See a Social Security Number? Say Something!
Report Privacy Problems to https://public.resource.org/privacy
Or call the IRS Identity Theft Hotline at 1-800-908-4490

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491231002024

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
The Neels Family Foundation Inc

% BARBARA NEELS

Number and street (or P O box number if mail is not delivered to street address)
264 RED OAK LANE
Suite

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
CARMEL, IN 46033

A Employer identification number
20-3280615

B Telephone number (see instructions)
(317) 989-7122

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 3,193,145

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	260,438			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	55,811	52,894		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	56,917			
	b	Gross sales price for all assets on line 6a 737,673				
	7	Capital gain net income (from Part IV, line 2)		56,917		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	373,166	109,811		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	6,000			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	3,250	0	0	3,250
	c	Other professional fees (attach schedule)	12,251	12,220		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	3,936	1,923		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	25,437	14,143	0	3,250
	25	Contributions, gifts, grants paid	112,000			112,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	137,437	14,143	0	115,250
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	235,729			
	b	**Net investment income** (if negative, enter -0-)		95,668		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	82,998	226,836	226,836
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,804,889	1,861,444	2,497,656
	c	Investments—corporate bonds (attach schedule)	444,036	479,372	468,653
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,331,923	2,567,652	3,193,145
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,331,923	2,567,652	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,331,923	2,567,652	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,331,923	2,567,652	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,331,923
2	Enter amount from Part I, line 27a	2	235,729
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,567,652
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,567,652

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	OXFORD A/C 9706 - SEE ATTACHED	P		2013-12-31
b	OXFORD A/C 9706 - SEE ATTACHED	P		2013-12-31
c	OXFORD A/C 9706 - SEE ATTACHED	P		2013-12-31
d	12,612 940 SHS EATON VANCE GLOBAL MACRO	P		2013-12-31
e	CAPITAL GAIN DIVIDENDS	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	90,536		87,606	2,930
b	188,524		164,495	24,029
c	311,143		298,324	12,819
d	118,814		130,331	-11,517
e				28,656

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				2,930
b				24,029
c				12,819
d				-11,517
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	56,917
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	125,568	2,435,207	0 051564
2011	110,248	2,433,460	0 045305
2010	97,503	2,295,802	0 04247
2009	124,271	2,027,062	0 061306
2008	126,985	2,391,238	0 053104

2	**Total** of line 1, column (d).	2	0 253749
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 05075
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,602,597
5	Multiply line 4 by line 3.	5	132,082
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	957
7	Add lines 5 and 6.	7	133,039
8	Enter qualifying distributions from Part XII, line 4.	8	115,250

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,913
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	1,913
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,913
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,360		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,360
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	553
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶None

14 The books are in care of ▶BARBARA NEELS Telephone no ▶(317) 989-7122

Located at ▶264 RED OAK LANE Carmel IN ZIP+4 ▶46033

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Guido J Neels 7916 DEAN ROAD INDIANAPOLIS,IN 46240	PRE/TREAS/DIR 1 0	0	0	0
BRIGITTE E NEELS 7916 DEAN ROAD INDIANAPOLIS,IN 46240	SECRETARY/DIRECTOR 1 0	0	0	0
BARBARA A NEELS 264 RED OAK LANE CARMEL,IN 46032	DIRECTOR 1 0	6,000	0	0
SARAH M NEELS 7916 DEAN ROAD INDIANAPOLIS,IN 46240	DIRECTOR 1 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,493,341
b	Average of monthly cash balances	1b	148,889
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,642,230
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,642,230
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	39,633
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,602,597
6	**Minimum investment return.** Enter 5% of line 5	6	130,130

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	130,130
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,913		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,913
3	Distributable amount before adjustments Subtract line 2c from line 1			3	128,217
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	128,217
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	128,217

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	115,250
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	115,250
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	115,250

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				128,217
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			111,461	
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009. 0				
c From 2010. 0				
d From 2011. 0				
e From 2012. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 115,250				
a Applied to 2012, but not more than line 2a			111,461	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				3,789
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				124,428
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . . 0				
c Excess from 2011. . . . 0				
d Excess from 2012. . . . 0				
e Excess from 2013. . . . 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

GUIDO J AND BRIGITTE E NEELS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHRISTEL HOUSE INTERNATIONAL INC SUITE 1990 10 WEST MARKET STREET INDIANAPOLIS,IN 46204	N/A	PC	SUPPORT EDUCATION PROGRAMS	87,000
THE RESOURCE FOUNDATION 158 WEST 27TH STREET 10TH FLOOR NEW YORK,NY 10001	N/A	PC	SUPPORT PROGRAMS THAT HELP INCREASE SELF-RELIANCE & LIVING STANDARDS IN LATIN AMERICA	15,000
DOCTORS WITHOUT BORDERS USA 333 7TH AVENUE 2ND FLOOR NEW YORK,NY 100015004	N/A	PC	SUPPORT MEDICAL RELIEF PROGRAMS	10,000
Total . . . . ▶ **3a**				112,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	55,811	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	56,917	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				112,728	

13 Total. Add line 12, columns (b), (d), and (e) **13** 112,728

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		No
	(2) Other assets.	**1a(2)**		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
	(4) Reimbursement arrangements.	**1b(4)**		No
	(5) Loans or loan guarantees.	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-05-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
HOLLY M PANTZER				
Firm's name ▶	BKD LLP		Firm's EIN ▶	
Firm's address ▶	201 N Illinois Street Indianapolis, IN 46204		Phone no (317) 383-4000	

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491231002024

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	**Schedule of Contributors** ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.	OMB No 1545-0047 **2013**

Name of the organization	Employer identification number
The Neels Family Foundation Inc	20-3280615

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
The Neels Family Foundation Inc

Employer identification number
20-3280615

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Guido Neels 7916 Dean Road Indianapolis, IN46240	$ 254,269	Person ☑ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
2	Guido Neels 7916 Dean Road Indianapolis, IN46240	$ 6,169	Person ☑ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
The Neels Family Foundation Inc

Employer identification number
20-3280615

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	8,777 SHS AMERICAN FUNDS EUROPACIFIC GROWTH FUND	$ 428,318	2013-12-23
2	1,000 SHS STARBUCKS CORP	$ 78,320	2013-12-31
		$	
		$	
		$	
		$	

Name of organization	**Employer identification number**
The Neels Family Foundation Inc	20-3280615

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: The Neels Family Foundation Inc

EIN: 20-3280615

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BKD, LLP	3,250			3,250

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: The Neels Family Foundation Inc

