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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.
- Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2013

Open to Public
Inspection

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

| | | | | | | | | | |
|--|--|--|--|---|---|--|---|--|--|
| Name of foundation THE ROBERT & MARY STOTT FOUNDATION INC C/O DONALD B STOTT | | A Employer identification number 20-2807705 | | | | | | | |
| Number and street (or P O box number if mail is not delivered to street address) 111 BELLEVUE AVENUE | | B Telephone number (see instructions) (908) 273-3470 | | | | | | | |
| C If exemption application is pending, check here ► <input type="checkbox"/> | | | | | | | | | |
| D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> | | | | | | | | | |
| E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> | | | | | | | | | |
| F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> | | | | | | | | | |
| G Check all that apply <table border="0"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table> | | <input type="checkbox"/> Initial return | <input type="checkbox"/> Initial return of a former public charity | <input type="checkbox"/> Final return | <input type="checkbox"/> Amended return | <input type="checkbox"/> Address change | <input type="checkbox"/> Name change | | |
| <input type="checkbox"/> Initial return | <input type="checkbox"/> Initial return of a former public charity | | | | | | | | |
| <input type="checkbox"/> Final return | <input type="checkbox"/> Amended return | | | | | | | | |
| <input type="checkbox"/> Address change | <input type="checkbox"/> Name change | | | | | | | | |
| H Check type of organization <table border="0"> <tr> <td><input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation</td> <td><input type="checkbox"/> Other taxable private foundation</td> </tr> <tr> <td><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust</td> <td><input type="checkbox"/> Other taxable private foundation</td> </tr> </table> | | | | <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation | <input type="checkbox"/> Other taxable private foundation | <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust | <input type="checkbox"/> Other taxable private foundation | | |
| <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation | <input type="checkbox"/> Other taxable private foundation | | | | | | | | |
| <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust | <input type="checkbox"/> Other taxable private foundation | | | | | | | | |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 1,159,135 | | J Accounting method <table border="0"> <tr> <td><input checked="" type="checkbox"/> Cash</td> <td><input type="checkbox"/> Accrual</td> </tr> <tr> <td><input type="checkbox"/> Other (specify) _____</td> <td></td> </tr> </table> | | <input checked="" type="checkbox"/> Cash | <input type="checkbox"/> Accrual | <input type="checkbox"/> Other (specify) _____ | | | |
| <input checked="" type="checkbox"/> Cash | <input type="checkbox"/> Accrual | | | | | | | | |
| <input type="checkbox"/> Other (specify) _____ | | | | | | | | | |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities. | 10,718 | 10,718 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) _____ | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 51,344 | | | |
| | b Gross sales price for all assets on line 6a 5,423,996 | | | | |
| | 7 Capital gain net income (from Part IV, line 2) . . . | | 51,344 | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 Total. Add lines 1 through 11 | 62,062 | 62,062 | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0 | 0 | | 0 |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | 4,400 | 2,200 | | 2,200 |
| | c Other professional fees (attach schedule) | | | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | 4,435 | 53 | | 4,382 |
| | 19 Depreciation (attach schedule) and depletion . . . | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 1,619 | 1,424 | | 195 |
| | 24 Total operating and administrative expenses. | | | | |
| | Add lines 13 through 23 | 10,454 | 3,677 | | 6,777 |
| | 25 Contributions, gifts, grants paid. | 17,500 | | | 17,500 |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 27,954 | 3,677 | | 24,277 |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | 34,108 | | | |
| | b Net investment income (if negative, enter -0-) . . . | | 58,385 | | |
| | c Adjusted net income (if negative, enter -0-) . . . | | | | |

| Part III Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year | End of year | |
|---------------------------------------|---|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | | | | |
| 1 | Cash—non-interest-bearing | | | |
| 2 | Savings and temporary cash investments | 1,042,712 | 513,821 | 513,821 |
| 3 | Accounts receivable ► | | | |
| | Less allowance for doubtful accounts ► | | | |
| 4 | Pledges receivable ► | | | |
| | Less allowance for doubtful accounts ► | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 | Other notes and loans receivable (attach schedule) ► | | | |
| | Less allowance for doubtful accounts ► | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments—U S and state government obligations (attach schedule) | | | |
| b | Investments—corporate stock (attach schedule) | 0 | 562,999 | 645,314 |
| c | Investments—corporate bonds (attach schedule) | | | |
| 11 | Investments—land, buildings, and equipment basis ► | | | |
| | Less accumulated depreciation (attach schedule) ► | | | |
| 12 | Investments—mortgage loans | | | |
| 13 | Investments—other (attach schedule) | | | |
| 14 | Land, buildings, and equipment basis ► | | | |
| | Less accumulated depreciation (attach schedule) ► | | | |
| 15 | Other assets (describe ►) | | | |
| 16 | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 1,042,712 | 1,076,820 | 1,159,135 |
| Liabilities | | | | |
| 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ►) | | | |
| 23 | Total liabilities (add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | | | | |
| 24 | Unrestricted | | | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| 27 | Foundations that follow SFAS 117, check here ► <input type="checkbox"/> | | | |
| | and complete lines 24 through 26 and lines 30 and 31. | | | |
| 28 | Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> | | | |
| | and complete lines 27 through 31. | | | |
| 29 | Capital stock, trust principal, or current funds | 0 | 0 | |
| 30 | Paid-in or capital surplus, or land, bldg , and equipment fund | 0 | 0 | |
| | Retained earnings, accumulated income, endowment, or other funds | 1,042,712 | 1,076,820 | |
| 31 | Total net assets or fund balances (see page 17 of the instructions) | 1,042,712 | 1,076,820 | |
| | Total liabilities and net assets/fund balances (see page 17 of the instructions) | 1,042,712 | 1,076,820 | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|-----------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,042,712 |
| 2 | Enter amount from Part I, line 27a | 2 | 34,108 |
| 3 | Other increases not included in line 2 (itemize) ► | 3 | 0 |
| 4 | Add lines 1, 2, and 3 | 4 | 1,076,820 |
| 5 | Decreases not included in line 2 (itemize) ► | 5 | 0 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 1,076,820 |

Part IV Capital Gains and Losses for Tax on Investment Income

| | | | |
|--|---|---|---|
| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|---|

| | | | |
|-------------------------------------|--|--|--|
| 1a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|------------------------------|--|---|---|
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|--|---|---|

| | | | |
|------------------------------------|--|--|--|
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | | | |
|-------------------------------|--|---|---|
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|-------------------------------|--|---|---|

| | | | |
|------------------------------------|--|--|--|
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|---|---|----------|--------|
| 2 Capital gain net income or (net capital loss) | (If gain, also enter in Part I, line 7) (If (loss), enter -0- in Part I, line 7) | 2 | 51,344 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) | | | |

If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| | | | |
|---|---|---|--|
| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
| 2012 | 72,820 | 1,041,666 | 069907 |
| 2011 | 7,650 | 1,108,523 | 006901 |
| 2010 | 306,480 | 1,256,289 | 243957 |
| 2009 | 156,039 | 1,597,827 | 097657 |
| 2008 | 29,610 | 1,610,543 | 018385 |

| | | |
|---|----------|-----------|
| 2 Total of line 1, column (d). | 2 | 436807 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 087361 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. | 4 | 1,057,336 |
| 5 Multiply line 4 by line 3. | 5 | 92,370 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b). | 6 | 584 |
| 7 Add lines 5 and 6. | 7 | 92,954 |
| 8 Enter qualifying distributions from Part XII, line 4. | 8 | 24,277 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

| | | | |
|----|---|----|-------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 | | |
| | Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) | 1 | 1,168 |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | | |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2. | 3 | 1,168 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 1,168 |
| 6 | Credits/Payments | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a | |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments Add lines 6a through 6d. | 7 | 0 |
| 8 | Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached | 8 | 26 |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | 1,194 |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | |
| 11 | Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> | 11 | |

Part VII-A Statements Regarding Activities

| | | | |
|----|---|------------------------------|-----------------------------|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 1b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| | <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | | |
| 1c | Did the foundation file Form 1120-POL for this year? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ 0 (2) On foundation managers <input type="checkbox"/> \$ _____ 0 | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____ 0 | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i> | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NJ | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 10 | Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|--|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ► N/A

14 The books are in care of ► DONALD B STOTT Telephone no ► (908) 273-3470
 Located at ► 111 BELLEVUE AVENUE SUMMIT NJ ZIP +4 ► 079012000

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ► 15 and enter the amount of tax-exempt interest received or accrued during the year ►

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? ► 16

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|----|--|-------|------|
| 1a | During the year did the foundation (either directly or indirectly) | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | □ Yes | ✓ No |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | □ Yes | ✓ No |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | □ Yes | ✓ No |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | □ Yes | ✓ No |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | □ Yes | ✓ No |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) | □ Yes | ✓ No |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | |
| | Organizations relying on a current notice regarding disaster assistance check here ► | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? | 2a | |
| | If "Yes," list the years ► 20____, 20____, 20____, 20____ | □ Yes | ✓ No |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) | 2b | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | 3a | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) | 3b | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | No |

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

| | | | |
|---|--|---|----------|
| 5a | During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> | | 5b |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d).</i> | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i> | | 6b No |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | | 7b |

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees and Contractors**

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions)

| 2. List all officers, directors, trustees, foundation managers and their compensation (See instructions.) | | | | |
|--|--|--|--|---|
| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account other allowances |
| DONALD B STOTT 111 BELLEVUE AVENUE SUMMIT, NJ 07901 | PRESIDENT/SECRETARY 0 00 | 0 | 0 | |
| ROBERT L STOTT JR 129 ISLAND CREEK DRIVE VERO BEACH, FL 32963 | TRUSTEE 0 00 | 0 | 0 | |
| EDWARD MURRAY 8101 NASHUA DRIVE PALM BEACH GARDENS, FL 33418 | TRUSTEE 0 00 | 0 | 0 | |

2. Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE".

