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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
VAN KAMPEN BOYER MOLINARI
CHARITABLE FOUNDATION

A Employer identification number
20-1190854

Number and street (or P O box number if mail is not delivered to street address)
5440 FARR ROAD

Room/suite

B Telephone number (see instructions)
(561) 707-2337

City or town, state or province, country, and ZIP or foreign postal code
FRUITPORT, MI 49415

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 2,512,951

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ______ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)	1,000,000			
2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments	21,363	21,363		
4	Dividends and interest from securities	29,548	29,548		
5a	Gross rents				
b	Net rental income or (loss) ______				
6a	Net gain or (loss) from sale of assets not on line 10	71,603			
b	Gross sales price for all assets on line 6a 1,101,922				
7	Capital gain net income (from Part IV, line 2)		71,603		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	1,122,514	122,514		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	100,000			
14	Other employee salaries and wages				
15	Pension plans, employee benefits	15,216			
16a	Legal fees (attach schedule)	10,680			
b	Accounting fees (attach schedule)	5,622	5,622		
c	Other professional fees (attach schedule)	19,089			
17	Interest				
18	Taxes (attach schedule) (see instructions)	8,136	285		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	12,620			
22	Printing and publications				
23	Other expenses (attach schedule)	703,362	20,636		681,766
24	**Total operating and administrative expenses.** Add lines 13 through 23	874,725	26,543		681,766
25	Contributions, gifts, grants paid	482,100			482,100
26	**Total expenses and disbursements.** Add lines 24 and 25	1,356,825	26,543		1,163,866
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-234,311			
b	**Net investment income** (if negative, enter -0-)		95,971		
c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	23,844	8,025	8,025
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	1,553,835	1,346,658	1,346,658
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	528,639	580,499	707,546
	c	Investments—corporate bonds (attach schedule)	486,887	423,712	450,722
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,593,205	2,358,894	2,512,951
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	2,593,205	2,358,894	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,593,205	2,358,894	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,593,205	2,358,894	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,593,205
2	Enter amount from Part I, line 27a	2	-234,311
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,358,894
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,358,894

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	71,603
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	10,567

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	749,557	2,439,801	0 307221
2011	864,558	2,304,636	0 375139
2010	620,698	1,975,621	0 314179
2009	415,823	1,930,688	0 215376
2008	397,794	829,444	0 479591

2	**Total** of line 1, column (d).	2	1 691506
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 338301
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,482,932
5	Multiply line 4 by line 3.	5	839,978
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	960
7	Add lines 5 and 6.	7	840,938
8	Enter qualifying distributions from Part XII, line 4.	8	1,163,866

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	960
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	960
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	960
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — **6a** 1,556		
b	Exempt foreign organizations—tax withheld at source — **6b**		
c	Tax paid with application for extension of time to file (Form 8868) — **6c**		
d	Backup withholding erroneously withheld — **6d**		
7	Total credits and payments Add lines 6a through 6d	7	1,556
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	596
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 596 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL, MI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A - NONE

14 The books are in care of ▶JOAN M MACK Telephone no ▶(231) 865-6000

Located at ▶5440 FARR ROAD FRUITPORT MI ZIP+4 ▶49415

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions).		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
KIMBERLY VAN KAMPEN BOYER 13285 SOUTHFIELDS ROAD WELLINGTON, FL 33414	PRES, DIR 20 00	0	0	0
FREDERIC JACQUES BOYER 13285 SOUTHFIELDS ROAD WELLINGTON, FL 33414	SECY, DIR 10 00	0	0	0
MICHAEL WILLIAM MOLINARI 13285 SOUTHFIELDS ROAD WELLINGTON, FL 33414	DIRECTOR 0 00	0	0	0
JOAN M MACK 5440 FARR ROAD FRUITPORT, MI 49415	ADMINISTRATO 35 00	100,000	15,216	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 EVENT SPONSORSHIP FOR DRESSAGE 4 KIDS TAX-EXEMPT ORGANIZATION'S FUNDRAISING EVENT	90,452
2 EVENT SPONSORSHIP FOR WGREATER MUSKEGON WOMAN'S CLUB TAX- EXEMPT ORGANIZATION'S FUNDRAISING EVENT	44,709
3 EVENT SPONSORSHIP FOR LOVE, INC TAX-EXEMPT ORGANIZATION'S FUNDRAISING EVENT	31,292
4 EVENT SPONSORSHIP FOR UNITED STATES EQUESTRIAN TEAM TAX- EXEMPT ORGANIZATION'S FUNDRAISING EVENT	28,963

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,054,561
b	Average of monthly cash balances.	1b	15,935
c	Fair market value of all other assets (see instructions).	1c	1,450,247
d	**Total** (add lines 1a, b, and c).	1d	2,520,743
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,520,743
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	37,811
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,482,932
6	**Minimum investment return.** Enter 5% of line 5.	6	124,147

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	124,147
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	960		
b	Income tax for 2013 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	960
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	123,187
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	123,187
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	123,187

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,163,866
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,163,866
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	960
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	1,162,906

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				123,187
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008. 357,234				
b From 2009. 321,981				
c From 2010. 525,737				
d From 2011. 753,838				
e From 2012. 629,641				
f **Total** of lines 3a through e.	2,588,431			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 1,163,866				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				123,187
e Remaining amount distributed out of corpus	1,040,679			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,629,110			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	357,234			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	3,271,876			
10 Analysis of line 9				
a Excess from 2009. . . . 321,981				
b Excess from 2010. . . . 525,737				
c Excess from 2011. . . . 753,838				
d Excess from 2012. . . . 629,641				
e Excess from 2013. . . . 1,040,679				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JOAN M MACK
5440 FARR ROAD
FRUITPORT, MI 49415
(231) 865-6000

b The form in which applications should be submitted and information and materials they should include

A PRESCRIBED APPLICATION FORM REQUESTING INFORMATION PERTAINING ONLY TO THE APPLICANT'S CREDENTIALS AND PROJECT GOALS

c Any submission deadlines

APPLICATIONS FOR FUNDING MAY BE ACCEPTED THROUGHOUT THE YEAR APPLICATIONS SHALL BE ACKNOWLEDGED AND

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THERE ARE NO RESTRICTIONS OR LIMITATIONS ON GRANTS, OTHER THAN, THAT GRANTS ARE TO BE EXPENDED EXCLUSIVELY IN FURTHERANCE OF THOSE GOALS SPECIFIED IN THE GRANT APPLICATION

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				482,100
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			1	21,363	
4 Dividends and interest from securities			1	29,548	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			1	71,603	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				122,514	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 122,514

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-11-14 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed	PTIN
JOSEPH W KIMMERLING CPA		2014-11-14	☐	P00194000

Firm's name ▶	PESAVENTO & PESAVENTO LTD	Firm's EIN ▶ 36-3053312
Firm's address ▶	3401 SOUTH HARLEM AVE SUITE 200 BERWYN, IL 60402	Phone no (708) 447-8399

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
UBS JANUARY SHORT TERM PAGE 64	P		
UBS JULY LONG TERM PAGE 80	P		
UBS LONG TERM	P		
UBS JANUARY LONG TERM PAGE 64	P		
UBS AUGUST SHORT TERM PAGE 70	P		
UBS FEBRUARY SHORT TERM PAGE 64	P		
UBS AUGUST LONG TERM PAGE 71	P		
UBS FEBRUARY LONG TERM PAGE 65	P		
UBS SEPTEMBER SHORT TERM PAGE 73	P		
UBS MARCH SHORT TERM PAGE 71	P		
UBS SEPTEMBER LONG TERM PAGE 74	P		
UBS MARCH LONG TERM PAGE 72	P		
UBS OCTOBER SHORT TERM PAGE 69	P		
UBS APRIL SHORT TERM PAGE 72	P		
UBS OCTOBER LONG TERM PAGE 71	P		
UBS APRIL LONG TERM PAGE 73	P		
UBS OCTOBER SHORT TERM PAGE 71	P		
UBS MAY SHORT TERM PAGE 73	P		
UBS NOVEMBER SHORT TERM PAGE 65	P		
UBS MAY LONG TERM PAGE 74	P		
UBS NOVEMBER LONG TERM PAGE 66	P		
UBS JUNE SHORT TERM PAGE 73	P		
UBS NOVEMBER SHORT TERM PAGE 66	P		
UBS JUNE LONG TERM PAGE 73	P		
UBS DECEMBER SHORT TERM PAGE 31	P		
UBS JULY SHORT TERM PAGE 78	P		
UBS DECEMBER LONG TERM PAGE 31	P		
UBS JULY LONG TERM PAGE 79	P		
UBS DECEMBER SHORT TERM PAGE 31	P		
UBS JULY SHORT TERM PAGE 79	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
UBS SHORT TERM	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
9,675		11,775	-2,100
8,767		9,601	-834
924			924
10,001		9,646	355
17,243		17,524	-281
38,477		33,202	5,275
52,049		45,695	6,354
61,174		48,295	12,879
36,579		33,651	2,928
23,489		20,661	2,828
143,587		128,029	15,558
7,577		5,179	2,398
31,030		28,130	2,900
6,900		5,589	1,311
34,941		26,910	8,031
17,918		12,971	4,947
3,019		3,303	-284
76,471		74,177	2,294
19,740		19,472	268
14,329		11,115	3,214
22,976		26,134	-3,158
156,836		156,579	257
1,808		1,825	-17
15,779		15,347	432
39,611		43,402	-3,791
112,822		114,169	-1,347
7,807		3,895	3,912
45,152		39,128	6,024
14,860		13,699	1,161
70,381		70,786	-405

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
		430	-430

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,100
			-834
			924
			355
			-281
			5,275
			6,354
			12,879
			2,928
			2,828
			15,558
			2,398
			2,900
			1,311
			8,031
			4,947
			-284
			2,294
			268
			3,214
			-3,158
			257
			-17
			432
			-3,791
			-1,347
			3,912
			6,024
			1,161
			-405

