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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013Department of the Treasury
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE MULVA FAMILY FOUNDATION		A Employer identification number 20-3221183
Number and street (or P O box number if mail is not delivered to street address) 11603 VERSAILLES LAKES LANE	Room/suite	B Telephone number (see instructions) 281-500-7015
City or town, state or province, country, and ZIP or foreign postal code HOUSTON TX 77082		C If exemption application is pending, check here ▶
G Check all that apply Initial return Initial return of a former public charity Final return Amended return Address change Name change		D 1 Foreign organizations, check here ▶ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶
H Check type of organization ☒ Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 216,408,959	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶
(Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	4,290,556			
2	Check ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	132	132	0	
4	Dividends and interest from securities	5,110,933	5,110,933	0	
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	59,421,385			
b	Gross sales price for all assets on line 6a 217,533,943				
7	Capital gain net income (from Part IV, line 2)		59,421,385		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11 OGDEN, UT	68,823,006	64,532,450	N/A	0
13	Compensation of officers, directors, trustees, etc.	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule) See Stmt 1	2,025			2,025
b	Accounting fees (attach schedule) Stmt 2	18,463	1,900		16,563
c	Other professional fees (attach schedule) Stmt 3	452,179	452,179		
17	Interest				
18	Taxes (attach schedule) (see instructions) Stmt 4	60,787	34,586		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att sch)				
24	Total operating and administrative expenses. Add lines 13 through 23	533,454	488,665	0	18,588
25	Contributions, gifts, grants paid See Statement 5	5,692,500			5,692,500
26	Total expenses and disbursements. Add lines 24 and 25	6,225,954	488,665	0	5,711,088
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	62,597,052			
b	Net investment income (if negative, enter -0-)		64,043,785		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

SCANNED MAY 29 2014

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing		363	2,518	2,518
	2	Savings and temporary cash investments		950,608	6,211,191	6,211,191
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶	0			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		5,020	23,676	23,676
	10a	Investments – U S and state government obligations (attach schedule)				
	b	Investments – corporate stock (attach schedule) See Stmt 6		162,366,943	209,384,334	209,384,334
	c	Investments – corporate bonds (attach schedule)				
	11	Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶				
	12	Investments – mortgage loans				
	13	Investments – other (attach schedule) See Statement 7		857,248	787,240	787,240
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶				
	15	Other assets (describe ▶)				
	16	Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)		164,180,182	216,408,959	216,408,959
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒				
	24	Unrestricted		164,180,182	216,408,959	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		164,180,182	216,408,959	
	31	Total liabilities and net assets/fund balances (see instructions)		164,180,182	216,408,959	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	164,180,182
2	Enter amount from Part I, line 27a	2	62,597,052
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	226,777,234
5	Decreases not included in line 2 (itemize) ▶ See Statement 8	5	10,368,275
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	216,408,959

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES		D	Various	Various
b PUBLICLY TRADED SECURITIES		P	Various	Various
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 177,954,425		119,808,640	58,145,785
b 39,579,518		38,303,918	1,275,600
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			58,145,785
b			1,275,600
c			
d			
e			

2 Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7

2**59,421,385****3** Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in
Part I, line 8

3**59,421,385****Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	2,858,379	66,404,297	0.043045
2011	454,394	17,357,191	0.026179
2010	173,202	9,009,794	0.019224
2009	59,966	3,712,321	0.016153
2008	1,352	902,559	0.001498

2 Total of line 1, column (d)**2****0.106099****3** Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years**3****0.021220****4** Enter the net value of noncharitable-use assets for 2013 from Part X, line 5**4****190,966,203****5** Multiply line 4 by line 3**5****4,052,303****6** Enter 1% of net investment income (1% of Part I, line 27b).**6****640,438****7** Add lines 5 and 6**7****4,692,741****8** Enter qualifying distributions from Part XII, line 4**8****5,711,088**

