



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation SEYFARTH SHAW CHARITABLE FOUNDATION		A Employer identification number 20-1076114
Number and street (or P.O. box number if mail is not delivered to street address) 131 S. DEARBORN STREET	Room/suite 2400	B Telephone number (312) 460-5000
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60603		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 139,761.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

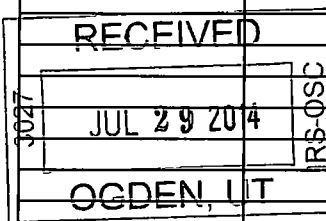
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		300,000.			
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		3,500.	0.	0.	0.
12 Total. Add lines 1 through 11		303,500.	0.	0.	0.
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		0.	0.	0.	0.
25 Contributions, gifts, grants paid		187,700.			187,700.
26 Total expenses and disbursements. Add lines 24 and 25		187,700.	0.	0.	187,700.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		115,800.			
b Net investment income (if negative, enter -0-)			0.		
c Adjusted net income (if negative, enter -0-)				0.	

323501
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

SCANNED AUG 01 2014



8

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	23,961.	139,761.	139,761.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		23,961.	139,761.	139,761.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	23,961.	139,761.	
30 Total net assets or fund balances	23,961.	139,761.		
31 Total liabilities and net assets/fund balances	23,961.	139,761.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	23,961.
2 Enter amount from Part I, line 27a	2	115,800.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	139,761.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	139,761.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	NONE			
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	174,425.	112,546.	1.549811
2011	132,015.	56,081.	2.354006
2010	196,050.	49,869.	3.931300
2009	314,000.	44,584.	7.042885
2008	469,540.	36,858.	12.739161

2 Total of line 1, column (d)	2	27.617163
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	5.523433
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	215,272.
5 Multiply line 4 by line 3	5	1,189,040.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	1,189,040.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	187,700.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	0.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	0.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>IL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTP://WWW.SEIFARTH.COM/</u>	13	X	
14	The books are in care of ► <u>CATHERINE KOHN</u> Telephone no. ► <u>(312) 460-5000</u> Located at ► <u>131 S. DEARBORN ST., SUITE 2400, CHICAGO, IL</u> ZIP+4 ► <u>60603</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 2		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	218,550.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	218,550.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	218,550.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,278.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	215,272.
6	Minimum investment return. Enter 5% of line 5	6	10,764.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	10,764.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	10,764.
4	Recoveries of amounts treated as qualifying distributions	4	3,500.
5	Add lines 3 and 4	5	14,264.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	14,264.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	187,700.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	187,700.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	187,700.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				14,264.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	467,697.			
b From 2009	311,771.			
c From 2010	193,557.			
d From 2011	123,211.			
e From 2012	167,798.			
f Total of lines 3a through e	1,264,034.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	187,700.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				14,264.
e Remaining amount distributed out of corpus	173,436.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,437,470.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	467,697.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	969,773.			
10 Analysis of line 9:				
a Excess from 2009	311,771.			
b Excess from 2010	193,557.			
c Excess from 2011	123,211.			
d Excess from 2012	167,798.			
e Excess from 2013	173,436.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT				187,700.
Total			▶ 3a	187,700.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

SEYFARTH SHAW CHARITABLE FOUNDATION

Employer identification number

20-1076114

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization	Employer identification number
SEYFARTH SHAW CHARITABLE FOUNDATION	20-1076114

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SEYFARTH SHAW LLP 131 S. DEARBORN ST., SUITE 2400 CHICAGO, IL 60603	\$ 300,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

SEYFARTH SHAW CHARITABLE FOUNDATION**20-1076114****Part III**

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	OTHER INCOME	STATEMENT	1
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
RECOVERIES OF AMOUNTS TREATED AS QUALIFYING DISTRIBUTIONS	3,500.	0.	3,500.
TOTAL TO FORM 990-PF, PART I, LINE 11	3,500.	0.	3,500.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	2
-------------	---	-----------	---