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE"

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|----------------------------|-------------------------|
| NONE | | |
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Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|----------|
| 1 NOT APPLICABLE | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 _____ _____ | |
| 2 _____ _____ | |

All other program-related investments See page 24 of the instructions

3 _____

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|--|----|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities. | 1a | 556,851 |
| b | Average of monthly cash balances. | 1b | 516,587 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 1,073,438 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 1,073,438 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 16,102 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 1,057,336 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 52,867 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

| | | | |
|----|--|----|--------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 52,867 |
| 2a | Tax on investment income for 2013 from Part VI, line 5. | 2a | 1,168 |
| b | Income tax for 2013 (This does not include the tax from Part VI). | 2b | |
| c | Add lines 2a and 2b. | 2c | 1,168 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | 3 | 51,699 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 51,699 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | 7 | 51,699 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|--|----|--------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. | 1a | 24,277 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | 3a | |
| a | Suitability test (prior IRS approval required). | 3b | |
| b | Cash distribution test (attach the required schedule). | 4 | 24,277 |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 5 | |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). | 6 | 24,277 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | | |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 51,699 |
| 2 Undistributed income, if any, as of the end of 2013 | | | 0 | |
| a Enter amount for 2012 only. | | | | |
| b Total for prior years 20____, 20____, 20____ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2013 | | | | |
| a From 2008. | | | | |
| b From 2009. | | | | |
| c From 2010. | 175,333 | | | |
| d From 2011. | | | | |
| e From 2012. | 20,737 | | | |
| f Total of lines 3a through e. | 196,070 | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ _____ 24,277 | | | 0 | |
| a Applied to 2012, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2013 distributable amount. | | | | 24,277 |
| e Remaining amount distributed out of corpus | 0 | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | 27,422 | | | 27,422 |
| 6 Enter the net total of each column as indicated below: | 168,648 | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | 0 | | |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | 0 | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | 0 | | | |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a | 168,648 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2009. | | | | |
| b Excess from 2010. | 147,911 | | | |
| c Excess from 2011. | | | | |
| d Excess from 2012. | 20,737 | | | |
| e Excess from 2013. | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

| | | | | |
|-----|--|--|--|--|
| 1a | If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. | | | |
| b | Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5) | | | |
| 2a | Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. | | | |
| b | 85% of line 2a. | | | |
| c | Qualifying distributions from Part XII, line 4 for each year listed. | | | |
| d | Amounts included in line 2c not used directly for active conduct of exempt activities. | | | |
| e | Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c. | | | |
| 3 | Complete 3a, b, or c for the alternative test relied upon | | | |
| a | "Assets" alternative test—enter | | | |
| (1) | Value of all assets. | | | |
| (2) | Value of assets qualifying under section 4942(j)(3)(B)(i). | | | |
| b | "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | |
| c | "Support" alternative test—enter | | | |
| (1) | Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). | | | |
| (2) | Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III). | | | |
| (3) | Largest amount of support from an exempt organization | | | |
| (4) | Gross investment income | | | |

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

DONALD B STOTT

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|--------|
| a Paid during the year | | | | |
| THE DELAWARE CENTER FOR HORTICULTURE 1810 N DUPONT STREET WILMINGTON, DE 19806 | UNRELATED | 501(C)(3) | TO PROMOTE CHARITABLE PURPOSE | 12,500 |
| FRIENDS OF THE HIGH LINE 529 WEST 20TH STREET SUITE 8W NEW YORK, NY 10011 | UNRELATED | 501(C)(3) | TO PROMOTE CHARITABLE PURPOSE | 5,000 |
| Total | | | ► 3a | 17,500 |
| b Approved for future payment | | | | |
| Total | | | ► 3b | 0 |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated | | Unrelated business income | Excluded by section 512, 513, or 514 | (e) Related or exempt function income (See instructions) | |
|---|--|---------------------------|--------------------------------------|---|-----------------------|
| | | (a) Business code | (b) Amount | | (c) Exclusion code |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities. | | | | 14 | 10,718 |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. | | | | | |
| b Not debt-financed property. | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | 18 | 51,344 |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory. | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). | | | 0 | | 62,062 |
| 13 Total. Add line 12, columns (b), (d), and (e). | | | | 13 | 62,062 |

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization or

b Other transactions

(3) Rental of facilities, equipment, or other assets.

(4) Reimbursement arrangements –

(5) Loans or loan guarantees

(6) Performance of services or membership or fundraising solicitations

c. Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations?

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
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Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

* * * * *

2014-05-09

* * * * *

May the IRS discuss this return with the preparer shown below (see Instr)? Yes No

