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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2013
Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation POND FAMILY FOUNDATION	A Employer identification number 20-1131673
Number and street (or P O box number if mail is not delivered to street address) C/O KIRK POND TREAS 3 CANTER LANE — Room/suite	B Telephone number (see instructions) (207)767-4421
City or town, state or province, country, and ZIP or foreign postal code CAPE ELIZABETH, ME 04107	C If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 4,667,049 J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	78,404			
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	91,233	91,233		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 STM139	121,403			
	b	Gross sales price for all assets on line 6a 602,919				
	7	Capital gain net income (from Part IV, line 2)		121,403		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less· Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) STM106	130	130		
	12	**Total.** Add lines 1 through 11	291,170	212,766		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule) STM107	1,494	1,120		374
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STM110	2,421	2,421		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) STM103	45,080	31,045		14,035
	24	**Total operating and administrative expenses.** Add lines 13 through 23	48,995	34,586		14,409
	25	Contributions, gifts, grants paid	158,500			158,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	207,495	34,586		172,909
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	83,675			
	b	**Net investment income** (if negative, enter -0-)		178,180		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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		Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	1,351	1,294	1,294
	2	Savings and temporary cash investments	88,216	177,238	177,238
	3	Accounts receivable ▶ Less. allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) STM137	2,122,587	2,015,430	3,969,801
	c	Investments - corporate bonds (attach schedule) STM138	435,816	503,339	518,716
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	2,647,970	2,697,301	4,667,049
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☒ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	2,647,970	2,697,301	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	2,647,970	2,697,301	
	31	**Total liabilities and net assets/fund balances** (see instructions)	2,647,970	2,697,301	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,647,970
2	Enter amount from Part I, line 27a	2	83,675
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,731,645
5	Decreases not included in line 2 (itemize) ▶ STM116	5	34,344
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,697,301

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (yr , mo , day)	(d) Date sold (yr , mo , day)
1a	REPORTED BY HM PAYSON MANAGED ACCT	P	VARIOUS	2013-12-30
b	REPORTED BY HM PAYSON MANAGED ACCT	P	2013-01-17	2013-09-13
c	REPORTED BY HM PAYSON NONMNGD ACCT	P	2010-08-19	2013-02-05
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	517,438		396,246	121,192
b	24,955		25,143	(188)
c	60,526		60,127	399
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				121,192
b				(188)
c				399
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	121,403
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	(188)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	136,417	3,709,133	0.036779
2011	157,104	3,436,146	0.045721
2010	162,088	3,042,250	0.053279
2009	136,379	2,406,175	0.056679
2008	167,824	2,822,885	0.059451

2	**Total** of line 1, column (d)	2	0.251909
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050382
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	4,069,922
5	Multiply line 4 by line 3	5	205,051
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,782
7	Add lines 5 and 6	7	206,833
8	Enter qualifying distributions from Part XII, line 4	8	172,909

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	3,564
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	3,564
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	3,564
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,708		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	2,708
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	856
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation. ▶ $ ______________ **(2)** On foundation managers ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ME			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ANN ST. JOHN POND Telephone no ▶ 207-767-4421

Located at ▶ 3 CANTER LANE, CAPE ELIZABETH, ME ZIP+4 ▶ 04107

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**-Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ ______ , ______ , ______ , ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______ , ______ , ______ , ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** Yes: No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address See 990 OFOV	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANN ST. JOHN POND 3 CANTER LANE, Cape Elizabeth, ME 04107	PRESIDENT AND D 14	0	0	0
KIRK POND 3 CANTER LANE, Cape Elizabeth, ME 04107	TREASURER AND D 1	0	0	0
KYLE POND 62 UPLAND ROAD, NEWTON, MA 02468	DIRECTOR 25	0	0	0
JOEL POND 20 ARBORSIDE DRIVE, Falmouth, ME 04105	DIRECTOR 25	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 DIRECT GRANTS AND CONTRIBUTIONS TO PUBLIC CHARITIES. GRANTS OF $158,000 WERE MADE TO 22 QUALIFYING CHARITIES	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
a	Average monthly fair market value of securities	1a	3,951,237
b	Average of monthly cash balances	1b	180,664
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	4,131,901
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	4,131,901
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	61,979
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,069,922
6	**Minimum investment return.** Enter 5% of line 5	6	203,496

