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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation ANDERSEN-FORMOLO FAMILY FOUNDATION		A Employer identification number 20-1917495
C/O THOMAS J. FORMOLO		B Telephone number (see instructions) (312) 876-8636
Number and street (or P O box number if mail is not delivered to street address) 115 DEWINDT ROAD		
City or town, state or province, country, and ZIP or foreign postal code WINNETKA, IL 60093		
G Check all that apply	Initial return Final return Address change	Initial return of a former public charity Amended return Name change
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		C If exemption application is pending, check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,873,302.		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	1,544.	1,544.		ATCH 1
4 Dividends and interest from securities	81,110.	81,110.		ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	89,086.			
b Gross sales price for all assets on line 6a 734,640.				
7 Capital gain net income (from Part IV, line 2)		89,086.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH 3	-777.	3,529.		
12 Total. Add lines 1 through 11	170,963.	175,649.		
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	4,100.	2,050.		2,050.
c Other professional fees (attach schedule) *	2,375.	1,187.		1,188.
17 Interest				
18 Taxes (attach schedule) (see instructions) ATCH 5	25.			25.
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 6	7,417.	7,417.		
24 Total operating and administrative expenses. Add lines 13 through 23	13,917.	10,654.		3,263.
25 Contributions, gifts, grants paid	142,500.			142,500.
26 Total expenses and disbursements. Add lines 24 and 25	156,417.	10,654.	0	145,763.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	14,546.			
b Net investment income (if negative, enter -0-)		164,615.		
c Adjusted net income (if negative, enter -0-)				

JSA For Paperwork Reduction Act Notice, see instructions.

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\*ATCH 4

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	6,371.	31,697.	31,697.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10 a	Investments - U S and state government obligations (attach schedule) . .			
	b	Investments - corporate stock (attach schedule) ATCH 7 . . . .	1,411,163.	1,508,761.	1,786,595.
	c	Investments - corporate bonds (attach schedule) . . . . .			
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) ATCH 8 . . . . .	1,155,234.	1,046,856.	1,055,010.
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	2,572,768.	2,587,314.	2,873,302.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶)			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	2,572,768.	2,587,314.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . .			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	2,572,768.	2,587,314.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	2,572,768.	2,587,314.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	2,572,768.
2	Enter amount from Part I, line 27a . . . . .	2	14,546.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3 . . . . .	4	2,587,314.
5	Decreases not included in line 2 (itemize) ▶	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . .	6	2,587,314.

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**Part IV Capital Gains and Losses for Tax on Investment Income**(a) List and describe the kind(s) of property sold (e.g., real estate,  
2-story brick warehouse, or common stock, 200 shs MLC Co.)(b) How  
acquired  
P - Purchase  
D - Donation(c) Date  
acquired  
(mo., day, yr.)(d) Date sold  
(mo., day, yr.)**1a** SEE PART IV SCHEDULE**b****c****d****e**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

**a****b****c****d****e**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

**a****b****c****d****e**

<b>2</b> Capital gain net income or (net capital loss)	<div style="display: inline-block; vertical-align: middle;"> <div style="display: inline-block; vertical-align: middle;">If gain, also enter in Part I, line 7</div> <div style="display: inline-block; vertical-align: middle;">If (loss), enter -0- in Part I, line 7</div> </div>	<b>2</b>	89,086.
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<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="display: inline-block; vertical-align: middle;"> <div style="display: inline-block; vertical-align: middle;">If gain, also enter in Part I, line 8, column (c) (see instructions)</div> <div style="display: inline-block; vertical-align: middle;">If (loss), enter -0- in Part I, line 8</div> </div>	<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	127,698.	2,471,445.	0.051669
2011	89,408.	2,454,525.	0.036426
2010	73,086.	2,155,514.	0.033907
2009	152,726.	1,917,355.	0.079655
2008	123,688.	2,487,311.	0.049728

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.251385
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<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.050277
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<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	<b>4</b>	2,733,347.
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<b>5</b> Multiply line 4 by line 3	<b>5</b>	137,424.
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<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	1,646.
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<b>7</b> Add lines 5 and 6	<b>7</b>	139,070.
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<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	145,763.
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If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,646.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,646.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	5	1,646.
6	<b>Credits/Payments</b>		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	5,494.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	<b>Total credits and payments.</b> Add lines 6a through 6d	7	5,494.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	3,848.
11	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b>	11	3,848.