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-430

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AUTISM SOCIETY 4340 EAST-WEST HWY SUITE BETHESDA,MD 20814	N/A - NONE	TAX-EXEMPT	DISABLED SERVICES PROGRAMS	2,500
BIG BROTHERS BIG SISTERS 1706 CLINTON STRET MUSKEGON,MI 49442	N/A - NONE	TAX-EXEMPT	YOUTH DEVELOPMENT PROGRAMS	2,000
DRESSAGE 4 KIDS 25 LAKE DRIVE BEDFORD,NY 10506	N/A - NONE	TAX-EXEMPT	YOUTH DEVELOPMENT PROGRAMS	90,000
FIDELITY CHARITABLE GIFT PO BOX 770001 CINCINNATI,OH 452770053	N/A - NONE	TAX-EXEMPT	INDEPENDENT PUBLIC CHARITY	125,000
HORSES & HOUNDS CHARITABLE FOUND 11399 PIPING PLOVER RD LAKE WORTH,FL 33449	N/A - NONE	TAX-EXEMPT	ANIMAL WELFARE PROGRAMS	10,000
HUMANE SOCIETY OF PALM BEACH COUNTY 3200 N MILITARY TRAIL WEST PALM BEACH,FL 33409	N/A - NONE	TAX-EXEMPT	ANIMAL WELFARE PROGRAMS	2,500
MUSKEGON MUSEUM OF ART 296 W WEBSTER MUSKEGON,MI 49440	N/A - NONE	TAX-EXEMPT	ART MUSEUM PROGRAMS	70,000
UNITED STATES EQUESTRIAN 4047 IRON WORKS PKWY LEXINGTON,KY 40511	N/A - NONE	TAX-EXEMPT	EQUESTRIAN TRAINING AND SUPPORT PROG	60,000
UNITED WAY 31 27 E CLAY MUSKEGON,MI 49441	N/A - NONE	TAX-EXEMPT	COMMUNITY DEVELOPMENT PROGRAMS	10,000
US PRE ASSOCIATION 11360 FORTUNE CIRCLE WELLINGTON,FL 33414	N/A - NONE	TAX-EXEMPT	EDUCATIONAL PROGRAMS	95,000
VENCERAMOS THERAPEUTIC RI 13300 6TH COURT NORTH LOXAHATCHEE,FL 33470	N/A - NONE	TAX-EXEMPT	DISABLED SERVICES PROGRAMS	15,000
WOMEN WHO CARE 1415 ROOD POINT RD MUSKEGON,MI 49441	N/A - NONE	TAX-EXEMPT	PHILANTHROPIC PROGRAMS	100
Total . . . . ▶ **3a**				482,100

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization
VAN KAMPEN BOYER MOLINARI
CHARITABLE FOUNDATION

Employer identification number
20-1190854

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
VAN KAMPEN BOYER MOLINARI
CHARITABLE FOUNDATION

Employer identification number
20-1190854

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JUDITH VAN KAMPEN TRUST 407 S THIRD STREET SUITE 230 GENEVA, IL60134	$ 1,000,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
VAN KAMPEN BOYER MOLINARI
CHARITABLE FOUNDATION

Employer identification number
20-1190854

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
VAN KAMPEN BOYER MOLINARI
CHARITABLE FOUNDATION

Employer identification number
20-1190854

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: VAN KAMPEN BOYER MOLINARI CHARITABLE FOUNDATION

EIN: 20-1190854

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	5,622	5,622		

TY 2013 Compensation Explanation

Name: VAN KAMPEN BOYER MOLINARI CHARITABLE FOUNDATION

EIN: 20-1190854

Person Name	Explanation
KIMBERLY VAN KAMPEN BOYER	
FREDERIC JACQUES BOYER	
MICHAEL WILLIAM MOLINARI	
JOAN M MACK	

TY 2013 Investments Corporate Bonds Schedule

Name: VAN KAMPEN BOYER MOLINARI CHARITABLE FOUNDATION

EIN: 20-1190854

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS UBS	246,062	450,722
MUTUAL FUNDS UBS	177,650	

TY 2013 Investments Corporate Stock Schedule

Name: VAN KAMPEN BOYER MOLINARI CHARITABLE FOUNDATION

EIN: 20-1190854

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON STOCK UBS	465,560	577,999
MUTUAL FUNDS UBS	81,284	89,243
CLOSED END FUNDS UBS		
MUTUAL FUNDS UBS		
MUTUAL FUNDS UBS	33,655	40,304
MUTUAL FUNDS UBS		
PREFERRED STOCK UBS		

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TY 2013 Legal Fees Schedule

Name: VAN KAMPEN BOYER MOLINARI CHARITABLE FOUNDATION

EIN: 20-1190854

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	10,680			

TY 2013 Other Expenses Schedule

Name: VAN KAMPEN BOYER MOLINARI CHARITABLE FOUNDATION

EIN: 20-1190854

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT ADVISORY FEES	20,636	20,636		
OFFICE SUPPLIES	960			
EVENT SPONSORSHIP & PROMOTION	681,766			681,766

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TY 2013 Other Professional Fees Schedule

Name: VAN KAMPEN BOYER MOLINARI CHARITABLE FOUNDATION

EIN: 20-1190854

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL PROCESSING FEES	1,437			
CONSULTING FEES	17,652			

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TY 2013 Taxes Schedule

Name: VAN KAMPEN BOYER MOLINARI CHARITABLE FOUNDATION

EIN: 20-1190854

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SECRETARY OF STATE TAX FEES	115	115		
PAYROLL TAXES	7,851			
FOREIGN TAXES	170	170		

Van Kampen Boyer Molinari Charitable Foundation

GRANT GUIDELINES
Approved by the Board of Directors
On 15 July, 2004.

1. Compliance with Federal and State Laws

In all matters concerning the granting of grants, and expending the Foundation resources, the Van Kampen Boyer Molinari Charitable Foundation shall fully comply with all State and Federal regulations pertaining to private charitable foundations. Specifically, this should include IRC Section 501 (c)(3); IRC Sections 4941, 4942, 4943, 4944, 4945, 4958, pertinent regulations of the Internal Revenue Code of 1986, and applicable revenue rulings. Applicable provisions of the Illinois Charitable Foundation and Solicitation Act shall also be followed.

2. Solicitation of Grant Applications

The Foundation's Board of Directors shall make all necessary efforts to ensure that grants funds are awarded without regard for the sex, race, color, national or ethnic origin of the potential applicants. Application forms will be made available to all who ask for them, and shall request information pertaining only to the applicant's credentials and project goals.

3. Receiving and Retaining Applicant Files

Applications for funding may be accepted throughout the year. Applications shall be acknowledged and processed at least twice a year, and separate files shall be opened in each. All relevant information shall be retained in each applicant's file for at least three years after action is taken on it. Within six months of receiving an application such application shall be processed and grant/grant awards shall be determined.

4. Criteria

The Foundation's Board of Directors will select distributees whose proposed activities, in the judgment of the Board of Directors, will further the exempt purposes of the Foundation.

5. Processing the Application

The priorities for applicant decisions shall focus upon credentials, the quality of the proposed project or publication sought to be funded, and the individual's or organization's demonstrated ability to achieve the goals presented. The Board of Directors shall, within budgetary constraints, have discretion concerning the amount and method of payment for each grant award The Board may make as many or few awards as is deems prudent during each year so long as all budgeted funds are disposed of

6 Distribution

As part of the grant procedure, and prior to any disbursement of funds, each distributee shall execute a written statement giving assurance that the funds will be expended exclusively in furtherance of those goals specified in the grant application and also that each grantee shall report back to the Board of Directors within 30 days after the end of each quarter as to expenditures made and progress made toward project goals No additional funds will be disbursed for the benefit of any grantee unless the Board of Directors has received this assurance in writing. The awards shall in all cases be disbursed.

(1) for the benefit of the grantee payable to the third party provider if the distributee

is a 501(c)(3) organization, or

(2) for the benefit of other organizations exclusively for charitable, scientific, or educational purposes, only if the Foundation retains discretion and control within the meaning of Rev. Rul. 68-489, or

(3) to an account established by the individual if the distributee is an individual

7. Expenditure Maintenance and Review

If the grantee fails to provide required reports or if the Board of Directors has any unsatisfied questions about the use of program funds, the Board shall conduct an investigation of the facts and circumstances of the matter. If it appears that there has been any misuse of funds by any grantee a hearing shall be conducted by the whole Board The grantee shall be invited to attend the Board hearing and may present evidence that justifies his or her use of grant funds. If the Board concludes that the funds have been misused by the grantee, then there shall be an action by the Board to compel the grantee (in accordance with the above written guarantee) to reimburse the Foundation for the amount of the unused funds.

8. Alternative Dispute Resolution

To avoid the expense and time of litigation, the Foundation and Grantee will attempt to resolve all disputes outside of the courthouse. If the parties cannot reach a resolution of the matter through their own efforts, they agree to then employ more formal dispute resolution methods. Any disputes connected with this grant award process will be brought to mediation within thirty (30) days of the date either party requests mediation in writing. If a mediator cannot be agreed upon, the parties elect to have one provided for them by the American Arbitration Association (A.A.A.) or by Judicial Arbitration and Mediation Services (J.A.M.S. Endispute). If mediation is not successful in resolving the dispute, both parties agree that the matter shall be taken to binding arbitration by a single arbitrator mutually agreed upon by the parties or by an arbitrator selected through A.A.A. or J.A.M.S. Arbitration shall occur within 60 days of the mediation.

9. State and Federal Reporting

At the conclusion of each fiscal year, the Board of Directors shall provide a full accounting for all funds disbursed. All irregularities shall be reported with full particulars. The grant report shall be made available within 60 days after the end of the fiscal year

Van Kampen Boyer Molinari
Charitable Foundation
FEIN· 20-1190854
Exhibit B Attachment #2

CERTIFIED COPY OF CORPORATE RESOLUTION
GRANTS POLICY STATEMENT

I, Frederic Boyer, as the duly elected secretary and custodian of the records of Van Kampen Boyer Molinari Charitable Foundation ("the Foundation"), an Illinois corporation organized and operated under the Not For Profit Corporation Act of Illinois, hereby certify that on 15 July, 2004, the following resolution of the Board of Directors was duly approved and is currently policy in good standing with the corporation.