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	203,496
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,564		
b	Income tax for 2013. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,564
3	Distributable amount before adjustments Subtract line 2c from line 1			3	199,932
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	199,932
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	199,932

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	172,909
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	172,909
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	172,909

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					199,932
2	Undistributed income, if any, as of the end of 2013					
a	Enter amount for 2012 only					
b	Total for prior years ______ , ______ , ______					
3	Excess distributions carryover, if any, to 2013					
a	From 2008					
b	From 2009					
c	From 2010	13,087				
d	From 2011					
e	From 2012					
f	**Total** of lines 3a through e		13,087			
4	Qualifying distributions for 2013 from Part XII, line 4: ► $ 172,909					
a	Applied to 2012, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2013 distributable amount					172,909
e	Remaining amount distributed out of corpus					
5	Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)		13,087			13,087
6	**Enter the net total of each column as indicated below:**					
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5					
b	Prior years' undistributed income. Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b. Taxable amount - see instructions					
e	Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount - see instructions					
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					13,936
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)					
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a					
10	Analysis of line 9:					
a	Excess from 2009					
b	Excess from 2010					
c	Excess from 2011					
d	Excess from 2012					
e	Excess from 2013					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities .					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . .					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income . . .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

KIRK POND,

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE,

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

990APP

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
FIRST CONGREGATIONAL CHURCH 301 COTTAGE ROAD SOUTH PORTLAND, ME 04106			CRISIS MANAGEMENT	20,000
MAINE CANCER FOUNDATION PO BOX 553 PORTLAND, ME 04112			RESEARCH SUPPORT	1,500
MAINE MEDICAL CENTER 22 BRAMHALL ST PORTLAND, ME 04101			RESEARCH SUPPORT	20,000
SHALOM HOUSE 106 GILMAN ST PORTLAND, ME 04102			GENERAL SUPPORT	1,000
SOUTH PORTLAND HIGH SCHOOL 637 HIGHLAND AVE SOUTH PORTLAND, ME 04106			DOLLARS FOR SCHOLARS	500
SPRING HARBOR HOSPITAL 123 ANDOVER RD WESTBROOK, ME 04092			GENERAL SUPPORT	33,500
UNIVERSITY OF NEW ENGLAND 11 HILLS BEACH RD BIDDEFORD, ME 04005			ANNUAL FUND AND UNDERGRAD	25,000
UNIVERSITY OF ARKANSAS 1 UNIVERSITY OF ARKANSA FAYETTEVILLE, AR 72701-9980			GENERAL	20,000
Total . . . . ▶ 3a				
b Approved for future payment				
Total . . . . ▶ 3b				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CAPE ELIZ. CHURCH OF THE NAZARENE 499 OCEAN HOUSE ROAD CAPE ELIZABETH, ME 04107			GENERAL	2,000
CAPE ELIZ. UNITED METHODIST CHURCH 280 OCEAN HOUSE ROAD CAPE ELIZABETH, ME 04107			GENERAL	3,000
CAPE ELIZ. CHURCH OF LATTER DAY STS 29 OCEAN HOUSE ROAD CAPE ELIZABETH, ME 04107			GENERAL	2,000
COLBY COLLEGE 4000 MAYFLOWER HILL DR. WATERVILLE, ME 04901			MUSEUM OF ART	500
CONGREGATION BET HA'AM, SO. PORTLND 81 WESTBROOK ST. SOUTH PORTLAND, ME 04106			GENERAL	2,000
FIRST CONGREGATIONAL CHURCH, UCC 301 COTTAGE ROAD SOUTH PORTLAND, ME 04106			GENERAL	5,000
LAMBDA CHI ALPHA FOUNDATION 8741 FOUNDERS ROAD INDIANAPOLIS, IN 46268-1389			GENERAL	12,000
MAINE WOMEN'S FUND 565 CONGRESS ST., STE 306 PORTLAND, ME 04101			GENERAL	1,000
Total . . . . ▶ 3a				
b Approved for future payment				
Total . . . . ▶ 3b				