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ► IL, -----		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation.</i> . . . . .	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i> . . . . .		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> THOMAS J. FORMOLO Telephone no <input type="checkbox"/> 312-876-8636			
Located at <input type="checkbox"/> 115 DEWINT ROAD WINNETKA, IL ZIP+4 <input type="checkbox"/> 60093				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) <input type="checkbox"/>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? <input type="checkbox"/>	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . . ▶	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	2,386,408.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	96,325.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	292,239.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	2,774,972.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	2,774,972.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	41,625.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	2,733,347.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	136,667.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	136,667.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	1,646.
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	1,646.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	135,021.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	135,021.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	135,021.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	145,763.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	145,763.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	1,646.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	144,117.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .				135,021.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only . . . . .				
<b>b</b> Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u> . . . . .				
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008 . . . . .				
<b>b</b> From 2009 . . . . . 14,732.				
<b>c</b> From 2010 . . . . .				
<b>d</b> From 2011 . . . . .				
<b>e</b> From 2012 . . . . . 5,098.				
<b>f</b> Total of lines 3a through e . . . . .	19,830.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>145,763.</u>				
<b>a</b> Applied to 2012, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2013 distributable amount . . . . .				135,021.
<b>e</b> Remaining amount distributed out of corpus . . . . .	10,742.			
<b>5</b> Excess distributions carryover applied to 2013 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	30,572.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	30,572.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009 . . . . . 14,732.				
<b>b</b> Excess from 2010 . . . . .				
<b>c</b> Excess from 2011 . . . . .				
<b>d</b> Excess from 2012 . . . . . 5,098.				
<b>e</b> Excess from 2013 . . . . . 10,742.				

Form 990-PF (2013)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

THOMAS J. FORMOLO & STEPHANIE ANDERSEN-FORMOLO

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 10

b The form in which applications should be submitted and information and materials they should include

RECIPIENT NAME AND ADDRESS, TAX-EXEMPT STATUS, AND AMOUNT REQUESTED

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ATCH 11				
<b>Total</b> . . . . .			<b>3a</b>	142,500.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			<b>3b</b>	

## Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments . . . . .						
<b>3</b> Interest on savings and temporary cash investments				14	1,544.	
<b>4</b> Dividends and interest from securities . . . . .				14	81,110.	
<b>5</b> Net rental income or (loss) from real estate						
a Debt-financed property . . . . .						
b Not debt-financed property . . . . .						
<b>6</b> Net rental income or (loss) from personal property .						
<b>7</b> Other investment income . . . . .				18	-777.	
<b>8</b> Gain or (loss) from sales of assets other than inventory				18	89,086.	
<b>9</b> Net income or (loss) from special events . . .						
<b>10</b> Gross profit or (loss) from sales of inventory . .						
<b>11</b> Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .					170,963.	
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .					170,963.	

(See worksheet in line 13 instructions to verify calculations )

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )
----------	--

[illegible]

## Part XVII. Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	<b>1a(1)</b>		X
(2) Other assets	<b>1a(2)</b>		X
<b>b</b> Other transactions			
(1) Sales of assets to a noncharitable exempt organization	<b>1b(1)</b>		X
(2) Purchases of assets from a noncharitable exempt organization	<b>1b(2)</b>		X
(3) Rental of facilities, equipment, or other assets	<b>1b(3)</b>		X
(4) Reimbursement arrangements	<b>1b(4)</b>		X
(5) Loans or loan guarantees	<b>1b(5)</b>		X
(6) Performance of services or membership or fundraising solicitations	<b>1b(6)</b>		X
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees	<b>1c</b>		X
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			