RESOLVED, that the Foundation shall begin accepting applications from prospective grantees through the Board of Directors; and that the said Board shall review and evaluate all applications and shall grant awards based upon the grantee's ability to accomplish the Foundation's exempt purposes.

1. The Board shall require the following information from each applicant:
   a. A detailed description of the specific goals to be achieved under the grant being sought, and an affidavit stating that the requested funds shall be used exclusively in furtherance of that express purpose.
   b. Evidence of applicant's religious, charitable or academic credentials and/or experience. To document this criteria each applicant must present a curriculum vitae that has specific information about programs, projects, or educational activities undertaken in the past.
2. Additional policies that shall control the function and discretion of the Board include:
   a. No applicant shall be evaluated in any way based upon his race, color, nation or ethnic origin.
   b. No applicant shall be directly related to any member of the Board of Directors, to any Board member or to the Van Kampen family.
   c. The Board of Directors shall accept applications upon the prescribed application forms. At least twice each year, as resources are available, the Board shall consider all applications then on file and shall award grants based upon the financial need and program goals of the various applicants.
3. The Foundation may make distributions to organizations or individuals in the form of grants. Any grantee who is an individual or an organization not recognized by the Internal Revenue Service under Section 501(c)(3) of the Internal Revenue Code will be required to sign a grant agreement. The agreement will require the grantee to use the funds only for the purposes of the grant, to return any funds not so expended, and to submit reports to The Foundation at specified intervals regarding the grantee's progress toward achieving the grant purposes. This agreement must be signed annually to acknowledge the grantee's continuing accountability for Foundation funds.
4. The Foundation may from time to time make distributions for exempt purposes to organizations or individuals in the form of loans The Board of Directors will determine when a loan rather than a grant is appropriate. In keeping with its exempt purposes, the terms of such loans will be more favorable than those that are otherwise commercially available to the borrower. All recipients will be required to sign loan agreements which, in addition to the term of the loan and the interest rate (if any), will state the exempt purposes of the loan and the uses to which the loaned funds may be limited. The Foundation will exercise such supervision over its exempt purpose

loans as it believes to be necessary in the circumstances.

5. The Board shall maintain complete records of all applicants and grant recipients for at least three years after contact with each party has ceased.

Secretary of the Foundation

UBS

Account name: VAN KAMPEN, BOYER,
Friendly account name: VKBM Foundation
Account number: 5G 04147 01

Your Financial Advisor:
THE ALTMAN FINANCIAL GROUP
312-525-4111

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CSX CORPORATION	FIFO	161 000	May 31, 12	Jan 18, 13	3,380 92	3,378.96			1 96
DONNELLEY (R R) & SONS CO	FIFO	313 000	Feb 28, 12	Jan 16, 13	2,864 08	4,381 99		-1,517 91	
	FIFO	364 000	May 31, 12	Jan 16, 13	3,330 75	3,911 73		-580 98	

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
GENL ELEC CAP SERIES A 6 45% DUE 6/15/46 CALL 6/15/11@25 00	FIFO	3 964	Mar 15, 12	Jan 02, 13	99 10	102 36		-3 26	
Total					**$9,674.85**	**$11,775.04**		**-$2,102.15**	**$1.96**
Net short-term capital gains and losses								**-$2,100.19**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CSX CORPORATION	FIFO	175 000	Sep 22, 10	Jan 18, 13	3,674 92	3,231 08			443 84
GENL ELEC CAP SERIES A 6 45% DUE 6/15/46 CALL 6/15/11@25 00	FIFO	249 119	Jan 01, 11	Jan 02, 13	6,227 98	6,314 47		-86 49	
	FIFO	3.917	Dec 15, 11	Jan 02, 13	97 92	100 78		-2 86	
Total					**$10,000.82**	**$9,646.33**		**-$89.35**	**$443.84**
Net long-term capital gains or losses									**$354.49**
Net capital gains/losses:								**-$1,745.70**	

UBS Portfolio Management Program
February 2013

Account name: VAN KAMPEN, BOYER,
Friendly account name: VKBM Foundation
Account number: 5G 04147 01

Your Financial Advisor:
THE ALTMAN FINANCIAL GROUP
312-525-4111

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AT&T INC	FIFO	34 000	May 31, 12	Feb 12, 13	1,207 31	1,165 86			41 45
CHESAPEAKE ENERGY CORP OKLA	FIFO	249 000	May 31, 12	Jan 30, 13	5,017 24	4,242 47			774 77
COMCAST CORP NEW CL A	FIFO	5 000	Apr 27, 12	Feb 14, 13	201 94	150 00			51 94
DUKE ENERGY CORP NEW	FIFO	52 000	May 30, 12	Feb 14, 13	3,538 52	3,432 00			106 52
DWS RREEF GLOBAL INFRASTRUCTURE FD CL A	FIFO	1 441	Mar 23, 12	Feb 14, 13	17 64	15 53			2 11
	FIFO	328 390	May 31, 12	Feb 14, 13	4,019 49	3,494 07			525 42
	FIFO	6 324	Jun 22, 12	Feb 14, 13	77 41	68 05			9 36
	FIFO	3 761	Sep 21, 12	Feb 14, 13	46 03	43 51			2 52
	FIFO	6 687	Dec 19, 12	Feb 14, 13	81 85	79 17			2 68
	FIFO	7 559	Dec 19, 12	Feb 14, 13	92 52	89 50			3 02
	FIFO	0 481	Dec 19, 12	Feb 14, 13	5 89	5 69			0 20
FIDELITY NATL FINANCIAL INC CL A	FIFO	188 000	Oct 01, 12	Feb 14, 13	4,910 45	4,051 40			859 05
FLUOR CORP NEW	FIFO	25 000	May 31, 12	Feb 14, 13	1,616 79	1,176.00			440 79
ROYCE HERITAGE FUND CLASS S	FIFO	491 612	May 31, 12	Feb 14, 13	7,728 14	6,405.71			1,322 43
	FIFO	28 474	Jun 19, 12	Feb 14, 13	447 61	381 55			66 06
	FIFO	1 964	Dec 06, 12	Feb 14, 13	30 88	27 42			3 46
	FIFO	27 706	Dec 06, 12	Feb 14, 13	435 53	386 78			48 75
	FIFO	1 389	Dec 28, 12	Feb 14, 13	21 84	19 74			2 10
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	11 000	May 31, 12	Feb 14, 13	898 35	697 47			200 88
SEAGATE TECHNOLOGY PLC SHS	FIFO	129 000	Sep 12, 12	Feb 14, 13	4,503 75	3,870 00			633 75

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
VERISIGN INC	FIFO	8 000	Dec 24, 12	Feb 14, 13	365 38	304 00			61 38
VF CORP	FIFO	21 000	Mar 30, 12	Feb 14, 13	3,212 72	3,096 45			116 27
Total					**$38,477.28**	**$33,202.37**			**$5,274.91**
Net short-term capital gains and losses									**$5,274.91**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMAZON COM INC	FIFO	4 000	Sep 17, 10	Feb 14, 13	1,076 38	588 56			487 82
	FIFO	11 000	Sep 22, 10	Feb 14, 13	2,960 03	1,661 00			1,299 03
AMERISOURCEBERGEN CORP	FIFO	13 000	Sep 22, 10	Feb 14, 13	608 56	397 13			211 43
ANADARKO PETROLEUM CORP	FIFO	4 000	Dec 30, 11	Feb 14, 13	335 91	305 80			30 11
AT&T INC	FIFO	119 000	Sep 17, 10	Feb 12, 13	4,225 60	3,337 76			887 84
	FIFO	50 000	Mar 03, 11	Feb 12, 13	1,775 46	1,406 90			368 56
CELGENE CORP	FIFO	10 000	Sep 22, 10	Feb 14, 13	996 56	571 17			425 39
CHESAPEAKE ENERGY CORP OKLA	FIFO	146 000	Sep 22, 10	Jan 30, 13	2,941 83	3,083 23		-141 40	
DIRECTV	FIFO	59 000	Jul 25, 11	Feb 14, 13	2,950 17	3,057 38		-107 21	
DWS RREEF GLOBAL INFRASTRUCTURE FD CL A	FIFO	511 084	Nov 11, 11	Feb 14, 13	6,255 67	5,468 60			787 07
	FIFO	4 784	Dec 20, 11	Feb 14, 13	58 55	48 17			10 38
	FIFO	19 944	Dec 20, 11	Feb 14, 13	244 12	200 84			43 28
FLUOR CORP NEW	FIFO	49 000	Sep 22, 10	Feb 14, 13	3,168 92	2,433 79			735 13
	FIFO	4 000	Mar 03, 11	Feb 14, 13	258 69	285 31		-26 62	
METLIFE INC	FIFO	102 000	Oct 13, 11	Feb 14, 13	3,759 64	3,172 20			587 44
MICROSOFT CORP	FIFO	35 000	Sep 17, 10	Feb 14, 13	978 93	881.53			97 40
	FIFO	56 000	Sep 22, 10	Feb 14, 13	1,566 28	1,370 74			195 54
MONDELEZ INTL INC	FIFO	37 000	Aug 15, 11	Feb 14, 13	987 14	835 46			151 68
	FIFO	4 000	Aug 16, 11	Feb 14, 13	106 72	91 42	2 27		15 30
	FIFO	15 000	Aug 16, 11	Feb 14, 13	400 19	338 70	4 40		61 49

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Account name: VAN KAMPEN, BOYER,
Friendly account name: VKBM Foundation
Account number: 5G 04147 01

