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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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- Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2013

Open to Public
Inspection

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

Name of foundation THE ROSS FOUNDATION INC C/O JON FORSTER % JONATHAN M FORSTER ESQUIRE		A Employer identification number 20-2030972	
Number and street (or P O box number if mail is not delivered to street address) 1750 TYSONS BLVD Suite 1200		B Telephone number (see instructions) (703) 903-7504	
C If exemption application is pending, check here ► <input type="checkbox"/>			
D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>			
E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>			
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>			
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 1,722,658	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	(Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc, received (attach schedule)	0			
2	Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	2,491	2,491		
4	Dividends and interest from securities.	17,489	17,489		
5a	Gross rents				
b	Net rental income or (loss) _____				
6a	Net gain or (loss) from sale of assets not on line 10	16,488			
b	Gross sales price for all assets on line 6a 947,361				
7	Capital gain net income (from Part IV, line 2) . . .		16,488		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	-\$9,092	-9,092		
12	Total. Add lines 1 through 11	27,376	27,376		
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)	4,969	0	0	0
b	Accounting fees (attach schedule)	3,167	3,167	0	0
c	Other professional fees (attach schedule)	13,998	13,998		
17	Interest				
18	Taxes (attach schedule) (see instructions)				
19	Depreciation (attach schedule) and depletion . . .				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses.				
	Add lines 13 through 23	22,134	17,165	0	0
25	Contributions, gifts, grants paid	79,381			79,381
26	Total expenses and disbursements. Add lines 24 and 25	101,515	17,165	0	79,381
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-74,139			
b	Net investment income (if negative, enter -0-) . . .		10,211		
c	Adjusted net income (if negative, enter -0-) . . .				

Part III Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	26,259	13,427	13,427
2	Savings and temporary cash investments			
3	Accounts receivable ►			
	Less allowance for doubtful accounts ►			
4	Pledges receivable ►			
	Less allowance for doubtful accounts ►			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ►			
	Less allowance for doubtful accounts ►			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)	127,141		
b	Investments—corporate stock (attach schedule)	672,232	528,050	579,049
c	Investments—corporate bonds (attach schedule)	375,000	396,000	578,868
11	Investments—land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	300,000	492,316	551,314
14	Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
15	Other assets (describe ►)			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,500,632	1,429,793	1,722,658
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ►)			
23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances				
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	1,500,632	1,429,793	
30	Total net assets or fund balances (see page 17 of the instructions)	1,500,632	1,429,793	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,500,632	1,429,793	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,500,632
2	Enter amount from Part I, line 27a	2	-74,139
3	Other increases not included in line 2 (itemize) ►	3	3,300
4	Add lines 1, 2, and 3	4	1,429,793
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,429,793

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
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1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
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a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
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a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	2	16,488
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		3	

If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	95,185	1,591,523	0 059807
2011	61,714	1,912,274	0 032273
2010	97,900	1,617,068	0 060542
2009	113,581	1,666,885	0 06814
2008	127,202	2,281,316	0 055758

2 Total of line 1, column (d).	2	0 27652
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 055304
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,604,650
5 Multiply line 4 by line 3.	5	88,744
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	102
7 Add lines 5 and 6.	7	88,846
8 Enter qualifying distributions from Part XII, line 4.	8	79,381

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
b	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	204
c	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	204
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	204
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	805
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	805
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	601
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 601 Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input checked="" type="checkbox"/>	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b	No
c	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1c	No
d	Did the foundation file Form 1120-POL for this year?		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> <input checked="" type="checkbox"/>	3	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> <input checked="" type="checkbox"/>	5	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ► N/A

14 The books are in care of ► JONATHAN M FORSTER ESQUIRE Telephone no ► (703) 903-7504
 Located at ► 1750 TYSONS BLVD SUITE 1200 MCLEAN VA ZIP +4 ► 22102

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ► 15 and enter the amount of tax-exempt interest received or accrued during the year

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	□ Yes	✓ No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	□ Yes	✓ No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	□ Yes	✓ No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	□ Yes	✓ No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	□ Yes	✓ No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	□ Yes	✓ No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	
	Organizations relying on a current notice regarding disaster assistance check here ►		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	2a	
	If "Yes," list the years ► 20____, 20____, 20____, 20____	□ Yes	✓ No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a	
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>5b</p>
<p>b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p>	
<p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945-5(d).</i></p>	
<p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>6b</p>
<p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?</p> <p><i>If "Yes" to 6b, file Form 8870.</i></p>	<p>No</p>
<p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?</p>	<p>7b</p>

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions)

2. List all officers, directors, trustees, foundation managers and their compensation (See instructions.)				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
VALARIE A ROSS 1750 TYSONS BLVD MCLEAN, VA 22102	DIRECTOR AND PRESIDENT 20	0		
STUART M ROSS 1750 TYSONS BLVD MCLEAN, VA 22102	DIRECTOR, VP AND TREASURER 20	0		
JONATHAN M FORSTER ESQUIRE 1750 TYSONS BLVD MCLEAN, VA 22102	DIRECTOR AND SECRETARY 20	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 _____	
2 _____	
3 _____	
4 _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____ _____	
2 _____ _____	

All other program-related investments See page 24 of the instructions

3 _____

Total. Add lines 1 through 3 ►

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes	
a	Average monthly fair market value of securities.	1a 1,184,669
b	Average of monthly cash balances.	1b 19,664
c	Fair market value of all other assets (see instructions).	1c 424,753
d	Total (add lines 1a, b, and c).	1d 1,629,086
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e
2	Acquisition indebtedness applicable to line 1 assets.	2 0
3	Subtract line 2 from line 1d.	3 1,629,086
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4 24,436
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5 1,604,650
6	Minimum investment return. Enter 5% of line 5.	6 80,233

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1 80,233
2a	Tax on investment income for 2013 from Part VI, line 5.	2a 204
b	Income tax for 2013 (This does not include the tax from Part VI).	2b
c	Add lines 2a and 2b.	2c 204
3	Distributable amount before adjustments Subtract line 2c from line 1.	3 80,029
4	Recoveries of amounts treated as qualifying distributions.	4
5	Add lines 3 and 4.	5 80,029
6	Deduction from distributable amount (see instructions).	6
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7 80,029

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a 79,381
b	Program-related investments—total from Part IX-B.	1b 0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2 0
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required).	3a 0
b	Cash distribution test (attach the required schedule).	3b 0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4 79,381
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6 79,381

