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### Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052 2013

Department of the Treasury Internal Revenue Service

► Do not enter Social Security numbers on this form as it may be made public. ► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For ca	lendar year 2013, or tax year beginning	, 2013,	and ending	j	<del></del>
	IGHT FOUNDATION ROBLE RIDGE ROAD	·	7	20-1063909	
	O ALTO, CA 94306			Telephone number (see the (650) 804-1399	instructions)
G C	neck all that apply Initial return	Initial return of a form	ner public charity	If exemption application is	pending, check here
	Final return Address change	Amended return Name change	1	1 Foreign organizations, chec	Ų
Н		(c)(3) exempt private f		2 Foreign organizations meet here and attach computation	
	Section 4947(a)(1) nonexempt charitable to Section 4947(a)(a)(b) nonexempt charitable to Section 4947(a)(a)(b) nonexempt charitable to Section 4947(a)(a)(b) nonexempt charitable to Section 4947(a)(b) nonexempt charitable to Section 4947(a)(	ounting method X Ca		If private foundation status under section 507(b)(1)(A)	
<b>•</b>		Other (specify) column (d) must be or	cash basis)	If the foundation is in a 60 under section 507(b)(1)(B)	month termination , check here
Part	Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
-	Contributions, gifts, grants, etc, received (att sch)				
	2 Ck X if the foundn is <b>not</b> req to att Sch B 3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities 5 a Gross rents	3. 1,490,451.	1,490,451		
R	b Net rental income or (loss)  6 a Net gain/(loss) from sale of assets not on line 10	5,550,677.			
R E V E	b Gross sales price for all assets on line 6a Capital gain net income (from Part IV, line 2)		5,550,677		
- - - - - - - - - - - - - - - - - - -	8 Net short-term capital gain 9 Income modifications	RECE	IV/FD	6,495,248.	
€2 E	10 a Gross sales less returns and allowances	(Q)			
<b>6</b> 0	b Less Cost of goods sold	8 MAY 1	9 2014		
MAY	c Gross profit/(loss) (att sch)		K		
	11 Other income (attach schedule)	OGDE			
뾜	12 Total. Add lines 1 through 11 13 Compensation of officers, directors, trustees, etc.	7,041,131. 36,000.	7,041,131 12,000		24,000.
SCANNED	14 Other employee salaries and wages				
	15 Pension plans, employee benefits 16a Legal fees (attach schedule) SEE ST 1	5,185.	1,728	3.	3,457.
Ņ	<b>b</b> Accounting fees (attach sch)				
0 1	c Other prof fees (attach sch)  17 Interest				
O I SE T R A A T	18 Taxes (attach scheduleXsee instrs) SEE STM 2	398, 392.			
A A	19 Depreciation (attach sch) and depletion				
N V G E	20 Occupancy 21 Travel, conferences, and meetings				
A E	ZI Traver, conferences, and meetings				·
Ď P	23 Other expenses (attach schedule) SEE STATEMENT 3	6,945.	1,230	) .	3,061.
S E	24 Total operating and administrative expenses. Add lines 13 through 23	446,522.	14,958	1	30,518.
S	25 Contributions, gifts, grants paid PART XV	2,065,177.	14,950	· · · · · · · · · · · · · · · · · · ·	2,065,177.
	26 Total expenses and disbursements. Add lines 24 and 25	2,511,699.	14,958	0.	2,095,695.
	27 Subtract line 26 from line 12: a Excess of revenue over expenses				
	and disbursements	4,529,432.	7 606 4 7 7	,	
	b Net investment income (if negative, enter -0-)  C Adjusted net income (if negative, enter -0-)		7,026,173	7,985,702.	
BAA	For Paperwork Reduction Act Notice, see ins	tructions.	TI	EA0504L 10/18/13	Form <b>990-PF</b> (2013)

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Parl		Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End o	of year
		(See instructions )	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	776,693.	437,653.	437,653.