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the
Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	640,438
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	640,438
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	640,438
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	23,676
b	Exempt foreign organizations – tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d	Backup withholding erroneously withheld	6d	NONE
7	Total credits and payments. Add lines 6a through 6d	7	23,676
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	13,445
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	630,207
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☒	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		☒
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		☒
c Did the foundation file Form 1120-POL for this year?		☒
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		☒
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		☒
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		☒
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		☒
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	☒	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	☒	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	☒	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		☒
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		☒

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► HARVEY L. BLACK, JR. 8122 CAROLINE RIDGE DRIVE Located at ► HUMBLE	Telephone no ► 281-500-7015 TX ZIP+4 ► 77396		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20 ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? N/A	4b	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d) 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No ☐ Yes ☒ No Yes ☒ No Yes ☒ No Yes ☒ No N/A N/A ☐ Yes ☐ No Yes ☒ No ☐ Yes ☒ No N/A	5b 6b 7b	X
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
JAMES J. MULVA 11603 VERSAILLES LAKES LANE TX 77082	PRESIDENT 0.00	0	0	0
MIRIAM B. MULVA 11603 VERSAILLES LAKES LANE TX 77082	DIRECTOR 0.00	0	0	0
JOHN A. CARRIG 11606 MONICA LANE TX 77024	DIRECTOR 0.00	0	0	0
ADELAIDE M. DEBOW 27211 BOATERS CROSSING DRIVE TX 77493	SECRETARY 0.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	186,959,143
b	Average of monthly cash balances	1b	6,915,175
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	193,874,318
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	193,874,318
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	2,908,115
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	190,966,203
6	Minimum investment return. Enter 5% of line 5	6	9,548,310

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	9,548,310
2a	Tax on investment income for 2013 from Part VI, line 5	2a	640,438
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	NONE
c	Add lines 2a and 2b	2c	640,438
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	8,907,872
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	8,907,872
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,907,872

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	5,711,088
b	Program-related investments – total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,711,088
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	640,438
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,070,650

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				8,907,872
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			1,258,557	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2013				
a From 2008	NONE			
b From 2009	NONE			
c From 2010	NONE			
d From 2011	NONE			
e From 2012	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 5,711,088				
a Applied to 2012, but not more than line 2a			1,258,557	
b Applied to undistributed income of prior years (Election required – see instructions)		NONE		
c Treated as distributions out of corpus (Election required – see instructions)	NONE			
d Applied to 2013 distributable amount				4,452,531
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount – see instructions		NONE		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions			NONE	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				4,455,341
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2009	NONE			
b Excess from 2010	NONE			
c Excess from 2011	NONE			
d Excess from 2012	NONE			
e Excess from 2013	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling	NOT APPLICABLE				
b	Check box to indicate whether the foundation is a private operating foundation described in section	4942(j)(3) or		4942(j)(5)		
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test – enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test – enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

James J. Mulva and Miriam B. Mulva **\$155,567,681**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ **X** if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 9				5,692,500
Total			▶ 3a	5,692,500
b Approved for future payment See Statement 10				76,400,000
Total			▶ 3b	76,400,000

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

THE MULVA FAMILY FOUNDATION

Employer identification number

20-3221183

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

THE MULVA FAMILY FOUNDATION

Employer identification number

20-3221183**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JAMES J. & MIRIAM B. MULVA 11603 VERSAILLES LAKES LANE HOUSTON TX 77082	\$ 4,290,556	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

THE MULVA FAMILY FOUNDATION

Employer identification number

20-3221183**Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	27,005 SHARES, CONOCOPHILLIPS COMMON STOCK; AVG. OF HIGH (\$70.33) & LOW (\$60.05) TRADING PRICE 12/11/13 WAS \$69.69 P/S	\$ 1,881,978	12/11/13
1	13,503 SHARES, PHILLIPS 66 COMMON STOCK; AVG. OF HIGH (\$71.69) & LOW (\$70.29) TRADING PRICE 12/11/13 WAS \$70.99	\$ 958,578	12/11/13
		\$	
		\$	
		\$	
		\$	
		\$	