Your Financial Advisor:
THE ALTMAN FINANCIAL GROUP
312-525-4111

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	70 000	Aug 16, 11	Feb 14, 13	1,867 55	1,560 11			307 44
MONSANTO CO NEW	FIFO	45 000	Sep 22, 10	Feb 14, 13	4,591 70	2,438 48			2,153 22
OCCIDENTAL PETROLEUM CRP	FIFO	36 000	Sep 22, 10	Feb 14, 13	3,127 61	2,760 46			367 15
ROYCE HERITAGE FUND CLASS S	FIFO	79 052	Sep 17, 10	Feb 14, 13	1,242 70	1,011 87			230 83
	FIFO	405 497	Sep 22, 10	Feb 14, 13	6,374 41	5,222 80			1,151 61
	FIFO	24 646	Dec 09, 10	Feb 14, 13	387 43	359 83			27 60
	FIFO	5.019	Dec 09, 10	Feb 14, 13	78 90	73 28			5 62
	FIFO	27 830	Dec 08, 11	Feb 14, 13	437 49	360 12			77 37
	FIFO	1 343	Dec 08, 11	Feb 14, 13	21 11	17 38			3 73
	FIFO	3 493	Dec 08, 11	Feb 14, 13	54 91	45 20			9 71
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	38 000	Sep 22, 10	Feb 14, 13	3,103 39	2,236 30			867 09
SLM CORP VTG	FIFO	224 000	Sep 22, 10	Feb 14, 13	4,231 99	2,673 64			1,558 35
Total					**$61,174.54**	**$48,295.16**		**-$275.23**	**$13,154.61**
Net long-term capital gains or losses									**$12,879.38**
Net capital gains/losses:									**$18,154.29**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
KRAFT FOODS GROUP INC	FIFO	15 333	May 31, 12	Mar 18, 13	773.55	616 17			157 38
	FIFO	110 000	Nov 06, 12	Mar 18, 13	5,549 38	4,950.00			599.38
	FIFO	112 000	Feb 14, 13	Mar 18, 13	5,650 27	5,304 94			345 33
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	FIFO	84 000	May 31, 12	Mar 15, 13	6,161 26	4,781 28			1,379 98
	FIFO	73 000	Feb 14, 13	Mar 15, 13	5,354 43	5,008 53			345 90
Total					**$23,488.89**	**$20,660.92**			**$2,827.97**
Net short-term capital gains and losses									**$2,827.97**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CELGENE CORP	FIFO	10 000	Sep 22, 10	Mar 14, 13	1,142 61	571 16			571 45
	FIFO	6.000	Dec 06, 10	Mar 14, 13	685 56	333 82			351 74

continued next page

Account name: VAN KAMPEN, BOYER,
Friendly account name: VKBM Foundation
Account number: 5G 04147 01

Your Financial Advisor:
THE ALTMAN FINANCIAL GROUP
312-525-4111

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
KRAFT FOODS GROUP INC	FIFO	11 666	Aug 15, 11	Mar 18, 13	588 57	424 20			164 37
	FIFO	2 000	Aug 16, 11	Mar 18, 13	100 90	72 29	0 52		28 61
	FIFO	2 666	Aug 16, 11	Mar 18, 13	134 53	98 14	2 44		36 39
	FIFO	5 000	Aug 16, 11	Mar 18, 13	252 24	181 81	2 36		70 43
	FIFO	23 333	Aug 16, 11	Mar 18, 13	1,177 14	837 39			339 75
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	FIFO	1 000	Mar 25, 11	Mar 15, 13	73 39	56 80			16 59
	FIFO	37 000	Mar 25, 11	Mar 15, 13	2,713 89	2,101 88			612 01
NIKE INC CL B	FIFO	13 000	Sep 17, 10	Mar 11, 13	707 83	501 66			206 17
Total					**$7,576.66**	**$5,179.15**			**$2,397.51**
Net long-term capital gains or losses									**$2,397.51**
Net capital gains/losses:									**$5,225.48**

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End of statement for account number 5G 04147 01

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
COMCAST CORP NEW CL A	FIFO	49 000	Apr 27, 12	Apr 24, 13	1,990.55	1,470 00			520.55
FIDELITY NATL FINANCIAL INC CL A	FIFO	169 000	Oct 01, 12	Apr 05, 13	4,402 35	3,641 95			760 40
OCCIDENTAL PETROLEUM CRP	FIFO	6 000	May 31, 12	Apr 24, 13	506 81	477 32			29 49
Total					**$6,899.71**	**$5,589.27**			**$1,310.44**
Net short-term capital gains and losses									**$1,310.44**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMERICAN TOWER CORP REIT	FIFO	18 000	Nov 03, 11	Apr 16, 13	1,449.15	1,035 00			414 15
	FIFO	13 000	Nov 03, 11	Apr 24, 13	1,052 56	747 49			305 07
	FIFO	4 000	Nov 18, 11	Apr 24, 13	323 86	227 60			96 26
AMERISOURCEBERGEN CORP	FIFO	39 000	Sep 22, 10	Apr 16, 13	2,141 95	1,191 39			950 56
	FIFO	27 000	Sep 22, 10	Apr 24, 13	1,509 58	824 80			684 78
ANADARKO PETROLEUM CORP	FIFO	4 000	Dec 30, 11	Apr 16, 13	328 44	305 80			22 64
	FIFO	18 000	Dec 30, 11	Apr 24, 13	1,504 41	1,376 10			128.31
CELGENE CORP	FIFO	5 000	Dec 06, 10	Apr 16, 13	611 44	278 18			333 26

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Account name: VAN KAMPEN, BOYER,
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
EXPRESS SCRIPTS HLDG CO	FIFO	29 080	Sep 22, 10	Apr 24, 13	1,647 34	1,634 44			12 90
	FIFO	9 920	Mar 23, 11	Apr 24, 13	561 96	557 56			4 40
LOOMIS SAYLES BOND FUND CLASS RETAIL	FIFO	22 727	Sep 17, 10	Apr 19, 13	350 00	318 41			31 59
MATTEL INC	FIFO	12 000	Nov 22, 11	Apr 24, 13	530 20	335 76			194 44
MCDONALDS CORP	FIFO	3 000	Sep 17, 10	Apr 24, 13	301 88	223 34			78 54
	FIFO	1 000	Jan 03, 11	Apr 24, 13	100 63	67 94			32 69
MONSANTO CO NEW	FIFO	12.000	Sep 22, 10	Apr 24, 13	1,247 57	650 26			597 31
NIKE INC CL B	FIFO	15 000	Sep 17, 10	Apr 24, 13	923 38	578 85			344 53
	FIFO	22 000	Sep 22, 10	Apr 24, 13	1,354 29	848 43			505 86
QUALCOMM INC	FIFO	10 000	Jan 31, 12	Apr 16, 13	657 59	590 00			67 59
	FIFO	20 000	Jan 31, 12	Apr 24, 13	1,321 64	1,180 00			141 64
Total					**$17,917.87**	**$12,971.35**			**$4,946.52**
Net long-term capital gains or losses									**$4,946.52**
Net capital gains/losses:									**$6,256.96**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
EATON VANCE GOVERNMENT OBLIGATIONS FD CLASS A	FIFO	8,620 690	Feb 14, 13	May 24, 13	61,724 14	62,500 00		-775 86	
	FIFO	7 151	Feb 28, 13	May 24, 13	51 20	51 99		-0 79	
	FIFO	27 793	Mar 28, 13	May 24, 13	199 00	201 50		-2 50	
	FIFO	27 091	Apr 30, 13	May 24, 13	193 97	195 60		-1 63	
SEAGATE TECHNOLOGY PLC SHS	FIFO	126 000	Sep 12, 12	May 02, 13	5,002 09	3,780 00			1,222 09
VERISIGN INC	FIFO	196 000	Dec 24, 12	May 03, 13	9,300 35	7,448 00			1,852 35
Total					**$76,470.75**	**$74,177.09**		**-$780.78**	**$3,074.44**
Net short-term capital gains and losses									**$2,293.66**

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Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment ($)	Loss ($)	Gain ($)
UNTD TECHNOLOGIES CORP	FIFO	45 000	Sep 17, 10	May 13, 13	4,274 90	3,125 61			1,149 29
	FIFO	29 000	Jan 03, 11	May 13, 13	2,754 94	2,163 55			591 39
3M CO	FIFO	34 000	Sep 17, 10	May 13, 13	3,760 32	2,907 61			852 71
	FIFO	1 000	Oct 28, 10	May 13, 13	110 60	86 20			24 40
	FIFO	8 000	Mar 03, 11	May 13, 13	884 78	744 80			139 98
	FIFO	3 000	Mar 15, 11	May 13, 13	331 79	265 50			66 29
	FIFO	20 000	Jul 26, 11	May 13, 13	2,211 95	1,821 60			390.35
Total					**$14,329.28**	**$11,114.87**			**$3,214.41**
Net long-term capital gains or losses									**$3,214.41**
Net capital gains/losses:									**$5,508.07**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALPS/CORECOMMODITY MANGM COMPLETE COMMODITIESSM STRATEGY FUND CLASS A	FIFO	3 240	Jun 27, 12	Jun 24, 13	31 27	32 24		-0 97	
	FIFO	2 486	Dec 12, 12	Jun 24, 13	23 99	26 80		-2 81	
	FIFO	146 449	Feb 14, 13	Jun 24, 13	1,413 23	1,609 48		-196 25	
CALVERT ULTRA SHORT INCOME FUND CLASS A	FIFO	2,221 347	Feb 14, 13	May 29, 13	34,608 58	34,586 37			22 21
	FIFO	192 678	Feb 14, 13	Jun 04, 13	3,000 00	3,000 00			
FORD MOTOR CO COM NEW	FIFO	350 000	Mar 27, 13	Jun 05, 13	5,340 95	4,620 00			720 95