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
MEADOWBROOK SCHOOL 51 WEST STREET FREEPORT, ME 04032			GENERAL	2,500
PORTLAND STAGE COMPANY 25 FOREST AVENUE PORTLAND, ME 04101			GENERAL	500
ST. BARTHOLOMEW, CAPE ELIZ. 8 TWO LIGHT ROAD CAPE ELIZABETH, ME 04107			GENERAL	2,000
SERVICES FOR THE UNDERSERVED 305 SEVENTH AVE., 10TH FL. NEW YORK, NY 10001			GENERAL	500
ST. ALBANS, CAPE ELIZABETH 885 SHORE ROAD CAPE ELIZABETH, ME 04107			GENERAL	2,000
THE COMMUNITY SCHOOL 79 WASHINGTON ST. CAMDEN, ME 04843			GENERAL	2,000
Total . . . . ▶ 3a				158,500
b Approved for future payment				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	91,233	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	130	
8 Gain or (loss) from sales of assets other than inventory			18	121,403	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				212,766	

13 Total. Add line 12, columns (b), (d), and (e) 13 212,766

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Nancy Pond, President 5/1/14 ▶ PRESIDENT AND DIRECTOR

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see inst)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Michael J Quinlan		04-23-2014		P01356119

Firm's name ▶ Jensen Baird Gardner & Henry

Firm's EIN ▶

Firm's address ▶ Ten Free Street
Portland ME 04101

Phone no 207-775-7271

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990

OMB No 1545-0047
2013

Name of the organization	**Employer identification number**
POND FAMILY FOUNDATION	20-1131673

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Name of organization	Employer identification number
POND FAMILY FOUNDATION	20-1131673

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	KIRK POND 3 CANTER LANE CAPE ELIZABETH, ME 04107	$ 78,404	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
POND FAMILY FOUNDATION	20-1131673

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	700 SHS MONSANTO	$ 78,404	12-04-2013
		$	
		$	
		$	
		$	
		$	

List of Officers, Directors, Trustees, and Key Employees

1 List all officers, directors, trustees, and key employees for the year even if they were not compensated.

(a) Name and title	(b) Average hours per week devoted to position	(c) Reportable compensation (Form W-2/1099-MISC) (If not paid, enter -0-)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
ERIN POND FRIEDLAND DIRECTOR 1125 PARK AVE., APT. 11B, NY, NY 10023	25	0	0	0

Federal Supporting Statements

2013 PG 01

Name(s) as shown on return
POND FAMILY FOUNDATION

FEIN
20-1131673

FORM 990PF, PART III, LINE 5
OTHER DECREASES SCHEDULE

STATEMENT #116

SEE EXHIBIT A ATTACHED	34,344
TOTAL	34,344

PG 01
STATEMENT #137

FORM 990PF, PART II, LINE 10(B)
INVESTMENTS: CORPORATE STOCK SCHEDULE

CATEGORY	BOY	BOOK VALUE	EOY FMV
HM PAYSON NON-MANAGED ACT COM	1,250	1,250	133,500
HMPAYSON MANAGED ACCT. COM/OTH	2,070,977	2,014,180	3,836,301
HMPAYSON MANAGED ACCT. PFD	50,360		
TOTALS	2,122,587	2,015,430	3,969,801