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
WINSTEAD, PC	\$ 2,025	\$	\$	\$ 2,025
Total	\$ 2,025	\$ 0	\$ 0	\$ 2,025

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Accounting & tax services	\$ 18,463	\$ 1,900	\$	\$ 16,563
See Statement 13				
Total	\$ 18,463	\$ 1,900	\$ 0	\$ 16,563

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Investment Related Services	\$ 452,179	\$ 452,179	\$	\$
Total	\$ 452,179	\$ 452,179	\$ 0	\$ 0

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Federal Excise Taxes	\$ 26,201	\$	\$	\$
Foreign Taxes Paid	34,586	34,586		
Total	\$ 60,787	\$ 34,586	\$ 0	\$ 0

Federal Statements

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Statement 5 - Form 990-PF, Part I, Line 25 - Noncash Contributions, Gifts, Grants

Amount	Noncash Description	FMV Explanation	Book Value Amount	Book Value Explanation	Date
5,000					1/15/13
25,000					1/15/13
5,000					1/15/13
50,000					5/06/12
10,000					5/06/12
150,000					11/04/13
25,000					12/12/13
25,000					12/12/13
100,000					12/12/13
25,000					12/12/13
25,000					12/12/13
10,000					12/12/13
2,500					12/12/13
15,000					12/12/13
10,000					12/12/13
50,000					12/12/13
10,000					12/12/13
3,000,000					12/12/13
2,000,000					12/12/13
150,000					12/12/13

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CONNOCOPHILLIPS, 27,005 Shrs	\$	1,907,903	Market	\$ 1,907,903
PHILLIPS 66, 13,503 Shrs		1,041,486	Market	1,041,486
AT&T INC, 116,971 Shrs		4,112,700	Market	4,112,700
ALTRIA GROUP INC., 91,896 Shrs		3,527,887	Market	3,527,887
AMERICAN EXPRESS COMPANY, 83,186 Shr		7,547,466	Market	7,547,466
BP PLC SPONS ADR, 139,256 Shrs		6,769,234	Market	6,769,234
BANK OF AMERICA, 323,327 Shrs		5,034,201	Market	5,034,201
CAPITAL ONE FINANCIAL, 94,893 Shrs		7,269,753	Market	7,269,753
CARDINAL HEALTH INC, 46,662 Shrs		3,117,488	Market	3,117,488
CARNIVAL CORP, 132,428 Shrs		5,319,633	Market	5,319,633