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
FRANKLIN LOW DURATION TOTAL RETURN FUND CLASS A	FIFO	3,333 333	May 24, 13	May 31, 13	34,000 00	34,099 99		-99 99	
	FIFO	294 695	May 24, 13	Jun 10, 13	3,000 00	3,014 73		-14 73	
LORD ABBETT SHORT DURATION INCOME FUND CLASS A	FIFO	7,479 416	Feb 14, 13	May 29, 13	34,554 90	34,776 86	-2 42	-221 96	
	FIFO	649 351	Feb 14, 13	Jun 04, 13	3,000 00	3,019 49		-19 49	
OCCIDENTAL PETROLEUM CRP	FIFO	3 000	Apr 15, 13	Jun 05, 13	280 46	244 50			35 96
PIONEER MULTI-ASSET ULTRASHORT INCOME FUND CLASS A	FIFO	3,424 001	Feb 14, 13	May 29, 13	34,582 41	34,548 17			34 24
	FIFO	297 324	Feb 14, 13	Jun 04, 13	3,000 00	3,000 00			
Total					**$156,835.79**	**$156,578.63**		**-$556.20**	**$813 36**
Net short-term capital gains and losses									**$257.16**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALPS/CORECOMMODITY MANGM COMPLETE COMMODITIESSM STRATEGY FUND CLASS A	FIFO	423 862	Nov 17, 11	Jun 24, 13	4,090 27	4,874 42		-784 15	
	FIFO	11 354	Dec 15, 11	Jun 24, 13	109 57	120 35		-10 78	
	FIFO	1 133	Dec 15, 11	Jun 24, 13	10 93	12 01		-1 08	
	FIFO	4 486	Mar 28, 12	Jun 24, 13	43 29	51 41		-8 12	
	FIFO	467 656	May 31, 12	Jun 24, 13	4,512 88	4,634 47		-121 59	
BRISTOL MYERS SQUIBB CO	FIFO	61 000	Jan 05, 12	Jun 06, 13	2,804 74	2,074 00			730 74
OCCIDENTAL PETROLEUM CRP	FIFO	45 000	May 31, 12	Jun 05, 13	4,206 98	3,579 88			627 10
Total					**$15,778.66**	**$15,346.54**		**-$925.72**	**$1,357.84**
Net long-term capital gains or losses									**$432.12**
Net capital gains/losses:									**$689.28**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CALVERT ULTRA SHORT INCOME FUND CLASS A	FIFO	1,600 105	Feb 14, 13	Jul 08, 13	24,833 63	24,913 63		-80 00	
	FIFO	3 669	Feb 27, 13	Jul 08, 13	56 94	57 13		-0 19	
	FIFO	2 384	Mar 27, 13	Jul 08, 13	37 00	37 12		-0 12	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	3 000	Apr 25, 13	Jul 08, 13	46 56	46 71		-0 15	
	FIFO	1 098	May 30, 13	Jul 08, 13	17 04	17 10		-0 06	
	FIFO	0 904	Jun 27, 13	Jul 08, 13	14 03	14 03			
CDN IMPERIAL BK COMM CANADA CAD	FIFO	62 000	Feb 14, 13	Jul 08, 13	4,360 85	5,159 63		-798 78	
CIRRUS LOGIC INC	FIFO	42 000	Jul 18, 12	Jun 28, 13	734 57	1,102 50		-367 93	
FORD MOTOR CO COM NEW	FIFO	39 000	Mar 27, 13	Jul 08, 13	656 75	514 80			141 95
FRANKLIN LOW DURATION TOTAL RETURN FUND CLASS A	FIFO	2,449 030	May 24, 13	Jul 08, 13	24,784 18	25,053 58		-269 40	
	FIFO	4 500	Jun 28, 13	Jul 08, 13	45 54	45 72	0 13	-0 18	
JAZZ PHARMACEUTICALS PLC	FIFO	2 000	May 14, 13	Jul 08, 13	145 25	118 00			27 25
LORD ABBETT SHORT DURATION INCOME FUND CLASS A	FIFO	5,312 093	Feb 14, 13	Jul 08, 13	24,170 02	24,701 23		-531 21	
	FIFO	13 766	Feb 28, 13	Jul 08, 13	62 64	64 01		-1 37	
	FIFO	40 233	Mar 28, 13	Jul 08, 13	183 06	186 68		-3 62	
	FIFO	40 290	Apr 30, 13	Jul 08, 13	183 32	188 56	1 21	-5 24	
	FIFO	40 383	May 31, 13	Jul 08, 13	183 74	187 78	1 21	-4 04	
	FIFO	19 068	Jun 28, 13	Jul 08, 13	86 76	87 52	0 57	-0 76	
PIONEER MULTI-ASSET ULTRASHORT INCOME FUND CLASS A	FIFO	2,472 927	Feb 14, 13	Jul 08, 13	24,852 92	24,951 83		-98 91	
	FIFO	1 840	Feb 28, 13	Jul 08, 13	18 49	18 57		-0 08	
	FIFO	5 837	Mar 28, 13	Jul 08, 13	58 66	58 84		-0 18	
	FIFO	5 521	Apr 30, 13	Jul 08, 13	55 49	55 71		-0 22	
	FIFO	5 534	May 31, 13	Jul 08, 13	55 62	55 84		-0 22	
	FIFO	2 288	Jun 28, 13	Jul 08, 13	22 99	22 99			
PRECISION CASTPARTS CORP	FIFO	12 000	Mar 19, 13	Jul 08, 13	2,798 83	2,320 20			478 63
TESORO CORP	FIFO	61 000	Apr 12, 13	Jul 08, 13	3,220 14	3,095 75			124 39
	FIFO	18 000	Apr 15, 13	Jul 08, 13	950 21	887 40			62 81

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
TOLL BROTHERS INC	FIFO	2 000	Mar 19, 13	Jul 08, 13	62 42	68 96		-6 54	
	FIFO	4 000	Mar 19, 13	Jul 08, 13	124 83	138 00		-13 17	
Total					**$112,822.48**	**$114,169.82**		**-$2,182.37**	**$835.03**
Net short-term capital gains and losses								**-$1,347.34**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
APPLE INC	FIFO	5 000	Sep 22, 10	Jul 08, 13	2,066 66	1,430 98			635 68
CDN IMPERIAL BK COMM CANADA CAD	FIFO	18 000	Feb 14, 12	Jul 08, 13	1,266 05	1,376 10		-110 05	
	FIFO	18 000	Feb 14, 12	Jul 08, 13	1,266 06	1,375 56		-109 50	
	FIFO	17 000	Feb 14, 12	Jul 08, 13	1,195 72	1,297 44		-101 72	
	FIFO	46 000	May 31, 12	Jul 08, 13	3,235 47	3,209 42			26 05
CELGENE CORP	FIFO	3 000	Dec 06, 10	Jul 08, 13	365 76	166 91			198 85
	FIFO	4 000	Feb 03, 11	Jul 08, 13	487 67	203 20			284 47
CIRRUS LOGIC INC	FIFO	102 000	Jan 26, 12	Jun 28, 13	1,783 95	2,254 20		-470 25	
	FIFO	38 000	May 31, 12	Jun 28, 13	664 60	1,089 46		-424 86	
DEVON ENERGY CORP NEW	FIFO	11 000	Sep 22, 10	Jul 08, 13	604 88	690 51		-85 63	
DUKE ENERGY CORP NEW	FIFO	65 000	May 30, 12	Jun 28, 13	4,403 67	4,290 00			113 67
EXPRESS SCRIPTS HLDG CO	FIFO	4 000	Mar 23, 11	Jul 08, 13	252 75	224 82			27 93
FLUOR CORP NEW	FIFO	68 000	May 31, 12	Jul 08, 13	3,954 57	3,198 72			755 85
GOOGLE INC CL A	FIFO	2 000	Sep 17, 10	Jul 08, 13	1,805 67	967 64			838 03
	FIFO	1 000	Sep 22, 10	Jul 08, 13	902 83	514 75			388 08
INTL BUSINESS MACH	FIFO	29 000	Sep 17, 10	Jul 08, 13	5,660 70	3,774 58			1,886 12
LOOMIS SAYLES BOND FUND CLASS RETAIL	FIFO	653 241	Sep 17, 10	Jul 23, 13	9,877 00	9,151 91			725 09
MERCK & CO INC NEW COM	FIFO	11 000	Dec 21, 11	Jul 08, 13	523 19	408 10			115 09
OPPENHEIMER DEVELOPING MARKETS FUND CL A	FIFO	15 612	Sep 17, 10	Jul 08, 13	521 74	504 58			17 16
	FIFO	9 079	Sep 22, 10	Jul 08, 13	303 42	297 34			6 08

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
STARBUCKS CORP	FIFO	33 000	Sep 14, 11	Jul 08, 13	2,254 98	1,286 84			968 14
	FIFO	18 000	Oct 13, 11	Jul 08, 13	1,229 99	741 24			488 75
VMWARE INC CL A	FIFO	8 000	Sep 17, 10	Jul 08, 13	524 71	674 08		-149 37	
Total					**$45,152.04**	**$39,128.38**		**-$1,451.38**	**$7,475.04**
Net long-term capital gains or losses									**$6,023.66**
Net capital gains/losses:									**$4,676 32**

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis If the adjustment is a result of a wash sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALPS/CORECOMMODITY MANGM COMPLETE COMMODITIESSM STRATEGY FUND CLASS A	Adjustment	164 928	Nov 17, 11	Aug 28, 12	1,794 42	1,877 62		-83 20	
	Adjustment	3 240	Jun 27, 12	Jun 24, 13	31 27	32 14		-0 87	
FRANKLIN LOW DURATION TOTAL RETURN FUND CLASS A	Adjustment	3,333 333	May 24, 13	May 31, 13	34,000 00	34,099 86	-0 13	-99 86	
LORD ABBETT SHORT DURATION INCOME FUND CLASS A	Adjustment	7,479 416	Feb 14, 13	May 29, 13	34,554 90	34,776 29	-2 99	-221 39	
Total					**$70,380.59**	**$70,785.91**		**-$405.32**	

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Realized gains and losses (continued)