PG 01
STATEMENT #138

FORM 990PF, PART II, LINE 10(C)
INVESTMENTS: CORPORATE BOND SCHEDULE

CATEGORY	BOY	BOOK VALUE	EOY FMV
HM PAYSON MANAGED ACT	375,689	503,339	518,716
HM PAYSON NONMANAGED ACT	60,127		
TOTALS	435,816	503,339	518,716

PG 01
STATEMENT #139

FORM 990PF, PART I, LINE 6(A)
GAIN(LOSS) FROM SALE OF OTHER ASSETS SCHEDULE

(See Attached 1099 Information)

EXHIBIT A
THE POND FAMILY FOUNDATION
E.I.N. 20-1131673
IRS FORM 990-PF
TAXABLE YEAR ENDING DECEMBER 31, 2013

PART III, LINE 5: ANALYSIS OF CHANGES IN NET ASSETS OR FUND BALANCES

The Foundation was formed in 2004 as a Maine nonprofit corporation. During 2013 its founder, Kirk Pond, contributed appreciated publicly traded stock with the following date of gift value and Donor's cost basis:

Date of Gift	Gift	Date of Gift Value	Donor's Tax Cost Basis
12/04/2013	700 shares – Monsanto common stock	$78,404	$7,000.00

The Foundation did not sell any portion of these shares contributed, and at the close of the Foundation's current tax year (12/31/2013), the Foundation continued to hold these shares of stock, and an adjustment needs to be made in Part III (Analysis of Changes in Net Assets or Fund Balances) to reflect the difference between the date of gift value for these retained shares of stock and the Foundation's book value for such shares using the Donor's cost tax basis. The balance sheet adjustment for the donated stock is $71,404.00 based upon the above fair market value and Donor cost basis figures. The net adjustment to the Changes in Net Assets or Fund Balances reflected on Line 5 of Part III is a decrease of $34,344.00 (the above adjustment of $71,404.00 less adjustments for dollar rounding and other book value adjustments reported by the Foundation's investment advisor relating to its year end financial report). The adjustment includes, in part, the following sales initiated on 12/30/2013 but not settled until after 1/1/2014, reported as sales on the Foundation investment advisor's tax information statement for 2013, but remaining as an asset in the 12/31/2013 account statement:

1200 shs Peabody Energy Corp.
3500 shs Annaly Mortgage Management, Inc.

2013 Tax Information Statement

Account Number: 5940712225
Recipient's Tax ID Number: XX-XXX1673
Payer's Federal ID Number: 01-0420707
Payer's State ID Number:
State: ME

☐ **Corrected**
☐ **2nd TIN notice**

Recipient's Name and Address:
POND FAMILY FOUNDATION
NON-MANAGED ACCOUNT
3 CANTER LANE
CAPE ELIZABETH , ME 04107

Payer's Name and Address:
H M PAYSON & CO
ONE PORTLAND SQUARE P O BOX 31
PORTLAND, ME 04112

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip Quantity Sold (*Box 1e*)	Description (*Box 8*) Date of Sale or Exchange (*Box 1a*)	Date of Acquisition	Stock or Other Symbol (*Box 1d*) Stocks Bonds, etc (*Box 2a*)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (*Box 4*)	State Tax Withheld (*Box 15*)
Long Term Sales Reported on 1099-B								
464288646	ISHARES 1-3 YEAR CREDIT BOND ETF		CSJ					
574 0000	02/05/2013	08/19/2010	60,525 94	60,126 69	0.00	399 25	0.00	0 00
Total Long Term Sales Reported on 1099-B			**60,525.94** ✓	**60,126.69**	**0.00**	**399.25** ✓	**0.00**	**0 00**

Report on Form 8949, Part II, with Box E checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Payer's Name and Address:
H M PAYSON & CO
ONE PORTLAND SQUARE P O BOX 31
PORTLAND, ME 04112

Account Number: 5990712211
Recipient's Tax ID Number: XX-XXX1673
Payer's Federal ID Number: 01-0420707
Payer's State ID Number:
State: ME