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				80,029
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			79,381	
b Total for prior years 2011, 2010, 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ 79,381				
a Applied to 2012, but not more than line 2a			79,381	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014.				80,029
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009.				
b Excess from 2010.				
c Excess from 2011.				
d Excess from 2012.				
e Excess from 2013.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.			
1b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
2b	85% of line 2a.			
2c	Qualifying distributions from Part XII, line 4 for each year listed.			
2d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
2e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

STUART M ROSS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
COLORADO STATE UNIVERSITY 1101 CAMPUS DELIVERY FORT COLLINS, CO 80523		PC	SCHOLARSHIP FUND	79,381
Total			► 3a	79,381
b Approved for future payment				
Total			► 3b	

Part XVT-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income	Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	
1	Program service revenue				
a					
b					
c					
d					
e					
f					
g	Fees and contracts from government agencies				
2	Membership dues and assessments.				
3	Interest on savings and temporary cash investments.				
4	Dividends and interest from securities.			14	19,980
5	Net rental income or (loss) from real estate				
a	Debt-financed property.				
b	Not debt-financed property.				
6	Net rental income or (loss) from personal property.				
7	Other investment income.				
8	Gain or (loss) from sales of assets other than inventory.			18	16,488
9	Net income or (loss) from special events				
10	Gross profit or (loss) from sales of inventory.				
11	Other revenue a FROM K-1 - VIRTUS REAL ESTATE CAPITAL	525990	-5,188	18	-3,904
b					
c					
d					
e					
12	Subtotal Add columns (b), (d), and (e).		-5,188		32,564
13	Total. Add line 12, columns (b), (d), and (e).				27,376

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
FIDELITY #7277 (SEE ATTACHED)	P	2013-01-01	2013-12-31
FIDELITY #7277 (SEE ATTACHED)	P	2012-12-30	2013-12-31
FIDELITY #2348 (SEE ATTACHED)	P	2013-01-01	2013-12-31
FIDELITY #2348 (SEE ATTACHED)	P	2013-12-30	2013-12-31
FIDELITY #2356 (SEE ATTACHED)	P	2013-01-01	2013-12-31
FIDELITY #2356 (SEE ATTACHED)	P	2012-12-30	2013-12-31
FIDELITY #2356 (SEE ATTACHED)	P	2012-12-30	2013-12-31
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
132,522		137,403	-4,881
147,138		139,373	7,765
370,358		368,596	1,773
142,431		136,742	5,714
60,671		60,533	138
268		280	-12
86,657		87,982	-1,325
			7,316

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,881
			7,765
			1,762
			5,689
			138
			-12
			-1,325

TY 2013 Accounting Fees Schedule

Name: THE ROSS FOUNDATION INC

C/O JON FORSTER

EIN: 20-2030972

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION				
BOOKKEEPING	3,167	3,167		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE ROSS FOUNDATION INC
C/O JON FORSTER
EIN: 20-2030972

TY 2013 Investments Corporate Bonds Schedule

Name: THE ROSS FOUNDATION INC
C/O JON FORSTER
EIN: 20-2030972

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIDELITY #2348	396,000	578,868

TY 2013 Investments Corporate Stock Schedule

Name: THE ROSS FOUNDATION INC
C/O JON FORSTER
EIN: 20-2030972

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FIDELITY - EQUITIES	528,050	579,049

TY 2013 Investments Government Obligations Schedule

Name: THE ROSS FOUNDATION INC
C/O JON FORSTER
EIN: 20-2030972

**US Government Securities - End of
Year Book Value:**

**US Government Securities - End of
Year Fair Market Value:**

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Investments - Land Schedule

Name: THE ROSS FOUNDATION INC

C/O JON FORSTER

EIN: 20-2030972

TY 2013 Investments - Other Schedule

Name: THE ROSS FOUNDATION INC

C/O JON FORSTER

EIN: 20-2030972

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FIDELITY #332348	AT COST	492,316	551,314

TY 2013 Land, Etc. Schedule

Name: THE ROSS FOUNDATION INC

C/O JON FORSTER

EIN: 20-2030972

TY 2013 Legal Fees Schedule

Name: THE ROSS FOUNDATION INC

C/O JON FORSTER

EIN: 20-2030972

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	4,969			

TY 2013 Other Income Schedule

Name: THE ROSS FOUNDATION INC

C/O JON FORSTER

EIN: 20-2030972

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Rental Real Estate loss from K-1	-9,092	-9,092	

TY 2013 Other Increases Schedule

Name: THE ROSS FOUNDATION INC
C/O JON FORSTER
EIN: 20-2030972

Description	Amount
BOOK TO TAX ADJUSTMENT	3,300

TY 2013 Other Professional Fees Schedule

Name: THE ROSS FOUNDATION INC

C/O JON FORSTER

EIN: 20-2030972

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	13,998	13,998		



2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

Account No **678-117277** Customer Service 703-834-6611
Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

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Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
ALLIANZ FIXED INCOMESHARES SERIES C, FXICX, 01882B205										
Sale	09/25/13	08/13/13	70 324	914 92	912 10	2 82				
Sale	09/25/13	08/14/13	50 155	652 52	648 50	4 02				
Sale	09/25/13	09/12/13	128 947	1,677 59	1,651 80	25 79				
Sale	09/26/13	09/12/13	0 952	12 39	12 20	0 19				
Subtotals				3,257.42	3,224.60					
ALLIANZ FIXED INCOMESHARES SERIES M, FXIMX, 01882B304										
Sale	09/25/13	09/12/13	79 368	852 41	835 75	16 66				
Sale	09/26/13	09/12/13	0 556	5 97	5 85	0 12				
Subtotals				858.38	841.60					
FEDL HOME LN MTG CRPPOOL #G04951 5 00000, 3128M6ZQ4										
Principal	06/15/13	05/15/13	0 000	10 18	10 91	-0 73				
Principal	07/15/13	05/15/13	0 000	9 20	9 86	-0 66				
Principal	08/15/13	05/15/13	0 000	8 87	9 50	-0 63				
Sale	08/23/13	05/15/13	1,000 000	147 29	148 03	-0 74				
Subtotals				175.54	178.30					
FEDL NATL MTG ASSN POOL #AB4297 3 50000%, 31417AX38										
Sale	01/09/13	01/10/12	7,000 000	6,021 97	5,845 67	176 30				
FEDL NATL MTG ASSN POOL #AB5468 3 50000%, 31417CCE3										
Sale	01/09/13	06/07/12	6,000 000	5,599 82	5,533 95	65 87				
Principal	01/25/13	06/07/12	0 000	155 50	163 23	-7 73				

