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**Return of Private Foundation**

**2013**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation  
**THE MALCOLM C. DAMUTH FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address)  
**P.O. BOX 685168**

Room/suite

City or town, state or province, country, and ZIP or foreign postal code  
**AUSTIN, TX 78768**

A Employer identification number  
**20-3673795**

B Telephone number  
**512-472-7111**

C If exemption application is pending, check here

D 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply  
 Initial return  
 Final return  
 Address change  
 Initial return of a former public charity  
 Amended return  
 Name change

H Check type of organization  
 Section 4947(a)(1) nonexempt charitable trust  
 Section 501(c)(3) exempt private foundation  
 Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)  
 \$ **3,828,360.** (Part I, column (d) must be on cash basis.)

J Accounting method  
 Cash  
 Accrual  
 Other (specify)

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		208,600.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		68.	68.		STATEMENT 1
4 Dividends and interest from securities		204,601.	204,601.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		58,656.			
b Gross sales price for all assets on line 6a		2,491,298.			
7 Capital gain net income (from Part IV, line 2)			58,656.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		6,415.	6,415.		STATEMENT 3
12 Total. Add lines 1 through 11		478,340.	269,740.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		75.	37.		38.
b Accounting fees STMT 5		5,100.	2,550.		2,550.
c Other professional fees STMT 6		4,472.	4,472.		0.
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy		5,713.	0.		5,713.
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		1,726.	1,247.		479.
24 Total operating and administrative expenses. Add lines 13 through 23		17,086.	8,306.		8,780.
25 Contributions, gifts, grants paid		297,713.			297,713.
26 Total expenses and disbursements. Add lines 24 and 25		314,799.	8,306.		306,493.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		163,541.			
b Net investment income (if negative, enter -0-)			261,434.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Operating and Administrative Expenses

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Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments	21,701.	66,454.	66,454.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U S and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other	STMT 9	3,593,663.	3,761,906.	3,761,906.	
14 Land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)		3,615,364.	3,828,360.	3,828,360.	
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
	23 Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27 Capital stock, trust principal, or current funds		0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds		3,615,364.	3,828,360.		
30 Total net assets or fund balances		3,615,364.	3,828,360.		
31 Total liabilities and net assets/fund balances		3,615,364.	3,828,360.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,615,364.
2 Enter amount from Part I, line 27a	2	163,541.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	49,455.
4 Add lines 1, 2, and 3	4	3,828,360.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,828,360.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b	SEE ATTACHED STATEMENT		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	2,491,298.	2,432,642.	58,656.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			58,656.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	58,656.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	354,694.	3,486,710.	.101727
2011	258,708.	3,534,952.	.073186
2010	298,733.	3,383,954.	.088279
2009	224,085.	2,633,613.	.085087
2008	230,281.	2,531,908.	.090952

2	Total of line 1, column (d)	2	.439231
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.087846
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,743,455.
5	Multiply line 4 by line 3	5	328,848.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,614.
7	Add lines 5 and 6	7	331,462.
8	Enter qualifying distributions from Part XII, line 4	8	306,493.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	5,229.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	5,229.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	5,229.
6	<b>Credits/Payments</b>		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,949.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	<b>Total credits and payments.</b> Add lines 6a through 6d	7	2,949.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,280.
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) ... 11 X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) ... 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A ... 13 X
14 The books are in care of S. CRAIG DAMUTH Telephone no (512) 514-1629 Located at 1016 REAGAN TERRACE, AUSTIN, TX ZIP+4 78704
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country 16 Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? Yes No X N/A 1b 1c X 2b 3b 4a X 4b X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No  
 b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

5b		
6b		X
7b		

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	0.

**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	3,700,381.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	100,081.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	3,800,462.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	3,800,462.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	57,007.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,743,455.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	187,173.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	187,173.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	5,229.
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	5,229.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	181,944.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	181,944.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	181,944.

**Part XII**

**Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	306,493.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	306,493.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	306,493.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				181,944.
2 Undistributed income, if any, as of the end of 2013			0.	
a Enter amount for 2012 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008	106,744.			
b From 2009	97,718.			
c From 2010	135,599.			
d From 2011	87,208.			
e From 2012	185,020.			
f Total of lines 3a through e	612,289.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$	306,493.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				181,944.
e Remaining amount distributed out of corpus	124,549.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	736,838.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	106,744.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	630,094.			
10 Analysis of line 9				
a Excess from 2009	97,718.			
b Excess from 2010	135,599.			
c Excess from 2011	87,208.			
d Excess from 2012	185,020.			
e Excess from 2013	124,549.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1** a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶   
 b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	Prior 3 years		
			(c) 2011	(d) 2010	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**  
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

**S. CRAIG DAMUTH**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
AMERICAN BIRD CONSERVANCY P.O. BOX 249 THE PLAINS, VA 20198-2237	N/A	PC	TO SUPPORT THE ORGANIZATION'S PURPOSE	50,000.
GORGAS SCIENCE FOUNDATION 8435 SABAL PALM RD. BROWNSVILLE, TX 78521	N/A	PC	TO SUPPORT THE ORGANIZATION'S PURPOSE	102,713.
GULF COAST BIRD OBSERVATORY 103 WEST HIGHWAY 332 LAKE JACKSON, TX 77566	N/A	PC	TO SUPPORT THE ORGANIZATION'S PURPOSE	30,000.
NATURE CONSERVANCY 816 CONGRESS AVENUE, SUITE 920 AUSTIN, TX 78701	N/A	PC	TO SUPPORT THE ORGANIZATION'S PURPOSE	30,000.
TRAVIS AUDUBON SOCIETY 3710 CEDAR ST. AUSTIN, TX 78705	N/A	PC	TO SUPPORT THE ORGANIZATION'S PURPOSE	25,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>297,713.</b>
<i>b Approved for future payment</i>				
NONE				
<b>Total</b>				
				<b>0.</b>



Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... 1a(1) Cash X 1a(2) Other assets X 1b(1) Sales of assets to a noncharitable exempt organization X 1b(2) Purchases of assets from a noncharitable exempt organization X 1b(3) Rental of facilities, equipment, or other assets X 1b(4) Reimbursement arrangements X 1b(5) Loans or loan guarantees X 1b(6) Performance of services or membership or fundraising solicitations X 1c Sharing of facilities, equipment, mailing lists, other assets, or paid employees X

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A'.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code... [ ] Yes [X] No

b If "Yes," complete the following schedule. Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Row 1 contains 'N/A'.