	3	Accounts receivable			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			ļ <u></u>
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
A S		Less allowance for doubtful accounts ▶			
Š	8	Inventories for sale or use			
ASSET	9	Prepaid expenses and deferred charges			
Ś	10 a	a Investments — U.S. and state government obligations (attach schedule).			
	t	nvestments — corporate stock (attach schedule)			
	(	: Investments — corporate bonds (attach schedule)			
	11	Investments — land, buildings, and equipment basis.			
		Less: accumulated depreciation (attach schedule)			
	12	Investments – mortgage loans.			
	13	Investments - other (attach schedule)	80,124,141.	84,993,013.	77,185,659.
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule)			
		Other assets (describe Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	80,900,834.	85,430,666.	77,623,312.
	17	Accounts payable and accrued expenses		<del></del>	
I A	18	Grants payable			
B	19	Deferred revenue			
Ł	20	Loans from officers, directors, trustees, & other disqualified persons			
Ī	21	Mortgages and other notes payable (attach schedule)			
i E	22	Other liabilities (describe SEE STATEMENT 4 )	9,308.	9,708.	-
E S	23	Total liabilities (add lines 17 through 22)	9,308.	9,708.	
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
NF	24	Unrestricted			
E U T N	25	Temporarily restricted			
D	26	Permanently restricted			
A B S L T A		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
E L T A	27	Capital stock, trust principal, or current funds			
ŚÑ	28	Paid-in or capital surplus, or land, building, and equipment fund			1
OE	29	Retained earnings, accumulated income, endowment, or other funds	80,891,526.	85,420,958.	
ŔŜ	30	Total net assets or fund balances (see instructions)	80,891,526.	85 <u>,</u> 420,9 <u>5</u> 8.	]
	31	Total liabilities and net assets/fund balances (see instructions)	80,900,834.	85,430,666.	
Par	<u> </u>	Analysis of Changes in Net Assets or Fund Balance		65,450,000.	<u> </u>
1	lota end-	I net assets or fund balances at beginning of year – Part II, colu of-year figure reported on prior year's return).	mn (a), line 30 (must aç	gree with	80,891,526.
2		r amount from Part I, line 27a		2	4,529,432.
3		increases not included in line 2 (itemize).		3	-,,
4		lines 1, 2, and 3		4	85,420,958.
5	Decre	ases not included in line 2 (itemize)		5	
_6	Tota	I net assets or fund balances at end of year (line 4 minus line 5)	- Part II, column (b), I	ne 30 <b>6</b>	85,420,958.

(a) List and describe	e the kind(s) of property sold (e g , rese, or common stock, 200 shares MLC	al estate,	b) How acquir P — Purchase D — Donation	(month, day, year)	(d) Date sold (month, day, year)
1a CHARLES SCHWAB - #7	7732 ( P.1 )		P	VARIOUS	VARIOUS
b CHARLES SCHWAB - #7			P	VARIOUS	VARIOUS
с					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (e) plus (f) m	
a 2,589,560.		3,534	,131.	<del></del>	-944,571.
b 18,461,376.		11,966	,128.		6,495,248.
с					
d					
е					
Complete only for assets show	ving gain in column (h) and owned by	the foundation on 12/31/69		(I) Gains (Col	umn (h)
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (over column (j), if any	/	gain minus column ( than -0-) or Losses (f	
a		_			-944,571.
b					6,495,248.
_ с					
d					
е					
2 Capital gain net income or (ne	If (loss), enter	enter in Part I, line 7 er -0- in Part I, line 7		2	5,550,677.
If gain, also enter in Part I, lin	(loss) as defined in sections 1222(5) ne 8, column (c) (see instructions) If (	_		3	C 40E 249
in Part I, line 8	er Section 4940(e) for Reduced	Toy on Not Investmen			6,495,248.
If section 4940(d)(2) applies, leave	·	940(a) tax on net investmen	it income.)		