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State State	15 State Tax Withheld
FEDL NATL MTG ASSN POOL #AB5468 3 50000%, 31417CCE3										
Subtotals				5,755.32	5,697.18					
FEDL NATL MTG ASSN POOL #AB7079 3 00000%, 31417D2M4										
Principal	01/25/13	11/07/12	0 000	12 89	13 59	-0 70				
Principal	02/25/13	11/07/12	0 000	12 40	13 07	-0 67				
Principal	03/25/13	11/07/12	0 000	18 55	19 55	-1 00				
Principal	04/25/13	11/07/12	0 000	16 21	17 09	-0 88				
Principal	05/25/13	11/07/12	0 000	12 05	12 70	-0 65				
Principal	06/25/13	11/07/12	0 000	46 20	48 69	-2 49				
Principal	07/25/13	11/07/12	0 000	40 02	42 18	-2 16				
Principal	08/25/13	11/07/12	0 000	55 64	58 64	-3 00				
Principal	09/25/13	11/07/12	0 000	32 91	34 69	-1 78				
Sale	09/25/13	11/07/12	7,000 000	6,583 96	7,101 37	-517 41				
Subtotals				6,830.83	7,361.57					
FEDL NATL MTG ASSN POOL #AD8033 4 00000%, 31418V4T6										
Principal	01/25/13	08/07/12	0 000	192 87	206 46	-13 59				
Principal	02/25/13	08/07/12	0 000	195 11	208 86	-13 75				
Principal	03/25/13	08/07/12	0 000	309 49	331 30	-21 81				
Principal	04/25/13	08/07/12	0 000	242 81	259 92	-17 11				
Principal	05/25/13	08/07/12	0 000	205 59	220 08	-14 49				
Principal	06/25/13	08/07/12	0 000	265 71	284 43	-18 72				
Principal	07/25/13	08/07/12	0 000	209 45	224 21	-14 76				

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
FEDL NATL MTG ASSN POOL #AD8033 4 000000%, 31418V4T6										
Subtotals				1,621.03	1,735.26					
FEDL NATL MTG ASSN POOL #AJ4052 4 000000%, 3138AVQE8										
Principal	01/25/13	04/23/12	0 000	461 59	488 78	-27 19				
Principal	02/25/13	04/23/12	0 000	367 85	389 52	-21 67				
Principal	03/25/13	04/23/12	0 000	328 55	347 90	-19 35				
Subtotals				1,157.99	1,226.20					
FEDL NATL MTG ASSN POOL #AK9445 4 000000%, 3138EEP78										
Principal	04/25/13	03/13/13	0 000	22 58	24 02	-1 44				
Principal	05/25/13	03/13/13	0 000	23 63	25 14	-1 51				
Principal	06/25/13	03/13/13	0 000	21 81	23 20	-1 39				
Principal	07/25/13	03/13/13	0 000	19 66	20 91	-1 25				
Principal	08/25/13	03/13/13	0 000	9 58	10 19	-0 61				
Principal	09/25/13	03/13/13	0 000	7 01	7 46	-0 45				
Sale	09/25/13	03/13/13	1,000 000	705 46	715 98	-10 52				
Subtotals				809.73	826.90					
FEDL NATL MTG ASSN POOL #AL0069 5 000000%, 3138EGCF9										
Principal	05/25/13	04/12/13	0 000	205 76	223 12	-17 36				
Principal	06/25/13	04/12/13	0 000	151 09	163 84	-12 75				
Principal	07/25/13	04/12/13	0 000	134 64	146 00	-11 36				
Sale	08/23/13	04/12/13	3,000 000	1,055 13	1,128 84	-73 71				
Principal	08/25/13	04/12/13	0 000	171 62	186 10	-14 48				

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
FEDL NATL MTG ASSN POOL #AL0069 5 000000%, 3138EGCF9										
Principal	09/25/13	04/12/13	0 000	71 39	77 41	-6 02				
Sale	09/25/13	04/12/13	6,000 000	2,049 10	1,994 18	54 92				
Subtotals				3,838.73	3,919.49					
FEDL NATL MTG ASSN POOL #AL0527 5 000000%, 3138EGSR6										
Principal	04/25/13	03/18/13	0 000	178 22	193 10	-14 88				
Principal	05/25/13	03/18/13	0 000	186 18	201 73	-15 55				
Principal	06/25/13	03/18/13	0 000	174 06	188 60	-14 54				
Principal	07/25/13	03/18/13	0 000	168 35	182 41	-14 06				
Sale	08/20/13	03/18/13	1,000 000	390 52	413 89	-23 37				
Sale	08/23/13	03/18/13	7,000 000	2,734 83	2,743 73	-8 90				
Principal	08/25/13	03/18/13	0 000	141 63	153 46	-11 83				
Subtotals				3,973.79	4,076.92					
FEDL NATL MTG ASSN POOL #AL3424 4 000000%, 3138EKYW9										
Principal	08/25/13	07/10/13	0 000	11 59	11 98	-0 39				
Principal	09/25/13	07/10/13	0 000	10 28	10 62	-0 34				
Sale	09/25/13	07/10/13	1,000 000	949 84	936 54	13 30				
Subtotals				971.71	959.14					
FEDL NATL MTG ASSN POOL #AP3667 3 500000%, 3138M7CD5										
Sale	01/09/13	10/05/12	1,000 000	1,040 77	1,057 32	-16 55				
Principal	01/25/13	10/05/12	0 000	130 56	140 07	-9 51				
Principal	02/25/13	10/05/12	0 000	71 91	77 15	-5 24				