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Signature of officer or trustee: Craig Damuth, Date: 5/13/2014, Title: Vice President. May the IRS discuss this return with the preparer shown below (see instr)? [X] Yes [ ] No

Paid Preparer Use Only Preparer's name: JON M. LEMON, Preparer's signature: Jon Lemon CPA, Date: 5-12-14, Check [ ] if self-employed, PTIN: P00647058, Firm's name: DURBIN BENNETT TAX ADVISORS, Firm's EIN: 74-2473150, Firm's address: 100 CONGRESS AVENUE, SUITE 1600 AUSTIN, TX 78701, Phone no: 512-439-4800

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

**2013**

Name of the organization

Employer identification number

THE MALCOLM C. DAMUTH FOUNDATION

20-3673795

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

THE MALCOLM C. DAMUTH FOUNDATION

20-3673795

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	S. CRAIG DAMUTH P.O. BOX 684909 AUSTIN, TX 78768	\$ 208,600.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

**THE MALCOLM C. DAMUTH FOUNDATION**

**20-3673795**

**Part II: Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization

Employer identification number

**THE MALCOLM C. DAMUTH FOUNDATION**

20-3673795

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

**(e) Transfer of gift**

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee


(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

**(e) Transfer of gift**

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee


(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

**(e) Transfer of gift**

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee


(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

**(e) Transfer of gift**

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee




THE MALCOLM C. DAMUTH FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a CAPITAL GAIN DISTRIBUTION - BARCLAYS			
b CAPITAL GAIN DISTRIBUTION - NORTHWESTERN #0741			
c CAPITAL GAIN DISTRIBUTION - NORTHWESTERN #3300			
d CAPITAL GAIN DISTRIBUTION - CHARLES SCHWAB #9803			
e SEE ATTMT. A - NORTHWESTERN MUTUAL #0741	P	VARIOUS	VARIOUS
f SEE ATTMT. A - NORTHWESTERN MUTUAL #3300	P	VARIOUS	VARIOUS
g SEE ATTMT. A - NORTHWESTERN MUTUAL #3300	P	VARIOUS	VARIOUS
h SEE ATTMT. B - CHARLES SCHWAB #9803	P	VARIOUS	VARIOUS
i SEE ATTMT. B - CHARLES SCHWAB #9803	P	VARIOUS	VARIOUS
j FROM K-1: NUVEEN DIVERSIFIED COMMODITY	P	VARIOUS	VARIOUS
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 299.			299.
b 2,626.			2,626.
c 15,521.			15,521.
d 17,395.			17,395.
e 51,014.		43,866.	7,148.
f 295,812.		297,277.	-1,465.
g 1,388,477.		1,404,511.	-16,034.
h 195,415.		194,074.	1,341.
i 524,034.		492,914.	31,120.
j 705.			705.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			299.
b			2,626.
c			15,521.
d			17,395.
e			7,148.
f			-1,465.
g			-16,034.
h			1,341.
i			31,120.
j			705.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	58,656.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB #9803	1.	1.	
CITIBANK	27.	27.	
FROM K-1 NUVEEN DIVERSIFIED COMMODITY	40.	40.	
TOTAL TO PART I, LINE 3	68.	68.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BARCLAYS WEALTH	2,644.	0.	2,644.	2,644.	
CHARLES SCHWAB #9803	62,096.	0.	62,096.	62,096.	
NORTHWESTERN MUTUAL #0741	1,867.	0.	1,867.	1,867.	
NORTHWESTERN MUTUAL #3300	137,994.	0.	137,994.	137,994.	
TO PART I, LINE 4	204,601.	0.	204,601.	204,601.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SECTION 1256 GAIN FROM K-1 NUVEEN DIVERSIFIED COMMODITY	6,415.	6,415.	
TOTAL TO FORM 990-PF, PART I, LINE 11	6,415.	6,415.	

FORM 990-PF	LEGAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	75.	37.		38.
TO FM 990-PF, PG 1, LN 16A	75.	37.		38.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,100.	2,550.		2,550.
TO FORM 990-PF, PG 1, LN 16B	5,100.	2,550.		2,550.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	4,472.	4,472.		0.
TO FORM 990-PF, PG 1, LN 16C	4,472.	4,472.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	931.	465.		466.
BANK EXPENSES	26.	13.		13.
DEDUCTIONS FROM K-1 NUVEEN DIVERSIFIED COMMODITY	769.	769.		0.
TO FORM 990-PF, PG 1, LN 23	1,726.	1,247.		479.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
DESCRIPTION		AMOUNT	
CHANGE IN UNREALIZED GAINS/(LOSSES)		49,455.	
TOTAL TO FORM 990-PF, PART III, LINE 3		49,455.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BARCLAYS WEALTH #6232	FMV	49,450.	49,450.
NORTHWESTERN MUTUAL INVST #3300	FMV	2,276,503.	2,276,503.
NORTHWESTERN MUTUAL INVST #0741	FMV	156,035.	156,035.
SCHWAB #9803	FMV	1,279,918.	1,279,918.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,761,906.	3,761,906.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
BOB WARNEKE, JR. PO BOX 2388 AUSTIN, TX 78768	TRUSTEE / PRESIDENT 2.00	0.	0.	0.
S. CRAIG DAMUTH PO BOX 684909 AUSTIN, TX 78768	TRUSTEE / VICE PRESIDENT 3.00	0.	0.	0.
ERICA NIX 2804 ST EDWARDS CIRCLE, AUSTIN, TX 78704	SECRETARY 2.00	0.	0.	0.
SANDRA SKREI PO BOX 451 CEDAR CREEK, TX 78612	TRUSTEE 2.00	0.	0.	0.
JARED RAVENS 6702 O'HENRY COVE UNIT B AUSTIN, TX 78731	TRUSTEE 0.00	0.	0.	0.
JAMES R. STEWART, JR. 519 PINE EDGE DRIVE THE WOODLANDS, TX 77380	TRUSTEE 0.00	0.	0.	0.
BARRY LYON 2525 WALLINGWOOD, STE 1003 AUSTIN, TX 78746	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

