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Form **990-PF** (2013)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	2,735,339	248,328	248,328
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	0	2,543,787	2,649,144
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	2,393,767	2,873,464	1,323,464	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,129,106	5,665,579	4,220,936	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	5,129,106	5,665,579	
	30	Total net assets or fund balances (see page 17 of the instructions)	5,129,106	5,665,579	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	5,129,106	5,665,579		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 5,129,106
2	Enter amount from Part I, line 27a	2 536,473
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 5,665,579
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 5,665,579

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	WELLS FARGO 3701			
b	CAPITAL GAIN DIVIDENDS	P		
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 232,258		210,982	21,276
b			24,383
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			21,276
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	45,659
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	965,800	1,856,941	0 520103
2011	449,950	1,103,818	0 407631
2010	519,350	729,787	0 711646
2009	572,670	628,568	0 911071
2008	861,862	570,209	1 511484

2 Total of line 1, column (d).	2	4 061935
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 812387
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,939,448
5 Multiply line 4 by line 3.	5	2,387,969
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	438
7 Add lines 5 and 6.	7	2,388,407
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	873,535

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	877
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2.		3	877
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	877
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	1,000	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d.		7	1,000
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed <input type="checkbox"/>		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . <input type="checkbox"/>		10	123
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 0 Refunded <input type="checkbox"/>		11	123

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input type="checkbox"/>		Yes	No
	1a		No
	b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	
1c			No
c Did the foundation file Form 1120-POL for this year?			
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> <input type="checkbox"/>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> <input type="checkbox"/>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions): <input type="checkbox"/> NC _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of GRAEME M KEITH JR Telephone no (704) 364-6105 Located at 5201 GORHAM DRIVE CHARLOTTE NC ZIP+4 28226			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		<input type="checkbox"/> Yes	<input type="checkbox"/> No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GRAEME M KEITH JR	PRESIDENT	0		
5201 GORHAM DRIVE	0			
CHARLOTTE, NC 28226				
INDIA E KEITH	SECRETARY	0		
5201 GORHAM DRIVE	0			
CHARLOTTE, NC 28226				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,112,301
b	Average of monthly cash balances.	1b	548,446
c	Fair market value of all other assets (see instructions).	1c	1,323,464
d	Total (add lines 1a, b, and c).	1d	2,984,211
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,984,211
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	44,763
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,939,448
6	Minimum investment return. Enter 5% of line 5.	6	146,972

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	146,972
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	877
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	877
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	146,095
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	146,095
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	146,095

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	873,535
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	873,535
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	873,535
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				146,095
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011 , 2010 , 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				833,390
b From 2009.				541,242
c From 2010.				482,861
d From 2011.				394,759
e From 2012.				872,955
f Total of lines 3a through e.	3,125,207			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 873,535				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				146,095
e Remaining amount distributed out of corpus	727,440			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,852,647			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	833,390			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	3,019,257			
10 Analysis of line 9				
a Excess from 2009. . . .				541,242
b Excess from 2010. . . .				482,861
c Excess from 2011. . . .				394,759
d Excess from 2012. . . .				872,955
e Excess from 2013. . . .				727,440

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

INDIA E KEITH
5201 GORHAM DRIVE
CHARLOTTE, NC 28211
(704) 364-6105

b

The form in which applications should be submitted and information and materials they should include

THE FOUNDATION MAKES GRANTS TO TAX-EXEMPT 501(C)(3) CHARITIES AS DETERMINED BY THE FOUNDATION DIRECTORS AT PERIODIC MEETINGS WHILE REQUESTS FROM ELIGIBLE CHARITIES WILL BE CONSIDERED, NO FORM OR OTHER MATERIALS ARE REQUIRED TO BE SUBMITTED

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	873,535
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated


Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)


Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

GRAEME M KEITH JR
INDIA E KEITH


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ADVENTURE RESOURCES PO BOX 473082 CHARLOTTE,NC 28247		PC	CONTRIBUTION	5,000
AFRICAN CHRISTIAN CHURCH PO BOX 702001 DALLAS,TX 75370		PC	CONTRIBUTION	25,000
APARTMENT LIFE 610 S INDUSTRIAL BLVD SUITE 170 EULESS,TX 76040		PC	CONTRIBUTION	3,000
ATHLETES IN ACTION 651 TAYLOR DR XENIA,OH 45385		PC	CONTRIBUTION	500
BARIUM SPRINGS PO BOX 1 BARIUM SPRINGS,NC 28010		PC	CONTRIBUTION	1,000
BILLY GRAHAM ORGANIZATION 1 BILLY GRAHAM PARKWAY CHARLOTTE,NC 28201		PC	CONTRIBUTION	250,000
CAPITOL COMMISSION PO BOX 63118 CHARLOTTE,NC 28263		PC	CONTRIBUTION	5,000
CHANGED LIVES PO BOX 100 CHATTANOOGA,TN 37401		PC	CONTRIBUTION	10,000
CHESHIRE ACADEMY 10 MAIN STREET CHESIRE,CT 06410		PC	CONTRIBUTION	37,500
FCA 8701 LEEDS RD KANSAS CITY,MO 64129		PC	CONTRIBUTION	50,000
FIRST BAPTIST CHURCH 301 SOUTH DAVIDSON ST CHARLOTTE,NC 28202		PC	CONTRIBUTION	500
FIRST PRESBYTERIAN CHURCH 200 W TRADE ST CHARLOTTE,NC 28202		PC	CONTRIBUTION	1,000
FOCUS ON THE FAMILY 8605 EXPLORER DR COLORADO SPRINGS,CO 80920		PC	CONTRIBUTION	25,000
FOREST HILL CHURCH 7224 PARK RD CHARLOTTE,NC 28210		PC	CONTRIBUTION	100,000
HARAMBEE MINISTRY 1609 NAVARRO AVE PASADENA,CA 91103		PC	CONTRIBUTION	15,000
Total  3a				873,535

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOPE OUT LOUD PO BOX 2366 FOREST,VA 24551		PC	CONTRIBUTION	10,000
JESUS ONLINE 5482 BEACH BLUFF DR FREELAND,WA 98249		PC	CONTRIBUTION	50,000
MCCALLIE SCHOOL INC 500 DODDS AVE CHATTANOOGA,TN 37404		PC	CONTRIBUTION	12,000
MARANATHA HIGH SCHOOL 169 S ST JOHN AVE PASADENA,CA 91104		PC	CONTRIBUTION	83,000
MISSIONARY ATHLETES INTERNATIONAL PO BOX 1889 LA MIRADA,CA 90637		PC	CONTRIBUTION	1,000
PROGRESSIVE BAPTIST 1600 CLANTON RD CHARLOTTE,NC 28208		PC	CONTRIBUTION	1,000
SAMARITAN'S PURSE PO BOX 3000 BOONE,NC 28607		PC	CONTRIBUTION	75,000
SCB INTERNATIONAL 16601 WEST 142ND PLACE OLATHE,KS 66062		PC	CONTRIBUTION	7,270
SIERRA MADRE CHURCH 170 WEST SIERRA MADRE BLVD SIERRA MADRE,CA 91024		PC	CONTRITBUION	1,000
SOUTHMINSTER FOUNDATION 12250 SW DENNEY BEAVERTON,OR 97008		PC	CONTRIBUTION	500
SHELTERED TREASURES 4223 PROVIDENCE RD CHARLOTTE,NC 28211		PC	CONTRIBUTION	2,500
SIM 14830 CHOATE CIR CHARLOTTE,NC 28273		PC	CONTRIBUTION	5,000
WITH OPEN EYES 4100 COCA- COLA PLAZA CHARLOTTE,NC 28211		PC	CONTRIBUTIONS	75,000
YCI 251 POST OFFICE DR INDIAN TRAIL,NC 28079		PC	CONTRIBUTION	14,765
YMCA 101 N WACKER DR CHICAGO,IL 60606		PC	CONTRIBUTION	6,000
Total  3a				873,535