If section 4940(d)(2) applies, leave Was the foundation liable for the se If 'Yes,' the foundation does not qua	this part blank ction 4942 tax on the distributable am alify under section 4940(e) Do not cor	ount of any year in the base nplete this part.	period?	Yes	X No
Was the foundation liable for the se  If 'Yes,' the foundation does not qua  Tenter the appropriate amount  (a)  Base period years Calendar year (or tax year	this part blank ction 4942 tax on the distributable am	ount of any year in the base nplete this part.	period?		n ratio
Was the foundation liable for the se If 'Yes,' the foundation does not quate 1 Enter the appropriate amount (a)  Base period years Calendar year (or tax year beginning in)	this part blank ction 4942 tax on the distributable am alify under section 4940(e) Do not cor in each column for each year, see the (b) Adjusted qualifying distributions	ount of any year in the base mplete this part. e instructions before making (c) Net value of noncharitable-use asse	period?  any entries	(d) Distributio	n ratio by column (c))
Was the foundation liable for the se If 'Yes,' the foundation does not quate 1 Enter the appropriate amount (a)  Base period years Calendar year (or tax year beginning in)  2012	this part blank ction 4942 tax on the distributable amelify under section 4940(e). Do not corn each column for each year, see the (b) Adjusted qualifying distributions  2,488,725.	ount of any year in the base mplete this part.  e instructions before making (c)  Net value of noncharitable-use asse	period? any entries	(d) Distributio	n ratio by column (c)) 0.035382
If section 4940(d)(2) applies, leave  Was the foundation liable for the se  If 'Yes,' the foundation does not qua  Tenter the appropriate amount  (a)  Base period years Calendar year (or tax year beginning in)  2012  2011	this part blank ction 4942 tax on the distributable amalify under section 4940(e). Do not corn each column for each year, see the (b) Adjusted qualifying distributions  2,488,725. 3,020,628.	ount of any year in the base instructions before making (c) Net value of noncharitable-use asse	period?  any entries ets (0, 863., 437.	(d) Distributio	n ratio by column (c)) 0.035382 0.050928
If section 4940(d)(2) applies, leave  Was the foundation liable for the se  If 'Yes,' the foundation does not qua  Tenter the appropriate amount  (a)  Base period years Calendar year (or tax year beginning in)  2012  2011  2010	this part blank ction 4942 tax on the distributable am alify under section 4940(e) Do not cor in each column for each year, see the  (b) Adjusted qualifying distributions  2,488,725. 3,020,628. 5,661,372.	ount of any year in the base instructions before making (c) Net value of noncharitable-use asse 70,338 59,311 58,274	any entries (6, 863. , 437. , 056.	(d) Distributio	n ratio by column (c)) 0.035382 0.050928 0.097151
If section 4940(d)(2) applies, leave  Was the foundation liable for the se If 'Yes,' the foundation does not qua  1 Enter the appropriate amount  (a)  Base period years Calendar year (or tax year beginning in)  2012  2011  2010  2009	this part blank ction 4942 tax on the distributable am alify under section 4940(e) Do not cor in each column for each year, see the Adjusted qualifying distributions  2,488,725. 3,020,628. 5,661,372. 178,367.	ount of any year in the base inplete this part.  constructions before making (c)  Net value of noncharitable-use asse 70,338  59,311  58,274  49,701	period?  any entries  ets (0 , 863. , 437. , 056. , 672.	(d) Distributio	n ratio by column (c)) 0.035382 0.050928 0.097151 0.003589
If section 4940(d)(2) applies, leave  Was the foundation liable for the se  If 'Yes,' the foundation does not qua  Tenter the appropriate amount  (a)  Base period years Calendar year (or tax year beginning in)  2012  2011  2010	this part blank ction 4942 tax on the distributable am alify under section 4940(e) Do not cor in each column for each year, see the  (b) Adjusted qualifying distributions  2,488,725. 3,020,628. 5,661,372.	ount of any year in the base instructions before making (c) Net value of noncharitable-use asse 70,338 59,311 58,274	period?  any entries  ets (0 , 863. , 437. , 056. , 672.	(d) Distributio	n ratio by column (c)) 0.035382 0.050928 0.097151
