrestricted net assets | | 562,290 | 27 | 909,279 |
| | 28 | Temporarily restricted net assets | | 126,500 | 28 | 582,181 |
| | 29 | Permanently restricted net assets | | | 29 | |
| | | **Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.** | | | | |
| | 30 | Capital stock or trust principal, or current funds | | | 30 | |
| | 31 | Paid-in or capital surplus, or land, building or equipment fund | | | 31 | |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | | 32 | |
| | 33 | Total net assets or fund balances | | 688,790 | 33 | 1,491,460 |
| | 34 | Total liabilities and net assets/fund balances | | 3,861,203 | 34 | 4,131,987 |

**Part XI Reconcilliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI . . . . . . . . . . . . . . ☐

| | | | |
|---|---|---|---|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 10,606,715 |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 9,804,045 |
| 3 | Revenue less expenses Subtract line 2 from line 1 | 3 | 802,670 |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 688,790 |
| 5 | Net unrealized gains (losses) on investments | 5 | |
| 6 | Donated services and use of facilities | 6 | |
| 7 | Investment expenses | 7 | |
| 8 | Prior period adjustments | 8 | |
| 9 | Other changes in net assets or fund balances (explain in Schedule O) | 9 | 0 |
| 10 | Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | 10 | 1,491,460 |

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII . . . . . . . . . . . . . . ☐

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________<br>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | | No |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both<br>☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis | | | |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | Yes | |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both<br>☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis | | | |
| c | If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | 2c | Yes | |
| | If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | | No |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | 3b | | |

# SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

## Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

**▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**
**▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

**Open to Public Inspection**

**Name of the organization**
RIGHTS AND RESOURCES INSTITUTE INC

**Employer identification number**
20-3690821

## Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II )

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

**a** ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

**e** ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

**f** If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

**g** Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

| | | Yes | No |
|---|---|---|---|
| **(i)** A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? | **11g(i)** | | |
| **(ii)** A family member of a person described in (i) above? | **11g(ii)** | | |
| **(iii)** A 35% controlled entity of a person described in (i) or (ii) above? | **11g(iii)** | | |

**h** Provide the following information about the supported organization(s)

| **(i) Name of supported organization** | **(ii)** EIN | **(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions))** | **(iv)** Is the organization in col **(i)** listed in your governing document? | | **(v)** Did you notify the organization in col **(i)** of your support? | | **(vi)** Is the organization in col **(i)** organized in the U S ? | | **(vii)** Amount of monetary support |
|---|---|---|---|---|---|---|---|---|---|
| | | | Yes | No | Yes | No | Yes | No | |
| | | | | | | | | | |
| | | | | | | | | | |
| **Total** | | | | | | | | | |

**Part II** **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | 3,216,548 | 6,303,295 | 7,266,273 | 8,687,678 | 10,554,212 | 36,028,006 |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 **Total.** Add lines 1 through 3 | 3,216,548 | 6,303,295 | 7,266,273 | 8,687,678 | 10,554,212 | 36,028,006 |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | 4,662,882 |
| 6 **Public support.** Subtract line 5 from line 4 | | | | | | 31,365,124 |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|---|---|---|---|---|---|
| 7 Amounts from line 4 | 3,216,548 | 6,303,295 | 7,266,273 | 8,687,678 | 10,554,212 | 36,028,006 |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 3,807 | 7,943 | 4,422 | 3,602 | 5,158 | 24,932 |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 11 **Total support** (Add lines 7 through 10) | | | | | | 36,052,938 |

12 Gross receipts from related activities, etc (see instructions) | 12 | 562,820

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** . . . . . . ▶☐

## Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) | 14 | 87 000 %

15 Public support percentage for 2012 Schedule A, Part II, line 14 | 15 | 94 290 %

16a **33 1/3% support test—2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support test—2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶☐

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 **Total.** Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 **Public support** (Subtract line 7c from line 6 ) | | | | | | |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|---|---|---|---|---|---|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 13 **Total support.** (Add lines 9, 10c, 11, and 12 ) | | | | | | |

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

## Section C. Computation of Public Support Percentage

| | | |
|---|---|---|
| 15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f)) | 15 | |
| 16 Public support percentage from 2012 Schedule A, Part III, line 15 | 16 | |

## Section D. Computation of Investment Income Percentage

| | | |
|---|---|---|
| 17 Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f)) | 17 | |
| 18 Investment income percentage from **2012** Schedule A, Part III, line 17 | 18 | |

19a **33 1/3% support tests—2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶☐

**Part IV** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

| Facts And Circumstances Test |
|---|
| |

| Return Reference | Explanation |
|---|---|

**SCHEDULE D** (Form 990)

Department of the Treasury
Internal Revenue Service

# Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions. ▶ Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2013**

**Open to Public Inspection**

**Name of the organization**
RIGHTS AND RESOURCES INSTITUTE INC

**Employer identification number**
20-3690821

**Part I** **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

| | | (a) Donor advised funds | (b) Funds and other accounts |
|---|---|---|---|
| 1 | Total number at end of year | | |
| 2 | Aggregate contributions to (during year) | | |
| 3 | Aggregate grants from (during year) | | |
| 4 | Aggregate value at end of year | | |

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

**Part II** **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
- ☐ Preservation of land for public use (e g , recreation or education)
- ☐ Preservation of an historically important land area
- ☐ Protection of natural habitat
- ☐ Preservation of a certified historic structure
- ☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

| | | | Held at the End of the Year |
|---|---|---|---|
| a | Total number of conservation easements | 2a | |
| b | Total acreage restricted by conservation easements | 2b | |
| c | Number of conservation easements on a certified historic structure included in (a) | 2c | |
| d | Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register | 2d | |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶____________