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MYERS PARK PRESBYTERIAN CHURCH 2501 OXFORD PL CHARLOTTE, NC 28207		PC	CONTRIBUTIONS	1,000
Total  3a				873,535

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.</div>	<div>OMB No 1545-0047</div> <div>2013</div>
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<div>Name of the organization</div> <div>THE GREG AND INDIA KEITH FOUNDATION</div>	<div>Employer identification number</div> <div>20-2016795</div>
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE GREG AND INDIA KEITH FOUNDATION	Employer identification number 20-2016795
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed
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(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GREG AND INDIA KEITH 5201 GORHAM DRIVE CHARLOTTE, NC 28226	\$ 1,087,430	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE GREG AND INDIA KEITH FOUNDATION	Employer identification number 20-2016795
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization THE GREG AND INDIA KEITH FOUNDATION	Employer identification number 20-2016795
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

TY 2013 Accounting Fees Schedule

Name: THE GREG AND INDIA KEITH FOUNDATION

EIN: 20-2016795

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	950			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE GREG AND INDIA KEITH FOUNDATION

EIN: 20-2016795

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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TY 2013 Investments - Land Schedule

Name: THE GREG AND INDIA KEITH FOUNDATION

EIN: 20-2016795

TY 2013 Land, Etc. Schedule**Name:** THE GREG AND INDIA KEITH FOUNDATION**EIN:** 20-2016795

TY 2013 Other Assets Schedule

Name: THE GREG AND INDIA KEITH FOUNDATION

EIN: 20-2016795

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
LIFE INSURANCE CONTRACTS	2,393,767	2,873,464	1,323,464

TY 2013 Other Income Schedule

Name: THE GREG AND INDIA KEITH FOUNDATION

EIN: 20-2016795

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INCREASE IN CASH SURRENDER VALUE OF LIFE			
INSURANCE CONTRACTS	279,696	0	0

TY 2013 Taxes Schedule

Name: THE GREG AND INDIA KEITH FOUNDATION

EIN: 20-2016795

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	656	656		

KEITH, GREG AND INDIA FDN - AGY

Income for 2013

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SAFEWAY INC NEW	SWY	786514208	35	02/21/13	03/12/13	\$835 18	\$823.55	\$11.63
CELGENE CORP COM	CELG	151020104	5	02/21/13	03/27/13	\$565 01	\$493.95	\$71 06
COSTCO WHOLESALE CORP	COST	22160K105	5	02/21/13	03/27/13	\$532 24	\$502.40	\$29.84
RACKSPACE HOSTING INC	RAX	750086100	20	02/21/13	03/27/13	\$991 02	\$1,100 40	\$-109.38
HMS HLDGS CORP	HMSY	40425J101	35	02/21/13	03/27/13	\$969.27	\$898.80	\$70.47
NETAPP INC	NTAP	64110D104	30	02/21/13	03/27/13	\$1,009 49	\$1,032 90	\$-23.41
SAFEWAY INC NEW	SWY	786514208	26	02/21/13	04/03/13	\$660 12	\$611 78	\$48.34
MICROSOFT CORP	MSFT	594918104	25	04/03/13	05/10/13	\$814 82	\$718 00	\$96 82
ACCENTURE PLC	ACN	G1151C101	10	04/03/13	05/10/13	\$803 98	\$765 20	\$38 78
ALLERGAN INC COM	AGN	018490102	25	02/21/13	06/13/13	\$2,521 62	\$2,647 75	\$-126 13
ALLERGAN INC COM	AGN	018490102	5	04/03/13	06/13/13	\$504 32	\$569 70	\$-65.38
SAFEWAY INC NEW	SWY	786514208	61	02/21/13	06/19/13	\$1,457 99	\$1,435 32	\$22.67
APPLE COMPUTER INC COM	AAPL	037833100	5	02/21/13	06/27/13	\$1,973.47	\$2,229 25	\$-255.78
PRECISION CASTPARTS CORP	PCP	740189105	5	05/16/13	06/27/13	\$1,129.73	\$1,055 60	\$74.13