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
FEDL NATL MTG ASSN POOL #AP3667 3 50000%, 3138M7CD5										
Principal	03/25/13	10/05/12	0 000	23 81	25 54	-1 73				
Principal	04/25/13	10/05/12	0 000	20 60	22 10	-1 50				
Principal	05/25/13	10/05/12	0 000	120 12	128 87	-8 75				
Principal	06/25/13	10/05/12	0 000	20 14	21 61	-1 47				
Principal	07/25/13	10/05/12	0 000	68 87	73 88	-5 01				
Principal	08/25/13	10/05/12	0 000	164 64	176 63	-11 99				
Principal	09/25/13	10/05/12	0 000	121 21	130 04	-8 83				
Sale	09/25/13	10/05/12	11,000 000	10,291 83	10,834 62	-542 79				
Subtotals				12,074.46	12,687.83					
FEDL NATL MTG ASSN POOL #AS0415 4 00000%, 3138W9N97										
Sale	09/25/13	09/18/13	1,000 000	1,046 78	1,037 96	8 82				
FEDL NATL MTG ASSN POOL #735402 5 00000%, 31402RAB5										
Sale	08/20/13	07/17/13	3,000 000	483 80	486 23	-2 43				
Principal	08/25/13	07/17/13	0 000	21 00	22 63	-1 63				
Subtotals				504.80	508.86					
FEDL NATL MTG ASSN POOL #835158 5 00000%, 31407MZX6										
Principal	02/25/13	01/09/13	0 000	27 30	29 57	-2 27				
Principal	03/25/13	01/09/13	0 000	18 40	19 93	-1 53				
Principal	04/25/13	01/09/13	0 000	27 00	29 24	-2 24				
Principal	05/25/13	01/09/13	0 000	26 97	29 21	-2 24				
Principal	06/25/13	01/09/13	0 000	21 95	23 77	-1 82				

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

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Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
FEDL NATL MTG ASSN POOL #835158 5 00000%, 31407MZ6										
Principal	07/25/13	01/09/13	0 000	23 88	25 86	-1 98				
Sale	08/20/13	01/09/13	2,000 000	375 18	379 01	-3 83				
Principal	08/25/13	01/09/13	0 000	21 13	22 88	-1 75				
Subtotals				541.81	559.47					
FEDL NATL MTG ASSN POOL #888343 5 00000%, 31410F5C5										
Principal	01/25/13	07/18/12	0 000	112 35	122 74	-10 39				
Principal	02/25/13	07/18/12	0 000	118 26	129 20	-10 94				
Principal	03/25/13	07/18/12	0 000	103 30	112 86	-9 56				
Principal	04/25/13	07/18/12	0 000	93 69	102 36	-8 67				
Principal	05/25/13	07/18/12	0 000	86 91	94 95	-8 04				
Principal	06/25/13	07/18/12	0 000	89 22	97 47	-8 25				
Subtotals				603.73	659.58					
UNITED STATES TREAS BDS 2 50000% 01/15/2, 912810PZ5										
Sale	09/26/13	12/26/12	3,000 000	4,008 83	4,614 63	-605 80				
UNITED STATES TREAS BDS 3 87500% 04/15/2, 912810FH6										
Sale	05/02/13	01/22/13	3,000 000	6,995 85	6,942 21	53 64				
UNITED STATES TREAS NTS 0 12500% 07/15/2, 912828TE0										
Sale	09/26/13	11/28/12	4,000 000	3,993 60	4,402 03	-408 43				
Sale	09/26/13	12/07/12	7,000 000	6,988 81	7,776 23	-787 42				
Sale	09/26/13	06/18/13	1,000 000	998 40	1,031 08	-32 68				
Subtotals				11,980.81	13,209.34					

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

Account No **678-117277** Customer Service 703-834-6611
Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
UNITED STATES TREAS NTS 0 75000% 10/31/2, 912828TWO										
Sale	03/04/13	11/21/12	12,000 000	12,034 69	12,037 60	-2 91				
Sale	03/04/13	11/28/12	2,000 000	2,005 78	2,010 88	-5 10				
Sale	09/26/13	12/13/12	15,000 000	14,767 38	15,051 25	-283 87				
Sale	09/26/13	08/20/13	1,000 000	984 49	978 72	5 77				
Sale	09/26/13	09/17/13	1,000 000	984 50	977 29	7 21				
Subtotals				30,776.84	31,055.74					
UNITED STATES TREAS NTS 1 12500% 12/31/2, 912828UF5										
Sale	09/26/13	01/09/13	4,000 000	3,828 75	3,964 06	-135 31				
Sale	09/26/13	01/15/13	4,000 000	3,828 75	3,974 06	-145 31				
Sale	09/26/13	01/29/13	2,000 000	1,914 38	1,967 81	-53 43				
Subtotals				9,571.88	9,905.93					
UNITED STATES TREAS NTS 2 00000% 02/15/2, 912828UN8										
Sale	08/29/13	03/19/13	5,000 000	4,691 02	5,045 68	-354 66				
Sale	09/26/13	03/19/13	2,000 000	1,900 31	2,018 14	-117 83				
Sale	09/26/13	04/02/13	5,000 000	4,750 78	5,058 19	-307 41				
Subtotals				11,342.11	12,122.01					
UNITED STATES TREAS NTS 2 00000% 11/15/2, 912828RR3										
Sale	09/26/13	05/07/13	7,000 000	6,826 92	7,246 60	-419 68				
Sale	09/26/13	08/20/13	1,000 000	975 27	960 22	15 05				
Subtotals				7,802.19	8,206.82					

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

Account No **678-117277** Customer Service 703-834-6611
Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

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Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State State	15 State Tax Withheld
TOTALS				132,522.53	137,403.21			0.00		
				Short-Term Realized Gain		450.48				
				Short-Term Realized Loss		-5,331.16				
				Wash Sale Loss Disallowed				0.00		

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

Account No **678-117277** Customer Service 703-834-6611
Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
ALLIANZ FIXED INCOMESHARES SERIES C, FXICX, 01882B205										
Sale	06/13/13	01/10/07	16 426	216 00	190 69	25 31				
Sale	06/17/13	01/10/07	200 000	2,630 00	2,321 75	308 25				
Sale	06/21/13	01/10/07	179 000	2,294 78	2,077 96	216 82				
Sale	09/25/13	01/10/07	3,290 574	42,810 37	38,199 41	4,610 96				
Sale	09/25/13	10/13/11	250 000	3,252 50	3,062 50	190 00				
Subtotals				51,203.65	45,852.31					
ALLIANZ FIXED INCOMESHARES SERIES M, FXIMX, 01882B304										
Sale	06/13/13	01/10/07	52 368	575 00	529 04	45 96				
Sale	06/17/13	01/10/07	523 000	5,742 54	5,283 54	459 00				
Sale	09/25/13	01/10/07	4,028 632	43,267 51	40,698 73	2,568 78				
Sale	09/25/13	10/13/11	96 000	1,031 04	986 88	44 16				
Subtotals				50,616.09	47,498.19					
FEDL NATL MTG ASSN POOL #AA7937 4 50000%, 31416RZB2										
Principal	01/25/13	06/13/11	0 000	34 03	34 03	0 00				
Principal	02/25/13	06/13/11	0 000	19 30	19 30	0 00				
Principal	03/25/13	06/13/11	0 000	24 01	24 01	0 00				
Principal	04/25/13	06/13/11	0 000	35 83	35 83	0 00				
Principal	05/25/13	06/13/11	0 000	28 21	28 21	0 00				
Principal	06/25/13	06/13/11	0 000	28 57	28 57	0 00				
Principal	07/25/13	06/13/11	0 000	23 04	23 04	0 00				
Principal	08/25/13	06/13/11	0 000	29 36	29 36	0 00				