Was the foundation liable for the se If 'Yes,' the foundation does not quate 1. Enter the appropriate amount (a)  Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  2 Total of line 1, column (d)	this part blank ction 4942 tax on the distributable am alify under section 4940(e) Do not cor in each column for each year, see the  (b) Adjusted qualifying distributions  2,488,725. 3,020,628. 5,661,372. 178,367. 8,583,318.	ount of any year in the base instructions before making (c) Net value of noncharitable-use asse 70,338 59,311 58,274 49,701 45,537	period?  any entries  (sts	(d) Distributio	n ratio by column (c)) 0.035382 0.050928 0.097151 0.003589
Was the foundation liable for the se If 'Yes,' the foundation does not quate 1 Enter the appropriate amount (a)  Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  2 Total of line 1, column (d) 3 Average distribution ratio for the set in the second process.	this part blank ction 4942 tax on the distributable am alify under section 4940(e) Do not cor in each column for each year, see the Adjusted qualifying distributions  2,488,725. 3,020,628. 5,661,372. 178,367.	ount of any year in the base inplete this part.  (c) Net value of noncharitable-use asse  70,338  59,311  58,274  49,701  45,537	period?  any entries  4863.  , 437.  , 056.  , 672.  , 907.	Distributio	n ratio by column (c)) 0.035382 0.050928 0.097151 0.003589 0.188487
Was the foundation liable for the se If 'Yes,' the foundation does not quate a Enter the appropriate amount  Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  2 Total of line 1, column (d) 3 Average distribution ratio for tax years the foundation	this part blank ction 4942 tax on the distributable amelify under section 4940(e). Do not corn each column for each year, see the (b) Adjusted qualifying distributions  2,488,725. 3,020,628. 5,661,372. 178,367. 8,583,318.	ount of any year in the base implete this part.  It instructions before making (c)  Net value of noncharitable-use asse 70,338  59,311  58,274  49,701  45,537  Ital on line 2 by 5, or by the years	period?  any entries  (4863. , 437. , 056. , 672. , 907.	Distributio column (b) divided	n ratio by column (c)) 0.035382 0.050928 0.097151 0.003589 0.188487 0.375537 0.075107
Was the foundation liable for the se If 'Yes,' the foundation does not quate a Enter the appropriate amount  Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  2 Total of line 1, column (d) 3 Average distribution ratio for tax years the foundation	this part blank ction 4942 tax on the distributable amelify under section 4940(e). Do not cornin each column for each year, see the (b) Adjusted qualifying distributions  2,488,725. 3,020,628. 5,661,372. 178,367. 8,583,318.	ount of any year in the base implete this part.  It instructions before making (c)  Net value of noncharitable-use asse 70,338  59,311  58,274  49,701  45,537  Ital on line 2 by 5, or by the years	period?  any entries  (4863. , 437. , 056. , 672. , 907.	Distributio column (b) divided	n ratio by column (c)) 0.035382 0.050928 0.097151 0.003589 0.188487 0.375537 0.075107
Was the foundation liable for the se if 'Yes,' the foundation does not quate an entry that the appropriate amount (a)  Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  2 Total of line 1, column (d)  3 Average distribution ratio for the number of years the foundation  4 Enter the net value of nonchal	this part blank ction 4942 tax on the distributable amelify under section 4940(e). Do not corn each column for each year, see the (b) Adjusted qualifying distributions  2,488,725. 3,020,628. 5,661,372. 178,367. 8,583,318.	ount of any year in the base implete this part.  It instructions before making (c)  Net value of noncharitable-use asse 70,338  59,311  58,274  49,701  45,537  Ital on line 2 by 5, or by the years	period?  any entries  4863. , 437. , 056. , 672. , 907.	Distributio column (b) divided	n ratio by column (c)) 0.035382 0.050928 0.097151 0.003589 0.188487 0.375537 0.075107 05,805,749.