4 Number of states where property subject to conservation easement is located ▶____________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶____________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ____________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

**Part III** **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ____________

(ii) Assets included in Form 990, Part X ▶ $ ____________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ____________

b Assets included in Form 990, Part X ▶ $ ____________

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

| | | Amount |
|---|---|---|
| c Beginning balance | 1c | |
| d Additions during the year | 1d | |
| e Distributions during the year | 1e | |
| f Ending balance | 1f | |

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . . . . . ☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

| | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|---|---|---|---|---|---|
| 1a Beginning of year balance | | | | | |
| b Contributions | | | | | |
| c Net investment earnings, gains, and losses | | | | | |
| d Grants or scholarships | | | | | |
| e Other expenditures for facilities and programs | | | | | |
| f Administrative expenses | | | | | |
| g End of year balance | | | | | |

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ►

b Permanent endowment ►

c Temporarily restricted endowment ►

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

| | | Yes | No |
|---|---|---|---|
| (i) unrelated organizations | 3a(i) | | |
| (ii) related organizations | 3a(ii) | | |
| b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? | 3b | | |

4 Describe in Part XIII the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|---|---|---|---|
| 1a Land | | | | |
| b Buildings | | | | |
| c Leasehold improvements | 294,939 | | 138,554 | 156,385 |
| d Equipment | 160,310 | | 115,985 | 44,325 |
| e Other | 57,223 | | 17,209 | 40,014 |
| **Total.** Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ► | | | | 240,724 |

**Part VII Investments—Other Securities.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| (1) Financial derivatives | | |
| (2) Closely-held equity interests | | |
| Other | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 12 )* ▶ | | |

**Part VIII Investments—Program Related.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 13 )* ▶ | | |

**Part IX Other Assets.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11d See Form 990, Part X, line 15

| (a) Description | (b) Book value |
|---|---|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| **Total.** *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* . . . . . . . . . . . ▶ | |

**Part X Other Liabilities.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1 (a) Description of liability | (b) Book value |
|---|---|
| Federal income taxes | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 25 )* ▶ | |

**2.** Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☑

**Part XI** **Reconciliation of Revenue per Audited Financial Statements With Revenue per Return** Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total revenue, gains, and other support per audited financial statements | | | 1 | 10,606,715 |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | | | |
| a | Net unrealized gains on investments | 2a | | | |
| b | Donated services and use of facilities | 2b | | | |
| c | Recoveries of prior year grants | 2c | | | |
| d | Other (Describe in Part XIII ) | 2d | | | |
| e | Add lines **2a** through **2d** | | | 2e | 0 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 10,606,715 |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line **1** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIII ) | 4b | | | |
| c | Add lines **4a** and **4b** | | | 4c | 0 |
| 5 | Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12 ) | | | 5 | 10,606,715 |

**Part XII** **Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total expenses and losses per audited financial statements | | | 1 | 9,804,045 |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | | | |
| a | Donated services and use of facilities | 2a | | | |
| b | Prior year adjustments | 2b | | | |
| c | Other losses | 2c | | | |
| d | Other (Describe in Part XIII ) | 2d | | | |
| e | Add lines **2a** through **2d** | | | 2e | 0 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 9,804,045 |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line **1:** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIII ) | 4b | | | |
| c | Add lines **4a** and **4b** | | | 4c | 0 |
| 5 | Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18 ) | | | 5 | 9,804,045 |

**Part XIII** **Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

| Return Reference | Explanation |
|---|---|
| PART X, LINE 2 | RRG EVALUATES UNCERTAINTY IN INCOME TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN ON A TAX RETURN BASED ON THE MORE-LIKELY-THAN-NOT RECOGNITION STANDARD IF THAT THRESHOLD IS MET, THE TAX POSITION IS THEN MEASURED AT THE LARGEST AMOUNT THAT IS GREATER THAN 50% LIKELY OF BEING REALIZED UPON ULTIMATE SETTLEMENT AS OF DECEMBER 31, 2013 AND 2012 THERE ARE NO ACCRUALS FOR UNCERTAIN TAX POSITIONS IF APPLICABLE, RRG RECORDS INTEREST AND PENALTIES AS A COMPONENT OF INCOME TAX EXPENSE TAX YEARS FROM DECEMBER 31, 2010 THROUGH THE CURRENT YEAR REMAIN OPEN FOR EXAMINATION BY FEDERAL AND STATE AUTHORITIES |

**Part XIII Supplemental Information *(continued)***

| Return Reference | Explanation |
|---|---|
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SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

# Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

**Open to Public Inspection**

Name of the organization
RIGHTS AND RESOURCES INSTITUTE INC

**Employer identification number**
20-3690821

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . . . . . . . . . . . . . . . . . . . . . . . . ☑ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed )

| | (a) Region | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in region | (d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for and investments in region |
|---|---|---|---|---|---|---|
| | See Add'l Data | | | | | |
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| | | | | | | |
| | | | | | | |
| | | | | | | |
| 3a | Sub-total | 0 | 44 | | | 3,439,218 |
| b | Total from continuation sheets to Part I | 0 | 0 | | | 0 |
| c | **Totals** (add lines 3a and 3b) | 0 | 44 | | | 3,439,218 |

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| See Add'l Data | | | | | | | | |
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2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3 Enter total number of other organizations or entities . . . . . . . . . . . . . . . . . . . . . . . ▶

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Region | (c) Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|
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## Part IV Foreign Forms

1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes,"the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☐ Yes ☑ No