EIN: 20-3280615

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included

TY 2013 Investments Corporate Bonds Schedule

Name: The Neels Family Foundation Inc

EIN: 20-3280615

Name of Bond	End of Year Book Value	End of Year Fair Market Value
OXFORD #29706	0	0
FIDELITY #26078	479,372	468,653

TY 2013 Investments Corporate Stock Schedule

Name: The Neels Family Foundation Inc

EIN: 20-3280615

Name of Stock	End of Year Book Value	End of Year Fair Market Value
OXFORD #29706	0	0
OXFORD #50290	0	0
FIDELITY #26078	1,670,063	2,153,332
FIDELITY #27691	191,381	344,324

TY 2013 Investments - Land Schedule

Name: The Neels Family Foundation Inc

EIN: 20-3280615

TY 2013 Land, Etc. Schedule

Name: The Neels Family Foundation Inc

EIN: 20-3280615

TY 2013 Other Professional Fees Schedule

Name: The Neels Family Foundation Inc

EIN: 20-3280615

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY SERVICES	12,251	12,220		

TY 2013 Taxes Schedule

Name: The Neels Family Foundation Inc

EIN: 20-3280615

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX PAYMENT	2,013			
FOREIGN TAXES	1,923	1,923		

-

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number:	**1050029706**
Recipient's Tax ID Number:	**20-3280615**
Payer's Federal ID Number:	**35-2023116**
Payer's State ID Number:	**0139261079**
State:	**IN**
Questions?	**(317)805-5082**

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
Short Term Sales Reported on 1099-B								
002824100	ABBOTT LABS		ABT					
34 0000	09/25/2013	Various	1,147 14	1,192 95	0 00	-45 81	0 00	0 00
002824100	ABBOTT LABS		ABT					
12.0000	09/25/2013	Various	407 51	435 84	0 00	-28 33	0 00	0 00
002824100	ABBOTT LABS		ABT					
22 0000	09/27/2013	Various	732 39	802 84	0 00	-70 45	0 00	0 00
015351109	ALEXION PHARMACEUTICALS INC COM		ALXN					
6 0000	07/12/2013	04/03/2013	693 01	591 96	0 00	101 05	0 00	0 00
018490102	ALLERGAN INC COM		AGN					
5 0000	05/21/2013	10/31/2012	491 64	444 14	0 00	47 50	0 00	0 00
018490102	ALLERGAN INC COM		AGN					
5 0000	05/22/2013	10/31/2012	493 30	444 14	0 00	49 16	0 00	0 00
018490102	ALLERGAN INC COM		AGN					
7 0000	05/23/2013	Various	682 56	633 89	0 00	48 67	0 00	0 00
04685W103	ATHENA HEALTH INC COM		ATHN					
4 0000	11/26/2013	07/17/2013	511 47	457 32	0 00	54 15	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number:	**1050029706**
Recipient's Tax ID Number:	**20-3280615**
Payer's Federal ID Number:	**35-2023116**
Payer's State ID Number:	**0139261079**
State:	**IN**
Questions?	**(317)805-5082**
☐ **Corrected**	☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
04685W103	ATHENA HEALTH INC COM		ATHN					
4 0000	11/28/2013	07/19/2013	517 69	442 55	0 00	75 14	0 00	0 00
056752108	BAIDU INC COM		BIDU					
5 0000	04/16/2013	01/11/2013	451 79	563 85	0 00	-112 06	0 00	0 00
056752108	BAIDU INC COM		BIDU					
14 0000	04/26/2013	Various	1,189 97	1,561 66	0 00	-371 69	0 00	0 00
086516101	BEST BUY INC COM		BBY					
24.0000	08/20/2013	04/04/2013	812 87	590 82	0 00	222 05	0 00	0 00
086516101	BEST BUY INC COM		BBY					
20 0000	10/16/2013	Various	834 43	499 71	0 00	334 72	0 00	0 00
086516101	BEST BUY INC COM		BBY					
17 0000	10/25/2013	Various	737 01	431 57	0 00	305 44	0 00	0 00
086516101	BEST BUY INC COM		BBY					
24 0000	11/19/2013	04/08/2013	949 42	616 96	0 00	332 46	0 00	0 00
09247X101	BLACKROCK INC COM		BLK					
3 0000	02/28/2013	05/23/2012	724 31	485 22	0 00	239 09	0 00	0 00
172967424	CITIGROUP INC COM COM NEW		C					
32 0000	04/03/2013	Various	1,363 89	1,519 06	0 00	-155 17	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

-

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number:	**1050029706**
Recipient's Tax ID Number:	**20-3280615**
Payer's Federal ID Number:	**35-2023116**
Payer's State ID Number:	**0139261079**
State:	**IN**
Questions?	**(317)805-5082**

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip	Description *(Box 8)*		Stock or Other Symbol *(Box 1d)*					
Quantity Sold *(Box 1e)*	Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
189754104	COACH INC COM		COH					
10 0000	01/03/2013	01/17/2012	554 89	622 09	0 00	-67 20	0 00	0 00
189754104	COACH INC COM		COH					
7 0000	01/10/2013	Various	400 67	481 68	0 00	-81 01	0 00	0 00
189754104	COACH INC COM		COH					
18 0000	01/23/2013	Various	938 50	1,177 53	0 00	-239 03	0 00	0 00
G2554F113	COVIDIEN PLC COM COVIDIEN PLC		COV					
9.0000	03/22/2013	05/11/2012	599 34	499 95	0 00	99 39	0 00	0 00
G2554F113	COVIDIEN PLC COM COVIDIEN PLC		COV					
10 0000	04/26/2013	Various	619 89	549 46	0 00	70 43	0 00	0 00
G2554F113	COVIDIEN PLC COM COVIDIEN PLC		COV					
11 0000	04/26/2013	Various	684 58	594 86	0 00	89 72	0 00	0 00
G2554F113	COVIDIEN PLC COM COVIDIEN PLC		COV					
14 0000	04/29/2013	Various	881 10	742 26	0 00	138 84	0 00	0 00
12646R105	CST BRANDS COM		CST					
7780	05/03/2013	04/10/2013	23 79	25 93	0 00	-2 14	0 00	0 00
12646R105	CST BRANDS COM		CST					
5 0000	05/15/2013	Various	165 25	162 24	0 00	3 01	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number:	**1050029706**
Recipient's Tax ID Number:	**20-3280615**
Payer's Federal ID Number:	**35-2023116**
Payer's State ID Number:	**0139261079**
State:	**IN**
Questions?	**(317)805-5082**