**Sign
Here**

**Paid
Prepared
Use
Only**

| | | | | | |
|---|--|----------------------|------|--|-------------------|
| Paid Preparer Use Only | Print/Type preparer's name THOMAS MARINACCI | Preparer's Signature | Date | Check if self-employed <input checked="" type="checkbox"/> | PTIN P00029721 |
| | Firm's name ► MCGLADREY LLP | | | Firm's EIN ► 41-1944416 | |
| | Firm's address ► 1185 AVENUE OF THE AMERICAS NEW YORK, NY 100362602 | | | Phone no (212) 372-1000 | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 518 SHARES AMERICAN AIRLINES GROUP INC | | 2013-12-12 | 2013-12-26 |
| 982 SHARES AMERICAN AIRLINES GROUP INC | | 2013-12-12 | 2013-12-26 |
| 100 SHARES APPLE INC | | 2013-10-22 | 2013-12-31 |
| 1 SHARES APPLE INC | | 2013-10-22 | 2013-12-31 |
| 9 SHARES APPLE INC | | 2013-10-22 | 2013-12-31 |
| 90 SHARES APPLE INC | | 2013-10-22 | 2013-12-31 |
| 100 SHARES APPLE INC | | 2013-10-29 | 2013-12-31 |
| 1500 SHARES ASSURED GUARANTY LTD | | 2013-06-22 | 2013-08-21 |
| 200 SHARES ASSURED GUARANTY LTD | | 2013-05-24 | 2013-06-24 |
| 200 SHARES ASSURED GUARANTY LTD (WASH SALE) | | | |
| 300 SHARES ASSURED GUARANTY LTD | | 2013-05-24 | 2013-06-24 |
| 300 SHARES ASSURED GUARANTY LTD (WASH SALE) | | | |
| 500 SHARES ASSURED GUARANTY LTD | | 2013-05-24 | 2013-06-24 |
| 500 SHARES ASSURED GUARANTY LTD (WASH SALE) | | | |
| 100 SHARES ASSURED GUARANTY LTD | | 2013-05-24 | 2013-06-24 |
| 100 SHARES ASSURED GUARANTY LTD (WASH SALE) | | | |
| 500 SHARES ASSURED GUARANTY LTD | | 2013-05-30 | 2013-06-24 |
| 500 SHARES ASSURED GUARANTY LTD | | 2013-05-24 | 2013-06-24 |
| 400 SHARES ASSURED GUARANTY LTD | | 2013-05-24 | 2013-06-24 |
| 400 SHARES ASSURED GUARANTY LTD (WASH SALE) | | | |
| 1000 SHARES AMERICAN INTL GROUP INC | | 2013-05-24 | 2013-06-07 |
| 75 SHARES AMAZON COM INC | | 2013-11-15 | 2013-12-31 |
| 125 SHARES AMAZON COM INC | | 2013-11-15 | 2013-12-31 |
| 100 SHARES AMAZON COM INC | | 2013-07-23 | 2013-11-07 |
| 100 SHARES AMAZON COM INC | | 2013-10-09 | 2013-11-07 |
| 100 SHARES AMAZON COM INC | | 2013-07-23 | 2013-08-27 |
| 100 SHARES AMAZON COM INC (WASH SALE) | | | |
| 100 SHARES AMAZON COM INC | | 2013-07-23 | 2013-08-27 |
| 4 SHARES AMAZON COM INC | | 2013-08-22 | 2013-08-27 |
| 4 SHARES AMAZON COM INC (WASH SALE) | | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 46 SHARES AMAZON COM INC | | 2013-08-22 | 2013-08-27 |
| 100 SHARES AMAZON COM INC | | 2013-07-12 | 2013-08-08 |
| 100 SHARES AMAZON COM INC (WASH SALE) | | | |
| 100 SHARES AMAZON COM INC | | 2013-07-12 | 2013-07-24 |
| 100 SHARES AMAZON COM INC (WASH SALE) | | | |
| 100 SHARES AMERICAN EXPRESS CO | | 2013-10-18 | 2013-12-03 |
| 100 SHARES AMERICAN EXPRESS CO | | 2013-10-18 | 2013-12-03 |
| 300 SHARES AMERICAN EXPRESS CO | | 2013-10-18 | 2013-12-03 |
| 500 SHARES AMERICAN EXPRESS CO | | 2013-08-08 | 2013-08-12 |
| 100 SHARES AMERICAN EXPRESS CO | | 2013-04-25 | 2013-06-24 |
| 400 SHARES AMERICAN EXPRESS CO | | 2013-04-25 | 2013-06-24 |
| 1000 SHARES BANK OF AMERICA CORP | | 2013-03-19 | 2013-07-11 |
| 500 SHARES BANK OF AMERICA CORP | | 2013-03-19 | 2013-04-24 |
| 500 SHARES BANK OF AMERICA CORP (WASH SALE) | | | |
| 200 SHARES BANK OF AMERICA CORP | | 2013-03-19 | 2013-04-10 |
| 200 SHARES BANK OF AMERICA CORP (WASH SALE) | | | |
| 300 SHARES BANK OF AMERICA CORP | | 2013-03-19 | 2013-04-10 |
| 300 SHARES BANK OF AMERICA CORP (WASH SALE) | | | |
| 500 SHARES FRANKLIN RES INC | | 2013-11-20 | 2013-12-03 |
| 500 SHARES FRANKLIN RES INC | | 2013-11-20 | 2013-12-03 |
| 100 SHARES CHICAGO BRIDGE & IRON | | 2013-11-04 | 2013-12-09 |
| 101 SHARES CHICAGO BRIDGE & IRON | | 2013-11-04 | 2013-12-09 |
| 102 SHARES CHICAGO BRIDGE & IRON | | 2013-11-04 | 2013-12-09 |
| 103 SHARES CHICAGO BRIDGE & IRON | | 2013-11-04 | 2013-12-09 |
| 104 SHARES CHICAGO BRIDGE & IRON | | 2013-11-04 | 2013-11-27 |
| 105 SHARES CHICAGO BRIDGE & IRON | | 2013-06-11 | 2013-07-29 |
| 106 SHARES CHICAGO BRIDGE & IRON | | 2013-04-22 | 2013-06-24 |
| 107 SHARES CHICAGO BRIDGE & IRON | | 2013-04-22 | 2013-06-24 |
| 108 SHARES CHICAGO BRIDGE & IRON | | 2013-06-11 | 2013-06-24 |
| 100 SHARES CHICAGO BRIDGE & IRON (WASH SALE) | | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 108 SHARES CHICAGO BRIDGE & IRON | | 2013-06-11 | 2013-06-24 |
| 100 SHARES CHICAGO BRIDGE & IRON (WASH SALE) | | | |
| 108 SHARES CHICAGO BRIDGE & IRON | | 2013-06-11 | 2013-06-24 |
| 100 SHARES CHICAGO BRIDGE & IRON (WASH SALE) | | | |
| 108 SHARES CHICAGO BRIDGE & IRON | | 2013-06-11 | 2013-06-24 |
| 100 SHARES CHICAGO BRIDGE & IRON (WASH SALE) | | | |
| 100 SHARES CHESAPEAKE ENERGY CORPORATION | | 2013-03-14 | 2013-04-08 |
| 100 SHARES CHESAPEAKE ENERGY CORPORATION | | 2013-03-14 | 2013-04-08 |
| 300 SHARES CHESAPEAKE ENERGY CORPORATION | | 2013-03-14 | 2013-04-08 |
| 500 SHARES CHESAPEAKE ENERGY CORPORATION | | 2013-03-14 | 2013-04-08 |
| 500 SHARES CRANE COMPANY | | 2013-10-21 | 2013-10-31 |
| 500 SHARES CRANE COMPANY | | 2013-07-05 | 2013-08-05 |
| 300 SHARES CONCHO RES INC | | 2013-10-09 | 2013-11-07 |
| 500 SHARES CONCHO RES INC | | 2013-08-01 | 2013-08-12 |
| 200 SHARES DOMINION RESOURCES INC | | 2013-07-03 | 2013-07-24 |
| 100 SHARES DOMINION RESOURCES INC | | 2013-07-03 | 2013-07-24 |
| 700 SHARES DOMINION RESOURCES INC | | 2013-07-11 | 2013-07-24 |
| 300 SHARES DOMINION RESOURCES INC | | 2013-06-04 | 2013-06-12 |
| 300 SHARES DOMINION RESOURCES INC (WASH SALE) | | | |
| 500 SHARES DOMINION RESOURCES INC | | 2013-03-26 | 2013-05-22 |
| 1000 SHARES DELTA AIR LINES INC | | 2013-07-02 | 2013-07-15 |
| 500 SHARES DELTA AIR LINES INC | | 2013-07-05 | 2013-07-15 |
| 500 SHARES DELTA AIR LINES INC | | 2013-07-05 | 2013-07-15 |
| 100 SHARES DELTA AIR LINES INC | | 2013-07-02 | 2013-07-08 |
| 900 SHARES DELTA AIR LINES INC | | 2013-07-02 | 2013-07-08 |
| 400 SHARES DELTA AIR LINES INC | | 2013-05-29 | 2013-06-21 |
| 400 SHARES DELTA AIR LINES INC | | 2013-05-29 | 2013-06-21 |
| 400 SHARES DELTA AIR LINES INC | | 2013-05-29 | 2013-06-21 |
| 800 SHARES DELTA AIR LINES INC | | 2013-05-29 | 2013-06-21 |
| 100 SHARES DELTA AIR LINES INC | | 2013-05-29 | 2013-06-18 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|--|---|---|---------------------------------------|
| 100 SHARES DELTA AIR LINES INC | | 2013-05-29 | 2013-06-18 |
| 400 SHARES DELTA AIR LINES INC | | 2013-05-29 | 2013-06-18 |
| 400 SHARES DELTA AIR LINES INC | | 2013-05-29 | 2013-06-18 |
| 100 SHARES DU PONT E I DE NEMOURS & CO | | 2013-11-04 | 2013-12-26 |
| 300 SHARES DU PONT E I DE NEMOURS & CO | | 2013-11-04 | 2013-12-26 |
| 100 SHARES DU PONT E I DE NEMOURS & CO | | 2013-10-25 | 2013-12-26 |
| 100 SHARES DU PONT E I DE NEMOURS & CO | | 2013-10-25 | 2013-12-11 |
| 100 SHARES DU PONT E I DE NEMOURS & CO (WASH SALE) | | | |
| 400 SHARES DU PONT E I DE NEMOURS & CO | | 2013-10-25 | 2013-12-11 |
| 200 SHARES DU PONT E I DE NEMOURS & CO | | 2013-10-25 | 2013-12-06 |
| 200 SHARES DU PONT E I DE NEMOURS & CO (WASH SALE) | | | |
| 300 SHARES DU PONT E I DE NEMOURS & CO | | 2013-10-25 | 2013-12-06 |
| 300 SHARES DU PONT E I DE NEMOURS & CO (WASH SALE) | | | |
| 250 SHARES DU PONT E I DE NEMOURS & CO | | 2013-07-18 | 2013-12-02 |
| 250 SHARES DU PONT E I DE NEMOURS & CO | | 2013-07-24 | 2013-12-02 |
| 100 SHARES DISNEY WALT CO | | 2013-11-11 | 2013-12-26 |
| 200 SHARES DISNEY WALT CO | | 2013-11-11 | 2013-12-26 |
| 100 SHARES DISNEY WALT CO | | 2013-11-11 | 2013-12-26 |
| 100 SHARES DISNEY WALT CO | | 2013-11-11 | 2013-12-26 |
| 400 SHARES DISNEY WALT CO | | 2013-10-22 | 2013-11-07 |
| 400 SHARES DISNEY WALT CO (WASH SALE) | | | |
| 600 SHARES DISNEY WALT CO | | 2013-10-22 | 2013-11-07 |
| 600 SHARES DISNEY WALT CO (WASH SALE) | | | |
| 500 SHARES DUKE ENERGY CORP | | 2013-10-10 | 2013-11-20 |
| 64 SHARES DUKE ENERGY CORP | | 2013-07-11 | 2013-07-24 |
| 936 SHARES DUKE ENERGY CORP | | 2013-07-11 | 2013-07-24 |
| 200 SHARES DUKE ENERGY CORP | | 2013-03-26 | 2013-05-22 |
| 200 SHARES DUKE ENERGY CORP (WASH SALE) | | | |
| 124 SHARES DUKE ENERGY CORP | | 2013-03-26 | 2013-05-22 |
| 124 SHARES DUKE ENERGY CORP (WASH SALE) | | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 176 SHARES DUKE ENERGY CORP | | 2013-03-26 | 2013-05-22 |
| 324 SHARES DUKE ENERGY CORP | | 2013-03-26 | 2013-05-22 |
| 176 SHARES DUKE ENERGY CORP | | 2013-05-16 | 2013-05-22 |
| 500 SHARES WISDOMTREE TRUST | | 2013-10-29 | 2013-11-15 |
| 500 SHARES WISDOMTREE TRUST | | 2013-10-29 | 2013-11-14 |
| 1000 SHARES NEW ORIENTAL ED & TECHNOLOGY GP INC | | 2013-12-06 | 2013-12-11 |
| 500 SHARES ISHARES MSCI INDONESIA ETF | | 2013-04-25 | 2013-06-10 |
| 500 SHARES ISHARES MSCI INDONESIA ETF | | 2013-05-07 | 2013-06-10 |
| 500 SHARES ISHARES MSCI INDONESIA ETF | | 2013-04-25 | 2013-05-31 |
| 500 SHARES ISHARES MSCI INDONESIA ETF (WASH SALE) | | | |
| 15 SHARES EATON CORP PLC COM | | 2013-10-10 | 2013-11-05 |
| 235 SHARES EATON CORP PLC COM | | 2013-10-10 | 2013-11-05 |
| 300 SHARES EATON CORP PLC COM | | 2013-07-05 | 2013-07-12 |
| 100 SHARES EATON CORP PLC COM | | 2013-07-05 | 2013-07-11 |
| 100 SHARES EATON CORP PLC COM | | 2013-07-05 | 2013-07-11 |
| 500 SHARES ISHARES MSCI MEXICO | | 2013-04-05 | 2013-05-15 |
| 250 SHARES ISHARES MSCI MEXICO | | 2013-04-25 | 2013-05-15 |
| 100 SHARES ISHARES MSCI MEXICO | | 2013-04-05 | 2013-05-15 |
| 400 SHARES ISHARES MSCI MEXICO | | 2013-04-05 | 2013-05-15 |
| 1000 SHARES FORD MTR CO DEL COM | | 2013-05-23 | 2013-06-19 |
| 1500 SHARES FORD MTR CO DEL COM | | 2013-05-24 | 2013-06-19 |