THE MALCOLM DAMUTH FOUNDATION  
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 2013 FORM 990-PF  
 PART IV ATTACHMENT

Date	Disposition	Acquisition	Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss	Term
05/22/13	Multiple	SELL	Average Cost (Using First In First Out)	RUSSELL TAX-MANAGED SMALL-CAP FUND CLASS S Security Identifier: RTSXX	47.204	694.15	927.56	233.41	Long-Term
05/22/13	Multiple	SELL	Average Cost (Using First In First Out)	RUSSELL TAX-MANAGED SMALL-CAP FUND CLASS S Security Identifier: RTSXX	0.141	2.08	2.77	0.69	Long-Term
05/22/13	Multiple	SELL	Average Cost (Using First In First Out)	RUSSELL TAX-MANAGED SMALL-CAP FUND CLASS S Security Identifier: RTSXX	134.938	1,984.29	2,651.53	667.24	Long-Term
05/22/13	Multiple	SELL	Average Cost (Using First In First Out)	RUSSELL EMERGING MAR CL S Security Identifier: REMSX	197.121	3,773.53	3,796.56	23.03	Long-Term
05/22/13	Multiple	SELL	Average Cost (Using First In First Out)	RUSSELL-GLOBAL REAL ESTATE FUND CLASS S Security Identifier: RRESX	59.904	1,841.64	2,603.44	761.80	Long-Term
05/22/13	Multiple	SELL	Average Cost (Using First In First Out)	RUSSELL-COMMODITY FUND CLASS S Security Identifier: RCCSX	220.928	2,482.65	1,944.17	-538.48	Long-Term
05/22/13	Multiple	SELL	Average Cost (Using First In First Out)	RUSSELL STRATEGIC BOND CLASS S Security Identifier: RBCSX	714.079	7,550.80	8,076.23	525.43	Long-Term

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Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disformance	Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL INT'L DEVELO S FUND CLASS S Security Identifier: RINTX	120.714	3,915.38	4,083.76	168.38	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL INT'L DEVELO S FUND CLASS S Security Identifier: RINTX	107.560	3,488.73	3,638.76	150.03	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL INT'L DEVELO S FUND CLASS S Security Identifier: RINTX	27.336	886.65	924.77	38.12	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL U.S. SMALL C FUND CLASS S Security Identifier: RLESX	110.705	2,742.22	3,197.17	454.95	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL U.S. SMALL C FUND CLASS S Security Identifier: RLESX	4.872	120.69	140.70	20.01	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL U.S. CORE EQ CLASS S Security Identifier: RLJSX	188.257	5,070.72	6,707.60	1,636.88	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL U.S. CORE EQ CLASS S Security Identifier: RLJSX	7.919	213.30	282.15	68.85	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL U.S. CORE EQ CLASS S Security Identifier: RLJSX	103.245	2,780.91	3,678.62	897.71	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL U.S. CORE EQ CLASS S Security Identifier: RLJSX	2.740	73.81	97.63	23.82	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL U.S. CORE EQ CLASS S Security Identifier: RLJSX	3.402	91.64	121.21	29.57	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL U.S. CORE EQ CLASS S Security Identifier: RLJSX	2.043	55.03	72.79	17.76	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL U.S. CORE EQ CLASS S Security Identifier: RLJSX	3.123	84.12	111.27	27.15	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL U.S. CORE EQ CLASS S Security Identifier: RLJSX	1.245	33.54	44.36	10.82	Long Term
05/22/13	Multiple	SELL Average Cost (Using First-In First Out)	RUSSELL U.S. CORE EQ CLASS S Security Identifier: RLJSX	222.028	5,980.34	7,910.86	1,930.52	Long Term

THE MALCOLM DAMUTH FOUNDATION  
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 PART IV ATTACHMENT

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Netized Gain/Loss/Disbursements	Term
<b>Total Long Term</b>					\$43,866.22	\$51,013.91	\$7,147.69	
<b>Total Short Term and Long Term</b>					\$43,866.22	\$51,013.91	\$7,147.69	

THE MALCOLM DAMUTH FOUNDATION  
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 2013 FORM 990-PF  
 PART IV ATTACHMENT

Disposition Date	Acquisition Date	Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance	Term
07/01/13	Multiple	SELL Average Cost (Using First In First Out)	ALLIANCE BERNSTEIN H FUND CLASS A Security Identifier: AGDAX	1,440.092	13,141.90	13,493.66	351.76	Long Term
07/01/13	Multiple	SELL Average Cost (Using First In First Out)	ALLIANCE BERNSTEIN H FUND CLASS A Security Identifier: AGDAX	1,289.166	11,764.59	12,079.49	314.90	Long Term
07/02/13	Multiple	SELL Average Cost (Using First In First Out)	ALLIANCE BERNSTEIN H FUND CLASS A Security Identifier: AGDAX	1,504.130	13,726.29	14,123.78	397.49	Long Term
07/02/13	Multiple	SELL Average Cost (Using First In First Out)	ALLIANCE BERNSTEIN H FUND CLASS A Security Identifier: AGDAX	691.260	6,308.26	6,490.93	182.67	Long Term
07/09/13	Multiple	SELL Average Cost (Using First In First Out)	ALLIANCE BERNSTEIN H FUND CLASS A Security Identifier: AGDAX	1,504.130	13,726.29	14,108.74	382.45	Long Term
07/09/13	Multiple	SELL Average Cost (Using First In First Out)	ALLIANCE BERNSTEIN H FUND CLASS A Security Identifier: AGDAX	1,443.710	13,174.92	13,542.00	367.08	Long Term