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
126650100	CVS CORP COM		CVS					
10 0000	01/22/2013	02/24/2012	521 32	440 10	0 00	81 22	0 00	0 00
126650100	CVS CORP COM		CVS					
4 0000	01/24/2013	02/24/2012	209 79	176 04	0 00	33 75	0 00	0 00
247361702	DELTA AIR LINES INC DEL COM		DAL					
33 0000	08/13/2013	01/16/2013	638 57	457 91	0 00	180 66	0 00	0 00
247361702	DELTA AIR LINES INC DEL COM		DAL					
25.0000	10/22/2013	Various	637 24	346 28	0 00	290 96	0 00	0 00
278642103	EBAY INC COM		EBAY					
5 0000	03/13/2013	04/19/2012	256 07	204 29	0 00	51 78	0 00	0 00
26875P101	EOG RES INC COM		EOG					
3 0000	12/02/2013	10/08/2013	494 92	512 99	0 00	-18 07	0 00	0 00
26875P101	EOG RES INC COM		EOG					
9 0000	12/09/2013	Various	1,415 90	1,538 16	0 00	-122 26	0 00	0 00
29444U502	EQUINIX INC COM		EQIX					
3 0000	08/02/2013	04/10/2013	530 02	648 04	0 00	-118 02	0 00	0 00
29444U502	EQUINIX INC COM		EQIX					
8 0000	08/15/2013	Various	1,335 57	1,651 60	0 00	-316 02	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

-

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
315616102	F5 NETWORKS INC COM		FFIV					
26 0000	11/04/2013	Various	2,088 02	2,319 85	0 00	-231 83	0 00	0 00
30303M102	FACEBOOK, INC		FB					
22 0000	05/15/2013	10/25/2012	583 26	502 03	0 00	81 23	0 00	0 00
30303M102	FACEBOOK, INC		FB					
13 0000	05/20/2013	10/25/2012	335 04	296 66	0 00	38 38	0 00	0 00
30303M102	FACEBOOK, INC		FB					
26.0000	05/22/2013	Various	655 51	568 43	0 00	87 08	0 00	0 00
30303M102	FACEBOOK, INC		FB					
18 0000	05/24/2013	11/14/2012	439 19	387 18	0 00	52 01	0 00	0 00
30303M102	FACEBOOK, INC		FB					
28 0000	05/24/2013	Various	675 42	700 72	0 00	-25 29	0 00	0 00
30303M102	FACEBOOK, INC		FB					
17 0000	05/24/2013	Various	414 11	369 44	0 00	44 67	0 00	0 00
30303M102	FACEBOOK, INC		FB					
16 0000	11/04/2013	07/25/2013	778 78	525 75	0 00	253 03	0 00	0 00
31428X106	FEDEX CORP COM		FDX					
10 0000	05/28/2013	Various	969 19	1,051 14	0 00	-81 95	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
31428X106	FEDEX CORP COM		FDX					
5 0000	05/30/2013	Various	482 59	530 78	0 00	-48 19	0 00	0 00
31428X106	FEDEX CORP COM		FDX					
7 0000	05/31/2013	Various	680 96	752 70	0 00	-71 74	0 00	0 00
30249U101	FMC TECHNOLOGIES INC COM		FTI					
11 0000	10/31/2013	01/23/2013	562 25	511 61	0 00	50 64	0 00	0 00
375558103	GILEAD SCIENCES INC COM		GILD					
8.0000	05/17/2013	10/02/2012	443 12	276 04	0 00	167 08	0 00	0 00
37733W105	GLAXO SMITHKLINE PLC ADR		GSK					
14 0000	06/04/2013	Various	722 25	616 44	0 00	105 81	0 00	0 00
37733W105	GLAXO SMITHKLINE PLC ADR		GSK					
13 0000	06/05/2013	Various	668 08	576 98	0 00	91 10	0 00	0 00
37733W105	GLAXO SMITHKLINE PLC ADR		GSK					
17 0000	06/06/2013	Various	867 02	751 55	0 00	115 47	0 00	0 00
406216101	HALLIBURTON CO COM		HAL					
24 0000	03/14/2013	02/14/2013	1,004 76	1,047 56	0 00	-42 80	0 00	0 00
406216101	HALLIBURTON CO COM		HAL					
15 0000	03/19/2013	Various	583 52	638 04	0 00	-54 52	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Ref: 880

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
40412C101	HCA HOLDINGS COM		HCA					
14 0000	03/15/2013	11/07/2012	535 40	468 89	0 00	66 51	0 00	0 00
40412C101	HCA HOLDINGS COM		HCA					
13 0000	03/27/2013	11/07/2012	526 14	435 40	0 00	90 74	0 00	0 00
40412C101	HCA HOLDINGS COM		HCA					
9 0000	04/03/2013	Various	344 60	290 60	0 00	54 00	0 00	0 00
40412C101	HCA HOLDINGS COM		HCA					
28.0000	04/05/2013	Various	1,042 85	872 33	0 00	170 52	0 00	0 00
437076102	HOME DEPOT INC COM		HD					
13 0000	08/15/2013	Various	984 62	801 69	0 00	182 93	0 00	0 00
437076102	HOME DEPOT INC COM		HD					
7 0000	09/03/2013	Various	522 85	430 27	0 00	92 58	0 00	0 00
437076102	HOME DEPOT INC COM		HD					
8 0000	09/04/2013	Various	594 07	490 06	0 00	104 01	0 00	0 00
437076102	HOME DEPOT INC COM		HD					
16 0000	09/05/2013	Various	1,177 72	1,009 51	0 00	168 21	0 00	0 00
459200101	INTERNATIONAL BUSINESS MACHINES COM		IBM					
3 0000	04/19/2013	05/16/2012	578 53	600 03	0 00	-21 50	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Ref: 880

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number:	**1050029706**
Recipient's Tax ID Number:	**20-3280615**
Payer's Federal ID Number:	**35-2023116**
Payer's State ID Number:	**0139261079**
State:	**IN**
Questions?	**(317)805-5082**

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS ıs Sales Prıce of stocks, bonds etc , less commıssıons and optıon premıums
Covered (Box 6a is not checked)