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

Account No **678-117277** Customer Service **703-834-6611**
Recipient ID No **20-2030972** Payer's Fed ID Number **04-3523567**

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Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, 1d Stock or Other Symbol, CUSIP							13 State	15 State
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld
FEDL NATL MTG ASSN POOL #AA7937 4 50000%, 31416RZB2								
Principal	09/25/13	06/13/11	0 000	12 09	12 09	0 00		
Sale	09/25/13	06/13/11	1,759 000	392 93	405 72	-12 79		
Subtotals				627.37	640.16			
FEDL NATL MTG ASSN POOL #AB4297 3 50000%, 31417AX38								
Principal	01/25/13	01/10/12	0 000	174 73	180 16	-5 43		
FEDL NATL MTG ASSN POOL #AD8033 4 00000%, 31418V4T6								
Principal	08/25/13	08/07/12	0 000	140 47	150 37	-9 90		
Principal	09/25/13	08/07/12	0 000	116 80	125 03	-8 23		
Sale	09/25/13	08/07/12	12,000 000	5,366 74	5,481 14	-114 40		
Subtotals				5,624.01	5,756.54			
FEDL NATL MTG ASSN POOL #AJ4052 4 00000%, 3138AVQE8								
Principal	04/25/13	04/23/12	0 000	289 13	306 16	-17 03		
Principal	05/25/13	04/23/12	0 000	207 51	219 73	-12 22		
Principal	06/25/13	04/23/12	0 000	183 18	193 97	-10 79		
Principal	07/25/13	04/23/12	0 000	183 77	194 60	-10 83		
Principal	08/25/13	04/23/12	0 000	180 57	191 21	-10 64		
Principal	09/25/13	04/23/12	0 000	98 73	104 55	-5 82		
Sale	09/25/13	04/23/12	11,000 000	6,244 62	6,318 85	-74 23		
Subtotals				7,387.51	7,529.07			

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

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Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

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Long-term transactions

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
FEDL NATL MTG ASSN POOL #AL0065 4 50000%, 3138EGCB8										
Principal	01/25/13	03/17/11	0 000	232 80	232 80	0 00				
Principal	02/25/13	03/17/11	0 000	262 26	262 26	0 00				
Principal	03/25/13	03/17/11	0 000	190 20	190 20	0 00				
Sale	04/09/13	03/17/11	1,000 000	680 31	659 79	20 52				
Principal	04/25/13	03/17/11	0 000	234 40	234 40	0 00				
Principal	05/25/13	03/17/11	0 000	179 66	179 66	0 00				
Principal	06/25/13	03/17/11	0 000	185 71	185 71	0 00				
Principal	07/25/13	03/17/11	0 000	202 36	202 36	0 00				
Principal	08/25/13	03/17/11	0 000	130 81	130 81	0 00				
Principal	09/25/13	03/17/11	0 000	123 32	123 32	0 00				
Sale	09/25/13	03/17/11	11,000 000	6,534 90	6,201 44	333 46				
Subtotals				8,956.73	8,602.75					
FEDL NATL MTG ASSN POOL #888343 5 00000%, 31410F5C5										
Principal	07/25/13	07/18/12	0 000	93 77	102 44	-8 67				
Sale	08/20/13	07/18/12	9,000 000	1,751 73	1,785 17	-33 44				
Principal	08/25/13	07/18/12	0 000	66 26	72 39	-6 13				
Subtotals				1,911.76	1,960.00					
FEDL NATL MTG ASSN POOL #889579 6 00000%, 31410KJY1										
Principal	01/25/13	01/14/09	0 000	10 29	10 29	0 00				
Principal	01/25/13	03/10/09	0 000	20 59	20 59	0 00				
Principal	01/25/13	05/21/09	0 000	51 46	51 46	0 00				

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

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Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

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Long-term transactions

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
FEDL NATL MTG ASSN POOL #889579 6 00000%, 31410KJY1										
Principal	02/25/13	01/14/09	0 000	9 19	9 19	0 00				
Principal	02/25/13	03/10/09	0 000	18 39	18 39	0 00				
Principal	02/25/13	05/21/09	0 000	45 96	45 96	0 00				
Principal	03/25/13	01/14/09	0 000	7 80	7 80	0 00				
Principal	03/25/13	03/10/09	0 000	15 61	15 61	0 00				
Principal	03/25/13	05/21/09	0 000	39 02	39 02	0 00				
Principal	04/25/13	01/14/09	0 000	7 64	7 64	0 00				
Principal	04/25/13	03/10/09	0 000	15 28	15 28	0 00				
Principal	04/25/13	05/21/09	0 000	38 19	38 19	0 00				
Principal	05/25/13	01/14/09	0 000	8 65	8 65	0 00				
Principal	05/25/13	03/10/09	0 000	17 30	17 30	0 00				
Principal	05/25/13	05/21/09	0 000	43 25	43 25	0 00				
Principal	06/25/13	01/14/09	0 000	8 85	8 85	0 00				
Principal	06/25/13	03/10/09	0 000	17 70	17 70	0 00				
Principal	06/25/13	05/21/09	0 000	44 24	44 24	0 00				
Principal	07/25/13	01/14/09	0 000	7 85	7 85	0 00				
Principal	07/25/13	03/10/09	0 000	15 70	15 70	0 00				
Principal	07/25/13	05/21/09	0 000	39 26	39 26	0 00				
Principal	08/25/13	01/14/09	0 000	7 47	7 47	0 00				
Principal	08/25/13	03/10/09	0 000	14 94	14 94	0 00				
Principal	08/25/13	05/21/09	0 000	37 36	37 36	0 00				
Principal	09/25/13	01/14/09	0 000	6 90	6 90	0 00				