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05/24/13	Multiple	AMERICAN HIGH INCOME SS A Security Identifier: AHITX Average Cost (Using First In First Out)	1,551,926	13,415.94	14,871.19	1,455.25	Long Term
06/24/13	Multiple	AMERICAN HIGH INCOME SS A Security Identifier: AHITX Average Cost (Using First In First Out)	4,153,944	41,221.95	45,693.38	4,471.43	Long Term
07/01/13	Multiple	AMERICAN HIGH INCOME SS A Security Identifier: AHITX Average Cost (Using First In First Out)	2,191,234	21,744.87	24,410.34	2,665.47	Long Term
07/01/13	Multiple	AMERICAN HIGH INCOME SS A Security Identifier: AHITX Average Cost (Using First In First Out)	512,995	5,090.75	5,714.76	624.01	Long Term
07/01/13	Multiple	AMERICAN HIGH INCOME SS A Security Identifier: AHITX Average Cost (Using First In First Out)	620,792	6,160.48	6,915.63	755.15	Long Term
11/29/13	06/21/13	B & G FOODS INC NEW Security Identifier: BCS First In First Out	300,000	10,119.39	9,942.94	-176.45	Short Term
11/29/13	06/27/13	B & G FOODS INC NEW Security Identifier: BCS First In First Out	450,000	15,427.21	15,408.37	-18.84	Short Term
09/25/13	Multiple	BLACKROCK HIGH YIELD CLASS A Security Identifier: BHYAX Average Cost (Using First In First Out)	709,220	5,542.73	5,588.65	45.92	Long Term
06/25/13	Multiple	BLACKROCK HIGH YIELD CLASS A Security Identifier: BHYAX Average Cost (Using First In First Out)	2,310,104	18,054.04	18,203.62	149.58	Long Term
07/01/13	Multiple	BLACKROCK HIGH YIELD CLASS A Security Identifier: BHYAX Average Cost (Using First In First Out)	962,147	7,519.42	7,697.18	177.76	Long Term
07/01/13	Multiple	BLACKROCK HIGH YIELD CLASS A Security Identifier: BHYAX Average Cost (Using First In First Out)	1,368,970	10,698.84	10,951.76	252.92	Long Term
07/01/13	Multiple	BLACKROCK HIGH YIELD CLASS A Security Identifier: BHYAX Average Cost (Using First In First Out)	1,356,589	10,602.08	10,852.71	250.63	Long Term

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07/01/13	Multiple	SELL Average Cost (Using First In First Out)	BLACKROCK HIGH YIELD CLASS A Security Identifier: BHYAX	455,235	3,557.78	3,641.88	84.10	Long Term
07/01/13	Multiple	SELL Average Cost (Using First In First Out)	BLACKROCK HIGH YIELD CLASS A Security Identifier: BHYAX	43,014	336.17	344.11	7.94	Long Term
07/01/13	Multiple	SELL Average Cost (Using First In First Out)	BLACKROCK HIGH YIELD CLASS A Security Identifier: BHYAX	42,503	332.18	340.02	7.84	Long Term
07/01/13	Multiple	SELL Average Cost (Using First In First Out)	BLACKROCK HIGH YIELD CLASS A Security Identifier: BHYAX	679,517	5,310.60	5,436.14	125.54	Long Term
07/09/13	Multiple	SELL Average Cost (Using First In First Out)	BLACKROCK HIGH YIELD CLASS A Security Identifier: BHYAX	3,272,251	25,573.45	26,079.84	506.39	Long Term
08/19/13	06/21/13	SELL First In First Out	BRISTOL MYERS-SQUIBB Security Identifier: BMY	220,000	10,122.77	9,286.54	-856.23	Short Term
08/15/13	07/12/13	SELL First In First Out	BRISTOL MYERS-SQUIBB Security Identifier: BMY	655,000	29,970.83	27,589.00	-2,381.83	Short Term
08/29/13	06/21/13	SELL First In First Out	CHURCH & DWIGHT CO I Security Identifier: CHD	170,000	10,339.79	10,085.99	-253.80	Short Term
08/29/13	06/27/13	SELL First In First Out	CHURCH & DWIGHT CO I Security Identifier: CHD	505,000	30,847.74	29,961.34	-886.40	Short Term
09/13/13	06/27/13	SELL First In First Out	CHURCH & DWIGHT CO I Security Identifier: CHD	170,000	10,384.39	10,022.91	-361.48	Short Term
07/09/13	10/10/06	SELL First In First Out	COHEN & STEERS QUALI RLY FD INC COM Security Identifier: RQI	999,560	24,919.59	10,600.03	-14,319.56	Long Term
07/09/13	03/22/07	SELL First In First Out	COHEN & STEERS QUALI RLY FD INC COM Security Identifier: RQI	642,919	16,348.09	6,817.97	-9,530.12	Long Term
07/09/13	09/30/08	SELL First In First Out	COHEN & STEERS QUALI RLY FD INC COM Security Identifier: RQI	0,521	5.68	5.52	-0.16	Long Term
09/05/13	07/02/13	SELL First In First Out	COLGATE PALMOLIVE CO Security Identifier: CL	600,000	35,086.44	34,276.69	-809.75	Short Term
09/16/13	07/02/13	SELL First In First Out	COLGATE PALMOLIVE CO Security Identifier: CL	255,000	14,911.73	15,089.74	178.01	Short Term
06/25/13	Multiple	SELL Average Cost (Using First In First Out)	EATON VANCE INCOME F TON CLASS A Security Identifier: EVIBX	1,139,818	5,463.40	6,667.93	1,204.53	Long Term
06/25/13	Multiple	SELL Average Cost (Using First In First Out)	EATON VANCE INCOME F TON CLASS A Security Identifier: EVIBX	5,732,164	27,475.51	33,533.16	6,057.65	Long Term