| 1000 SHARES FIRST HORIZON NATL CORP | | 2013-07-05 | 2013-08-27 |
| 100 SHARES FIRST HORIZON NATL CORP | | 2013-07-05 | 2013-08-22 |
| 100 SHARES FIRST HORIZON NATL CORP | | 2013-07-05 | 2013-08-22 |
| 500 SHARES FIRST HORIZON NATL CORP | | 2013-07-05 | 2013-08-22 |
| 200 SHARES FIRST HORIZON NATL CORP | | 2013-07-05 | 2013-08-22 |
| 100 SHARES FIRST HORIZON NATL CORP | | 2013-07-05 | 2013-08-22 |
| 300 SHARES FIRST HORIZON NATL CORP | | 2013-06-26 | 2013-07-30 |
| 700 SHARES FIRST HORIZON NATL CORP | | 2013-06-26 | 2013-07-30 |
| 1000 SHARES FIRST HORIZON NATL CORP | | 2013-06-26 | 2013-07-30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 100 SHARES FLUOR CORP NEW | | 2013-10-10 | 2013-11-07 |
| 150 SHARES FLUOR CORP NEW | | 2013-10-10 | 2013-11-07 |
| 500 SHARES FLUOR CORP NEW | | 2013-06-20 | 2013-06-24 |
| 500 SHARES FLUOR CORP NEW | | 2013-06-20 | 2013-06-24 |
| 100 SHARES FMC TECHNOLOGIES INC | | 2013-05-20 | 2013-05-22 |
| 100 SHARES FMC TECHNOLOGIES INC (WASH SALE) | | | |
| 100 SHARES FMC TECHNOLOGIES INC | | 2013-05-20 | 2013-05-22 |
| 100 SHARES FMC TECHNOLOGIES INC (WASH SALE) | | | |
| 100 SHARES FMC TECHNOLOGIES INC | | 2013-05-20 | 2013-05-22 |
| 100 SHARES FMC TECHNOLOGIES INC | | 2013-05-20 | 2013-05-22 |
| 100 SHARES FMC TECHNOLOGIES INC | | 2013-05-20 | 2013-05-22 |
| 100 SHARES CORNING INC | | 2013-05-20 | 2013-05-22 |
| 600 SHARES CORNING INC | | 2013-05-20 | 2013-05-22 |
| 800 SHARES CORNING INC | | 2013-05-20 | 2013-05-22 |
| 75 SHARES GOOGLE INC CL A | | 2013-08-01 | 2013-08-27 |
| 75 SHARES GOOGLE INC CL A (WASH SALE) | | | |
| 25 SHARES GOOGLE INC CL A | | 2013-08-07 | 2013-08-27 |
| 25 SHARES GOOGLE INC CL A (WASH SALE) | | | |
| 50 SHARES GOOGLE INC CL A | | 2013-08-01 | 2013-08-27 |
| 50 SHARES GOOGLE INC CL A | | 2013-08-07 | 2013-08-27 |
| 25 SHARES GOOGLE INC CL A | | 2013-08-22 | 2013-08-27 |
| 300 SHARES GOLDMAN SACHS GROUP INC | | 2013-05-29 | 2013-06-24 |
| 250 SHARES HALLIBURTON CO HOLDING CO | | 2013-10-10 | 2013-12-11 |
| 250 SHARES HALLIBURTON CO HOLDING CO (WASH SALE) | | | |
| 250 SHARES HALLIBURTON CO HOLDING CO | | 2013-10-18 | 2013-12-11 |
| 250 SHARES HALLIBURTON CO HOLDING CO (WASH SALE) | | | |
| 500 SHARES HALLIBURTON CO HOLDING CO | | 2013-07-11 | 2013-07-30 |
| 500 SHARES HALLIBURTON CO HOLDING CO | | 2013-07-05 | 2013-07-26 |
| 500 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-06-27 | 2013-07-09 |
| 500 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-06-27 | 2013-07-08 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|---|
| 100 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-06-27 | 2013-07-03 |
| 200 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-06-27 | 2013-07-03 |
| 200 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-06-27 | 2013-07-03 |
| 500 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-06-27 | 2013-07-02 |
| 1000 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-06-27 | 2013-07-01 |
| 100 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-06-27 | 2013-07-01 |
| 400 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-06-27 | 2013-07-01 |
| 500 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-06-19 | 2013-06-28 |
| 1000 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-06-19 | 2013-06-28 |
| 200 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-05-30 | 2013-06-07 |
| 200 SHARES HARTFORD FINL SVCS GROUP INC (WASH SALE) | | | |
| 501 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-05-30 | 2013-06-07 |
| 501 SHARES HARTFORD FINL SVCS GROUP INC (WASH SALE) | | | |
| 799 SHARES HARTFORD FINL SVCS GROUP INC | | 2013-05-30 | 2013-06-07 |
| 799 SHARES HARTFORD FINL SVCS GROUP INC (WASH SALE) | | | |
| 1000 SHARES HONDA MOTOR CO ADR | | 2013-04-25 | 2013-06-07 |
| 200 SHARES HARLEY DAVIDSON INC COM | | 2013-03-14 | 2013-04-08 |
| 300 SHARES HARLEY DAVIDSON INC COM | | 2013-03-14 | 2013-04-08 |
| 100 SHARES ITAU UNIBANCO HOLDINGS | | 2013-05-07 | 2013-05-22 |
| 100 SHARES ITAU UNIBANCO HOLDINGS (WASH SALE) | | | |
| 200 SHARES ITAU UNIBANCO HOLDINGS | | 2013-05-07 | 2013-05-22 |
| 200 SHARES ITAU UNIBANCO HOLDINGS (WASH SALE) | | | |
| 500 SHARES ITAU UNIBANCO HOLDINGS | | 2013-05-07 | 2013-05-22 |
| 100 SHARES ITAU UNIBANCO HOLDINGS | | 2013-05-07 | 2013-05-22 |
| 100 SHARES ITAU UNIBANCO HOLDINGS (WASH SALE) | | | |
| 659 SHARES ITAU UNIBANCO HOLDINGS | | 2013-05-07 | 2013-05-22 |
| 441 SHARES ITAU UNIBANCO HOLDINGS | | 2013-05-07 | 2013-05-22 |
| 100 SHARES ILLINOIS TOOL WORKS | | 2013-07-23 | 2013-07-31 |
| 400 SHARES ILLINOIS TOOL WORKS | | 2013-07-23 | 2013-07-31 |
| 500 SHARES JACOBS ENGR GROUP INC | | 2013-10-31 | 2013-11-19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|---|
| 100 SHARES JPMORGAN CHASE & CO | | 2013-07-01 | 2013-07-11 |
| 150 SHARES JPMORGAN CHASE & CO | | 2013-07-01 | 2013-07-11 |
| 250 SHARES JPMORGAN CHASE & CO | | 2013-07-09 | 2013-07-11 |
| 100 SHARES JPMORGAN CHASE & CO | | 2013-06-22 | 2013-07-09 |
| 250 SHARES JPMORGAN CHASE & CO | | 2013-07-01 | 2013-07-09 |
| 150 SHARES JPMORGAN CHASE & CO | | 2013-07-05 | 2013-07-09 |
| 150 SHARES JPMORGAN CHASE & CO (WASH SALE) | | | |
| 100 SHARES JPMORGAN CHASE & CO | | 2013-06-20 | 2013-07-03 |
| 100 SHARES JPMORGAN CHASE & CO (WASH SALE) | | | |
| 400 SHARES JPMORGAN CHASE & CO | | 2013-06-20 | 2013-07-03 |
| 250 SHARES JPMORGAN CHASE & CO | | 2013-06-20 | 2013-06-24 |
| 250 SHARES JPMORGAN CHASE & CO (WASH SALE) | | | |
| 100 SHARES KIMBERLY CLARK CORP | | 2013-10-29 | 2013-11-25 |
| 200 SHARES KIMBERLY CLARK CORP | | 2013-10-29 | 2013-11-25 |
| 200 SHARES KIMBERLY CLARK CORP | | 2013-10-29 | 2013-11-25 |
| 200 SHARES KIMBERLY CLARK CORP | | 2013-06-03 | 2013-07-22 |
| 300 SHARES KIMBERLY CLARK CORP | | 2013-06-03 | 2013-07-22 |
| 300 SHARES KUBOTA CORP ADR | | 2013-05-23 | 2013-06-10 |
| 200 SHARES KUBOTA CORP ADR | | 2013-05-23 | 2013-06-10 |
| 100 SHARES KUBOTA CORP ADR | | 2013-05-31 | 2013-06-10 |
| 150 SHARES KUBOTA CORP ADR | | 2013-05-31 | 2013-06-10 |
| 100 SHARES U S AWYS GROUP INC | | 2013-07-01 | 2013-07-03 |
| 300 SHARES U S AWYS GROUP INC | | 2013-07-01 | 2013-07-03 |
| 1600 SHARES U S AWYS GROUP INC | | 2013-07-01 | 2013-07-03 |
| 100 SHARES U S AWYS GROUP INC | | 2013-04-23 | 2013-06-07 |
| 500 SHARES U S AWYS GROUP INC | | 2013-04-23 | 2013-06-07 |
| 521 SHARES U S AWYS GROUP INC | | 2013-04-23 | 2013-06-07 |
| 700 SHARES U S AWYS GROUP INC | | 2013-04-23 | 2013-06-07 |
| 179 SHARES U S AWYS GROUP INC | | 2013-04-23 | 2013-06-07 |
| 600 SHARES U S AWYS GROUP INC | | 2013-06-06 | 2013-06-07 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 1400 SHARES U S AWYS GROUP INC | | 2013-06-06 | 2013-06-07 |
| 500 SHARES LYONDELLBASELL INDUSTRIES | | 2013-07-15 | 2013-07-17 |
| 100 SHARES MERCADOLIBRE INC | | 2013-07-09 | 2013-07-17 |
| 100 SHARES MERCADOLIBRE INC (WASH SALE) | | | |
| 200 SHARES MERCADOLIBRE INC | | 2013-07-09 | 2013-07-17 |
| 100 SHARES MERCADOLIBRE INC | | 2013-07-09 | 2013-07-17 |
| 100 SHARES MERCADOLIBRE INC | | 2013-07-12 | 2013-07-17 |
| 100 SHARES 3M COMPANY | | 2013-12-02 | 2013-12-26 |
| 200 SHARES 3M COMPANY | | 2013-12-02 | 2013-12-26 |
| 500 SHARES 3M COMPANY | | 2013-10-18 | 2013-12-03 |
| 200 SHARES MERCK & CO INC NEW COM | | 2013-06-23 | 2013-07-09 |
| 100 SHARES MERCK & CO INC NEW COM | | 2013-06-25 | 2013-07-09 |
| 150 SHARES MERCK & CO INC NEW COM | | 2013-06-25 | 2013-07-09 |
| 50 SHARES MERCK & CO INC NEW COM | | 2013-06-23 | 2013-07-09 |
| 500 SHARES MERCK & CO INC NEW COM | | 2013-06-21 | 2013-07-03 |
| 500 SHARES MERCK & CO INC NEW COM (WASH SALE) | | | |
| 750 SHARES MERCK & CO INC NEW COM | | 2013-06-11 | 2013-06-21 |
| 750 SHARES MERCK & CO INC NEW COM (WASH SALE) | | | |
| 1000 SHARES MORGAN STANLEY | | 2013-06-05 | 2013-06-24 |
| 50 SHARES M & T BANK CORP | | 2013-06-26 | 2013-08-12 |
| 50 SHARES M & T BANK CORP | | 2013-06-26 | 2013-08-12 |
| 200 SHARES M & T BANK CORP | | 2013-07-05 | 2013-08-12 |
| 100 SHARES M & T BANK CORP | | 2013-07-22 | 2013-08-12 |
| 150 SHARES M & T BANK CORP | | 2013-07-22 | 2013-08-12 |
| 200 SHARES M & T BANK CORP | | 2013-06-26 | 2013-07-11 |
| 100 SHARES M & T BANK CORP | | 2013-06-26 | 2013-07-08 |
| 100 SHARES M & T BANK CORP | | 2013-06-26 | 2013-07-08 |
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC (WASH SALE) | | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC (WASH SALE) | | | |
| 300 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 300 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC (WASH SALE) | | | |
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC (WASH SALE) | | | |
| 400 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 1200 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 1200 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC (WASH SALE) | | | |
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC (WASH SALE) | | | |
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 200 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 300 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 300 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 100 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 1400 SHARES MITSUBISHI UFJ FINANCIAL GROUP INC | | 2013-05-16 | 2013-05-22 |
| 900 SHARES NEXTERA ENERGY INC COM | | 2013-11-21 | 2013-12-03 |
| 100 SHARES NEXTERA ENERGY INC COM | | 2013-11-21 | 2013-12-03 |
| 900 SHARES NEXTERA ENERGY INC COM | | 2013-11-21 | 2013-12-02 |
| 900 SHARES NEXTERA ENERGY INC COM (WASH SALE) | | | |