2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* ☐ Yes ☑ No

3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* ☐ Yes ☑ No

4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* ☐ Yes ☑ No

5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)* ☐ Yes ☑ No

6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).* ☐ Yes ☑ No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

| ReturnReference | Explanation |
| --- | --- |
| PART I, LINE 2 | ALL RECIPIENTS OF GRANT FUNDS MUST DEMONSTRATE ELIGBILITY AS EITHER A NON-PROFIT ORGANIZATION OR EQUIVALENT, OR A COLLECTIVE AND COOPERATIVE COMMUNITY-BASED ORGANIZATION PRIOR TO AWARD RECIPIENTS MUST MAINTAIN RECORDS OF ALL EXPENSES OF THE EQUIVALENT OF US $25 OR MORE RECIPIENTS SUBMIT DETAILED FINANCIAL REPORTS, NARRATIVE REPORTS AND SPECIFIC DELIVERABLES, WHICH ARE REVIEWED BY RRG RECIPIENTS MUST SUBMIT COPIES OF THEIR ANNUAL ORGANIZATIONAL AUDIT AND MANAGEMENT LETTER, PLUS A SPECIFIC AUDIT LETTER SUMMARIZING THE REVENUE AND EXPENSES ON THE GRANT SOME ACTIVITIES EARCH YEAR ARE SELECTED FOR REVIEW BY RRI'S INDEPENDENT EXTERNAL MONITOR FOR MONITORING AND EVALUATION OF PROGRESS TOWARDS RRI'S GOALS ADDITIONAL FINANCIAL OR PROGRAMMATIC REVIEW MAY BE CONDUCTED AT RRG'S DISCRETION OR AT THE DISCRETION OF THE DONORS TO RRI |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 20-3690821
**Name:** RIGHTS AND RESOURCES INSTITUTE INC

### Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service (s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 5 | GRANTS TO RECIPIENTS | | 336,162 |
| EAST ASIA AND THE PACIFIC | 0 | 11 | GRANTS TO RECIPIENTS | | 747,169 |
| EUROPE | 0 | 3 | GRANTS TO RECIPIENTS | | 248,270 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service (s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SOUTH AMERICA | 0 | 6 | GRANTS TO RECIPIENTS | | 382,180 |
| SOUTH ASIA | 0 | 6 | GRANTS TO RECIPIENTS | | 294,639 |
| SUB-SAHARAN AFRICA | 0 | 13 | GRANTS TO RECIPIENTS | | 1,430,798 |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| | | CENTRAL AMERICA AND THE CARIBBEAN | CO-MANAGEMENT PROCESS WITH TEN COMMUNITY BASED ORGANIZATIONS | 47,562 | | | | |
| | | CENTRAL AMERICA AND THE CARIBBEAN | COMMUNICATIONS CAMPAIGN TO PROMOTE THE SOCIAL, ENVIRONMENTAL AND ECONOMIC BENEFITS OF COMMUNITY FOREST MANAGEMENT | 40,000 | | | | |
| | | CENTRAL AMERICA AND THE CARIBBEAN | SYSTEMATIZING STRATEGIES FOR LAND OWNERSHIP CLARIFICATION IN INDIGENOUS TERRITORIES IN THE NORTH ATLANTIC AUTONOMOUS REGION IN NICARAGUA | 30,000 | | | | |
| | | CENTRAL AMERICA AND THE CARIBBEAN | PROMOTION OF A GENDER JUSTICE PERSPECTIVE AS A KEY COMPONENT OF RRI REGIONAL AND NATIONAL STRATEGIES | 43,000 | | | | |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | CENTRAL AMERICA AND THE CARIBBEAN | PROMOTING EXCHANGE OF EXPERIENCES AND LESSONS LEARNED IN INTEGRATED FOREST MANAGEMENT & TERRITORIAL GOVERNANCE | 70,000 | | | | |
| | | CENTRAL AMERICA AND THE CARIBBEAN | LEVERAGING KEY INTERNATIONAL SUPPORT AT A CRITICAL JUNCTURE FOR INDIGENOUS RIGHTS IN PANAMA | 60,600 | | | | |
| | | CENTRAL AMERICA AND THE CARIBBEAN | PART 1 BUILDING CONSENSUS AND HIGHLIGHTING INTEGRATED NATURAL RESOURCE MANAGEMENT PROPOSALS DEVELOPED BY FOREST COMMUNITIES IN ADVOCACY FOR CLIMATE CHANGE AND FOREST GOVERNANCE POLICIES AND RELATED LEGISLATIVE PROCESSES PART 2 DIALOGUE AND INCLUSIVE DEBATE ON LEGISLATIVE PROCESSES RELATED TO ENVIRONMENT, CLIMATE CHANGE AND FORESTS | 45,000 | | | | |
| | | EAST ASIA AND THE PACIFIC | MEETING OF THE COMMUNITY TENURE RIGHTS LEGAL REFERENCE GROUP | 61,268 | | | | |

## Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EAST ASIA AND THE PACIFIC | INITIAL ASSESSMENT OF CHINESE INVESTMENTS ABROAD | 55,342 | | | | |
| | | EAST ASIA AND THE PACIFIC | LEGAL AUDIT ON DISTRICT REGULATIONS TO IDENTIFY POLICIES THAT FAIL TO PROTECT RIGHTS | 20,000 | | | | |
| | | EAST ASIA AND THE PACIFIC | PROMOTE DEVELOPMENT OF MARKETABLE COMMUNITY FOREST ENTERPRISE (CFE) PRODUCTS | 20,000 | | | | |
| | | EAST ASIA AND THE PACIFIC | CONFLICT ANALYSIS ACCELERATING THE DESIGN OF FORESTRY CONFLICT RESOLUTION THROUGH THE PEOPLES CHAMBER OF NATIONAL FORESTRY COUNCIL (DKN) | 25,000 | | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EAST ASIA AND THE PACIFIC | IMPROVING PUBLIC AWARENESS TO SUPPORT FOREST TENURE REFORM STRATEGIES IN INDONESIA | 46,211 | | | | |
| | | EAST ASIA AND THE PACIFIC | QUANTITATIVE ANALYSIS OF TENURE REFORMS IN ETHNIC MINORITY AREAS | 100,099 | | | | |
| | | EAST ASIA AND THE PACIFIC | FINALIZE SECOND ROUND SURVEY ON TENURE REFORM AND CIRCULATE DATA, ANALYSES AND POLICY RECOMMENDATIONS | 15,015 | | | | |
| | | EAST ASIA AND THE PACIFIC | CAPACITY-BUILDING, EDUCATION AND ADVOCACY TRAINING TO PAPUAN COMMUNITIES THREATENED BY FOOD AND ENERGY CONCESSIONS | 30,000 | | | | |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EAST ASIA AND THE PACIFIC | REGIONAL FACILITATION SUPPORT TO RRI ACTIVITIES IN ASIA | 120,315 | | | | |
| | | EAST ASIA AND THE PACIFIC | COMMUNITY FORESTRY CHAMPIONS NETWORK TACKLING THE OBSTACLES TO COMMUNITY FORESTRY IN ASIA | 49,950 | | | | |
| | | EAST ASIA AND THE PACIFIC | EXPOSURE VISITS FOR LAO COMMUNITY RESOURCE PERSONS TO STRENGTHEN CIVIL SOCIETY PARTICIPATION IN ASIA REGIONAL WORKSHOP ON COMMUNITY FORESTRY IN VIETNAM | 6,971 | | | | |
| | | EAST ASIA AND THE PACIFIC | COMPONENT 1 ORGANIZING THE NEXT GENERATION OF IP & COMMUNITY LEADERS COMPONENT 2 ORGANIZING AN RRI FELLOWS RETREAT | 96,998 | | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EAST ASIA AND THE PACIFIC | GLOBAL CONFERENCE OF INDIGENOUS PEOPLES ON LESSONS AND GOOD PRACTICES ON COMMUNITY PARTICIPATORY MAPPING | 100,000 | | | | |
| | | EUROPE | INTERNATIONAL FOREST TENURE FACILITY | 18,270 | | | | |
| | | EUROPE | TRAINING OF ADDITIONAL SOCIAL EXPERTS ON FPIC AND CONFLICT RESOLUTION | 100,000 | | | | |
| | | EUROPE | DIALOGUES WITH HUMAN RIGHTS COMMISSIONS WITHIN ASEAN FRAMEWORK TO SHARE RESEARCH AND RECOMMENDATIONS ON LSLAS | 75,000 | | | | |

## Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE | DEVELOP GUIDELINES FOR CLEAN AND CLEAR AND CONFLICT RESOLUTION POLICY WITHIN THE MINISTRY OF TRANSMIGRATION | 10,000 | | | | |
| | | EUROPE | FOLLOW THE PROCESSES TO RECOGNIZE/PROTECT RIGHTS IN CAMEROONS REDD STRATEGY | 5,000 | | | | |
| | | EUROPE | MULTI-STAKEHOLDER CONSULTATION AND DIALOGUE OF AGRIBUSINESS AND CIVIL SOCIETY WITH LOCAL NGOS IN LIBERIA | 40,000 | | | | |
| | | SOUTH AMERICA | CONTRIBUTING TO A RIGHTS FOCUS IN COLOMBIAS NATIONAL REDD+ SOCIAL AND ENVIRONMENTAL SAFEGUARD ASSESSMENT (SESA) | 45,000 | | | | |

## Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SOUTH AMERICA | ANALYSIS OF INVESTMENT PROJECTS IN INFRASTRUCTURE, AGRIBUSINESS, ENERGY, AND EXTRACTIVE INDUSTRY IN THE BIOGEOGRAPHIC REGION OF CHOCO | 45,000 | | | | |
| | | SOUTH AMERICA | MONITORING THE ONGOING PROCESS TO CHANGE THE LEGAL STATUS OF TERRITORIAL RESERVES FOR ISOLATED INDIGENOUS PEOPLE (PIAV) TO INDIGENOUS RESERVES IN PERU | 20,000 | | | | |
| | | SOUTH AMERICA | STRENGTHENING THE LEGAL FRAMEWORK FOR RECOGNITION OF NATIVE COMMUNITIES AND THEIR RIGHTS IN THE PUBLIC RECORDS | 25,000 | | | | |
| | | SOUTH AMERICA | RAISING AWARENESS OF TERRITORIAL RIGHTS OF NATIVE COMMUNITIES IN REDD+ NATIONAL PROCESS | 15,000 | | | | |

## Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SOUTH AMERICA | LESSONS LEARNED FROM CONCRETE EXPERIENCES OF INTEGRAL MANAGEMENT OF COMMUNITY FORESTS AS SUSTAINABLE ALTERNATIVES TO NATURAL RESOURCE MANAGEMENT | 28,000 | | | | |
| | | SOUTH AMERICA | FACILITIES AND OFFICE SPACE SUPPORT TO RRI REGIONAL FACILITATOR | 65,830 | | | | |
| | | SOUTH AMERICA | COMMUNICATIONS CAMPAIGN AND ADVOCACY TO SECURE TITLED TERRITORIES FOR THE COMMUNITIES OF PERU | 45,000 | | | | |
| | | SOUTH AMERICA | GIS MAP INDICATING PRESSURES FROM INVESTMENTS IN EXTRACTIVE, ENERGY AND INFRASTRUCTURE PROJECTS IN THE BIOGEOGRAPHIC REGION OF CHOC IN PANAMA, COLOMBIA, ECUADOR AND PERU | 35,000 | | | | |

## Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SOUTH AMERICA | RURAL WOMENS CONTRIBUTION TO THE LOCAL ECONOMY AND INTEGRAL MANAGEMENT OF COMMUNITY FORESTS AND NATURAL RESOURCES | 28,000 | | | | |
| | | SOUTH AMERICA | INTEGRATED MANAGEMENT OF COMMUNITY FORESTS AS A SUSTAINABLE ALTERNATIVE TO NATURAL RESOURCES MANAGEMENT | 30,350 | | | | |
| | | SOUTH ASIA | MULTI-STAKEHOLDER DIALOGUE WITH KEY POLITICAL ACTORS ON FOREST SECTOR GOVERNANCE | 22,500 | | | | |
| | | SOUTH ASIA | ANALYSIS OF ECONOMIC VALUE AND REVENUE GENERATION FROM TIMBER IN NEPALS COMMUNITY FORESTS | 25,000 | | | | |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SOUTH ASIA | MOBILIZE SUPPORT FROM PUBLIC AND PRIVATE SECTOR FOR GREEN JOBS DEVELOPMENT | 43,000 | | | | |
| | | SOUTH ASIA | ADVOCACY CAMPAIGN TO ENSURE RIGHTS ARE INCLUDED IN POLICY AGENDA | 35,000 | | | | |
| | | SOUTH ASIA | GENDER INCLUSIVE POLICY ADVOCACY IN THE FORESTRY SECTOR JOINT ADVOCACY WITH WOMENS, IPS, LAND AND WATER RIGHTS GROUPS | 22,500 | | | | |
| | | SOUTH ASIA | ANALYSES OF FINANCIAL REGULATIONS, CONTRADICTORY COMPONENTS IN ONGOING FORESTRY PROGRAMS, AND IMPACTS OF INTERNAL AND EXTERNAL LAND ACQUISITIONS | 105,000 | | | | |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SOUTH ASIA | ENHANCING GRASSROOTS CAPABILITY FOR MAPPING CUSTOMARY COMMUNITY FOREST RESOURCE AND HABITAT BOUNDARIES | 41,639 | | | | |
| | | SUB-SAHARAN AFRICA | INFORM & PREPARE AN ADVOCACY PLAN OF SME DEVELOPMENT INITIATIVES, DEVELOP ADVOCACY TO SCALE UP PROMISING PILOTS, CATALYZING NEW INVESTMENT | 60,000 | | | | |
| | | SUB-SAHARAN AFRICA | JOURNALIST SITE VISIT - CAMEROON | 3,762 | | | | |
| | | SUB-SAHARAN AFRICA | CAPITALIZE ON PILOT REDD PROJECTS & VPA DISSEMINATE BEST AND BAD PRACTICES | 105,000 | | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SUB-SAHARAN AFRICA | ADVOCACY TO SECURE COMMUNITY RIGHTS IN CAMEROONS LAND REFORM PROCESS | 40,000 | | | | |
| | | SUB-SAHARAN AFRICA | DRC-LGAF VALIDATION AND LAND REFORM CONFERENCE | 30,040 | | | | |
| | | SUB-SAHARAN AFRICA | APPUI LA PARTICIPATION DES ORGANISATIONS DE LA SOCIT CIVILE AU PROCESSUS DE LA RFORME FONCIRE EN RDC | 50,000 | | | | |
| | | SUB-SAHARAN AFRICA | LEGAL ANALYSIS OF WOMENS PROPERTY RIGHTS WITHIN LIBERIA TO IDENTIFY OPPORTUNITIES AND CONSTRAINTS TO GENDER EQUITABLE LAND TENURE AND NATURAL RESOURCE MANAGEMENT | 40,000 | | | | |

## Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SUB-SAHARAN AFRICA | ADVANCING THE MODEL FOR SUSTAINED WOMENS ENGAGEMENT IN FORESTRY IN LIBERIA | 35,000 | | | | |
| | | SUB-SAHARAN AFRICA | REGIONAL FACILITATION SUPPORT TO RRI ACTIVITIES IN THE SAHEL | 65,000 | | | | |
| | | SUB-SAHARAN AFRICA | ENSURE LAND AND FOREST LEGISLATION AND POLICY RECOGNIZE AND PROTECT COMMUNITY RIGHTS IN LIBERIA | 30,000 | | | | |
| | | SUB-SAHARAN AFRICA | PROTECT, RESPECT AND REMEDY ENSURING THAT GOVERNMENT AND THE PRIVATE SECTOR PROTECT, RESPECT AND REMEDY RIGHTS OF LOCAL COMMUNITIES IN LIBERIA | 25,000 | | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SUB-SAHARAN AFRICA | DEVELOP STRATEGIES FOR SUSTAINABLE FOREST MANAGEMENT IN PIT SAWING IN LIBERIA | 40,000 | | | | |
| | | SUB-SAHARAN AFRICA | RIGHTS BASED APPROACH TO EQUITABLE AND SUSTAINABLE FOREST MANAGEMENT | 20,000 | | | | |
| | | SUB-SAHARAN AFRICA | RRI FACILITATION FOR THE SAHEL | 80,000 | | | | |
| | | SUB-SAHARAN AFRICA | SWITZERLAND CONFERENCE ON SCALING-UP GLOBAL EFFORTS TO SECURE COMMUNITY LAND AND RESOURCE RIGHTS AN INTERNATIONAL CONFERENCE TO SHARE EXPERIENCES AND CATALYZE NEW STRATEGIES, ALLIANCES AND ACTION | 285,730 | | | | |

## Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SUB-SAHARAN AFRICA | SUPPORT AND SCALE UP PARTICIPATORY, DECENTRALIZED NATURAL RESOURCE MANAGEMENT AND TO DOCUMENT THE TENURE EFFECTS OF THE SOCIO-POLITICAL CRISIS IN MALI AND OF THE GREAT GREEN WALL PROJECT ACROSS THE SAHEL | 125,000 | | | | |
| | | SUB-SAHARAN AFRICA | RRI - MALI PLANNING MEETING 2013-2014 | 3,543 | | | | |
| | | SUB-SAHARAN AFRICA | INCREASE THE CAMEROON PROGRAMS VISIBILITY WITH A COMMUNICATION STRATEGY | 15,000 | | | | |
| | | SUB-SAHARAN AFRICA | SENSITIZE & BUILD CAPACITY FOR RURAL WOMEN LEADERS ON THEIR STRATEGIC POSITIONING LINKED TO THE OPERATIONALIZATION OF REDD IN CAMEROON | 15,000 | | | | |

## Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SUB-SAHARAN AFRICA | CARRY OUT ADVOCACY WITH TRADITIONAL CHIEFS IN FAVOR OF LAND ACCESS FOR WOMEN & OTHER MARGINALIZED GROUPS | 10,000 | | | | |
| | | SUB-SAHARAN AFRICA | TROISIME ATELIER RGIONAL SUR LE GENRE ET LES TENURES FONCIRES ET FORESTIRES EN AFRIQUE | 95,596 | | | | |
| | | SUB-SAHARAN AFRICA | ANALYSE DE GENRE DES TEXTES JURIDIQUES DES DIFFRENTS SECTEURS CONCERNS PAR LA GESTION DES RESSOURCES NATURELLES POUR VALUER LA PRISE EN COMPTE DES DROITS DES FEMMES ET CONTRIBUER LHARMONISATION DES POLITIQUES | 25,000 | | | | |
| | | SUB-SAHARAN AFRICA | PARLIAMENTARIAN DIALOGUE TO HARMONIZE SECTORIAL POLICIES IN CAMEROON | 20,000 | | | | |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SUB-SAHARAN AFRICA | STRENGTHENING CIVIL SOCIETY AND LOCAL COMMUNITY ENGAGEMENT WITH LIBERIAS LAND TENURE REFORM PROCESS | 30,000 | | | | |
| | | SUB-SAHARAN AFRICA | STRENGTHENING COMMUNITY ENGAGEMENT WITH GOVERNMENT AND CORPORTATIONS IN THE AGRICULTURE SECTOR | 30,000 | | | | |
| | | SUB-SAHARAN AFRICA | SUPPORTING CFDCS TO PROMOTE RIGHTS-BASED, EQUITABLE COMMUNITY FORESTRY | 20,000 | | | | |
| | | SUB-SAHARAN AFRICA | PROMOTE COMMUNITIES RIGHTS IN PERI-URBAN ZONES, IN GROWTH POLES AND AREAS WITH MINING EXPLOITATION, THROUGH AWARENESS-RAISING AROUND COMMUNITIES RIGHTS, BETTER UNDERSTANDING OF ECONOMIC GROWTH POLES IMPACTS ON TENURE, AND ANALYSIS OF BURKINAS MINING POLICY WITH REGARD TO TENURE AND GENDER WITH THE AIM OF ENCOURAGING REFORM | 30,000 | | | | |

## Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SUB-SAHARAN AFRICA | BUILD LOCAL COMMUNITIES CAPACITY AND TO SENSITIZE TERRITORIAL COLLECTIVITIES TO ADOPT PROVISIONS IN FAVOR OF SUSTAINABLE NATURAL RESOURCE GOVERNANCE | 59,000 | | | | |
| | | SUB-SAHARAN AFRICA | PROMOTE SECURE AND EFFECTIVE LAND TENURE FOR WOMEN IN BURKINA FASO | 40,000 | | | | |
| | | SUB-SAHARAN AFRICA | RRI? BURKINA FASO PLANNING MEETING 2013? 2014 | 3,127 | | | | |

**Schedule I (Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments and Individuals in the United States

**Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990**
**▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
RIGHTS AND RESOURCES INSTITUTE INC

**Employer identification number**
20-3690821

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

## Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| (1) LANDESA 1424 FOURTH AVE STE 300 SEATTLE, WA 98101 | 91-1158970 | 501 (C ) 3 | 12,000 | | | | POLICY ADVOCACY AND AWARENESS BUILDING ON WOMEN'S FORESTLANDS RIGHTS |
| (2) LANDESA 1424 FOURTH AVE STE 300 SEATTLE, WA 98101 | 91-1158970 | 501 (C ) 3 | 30,000 | | | | RECOMMENDATIONS TO DRAFT FOREST LAW TO INFLUENCE REVISION PROCESS |
| (3) LANDESA 1424 FOURTH AVE STE 300 SEATTLE, WA 98101 | 91-1158970 | 501 (C ) 3 | 24,495 | | | | POLICY ADVOCACY TO IMPROVE COMPENSATION STANDARDS FOR CHINAS FOREST CONSERVATION PROGRAM |
| (4) LANDESA 1424 FOURTH AVE STE 300 SEATTLE, WA 98101 | 91-1158970 | 501 (C ) 3 | 30,000 | | | | RESEARCH ON LARGE SCALE FORESTLAND ACQUISITIONS (LSLAS) BY CHINESE DOMESTIC COMPANIES |
| (5) MALIASILI INITIATIVES PO BOX 293 UNDERHILL, VT 05489 | 27-3183146 | 501 (C ) 3 | 39,500 | | | | TENURE SUMMITT PROCESS SUPPORT AND FLAGSHIP REPORT |
| (6) IFRI 440 CHURCH STREET ANN ARBOR, MI 48104 | 38-6006309 | 501 (C ) 3 | 69,735 | | | | POVERTY-TENURE TRACKING METHODOLOGY |
| (7) IFRI 440 CHURCH STREET ANN ARBOR, MI 48104 | 38-6006309 | 501 (C ) 3 | 50,521 | | | | ADVANCING CAUSAL INFERENCE ON RESEARCH ON FOREST COMMONS INVESTIGATING CONTEXT AND MECHANISMS FOR ENHANCED LIVELIHOOD DEVELOPMENT AND FOREST CONSERVATION |
| (8) PIVOT POINT 690 E COLONEL WRIGHT RD SHELTON, WA 98584 | 46-2378514 | 501 (C ) 3 | 300,000 | | | | CONSTRUCTION OF A QUALITATIVE ASSESSMENT FRAMEWORK TO MEASURE TENURE RISK |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