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06/25/13	Multiple	SELL Average Cost (Using First In-First Out) EATON VANCE HIGH INC UNITIES FD CL A Security Identifier: ETHX	2,358.491	9,930.41	10,518.87	588.46	Long Term
06/25/13	Multiple	SELL Average Cost (Using First In-First Out) EATON VANCE HIGH INC UNITIES FD CL A Security Identifier: ETHX	4,201.515	17,690.44	18,738.76	1,048.32	Long Term
07/09/13	Multiple	SELL Average Cost (Using First In-First Out) EATON VANCE HIGH INC UNITIES FD CL A Security Identifier: ETHX	3,191.740	13,438.79	14,330.91	892.12	Long Term
07/09/13	Multiple	SELL Average Cost (Using First In-First Out) EATON VANCE HIGH INC UNITIES FD CL A Security Identifier: ETHX	6,159.838	25,935.94	27,657.68	1,721.74	Long Term
07/09/13	Multiple	SELL Average Cost (Using First In-First Out) EATON VANCE HIGH INC UNITIES FD CL A Security Identifier: ETHX	3,191.740	13,438.79	14,394.75	955.96	Long Term
07/09/13	Multiple	SELL Average Cost (Using First In-First Out) EATON VANCE HIGH INC UNITIES FD CL A Security Identifier: ETHX	4,201.515	17,690.44	18,948.85	1,258.39	Long Term
06/24/13	Multiple	SELL Average Cost (Using First In-First Out) EATON VANCE EMERGING OCAL INCOME FD CL A Security Identifier: EBAX	1,859.109	19,097.23	16,676.21	-2,421.02	Long Term
12/18/13	Multiple	SELL Average Cost (Using First In-First Out) EATON VANCE EMERGING OCAL INCOME FD CL A Security Identifier: EBAX	697.128	7,161.07	6,016.21	-1,144.86	Long Term
12/18/13	Multiple	SELL Average Cost (Using First In-First Out) EATON VANCE EMERGING OCAL INCOME FD CL A Security Identifier: EBAX	1,717	17.63	14.82	-2.81	Long Term
12/18/13	Multiple	SELL Average Cost (Using First In-First Out) EATON VANCE EMERGING OCAL INCOME FD CL A Security Identifier: EBAX	17,802	182.86	153.63	-29.23	Long Term
12/18/13	Multiple	SELL Average Cost (Using First In-First Out) EATON VANCE EMERGING OCAL INCOME FD CL A Security Identifier: EBAX	2,406.160	24,716.67	20,765.16	-3,951.51	Long Term

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12/18/13	Multiple	SELL Average Cost (Using First In First Out)	EATON VANCE EMERGING OCAL INCOME FD CL A Security Identifier: EBAX	877.193	9,010.74	7,570.18	-1,440.56	Long Term
06/26/13	Multiple	SELL Average Cost (Using First In First Out)	FEDERATED STRATEGIC D CLASS A Security Identifier: STIAX	2,699.784	21,492.79	24,109.07	2,616.28	Long Term
06/26/13	Multiple	SELL Average Cost (Using First In First Out)	FEDERATED STRATEGIC D CLASS A Security Identifier: STIAX	5,955.342	47,410.06	53,181.21	5,771.15	Long Term
12/06/13	Multiple	SELL Average Cost (Using First In First Out)	FEDERATED STRATEGIC D CLASS A Security Identifier: STIAX	2,699.784	21,492.79	24,676.02	3,183.23	Long Term
12/06/13	Multiple	SELL Average Cost (Using First In First Out)	FEDERATED STRATEGIC D CLASS A Security Identifier: STIAX	444.992	3,542.55	4,067.23	524.68	Long Term
12/06/13	Multiple	SELL Average Cost (Using First In First Out)	FEDERATED PRUDENT DO UND CLASS A Security Identifier: PSAPX	100.000	1,201.00	1,117.00	-84.00	Long Term
12/06/13	Multiple	SELL Average Cost (Using First In First Out)	FEDERATED EMERGING M FUND CLASS A Security Identifier: IHIAX	2,656.748	25,193.53	24,628.05	-565.48	Long Term
12/06/13	Multiple	SELL Average Cost (Using First In First Out)	FEDERATED EMERGING M FUND CLASS A Security Identifier: IHIAX	1,034.126	9,806.46	9,586.35	-220.11	Long Term
06/26/13	Multiple	SELL Average Cost (Using First In First Out)	FIDELITY ADVISOR HIG UND CLASS A Security Identifier: FHIAX	345.781	2,558.29	2,942.60	384.31	Long Term
06/26/13	Multiple	SELL Average Cost (Using First In First Out)	FIDELITY ADVISOR HIG UND CLASS A Security Identifier: FHIAX	12,544.314	92,809.96	106,752.11	13,942.15	Long Term
09/11/13	06/26/13	SELL First In First Out	GENERAL MILLS INC CO Security Identifier: GIS	750.000	36,086.81	36,141.73	54.92	Short Term
11/20/13	07/03/13	SELL First In First Out	GENERAL MILLS INC CO Security Identifier: GIS	300.000	14,867.69	14,823.27	-44.42	Short Term
07/03/13	Multiple	SELL Average Cost (Using First In First Out)	GOLDMAN SACHS HIGH Y CL A Security Identifier: GSHAX	1,062.323	7,384.87	7,616.86	231.99	Long Term
07/03/13	Multiple	SELL Average Cost (Using First In First Out)	GOLDMAN SACHS EMERGI DEBT FUND CLASS A Security Identifier: GSDAX	638.142	8,072.49	7,893.82	-178.67	Long Term