Prior month or year activity adjustments (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALPS/CORECOMMODITY MANGM COMPLETE COMMODITIESSM STRATEGY FUND CLASS A	Adjustment	423 862	Nov 17, 11	Jun 24, 13	4,090 27	4,812 99		-722 72	
	Adjustment	11 354	Dec 15, 11	Jun 24, 13	109 57	118 70		-9 13	
	Adjustment	1 133	Dec 15, 11	Jun 24, 13	10 93	11 84		-0 91	
	Adjustment	4 486	Mar 28, 12	Jun 24, 13	43 29	51 15		-7 86	
	Adjustment	467 656	May 31, 12	Jun 24, 13	4,512 88	4,606 68		-93 80	
Total					**$8,766.94**	**$9,601.36**		**-$834.42**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HENDERSON GLOBAL EQUITY INCOME CLASS A	FIFO	34 017	Aug 30, 12	Aug 20, 13	267 03	241 52			25 51
	FIFO	19 574	Sep 27, 12	Aug 20, 13	153 66	143 67			9 99
	FIFO	11 970	Oct 31, 12	Aug 20, 13	93 96	87 50			6 46
	FIFO	28 882	Nov 29, 12	Aug 20, 13	226 73	209 97			16 76
	FIFO	24 208	Dec 28, 12	Aug 20, 13	190 03	178 41			11 62
	FIFO	13 370	Jan 30, 13	Aug 20, 13	104 96	103 35			1 61
	FIFO	304 799	Feb 14, 13	Aug 20, 13	2,392 67	2,346 95			45 72
	FIFO	18 509	Feb 27, 13	Aug 20, 13	145 29	141 04			4 25
	FIFO	29 857	Mar 27, 13	Aug 20, 13	234 38	227 51			6 87
	FIFO	41 791	Apr 29, 13	Aug 20, 13	328 06	330 57		-2 51	
	FIFO	45 548	May 30, 13	Aug 20, 13	357 55	358 92		-1 37	
	FIFO	32 632	Jun 27, 13	Aug 20, 13	256 16	245 72			10 44
	FIFO	16 578	Jul 30, 13	Aug 20, 13	130 14	130 14			
PIONEER GLOBAL HIGH YIELD FD CL A	FIFO	226 499	Nov 28, 12	Aug 15, 13	2,264 99	2,273 63	-0 42	-8 64	
TCW EMERGING MARKETS INCOME FUND CLASS N	FIFO	640 824	Feb 19, 13	Aug 15, 13	6,972 16	7,716 96	-17 79	-744 80	
VMWARE INC CL A	FIFO	38 000	Feb 14, 13	Aug 02, 13	3,125 45	2,788 06			337 39
Total					**$17,243.22**	**$17,523.92**		**-$757.32**	**$476.62**
Net short-term capital gains and losses								**-$280.70**	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DREYFUS INTERNATIONAL BOND FUND CLASS A	FIFO	105 802	Nov 01, 11	Aug 06, 13	1,734 10	1,766 89		-32 79	
	FIFO	5 460	Nov 01, 11	Aug 15, 13	89 22	91 18		-1 96	
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	149 617	Mar 03, 11	Aug 15, 13	1,924 08	2,039 28		-115 20	
HENDERSON GLOBAL EQUITY INCOME CLASS A	FIFO	2,086 211	Dec 14, 11	Aug 20, 13	16,376 76	13,935 89			2,440 87
	FIFO	13 731	Dec 29, 11	Aug 20, 13	107 78	94 47			13 31
	FIFO	9 247	Jan 30, 12	Aug 20, 13	72 59	64 82			7 77
	FIFO	11 405	Feb 28, 12	Aug 20, 13	89 53	83 14			6 39
	FIFO	18 707	Mar 29, 12	Aug 20, 13	146 85	134 13			12 72
	FIFO	27 115	Apr 27, 12	Aug 20, 13	212 86	194 96			17 90
	FIFO	32 294	May 30, 12	Aug 20, 13	253 50	211 85			41 65
	FIFO	2,345 026	May 31, 12	Aug 20, 13	18,408 46	15,406 82			3,001 64
	FIFO	37 970	Jun 28, 12	Aug 20, 13	298 06	256 68			41 38
	FIFO	18 736	Jul 30, 12	Aug 20, 13	147 08	132 84			14 24
LOOMIS SAYLES BOND FUND CLASS RETAIL	FIFO	31 061	Sep 17, 10	Aug 15, 13	462 50	435 16			27 34
MICROSOFT CORP	FIFO	63 000	Sep 22, 10	Aug 23, 13	2,182 91	1,542 08			640 83
	FIFO	35 000	Mar 03, 11	Aug 23, 13	1,212 73	918 75			293 98
	FIFO	60 000	May 31, 12	Aug 23, 13	2,078 96	1,755 59			323 37
VMWARE INC CL A	FIFO	6 000	Sep 17, 10	Aug 02, 13	493 49	505 56		-12 07	
	FIFO	31 000	Sep 22, 10	Aug 02, 13	2,549 71	2,662 59		-112 88	
	FIFO	5 000	Oct 06, 10	Aug 02, 13	411 24	395 00			16 24
	FIFO	14 000	Mar 03, 11	Aug 02, 13	1,151 48	1,191 82		-40 34	
	FIFO	20 000	May 31, 12	Aug 02, 13	1,644 97	1,875 64		-230 67	
Total					**$52,048.86**	**$45,695.14**		**-$545.91**	**$6,899.63**
Net long-term capital gains or losses									**$6,353.72**
Net capital gains/losses:									**$6,073.02**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ANGEL OAK MULTI STRATEGY INCOME FD CLASS A	FIFO	322 053	Oct 18, 12	Sep 06, 13	3,797 00	3,874 86	-2 66	-77 86	
APPLE INC	FIFO	1 000	Oct 26, 12	Sep 06, 13	496 74	605 00		-108 26	
ASTRAZENECA PLC SPON ADR	FIFO	63 000	Feb 14, 13	Sep 20, 13	3,288 54	2,858 03			430 51
CENTER COAST MLP FOCUS FUND CLASS A	FIFO	1,080 287	Feb 14, 13	Sep 06, 13	11,677 90	11,688 70		-10 80	
	FIFO	5 708	Feb 26, 13	Sep 06, 13	61 70	60 50			1 20
	FIFO	5 524	Mar 26, 13	Sep 06, 13	59 72	60 82		-1 10	
	FIFO	5 562	Apr 26, 13	Sep 06, 13	60 12	61 13		-1 01	
	FIFO	5 535	May 29, 13	Sep 06, 13	59 84	61 44		-1 60	
	FIFO	5 598	Jun 26, 13	Sep 06, 13	60 51	61 75		-1 24	
	FIFO	5 581	Jul 29, 13	Sep 06, 13	60 33	62 06		-1 73	
	FIFO	5 701	Aug 28, 13	Sep 06, 13	61 63	62 37		-0 74	
HENDERSON GLOBAL INVESTORS EUROPEAN FOCUS FUND CLASS A	FIFO	52 787	Aug 20, 13	Sep 06, 13	1,607 35	1,595 22			12 13

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Account name: VAN KAMPEN, BOYER,
Friendly account name: VKBM Foundation
Account number: 5G 04147 01

Your Financial Advisor:
THE ALTMAN FINANCIAL GROUP
312-525-4111

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PIONEER GLOBAL HIGH YIELD FD CL A	FIFO	43 618	Nov 28, 12	Sep 06, 13	430 07	437 93		-7 86	
ROYCE DIVIDEND VALUE FUND SVC CLASS	FIFO	238 453	Feb 14, 13	Sep 26, 13	2,105 54	1,902 85			202 69
SPDR GOLD TRUST	FIFO	11 000	Feb 14, 13	Sep 06, 13	1,474 63	1,743 06		-268 43	
TCW EMERGING MARKETS INCOME FUND CLASS N	FIFO	238 385	Feb 19, 13	Sep 26, 13	2,588 86	2,877 30		-288 44	
WALGREEN CO	FIFO	174 000	Nov 14, 12	Sep 06, 13	8,688 23	5,637 60			3,050 63
Total					**$36,578.71**	**$33,650.62**		**-$769.07**	**$3,697.16**
Net short-term capital gains and losses									**$2,928.09**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
APPLE INC	FIFO	1 000	Sep 22, 10	Sep 06, 13	496 74	286 19			210 55
	FIFO	4 000	Jun 20, 11	Sep 06, 13	1,986 97	1,256 00			730 97
	FIFO	1 000	May 31, 12	Sep 06, 13	496 74	578 15		-81 41	
ASSURANT INC GLOBAL NTS 05 625% 021514 DTD021804 FC081504 B/E	FIFO	20,000 000	Jun 24, 09	Sep 06, 13	20,390 60	18,000 00			2,390 60
ASTRAZENECA PLC SPON ADR	FIFO	68 000	Jul 24, 12	Sep 20, 13	3,556 35	3,100 80			455 55
	FIFO	94 000	Jul 24, 12	Sep 20, 13	4,906 72	4,286 40			620 32
DIRECTV	FIFO	15 000	Jul 25, 11	Sep 06, 13	880 33	910 67	133 37	-30 34	
	FIFO	26 000	Jul 25, 11	Sep 06, 13	1,525 92	1,347 32			178 60
	FIFO	46 000	May 31, 12	Sep 06, 13	2,699 70	2,052 06			647 64
DREYFUS INTERNATIONAL BOND FUND CLASS A	FIFO	25 898	Nov 01, 11	Sep 06, 13	419 29	432 50		-13 21	
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	30 087	Mar 03, 11	Sep 06, 13	385 71	410 08		-24 37	
GATEWAY FUND CLASS A	FIFO	36 721	Sep 17, 10	Sep 06, 13	1,032 22	931 61			100 61