**Part III** **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

| **(a)** Type of grant or assistance | **(b)** Number of recipients | **(c)** Amount of cash grant | **(d)** Amount of non-cash assistance | **(e)** Method of valuation (book, FMV, appraisal, other) | **(f)** Description of non-cash assistance |
|---|---|---|---|---|---|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

**Part IV** **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

| **Return Reference** | **Explanation** |
|---|---|

**Additional Data**

**Software ID:**
**Software Version:**
**EIN:** 20-3690821
**Name:** RIGHTS AND RESOURCES INSTITUTE INC

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| LANDESA<br>1424 FOURTH AVE STE 300<br>SEATTLE, WA 98101 | 91-1158970 | 501 ( C ) 3 | 12,000 | | | | POLICY ADVOCACY AND AWARENESS BUILDING ON WOMEN'S FORESTLANDS RIGHTS |
| LANDESA<br>1424 FOURTH AVE STE 300<br>SEATTLE, WA 98101 | 91-1158970 | 501 ( C ) 3 | 30,000 | | | | RECOMMENDATIONS TO DRAFT FOREST LAW TO INFLUENCE REVISION PROCESS |
| LANDESA<br>1424 FOURTH AVE STE 300<br>SEATTLE, WA 98101 | 91-1158970 | 501 ( C ) 3 | 24,495 | | | | POLICY ADVOCACY TO IMPROVE COMPENSATION STANDARDS FOR CHINAS FOREST CONSERVATION PROGRAM |
| LANDESA<br>1424 FOURTH AVE STE 300<br>SEATTLE, WA 98101 | 91-1158970 | 501 ( C ) 3 | 30,000 | | | | RESEARCH ON LARGE SCALE FORESTLAND ACQUISITIONS (LSLAS) BY CHINESE DOMESTIC COMPANIES |
| MALIASILI INITIATIVES<br>PO BOX 293<br>UNDERHILL, VT 05489 | 27-3183146 | 501 ( C ) 3 | 39,500 | | | | TENURE SUMMITT PROCESS SUPPORT AND FLAGSHIP REPORT |
| IFRI<br>440 CHURCH STREET<br>ANN ARBOR, MI 48104 | 38-6006309 | 501 ( C ) 3 | 69,735 | | | | POVERTY-TENURE TRACKING METHODOLOGY |
| IFRI<br>440 CHURCH STREET<br>ANN ARBOR, MI 48104 | 38-6006309 | 501 ( C ) 3 | 50,521 | | | | ADVANCING CAUSAL INFERENCE ON RESEARCH ON FOREST COMMONS INVESTIGATING CONTEXT AND MECHANISMS FOR ENHANCED LIVELIHOOD DEVELOPMENT AND FOREST CONSERVATION |
| PIVOT POINT<br>690 E COLONEL WRIGHT RD<br>SHELTON, WA 98584 | 46-2378514 | 501 ( C ) 3 | 300,000 | | | | CONSTRUCTION OF A QUALITATIVE ASSESSMENT FRAMEWORK TO MEASURE TENURE RISK |

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**Schedule J** (Form 990)

Department of the Treasury
Internal Revenue Service

# Compensation Information

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**
**▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.**
**▶ Attach to Form 990. ▶ See separate instructions.**
**▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
RIGHTS AND RESOURCES INSTITUTE INC

**Employer identification number**
20-3690821

## Part I Questions Regarding Compensation

| | | | Yes | No |
|---|---|---|---|---|
| **1a** | Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items<br>☐ First-class or charter travel ☐ Housing allowance or residence for personal use<br>☐ Travel for companions ☐ Payments for business use of personal residence<br>☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees<br>☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef) | | | |
| **b** | If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain | **1b** | | |
| **2** | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a? | **2** | | |
| **3** | Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III<br>☐ Compensation committee ☐ Written employment contract<br>☐ Independent compensation consultant ☑ Compensation survey or study<br>☑ Form 990 of other organizations ☑ Approval by the board or compensation committee | | | |
| **4** | During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization | | | |
| **a** | Receive a severance payment or change-of-control payment? | **4a** | | No |
| **b** | Participate in, or receive payment from, a supplemental nonqualified retirement plan? | **4b** | | No |
| **c** | Participate in, or receive payment from, an equity-based compensation arrangement?<br>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III | **4c** | | No |
| | **Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.** | | | |
| **5** | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of | | | |
| **a** | The organization? | **5a** | | No |
| **b** | Any related organization?<br>If "Yes," to line 5a or 5b, describe in Part III | **5b** | | No |
| **6** | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of | | | |
| **a** | The organization? | **6a** | | No |
| **b** | Any related organization?<br>If "Yes," to line 6a or 6b, describe in Part III | **6b** | | No |
| **7** | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III | **7** | Yes | |
| **8** | Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III | **8** | | No |
| **9** | If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)? | **9** | | |