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
POND FAMILY FOUNDATION
MANAGED ACCOUNT
3 CANTER LANE
CAPE ELIZABETH , ME 04107

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip Quantity Sold *(Box 1e)*	Description *(Box 8)* Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* Stocks Bonds, etc. *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
Long Term Sales Reported on 1099-B								
418056107	HASBRO INC		HAS					
1300.0000	05/13/2013	11/09/2011	61,701.61	49,225.35	0.00	12,476.26	0.00	0.00
704549104	PEABODY ENERGY CORP		BTU					
1200.0000	12/30/2013	10/25/2012	23,284.79	33,727.88	0.00	-10,443.09	0.00	0.00
Total Long Term Sales Reported on 1099-B			**84,986.40** ✓	**82,953.23** ✓	**0.00**	**2,033.17** ✓	**0.00**	**0.00**

Report on Form 8949, *Part II*, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The *taxpayer is ultimately responsible* for the accuracy of their own tax return.

2013 Tax Information Statement

Payer's Name and Address:
H M PAYSON & CO
ONE PORTLAND SQUARE P O BOX 31
PORTLAND, ME 04112

Account Number: 5990712211
Recipient's Tax ID Number: XX-XXX1673
Payer's Federal ID Number: 01-0420707
Payer's State ID Number:
State: ME

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
POND FAMILY FOUNDATION
MANAGED ACCOUNT
3 CANTER LANE
CAPE ELIZABETH , ME 04107

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip Quantity Sold (Box 1e)	Description (Box 8) Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stock or Other Symbol (Box 1d) Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Short Term Sales Reported on 1099-B								
24702RAL5	DELL INC NOTE DTD 09/10/2010 2.3% 09/10/							
25000 0000	09/13/2013	01/17/2013	24,955 00 ✓	25,143.00	0.00	-188 00	0 00	0 00
Total Short Term Sales Reported on 1099-B			**24,955.00** ✓	**25,143.00** ✓	**0.00**	**-188.00**	**0.00**	**0.00**
Report on Form 8949, Part I, with Box B checked								
Long Term Sales Reported on 1099-B								
00287Y109	ABBVIE INC COM		ABBV					
1000.0000	08/26/2013	10/19/2010	43,328.04	27,624.18	0.00	15,703 86	0.00	0 00
035710409	ANNALY MORTGAGE MANAGEMENT INC (REIT)		NLY					
3500 0000	12/30/2013	02/12/2010	34,048 15	59,963 00	0.00	-25,914 85	0 00	0 00
25243Q205	DIAGEO PLC SPONSORED ADR (ISIN #US25243Q2057 SEDOL #2144724)		DEO					
625 0000	08/26/2013	05/02/2008	78,314.63	51,237.19	0 00	27,077 44	0 00	0 00
31331KWS5	FEDERAL FARM CREDIT BANK DTD 09/06/2011 2.24% 09/06/2018-2013							
25000 0000	10/04/2013	08/23/2011	25,000 00	25,000 00	0 00	0.00	0.00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale *disallowed loss is not being reported* to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Payer's Name and Address:
H M PAYSON & CO
ONE PORTLAND SQUARE P O BOX 31
PORTLAND, ME 04112

Account Number: 5990712211
Recipient's Tax ID Number: XX-XXX1673
Payer's Federal ID Number: 01-0420707
Payer's State ID Number:
State: ME

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
POND FAMILY FOUNDATION
MANAGED ACCOUNT
3 CANTER LANE
CAPE ELIZABETH , ME 04107