Cusıp / Quantıty Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquısıtıon *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basıs *(Box 3)*	Wash Sale Loss Dısallowed *(Box 5)*	Net Gaın or Loss	Federal Income Tax Wıthheld *(Box 4)*	State Tax Wıthheld *(Box 15)*
459200101	INTERNATIONAL BUSINESS MACHINES COM		IBM					
8 0000	04/19/2013	Varıous	1,550 01	1,589 56	0 00	-39 54	0 00	0 00
459200101	INTERNATIONAL BUSINESS MACHINES COM		IBM					
5 0000	04/22/2013	Varıous	946 96	978 98	0 00	-32 02	0 00	0 00
459200101	INTERNATIONAL BUSINESS MACHINES COM		IBM					
3 0000	06/13/2013	06/25/2012	604 03	575 83	0 00	28 20	0 00	0 00
459200101	INTERNATIONAL BUSINESS MACHINES COM		IBM					
2.0000	06/20/2013	07/19/2012	395 90	389 95	0 00	5 95	0 00	0 00
459200101	INTERNATIONAL BUSINESS MACHINES COM		IBM					
3 0000	07/10/2013	Varıous	577 86	576 24	0 00	1 62	0 00	0 00
459200101	INTERNATIONAL BUSINESS MACHINES COM		IBM					
3 0000	07/11/2013	Varıous	581 37	570 36	0 00	11 01	0 00	0 00
459200101	INTERNATIONAL BUSINESS MACHINES COM		IBM					
2 0000	07/19/2013	03/21/2013	388 58	423 48	0 00	-34 90	0 00	0 00
459200101	INTERNATIONAL BUSINESS MACHINES COM		IBM					
4 0000	07/22/2013	03/21/2013	778 36	846 97	0 00	-68 61	0 00	0 00
46120E602	INTUITIVE SURGICAL COM		ISRG					
2 0000	07/25/2013	10/17/2012	746 06	1,063 76	0 00	-317 70	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number:	**1050029706**
Recipient's Tax ID Number:	**20-3280615**
Payer's Federal ID Number:	**35-2023116**
Payer's State ID Number:	**0139261079**
State:	**IN**
Questions?	**(317)805-5082**
☐ **Corrected**	☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS ıs Sales Prıce of stocks, bonds etc , less commıssıons and optıon premıums
Covered (Box 6a is not checked)

Cusıp / Quantıty Sold *(Box 1e)*	Descriptıon *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquısıtıon *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basıs *(Box 3)*	Wash Sale Loss Dısallowed *(Box 5)*	Net Gaın or Loss	Federal Income Tax Wıthheld *(Box 4)*	State Tax Wıthheld *(Box 15)*
46120E602	INTUITIVE SURGICAL COM		ISRG					
1 0000	07/30/2013	06/06/2013	391 97	501 45	0 00	-109 48	0 00	0 00
46120E602	INTUITIVE SURGICAL COM		ISRG					
1 0000	07/31/2013	06/06/2013	391 11	501 44	0 00	-110 33	0 00	0 00
46625H100	J P MORGAN CHASE & CO		JPM					
24 0000	09/25/2013	Varıous	1,220 00	1,260 04	0 00	-40 04	0 00	0 00
46625H100	J P MORGAN CHASE & CO		JPM					
19.0000	10/02/2013	Varıous	987 80	978 18	0 00	9 62	0 00	0 00
526057104	LENNAR CORP COM		LEN					
18 0000	06/18/2013	Varıous	699 28	682 12	0 00	17 16	0 00	0 00
526057104	LENNAR CORP COM		LEN					
11 0000	06/21/2013	Varıous	369 71	428 18	0 00	-58 47	0 00	0 00
526057104	LENNAR CORP COM		LEN					
12 0000	06/25/2013	Varıous	430 34	462 08	0 00	-31 74	0 00	0 00
550021109	LULULEMON ATHLETICA INC COM		LULU					
21 0000	11/15/2013	Varıous	1,391 02	1,491 46	0 00	-100 44	0 00	0 00
57636Q104	MASTERCARD INCORPORATE COM		MA					
1 0000	05/21/2013	07/27/2012	586 66	433 78	0 00	152 88	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number:	**1050029706**
Recipient's Tax ID Number:	**20-3280615**
Payer's Federal ID Number:	**35-2023116**
Payer's State ID Number:	**0139261079**
State:	**IN**
Questions?	**(317)805-5082**

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip | Description *(Box 8)* | Stock or Other Symbol *(Box 1d)*

Quantity Sold *(Box 1e)*	Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
580645109	MCGRAW-HILL COMPANIES INC COM		MHFI					
14 0000	02/05/2013	Various	658 04	783 51	0 00	-125 46	0 00	0 00
580645109	MCGRAW-HILL COMPANIES INC COM		MHFI					
22 0000	02/06/2013	Various	979 40	1,211 75	0 00	-232 35	0 00	0 00
G60754101	MICHAEL KORS HOLDINGS COM		KORS					
9 0000	01/11/2013	Various	472 66	416 65	0 00	56 01	0 00	0 00
G60754101	MICHAEL KORS HOLDINGS COM		KORS					
11.0000	02/04/2013	Various	605 05	453 26	0 00	151 79	0 00	0 00
G60754101	MICHAEL KORS HOLDINGS COM		KORS					
8 0000	02/05/2013	06/26/2012	440 23	343 35	0 00	96 88	0 00	0 00
G60754101	MICHAEL KORS HOLDINGS COM		KORS					
9 0000	10/29/2013	Various	697 31	670 59	0 00	26 72	0 00	0 00
G60754101	MICHAEL KORS HOLDINGS COM		KORS					
10 0000	10/30/2013	Various	779 89	755 39	0 00	24 50	0 00	0 00
G60754101	MICHAEL KORS HOLDINGS COM		KORS					
7 0000	10/31/2013	09/17/2013	540 04	522 86	0 00	17 18	0 00	0 00
65249B109	NEWS CORPORATION COM		NWSA					
24 0000	07/09/2013	Various	380 87	3 18	0 00	377 69	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
65249B109	NEWS CORPORATION COM		NWSA					
5000	07/22/2013	06/11/2013	7 89	0 07	0 00	7 82	0 00	0 00
N6596X109	NXP SEMICONDUCTORS COM NV		NXPI					
13 0000	07/25/2013	01/11/2013	435 10	374 38	0 00	60 72	0 00	0 00
N6596X109	NXP SEMICONDUCTORS COM NV		NXPI					
18 0000	10/25/2013	Various	715 66	529 27	0 00	186 39	0 00	0 00
68389X105	ORACLE CORP COM		ORCL					
39.0000	03/21/2013	Various	1,267 08	1,414 98	0 00	-147 90	0 00	0 00
68389X105	ORACLE CORP COM		ORCL					
20 0000	03/22/2013	03/18/2013	636 19	725 90	0 00	-89 71	0 00	0 00
68389X105	ORACLE CORP COM		ORCL					
58 0000	06/21/2013	Various	1,762 59	1,949 48	0 00	-186 89	0 00	0 00
723787107	PIONEER NATURAL RESOURCES CO COM		PXD					
8 0000	11/07/2013	Various	1,512 32	1,102 69	0 00	409 63	0 00	0 00
723787107	PIONEER NATURAL RESOURCES CO COM		PXD					
7 0000	11/08/2013	07/09/2013	1,340 50	1,071 02	0 00	269 48	0 00	0 00
75281A109	RANGE RES CORP COM		RRC					
7 0000	01/03/2013	05/09/2012	442 75	471 59	0 00	-28 84	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Ref: 880