Was the foundation liable for the se If 'Yes,' the foundation does not quate 1. Enter the appropriate amount (a)  Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  2 Total of line 1, column (d) 3 Average distribution ratio for the number of years the foundation 4 Enter the net value of noncha 5 Multiply line 4 by line 3	this part blank ction 4942 tax on the distributable amelify under section 4940(e). Do not corn each column for each year, see the (b) Adjusted qualifying distributions  2,488,725. 3,020,628. 5,661,372. 178,367. 8,583,318.	ount of any year in the base implete this part.  It instructions before making (c)  Net value of noncharitable-use asse 70,338  59,311  58,274  49,701  45,537  Ital on line 2 by 5, or by the years	period?  any entries  (48.63. , 437. , 056. , 672. , 907.	Distribution (b) divided	n ratio by column (c)) 0.035382 0.050928 0.097151 0.003589 0.188487 0.375537
Was the foundation liable for the se if 'Yes,' the foundation does not quate an entry that the appropriate amount (a)  Base period years calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  2 Total of line 1, column (d) 3 Average distribution ratio for the number of years the foundation of the secondary terms of the secondary t	this part blank ction 4942 tax on the distributable amelify under section 4940(e). Do not cornin each column for each year, see the Adjusted qualifying distributions.  2,488,725. 3,020,628. 5,661,372. 178,367. 8,583,318.  The 5-year base period — divide the toon has been in existence if less than 5 aritable-use assets for 2013 from Part and the come (1% of Part I, line 27b).	ount of any year in the base implete this part.  It instructions before making (c)  Net value of noncharitable-use asse 70,338  59,311  58,274  49,701  45,537  Ital on line 2 by 5, or by the years	period?  any entries  (4863. , 437. , 056. , 672. , 907.	Distributio column (b) divided	n ratio by column (c)) 0.035382 0.050928 0.097151 0.003589 0.188487 0.375537 0.075107 65,805,749. 4,942,472. 70,262.
Was the foundation liable for the se If 'Yes,' the foundation does not quate an Enter the appropriate amount  Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  2 Total of line 1, column (d) 3 Average distribution ratio for the number of years the foundation 4 Enter the net value of nonchation 5 Multiply line 4 by line 3 6 Enter 1% of net investment in Add lines 5 and 6 8 Enter qualifying distributions for the second column of the s	this part blank ction 4942 tax on the distributable amelify under section 4940(e). Do not cornin each column for each year, see the Adjusted qualifying distributions.  2,488,725. 3,020,628. 5,661,372. 178,367. 8,583,318.  The 5-year base period — divide the toon has been in existence if less than 5 aritable-use assets for 2013 from Part and the come (1% of Part I, line 27b).	ount of any year in the base inplete this part.  It instructions before making (c)  Net value of noncharitable-use asse 70,338  59,311  58,274  49,701  45,537  Ital on line 2 by 5, or by the years  X, line 5	period?  any entries  (48, 863.  , 437.  , 056.  , 672.  , 907.	Distribution column (b) divided	n ratio by column (c)) 0.035382 0.050928 0.097151 0.003589 0.188487 0.375537 0.075107 05,805,749. 4,942,472. 70,262. 5,012,734. 2,095,695.

4,

Part	VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see	instruction	5)		
1 a	Exempt operating foundations described in section 4940(d)(2), check here				
	Date of ruling or determination letter (attach copy of letter if necessary – see instrs)				1
b	Domestic foundations that meet the section 4940(e) requirements in Part V,	1	14	10,5	23.
	check here ▶ ☐ and enter 1% of Part I, line 27b				
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)				]
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable				
	foundations only Others enter -0-). Add lines 1 and 2	2			0.
_		3 4	14	10,5	
	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5		10,5	0.
	Credits/Payments	<del>-</del>		10,5	123.
	2013 estimated tax pmts and 2012 overpayment credited to 2013 6a 300,000.	ĺ			İ
	Exempt foreign organizations — tax withheld at source 6b	ŀ			
	Tax paid with application for extension of time to file (Form 8868)  6 c				}
	Backup withholding erroneously withheld 6 d	ĺ			
	Total credits and payments Add lines 6a through 6d	7	30	0,0	000.
	Enter any <b>penalty</b> for underpayment of estimated tax. Check here X if Form 2220 is attached	8	<u>_</u> `	, -	<u></u>
	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9			0.
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	15	59,4	77.
	Enter the amount of line 10 to be Credited to 2014 estimated tax 159, 477. Refunded	11			0.
Part	VII-A   Statements Regarding Activities				
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it		$\rightarrow$	Yes	No
	participate or intervene in any political campaign?		1 a		<u>X</u>
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	!	1 b		Х
	If the answer is 'Yes' to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities				
	Did the foundation file Form 1120-POL for this year?		1 c		X
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  (1) On the foundation   (2) On foundation   (3) Section 4955 imposed during the year  (1) On the foundation   (3) Section 4955 imposed during the year	0.			