| 100 SHARES NEXTERA ENERGY INC COM | | 2013-11-21 | 2013-12-02 |
| 500 SHARES NEXTERA ENERGY INC COM | | 2013-08-16 | 2013-11-26 |
| 500 SHARES NEXTERA ENERGY INC COM | | 2013-07-11 | 2013-10-18 |
| 100 SHARES NEXTERA ENERGY INC COM | | 2013-03-14 | 2013-06-20 |
| 200 SHARES NEXTERA ENERGY INC COM | | 2013-03-14 | 2013-06-20 |
| 10 SHARES NOAH HOLDINGS LIMITED | | 2013-11-18 | 2013-12-11 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|---|--|------------------------------------|--------------------------------|
| 34 SHARES NOAH HOLDINGS LIMITED | | 2013-11-18 | 2013-12-11 |
| 600 SHARES NOAH HOLDINGS LIMITED | | 2013-11-18 | 2013-12-11 |
| 356 SHARES NOAH HOLDINGS LIMITED | | 2013-11-18 | 2013-12-11 |
| 64 SHARES NOAH HOLDINGS LIMITED | | 2013-11-18 | 2013-11-26 |
| 64 SHARES NOAH HOLDINGS LIMITED (WASH SALE) | | | |
| 100 SHARES NOAH HOLDINGS LIMITED | | 2013-11-18 | 2013-11-26 |
| 100 SHARES NOAH HOLDINGS LIMITED (WASH SALE) | | | |
| 236 SHARES NOAH HOLDINGS LIMITED | | 2013-11-18 | 2013-11-26 |
| 236 SHARES NOAH HOLDINGS LIMITED (WASH SALE) | | | |
| 100 SHARES NOAH HOLDINGS LIMITED | | 2013-11-18 | 2013-11-26 |
| 300 SHARES NORTHROP GRUMMAN CORP HOLDING CO | | 2013-05-30 | 2013-06-12 |
| 200 SHARES NORTHROP GRUMMAN CORP HOLDING CO | | 2013-05-30 | 2013-06-12 |
| 500 SHARES OWENS CORNING NEW COM | | 2013-07-09 | 2013-07-11 |
| 200 SHARES OCWEN FINL CORP COM NEW | | 2013-05-23 | 2013-11-18 |
| 300 SHARES OCWEN FINL CORP COM NEW | | 2013-05-23 | 2013-11-18 |
| 100 SHARES ONYX PHARMACEUTICALS INC | | 2013-04-25 | 2013-05-22 |
| 100 SHARES ONYX PHARMACEUTICALS INC (WASH SALE) | | | |
| 100 SHARES ONYX PHARMACEUTICALS INC | | 2013-05-07 | 2013-05-22 |
| 21 SHARES ONYX PHARMACEUTICALS INC | | 2013-04-25 | 2013-05-22 |
| 79 SHARES ONYX PHARMACEUTICALS INC | | 2013-04-25 | 2013-05-22 |
| 100 SHARES ONYX PHARMACEUTICALS INC | | 2013-05-07 | 2013-05-22 |
| 200 SHARES ONYX PHARMACEUTICALS INC | | 2013-04-25 | 2013-05-20 |
| 3 SHARES PRICELINE COM INC COM NEW | | 2013-04-18 | 2013-12-26 |
| 22 SHARES PRICELINE COM INC COM NEW | | 2013-04-18 | 2013-12-26 |
| 100 SHARES PFIZER INC | | 2013-05-06 | 2013-06-04 |
| 100 SHARES PFIZER INC | | 2013-05-06 | 2013-06-04 |
| 300 SHARES PFIZER INC | | 2013-05-06 | 2013-06-04 |
| 1000 SHARES PFIZER INC | | 2013-05-06 | 2013-06-04 |
| 500 SHARES PFIZER INC | | 2013-04-02 | 2013-05-22 |
| 200 SHARES PRINCIPAL FINANCIAL GROUP | | 2013-04-10 | 2013-07-11 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 300 SHARES PRINCIPAL FINANCIAL GROUP | | 2013-04-10 | 2013-07-11 |
| 100 SHARES PRINCIPAL FINANCIAL GROUP | | 2013-04-10 | 2013-07-08 |
| 100 SHARES PRINCIPAL FINANCIAL GROUP | | 2013-04-10 | 2013-07-08 |
| 300 SHARES PRINCIPAL FINANCIAL GROUP | | 2013-04-10 | 2013-07-08 |
| 500 SHARES PRINCIPAL FINANCIAL GROUP | | 2013-04-05 | 2013-06-20 |
| 148 SHARES PRINCIPAL FINANCIAL GROUP | | 2013-04-05 | 2013-04-25 |
| 352 SHARES PRINCIPAL FINANCIAL GROUP | | 2013-04-05 | 2013-04-25 |
| 500 SHARES PROCTER & GAMBLE CO | | 2013-10-29 | 2013-12-04 |
| 300 SHARES PROCTER & GAMBLE CO | | 2013-04-25 | 2013-05-16 |
| 1000 SHARES PNM RESOURCES INC | | 2013-04-02 | 2013-05-22 |
| 100 SHARES PNM RESOURCES INC | | 2013-04-23 | 2013-05-22 |
| 100 SHARES PNM RESOURCES INC | | 2013-04-23 | 2013-05-22 |
| 100 SHARES PNM RESOURCES INC | | 2013-04-23 | 2013-05-22 |
| 200 SHARES PNM RESOURCES INC | | 2013-04-23 | 2013-05-22 |
| 200 SHARES PNM RESOURCES INC | | 2013-04-23 | 2013-05-22 |
| 300 SHARES PNM RESOURCES INC | | 2013-04-23 | 2013-05-22 |
| 200 SHARES QUINTILES TRANSNATIONAL HOLDINGS | | 2013-05-14 | 2013-06-20 |
| 300 SHARES QUINTILES TRANSNATIONAL HOLDINGS | | 2013-05-14 | 2013-06-20 |
| 200 SHARES QUALCOMM INC | | 2013-03-13 | 2013-06-24 |
| 200 SHARES QUALCOMM INC | | 2013-03-13 | 2013-06-24 |
| 150 SHARES QUALCOMM INC | | 2013-04-25 | 2013-06-24 |
| 200 SHARES QUALCOMM INC | | 2013-04-25 | 2013-06-24 |
| 200 SHARES QUALCOMM INC | | 2013-03-13 | 2013-04-24 |
| 200 SHARES QUALCOMM INC (WASH SALE) | | | |
| 2500 SHARES RADIAN GROUP INC | | 2013-08-01 | 2013-08-27 |
| 500 SHARES RADIAN GROUP INC | | 2013-08-19 | 2013-08-27 |
| 700 SHARES REGIONS FINL CORP | | 2013-07-05 | 2013-07-19 |
| 1300 SHARES REGIONS FINL CORP | | 2013-07-05 | 2013-07-19 |
| 100 SHARES REGIONS FINL CORP | | 2013-07-01 | 2013-07-03 |
| 100 SHARES REGIONS FINL CORP | | 2013-07-01 | 2013-07-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|--|---|---|---------------------------------------|
| 100 SHARES REGIONS FINL CORP (WASH SALE) | | | |
| 100 SHARES REGIONS FINL CORP | | 2013-07-01 | 2013-07-03 |
| 100 SHARES REGIONS FINL CORP | | 2013-07-01 | 2013-07-03 |
| 200 SHARES REGIONS FINL CORP | | 2013-07-01 | 2013-07-03 |
| 400 SHARES REGIONS FINL CORP | | 2013-07-01 | 2013-07-03 |
| 1000 SHARES REGIONS FINL CORP | | 2013-07-01 | 2013-07-03 |
| 100 SHARES SANDISK CORP | | 2013-10-29 | 2013-10-30 |
| 400 SHARES SANDISK CORP | | 2013-10-29 | 2013-10-30 |
| 250 SHARES SANDISK CORP | | 2013-06-11 | 2013-07-19 |
| 250 SHARES SANDISK CORP | | 2013-04-11 | 2013-07-18 |
| 200 SHARES SANDISK CORP | | 2013-04-11 | 2013-07-18 |
| 50 SHARES SANDISK CORP | | 2013-06-11 | 2013-07-18 |
| 100 SHARES SANDISK CORP | | 2013-03-14 | 2013-07-11 |
| 100 SHARES SANDISK CORP | | 2013-03-14 | 2013-07-11 |
| 50 SHARES SANDISK CORP | | 2013-04-11 | 2013-07-11 |
| 300 SHARES SANDISK CORP | | 2013-03-14 | 2013-05-10 |
| 500 SHARES SONY CORP ADR | | 2013-08-07 | 2013-11-04 |
| 57 SHARES SONY CORP ADR | | 2013-05-10 | 2013-10-31 |
| 443 SHARES SONY CORP ADR | | 2013-05-10 | 2013-10-31 |
| 500 SHARES SONY CORP ADR | | 2013-05-10 | 2013-10-31 |
| 500 SHARES SONY CORP ADR | | 2013-04-10 | 2013-06-18 |
| 100 SHARES SONY CORP ADR | | 2013-04-10 | 2013-06-11 |
| 900 SHARES SONY CORP ADR | | 2013-04-10 | 2013-06-11 |
| 500 SHARES SEMPRA ENERGY | | 2013-10-10 | 2013-11-25 |
| 154 SHARES SEMPRA ENERGY | | 2013-07-11 | 2013-08-01 |
| 346 SHARES SEMPRA ENERGY | | 2013-07-11 | 2013-08-01 |
| 52 SHARES SUNTRUST BANKS INC | | 2013-06-20 | 2013-07-19 |
| 48 SHARES SUNTRUST BANKS INC | | 2013-06-20 | 2013-07-19 |
| 400 SHARES SUNTRUST BANKS INC | | 2013-06-20 | 2013-07-19 |
| 100 SHARES SUNTRUST BANKS INC | | 2013-05-23 | 2013-06-20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 100 SHARES SUNTRUST BANKS INC (WASH SALE) | | | |
| 200 SHARES SUNTRUST BANKS INC | | 2013-05-23 | 2013-06-20 |
| 200 SHARES SUNTRUST BANKS INC (WASH SALE) | | | |
| 200 SHARES SUNTRUST BANKS INC | | 2013-05-23 | 2013-06-20 |
| 200 SHARES SUNTRUST BANKS INC (WASH SALE) | | | |
| 100 SHARES SUNTRUST BANKS INC | | 2013-05-23 | 2013-06-20 |
| 200 SHARES SUNTRUST BANKS INC | | 2013-05-23 | 2013-06-20 |
| 200 SHARES SUNTRUST BANKS INC (WASH SALE) | | | |
| 700 SHARES SUNTRUST BANKS INC | | 2013-05-29 | 2013-06-20 |
| 300 SHARES SUNTRUST BANKS INC | | 2013-05-23 | 2013-06-18 |
| 200 SHARES SUNTRUST BANKS INC | | 2013-05-23 | 2013-06-18 |
| 100 SHARES SUNTRUST BANKS INC | | 2013-05-07 | 2013-05-22 |
| 200 SHARES SUNTRUST BANKS INC | | 2013-05-07 | 2013-05-22 |
| 700 SHARES SUNTRUST BANKS INC | | 2013-05-07 | 2013-05-22 |
| 100 SHARES SUNTRUST BANKS INC | | 2013-05-10 | 2013-05-22 |
| 100 SHARES SUNTRUST BANKS INC | | 2013-05-10 | 2013-05-22 |
| 300 SHARES SUNTRUST BANKS INC | | 2013-05-10 | 2013-05-22 |
| 500 SHARES ST JUDE MEDICAL INC | | 2013-07-05 | 2013-08-12 |
| 200 SHARES ST JUDE MEDICAL INC | | 2013-07-11 | 2013-08-12 |
| 300 SHARES ST JUDE MEDICAL INC | | 2013-07-11 | 2013-08-12 |
| 150 SHARES ST JUDE MEDICAL INC | | 2013-05-13 | 2013-07-03 |
| 350 SHARES ST JUDE MEDICAL INC | | 2013-05-13 | 2013-07-03 |
| 250 SHARES ST JUDE MEDICAL INC | | 2013-05-30 | 2013-07-03 |
| 500 SHARES ST JUDE MEDICAL INC | | 2013-05-13 | 2013-06-21 |
| 500 SHARES ST JUDE MEDICAL INC (WASH SALE) | | | |
| 100 SHARES TWITTER INC COM | | 2013-12-12 | 2013-12-13 |
| 400 SHARES TWITTER INC COM | | 2013-12-12 | 2013-12-13 |
| 750 SHARES UNITED CONTINENTAL HOLDINGS INC | | 2013-07-05 | 2013-07-09 |
| 500 SHARES UNITEDHEALTH GROUP | | 2013-06-10 | 2013-06-24 |
| 500 SHARES UNITED TECHNOLOGIES CORP | | 2013-05-30 | 2013-06-12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 200 SHARES VISA INC COM CL A | | 2013-10-31 | 2013-12-26 |
| 200 SHARES WELLS FARGO & CO NEW | | 2013-07-05 | 2013-08-12 |
| 250 SHARES WELLS FARGO & CO NEW | | 2013-07-09 | 2013-08-12 |
| 50 SHARES WELLS FARGO & CO NEW | | 2013-07-05 | 2013-08-12 |
| 250 SHARES WELLS FARGO & CO NEW | | 2013-07-05 | 2013-07-12 |
| 200 SHARES WELLS FARGO & CO NEW | | 2013-07-05 | 2013-07-11 |
| 50 SHARES WELLS FARGO & CO NEW | | 2013-07-05 | 2013-07-11 |
| 250 SHARES WELLS FARGO & CO NEW | | 2013-07-05 | 2013-07-11 |
| 250 SHARES WELLS FARGO & CO NEW (WASH SALE) | | | |
| 400 SHARES WELLS FARGO & CO NEW | | 2013-05-07 | 2013-05-22 |
| 100 SHARES WELLS FARGO & CO NEW | | 2013-05-07 | 2013-05-22 |
| 500 SHARES WELLS FARGO & CO NEW | | 2013-05-10 | 2013-05-22 |
| 200 SHARES WELLS FARGO & CO NEW | | 2013-04-05 | 2013-05-20 |
| 300 SHARES WELLS FARGO & CO NEW | | 2013-04-05 | 2013-05-20 |
| 500 SHARES YAHOO INC | | 2013-03-26 | 2013-07-11 |
| 100 SHARES ZIONS BANCORP | | 2013-07-25 | 2013-07-30 |
| 400 SHARES ZIONS BANCORP | | 2013-07-25 | 2013-07-30 |
| CAPITAL GAINS DIVIDENDS | P | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 13,599 | | 13,264 | 335 |
| 25,786 | | 25,144 | 642 |
| 55,790 | | 52,413 | 3,377 |
| 558 | | 524 | 34 |
| 5,034 | | 4,717 | 317 |
| 50,348 | | 47,165 | 3,183 |