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JOAN LARKIN 131 S. DEARBORN ST. CHICAGO, IL 60603	PRESIDENT 0.40	0.	0.	0.
BRIAN T. ASHE 131 S. DEARBORN ST. CHICAGO, IL 60603	OFFICER 0.20	0.	0.	0.
SARA BEIRO FARABOW 131 S. DEARBORN ST. CHICAGO, IL 60603	OFFICER 0.20	0.	0.	0.
WILLIAM PRICKETT 131 S. DEARBORN ST. CHICAGO, IL 60603	OFFICER 0.05	0.	0.	0.
NATHANIEL SACK 131 S. DEARBORN ST. CHICAGO, IL 60603	VICE PRESIDENT/TREASURER 0.10	0.	0.	0.
AMEENA MAJID 131 S. DEARBORN ST. CHICAGO, IL 60603	OFFICER 0.05	0.	0.	0.
ROBERT NOBILE 131 S. DEARBORN ST. CHICAGO, IL 60603	OFFICER 0.05	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

SEYFARTH SHAW CHARITABLE FOUNDATION
FEIN: 20-1076114
Part XV, Line 3a - Grants and Contributions Paid During The Year
January 1, 2013 to December 31, 2013

Recipient	Recipient Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
AIDS Foundation of Chicago 200 W Jackson Blvd, Suite 2200, Chicago, IL 60606	None	PC	General Charitable Purposes	\$ 1,000 00
ALIVE INC 2723 King St, Alexandria, VA 22302	None	PC	General Charitable Purposes	\$ 1,000 00
American Cancer Society, Inc 250 Williams Street, Atlanta, GA 30303	None	PC	General Charitable Purposes	\$ 1,000 00
American Heart Association, Inc 7272 Greenville Avenue, Dallas, TX 75231	None	PC	General Charitable Purposes	\$ 1,500 00
Asian American Justice Center Inc 1140 Connecticut Ave NW Suite 1200, Washington, DC 20038	None	PC	General Charitable Purposes	\$ 1,000 00
Atlanta Legal Aid Society, Inc 151 Spring St NW, Atlanta, GA 30303	None	PC	General Charitable Purposes	\$ 15,000 00
Atlanta Volunteer Lawyers Foundation, Inc 235 Peachtree St, 1750 N Tower Atlanta, GA 30303	None	PC	General Charitable Purposes	\$ 5,500 00
Bet Tzedek 3250 Wilshire Blvd, 13th Floor, Los Angeles CA 90010	None	PC	General Charitable Purposes	\$ 2,500 00
Boys & Girls Clubs of Metro Atlanta 1275 Peachtree St NE #500 Atlanta, GA 30309	None	PC	General Charitable Purposes	\$ 1,500 00
Camp HorseAbility, Inc P O Box 410-1 Old Westbury, NY 11568	None	PC	General Charitable Purposes	\$ 1,500 00
Capital Area Food Bank 4900 Puerto Rico Avenue N E, Washington, DC 20017	None	PC	General Charitable Purposes	\$ 1,500 00
CHRIS Kids Inc 1017 Fayetteville Road Suite B, Atlanta, GA 30316	None	PC	General Charitable Purposes	\$ 1,500 00
Community Resources for Science 1611 San Pablo Avenue, Suite 10 B, Berkeley, CA 94702	None	PC	General Charitable Purposes	\$ 1,000 00
Cystic Fibrosis Foundation 150 N Michigan Ave Chicago, IL 60601	None	PC	General Charitable Purposes	\$ 2,500 00
Daniel Murphy Scholarship Fund 309 West Washington, Suite 1250 Chicago, IL 60606	None	PC	General Charitable Purposes	\$ 1,000 00
DC Rape Cnsis Center P O Box 34125, Washington DC 20043	None	PC	General Charitable Purposes	\$ 2 500 00
Early Investors Inc 22 Joseph Rd Braintree, MA 02184	None	PC	General Charitable Purposes	\$ 1,000 00
Equip for Equality Inc 20 N Michigan Ave Ste 300 Chicago IL 60602	None	PC	General Charitable Purposes	\$ 5,000 00
Everybody Wins Atlanta, Inc 1100 Peachtree Street, Suite 2800, Atlanta, GA 30309	None	PC	General Charitable Purposes	\$ 3,500 00
Expect Miracles Foundation 6 Quail Run Hingham, MA 02043	None	PC	General Charitable Purposes	\$ 2,500 00
Federation for Children with Special Needs Suite 1M3, 529 Main Street Boston, MA 02129	None	PC	General Charitable Purposes	\$ 2 500 00
Friends of Guest House 1 E Luray Ave, Alexandria, VA 22301	None	PC	General Charitable Purposes	\$ 1,000 00
Georgia Volunteer Lawyers for the Arts Inc 887 West Manetta St, Suite J-101, Atlanta, GA 30318	None	PC	General Charitable Purposes	\$ 1,000 00
Greater Boston Legal Services, Inc 197 Friend Street, Boston, MA 02114	None	PC	General Charitable Purposes	\$ 5,000 00
Holocaust Memorial Museum 100 Raoul Wallenberg Pl SW, Washington, DC 20024	None	PC	General Charitable Purposes	\$ 1,000 00
Illinois Legal Aid Online 17 N State St #1590, Chicago, IL 60602	None	PC	General Charitable Purposes	\$ 1 000 00
Kids in Need of Defense 1300 L Street NW Suite 1100 Washington, DC 20005	None	PC	General Charitable Purposes	\$ 3,000 00
Los Angeles County Bar Foundation PO Box 55020, Los Angeles, CA 90055	None	PC	General Charitable Purposes	\$ 2,500 00
Lawyers' Committee for Civil Rights Under Law 1401 New York Avenue NW No 400, Washington, DC 20005	None	PC	General Charitable Purposes	\$ 3 500 00