	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on			ļ	
	foundation managers ►\$ 0.				]
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		X
	If 'Yes,' attach a detailed description of the activities				
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles				<u></u> _J
	of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		3		X
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		<u>X</u>
	If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		4 b	N	/ <u>A</u> _
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		3		<u>X</u> _
	If 'Yes,' attach the statement required by General Instruction T  Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
	By language in the governing instrument, or				
	<ul> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</li> </ul>		6	Х	ļ,
7	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV		7	Х	
	Enter the states to which the foundation reports or with which it is registered (see instructions)			-	
	CA				
	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> <sup>2</sup> <i>If 'No,' attach explanation</i>	_	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or	4942(J)(5)			
	for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete	e Part XIV	9		<u>X</u> _
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names		10		Ų,
BAA	and addresses	Fo	rm <b>990</b>	-PF (2	X_ 013)

Par	t VII-A	Statements Regarding Activities (continued)					
11	At any ti within th	me during the year, did the foundation, directly or indirectly, own a controlled entity e meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)			11		X
12	Did the fo	oundation make a distribution to a donor advised fund over which the foundation or a disqualified persi privileges? If 'Yes,' attach statement (see instructions)	on had		12		X
13	Did the f	oundation comply with the public inspection requirements for its annual returns and exemption	applica	tion?	13	X	
	Website	<u> </u>					
14		s are in care of ► YONG PING DUAN Telephone		(650) 8	304-	<u> 1399</u>	
15		at > 954 ROBLE RIDGE ROAD PALO ALTO CA ZIP + 4 >	<u>943</u>	06	ጌተ/ ኧ		·
15		4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here is the amount of tax-exempt interest received or accrued during the year	<b>►</b>	1c	N/A	•	∐ N7 / N
		,	L-	15	-	Yes	N/A No
16	bank, se	ne during calendar year 2013, did the foundation have an interest in or a signature or other authority of curities, or other financial account in a foreign country?			16		X
	foreign o		ne	_			
Par	t VII-B	Statements Regarding Activities for Which Form 4720 May Be Required					
		n 4720 if any item is checked in the 'Yes' column, unless an exception applies.				Yes	No
1 a	•	ne year did the foundation (either directly or indirectly)	m,				
		age in the sale or exchange, or leasing of property with a disqualified person?	Yes	X No			1
		ow money from, lend money to, or otherwise extend credit to (or accept it from) a ualified person?	Yes	XNo			
		ish goods, services, or facilities to (or accept them from) a disqualified person?	Yes	X No			
	` '	compensation to, or pay or reimburse the expenses of, a disqualified person?	X Yes	No			i
	<b>(5)</b> Tran	sfer any income or assets to a disqualified person (or make any of either available					
		he benefit or use of a disqualified person)?	Yes	XNo			į
	(6) Agre	te to pay money or property to a government official? (Exception. Check 'No' if the dation agreed to make a grant to or to employ the official for a period after termination					
	of go	pation agreed to make a grant to or to employ the official for a period after termination by experiment service, if terminating within 90 days.)	Yes	X No			
b	lf anv ar	uswer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in	_				
_	Regulati	nswer is 'Yes' to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in ons section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)	7		1 b		X
	Organiza	ations relying on a current notice regarding disaster assistance check here	•	. $\square$			
C	: Did the t that wer	foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, e not corrected before the first day of the tax year beginning in 2013?			1 c		X
2		n failure to distribute income (section 4942) (does not apply for years the foundation was a operating foundation defined in section 4942(j)(3) or 4942(j)(5))					
a	At the e	nd of tax year 2013, did the foundation have any undistributed income (lines 6d					ļ
		Part XIII) for tax year(s) beginning before 2013?	Yes	X No			
	ii tes,	list the years 20 , 20 , 20 , 20					
t	Are ther	e any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942	(a)(2)				[
		to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942) is listed, answer 'No' and attach statement — see instructions.)	a)(2) 10		2 b	N	/A