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06/24/13	Multiple*	SELL Average Cost (Using First In First Out)	IVY HIGH INCOME FUND Security Identifier: WHIAX	1,160.093	10,299.58	9,883.99	-415.59	Long Term
06/24/13	Multiple*	SELL Average Cost (Using First In First Out)	IVY HIGH INCOME FUND Security Identifier: WHIAX	2,350.698	20,870.06	20,027.95	-842.11	Long Term
07/01/13	Multiple*	SELL Average Cost (Using First In First Out)	IVY HIGH INCOME FUND Security Identifier: WHIAX	2,188.184	19,427.23	18,708.97	-718.26	Long Term
09/16/13	07/10/13	SELL First In First Out	JOHNSON & JOHNSON CO Security Identifier: JN	245.000	22,008.66	21,616.46	-392.20	Short Term
06/25/13	Multiple*	SELL Average Cost (Using First In First Out)	LOOMIS SAYLES HIGH CLASS A Security Identifier: NEFH	9,404.908	45,989.99	42,510.18	-3,479.81	Long Term
06/28/13	Multiple*	SELL Average Cost (Using First In First Out)	LOOMIS SAYLES HIGH CLASS A Security Identifier: NEFH	9,000.000	44,010.00	41,220.00	-2,790.00	Long Term
07/09/13	01/23/07	SELL First In First Out	NEUBERGER BERMAN REA ECS INCOME FD INC COM Security Identifier: NRO	1,655.000	29,875.76	7,882.51	-21,993.25	Long Term
06/25/13	Multiple*	SELL Average Cost (Using First In First Out)	OPPENHEIMER GLOBAL S INCOME FUND CLASS A Security Identifier: OPSIX	409.836	1,652.85	1,680.33	27.48	Long Term
06/25/13	Multiple*	SELL Average Cost (Using First In First Out)	OPPENHEIMER GLOBAL S INCOME FUND CLASS A Security Identifier: OPSIX	1.857	7.49	7.61	0.12	Long Term
06/25/13	Multiple*	SELL Average Cost (Using First In First Out)	OPPENHEIMER GLOBAL S INCOME FUND CLASS A Security Identifier: OPSIX	6,189.310	24,961.12	25,376.17	415.05	Long Term
06/25/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO HIGH YIELD CLA Security Identifier: PHDAX	687.758	6,291.82	6,416.78	124.96	Long Term
06/25/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO HIGH YIELD CLA Security Identifier: PHDAX	4.142	37.90	38.64	0.74	Long Term

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06/25/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO HIGH YIELD CLA Security Identifier: PHDAX	4,588	41.98	42.81		0.83	Long Term
06/25/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO HIGH YIELD CLA Security Identifier: PHDAX	2,183,406	19,974.46	20,371.18		396.72	Long Term
06/25/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO HIGH YIELD CLA Security Identifier: PHDAX	2,166,847	19,822.97	20,216.68		393.71	Long Term
06/25/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO HIGH YIELD CLA Security Identifier: PHDAX	942,108	8,618.69	8,789.87		171.18	Long Term
07/11/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO HIGH YIELD CLA Security Identifier: PHDAX	106,541	974.67	1,011.07		36.40	Long Term
07/11/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO HIGH YIELD CLA Security Identifier: PHDAX	2,060,306	18,848.30	19,552.31		704.01	Long Term
07/02/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO EMERGING MARKE ND CLASS A Security Identifier: PAEMX	270,270	2,606.55	3,062.16		455.61	Long Term
07/02/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO EMERGING MARKE ND CLASS A Security Identifier: PAEMX	3,866,235	37,286.91	43,804.44		6,517.53	Long Term
12/13/13	07/09/13	SELL First In First Out	PACKAGING CORP AMER Security Identifier: PKG	535,000	27,203.70	32,422.92		5,219.22	Short Term
09/16/13	Multiple*	SELL Average Cost (Using First In First Out)	METZLER/PAYDEN EUROP NG MARKETS FUNDS Security Identifier: MPTMX	204,702	7,750.00	4,247.57		-3,502.43	Long Term
08/15/13	07/09/13	SELL First In First Out	PEPSICO INC COM Security Identifier: PEP	360,000	29,900.38	29,164.57		-735.81	Short Term
06/20/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO ALL ASSET ALL FUND CLASS A Security Identifier: PAUAX	2,972,630	27,853.55	30,410.00		2,556.45	Long Term
06/20/13	Multiple*	SELL Average Cost (Using First In First Out)	PIMCO ALL ASSET FUND Security Identifier: PASAX	5,000,000	63,372.51	59,800.00		-3,572.51	Long Term
07/08/13	Multiple*	SELL Average Cost (Using First In First Out)	PIONEER GLOBAL HIGH CLASS A Security Identifier: PGHYX	1,014,199	10,504.02	10,081.14		-422.88	Long Term