| 55,946 | | 52,280 | 3,666 |
| 30,531 | | 38,708 | -8,177 |
| 4,234 | | 4,533 | -299 |
| | | | 298 |
| 6,351 | | 6,789 | -438 |
| | | | 437 |
| 10,596 | | 11,315 | -719 |
| | | | 718 |
| 2,093 | | 2,442 | -349 |
| | | | 347 |
| 10,472 | | 11,463 | -991 |
| 10,472 | | 12,181 | -1,709 |
| 8,377 | | 9,756 | -1,379 |
| | | | 4,078 |
| 44,980 | | 44,497 | 483 |
| 29,705 | | 27,751 | 1,954 |
| 49,508 | | 46,260 | 3,248 |
| 34,517 | | 32,144 | 2,373 |
| 34,521 | | 29,908 | 4,613 |
| 28,072 | | 30,394 | -2,322 |
| | | | 2,322 |
| 28,100 | | 31,281 | -3,181 |
| 1,124 | | 1,158 | -34 |
| | | | 3,181 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 12,926 | | 13,323 | -397 |
| 29,352 | | 31,261 | -1,909 |
| | | | 1,910 |
| 29,890 | | 30,555 | -665 |
| | | | 665 |
| 8,437 | | 8,031 | 406 |
| 8,437 | | 8,024 | 413 |
| 25,312 | | 24,069 | 1,243 |
| 37,761 | | 38,070 | -309 |
| 7,202 | | 6,850 | 352 |
| 28,803 | | 27,401 | 1,402 |
| 13,462 | | 12,663 | 799 |
| 6,132 | | 6,354 | -222 |
| | | | 221 |
| 2,473 | | 2,549 | -76 |
| | | | 76 |
| 3,710 | | 3,812 | -102 |
| | | | 102 |
| 27,352 | | 27,054 | 298 |
| 27,360 | | 27,054 | 306 |
| 7,869 | | 7,647 | 222 |
| 7,866 | | 7,639 | 227 |
| 7,866 | | 7,639 | 227 |
| 15,732 | | 15,278 | 454 |
| 38,491 | | 38,208 | 283 |
| 29,992 | | 31,041 | -1,049 |
| 11,458 | | 10,344 | 1,114 |
| 17,187 | | 15,502 | 1,685 |
| 5,674 | | 5,936 | -262 |
| | | | 262 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|---|--|---|
| 5,674 | | 5,944 | -270 |
| | | | 270 |
| 5,674 | | 5,940 | -266 |
| | | | 266 |
| 11,349 | | 11,880 | -531 |
| | | | 531 |
| 1,953 | | 2,252 | -299 |
| 1,953 | | 2,260 | -307 |
| 5,860 | | 6,755 | -895 |
| 9,767 | | 11,260 | -1,493 |
| 31,492 | | 30,583 | 909 |
| 30,974 | | 30,958 | 16 |
| 31,012 | | 32,186 | -1,174 |
| 48,741 | | 47,918 | 823 |
| 11,745 | | 11,697 | 48 |
| 5,872 | | 5,850 | 22 |
| 41,126 | | 40,845 | 281 |
| 16,584 | | 16,631 | -47 |
| | | | 46 |
| 29,559 | | 28,756 | 803 |
| 19,456 | | 19,060 | 396 |
| 9,728 | | 9,468 | 260 |
| 9,728 | | 9,473 | 255 |
| 1,914 | | 1,914 | 0 |
| 17,228 | | 17,154 | 74 |
| 7,318 | | 7,236 | 82 |
| 7,325 | | 7,236 | 89 |
| 7,328 | | 7,236 | 92 |
| 14,648 | | 14,472 | 176 |
| 1,894 | | 1,810 | 84 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|---|--|---|
| 1,894 | | 1,818 | 76 |
| 7,577 | | 7,239 | 338 |
| 7,577 | | 7,236 | 341 |
| 6,389 | | 6,022 | 367 |
| 19,166 | | 18,042 | 1,124 |
| 6,389 | | 6,154 | 235 |
| 6,037 | | 6,141 | -104 |
| | | | 104 |
| 24,147 | | 24,550 | -403 |
| 12,187 | | 12,368 | -181 |
| | | | 181 |
| 18,280 | | 18,540 | -260 |
| | | | 260 |
| 15,511 | | 14,410 | 1,101 |
| 15,511 | | 14,194 | 1,317 |
| 7,408 | | 7,211 | 197 |
| 14,808 | | 14,423 | 385 |
| 7,408 | | 7,210 | 198 |
| 7,408 | | 7,211 | 197 |
| 26,952 | | 27,531 | -579 |
| | | | 580 |
| 40,433 | | 41,297 | -864 |
| | | | 144 |
| 35,491 | | 34,128 | 1,363 |
| 4,491 | | 4,463 | 28 |
| 65,790 | | 65,265 | 525 |
| 14,022 | | 14,200 | -178 |
| | | | 178 |
| 8,693 | | 8,798 | -105 |
| | | | 104 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 12,339 | | 12,487 | -148 |
| 22,715 | | 23,447 | -732 |
| 12,339 | | 12,583 | -244 |
| 24,812 | | 23,808 | 1,004 |
| 24,542 | | 23,808 | 734 |
| 28,992 | | 29,948 | -956 |
| 15,531 | | 18,203 | -2,672 |
| 15,531 | | 17,709 | -2,178 |
| 17,087 | | 17,581 | -494 |
| | | | 495 |
| 1,049 | | 1,013 | 36 |
| 16,418 | | 15,875 | 543 |
| 20,425 | | 20,070 | 355 |
| 6,795 | | 6,687 | 108 |
| 6,795 | | 6,690 | 105 |
| 35,832 | | 36,973 | -1,141 |
| 17,916 | | 18,269 | -353 |
| 5,431 | | 5,428 | 3 |
| 21,722 | | 21,724 | -2 |
| 15,590 | | 14,804 | 786 |
| 23,384 | | 22,188 | 1,196 |
| 11,335 | | 12,290 | -955 |
| 1,191 | | 1,237 | -46 |
| 1,191 | | 1,229 | -38 |
| 5,975 | | 6,144 | -169 |
| 2,390 | | 2,457 | -67 |
| 1,195 | | 1,229 | -34 |
| 3,750 | | 3,364 | 386 |
| 8,742 | | 7,850 | 892 |
| 12,490 | | 11,214 | 1,276 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 7,630 | | 7,148 | 482 |
| 11,445 | | 10,731 | 714 |
| 28,938 | | 30,111 | -1,173 |
| 29,352 | | 30,111 | -759 |
| 5,741 | | 5,880 | -139 |
| | | | 139 |
| 5,749 | | 5,880 | -131 |
| | | | 131 |
| 5,749 | | 6,014 | -265 |
| 5,750 | | 6,006 | -256 |
| 5,749 | | 5,876 | -127 |
| 1,579 | | 1,633 | -54 |
| 9,474 | | 9,795 | -321 |
| 12,628 | | 13,060 | -432 |
| 63,764 | | 67,810 | -4,046 |
| | | | 4,046 |
| 21,255 | | 22,304 | -1,049 |
| | | | 1,049 |
| 42,612 | | 47,306 | -4,694 |
| 42,612 | | 46,077 | -3,465 |
| 21,306 | | 21,839 | -533 |
| 44,975 | | 48,704 | -3,729 |
| 12,246 | | 12,477 | -231 |
| | | | 231 |
| 12,246 | | 13,073 | -827 |
| | | | 827 |
| 22,593 | | 22,037 | 556 |
| 22,997 | | 21,788 | 1,209 |
| 15,790 | | 15,619 | 171 |
| 15,742 | | 15,619 | 123 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|---|--|---|
| 3,022 | | 3,124 | -102 |
| 6,036 | | 6,248 | -212 |
| 6,045 | | 6,248 | -203 |
| 15,692 | | 15,619 | 73 |
| 31,222 | | 31,239 | -17 |
| 3,147 | | 3,124 | 23 |
| 12,580 | | 12,496 | 84 |
| 15,513 | | 16,427 | -914 |
| 30,812 | | 32,848 | -2,036 |
| 5,924 | | 6,245 | -321 |
| | | | 321 |
| 14,840 | | 15,649 | -809 |
| | | | 809 |
| 23,667 | | 24,953 | -1,286 |
| | | | 1,286 |
| 36,252 | | 40,811 | -4,559 |
| 10,191 | | 10,986 | -795 |
| 15,286 | | 16,466 | -1,180 |
| 1,611 | | 1,712 | -101 |
| | | | 100 |
| 3,221 | | 3,423 | -202 |
| | | | 202 |
| 8,053 | | 8,549 | -496 |
| 1,611 | | 1,810 | -199 |
| | | | 339 |
| 10,614 | | 11,269 | -655 |
| 7,105 | | 8,082 | -977 |
| 7,225 | | 7,082 | 143 |
| 28,937 | | 28,300 | 637 |
| 30,017 | | 30,558 | -541 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 5,568 | | 5,502 | 66 |
| 8,374 | | 8,253 | 121 |
| 13,957 | | 13,601 | 356 |
| 5,443 | | 5,338 | 105 |
| 13,607 | | 13,761 | -154 |
| 8,164 | | 8,000 | 164 |
| | | | 154 |
| 5,241 | | 5,246 | -5 |
| | | | 5 |
| 20,999 | | 20,984 | 15 |
| 12,688 | | 13,115 | -427 |
| | | | 427 |
| 10,904 | | 10,808 | 96 |
| 21,808 | | 21,600 | 208 |
| 21,808 | | 21,600 | 208 |
| 19,557 | | 19,392 | 165 |
| 29,335 | | 29,084 | 251 |
| 23,701 | | 23,658 | 43 |
| 15,806 | | 15,772 | 34 |
| 7,903 | | 7,515 | 388 |
| 11,854 | | 11,255 | 599 |
| 1,647 | | 1,695 | -48 |
| 4,936 | | 5,085 | -149 |
| 26,356 | | 27,122 | -766 |
| 1,672 | | 1,634 | 38 |
| 8,357 | | 8,128 | 229 |
| 8,711 | | 8,470 | 241 |
| 11,703 | | 11,380 | 323 |
| 2,991 | | 2,910 | 81 |
| 10,026 | | 9,973 | 53 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|---|--|---|
| 23,394 | | 23,269 | 125 |
| 34,366 | | 35,355 | -989 |
| 10,657 | | 10,783 | -126 |
| | | | 126 |
| 21,326 | | 21,565 | -239 |
| 10,663 | | 11,470 | -807 |
| 10,663 | | 11,339 | -676 |
| 13,750 | | 12,963 | 787 |
| 27,512 | | 25,935 | 1,577 |
| 27,512 | | 25,928 | 1,584 |
| 63,106 | | 61,508 | 1,598 |
| 9,596 | | 9,712 | -116 |
| 4,795 | | 4,811 | -16 |
| 7,193 | | 7,220 | -27 |
| 2,398 | | 2,428 | -30 |
| 23,103 | | 23,955 | -852 |
| | | | 426 |
| 35,161 | | 36,118 | -957 |
| | | | 957 |
| 24,152 | | 25,178 | -1,026 |
| 5,883 | | 5,499 | 384 |
| 5,883 | | 5,499 | 384 |
| 23,531 | | 22,896 | 635 |
| 11,766 | | 11,944 | -178 |
| 17,649 | | 17,904 | -255 |
| 23,312 | | 21,996 | 1,316 |
| 11,635 | | 11,006 | 629 |
| 11,642 | | 10,998 | 644 |
| 672 | | 684 | -12 |
| | | | 12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|---|--|---|
| 672 | | 684 | -12 |
| | | | 12 |
| 2,015 | | 2,052 | -37 |
| | | | 37 |
| 673 | | 696 | -23 |
| | | | 23 |
| 2,693 | | 2,786 | -93 |
| 8,078 | | 8,209 | -131 |
| | | | 224 |
| 673 | | 707 | -34 |
| | | | 34 |
| 673 | | 684 | -11 |
| 673 | | 684 | -11 |
| 1,346 | | 1,368 | -22 |
| 2,020 | | 2,052 | -32 |
| 2,019 | | 2,052 | -33 |
| 673 | | 707 | -34 |
| 673 | | 718 | -45 |
| 673 | | 707 | -34 |
| 9,422 | | 9,753 | -331 |
| 75,659 | | 80,059 | -4,400 |
| 8,407 | | 8,668 | -261 |
| 75,970 | | 78,020 | -2,050 |
| | | | 2,050 |
| 8,441 | | 8,668 | -227 |
| 42,701 | | 40,958 | 1,743 |
| 41,716 | | 41,370 | 346 |
| 7,720 | | 7,405 | 315 |
| 15,440 | | 14,793 | 647 |
| 185 | | 292 | -107 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|--|---|--|
| 648 | | 993 | -345 |
| 11,419 | | 14,782 | -3,363 |
| 6,775 | | 10,384 | -3,609 |
| 1,292 | | 1,572 | -280 |
| | | | 281 |
| 2,018 | | 2,475 | -457 |
| | | | 456 |
| 4,763 | | 5,841 | -1,078 |
| | | | 1,078 |
| 2,018 | | 2,464 | -446 |
| 24,898 | | 25,019 | -121 |
| 16,604 | | 16,679 | -75 |
| 20,192 | | 19,727 | 465 |
| 10,477 | | 8,174 | 2,303 |
| 15,715 | | 12,247 | 3,468 |
| 9,280 | | 9,463 | -183 |
| | | | 183 |
| 9,280 | | 9,021 | 259 |
| 1,992 | | 1,933 | 59 |
| 7,495 | | 7,261 | 234 |
| 9,487 | | 9,009 | 478 |
| 19,198 | | 18,925 | 273 |
| 3,546 | | 2,063 | 1,483 |
| 26,008 | | 15,080 | 10,928 |
| 2,774 | | 2,889 | -115 |
| 2,774 | | 2,881 | -107 |
| 8,334 | | 8,642 | -308 |
| 27,780 | | 28,768 | -988 |
| 14,887 | | 14,587 | 300 |
| 7,706 | | 6,975 | 731 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 11,568 | | 10,463 | 1,105 |
| 3,838 | | 3,488 | 350 |
| 3,838 | | 3,488 | 350 |
| 11,506 | | 10,463 | 1,043 |
| 18,537 | | 16,395 | 2,142 |
| 5,348 | | 4,861 | 487 |
| 12,721 | | 11,542 | 1,179 |
| 41,491 | | 41,238 | 253 |
| 24,052 | | 23,223 | 829 |
| 23,412 | | 23,078 | 334 |
| 2,311 | | 2,372 | -61 |
| 2,311 | | 2,372 | -61 |
| 2,311 | | 2,374 | -63 |
| 4,621 | | 4,745 | -124 |
| 4,621 | | 4,754 | -133 |
| 6,932 | | 7,125 | -193 |
| 8,631 | | 8,854 | -223 |
| 12,947 | | 13,275 | -328 |
| 11,923 | | 13,392 | -1,469 |
| 11,923 | | 13,135 | -1,212 |