Lawyers Alliance for New York 171 Madison Avenue 8th Floor, New York NY 10016	None	PC	General Charitable Purposes	\$ 13,000 00
Legal Counsel for the Elderly 601 E Street NW, Washington, DC 20049	None	PC	General Charitable Purposes	\$ 1,000 00
Leadership Atlanta 10 Peachtree Place, Suite 620, Atlanta, GA 30309	None	PC	General Charitable Purposes	\$ 1,000 00
The Legal Aid Society 199 Water Street, New York, NY 10038	None	PC	General Charitable Purposes	\$ 5,000 00
Legal Aid Foundation of LA 110 Pine Ave, Long Beach, CA 90802	None	PC	General Charitable Purposes	\$ 1 000 00
Main Stay Therapeutic Riding 6919 Keystone Rd, Richmond, IL 60071	None	PC	General Charitable Purposes	\$ 1,000 00
Make A Wish Foundation International 4742 N 24th St Suite 400, Phoenix, AZ 85016	None	PC	General Charitable Purposes	\$ 5 000 00
Martha's Table 2114 14th St NW, Washington DC 20009	None	PC	General Charitable Purposes	\$ 3,000 00
The Medical Legal Partnership for Children National Center 88 East Newton Street, Vose 5, Boston, MA 02118	None	PC	General Charitable Purposes	\$ 1,500 00
Midwest Center on Law and the Deaf P O Box 804297, Chicago IL 60680	None	PC	General Charitable Purposes	\$ 1,000 00
More than Words 376 Moody St, Waltham, MA 02453	None	PC	General Charitable Purposes	\$ 1,500 00
Mott Hall Charter School 1260 Franklin Ave Bronx, NY 10456	None	PC	General Charitable Purposes	\$ 2 000 00
Moving Train Inc 85 Saratoga Ave #214, Santa Clara CA 95051	None	PC	General Charitable Purposes	\$ 2,500 00
National Multiple Sclerosis Society 525 West Monroe Street Suite 900 Chicago, IL 60661	None	PC	General Charitable Purposes	\$ 1,000 00
National Tuberous Sclerosis Association Inc 801 Roader Rd Suite 750, Silver Spring, MD 20910	None	PC	General Charitable Purposes	\$ 2 500 00
Nature Conservancy 4245 N Fairfax Dr, Suite 100 Arlington VA 22203	None	PC	General Charitable Purposes	\$ 1 000 00
Neighborhood Legal Services of Los Angeles County 13327 Van Nuys Blvd Pacoima, CA 91331	None	PC	General Charitable Purposes	\$ 1,000 00
Para Los Ninos 500 Lucas Avenue, Los Angeles, CA 90017	None	PC	General Charitable Purposes	\$ 2 000 00
Public Interest Law Initiative 321 North Clark Street, 28th Floor, Chicago IL 60654	None	PC	General Charitable Purposes	\$ 5,000 00
Pro Bono Center of Maryland 520 W Fayette St Baltimore, MD 21201	None	PC	General Charitable Purposes	\$ 2,500 00
Pro Bono Partnership of Atlanta, Inc 999 Peachtree Street NE, Suite 2300 Atlanta GA 30309	None	PC	General Charitable Purposes	\$ 7 500 00
Public Counsel 610 S Ardmore Ave , Los Angeles CA 90005	None	PC	General Charitable Purposes	\$ 5,000 00
Raphael House of San Francisco Inc 1065 Sutter St, San Francisco, CA 94109	None	PC	General Charitable Purposes	\$ 1 000 00
Save the Children 501 Kings Hwy E Fairfield, CT 06825	None	PC	General Charitable Purposes	\$ 7,200 00
Share Our Strength Inc 1730 M St NW Suite 700, Washington, DC 20036	None	PC	General Charitable Purposes	\$ 1,000 00
Shelter Legal Services Foundation Inc 885 Centre Street Newton, MA 02459	None	PC	General Charitable Purposes	\$ 2,500 00
The Sonia Shankman Orthogenic School 1365 E 60th Street, Chicago IL 60637	None	PC	General Charitable Purposes	\$ 2 500 00
Susan G Komen Breast Cancer Foundation 2 Princess Rd , Ste D Lawrenceville, NJ 08648	None	PC	General Charitable Purposes	\$ 1 000 00
St Bradnck's Foundation 1333 South Mayflower Avenue, Suite 400, Monrovia, CA 91016	None	PC	General Charitable Purposes	\$ 1,000 00
St Jude Children's Research Hospital 262 Danny Thomas Place Memphis, TN 38105	None	PC	General Charitable Purposes	\$ 1 000 00
Tahiri Justice Center 6402 Arlington Blvd, Suite 300, Falls Church, VA 22042	None	PC	General Charitable Purposes	\$ 1 000 00
TLC - The Treatment & Learning Centers, Inc 2092 Gather Road, Suite 100 Rockville MD 20850	None	PC	General Charitable Purposes	\$ 1,500 00
Uptown Peoples Law Center 4413 N Shendan Rd Chicago, IL 60640	None	PC	General Charitable Purposes	\$ 2,500 00