**Part II** **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

| (A) Name and Title | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported as deferred in prior Form 990 |
|---|---|---|---|---|---|---|---|---|
| | | (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| (1)THOMAS A WHITE PRESIDENT AND RRI COORDINATOR | (i) | 179,287 | 2,535 | 0 | 12,728 | 27,838 | 222,388 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2)ARVIND KHARE EXECUTIVE DIRECTOR | (i) | 130,298 | 18,860 | 0 | 10,441 | 11,322 | 170,921 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (3)AUGUSTA MOLNAR SR DIRECTOR, COUNTRY AND REGIONAL P | (i) | 137,356 | 0 | 0 | 9,615 | 10,970 | 157,941 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II
Also complete this part for any additional information

| Return Reference | Explanation |
| --- | --- |
| PART I, LINE 7 | SENIOR MANAGEMENT IS ELIGIBLE FOR BONUSES OF UP TO 5% OF SALARY, BASED ON THE ANNUAL PERFORMANCE RATING AS DETERMINED BY THE PRESIDENT THE PRESIDENTS PERFORMANCE RATING IS DETERMINED BY A COMMITTEE OF THE BOARD ADDITIONAL LEAVE MAY BE AWARDED IN LIEU OF CASH, BASED ON A PREDETERMINED VALUATION OF LEAVE FOR EACH LEVEL OF PERFORMANCE RATING |

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|---|---|---|

**SCHEDULE O**
**(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.**
**▶ Attach to Form 990 or 990-EZ.**
**▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
RIGHTS AND RESOURCES INSTITUTE INC

**Employer identification number**
20-3690821

## 990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|---|---|
| FORM 990, PART VI, SECTION B, LINE 11 | THIS RETURN HAS BEEN SUBMITTED FOR THE REVIEW OF RRG'S BOARD OF DIRECTORS THE TREASURER OF THE BOARD REVIEWED THE RETURN IN DETAIL, REFERENCING THE ORGANIZATION'S AUDITED FINANCIAL STATEMENTS FOR THE YEAR THE BOARD REVIEWED THE RETURN IN SUMMARY WITH INPUT FROM THE TREASURER, PRESIDENT, EXECUTIVE DIRECTOR, AND DIRECTOR OF FINANCE AND ADMINISTRATION QUESTIONS ABOUT SPECIFIC ITEMS OR SECTIONS ASKED AND ANSWERED WITH SUPPLEMENTAL DETAIL PROVIDED AS APPROPRIATE |
| FORM 990, PART VI, SECTION B, LINE 12C | DIRECTORS AND OFFICERS ARE REQUIRED TO REVIEW THE CONFLICT OF INTEREST POLICY ANNUALLY AND TO SIGN ANNUALLY THEIR COMMITMENT TO ABIDE BY THE POLICY THE POLICY REQUIRES THAT ANY KNOWN OR POTENTIAL CONFLICTS OF INTEREST BE COMMUNICATED TO THE BOARD AS SOON AS THEY ARE KNOWN, AND THE FACTS AND BOARD DECISIONS CONCERNING THE CONFLICT BE DOCUMENTED IN THE MINUTES OF THE MEETINGS OF THE BOARD THE BOARD AND OFFICERS ASSESS AT LEAST ANNUALLY WHETHER ALL OFFICERS AND DIRECTORS ARE IN COMPLIANCE WITH THE POLICY BASED ON ALL FACTS KNOWN TO THE BOARD AND OFFICERS AN AUDIT OF THE POLICY AND ITS IMPLEMENTATION WAS UNDERTAKEN IN LATE 2011 BY AN INDEPENDENT CONSULTANT, AND RECOMMENDATIONS FOR IMPROVEMENTS AND ENSURING RIGOROUS ADHERENCE TO THE POLICY AND COMPREHENSIVE DOCUMENTATION OF THIS ADHERENCE WERE IMPLEMENTED IN 2012 |
| FORM 990, PART VI, SECTION B, LINE 15A | THE PRESIDENT IS THE TOP MANAGEMENT OFFICIAL OF THE ORGANIZATION AN ASSESSMENT OF OTHER NON-PROFIT ORGANIZATIONS IS PERIODICALLY UNDERTAKEN BASED ON COMPENSATION FIGURES REPORTED ON IRS 990 RETURNS FROM COMPARABLE ORGANIZATIONS AND OTHER SOURCES OF COMPENSATION INFORMATION AT THE REQUEST OF THE BOARD ASSESSED ORGANIZATIONS ARE SELECTED TO MOST CLOSELY RESEMBLE RRG IN TERMS OF BUDGET, NUMBER OF EMPLOYEES, COMPLEXITY OF PROGRAMS, ROLE, EXPERTISE, AND GEOGRAPHIC LOCATION IN A SESSION CLOSED TO THE PRESIDENT, THE BOARD REVIEWS THE ASSESSMENT, THE PERFORMANCE OF THE PRESIDENT, AND MAY CONSIDER OTHER RELEVANT FACTORS IN DETERMINING WHETHER A SALARY ADJUSTMENT IS WARRANTED, WHICH IS THEN SUBJECT TO VOTING BY THE BOARD THIS PROCESS WAS LAST UNDERTAKEN IN JANUARY 2013 |
| FORM 990, PART VI, SECTION C, LINE 19 | RRG POSTS THE AUDITED FINANCIAL STATEMENTS ON ITS WEBSITE, AVAILABLE TO THE GENERAL PUBLIC ADDITIONAL DOCUMENTS ARE ALSO POSTED TO DEMONSTRATE RRG'S COMMITMENT TO BEST PRACTICES IN TRANSPARENCY AND ACCOUNTABILITY |