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
75281A109	RANGE RES CORP COM		RRC					
7 0000	01/11/2013	Various	445 38	446 50	0 00	-1 12	0 00	0 00
75281A109	RANGE RES CORP COM		RRC					
10 0000	04/17/2013	Various	731 17	551 52	0 00	179 65	0 00	0 00
75281A109	RANGE RES CORP COM		RRC					
5 0000	04/17/2013	Various	359 95	304 28	0 00	55 67	0 00	0 00
75281A109	RANGE RES CORP COM		RRC					
15.0000	04/29/2013	Various	1,129 04	1,036 01	0 00	93 03	0 00	0 00
80105N105	SANOFI-AVENTIS SA SPONSORED ADR		SNY					
17 0000	08/21/2013	04/15/2013	860 52	915 75	0 00	-55 23	0 00	0 00
80105N105	SANOFI-AVENTIS SA SPONSORED ADR		SNY					
22 0000	08/28/2013	Various	1,077 77	1,194 09	0 00	-116 32	0 00	0 00
80105N105	SANOFI-AVENTIS SA SPONSORED ADR		SNY					
9 0000	08/29/2013	Various	441 35	487 40	0 00	-46 05	0 00	0 00
80105N105	SANOFI-AVENTIS SA SPONSORED ADR		SNY					
14 0000	09/03/2013	Various	659 30	737 81	0 00	-78 51	0 00	0 00
806857108	SCHLUMBERGER LTD COM		SLB					
3 0000	11/26/2013	08/14/2013	268 63	246 86	0 00	21 77	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number:	1050029706
Recipient's Tax ID Number:	20-3280615
Payer's Federal ID Number:	35-2023116
Payer's State ID Number:	0139261079
State:	IN
Questions?	(317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
88076W103	TERADATA CORP COM		TDC					
27 0000	04/04/2013	Various	1,402 04	1,811 02	0 00	-408 98	0 00	0 00
91324P102	UNITEDHEALTH GROUP INC COM		UNH					
7 0000	10/09/2013	10/25/2012	499 73	392 63	0 00	107 10	0 00	0 00
91913Y100	VALERO ENERGY CORP NEW COM		VLO					
11 0000	06/17/2013	04/10/2013	418 32	429 92	0 00	-11 60	0 00	0 00
91913Y100	VALERO ENERGY CORP NEW COM		VLO					
12.0000	06/19/2013	Various	456 59	457 81	0 00	-1 22	0 00	0 00
91913Y100	VALERO ENERGY CORP NEW COM		VLO					
17 0000	06/21/2013	Various	593 14	646 85	0 00	-53 71	0 00	0 00
91913Y100	VALERO ENERGY CORP NEW COM		VLO					
12 0000	06/25/2013	04/30/2013	419 18	451 00	0 00	-31 82	0 00	0 00
928563402	VMWARE INC CL A COM		VMW					
3 0000	01/29/2013	11/06/2012	233 50	275 87	0 00	-42 37	0 00	0 00
928563402	VMWARE INC CL A COM		VMW					
11 0000	01/30/2013	Various	855 13	1,006 53	0 00	-151 40	0 00	0 00
928563402	VMWARE INC CL A COM		VMW					
8 0000	01/31/2013	11/07/2012	623 43	722 28	0 00	-98 85	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
931422109	WALGREEN CO COM		WAG					
20 0000	07/01/2013	Various	889 79	1,005 74	0 00	-115 95	0 00	0 00
931422109	WALGREEN CO COM		WAG					
15 0000	07/05/2013	Various	659 25	755 76	0 00	-96 51	0 00	0 00
931422109	WALGREEN CO COM		WAG					
10 0000	07/09/2013	05/24/2013	457 38	504 69	0 00	-47 31	0 00	0 00
949746101	WELLS FARGO COMPANY		WFC					
57.0000	07/25/2013	Various	2,488 17	1,960 88	0 00	527 29	0 00	0 00
966837106	WHOLE FOODS MKT INC COM		WFM					
8 0000	07/19/2013	04/23/2013	447 15	351 96	0 00	95 19	0 00	0 00
966837106	WHOLE FOODS MKT INC COM		WFM					
8 0000	07/23/2013	04/23/2013	444 55	351 96	0 00	92 59	0 00	0 00
966837106	WHOLE FOODS MKT INC COM		WFM					
8 0000	07/31/2013	04/23/2013	447 11	351 96	0 00	95 15	0 00	0 00
969457100	WILLIAMS COS INC COM		WMB					
9 0000	02/14/2013	12/13/2012	320 30	283 76	0 00	36 54	0 00	0 00
969457100	WILLIAMS COS INC COM		WMB					
11 0000	02/15/2013	12/13/2012	384 94	346 81	0 00	38 13	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

-

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
969457100	WILLIAMS COS INC COM		WMB					
42 0000	03/01/2013	Various	1,433 16	1,321 79	0 00	111 37	0 00	0 00
988498101	YUM! BRANDS INC COM		YUM					
5 0000	08/29/2013	02/05/2013	354 69	309 38	0 00	45 31	0 00	0 00
988498101	YUM! BRANDS INC COM		YUM					
10 0000	09/03/2013	02/05/2013	701 65	618 77	0 00	82 88	0 00	0 00
988498101	YUM! BRANDS INC COM		YUM					
6.0000	09/04/2013	04/30/2013	415 87	407 77	0 00	8 10	0 00	0 00
Total Short Term Sales Reported on 1099-B			**90,536 43**	**87,606 71**	**0 00**	**2,929 76**	**0 00**	**0 00**