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
GENWORTH FINANCIAL INC 08 625% 121516 DTD120809 FC061510 NTS B/E	FIFO	30,000 000	May 23, 12	Sep 06, 13	35,360 10	32,160 00			3,200 10
	FIFO	15,000 000	May 23, 12	Sep 25, 13	17,817 75	16,080 00			1,737 75
	FIFO	5,000 000	Jun 18, 12	Sep 25, 13	5,939 25	5,412 50			526 75
LOOMIS SAYLES BOND FUND CLASS RETAIL	FIFO	132 152	Sep 17, 10	Sep 06, 13	1,950 56	1,851 45			99 11
	FIFO	430 495	Sep 17, 10	Sep 26, 13	6,474 65	6,031 23			443 42
MAINSTAY MARKETFIELD FUND CLASS I	FIFO	192 722	Jul 27, 12	Sep 06, 13	3,405 40	2,921 66			483 74
	FIFO	153 334	Jul 27, 12	Sep 26, 13	2,776 88	2,324 55			452 33
MERGER FUND SBI	FIFO	130 825	Sep 17, 10	Sep 06, 13	2,106 28	2,084 04			22 24
	FIFO	132 092	Sep 17, 10	Sep 26, 13	2,137 25	2,104 23			33 02
OPPENHEIMER DEVELOPING MARKETS FUND CL A	FIFO	22 052	Sep 22, 10	Sep 06, 13	774 02	722 20			51 82
SCHOONER FUND CLASS A	FIFO	70 159	Jul 26, 12	Sep 06, 13	1,703 45	1,663 47			39 98
SLM CORP VTG	FIFO	7 000	Sep 22, 10	Sep 11, 13	174 23	83 55			90 68
	FIFO	226 000	May 31, 12	Sep 11, 13	5,625 04	3,172 25			2,452 79
SPDR GOLD TRUST	FIFO	2 000	Jul 06, 10	Sep 06, 13	268 11	231 73			36 38
	FIFO	13 000	Sep 17, 10	Sep 06, 13	1,742 75	1,613 41			129 34
	FIFO	21 000	Sep 22, 10	Sep 06, 13	2,815 22	2,632 08			183 14
	FIFO	5 000	Mar 03, 11	Sep 06, 13	670 29	685 90		-15 61	
THIRD AVENUE FOCUSED CREDIT INVESTOR CLASS	FIFO	535 792	Mar 26, 10	Sep 06, 13	5,883 00	5,786 56			96 44
	FIFO	179 678	Mar 26, 10	Sep 26, 13	1,956 69	1,940 52			16 17
	FIFO	2 157	Jun 22, 10	Sep 26, 13	23 49	22 93			0 56
XL CAPITAL LTD NTS 05 250% 091514 DTD082304 FC031505	FIFO	5,000 000	Sep 17, 09	Sep 06, 13	5,205 00	4,912 50			292 50
Total					**$143,586.70**	**$128,028.54**		**-$164.94**	**$15,723.10**
Net long-term capital gains or losses									**$15,558.16**
Net capital gains/losses:									**$18,486.25**

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Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ANGEL OAK MULTI STRATEGY INCOME FD CLASS A	FIFO	173 389	Oct 18, 12	Sep 26, 13	2,066 80	2,086 68	-0 92	-19 88	
	FIFO	87 886	Oct 18, 12	Oct 08, 13	1,044 96	1,058 15		-13 19	
APPLE INC	FIFO	1 000	Oct 26, 12	Sep 26, 13	485 00	605 00		-120 00	
	FIFO	2 000	Feb 14, 13	Oct 29, 13	1,048 14	932 70			115 44
ASTRAZENECA PLC SPON ADR	FIFO	69 000	Feb 14, 13	Sep 26, 13	3,580 36	3,130 22			450 14
BLACKROCK INC	FIFO	2 000	Jun 28, 13	Sep 26, 13	544 01	516 00			28 01
CENTERPOINT ENERGY INC (HLDGS CO)	FIFO	54 000	Jun 04, 13	Sep 26, 13	1,298 68	1,242 00			56 68
CHICAGO BRIDGE & IRON NV EUR	FIFO	10 000	May 17, 13	Sep 26, 13	666 29	620 00			46 29
ECOLAB INC	FIFO	12 000	May 13, 13	Sep 26, 13	1,186 74	1,045 68			141 06
FIRSTMERIT CORPORATION	FIFO	50 000	Jul 02, 13	Sep 26, 13	1,071 48	1,030 00			41 48
FORD MOTOR CO COM NEW	FIFO	101 000	Mar 27, 13	Sep 26, 13	1,739 51	1,333 20			406 31
HENDERSON GLOBAL INVESTORS EUROPEAN FOCUS FUND CLASS A	FIFO	155 478	Aug 20, 13	Sep 26, 13	4,951 98	4,698 55			253 43
JAZZ PHARMACEUTICALS PLC	FIFO	28 000	May 14, 13	Sep 26, 13	2,537 32	1,652 00			885 32
OCCIDENTAL PETROLEUM CRP	FIFO	7 000	Apr 15, 13	Sep 26, 13	657 36	570 50			86 86
OPPENHEIMER STEELPATH MLP ALPHA FUND A	FIFO	85 135	Sep 06, 13	Sep 26, 13	1,017 36	992 67			24 69
PIONEER GLOBAL HIGH YIELD FD CL A	FIFO	137 504	Nov 28, 12	Sep 26, 13	1,376 42	1,380 54		-4 12	
	FIFO	76 560	Nov 28, 12	Oct 08, 13	763 30	768 66		-5 36	
PRECISION CASTPARTS CORP	FIFO	2 000	Mar 19, 13	Sep 26, 13	460 87	386 70			74 17
TOLL BROTHERS INC	FIFO	35 000	Mar 19, 13	Sep 26, 13	1,164 43	1,207 50		-43 07	
VISA INC CL A	FIFO	7 000	Jul 23, 13	Sep 26, 13	1,356 30	1,325 45			30 85

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
3-D SYSTEMS CORP DELA NEW	FIFO	36 000	Jun 21, 13	Sep 26, 13	2,013 08	1,548 00			465 08
Total					**$31,030.39**	**$28,130.20**		**-$205.62**	**$3,105.81**
Net short-term capital gains and losses									**$2,900.19**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMERISOURCEBERGEN CORP	FIFO	13 000	Sep 22, 10	Sep 26, 13	792 99	397 13			395 86
	FIFO	9 000	Sep 22, 10	Oct 25, 13	585 74	274 94			310 80
	FIFO	1 000	Sep 22, 10	Oct 29, 13	65 42	30 55			34 87
ANADARKO PETROLEUM CORP	FIFO	8 000	Dec 30, 11	Sep 26, 13	754 87	611 60			143 27
	FIFO	4 000	Jan 13, 12	Sep 26, 13	377 43	312 00			65 43
BRISTOL MYERS SQUIBB CO	FIFO	5 000	Jan 05, 12	Sep 26, 13	234 25	170 00			64 25
	FIFO	9 000	Jan 09, 12	Sep 26, 13	421 64	305 55			116 09
CELGENE CORP	FIFO	9 000	Feb 03, 11	Sep 26, 13	1,346 83	457 20			889 63
	FIFO	6 000	Mar 03, 11	Sep 26, 13	897 88	324 12			573 76
CHEVRON CORP	FIFO	5 000	Dec 20, 10	Sep 26, 13	618 21	446 90			171 31
COMCAST CORP NEW CL A	FIFO	16 000	Apr 27, 12	Sep 26, 13	703 57	480 00			223 57
DEVON ENERGY CORP NEW	FIFO	21 000	Sep 22, 10	Sep 26, 13	1,245 28	1,318 26		-72 98	
DREYFUS INTERNATIONAL BOND FUND CLASS A	FIFO	81 091	Nov 01, 11	Sep 26, 13	1,324 21	1,354 22		-30 01	
	FIFO	51 282	Nov 01, 11	Oct 08, 13	843 59	856 41		-12 82	
EXPRESS SCRIPTS HLDG CO	FIFO	9 000	Mar 23, 11	Sep 26, 13	559 97	505 84			54 13
FIRST EAGLE GLOBAL FUND CLASS A	FIFO	60 557	Sep 17, 10	Sep 26, 13	3,262 22	2,557 33			704 89
	FIFO	0 470	Sep 22, 10	Sep 26, 13	25 32	20 05			5 27
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	15 099	Mar 03, 11	Sep 26, 13	196 74	205 80		-9 06	
	FIFO	8 762	Mar 17, 11	Sep 26, 13	114 17	117 41		-3 24	

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Account name: VAN KAMPEN, BOYER,
Friendly account name: VKBM Foundation
Account number: 5G 04147 01

Your Financial Advisor:
THE ALTMAN FINANCIAL GROUP
312-525-4111

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	8 485	Apr 19, 11	Sep 26, 13	110 56	117 86		-7 30	
	FIFO	8 577	May 16, 11	Sep 26, 13	111 76	118 28		-6 52	
	FIFO	8 583	Jun 15, 11	Sep 26, 13	111 83	118 70		-6 87	
	FIFO	8 583	Jul 15, 11	Sep 26, 13	111 84	119 13		-7 29	
	FIFO	5 940	Aug 15, 11	Sep 26, 13	77 40	81 56		-4 16	
	FIFO	4 566	Sep 15, 11	Sep 26, 13	59 49	60 77		-1 28	
	FIFO	4 700	Oct 19, 11	Sep 26, 13	61 24	61 01			0 23
	FIFO	4 767	Nov 17, 11	Sep 26, 13	62 12	61 26			0 86
	FIFO	24 714	Dec 19, 11	Sep 26, 13	322 02	303 98			18 04
	FIFO	7 898	Dec 19, 11	Sep 26, 13	102 91	97 15			5 76
	FIFO	3 304	Jan 17, 12	Sep 26, 13	43 05	41 76			1 29
	FIFO	1 690	Jan 17, 12	Oct 08, 13	22 00	21 36			0 64
	FIFO	4 815	Feb 15, 12	Oct 08, 13	62 70	62 70	-0 67		
	FIFO	4 815	Mar 15, 12	Oct 08, 13	62 69	63 11	-0 50	-0 42	
	FIFO	4 923	Apr 16, 12	Oct 08, 13	64 09	63 85			0 24
	FIFO	5 074	May 15, 12	Oct 08, 13	66 07	64 08			1 99
	FIFO	29 321	May 31, 12	Oct 08, 13	381 76	360 94			20 82
GATEWAY FUND CLASS A	FIFO	40 410	Sep 17, 10	Sep 26, 13	1,142 39	1,025 20			117 19
INTL BUSINESS MACH	FIFO	2 000	Sep 17, 10	Sep 26, 13	380 17	260 32			119 85
LOOMIS SAYLES BOND FUND CLASS RETAIL	FIFO	184 003	Sep 17, 10	Oct 25, 13	2,822 60	2,577 89			244 71
MCDONALDS CORP	FIFO	1 000	Jan 03, 11	Sep 26, 13	98 14	67 95			30 19
MERCK & CO INC NEW COM	FIFO	31 000	Dec 21, 11	Sep 26, 13	1,484 25	1,150 10			334 15
MICROCHIP TECHNOLOGY INC	FIFO	23 000	Sep 21, 10	Sep 26, 13	933 78	646 37			287 41
MONSANTO CO NEW	FIFO	5 000	Sep 22, 10	Sep 26, 13	529 39	270 94			258 45
	FIFO	9 000	May 31, 12	Sep 26, 13	952 90	697 32			255 58
NIKE INC CL B	FIFO	16 000	Sep 22, 10	Sep 26, 13	1,116 46	617 04			499 42
	FIFO	9 000	Sep 22, 10	Oct 25, 13	680 21	347 09			333 12
OPPENHEIMER DEVELOPING MARKETS FUND CL A	FIFO	37 451	Sep 22, 10	Sep 26, 13	1,397 67	1,226 52			171 15