[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign Here**   

Signature of officer or trustee \_\_\_\_\_ Date \_\_\_\_\_ Title \_\_\_\_\_

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name DAVID LEVINE	Preparer's signature DAVID LEVINE	Date 06/25/2014	Check <input type="checkbox"/> if self-employed	PTIN P00440664
Firm's name ▶ TOPEL FORMAN L.L.C.			Firm's EIN ▶ 36-2469413	
Firm's address ▶ 500 NORTH MICHIGAN AVE, SUITE 1700 CHICAGO, IL 60611			Phone no. 312-642-0006	

**FORM 990-PF - PART IV****CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					12,628.	
14,250.		15,000 SHS HSBC 95% PPN FX BASKET PROPERTY TYPE: SECURITIES 15,147.				P	VAR	01/11/2013
27,750.		1,208.098 SHS JPM US LARGE CAP CORE PLUS PROPERTY TYPE: SECURITIES 25,075.				P	VAR	01/10/2013
22,250.		2,095.14 SHS JPM EQUITY INCOME FD PROPERTY TYPE: SECURITIES 19,820.				P	VAR	01/10/2013
22,557.		385 SHS ISHARES DJ SELECT DIVIDEND INDEX PROPERTY TYPE: SECURITIES 20,716.				P	VAR	01/10/2013
20,454.		175 SHS ISHARES RUSSELL MIDCAP INDEX FUN PROPERTY TYPE: SECURITIES 17,779.				P	VAR	01/10/2013
18,557.		275 SHS ISHARES RUSSELL 1000 GROWTH INDE PROPERTY TYPE: SECURITIES 16,552.				P	VAR	01/10/2013
56,008.		2,225.189 SHS JPM LARGE CAP GROWTH FD PROPERTY TYPE: SECURITIES 50,000.				P	VAR	02/01/2013
21,095.		20,000 SHS JPM BREN EAFE PROPERTY TYPE: SECURITIES 20,000.				P	VAR	03/13/2013
35,113.		3,137.912 JPM INTL CURRENCY INCOME PROPERTY TYPE: SECURITIES 35,000.				P	VAR	03/14/2013
47,138.		35,000 HSBC MARKET PLUS SPX PROPERTY TYPE: SECURITIES 35,000.				P	VAR	03/18/2013
67,880.		3,559.522 SHS RS INTERNATIONAL GROWTH FU PROPERTY TYPE: SECURITIES 60,000.				P	VAR	04/25/2013

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
30,000.		810.373 SHS DODGE & COX INTL STOCK FUND PROPERTY TYPE: SECURITIES 36,159.				P	VAR -6,159.	06/12/2013
40,250.		35,000 SHS JPM CONT BUFF EQ SPX PROPERTY TYPE: SECURITIES 35,000.				P	VAR 5,250.	06/26/2013
14,630.		20,000 SHS CS REN HSCEI PROPERTY TYPE: SECURITIES 20,000.				P	VAR -5,370.	07/09/2013
42,595.		35,000 SHS GS REN SPX PROPERTY TYPE: SECURITIES 35,000.				P	VAR 7,595.	08/07/2013
24,300.		20,000 SHS JPM BREN EAFE PROPERTY TYPE: SECURITIES 20,000.				P	VAR 4,300.	08/14/2013
22,800.		20,000 SHS BARC BREN ASIA BASKET PROPERTY TYPE: SECURITIES 20,000.				P	VAR 2,800.	08/21/2013
24,100.		20,000 SHS JPM BREN EAFE PROPERTY TYPE: SECURITIES 20,000.				P	VAR 4,100.	09/25/2013
32,125.		2,281.617 SHS DREYFUS EMG MKT PROPERTY TYPE: SECURITIES 35,000.				P	VAR -2,875.	10/01/2013
23,580.		20,000 SHS JPM BREN EAFE PROPERTY TYPE: SECURITIES 20,000.				P	VAR 3,580.	10/23/2013
23,308.		20,000 SHS GS BREN EAFE PROPERTY TYPE: SECURITIES 20,000.				P	VAR 3,308.	11/20/2013
22,320.		20,000 SHS DB BREN ASIA BASKET PROPERTY TYPE: SECURITIES 20,000.				P	VAR 2,320.	12/11/2013
34,217.		480 SHS ISHARES DJ SELECT DIVIDEND INDEX PROPERTY TYPE: SECURITIES 24,127.				P	VAR 10,090.	12/31/2013