KEITH, GREG AND INDIA FDN - AGY

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
AMAZON COM INC	AMZN	023135106	5	05/16/13	06/27/13	\$1,380.02	\$1,331.40	\$48.62
CERNER CORP COM	CERN	156782104	10	05/16/13	06/27/13	\$964.58	\$965.60	\$-1.02
ORACLE CORPORATION	ORCL	68389X105	35	05/16/13	06/27/13	\$1,066.46	\$1,207.83	\$-141.37
ORACLE CORPORATION	ORCL	68389X105	5	02/21/13	06/27/13	\$152.35	\$171.85	\$-19.50
TRIMBLE NAV LTD	TRMB	896239100	45	03/27/13	06/27/13	\$1,172.34	\$1,299.99	\$-127.65
TRIMBLE NAV LTD	TRMB	896239100	20	04/03/13	06/27/13	\$521.04	\$573.85	\$-52.81
TRIMBLE NAV LTD	TRMB	896239100	20	05/16/13	06/27/13	\$521.04	\$584.20	\$-63.16
INTUITIVE SURGICAL INC	ISRG	46120E602	5	05/16/13	07/29/13	\$1,968.17	\$2,387.50	\$-419.33
INTUITIVE SURGICAL INC	ISRG	46120E602	1	04/03/13	07/29/13	\$393.63	\$498.53	\$-104.90
INTUITIVE SURGICAL INC	ISRG	46120E602	4	02/21/13	07/29/13	\$1,574.53	\$2,242.00	\$-667.47
ENSCO PLC-CL A	ESV	G3157S106	10	04/03/13	08/22/13	\$569.34	\$573.00	\$-3.66
COMPANHIA DE BEBIDAS-PRF ADR	ABV	20441W203	45	02/21/13	08/22/13	\$1,534.33	\$2,035.35	\$-501.02
ENSCO PLC-CL A	ESV	G3157S106	25	02/21/13	08/22/13	\$1,423.36	\$1,535.75	\$-112.39
COMPANHIA DE BEBIDAS-PRF ADR	ABV	20441W203	20	05/16/13	08/22/13	\$681.92	\$869.60	\$-187.68
COMPANHIA DE BEBIDAS-PRF ADR	ABV	20441W203	25	04/03/13	08/22/13	\$862.41	\$1,028.74	\$-176.33
ENSCO PLC-CL A	ESV	G3157S106	10	05/16/13	08/22/13	\$569.34	\$622.40	\$-53.06
ENSCO PLC-CL A	ESV	G3157S106	5	04/03/13	09/09/13	\$284.70	\$286.50	\$-1.80
CITRIX SYS INC COM	CTXS	177376100	15	05/16/13	11/05/13	\$860.92	\$1,009.95	\$-149.03
CITRIX SYS INC COM	CTXS	177376100	15	04/03/13	11/05/13	\$860.92	\$1,063.80	\$-202.88
CITRIX SYS INC COM	CTXS	177376100	25	02/21/13	11/05/13	\$1,434.86	\$1,793.50	\$-348.64
RALPH LAUREN CORP	RL	751212101	5	04/03/13	11/05/13	\$855.58	\$844.24	\$11.34
RALPH LAUREN CORP	RL	751212101	10	02/21/13	11/05/13	\$1,711.15	\$1,710.30	\$0.85
TERADATA CORP	TDC	88076W103	15	08/22/13	11/05/13	\$635.87	\$903.45	\$-267.58
TERADATA CORP	TDC	88076W103	10	05/16/13	11/05/13	\$423.91	\$568.40	\$-144.49
TERADATA CORP	TDC	88076W103	10	04/03/13	11/05/13	\$423.91	\$564.10	\$-140.19
TERADATA CORP	TDC	88076W103	20	02/21/13	11/05/13	\$847.83	\$1,231.27	\$-383.44
T ROWE PRICE SMALL CAP STOCK € OTCFX		779572106	549.69	02/14/13	11/25/13	\$25,000.00	\$20,360.60	\$4,639.40