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
QUALCOMM INC	FIFO	13 000	Jan 31, 12	Sep 26, 13	897 63	767 00			130 63
SCHOONER FUND CLASS A	FIFO	44 083	Jul 26, 12	Sep 26, 13	1,075 63	1,045 21			30 42
STARBUCKS CORP	FIFO	21 000	Oct 13, 11	Sep 26, 13	1,618 65	864 78			753 87
	FIFO	4 000	Oct 13, 11	Oct 29, 13	317 31	164 72			152 59
THIRD AVENUE FOCUSED CREDIT INVESTOR CLASS	FIFO	68 673	Jun 22, 10	Oct 08, 13	743 73	729 99			13 74
	FIFO	25 661	Sep 21, 10	Oct 08, 13	277 91	281 50		-3 59	
UNTD TECHNOLOGIES CORP	FIFO	13 000	Jan 03, 11	Sep 26, 13	1,425 82	969 86			455 96
3M CO	FIFO	7 000	Jul 26, 11	Sep 26, 13	842 93	637 56			205 37
Total					**$34,941.43**	**$26,910.17**		**-$165.54**	**$8,196.80**
Net long-term capital gains or losses									**$8,031.26**
Net capital gains/losses:									**$10,931.45**

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis If the adjustment is a result of a wash sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PIONEER GLOBAL HIGH YIELD FD CL A	Adjustment	43 618	Nov 28, 12	Sep 06, 13	430 07	436 25	-1 68	-6 18	
TCW EMERGING MARKETS INCOME FUND CLASS N	Adjustment	238 385	Feb 19, 13	Sep 26, 13	2,588 86	2,867 15	-10 15	-278 29	
Total					**$3,018.93**	**$3,303.40**		**-$284.47**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
FORWARD CREDIT ANALYSIS LONG/SHORT FUND INVESTOR	FIFO	9 358	Dec 07, 12	Nov 05, 13	70 56	85 81		-15 25	
	FIFO	10 704	Dec 07, 12	Nov 05, 13	80 71	98 16		-17 45	
	FIFO	16 983	Dec 26, 12	Nov 05, 13	128 05	151 66		-23 61	
	FIFO	501 579	Feb 14, 13	Nov 05, 13	3,781 91	4,554 34		-772 43	
	FIFO	27 021	Mar 25, 13	Nov 05, 13	203 74	242 65		-38 91	
	FIFO	31 252	Jun 25, 13	Nov 05, 13	235 64	255 95		-20 31	
	FIFO	50 340	Sep 25, 13	Nov 05, 13	379 56	384 60		-5 04	
INTERCONTINENTALEXCHANGE GROUP COM	FIFO	0 640	Sep 26, 13	Nov 14, 13	128 06	127 79			0 27
NYSE EURONEXT *MERGER-EFF-11/2013 *	FIFO	325 000	Sep 26, 13	Nov 13, 13	14,731 53	13,570 96			1,160 57
Total					**$19,739.76**	**$19,471.92**		**-$893.00**	**$1,160.84**
Net short-term capital gains and losses									**$267.84**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
FORWARD CREDIT ANALYSIS LONG/SHORT FUND INVESTOR	FIFO	569 959	Sep 17, 10	Nov 05, 13	4,297 49	4,958 64		-661 15	
	FIFO	22 273	Sep 30, 10	Nov 05, 13	167 94	193 11		-25 17	
	FIFO	6 621	Dec 10, 10	Nov 05, 13	49 92	53 83		-3 91	
	FIFO	13 654	Dec 10, 10	Nov 05, 13	102 95	111 01		-8 06	
	FIFO	30 704	Dec 31, 10	Nov 05, 13	231 51	244 71		-13 20	
	FIFO	643 553	Mar 03, 11	Nov 05, 13	4,852 39	5,135 55		-283 16	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	36 882	Mar 31, 11	Nov 05, 13	278 09	288 05		-9 96	
	FIFO	26 456	Jun 30, 11	Nov 05, 13	199 48	215 62		-16 14	
	FIFO	15 051	Sep 30, 11	Nov 05, 13	113 48	123 27		-9 79	
	FIFO	3 410	Dec 12, 11	Nov 05, 13	25 71	27 45		-1 74	
	FIFO	15 632	Dec 30, 11	Nov 05, 13	117 87	126 46		-8 59	
	FIFO	14 097	Mar 27, 12	Nov 05, 13	106 29	120 53		-14 24	
	FIFO	469 177	May 31, 12	Nov 05, 13	3,537 60	4,086 53		-548 93	
	FIFO	13 486	Jun 26, 12	Nov 05, 13	101 68	116 79		-15 11	
	FIFO	1,147 332	Jul 26, 12	Nov 05, 13	8,650 88	10,165 36		-1,514 48	
	FIFO	18 898	Sep 25, 12	Nov 05, 13	142 49	167 06		-24 57	
Total					**$22,975.77**	**$26,133.97**		**-$3,158.20**	
Net long-term capital gains or losses								**-$3,158.20**	
Net capital gains/losses:								**-$2,890.36**	

Prior month or year activity adjustments

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ANGEL OAK MULTI STRATEGY INCOME FD CLASS A	Adjustment	87 886	Oct 18, 12	Oct 08, 13	1,044 96	1,057 20	-0 95	-12 24	
PIONEER GLOBAL HIGH YIELD FD CL A	Adjustment	76 560	Nov 28, 12	Oct 08, 13	763 30	768 10	-0 56	-4 80	
Total					**$1,808.26**	**$1,825.30**		**-$17.04**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CENTURYLINK INC	FIFO	81 000	Dec 10, 12	Nov 29, 13	2,502 88	3,077 87		-574 99	
	FIFO	118 000	Dec 10, 12	Nov 29, 13	3,644 96	4,483 81		-838 85	
	FIFO	65 000	Feb 14, 13	Nov 29, 13	2,007 81	2,092 77		-84 96	
	FIFO	27 000	Feb 14, 13	Nov 29, 13	834 02	869 30		-35 28	
	FIFO	120 000	Feb 14, 13	Dec 04, 13	3,689 94	3,863 57		-173 63	
HENDERSON GLOBAL INVESTORS EUROPEAN FOCUS FUND CLASS A	FIFO	102 005	Aug 20, 13	Dec 27, 13	3,578 34	3,082 59			495 75
TCW EMERGING MARKETS INCOME FUND CLASS N	FIFO	2,014 309	Feb 19, 13	Dec 16, 13	21,855 25	24,312 71		-2,457 46	
	FIFO	13 262	Feb 28, 13	Dec 16, 13	143 89	159 14		-15 25	
	FIFO	19 625	Mar 14, 13	Dec 16, 13	212 93	236 68		-23 75	
	FIFO	13 559	Mar 28, 13	Dec 16, 13	147 12	160 95		-13 83	
	FIFO	13 430	Apr 30, 13	Dec 16, 13	145 71	161 70		-15 99	
	FIFO	13 943	May 31, 13	Dec 16, 13	151 28	162 44		-11 16	
	FIFO	14 756	Jun 28, 13	Dec 16, 13	160 11	163 20		-3 09	
	FIFO	14 952	Jul 31, 13	Dec 16, 13	162 23	181 81	17 79	-19 58	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	9 474	Aug 30, 13	Dec 16, 13	102 79	111 42	11 28	-8 63	
	FIFO	8 387	Sep 30, 13	Dec 16, 13	91 00	100 56	10 15	-9 56	
	FIFO	8 259	Nov 01, 13	Dec 16, 13	89 61	90 77		-1 16	
	FIFO	8 414	Nov 29, 13	Dec 16, 13	91 29	91 12			0 17
Total					**$39,611.16**	**$43,402.41**		**-$4,287.17**	**$495.92**
Net short-term capital gains and losses								**-$3,791.25**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMAZON COM INC	FIFO	6 000	Sep 22, 10	Dec 16, 13	2,330 08	906 00			1,424 08
	FIFO	4 000	Mar 03, 11	Dec 16, 13	1,553 39	693 08			860 31
	FIFO	6 000	May 31, 12	Dec 16, 13	2,330 08	1,277 28			1,052 80
BRISTOL MYERS SQUIBB CO	FIFO	30 000	Jan 09, 12	Dec 27, 13	1,593 27	1,018 50			574 77
Total					**$7,806.82**	**$3,894.86**			**$3,911.96**
Net long-term capital gains or losses									**$3,911.96**
Net capital gains/losses:									**$120.71**

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis If the adjustment is a result of a wash sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
INTERCONTINENTALEXCHANGE GROUP COM	Adjustment	0 640	Sep 26, 13	Nov 14, 13	128 06	127 75			0 31
NYSE EURONEXT *MERGER-EFF-11/2013 *	Adjustment	325 000	Sep 26, 13	Nov 13, 13	14,731 49	13,570 96			1,160 53
Total					**$14,859.55**	**$13,698.71**			**$1,160.84**