Report on Form 8949, Part I, with Box A checked

Long Term Sales Reported on 1099-B

Cusip / Quantity Sold	Description / Date of Sale or Exchange	Date of Acquisition	Symbol / Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
03027X100	AMERICAN TOWER CORPORATION REIT COM		AMT					
6 0000	01/03/2013	09/13/2011	461 93	315 47	0 00	146 46	0 00	0 00
03027X100	AMERICAN TOWER CORPORATION REIT COM		AMT					
3 0000	11/25/2013	09/13/2011	230 73	157 73	0 00	73 00	0 00	0 00
037833100	APPLE COMPUTER INC COM		AAPL					
1 0000	02/20/2013	09/13/2011	449 45	382 59	0 00	66 86	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
037833100	APPLE COMPUTER INC COM		AAPL					
2 0000	07/09/2013	09/13/2011	832 26	765 18	0 00	67 08	0 00	0 00
037833100	APPLE COMPUTER INC COM		AAPL					
1 0000	09/16/2013	09/13/2011	455 99	382 59	0 00	73 40	0 00	0 00
037833100	APPLE COMPUTER INC COM		AAPL					
1 0000	09/18/2013	09/13/2011	465 57	382 59	0 00	82 98	0 00	0 00
037833100	APPLE COMPUTER INC COM		AAPL					
1.0000	10/16/2013	09/13/2011	500 38	382 59	0 00	117 79	0 00	0 00
037833100	APPLE COMPUTER INC COM		AAPL					
1 0000	11/22/2013	09/13/2011	520 65	382 58	0 00	138 07	0 00	0 00
09062X103	BIOGEN IDEC COM		BIIB					
2 0000	04/24/2013	10/05/2011	418 66	195 00	0 00	223 66	0 00	0 00
09062X103	BIOGEN IDEC COM		BIIB					
2 0000	05/02/2013	10/06/2011	435 18	196 99	0 00	238 19	0 00	0 00
09062X103	BIOGEN IDEC COM		BIIB					
3 0000	05/10/2013	10/06/2011	636 28	295 49	0 00	340 79	0 00	0 00
09062X103	BIOGEN IDEC COM		BIIB					
3 0000	07/30/2013	10/06/2011	650 50	295 49	0 00	355 01	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

-

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
127097103	CABOT OIL & GAS CORP COM		COG					
32 0000	09/25/2013	Various	1,159 66	647 86	0 00	511 80	0 00	0 00
127097103	CABOT OIL & GAS CORP COM		COG					
34 0000	09/26/2013	06/28/2012	1,263 35	693 34	0 00	570 01	0 00	0 00
151020104	CELGENE CORPORATION COM		CELG					
2 0000	04/24/2013	09/21/2011	238 64	129 76	0 00	108 88	0 00	0 00
151020104	CELGENE CORPORATION COM		CELG					
2.0000	08/13/2013	09/21/2011	275 47	129 76	0 00	145 71	0 00	0 00
156782104	CERNER CORP COM		CERN					
7 0000	03/13/2013	09/13/2011	649 18	456 38	0 00	192 80	0 00	0 00
156782104	CERNER CORP COM		CERN					
4 0000	05/10/2013	09/13/2011	379 46	260 78	0 00	118 68	0 00	0 00
126650100	CVS CORP COM		CVS					
6 0000	09/19/2013	02/24/2012	360 56	264 06	0 00	96 50	0 00	0 00
235851102	DANAHER CORP COM		DHR					
13 0000	07/25/2013	09/13/2011	870 22	572 00	0 00	298 22	0 00	0 00
268648102	E M C CORP MASS COM		EMC					
15 0000	04/04/2013	09/13/2011	351 78	327 71	0 00	24 07	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Ref: 880

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number:	**1050029706**
Recipient's Tax ID Number:	**20-3280615**
Payer's Federal ID Number:	**35-2023116**
Payer's State ID Number:	**0139261079**
State:	**IN**
Questions?	**(317)805-5082**

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
268648102	E M C CORP MASS COM		EMC					
17 0000	04/09/2013	09/13/2011	390 69	371 41	0 00	19 28	0 00	0 00
268648102	E M C CORP MASS COM		EMC					
46 0000	04/10/2013	Various	1,071 33	1,004 18	0 00	67 15	0 00	0 00
278865100	ECOLAB INC		ECL					
9 0000	02/04/2013	09/13/2011	659 14	451 31	0 00	207 83	0 00	0 00
278865100	ECOLAB INC		ECL					
4.0000	05/02/2013	09/13/2011	336 38	200 58	0 00	135 80	0 00	0 00
278865100	ECOLAB INC		ECL					
5 0000	05/17/2013	09/13/2011	443 77	250 73	0 00	193 04	0 00	0 00
278865100	ECOLAB INC		ECL					
5 0000	06/04/2013	09/13/2011	423 17	250 72	0 00	172 45	0 00	0 00
28176E108	EDWARDS LIFESCIENCES CORP COM		EW					
8 0000	01/29/2013	09/13/2011	727 90	580 28	0 00	147 62	0 00	0 00
28176E108	EDWARDS LIFESCIENCES CORP COM		EW					
12 0000	02/06/2013	Various	1,013 39	849 50	0 00	163 89	0 00	0 00
30219G108	EXPRESS SCRIPTS COM		ESRX					
7 0000	03/06/2013	09/13/2011	407 71	308 76	0 00	98 95	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