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip Quantity Sold *(Box 1e)*	Description *(Box 8)* Date of Sale or Exchange *(Box 1a)*	Date of Acquisition	Stock or Other Symbol *(Box 1d)* Stocks Bonds, etc. *(Box 2a)*	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
45168D104	IDEXX LABORATORIES INC		IDXX					
229 0000	05/13/2013	01/31/2006	20,077 14	8,827.94	0.00	11,249.20	0.00	0 00
45168D104	IDEXX LABORATORIES INC		IDXX					
1200.0000	08/26/2013	Various	117,673.96	44,996.96	0 00	72,677.00	0.00	0 00
50076Q106	KRAFT FOODS GROUP INC COM		KRFT					
656.0000	05/13/2013	03/15/2010	36,241.74	20,380 79	0.00	15,860 95	0.00	0.00
742718109	PROCTER & GAMBLE CO		PG					
350.0000	08/26/2013	10/05/2007	27,767.51	24,902.88	0 00	2,864.63	0 00	0 00
929042885	VORNADO REALTY TRUST SERIES H PRFD @ 6.7							
2000.0000	02/19/2013	Various	50,000 00	50,360.00	0 00	-360.00	0 00	0 00
Total Long Term Sales Reported on 1099-B			**432,451.17** ✓	**313,292.94** ✓	**0.00**	**119,158.23** ✓	**0.00**	**0.00**

Report on Form 8949, Part II, with Box E checked

This is important tax information and is being *furnished to the Internal Revenue Service* (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is *ultimately responsible* for the accuracy of their own tax return.

Federal Supporting Statements

2013 PG 01

Name(s) as shown on return
POND FAMILY FOUNDATION

Your Social Security Number
20-1131673

STATEMENT #103

FORM 990PF, PART I, LINE 23 - OTHER EXPENSES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
CORPORATE ANNUAL REPORT FEE	35	0	0	35
CONSULTING FEES	35,000	21,000	0	14,000
HM PAYSON MANAGEMENT FEES	10,045	10,045	0	0
ABB LTD SPNSRD ADR	0	0	0	0
TOTALS	45,080	31,045	0	14,035

PG 01
STATEMENT #106

FORM 990PF, PART I, LINE 11 - OTHER INCOME SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME
NON-DIVIDEND DISTRIBUTIONS	130	130	0
TOTALS	130	130	0

Federal Supporting Statements

2013 PG 01

Name(s) as shown on return: POND FAMILY FOUNDATION

Your Social Security Number: 20-1131673

FORM 990PF, PART I, LINE 16(A) - LEGAL FEES SCHEDULE

STATEMENT #107

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
JENSEN BAIRD	1,494	1,120	0	374
TOTALS	1,494	1,120	0	374

PG 01
STATEMENT #110

FORM 990PF, PART I, LINE 18 - TAXES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
IRS EXCISE TAX	0	0	0	0
FOREIGN TAXES	457	457	0	0
IRS ESTIMATED TAX PAYMENTS	1,964	1,964	0	0
TOTALS	2,421	2,421	0	0

	Federal Supporting Statements	2013 PG 01
Name(s) as shown on return POND FAMILY FOUNDATION		Your Social Security Number 20-1131673

FORM 990PF, PART XV, LINE 2
APPLICATION SUBMISSION INFORMATION

GRANT PROGRAM
GENERAL

APPLICANT NAME
ANN ST. JOHN POND

ADDRESS
3 CANTER LANE
CAPE ELIZABETH, ME 04107

TELEPHONE

EMAIL ADDRESS

FORM & CONTENT
THERE IS NO PRESCRIBED FORM IN WHICH THE APPLICATIONS SHOULD BE SUBMITTED. THE APPLICANT MUST SUBMIT MATERIALS REGARDING ITS IRS TAX EXEMPT STATUS AND EXPLAIN THE PURPOSE FOR THE REQUESTED GRANT.

SUBMISSION DEADLINE
NO SUBMISSION DEADLINE

RESTRICTIONS ON AWARD
THE FOUNDATION HAS LIMITED RESOURCES AND LIMITS GRANTS TO TAX EXEMPT ORGANIZATIONS WHICH QUALIFY AS PUBLIC CHARITIES.