Federal Statements

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Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CITIGROUP INC, 104,466 Shrs	\$	5,443,723	Market	\$ 5,443,723
DU PONT, 23,859 Shrs		1,550,119	Market	1,550,119
EMERSON ELECTRIC CO, 84,030 Shrs		5,897,225	Market	5,897,225
ENTERGY CORP, 32,853 Shrs		2,078,609	Market	2,078,609
GENERAL DYNAMICS, 38,685 Shrs		3,696,352	Market	3,696,352
HONEYWELL INTL INC, 62,981 Shrs		5,754,574	Market	5,754,574
ILLINOIS TOOL WKS INC, 56,172 Shrs		4,722,942	Market	4,722,942
INTEL CORP, 101,134 Shrs		2,624,933	Market	2,624,933
JP MORGAN CHASE, 129,200 Shrs		7,555,616	Market	7,555,616
JOHNSON & JOHNSON, 64,938 Shrs		5,947,671	Market	5,947,671
MARATHON OIL CORP, 127,583 Shrs		4,503,680	Market	4,503,680
MEDTRONIC INC, 126,695 Shrs		7,271,026	Market	7,271,026
MERCK & CO INC, 113,864 Shrs		5,698,893	Market	5,698,893
MICROSOFT CORPORATION, 178,783 Shrs		6,688,272	Market	6,688,272
OCCIDENTAL PETROLEUM, 56,489 Shrs		5,372,104	Market	5,372,104
PNC FINANCIAL SERVICES GROUP, 78,212		6,067,687	Market	6,067,687
PFIZER INC, 235,855 Shrs		7,224,239	Market	7,224,239
PHILIP MORRIS, 71,685 Shrs		6,245,914	Market	6,245,914
RAYTHEON CO, 78,331 Shrs		7,104,622	Market	7,104,622
SLM CORP, 190,939 Shrs		5,017,877	Market	5,017,877
SANOFI-AVENTIS ADR, 90,067 Shrs		4,830,293	Market	4,830,293
STANLEY BLACK & DECKER, 51,811 Shrs		4,180,630	Market	4,180,630
STATE STREET CORP, 66,208 Shrs		4,859,005	Market	4,859,005
TARGET CORP, 74,831 Shrs		4,734,557	Market	4,734,557
TEVA PHARMACEUTICAL INDS LTD ADR, 95		3,813,612	Market	3,813,612
TEXAS INSTRUMENTS INC, 100,777 Shrs		4,425,118	Market	4,425,118
UNITEDHEALTH GROUP INC, 65,701 Shrs		4,947,285	Market	4,947,285
VERIZON COMMUNICATIONS, 81,167 Shrs		3,988,546	Market	3,988,546
VODAFONE GROUP PLC SPONSORED ADR, 63		2,484,392	Market	2,484,392
WALGREEN CO, 59,049 Shrs		3,391,775	Market	3,391,775
WELLPOINT INC, 46,722 Shrs		4,316,646	Market	4,316,646
WELLS FARGO & COMPANY, 166,647 Shrs		7,565,774	Market	7,565,774
DELPHI AUTOMOTIVE PLC, 62,080 Shrs		3,732,870	Market	3,732,870
ROUNDING		2	Market	2
Total	\$ 0	\$ 209,384,334		\$ 209,384,334

Federal Statements

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Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Regent Street II, LTD	\$	\$ 787,240	Market	\$ 787,240
Total	\$ 0	\$ 787,240		\$ 787,240

Federal Statements**Statement 8 - Form 990-PF, Part III, Line 5 - Other Decreases**

<u>Description</u>	<u>Amount</u>
Unrealized Loss on Shares in Regent Street II, LTD	\$ 70,008
Unrealized Gains - Investments in Corporate Stock	10,298,267
Total	<u>\$ 10,368,275</u>

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000

<u>Name of Manager</u>	<u>Amount</u>
James J. Mulva and Miriam B. Mulva	\$ 155,567,681
Total	<u>\$ 155,567,681</u>

THE MULVA FAMILY FOUNDATION
20-3221183
FYE. 12/31/2013

FEDERAL STATEMENTS

STATEMENT 9 -- FORM 990-PF, PART XV, LINE 3a - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

	Name & Address	Relationship	Status	Purpose	Amount
1	Diocesan Services Fund Archdiocese of Galveston-Houston 1700 San Jacinto St. Houston, TX 77002	N/A	509(a)(1)	Annual support of local ministries	50,000
2	St Theresa Catholic School 4311 Small Drive Austin, TX 78731	N/A	509(a)(1)	Support for student scholarships	5,000
3	Norbertaine Community of New Mexico 5825 Coors Blvd Albuquerque, NM 87121	N/A	509(a)(1)	Support for construction of multi-purpose dining hall	150,000
4	St. Mary's Catholic Church - Aspen 533 East Main Aspen, CO 81611	N/A	509(a)(1)	Annual Support	25,000
5	The Crozier Society Catholic Foundation for the Diocese of Green Bay P O Box 22128 Green Bay, WI 54305	N/A	509(a)(1)	Annual Support	25,000
6	Mary Martha Outreach 1845 SW 4th Bartlesville, OK 74003	N/A	509(a)(1)	Annual support of indigent families	25,000
7	UT Austin - McCombs School of Business 1 University Station, B6000 Austin, TX 78712	N/A	509(a)(1)	Annual support of students, faculty & facilities	100,000