-

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
30219G108	EXPRESS SCRIPTS COM		ESRX					
8 0000	06/05/2013	Various	493 58	357 67	0 00	135 91	0 00	0 00
30219G108	EXPRESS SCRIPTS COM		ESRX					
15 0000	08/08/2013	Various	994 00	707 55	0 00	286 45	0 00	0 00
30219G108	EXPRESS SCRIPTS COM		ESRX					
24 0000	08/09/2013	Various	1,569 12	1,297 18	0 00	271 94	0 00	0 00
343412102	FLUOR CORP COM		FLR					
45.0000	04/15/2013	09/13/2011	2,513 65	2,617 25	0 00	-103 60	0 00	0 00
30249U101	FMC TECHNOLOGIES INC COM		FTI					
15 0000	10/25/2013	09/13/2011	773 09	633 37	0 00	139 72	0 00	0 00
30249U101	FMC TECHNOLOGIES INC COM		FTI					
19 0000	10/31/2013	09/13/2011	971 17	802 26	0 00	168 91	0 00	0 00
354613101	FRANKLIN RES INC COM		BEN					
3 0000	05/23/2013	09/13/2011	483 08	339 76	0 00	143 32	0 00	0 00
354613101	FRANKLIN RES INC COM		BEN					
3 0000	06/12/2013	09/13/2011	432 06	339 76	0 00	92 30	0 00	0 00
354613101	FRANKLIN RES INC COM		BEN					
5 0000	07/08/2013	09/13/2011	686 20	566 26	0 00	119 94	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip	Description *(Box 8)*		Stock or Other Symbol *(Box 1d)*					
Quantity Sold *(Box 1e)*	Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
354613101	FRANKLIN RES INC COM		BEN					
4 0000	07/10/2013	09/13/2011	556 92	453 01	0 00	103 91	0 00	0 00
354613101	FRANKLIN RES INC COM		BEN					
3 0000	07/11/2013	09/13/2011	419 94	339 76	0 00	80 18	0 00	0 00
354613101	FRANKLIN RES INC COM		BEN					
3 0000	07/17/2013	09/13/2011	439 10	339 75	0 00	99 35	0 00	0 00
354613101	FRANKLIN RES INC COM		BEN					
5.0000	07/25/2013	09/13/2011	724 19	566 26	0 00	157 93	0 00	0 00
461202103	INTUIT COM		INTU					
9 0000	01/24/2013	09/13/2011	576 65	420 58	0 00	156 07	0 00	0 00
461202103	INTUIT COM		INTU					
6 0000	01/31/2013	09/13/2011	375 09	280 39	0 00	94 70	0 00	0 00
461202103	INTUIT COM		INTU					
6 0000	02/04/2013	09/13/2011	373 43	280 39	0 00	93 04	0 00	0 00
461202103	INTUIT COM		INTU					
17 0000	02/08/2013	09/13/2011	1,025 06	794 44	0 00	230 62	0 00	0 00
46120E602	INTUITIVE SURGICAL COM		ISRG					
1 0000	03/15/2013	09/13/2011	467 25	380 96	0 00	86 29	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
46120E602	INTUITIVE SURGICAL COM		ISRG					
1 0000	03/15/2013	09/13/2011	463 08	380 96	0 00	82 12	0 00	0 00
46120E602	INTUITIVE SURGICAL COM		ISRG					
2 0000	07/25/2013	09/13/2011	746 06	761 92	0 00	-15 86	0 00	0 00
464288513	ISHARES IBOXX $ HIGH YIELD CORP BD ETF		HYG					
1390 0000	04/22/2013	12/07/2011	131,774 48	121,347 98	0 00	10,426 50	0 00	0 00
517834107	LAS VEGAS SANDS CORP COM		LVS					
6.0000	01/11/2013	09/13/2011	313 03	286 43	0 00	26 60	0 00	0 00
517834107	LAS VEGAS SANDS CORP COM		LVS					
8 0000	06/06/2013	09/13/2011	454 34	381 90	0 00	72 44	0 00	0 00
517834107	LAS VEGAS SANDS CORP COM		LVS					
8 0000	06/07/2013	09/13/2011	458 35	381 91	0 00	76 44	0 00	0 00
517834107	LAS VEGAS SANDS CORP COM		LVS					
12 0000	06/10/2013	09/13/2011	697 51	572 85	0 00	124 66	0 00	0 00
517834107	LAS VEGAS SANDS CORP COM		LVS					
11 0000	06/14/2013	Various	626 52	491 53	0 00	134 99	0 00	0 00
740189105	PRECISION CASTPARTS CORP COM		PCP					
3 0000	04/16/2013	12/21/2011	562 52	480 03	0 00	82 49	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

-

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number:	**1050029706**
Recipient's Tax ID Number:	**20-3280615**
Payer's Federal ID Number:	**35-2023116**
Payer's State ID Number:	**0139261079**
State:	**IN**
Questions?	**(317)805-5082**

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip	Description *(Box 8)*		Stock or Other Symbol *(Box 1d)*					
Quantity Sold *(Box 1e)*	Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
747525103	QUAL COMM INC		QCOM					
16 0000	01/25/2013	09/13/2011	1,027 18	829 38	0 00	197 80	0 00	0 00
747525103	QUAL COMM INC		QCOM					
11 0000	03/06/2013	09/13/2011	731 80	570 20	0 00	161 60	0 00	0 00
747525103	QUAL COMM INC		QCOM					
22 0000	07/09/2013	09/13/2011	1,314 81	1,140 40	0 00	174 41	0 00	0 00
747525103	QUAL COMM INC		QCOM					
10.0000	09/13/2013	09/13/2011	686 10	518 37	0 00	167 73	0 00	0 00
747525103	QUAL COMM INC		QCOM					
6 0000	09/27/2013	09/13/2011	406 59	311 02	0 00	95 57	0 00	0 00
747525103	QUAL COMM INC		QCOM					
8 0000	10/16/2013	Various	548 03	447 08	0 00	100 95	0 00	0 00
751212101	RALPH LAUREN CORP COM CLASS A		RL					
3 0000	05/21/2013	09/13/2011	563 73	410 77	0 00	152 96	0 00	0 00
751212101	RALPH LAUREN CORP COM CLASS A		RL					
4 0000	10/16/2013	Various	634 77	554 12	0 00	80 66	0 00	0 00
79466L302	SALESFORCE COM		CRM					
4 0000	03/14/2013	09/13/2011	718 94	500 59	0 00	218 35	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

-

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
79466L302	SALESFORCE COM		CRM					
13 0000	11/20/2013	09/13/2011	706 54	406 73	0 00	299 81	0 00	0 00
78388J106	SBA COMMUNICATIONS COM		SBAC					
5 0000	11/25/2013	06/25/2012	422 21	274 43	0 00	147 78	0 00	0 00
82967N108	SIRIUS XM RADIO COM		SIRI					
117 0000	10/23/2013	10/18/2012	480 93	340 39	0 00	140 54	0 00	0 00
82967N108	SIRIUS XM RADIO COM		SIRI					
212.0000	10/25/2013	10/18/2012	782 36	616 77	0 00	165 59	0 00	0 00
82967N108	SIRIUS XM RADIO COM		SIRI					
345 0000	10/30/2013	Various	1,273 17	988 30	0 00	284 87	0 00	0 00
855244109	STARBUCKS CORP COM		SBUX					
8 0000	01/24/2013	09/13/2011	435 99	304 35	0 00	131 64	0 00	0 00
855244109	STARBUCKS CORP COM		SBUX					
7 0000	05/21/2013	09/13/2011	449 82	266 30	0 00	183 52	0 00	0 00
88076W103	TERADATA CORP COM		TDC					
6 0000	04/04/2013	02/08/2012	311 57	345 06	0 00	-33 49	0 00	0 00
90384S303	ULTA SALON COM COSMETICS & FRAGRANCE IN		ULTA					
6 0000	12/06/2013	02/10/2012	567 39	483 06	0 00	84 33	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