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

Account No **678-117277** Customer Service 703-834-6611
Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

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Long-term transactions

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
FEDL NATL MTG ASSN POOL #889579 6 00000%, 31410KJY1										
Sale	09/25/13	01/14/09	1,000 000	119.29	122.62	-3.33				
Principal	09/25/13	03/10/09	0 000	13.80	13.80	0.00				
Sale	09/25/13	03/10/09	2,000 000	238.57	242.46	-3.89				
Principal	09/25/13	05/21/09	0 000	34.51	34.51	0.00				
Sale	09/25/13	05/21/09	5,000 000	596.42	627.65	-31.23				
Subtotals				1,551.48	1,589.93					
FEDL NATL MTG ASSN POOL #930071 6 00000%, 31412NJQ0										
Principal	01/25/13	10/21/08	0 000	83.28	83.28	0.00				
Principal	02/25/13	10/21/08	0 000	82.87	82.87	0.00				
Principal	03/25/13	10/21/08	0 000	90.65	90.65	0.00				
Principal	04/25/13	10/21/08	0 000	88.03	88.03	0.00				
Principal	05/25/13	10/21/08	0 000	151.68	151.68	0.00				
Principal	06/25/13	10/21/08	0 000	56.14	56.14	0.00				
Principal	07/25/13	10/21/08	0 000	66.33	66.33	0.00				
Principal	08/25/13	10/21/08	0 000	76.80	76.80	0.00				
Principal	09/25/13	10/21/08	0 000	36.42	36.42	0.00				
Sale	09/25/13	10/21/08	16,000 000	1,076.42	1,054.13	22.29				
Subtotals				1,808.62	1,786.33					
FEDL NATL MTG ASSN POOL #995672 4 50000%, 31416CCH7										
Principal	01/25/13	02/03/11	0 000	108.61	108.61	0.00				
Principal	02/25/13	02/03/11	0 000	110.70	110.70	0.00				

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

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Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

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Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
FEDL NATL MTG ASSN POOL #995672 4 50000%, 31416CCH7										
Principal	03/25/13	02/03/11	0 000	85 63	85 63	0 00				
Sale	04/09/13	02/03/11	6,000 000	1,616 92	1,460 99	155 93				
Principal	04/25/13	02/03/11	0 000	97 30	97 30	0 00				
Subtotals				2,019.16	1,863.23					
UNITED STATES TREAS BDS 2 50000% 01/15/2, 912810PZ5										
Sale	09/26/13	07/13/12	1,000 000	1,336 28	1,514 39	-178 11				
UNITED STATES TREAS NTS 0 12500% 07/15/2, 912828TE0										
Sale	09/26/13	07/24/12	3,000 000	2,995 20	3,270 94	-275 74				
Sale	09/26/13	07/24/12	4,000 000	3,993 60	4,361 80	-368 20				
Subtotals				6,988.80	7,632.74					
UNITED STATES TREAS NTS 1 37500% 02/28/2, 912828SH4										
Sale	08/09/13	03/01/12	7,000 000	6,931 64	6,967 19	-35 55				
TOTALS				147,137.83	139,372.99			0.00		
	Long-Term Realized Gain						9,001.44			
	Long-Term Realized Loss						-1,236.60			
	Wash Sale Loss Disallowed							0.00		

In addition to this Informational Tax Reporting Statement, please see the 1099 Tax Reporting Statement that we have issued for your account. Only the 1099 Statement includes your official IRS Form 1099-B for the lots that cost basis information is required to be reported to the IRS.

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

Account No **678-332348** Customer Service 703-834-6611
Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

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Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
DRIEHAUS ACTIVE INCOME FUND, LCMAX, 262028855										
Sale	01/02/13	11/07/12	749 064	8,000 00	7,925 10	74 90				
Sale	01/09/13	11/07/12	65 177	700 00	689 57	10 43				
Sale	02/13/13	11/07/12	1,220 670	13,110 00	12,914 69	195 31				
Subtotals				21,810.00	21,529.36					
EATON VANCE FLOATINGRATE CLASS I, EIBLX, 277911491										
Sale	01/02/13	11/07/12	1,971 522	18,000 00	17,960 56	39 44				
Sale	01/09/13	11/07/12	437 158	4,000 00	3,982 51	17 49				
Sale	02/04/13	11/07/12	1,635 769	15,000 00	14,901 86	98 14				
Sale	02/13/13	11/07/12	1,832 096	16,782 00	16,690 39	91 61				
Subtotals				53,782.00	53,535.32					
GOLDMAN SACHS ENHANCED INCOME CL I, GEIIX, 38142Y518										
Sale	06/03/13	04/03/13	1,795 143	17,000 00	17,017 95	-17 95	0 04			
Sale	07/03/13	04/03/13	211 864	2,000 00	2,008 47	-8 47	0 03			
Sale	08/12/13	04/03/13	1,210 293	11,437 27	11,473 58	-36 31				
Sale	08/12/13	04/03/13	2 761	26 09	26 18	-0 09				
Sale	08/12/13	04/28/13	1 037	9 80	9 80	0 00				
Sale	08/12/13	04/30/13	3 129	29 57	29 66	-0 09				
Sale	08/12/13	05/01/13	0 871	8 23	8 26	-0 03				
Subtotals				30,510.96	30,573.90		0.07			

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

Account No **678-332348** Customer Service 703-834-6611
Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