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
34,736.		2,661.767 SHS JPM EQUITY INCOME FD PROPERTY TYPE: SECURITIES 25,180.				P	VAR  9,556.	12/31/2013
TOTAL GAIN (LOSS) .....							<u>89,086.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
JPMORGAN TRUST CO.	1,541.	1,541.
JPMORGAN TRUST CO. - OID	3.	3.
TOTAL	<u>1,544.</u>	<u>1,544.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
JPMORGAN TRUST CO. - DIVIDENDS	54,949.	54,949.
JPMORGAN TRUST CO. - CAP GAIN DIVIDENDS	26,161.	26,161.
TOTAL	<u>81,110.</u>	<u>81,110.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
RESLAND INVESTORS LLC	681.	712.
CREATION INVESTMENTS SOCIAL VENTURES FD	-2,455.	-48.
CREATION INVESTMENTS SOCIAL VENTURES II	-3,397.	-2,167.
5812 FUND, LLC	4,394.	5,032.
TOTALS	<u>-777.</u>	<u>3,529.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FOUNDATION MANAGEMENT FEES	2,375.	1,187.	1,188.
TOTALS	<u>2,375.</u>	<u>1,187.</u>	<u>1,188.</u>

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
ILLINOIS CHARITY BUREAU	25.	25.
TOTALS	<u>25.</u>	<u>25.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
BANK FEES	7,417.	7,417.
TOTALS	<u>7,417.</u>	<u>7,417.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
HOUSTON WIRE & CABLE CO.	230,480.	230,480.	184,109.
DODGE & COX INT'L FUND	72,268.	87,032.	98,184.
ISHARES RUSSELL MIDCAP INDEX	130,669.	112,888.	190,475.
ISHARES RUSSELL 1000 GROWTH	75,945.	59,389.	87,755.
JPM US LARGE CAP CORE PLUS	135,226.	135,226.	173,487.
MATTHEWS PACIFIC TIGER FUND	46,305.	35,998.	57,415.
DEVRY INC DEL	26,688.	26,688.	18,638.
EDUCATION MANAGEMENT CORP	28,496.	28,496.	21,643.
AMERICAN REPOGRAPHICS CO	69,658.	69,658.	82,447.
ISHARES DJ SELECT DIVID INDEX	44,844.		
JPM LARGE CAP GROWTH FUND	50,000.		
SPDR S&P 500 ETF TRUST	125,584.	165,649.	216,641.
BBH FD INC	100,000.	100,000.	135,186.
JPM EQUITY INCOME FD - SEL	45,000.		
MFS INTL VALUE-I	75,000.	85,000.	116,818.
RS INTL GROWTH FUND	60,000.		
MATTHEWS ASIA DIVIDEND INSTL	40,000.	60,000.	69,955.
VIRTUS INSIGHT TR VIRT EMERG	55,000.		
ISHARES MSCI EAFE INDEX FUND		90,895.	94,470.
OAKMARK INTERNATIONAL FUND I		58,479.	66,893.
VIRTUS EMERGING MKTS OPPOR		85,000.	85,725.
JPM CHINA REGION FD - SEL		19,236.	22,390.
JPM GLOBAL RES ENH INDEX FD		38,985.	44,503.
TEMPLETON GLOBAL BOND FD-AD		19,662.	19,861.
TOTALS	<u>1,411,163.</u>	<u>1,508,761.</u>	<u>1,786,595.</u>