| 8,943 | | 9,297 | -354 |
| 11,923 | | 12,403 | -480 |
| 13,252 | | 13,392 | -140 |
| | | | 140 |
| 31,697 | | 36,179 | -4,482 |
| 6,339 | | 6,428 | -89 |
| 7,135 | | 7,094 | 41 |
| 13,239 | | 13,174 | 65 |
| 976 | | 975 | 1 |
| 976 | | 979 | -3 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| | | | 3 |
| 979 | | 979 | 0 |
| 979 | | 978 | 1 |
| 1,958 | | 1,950 | 8 |
| 3,917 | | 3,900 | 17 |
| 9,795 | | 9,750 | 45 |
| 6,953 | | 7,075 | -122 |
| 27,813 | | 28,276 | -463 |
| 15,567 | | 14,874 | 693 |
| 15,087 | | 14,400 | 687 |
| 12,358 | | 11,520 | 838 |
| 3,090 | | 2,975 | 115 |
| 6,076 | | 5,524 | 552 |
| 6,076 | | 5,522 | 554 |
| 3,038 | | 2,880 | 158 |
| 17,188 | | 16,572 | 616 |
| 8,547 | | 10,106 | -1,559 |
| 967 | | 1,026 | -59 |
| 7,575 | | 7,977 | -402 |
| 8,552 | | 9,004 | -452 |
| 10,654 | | 8,476 | 2,178 |
| 2,044 | | 1,695 | 349 |
| 18,379 | | 15,257 | 3,122 |
| 45,031 | | 43,700 | 1,331 |
| 13,583 | | 12,767 | 816 |
| 30,517 | | 28,664 | 1,853 |
| 1,800 | | 1,813 | -13 |
| 1,662 | | 1,715 | -53 |
| 13,876 | | 14,140 | -264 |
| 3,108 | | 3,177 | -69 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| | | | 69 |
| 6,208 | | 6,355 | -147 |
| | | | 147 |
| 6,214 | | 6,355 | -141 |
| | | | 141 |
| 3,107 | | 3,274 | -167 |
| 6,214 | | 6,557 | -343 |
| | | | 510 |
| 21,751 | | 22,419 | -668 |
| 9,535 | | 9,532 | 3 |
| 6,363 | | 6,355 | 8 |
| 3,174 | | 3,025 | 149 |
| 6,349 | | 6,051 | 298 |
| 22,239 | | 21,179 | 1,060 |
| 3,177 | | 3,043 | 134 |
| 3,177 | | 3,035 | 142 |
| 9,531 | | 9,104 | 427 |
| 26,721 | | 23,055 | 3,666 |
| 10,688 | | 9,599 | 1,089 |
| 16,032 | | 14,387 | 1,645 |
| 6,866 | | 6,738 | 128 |
| 16,018 | | 15,723 | 295 |
| 11,441 | | 11,149 | 292 |
| 22,424 | | 22,587 | -163 |
| | | | 163 |
| 5,909 | | 5,481 | 428 |
| 23,637 | | 21,936 | 1,701 |
| 23,782 | | 23,570 | 212 |
| 32,231 | | 31,848 | 383 |
| 46,553 | | 47,972 | -1,419 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 43,901 | | 39,368 | 4,533 |
| 8,587 | | 8,517 | 70 |
| 10,733 | | 10,612 | 121 |
| 2,147 | | 2,127 | 20 |
| 10,629 | | 10,464 | 165 |
| 8,379 | | 8,371 | 8 |
| 2,096 | | 2,093 | 3 |
| 10,441 | | 10,464 | -23 |
| | | | 23 |
| 16,332 | | 15,273 | 1,059 |
| 4,082 | | 3,818 | 264 |
| 20,411 | | 19,003 | 1,408 |
| 8,027 | | 7,371 | 656 |
| 12,032 | | 11,057 | 975 |
| 13,502 | | 11,733 | 1,769 |
| 2,933 | | 2,972 | -39 |
| 11,724 | | 11,886 | -162 |
| 219 | | | 219 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 335 |
| | | | 642 |
| | | | 3,377 |
| | | | 34 |
| | | | 317 |
| | | | 3,183 |
| | | | 3,666 |
| | | | -8,177 |
| | | | -299 |
| | | | 298 |
| | | | -438 |
| | | | 437 |
| | | | -719 |
| | | | 718 |
| | | | -349 |
| | | | 347 |
| | | | -991 |
| | | | -1,709 |
| | | | -1,379 |
| | | | 4,078 |
| | | | 483 |
| | | | 1,954 |
| | | | 3,248 |
| | | | 2,373 |
| | | | 4,613 |
| | | | -2,322 |
| | | | 2,322 |
| | | | -3,181 |
| | | | -34 |
| | | | 3,181 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -397 |
| | | | -1,909 |
| | | | 1,910 |
| | | | -665 |
| | | | 665 |
| | | | 406 |
| | | | 413 |
| | | | 1,243 |
| | | | -309 |
| | | | 352 |
| | | | 1,402 |
| | | | 799 |
| | | | -222 |
| | | | 221 |
| | | | -76 |
| | | | 76 |
| | | | -102 |
| | | | 102 |
| | | | 298 |
| | | | 306 |
| | | | 222 |
| | | | 227 |
| | | | 227 |
| | | | 454 |
| | | | 283 |
| | | | -1,049 |
| | | | 1,114 |
| | | | 1,685 |
| | | | -262 |
| | | | 262 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -270 |
| | | | 270 |
| | | | -266 |
| | | | 266 |
| | | | -531 |
| | | | 531 |
| | | | -299 |
| | | | -307 |
| | | | -895 |
| | | | -1,493 |
| | | | 909 |
| | | | 16 |
| | | | -1,174 |
| | | | 823 |
| | | | 48 |
| | | | 22 |
| | | | 281 |
| | | | -47 |
| | | | 46 |
| | | | 803 |
| | | | 396 |
| | | | 260 |
| | | | 255 |
| | | | 0 |
| | | | 74 |
| | | | 82 |
| | | | 89 |
| | | | 92 |
| | | | 176 |
| | | | 84 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 76 |
| | | | 338 |
| | | | 341 |
| | | | 367 |
| | | | 1,124 |
| | | | 235 |
| | | | -104 |
| | | | 104 |
| | | | -403 |
| | | | -181 |
| | | | 181 |
| | | | -260 |
| | | | 260 |
| | | | 1,101 |
| | | | 1,317 |
| | | | 197 |
| | | | 385 |
| | | | 198 |
| | | | 197 |
| | | | -579 |
| | | | 580 |
| | | | -864 |
| | | | 144 |
| | | | 1,363 |
| | | | 28 |
| | | | 525 |
| | | | -178 |
| | | | 178 |
| | | | -105 |
| | | | 104 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -148 |
| | | | -732 |
| | | | -244 |
| | | | 1,004 |
| | | | 734 |
| | | | -956 |
| | | | -2,672 |
| | | | -2,178 |
| | | | -494 |
| | | | 495 |
| | | | 36 |
| | | | 543 |
| | | | 355 |
| | | | 108 |
| | | | 105 |
| | | | -1,141 |
| | | | -353 |
| | | | 3 |
| | | | -2 |
| | | | 786 |
| | | | 1,196 |
| | | | -955 |
| | | | -46 |
| | | | -38 |
| | | | -169 |
| | | | -67 |
| | | | -34 |
| | | | 386 |
| | | | 892 |
| | | | 1,276 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 482 |
| | | | 714 |
| | | | -1,173 |
| | | | -759 |
| | | | -139 |
| | | | 139 |
| | | | -131 |
| | | | 131 |
| | | | -265 |
| | | | -256 |
| | | | -127 |
| | | | -54 |
| | | | -321 |
| | | | -432 |
| | | | -4,046 |
| | | | 4,046 |
| | | | -1,049 |
| | | | 1,049 |
| | | | -4,694 |
| | | | -3,465 |
| | | | -533 |
| | | | -3,729 |
| | | | -231 |
| | | | 231 |
| | | | -827 |
| | | | 827 |
| | | | 556 |
| | | | 1,209 |
| | | | 171 |
| | | | 123 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -102 |
| | | | -212 |
| | | | -203 |
| | | | 73 |
| | | | -17 |
| | | | 23 |
| | | | 84 |
| | | | -914 |
| | | | -2,036 |
| | | | -321 |
| | | | 321 |
| | | | -809 |
| | | | 809 |
| | | | -1,286 |
| | | | 1,286 |
| | | | -4,559 |
| | | | -795 |
| | | | -1,180 |
| | | | -101 |
| | | | 100 |
| | | | -202 |
| | | | 202 |
| | | | -496 |
| | | | -199 |
| | | | 339 |
| | | | -655 |
| | | | -977 |
| | | | 143 |
| | | | 637 |
| | | | -541 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 66 |
| | | | 121 |
| | | | 356 |
| | | | 105 |
| | | | -154 |
| | | | 164 |
| | | | 154 |
| | | | -5 |
| | | | 5 |
| | | | 15 |
| | | | -427 |
| | | | 427 |
| | | | 96 |
| | | | 208 |
| | | | 208 |
| | | | 165 |
| | | | 251 |
| | | | 43 |
| | | | 34 |
| | | | 388 |
| | | | 599 |
| | | | -48 |
| | | | -149 |
| | | | -766 |
| | | | 38 |
| | | | 229 |
| | | | 241 |
| | | | 323 |
| | | | 81 |
| | | | 53 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 125 |
| | | | -989 |
| | | | -126 |
| | | | 126 |
| | | | -239 |
| | | | -807 |
| | | | -676 |
| | | | 787 |
| | | | 1,577 |
| | | | 1,584 |
| | | | 1,598 |
| | | | -116 |
| | | | -16 |
| | | | -27 |
| | | | -30 |
| | | | -852 |
| | | | 426 |
| | | | -957 |
| | | | 957 |
| | | | -1,026 |
| | | | 384 |
| | | | 384 |
| | | | 635 |
| | | | -178 |
| | | | -255 |
| | | | 1,316 |
| | | | 629 |
| | | | 644 |
| | | | -12 |
| | | | 12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -12 |
| | | | 12 |
| | | | -37 |
| | | | 37 |
| | | | -23 |
| | | | 23 |
| | | | -93 |
| | | | -131 |
| | | | 224 |
| | | | -34 |
| | | | 34 |
| | | | -11 |
| | | | -11 |
| | | | -22 |
| | | | -32 |
| | | | -33 |
| | | | -34 |
| | | | -45 |
| | | | -34 |
| | | | -331 |
| | | | -4,400 |
| | | | -261 |
| | | | -2,050 |
| | | | 2,050 |
| | | | -227 |
| | | | 1,743 |
| | | | 346 |
| | | | 315 |
| | | | 647 |
| | | | -107 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -345 |
| | | | -3,363 |
| | | | -3,609 |
| | | | -280 |
| | | | 281 |
| | | | -457 |
| | | | 456 |
| | | | -1,078 |
| | | | 1,078 |
| | | | -446 |
| | | | -121 |
| | | | -75 |
| | | | 465 |
| | | | 2,303 |
| | | | 3,468 |
| | | | -183 |
| | | | 183 |
| | | | 259 |
| | | | 59 |
| | | | 234 |
| | | | 478 |
| | | | 273 |
| | | | 1,483 |
| | | | 10,928 |
| | | | -115 |
| | | | -107 |
| | | | -308 |
| | | | -988 |
| | | | 300 |
| | | | 731 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 1,105 |
| | | | 350 |
| | | | 350 |
| | | | 1,043 |
| | | | 2,142 |
| | | | 487 |
| | | | 1,179 |
| | | | 253 |
| | | | 829 |
| | | | 334 |
| | | | -61 |
| | | | -61 |
| | | | -63 |
| | | | -124 |
| | | | -133 |
| | | | -193 |
| | | | -223 |
| | | | -328 |
| | | | -1,469 |
| | | | -1,212 |
| | | | -354 |
| | | | -480 |
| | | | -140 |
| | | | 140 |
| | | | -4,482 |
| | | | -89 |
| | | | 41 |
| | | | 65 |
| | | | 1 |
| | | | -3 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 3 |
| | | | 0 |
| | | | 1 |
| | | | 8 |
| | | | 17 |
| | | | 45 |
| | | | -122 |
| | | | -463 |
| | | | 693 |
| | | | 687 |
| | | | 838 |
| | | | 115 |
| | | | 552 |
| | | | 554 |
| | | | 158 |
| | | | 616 |
| | | | -1,559 |
| | | | -59 |
| | | | -402 |
| | | | -452 |
| | | | 2,178 |
| | | | 349 |
| | | | 3,122 |
| | | | 1,331 |
| | | | 816 |
| | | | 1,853 |
| | | | -13 |
| | | | -53 |
| | | | -264 |
| | | | -69 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 69 |
| | | | -147 |
| | | | 147 |
| | | | -141 |
| | | | 141 |
| | | | -167 |
| | | | -343 |
| | | | 510 |
| | | | -668 |
| | | | 3 |
| | | | 8 |
| | | | 149 |
| | | | 298 |
| | | | 1,060 |
| | | | 134 |
| | | | 142 |
| | | | 427 |
| | | | 3,666 |
| | | | 1,089 |
| | | | 1,645 |
| | | | 128 |
| | | | 295 |
| | | | 292 |
| | | | -163 |
| | | | 163 |
| | | | 428 |
| | | | 1,701 |
| | | | 212 |
| | | | 383 |
| | | | -1,419 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 4,533 |
| | | | 70 |
| | | | 121 |
| | | | 20 |
| | | | 165 |
| | | | 8 |
| | | | 3 |
| | | | -23 |
| | | | 23 |
| | | | 1,059 |
| | | | 264 |
| | | | 1,408 |
| | | | 656 |
| | | | 975 |
| | | | 1,769 |
| | | | -39 |
| | | | -162 |
| | | | 219 |