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Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
GOLDMAN SACHS STRG INCOME FUND INSTL, GSZIX, 38145C646										
Sale	10/25/13	06/24/13	716 332	7,542.97	7,500.00	42.97				
Sale	10/25/13	06/28/13	0 208	2.19	2.18	0.01				
Sale	10/25/13	07/31/13	1 015	10.69	10.67	0.02				
Sale	10/25/13	08/30/13	1 455	15.32	15.23	0.09				
Sale	10/25/13	09/30/13	1 825	19.22	19.13	0.09				
Subtotals				7,590.39	7,547.21					
INNEALTA CAPITAL SECTOR ROTATION I, ICSIX, 66538A794										
Sale	10/04/13	12/14/12	0 156	1.59	1.64	-0.05				
Sale	10/04/13	12/14/12	38 489	391.43	404.13	-12.70				
Sale	10/04/13	12/14/12	44 059	448.08	462.62	-14.54				
Sale	10/04/13	03/27/13	15 919	161.90	166.51	-4.61				
Sale	10/04/13	06/27/13	23 327	237.24	235.84	1.40				
Sale	10/04/13	09/27/13	12 768	129.84	129.85	-0.01				
Subtotals				1,370.08	1,400.59					
IVA WORLDWIDE FUND CL I, IVWIX, 45070A206										
Sale	02/12/13	03/14/12	649 041	10,787.06	10,592.35	194.71				
JOHN HANCOCK GL ABSORETURN STRATS CL I, JHAIX, 47804M878										
Sale	10/25/13	06/24/13	701 590	7,703.46	7,500.00	203.46				
MATTHEWS ASIA DIVIDEND INST'L, MIPIX, 577130750										
Sale	06/12/13	02/04/13	592 955	9,090.00	8,906.19	183.81				
Sale	06/20/13	02/04/13	170 300	2,500.00	2,557.91	-57.91				7.51

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2013 Informational Tax Reporting Statement

THE ROSS FOUNDATION INC

Account No **678-332348** Customer Service 703-834-6611
Recipient ID No **20-2030972** Payer's Fed ID Number 04-3523567

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Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
MATTHEWS ASIA DIVIDEND INST'L, MIPIX, 577130750										
Sale	07/23/13	02/04/13	2,166 172	34,312 16	32,535 90	1,776 26				
Sale	07/23/13	02/04/13	22 085	349 83	331 72	18 11				
Sale	07/23/13	03/21/13	27 094	429 17	413 99	15 18				
Subtotals			46,681.16	44,745.71		7.51				
NUVEEN PREFERRED SECURITIES FUND CL I, NPSRX, 670700400										
Sale	07/12/13	02/13/13	2,521 518	43,874 42	44,530 00	-655 58				
Sale	07/12/13	02/28/13	6 443	112 11	114 10	-1 99				
Sale	07/12/13	03/28/13	12 882	224 15	228 78	-4 63				
Sale	07/12/13	04/30/13	12 790	222 55	229 84	-7 29				
Sale	07/12/13	05/31/13	12 855	223 68	231 00	-7 32				
Sale	07/12/13	06/28/13	13 401	233 16	232 24	0 92				
Subtotals			44,890.07	45,565.96						
ROBECO BOSTON PRTNS LG/SH RESEARCH INSTL, BPIRX, 74925K581										
Sale	11/08/13	03/01/13	1,586 043	22,172 88	20,000 00	2,172 88				
SPDR S&P 500 ETF TRUST UNIT SER 1 S&P, SPY, 78462F103										
Sale	10/03/13	07/11/13	181 000	30,381 28	30,316 41	64 87				
THE OSTERWEIS STRATEGIC INCOME FD, OSTIX, 742935489										
Sale	01/02/13	11/07/12	1,542 416	18,000 00	18,046 27	-46 27				
Sale	01/09/13	11/07/12	341 588	4,000 00	3,996 58	3 42				
Sale	02/04/13	11/07/12	1,271 186	15,000 00	14,872 88	127 12				
Sale	02/13/13	11/07/12	1,488 926	17,614 00	17,420 43	193 57				

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
THE OSTERWEIS STRATEGIC INCOME FD, OSTIX, 742935489										
Subtotals				54,614.00	54,336.16			3.60		
VANGUARD INTL EQUITYINDEX FDS MSCI EMERG, VWO, 922042858										
Sale	06/12/13	09/28/12	5 668	223 53	237 01	-13 48				
Sale	06/12/13	12/27/12	4 715	185 94	205 70	-19 76				
Sale	06/12/13	02/13/13	469 169	18,502 48	20,870 98	-2,368 50				
Sale	07/11/13	02/13/13	224 831	8,890 79	10,001 61	-1,110 82				
Sale	07/11/13	03/28/13	1 189	47 02	50 64	-3 62				
Cash In Lieu	07/16/13	03/28/13	0 385	15 22	16 40	-1 18				
Subtotals				27,864.98	31,382.34					
YACKTMAN FUND SERVICE CLASS, YACKX, 561709478										
Sale	12/12/13	06/12/13	430 743	10,200 00	9,571 11	628 89				
TOTALS				370,358.32	368,596.42			0.00		
	Short-Term Realized Gain					6,155.10				
	Short-Term Realized Loss					-4,393.20				
	Wash Sale Loss Disallowed						11.18			

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Long-term transactions

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
EATON VANCE ATLANTA CAP SMID CAP FD I, EISMX, 277902698										
Sale	08/28/13	12/03/10	73 499	1,619 18	1,099 54	519 64				
Sale	08/28/13	12/23/10	5 000	110 15	76 99	33 16				
Sale	08/28/13	12/23/10	1 000	22 03	15 25	6 78				
Sale	08/28/13	12/23/10	11 000	242 33	169 39	72 94				
Sale	08/28/13	03/23/11	136 464	3,006 31	2,192 98	813 33				
Subtotals				5,000.00	3,554.15					
FIRST EAGLE GLOBAL CLASS I, SGIIIX, 32008F606										
Sale	02/12/13	12/30/11	403 512	20,224 00	18,266 99	1,957 01				
FPA CRESCENT INSTL, FPACX, 30254T759										
Sale	02/12/13	12/03/10	111 282	3,291 72	2,982 36	309 36				
Sale	02/12/13	12/17/10	1 000	29 58	26 71	2 87				
Sale	02/12/13	12/17/10	2 000	59 16	52 99	6 17				
Sale	02/12/13	12/17/10	51 000	1,508 58	1,351 50	157 08				
Sale	02/12/13	12/17/10	1 000	29 58	26 50	3 08				
Sale	02/12/13	12/17/10	17 000	502 86	450 50	52 36				
Sale	02/12/13	03/23/11	258 000	7,631 64	7,131 12	500 52				
Sale	02/12/13	05/04/11	420 686	12,443 88	11,972 72	471 16				
Sale	06/20/13	05/04/11	80 828	2,500 00	2,300 37	199 63				
Subtotals				27,997.00	26,294.77					