THE MULVA FAMILY FOUNDATION
20-3221183
FYE 12/31/2013

FEDERAL STATEMENTS

STATEMENT 9 -- FORM 990-PF, PART XV, LINE 3a - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR (continued)

	Name	Relationship	Status	Purpose	Amount
8	Covenant House, Texas 1111 Lovett Blvd Houston, TX 77006	N/A	509(a)(1)	Annual support of homeless youth	25,000
9	Catholic Charities 2900 Louisiana Houston, TX 77006	N/A	509(a)(1)	Annual support of indigent individuals	25,000
10	St Norbert College 100 Grant St De Pere, WI 54115	N/A	509(a)(1)	Support for construction of science center	3,000,000
11	USA Swimming Foundation 1 Olympic Plaza Colorado Springs, CO 80909	N/A	509(a)(1)	Support for Learn to Swim programs across USA	10,000
12	Junior Achievement 2115 E Governors Circle Houston, TX 77092	N/A	509(a)(1)	Support for scholarships for underprivileged youth	5,000
13	Catholic Charities Archdiocese of Denver 4045 Pecos Street Denver, CO 80211	N/A	509(a)(1)	Support for local flood victims	10,000
14	Price Tower Arts Center 510 S Dewey Bartlesville, OK 74003	N/A	509(a)(1)	Annual support of preservation, programs & events	2,500
15	Greater Green Bay Community Foundation - Project Redbird 310 W Walnut St, Suite 350 Green Bay, WI 54303	N/A	509(a)(1)	Support for construction of computer labs for grades 1-12 and sports complex for De Pere School District	150,000

THE MULVA FAMILY FOUNDATION

20-3221183

FYE 12/31/2013

FEDERAL STATEMENTS

STATEMENT 9 -- FORM 990-PF, PART XV, LINE 3a - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR (continued)

	Name	Relationship	Status	Purpose	Amount
16	OK Mozart 415 S Dewey Bartlesville, OK 74003	N/A	509(a)(1)	Annual support of music festival	15,000
17	Churches United for Community Concern, dba Concern 333 S Penn Ave Bartlesville, OK 74003	N/A	509(a)(1)	Annual support of indigent children	10,000
18	Green Country Free Clinic 500 SE Frank Phillips Bartlesville, OK 74003	N/A	509(a)(1)	Annual support of medical care to the indigent	50,000
19	Norbertine Fathers-Priory of St Moses the Black 7100 Midway Raymond, MS 39154	N/A	509(a)(1)	Annual support of local ministries	10,000
20	Pontifical North American College 3211 Fourth Street NE Washington, DC 20017	N/A	509(a)(1)	Support for construction of an academic building	2,000,000
Total					5,692,500

THE MULVA FAMILY FOUNDATION
20-3221183
FYE 12/31/2013

FEDERAL STATEMENTS

STATEMENT 10 -- FORM 990-PF, PART XV, LINE 3b - GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

	Name & Address	Relationship	Status	Purpose	Amount
1	St. Norbert College 100 Grant St De Pere, WI 54115	N/A	509(a)(1)	Support for construction of science center	9,000,000
2	Norbertine Community of New Mexico 5825 Coors Blvd Albuquerque, NM 87121	N/A	509(a)(1)	Support for construction of multi-purpose dining hall	150,000
3	Pontifical North American College 3211 Fourth Street NE Washington, DC 20017	N/A	509(a)(1)	Support for construction of classroom facilities	6,500,000
4	Greater Green Bay Community Foundation - Project Redbird 310 W Walnut St, Suite 350 Green Bay, WI 54303	N/A	509(a)(1)	Support for construction of computer labs for grades 1-12 and sports complex for De Pere School District	750,000
5	University of Texas at Austin P O Box T Austin, TX 78713	N/A	State Agency	To support construction of conference center in engineering school and renovation of business school	60,000,000
Total					<u>76,400,000</u>