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07/08/13	Multiple	SELL Average Cost (Using First In First Out)	PIONEER GLOBAL HIGH CLASS A Security Identifier: PGHYX	1,870.416	19,371.83	18,591.93	-779.90	Long Term
07/09/13	Multiple	SELL Average Cost (Using First In First Out)	PIONEER GLOBAL HIGH CLASS A Security Identifier: PGHYX	1,014.199	10,504.02	10,091.28	-412.74	Long Term
07/09/13	Multiple	SELL Average Cost (Using First In First Out)	PIONEER GLOBAL HIGH CLASS A Security Identifier: PGHYX	417.059	4,319.87	4,150.14	-169.73	Long Term
07/11/13	Multiple	SELL Average Cost (Using First In First Out)	PIONEER GLOBAL HIGH CLASS A Security Identifier: PGHYX	1,014.199	10,504.02	10,131.85	-372.17	Long Term
07/03/13	Multiple	SELL Average Cost (Using First In First Out)	PRINCIPAL HIGH YIELD LASS A Security Identifier: CPHYX	1,272.265	10,414.93	9,847.33	-567.60	Long Term
07/03/13	Multiple	SELL Average Cost (Using First In First Out)	PRINCIPAL HIGH YIELD LASS A Security Identifier: CPHYX	1,762.225	14,425.81	13,639.62	-786.19	Long Term
07/03/13	Multiple	SELL Average Cost (Using First In First Out)	PRINCIPAL HIGH YIELD LASS A Security Identifier: CPHYX	4,242.424	34,729.06	32,836.36	-1,892.70	Long Term
07/03/13	Multiple	SELL Average Cost (Using First In First Out)	PRINCIPAL HIGH YIELD LASS A Security Identifier: CPHYX	1,151.977	9,430.24	8,916.31	-513.93	Long Term
07/05/13	Multiple	SELL Average Cost (Using First In First Out)	PUTNAM DIVERSIFIED I-T FUND CLASS A Security Identifier: PDINX	614.251	5,087.01	4,778.87	-308.14	Long Term
07/05/13	Multiple	SELL Average Cost (Using First In First Out)	PUTNAM DIVERSIFIED I-T FUND CLASS A Security Identifier: PDINX	2,390.557	19,797.77	18,598.54	-1,199.23	Long Term
12/18/13	Multiple	SELL Average Cost (Using First In First Out)	PUTNAM DIVERSIFIED I-T FUND CLASS A Security Identifier: PDINX	27.823	230.42	217.58	-12.84	Long Term

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12/19/13	Multiple	SELL Average Cost (Using First In First Out)	PUTNAM DIVERSIFIED INT FUND CLASS A Security Identifier: PDINX	3,004.808	24,884.78	23,497.59	-1,387.19	Long Term
12/19/13	Multiple	SELL Average Cost (Using First In First Out)	PUTNAM HIGH-YIELD AD ASS A Security Identifier: PHYX	512.295	2,499.85	3,165.98	666.13	Long Term
12/19/13	Multiple	SELL Average Cost (Using First In First Out)	PUTNAM HIGH-YIELD AD ASS A Security Identifier: PHYX	3.767	18.39	23.28	4.89	Long Term
12/19/13	Multiple	SELL Average Cost (Using First In First Out)	PUTNAM HIGH-YIELD AD ASS A Security Identifier: PHYX	3.641	17.77	22.50	4.73	Long Term
12/19/13	Multiple	SELL Average Cost (Using First In First Out)	PUTNAM HIGH-YIELD AD ASS A Security Identifier: PHYX	15,253.168	96,705.08	94,264.58	-2,440.50	Long Term
<b>Total Short-Term</b>					<b>\$297,277.53</b>	<b>\$295,812.47</b>	<b>-\$1,465.06</b>	
<b>Total Long Term</b>					<b>\$1,404,510.86</b>	<b>\$1,388,476.85</b>	<b>-\$16,034.01</b>	
<b>Total Short-Term and Long Term</b>					<b>\$1,701,788.39</b>	<b>\$1,684,289.32</b>	<b>-\$17,499.07</b>	

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GENERAL ELECTRIC COMPANY; GE	150.0000	05/30/13	08/15/13	\$3,588.44	\$3,553.00	\$35.44
Security Subtotal				\$3,588.44	\$3,553.00	\$35.44
IMMUNOGEN INC; IMGN	225.0000	08/15/13	11/05/13	\$2,979.45	\$3,705.70	(\$726.25)
Security Subtotal				\$2,979.45	\$3,705.70	(\$726.25)
MC CORMICK & CO INC N-VTON VOTING SHARES; MKC	70.0000	05/31/13	08/22/13	\$4,917.63	\$4,909.58	\$8.05
MC CORMICK & CO INC N-VTON VOTING SHARES; MKC	350.0000	06/26/13	08/29/13	\$23,490.34	\$25,103.95	(\$1,613.61)
Security Subtotal				\$28,407.97	\$30,013.53	(\$1,605.56)
PFIZER INCORPORATED; PFE	175.0000	08/03/13	08/15/13	\$5,038.49	\$4,787.68	\$250.81
Security Subtotal				\$5,038.49	\$4,787.68	\$250.81
STARBUCKS CORP; SBUX	325.0000	07/18/13	12/04/13	\$26,000.35	\$22,265.92	\$3,734.43
Security Subtotal				\$26,000.35	\$22,265.92	\$3,734.43
THE WHITEWAVE FOODS CO; WWAV	50.0000	08/15/13	09/16/13	\$989.69	\$957.74	\$31.95
THE WHITEWAVE FOODS CO; WWAV	100.0000	08/15/13	09/16/13	\$1,939.59	\$1,915.48	\$24.11

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**2013 Year-End Schwab Gain/Loss Report**

**Realized Gain or (Loss) (continued)**

Short-Term (Continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
THE WHITEWAVE FOODS CO: WWAV	100.0000	08/15/13	09/16/13	\$1,939.39	\$1,915.48	\$23.91
<b>Security Subtotal</b>				<b>\$4,848.67</b>	<b>\$4,788.70</b>	<b>\$59.97</b>
VANGUARD HIGH YIELD CORP FUND INVESTOR SHARE: VWEHX	6,059.1620	multiple	06/21/13	\$35,991.42	\$35,953.47	\$37.95
VANGUARD HIGH YIELD CORP FUND INVESTOR SHARE: VWEHX	5,000.0000	04/04/13	06/24/13	\$29,400.00	\$29,668.68	(\$268.68)
VANGUARD HIGH YIELD CORP FUND INVESTOR SHARE: VWEHX	6,000.0000	04/04/13	07/01/13	\$35,520.00	\$35,602.42	(\$82.42)
VANGUARD HIGH YIELD CORP FUND INVESTOR SHARE: VWEHX	4,000.0000	04/04/13	07/10/13	\$23,640.00	\$23,734.94	(\$94.94)
<b>Security Subtotal</b>				<b>\$124,551.42</b>	<b>\$124,959.51</b>	<b>(\$408.09)</b>
<b>Total Short-Term</b>				<b>\$195,414.79</b>	<b>\$194,074.04</b>	<b>\$1,340.75</b>