TY 2013 Accounting Fees Schedule

Name: THE ROBERT & MARY STOTT FOUNDATION INC
C/O: DONALD B STOTT
EIN: 20-2807705

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-------------------|--------|-----------------------|---------------------|---------------------------------------|
| PROFESSIONAL FEES | 4,400 | 2,200 | | 2,200 |

TY 2013 Applied to Prior Year Election

Name: THE ROBERT & MARY STOTT FOUNDATION INC

C/O DONALD B STOTT

EIN: 20-2807705

Election: THE FOUNDATION HEREBY ELECTS TO APPLY \$19,848 OF THE 2009 QUALIFYING DISTRIBUTIONS TO UNDISTRIBUTED INCOME REMAINING FROM YEARS BEFORE 2008 (2005 AND 2007) ACCORDING TO REGULATIONS SECTION 53.4942(A)-3(D)(2).

TY 2013 Explanation of Non-Filing with Attorney General Statement

Name: THE ROBERT & MARY STOTT FOUNDATION INC

C/O DONALD B STOTT

EIN: 20-2807705

Statement: N/A

TY 2013 Investments Corporate Stock Schedule

Name: THE ROBERT & MARY STOTT FOUNDATION INC
C/O DONALD B STOTT
EIN: 20-2807705

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---------------------|------------------------|-------------------------------|
| FIDELITY SECURITIES | 562,999 | 645,314 |

TY 2013 Other Expenses Schedule

Name: THE ROBERT & MARY STOTT FOUNDATION INC
C/O: DONALD B STOTT
EIN: 20-2807705

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| REGISTRATION FEE | 195 | 0 | | 195 |
| INVESTMENT INTEREST EXPENSE | 1,410 | 1,410 | | 0 |
| TRANSACTION COSTS | 14 | 14 | | 0 |

TY 2013 Taxes Schedule

Name: THE ROBERT & MARY STOTT FOUNDATION INC
C/O: DONALD B STOTT
EIN: 20-2807705

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|------------------|--------|-----------------------|---------------------|---------------------------------------|
| FORM 990-PF TAX | 4,382 | 0 | | 4,382 |
| FOREIGN TAX PAID | 53 | 53 | | 0 |