-

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
90384S303	ULTA SALON COM COSMETICS & FRAGRANCE IN		ULTA					
5 0000	12/09/2013	Various	459 29	423 04	0 00	36 25	0 00	0 00
907818108	UNION PAC CORP COM		UNP					
3 0000	05/09/2013	09/13/2011	462 01	259 97	0 00	202 04	0 00	0 00
913017109	UNITED TECHNOLOGIES CORP COM		UTX					
7 0000	10/17/2013	09/13/2011	752 24	505 11	0 00	247 13	0 00	0 00
91324P102	UNITEDHEALTH GROUP INC COM		UNH					
4.0000	07/11/2013	09/13/2011	271 96	188 88	0 00	83 08	0 00	0 00
91324P102	UNITEDHEALTH GROUP INC COM		UNH					
9 0000	07/15/2013	09/13/2011	611 18	424 98	0 00	186 20	0 00	0 00
91324P102	UNITEDHEALTH GROUP INC COM		UNH					
6 0000	07/24/2013	09/13/2011	434 52	283 32	0 00	151 20	0 00	0 00
91324P102	UNITEDHEALTH GROUP INC COM		UNH					
12 0000	10/07/2013	09/13/2011	867 52	566 65	0 00	300 87	0 00	0 00
91324P102	UNITEDHEALTH GROUP INC COM		UNH					
13 0000	10/08/2013	09/13/2011	933 39	613 87	0 00	319 52	0 00	0 00
92826C839	VISA INC COM		V					
3 0000	01/11/2013	09/13/2011	480 92	261 64	0 00	219 28	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

-

2013 Tax Information Statement

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address: Ref: 880
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
92826C839	VISA INC COM		V					
2 0000	03/12/2013	09/13/2011	319 13	174 43	0 00	144 70	0 00	0 00
384802104	WW GRAINGER INC COM		GWW					
2 0000	11/18/2013	10/16/2012	520 18	419 03	0 00	101 15	0 00	0 00
384802104	WW GRAINGER INC COM		GWW					
3 0000	11/26/2013	Various	774 81	618 01	0 00	156 80	0 00	0 00
384802104	WW GRAINGER INC COM		GWW					
2.0000	12/04/2013	11/12/2012	510 20	388 05	0 00	122 15	0 00	0 00
384802104	WW GRAINGER INC COM		GWW					
3 0000	12/10/2013	12/03/2012	770 90	583 06	0 00	187 84	0 00	0 00
988498101	YUM! BRANDS INC COM		YUM					
6 0000	04/03/2013	09/13/2011	407 10	313 57	0 00	93 53	0 00	0 00
988498101	YUM! BRANDS INC COM		YUM					
12 0000	04/23/2013	09/13/2011	767 39	627 14	0 00	140 25	0 00	0 00
988498101	YUM! BRANDS INC COM		YUM					
1 0000	08/29/2013	09/13/2011	70 94	52 26	0 00	18 68	0 00	0 00
Total Long Term Sales Reported on 1099-B			**188,524 46**	**164,495 85**	**0 00**	**24,028 62**	**0 00**	**0 00**

Report on Form 8949, Part II, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2013 Tax Information Statement

Ref: 880

Account Number: 1050029706
Recipient's Tax ID Number: 20-3280615
Payer's Federal ID Number: 35-2023116
Payer's State ID Number: 0139261079
State: IN
Questions? (317)805-5082

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
THE NEELS FAMILY FOUNDATION, INC
264 RED OAK LANE
ATTN BARBARA WOOD
CARMEL, IN 46033

Payer's Name and Address:
THE TRUST COMPANY OF OXFORD
P O BOX 40856
INDIANAPOLIS, IN 46240-0856

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS ıs Sales Prıce of stocks, bonds, etc , less commıssıons and optıon premıums
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)*	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
Long Term Sales Reported on 1099-B								
068278803	BARON SMALL CAP FUND INSTL CLASS		BSFIX					
495 1980	12/12/2013	03/04/2008	16,500 00	10,263 61	0 00	6,236 39	0 00	0 00
448108100	HUSSMAN STRATEGIC GROWTH FUND		HSGFX					
10223 5100	04/17/2013	03/10/2011	107,244 62	123,500 00	0 00	-16,255 38	0 00	0 00
626127492	MUNDER VERACITY SMALL CAP VALUE Y		VSVIX					
550 7340	12/12/2013	05/03/2006	16,500 00	11,271 02	0 00	5,228 98	0 00	0 00
72201F516	PIMCO EMERGING LOCAL BOND FD INSTL CL		PELBX					
6094 9300	04/22/2013	12/08/2009	67,470.88	60,766.45	0.00	6,704.43	0.00	0.00
693390700	PIMCO TOTAL RETURN FUND INSTL CLASS		PTTRX					
3261 8830	12/12/2013	03/03/2008	35,000 00	35,717 62	0 00	-717 62	0 00	0 00
81063U818	SCOUT CORE PLUS BD FD INSTL		SCPZX					
1103 1700	12/12/2013	Various	35,500 00	33,879 74	0 00	1,620 26	0 00	0 00
922908496	VANGUARD 500 INDEX FUND SIGNAL SHARES		VIFSX					
242 9860	12/12/2013	Various	33,000 00	22,925 37	0 00	10,074 63	0 00	0 00
Total Long Term Sales Reported on 1099-B			**311,215 50**	**298,323 81**	**0 00**	**12,891 69**	**0 00**	**0 00**

Report on Form 8949, Part II, with Box E checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

TCO Capital Gains/ Losses reconciliation

Per December 31, 2013 Statement	
Total Gains/ Losses TCO 9706	55,237.15
Less LTCG Dividends	(28,656.00)
Less STCG	(3,263.00)
Net Gains/ Losses TCO 9706:	23,318.15
Net Gains/ Losses TCO 0290:	16,460.00
TCO Gains/ Losses	**39,778.15**
Capital Gains/ Losses adjustment:	(72.85)
Adjusted LTCG 9706	**12,819.15**

Per TCO 2013 1099	
STCG TCO 9706	2,930.00
LTCG TCO 9706	24,029.00
LTCG TCO 9706	12,892.00
	39,851.00