c	-	ovisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years	nere				
	▶ 20	_ , 20 , 20 , 20					
3 a	Did the t	foundation hold more than a 2% direct or indirect interest in any business			ļ ,		
	enterpris	se at any time during the year?	Yes	X No			
b	If 'Yes,'	did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation	a				
	or aisqui	alified persons after May 26, 1969, <b>(2)</b> the lapse of the 5-year period (or longer period approve ommissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or	a		[ ]		
	(3) the la	apse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to ne if the foundation had excess business holdings in 2013)			3 b	N	/A
A -							<del></del>
4 2		foundation invest during the year any amount in a manner that would jeopardize its le purposes?			4 a		X_
Ł	Did the f	foundation make any investment in a prior year (but after December 31, 1969) that could				l	
	jeopardiz	e its charitable purpose that had not been removed from jeopardy before the first day of year beginning in 2013?			4 b		_x
RΔΔ				Fo		O.DE	(2013)

Form 990-PF (2013) ENLIGHT FOUNDATION			20-100	63909	Page <b>6</b>
Part VII-B   Statements Regarding Activiti		4720 May Be Req	uired (continued)		
5 a During the year did the foundation pay or incu					
(1) Carry on propaganda, or otherwise attemp	ot to influence legislation	n (section 4945(e))?	Ŭ Yes X	No	
(2) Influence the outcome of any specific pub on, directly or indirectly, any voter registra	ation drive?	•	Yes X	No	
(3) Provide a grant to an individual for travel,	study, or other similar	purposes?	∐ Yes X	No	
(4) Provide a grant to an organization other the in section 509(a)(1), (2), or (3), or section	nan a charitable, etc, or 4940(d)(2)? (see instru	ganization described uctions)	Yes X	No	
(5) Provide for any purpose other than religio educational purposes, or for the preventio	us, charitable, scientific n of cruelty to children	, literary, or or animals?	Yes X	No	
b If any answer is 'Yes' to 5a(1)-(5), did any of described in Regulations section 53 4945 or in (see instructions)?	the transactions fail to d a current notice regard	qualify under the excep ding disaster assistance	tions	5 b	N/A
Organizations relying on a current notice rega	rding disaster assistanc	e check here	▶ [_]		
c If the answer is 'Yes' to question 5a(4), does tax because it maintained expenditure respon	sibility for the grant?		N/A Yes	No	
If 'Yes,' attach the statement required by Reg	ulations section 53 4945	5-5(d)			
<b>6 a</b> Did the foundation, during the year, receive aron a personal benefit contract?			∐Yes X	No	
<b>b</b> Did the foundation, during the year, pay prem	iums, airectly or indirec	tiy, on a personal bene	nit contract?	6 b	X
If 'Yes' to 6b, file Form 8870	dation a nactivito a neah	bited toy chalter transc	otion? Type V	lNo	
7 a At any time during the tax year, was the found b If 'Yes,' did the foundation receive any procee	1 2 1			No 7 b	
Part VIII   Information About Officers, D					
and Contractors	irectors, rrustees,	i odiladion mana	gers, riiginiy r ala E	-mpioyee.	-,
1 List all officers, directors, trustees, foundation	n managers and their o	compensation (see inst	tructions).		
	(b) Title, and average	(c) Compensation	(d) Contributions to	(e) Expens	e account.
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation		llowances
YONG PING DUAN	DIRECTOR	18,000.	0.		0.
954 ROBLE RIDGE ROAD	5.00				
PALO ALTO, CA 94306					
XIN LIU	PRESIDENT &	18,000.	0.		0.
954 ROBLE RIDGE ROAD	10.00				
PALO ALTO, CA 94306					
	!				
	1		ĺ		
	<u> </u>	<u> </u>		<u> </u>	
2 Compensation of five highest-paid employees (o			<del>,</del>		
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d)Contributions to employee benefit plans and deferred compensation		se account, llowances
NONE					
				<del> </del>	
	<del></del>		<del> </del>	<del>                                     </del>	
				ļ	····
				1	
Total number of other employees paid over \$50,000	ll	<u> </u>	<u> </u>	<del>                                     </del>	0
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paic and Contractors (continued)	Employees,
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'	
(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
NONE	
<del></del>	
Total number of others receiving over \$50,000 for professional services	0
Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of	Expenses
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 <u>N/A</u>	
2	<del></del>
3	
<b>4</b>	
Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
N/A	
2	
All other program-related investments. See instructions	<del></del>
3	
	[
Total. Add lines 1 through 3	▶ 0.