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ABERDEEN GLOBAL HIGH INCM FD:GLA BJHX	4,376.5150	multiple	06/25/13	\$45,428.29	\$47,840.04	(\$2,411.81)

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ABERDEEN GLOBAL HIGH INCM FD CL A: BJBHX	5,000.0000	multiple	11/21/13	\$53,300.00	\$54,606.38	(\$1,306.38)
<b>Security Subtotal</b>				<b>\$98,728.23</b>	<b>\$102,446.42</b>	<b>(\$3,718.19)</b>
FEDERATED HIGH YIELD TRUST SS: FHYTX	3,599.3190	05/04/09	06/20/13	\$23,287.59	\$15,765.02	\$7,522.57
<b>Security Subtotal</b>				<b>\$23,287.59</b>	<b>\$15,765.02</b>	<b>\$7,522.57</b>
FIDELITY CAPITAL & INCM FD: FAGIX	1,000.0000	05/11/09	05/21/13	\$9,920.00	\$6,398.44	\$3,521.56
FIDELITY CAPITAL & INCM FD: FAGIX	3,000.0000	05/11/09	06/20/13	\$28,500.00	\$19,195.33	\$9,304.67
<b>Security Subtotal</b>				<b>\$38,420.00</b>	<b>\$25,593.77</b>	<b>\$12,826.23</b>
JANUS HIGH YIELD FD CL T: JAHYX	2,000.0000	06/11/09	06/17/13	\$18,620.00	\$16,474.44	\$2,145.56
JANUS HIGH YIELD FD CL T: JAHYX	1,926.0160	multiple	06/20/13	\$17,700.09	\$15,865.02	\$1,835.07
JANUS HIGH YIELD FD CL T: JAHYX	2,000.0000	12/16/08	06/21/13	\$18,320.00	\$16,474.44	\$1,845.56
<b>Security Subtotal</b>				<b>\$54,640.09</b>	<b>\$48,813.90</b>	<b>\$5,826.19</b>
KAYNE ANDERSON ENERGY FDI TOTAL RETURN FUND: KYE	350.0000	05/13/11	08/13/13	\$10,219.97	\$9,969.60	\$250.37
<b>Security Subtotal</b>				<b>\$10,219.97</b>	<b>\$9,969.60</b>	<b>\$250.37</b>

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Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
LOOMIS SAYLES BOND FUND CL R:LSBRX	576.5630	04/02/09	06/20/13	\$8,602.32	\$5,904.00	\$2,698.32
Security Subtotal				\$8,602.32	\$5,904.00	\$2,698.32
MARKET VECTORS NEW ETF JUNIOR GOLD MINERS: GDXJ	0.2500	11/11/10	07/03/13	\$9.01	\$40.71	(\$31.70)
Security Subtotal				\$9.01	\$40.71	(\$31.70)
PAX WORLD HIGH YIELD BD FD INDIVIDUAL INV CL: PAXHX	4,000.0000	multiple	06/19/13	\$30,160.00	\$30,822.10	(\$662.10)
PAX WORLD HIGH YIELD BD FD INDIVIDUAL INV CL: PAXHX	6,329.1140	multiple	07/17/13	\$47,468.38	\$48,769.15	(\$1,300.79)
Security Subtotal				\$77,628.38	\$79,591.25	(\$1,962.89)
T. ROWE PRICE EMERGING MARKETS BOND FUND: PREM	2,844.4010	multiple	06/24/13	\$35,213.68	\$36,240.48	(\$1,026.80)
T. ROWE PRICE EMERGING MARKETS BOND FUND: PREM	5,000.0000	multiple	11/29/13	\$62,350.00	\$63,733.39	(\$1,383.39)
Security Subtotal				\$97,563.68	\$99,973.87	(\$2,410.19)
T. ROWE PRICE HIGH YIELD FUND: PRHYX	3,000.0000	multiple	06/17/13	\$21,240.00	\$19,104.00	\$2,136.00
T. ROWE PRICE HIGH YIELD FUND: PRHYX	4,156.8940	04/15/10	06/20/13	\$29,055.29	\$26,469.84	\$2,585.45

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
T ROWE PRICE HIGH YIELD FUND: PRHYX	7,000.0000	multiple	12/04/13	\$50,050.00	\$44,908.81	-\$5,141.19
<b>Security Subtotal</b>				<b>\$100,345.29</b>	<b>\$90,482.65</b>	<b>\$9,862.64</b>
VANGUARD HIGH YIELD CORP FUND INVESTOR SHARE: VVWEHX	2,415.4580	03/09/09	06/18/13	\$14,589.37	\$14,332.69	\$256.68
VANGUARD HIGH YIELD CORP FUND INVESTOR SHARE: VVWEHX	0.0010	03/09/09	06/21/13	\$0.01	\$0.01	\$0.00
<b>Security Subtotal</b>				<b>\$14,589.38</b>	<b>\$14,332.70</b>	<b>\$256.68</b>
<b>Total Long-Term</b>				<b>\$524,033.92</b>	<b>\$492,913.89</b>	<b>\$31,120.03</b>
<b>Total Realized Gain or (Loss)</b>				<b>\$719,448.71</b>	<b>\$686,987.93</b>	<b>\$32,460.78</b>