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Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
INNEALTA CAPITAL SECTOR ROTATION I, ICSIX, 66538A794										
Sale	09/25/13	02/09/12	1,466 276	15,000 00	14,897 36	102 64				
Sale	10/04/13	02/09/12	2,579 143	26,229 89	26,204 10	25 79				
Sale	10/04/13	09/27/12	44 949	457 13	473 31	-16 18				
Subtotals				41,687.02	41,574.77					
IVA WORLDWIDE FUND CL I, IVWIX, 45070A206										
Sale	02/12/13	01/13/12	446 928	7,427 94	6,905 04	522 90				
Sale	06/20/13	03/14/12	177 725	3,000 00	2,900 47	99 53				
Sale	12/12/13	03/14/12	449 561	8,200 00	7,336 84	863 16				
Subtotals				18,627.94	17,142.35					
VANGUARD INTL EQUITYINDEX FDS MSCI EMERG, VWO, 922042858										
Sale	06/12/13	12/03/10	211 000	8,321 15	10,059 83	-1,738 68		24 56		
Sale	06/12/13	12/19/10	25 448	1,003 59	1,203 60	-200 01				
Sale	06/12/13	03/23/11	215 000	8,478 89	10,071 70	-1,592 81				
Sale	07/11/13	12/19/10	2 980	117 84	139 12	-21 28				
Subtotals				17,921.47	21,474.25			24.56		
VANGUARD SPECIALIZEDPORTFOLIOS DIV APPRE, VIG, 921908844										
Sale	06/20/13	02/03/11	53 000	3,532 95	2,849 75	683 20				
Sale	06/20/13	03/23/11	7 000	466 62	379 56	87 06				
Sale	12/12/13	03/23/11	96 000	6,974 27	5,205 45	1,768 82				
Subtotals				10,973.84	8,434.76					

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Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State Tax Withheld	15 State Tax Withheld
TOTALS				142,431.27	136,742.04			0.00		
			Long-Term Realized Gain			9,258.19				
			Long-Term Realized Loss			-3,568.96	✓			
			Wash Sale Loss Disallowed					24.56		

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

(b) Gross proceeds less commissions

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Short-term transactions

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
FPA NEW INCOME, FPNIX, 302544101										
Sale	02/21/13	04/03/12	48 053	509 36	510 80	-1 44				
Sale	02/21/13	07/03/12	48 506	514 16	514 65	-0 49				
Sale	02/21/13	10/02/12	48 780	517 07	518 53	-1 46				
Sale	02/21/13	01/03/13	43 207	458 00	457 13	0 87				
Subtotals				1,998.59	2,001.11					
PIMCO TOTAL RETURN INSTL, PTTRX, 693390700										
Sale	06/21/13	06/29/12	4 940	52 81	55 82	-3 01				
Sale	06/21/13	07/31/12	3 955	42 28	45 36	-3 08				
Sale	06/21/13	08/31/12	4 260	45 54	48 99	-3 45				
Sale	06/21/13	09/28/12	3 429	36 66	39 71	-3 05				
Sale	06/21/13	10/31/12	5 036	53 83	58 37	-4 54				
Sale	06/21/13	11/30/12	5 165	55 21	60 02	-4 81				
Sale	06/21/13	12/12/12	17 899	191 34	203 33	-11 99				
Sale	06/21/13	12/12/12	24 385	260 68	277 01	-16 33				
Sale	06/21/13	12/27/12	18 619	199 04	209 28	-10 24				
Sale	06/21/13	12/31/12	4 095	43 78	46 03	-2 25				
Sale	06/21/13	01/31/13	3 135	33 51	35 08	-1 57				
Sale	06/21/13	02/28/13	3 161	33 79	35 50	-1 71				
Sale	06/21/13	03/28/13	3 639	38 90	40 90	-2 00				
Sale	06/21/13	04/30/13	4 277	45 72	48 50	-2 78				

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Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
PIMCO TOTAL RETURN INSTL, PTTRX, 693390700										
Sale	06/21/13	05/31/13	3 636	38.84	40.25	-1.41				
Sale	11/08/13	10/01/13	5,299.539	57,500.00	57,288.01	211.99				
Subtotals				58,671.93	58,532.16					
TOTALS				60,670.52	60,533.27			0.00		
	Short-Term Realized Gain					212.86				
	Short-Term Realized Loss					-75.61				
	Wash Sale Loss Disallowed							0.00		

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8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
FPA NEW INCOME, FPNIX, 302544101										
Sale	02/13/13	12/08/11	3,493 862	37,000 00	37,559 02	-559 02				
Sale	02/21/13	12/08/11	2,831 719	30,016 22	30,440 98	-424 76				
Sale	02/21/13	12/21/11	59 451	630 18	632 56	-2 38				
Subtotals				67,646.40	68,632.56					
PIMCO TOTAL RETURN INSTL, PTTRX, 693390700										
Sale	02/13/13	09/13/11	358 102	4,000 00	3,931 96	68 04				
Sale	06/21/13	09/13/11	1,350 237	14,434 04	14,825 60	-391 56				
Sale	06/21/13	09/30/11	2 857	30 54	30 83	-0 29				
Sale	06/21/13	10/11/11	0 805	8 61	8 61	0 00				
Sale	06/21/13	10/31/11	4 662	49 84	50 86	-1 02				
Sale	06/21/13	11/30/11	5 128	54 82	55 28	-0 46				
Sale	06/21/13	12/28/11	10 343	110 57	112 02	-1 45				
Sale	06/21/13	12/30/11	5 048	53 96	54 87	-0 91				
Sale	06/21/13	01/31/12	4 691	50 15	52 16	-2 01				
Sale	06/21/13	02/29/12	4 392	46 95	48 84	-1 89				
Sale	06/21/13	03/30/12	5 445	58 21	60 38	-2 17				
Sale	06/21/13	04/30/12	4 995	53 40	56 04	-2 64				
Sale	06/21/13	05/31/12	5 529	59 11	62 37	-3 26				
Subtotals				19,010.20	19,349.82					

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TOTALS				86,656.60	87,982.38			0.00		
			Long-Term Realized Gain			68.04				
			Long-Term Realized Loss			-1,393.82				
			Wash Sale Loss Disallowed					0.00		

In addition to this Informational Tax Reporting Statement, please see the 1099 Tax Reporting Statement that we have issued for your account. Only the 1099 Statement includes your official IRS Form 1099-B for the lots that cost basis information is required to be reported to the IRS.

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

(b) Gross proceeds less commissions

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