ATTACHMENT 8FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
RESLAND INVESTORS LLC	95,029.	86,637.	86,637.
JPM SHORT DURATION BOND FUND	49,926.	49,926.	51,098.
JPMORGAN STRATEGIC INCOME FD	48,113.	48,113.	50,850.
CREATION INV SOCIAL VENTURE FD	71,725.	69,270.	69,270.
JPMORGAN INT'L CURRENCY	35,000.		
JPMORGAN HIGH YIELD BOND FUND	267,434.	267,434.	254,365.
HSBC MKT PLUS SPX 3/18/13	35,000.		
HSBC 95% PPN FX 1/11/13	15,144.		
DOUBLELINE FDS TR	72,000.	72,000.	68,934.
BLACKROCK HIGH YIELD	50,000.	80,000.	82,763.
EATON VANCE FLOATING RATE	61,976.	91,976.	94,521.
JPM TR I INFL MANAGED BD-SEL	20,168.	20,168.	19,675.
GS REN SPX 8/7/13	35,000.		
JPM CONT BUFF EQ 6/26/13	35,000.		
GS REN EAFE 11/20/13	20,000.		
JPM BREN EAFE 3/13/13	20,000.		
JPM BREN EAFE 8/14/13	20,000.		
JPM BREN EAFE 9/25/13	20,000.		
JPM BREN EAFE 10/23/13	20,000.		
DB MARKET PLUS 3/19/14	20,000.	20,000.	24,017.
BARC BREN ASIA BSKT 8/21/13	20,000.		
DB BREN ASIA BSKT 12/11/13	20,000.		
5812 FUND, LLC	103,719.	103,113.	103,113.
CREATION INV SOCIAL VENTURE II		33,219.	33,219.
GS REN SPX 4/2/14		20,000.	22,805.
JPM REN SPX 9/4/14		30,000.	32,616.
CS LEV CONT BUFF EQ MXEA 9/14		25,000.	26,834.
JPM REN MXEA 6/25/14		30,000.	34,293.
TOTALS	<u>1,155,234.</u>	<u>1,046,856.</u>	<u>1,055,010.</u>

ANDERSEN-FORMOLO FAMILY FOUNDATION

20-1917495

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
THOMAS J. FORMOLO 115 DEWINDT ROAD WINNETKA, IL 60093	PRESIDENT/TREASURER	0	0	0
STEPHANIE ANDERSEN-FORMOLO 115 DEWINDT ROAD WINNETKA, IL 60093	SECRETARY	0	0	0
ELIZABETH DOUGLAS 115 DEWINDT ROAD WINNETKA, IL 60093	DIRECTOR	0	0	0
GRAND TOTALS		0	0	0

ATTACHMENT 10

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

THOMAS J. FORMOLO  
115 DEWINDT ROAD  
WINNETKA, IL 60093  
312-876-8636

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SIERRA CLUB FOUNDATION 85 SECOND STREET, SUITE 750 SAN FRANCISCO, CA 94105	NONE PC	AS NEEDED	10,000
BY THE HAND CLUB FOR KIDS P.O. BOX 10043 CHICAGO, IL 60610	NONE PC	AS NEEDED	20,000
SUNSHINE GOSPEL MINISTRIES P.O. BOX 377939 CHICAGO, IL 60637	NONE PC	AS NEEDED	15,000
PAMS CHICAGO 1997 N CLYBOURN AVE CHICAGO, IL 60614	NONE PC	AS NEEDED	5,000
UNIVERSITY OF WISCONSIN 1848 UNIVERSITY AVENUE MADISON, WI 53708-8860	NONE PC	AS NEEDED	20,000
YEAR UP CHICAGO 223 WEST JACKSON, SUITE 400 CHICAGO, IL 60606	NONE PC	AS NEEDED	10,000