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DIAMOND HILL LONG-SHORT FD CL	DHLX	25264S833	2440 22	05/10/13	11/25/13	\$54,490 00	\$50,000 00	\$4,490 00
DIAMOND HILL LONG-SHORT FD CL	DHLX	25264S833	2523 98	02/14/13	11/25/13	\$56,360 43	\$50,000 00	\$6,360 43
DIAMOND HILL LONG-SHORT FD CL	DHLX	25264S833	1250 63	04/02/13	11/25/13	\$27,926 46	\$25,000 00	\$2,926 46
RAYTHEON CO	RTN	755111507	30	02/21/13	11/27/13	\$2,675 65	\$1,616 10	\$1,059 55
CONOCOPHILLIPS	COP	20825C104	18	02/21/13	11/27/13	\$1,313 07	\$1,036 80	\$276 27
WASTE MANAGEMENT INC	WM	94106L109	18	02/21/13	11/27/13	\$821 32	\$655 20	\$166 12
CVS/CAREMARK CORPORATION	CVS	126650100	15	02/21/13	11/27/13	\$1,000 33	\$777 60	\$222 73
MONDELEZ INTERNATIONAL INC	MDLZ	609207105	27	02/21/13	11/27/13	\$910 42	\$725 76	\$184 66
CARDINAL HEALTH INC COM	CAH	14149Y108	41	02/21/13	11/27/13	\$2,635 84	\$1,866 32	\$769 52
THE BANK OF NEW YORK MELLON C BK	MSI	064058100	35	02/21/13	11/27/13	\$1,182 62	\$965 65	\$216 97
MOTOROLA SOLUTIONS, INC.	MSI	620076307	18	02/21/13	11/27/13	\$1,185 09	\$1,100 88	\$84 21
NORTHROP GRUMMAN CORP	NOC	666807102	27	02/21/13	11/27/13	\$3,077 67	\$1,767 96	\$1,309 71
MARSH & MCLENNAN COS INC	MMC	571748102	28	02/21/13	11/27/13	\$1,333 33	\$1,015 28	\$318 05
LOWES COS INC	LOW	548661107	21	02/21/13	11/27/13	\$1,008 61	\$800 52	\$208 09
JOHNSON CONTROLS INC	JCI	478366107	48	02/21/13	11/27/13	\$2,407 63	\$1,516 80	\$890 83
HALLIBURTON CO	HAL	406216101	29	02/21/13	11/27/13	\$1,536 68	\$1,175 37	\$361 31
ARCHER DANIELS MIDLAND CO COM ADM	ADM	039483102	37	02/21/13	11/27/13	\$1,535 10	\$1,185 11	\$349 99
XEROX CORP	XRX	984121103	153	02/21/13	11/27/13	\$1,724 29	\$1,208 68	\$515 61
UNION PACIFIC CORP	UNP	907818108	10	05/16/13	12/09/13	\$1,646 08	\$1,576 49	\$69 59
COCA COLA CO	KO	191216100	15	05/16/13	12/09/13	\$606 60	\$650 25	\$-43 65
COSTCO WHOLESALE CORP	COST	22160K105	10	05/16/13	12/09/13	\$1,216 00	\$1,118 40	\$97 60
ESTEE LAUDER COMPANIES INC	EL	518439104	20	05/16/13	12/09/13	\$1,474 70	\$1,423 60	\$51 10
ESTEE LAUDER COMPANIES INC	EL	518439104	10	07/29/13	12/09/13	\$737 35	\$661 40	\$75 95
Total short term gain/loss from sales:						\$232,258 04	\$210,982 47	\$21,275 57