Urban League of Eastern Massachusetts 88 Warren St, Roxbury MA 02119	None	PC	General Charitable Purposes	\$ 4 000 00
Volunteer Lawyers for the Arts Inc 1 E 53rd Street 6th Floor, New York, NY 10022	None	PC	General Charitable Purposes	\$ 11,000 00
Volunteer Lawyers Project of the Boston Bar Association, Inc 99 Chauncy St, Ste 400, Boston MA 02111	None	PC	General Charitable Purposes	\$ 1 000 00
Washington Legal Aid Society 1331 H St NW #350, Washington, DC 20005	None	PC	General Charitable Purposes	\$ 3,500 00
Woodruff Arts Center 1280 Peachtree St NE, Atlanta, GA 30309	None	PC	General Charitable Purposes	\$ 1,000 00
YMCA Metropolitan Atlanta, Inc 100 Edgewood Ave NE, Ste 1100, Atlanta, GA 30303	None	PC	General Charitable Purposes	\$ 5 000 00
TOTAL				<u>\$ 187,700 00</u>

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) - You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	SEYFARTH SHAW CHARITABLE FOUNDATION	20-1076114
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	131 S. DEARBORN STREET, NO. 2400	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	CHICAGO, IL 60603	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

CATHERINE KOHN

- The books are in the care of ► **131 S. DEARBORN ST., SUITE 2400 - CHICAGO, IL 60603**
Telephone No. ► **(312) 460-5000** Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 - ☒ calendar year **2013** or
 - ☐ tax year beginning , and ending .

- If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.