FORM 990BF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BREAKTHROUGH URBAN MINISTRIES P O BOX 47200 CHICAGO, IL 60647	NONE PC	AS NEEDED	5,000
GRACE & PEACE FELLOWSHIP CHURCH P O BOX 7212 CHICAGO, IL 60680	NONE PC	AS NEEDED	25,000
CITIZEN SCHOOLS 1871 222 W. MERCHANDISE MART PLAZA CHICAGO, IL 60654	NONE PC	AS NEEDED	5,000.
ALL GOD'S CHILDREN P O BOX 5909 VILLA PARK, IL 60181	NONE PC	AS NEEDED	7,500
NORTH SHORE COUNTRY DAY SCHOOL 310 GREEN BAY ROAD WINNETKA, IL 60093	NONE PC	AS NEEDED	10,000
NATIONAL LOUIS UNIVERSITY ATTN . NIVINE MEGAREH 122 S. MICHIGAN AVENUE CHICAGO, IL 60603	NONE PC	AS NEEDED	10,000.
TOTAL CONTRIBUTIONS PAID			<u>142,500.</u>

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

ANDERSEN-FORMOLO FAMILY FOUNDATION

Social security number or taxpayer identification number

20-1917495

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
	15,000 SHS HSBC 95% PP FX BASKET	VAR	01/11/2013	14,250.	15,147.			-897.
	1,208.098 SHS JPM US LARGE CAP CORE PLUS	VAR	01/10/2013	27,750.	25,075.			2,675.
	2,095.14 SHS JPM EQUIT INCOME FD	VAR	01/10/2013	22,250.	19,820.			2,430.
	385 SHS ISHARES DJ SELECT DIVIDEND IND	VAR	01/10/2013	22,557.	20,716.			1,841.
	175 SHS ISHARES RUSSEL MIDCAP INDEX FUND	VAR	01/10/2013	20,454.	17,779.			2,675.
	275 SHS ISHARES RUSSEL 1000 GROWTH INDEX F	VAR	01/10/2013	18,557.	16,552.			2,005.
	2,225.189 SHS JPM LARG CAP GROWTH FD	VAR	02/01/2013	56,008.	50,000.			6,008.
	20,000 SHS JPM BREN EAFE	VAR	03/13/2013	21,095.	20,000.			1,095.
	3,137.912 JPM INTL CURRENCY INCOME	VAR	03/14/2013	35,113.	35,000.			113.
	35,000 HSBC MARKET PLU SPX	VAR	03/18/2013	47,138.	35,000.			12,138.
	3,559.522 SHS RS INTERNATIONAL GROWT	VAR	04/25/2013	67,880.	60,000.			7,880.
	810.373 SHS DODGE & CO INTL STOCK FUND	VAR	06/12/2013	30,000.	36,159.			-6,159.
	35,000 SHS JPM CONT BUFF EQ SPX	VAR	06/26/2013	40,250.	35,000.			5,250.
	20,000 SHS CS REN HSCEI	VAR	07/09/2013	14,630.	20,000.			-5,370.
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				722,013.	645,555.			76,458.

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

ANDERSEN-FORMOLO FAMILY FOUNDATION

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**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	35,000 SHS GS REN SPX	VAR	08/07/2013	42,595.	35,000.			7,595.
	20,000 SHS JPM BREN EAFE	VAR	08/14/2013	24,300.	20,000.			4,300.
	20,000 SHS BARC BREN ASIA BASKET	VAR	08/21/2013	22,800.	20,000.			2,800.
	20,000 SHS JPM BREN EAFE	VAR	09/25/2013	24,100.	20,000.			4,100.
	2,281.617 SHS DREYFUS EMG MKT	VAR	10/01/2013	32,125.	35,000.			-2,875.
	20,000 SHS JPM BREN EAFE	VAR	10/23/2013	23,580.	20,000.			3,580.
	20,000 SHS GS BREN EAFE	VAR	11/20/2013	23,308.	20,000.			3,308.
	20,000 SHS DB BREN ASI BASKET	VAR	12/11/2013	22,320.	20,000.			2,320.
	480 SHS ISHARES DJ SELECT DIVIDEND IND	VAR	12/31/2013	34,217.	24,127.			10,090.
	2,661.767 SHS JPM EQUITY INCOME FD	VAR	12/31/2013	34,736.	25,180.			9,556.
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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