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Part X Minimum Investment Return (All domestic foundations must complete this part see instructions.)	. Foreign found	ations,
1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	Hp.	
a Average monthly fair market value of securities.		5,816,603.
b Average of monthly cash balances	1 b	991,264.
C Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)		<u>6,807,867.</u>
e Reduction claimed for blockage or other factors reported on lines 1a and 1c	2.2	
(attach detailed explanation)  2 Acquisition indebtedness applicable to line 1 assets	0. 2	0
3 Subtract line 2 from line 1d	\ <del></del>	0.
	3 - 6	6,807,867.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	1,002,118.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	<del></del>	5,805,749.
6 Minimum investment return. Enter 5% of line 5		3,290,287.
Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private or	1 - 1	
and certain foreign organizations check here ► and do not complete this par		
1 Minimum investment return from Part X, line 6	<u> </u>	3,290,287.
2a Tax on investment income for 2013 from Part VI, line 5		
b Income tax for 2013. (This does not include the tax from Part VI)	7 1	
c Add lines 2a and 2b	2 c	140,523.
3 Distributable amount before adjustments Subtract line 2c from line 1	3 3	3,149,764.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	3,149,764.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	. 7	3,149,764.
Part XII Qualifying Distributions (see Instructions)		
1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes	۵	
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a 2	2,095,695.
<b>b</b> Program-related investments – total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required)	3 a	
<b>b</b> Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,095,695.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,095,695.
Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the qualifies for the section 4940(e) reduction of tax in those years	e foundation	
BAA	Forn	n <b>990-PF</b> (2013)

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#### Part XIII Undistributed Income (see instructions)

	<b>(a)</b> Corpus	(b) Years prior to 2012	<b>(c)</b> 2012	<b>(d)</b> 2013
Distributable amount for 2013 from Part XI, line 7				3,149,764.
2 Undistributed income, if any, as of the end of 2013.				5,435,
a Enter amount for 2012 only			0.	
<b>b</b> Total for prior years. 20 , 20 , 20		0.		
3 Excess distributions carryover, if any, to 2013.				
a From 2008 2,864,102.				
<b>b</b> From 2009				
c From 2010 3,035,105.				
<b>d</b> From 2011 235, 483.				
e From 2012				
f Total of lines 3a through e	6,134,690.			
4 Qualifying distributions for 2013 from Part				
XII, line 4 ► \$ 2,095,695.				
a Applied to 2012, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years				
(Election required — see instructions)		0.		
c Treated as distributions out of corpus				
(Election required — see instructions)	0.			
d Applied to 2013 distributable amount				2,095,695.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013	1,054,069.			1,054,069.
(If an amount appears in column (d), the				
same amount must be shown in column (a) )			J	
6 Enter the net total of each column as				
indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	5,080,621.			
<b>b</b> Prior years' undistributed income Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years' undistribut-				
ed income for which a notice of deficiency has been issued, or on which the section			1	
4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable				
amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from				
line 2a Taxable amount — see instructions			0.	
Alledel to test according 2012. Catheren land				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be				
distributed in 2014	<u> </u>			0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
(see instructions)	0.			
8 Excess distributions carryover from 2008 not				
applied on line 5 or line 7 (see instructions)	1,810,033.			
9 Excess distributions carryover to 2014.				
Subtract lines 7 and 8 from line 6a	3,270,588.			
10 Analysis of line 9		,		
a Excess from 2009				
<b>b</b> Excess from 2010 3, 035, 105.				
c Excess from 2011 235, 483.				
d Excess from 2012				
e Excess from 2013		<u> </u>		F 000 PF (2012)

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Part XIV   Private Operating Foundate					N/A
1 a If the foundation has received a ruling or determined is effective for 2013, enter the date of the	ruling			ne ruling	
<b>b</b> Check box to indicate whether the foundate		erating foundation		4942(j)(3) or	4942(j)(5)
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year (a) 2013	<b>(b)</b> 2012	(c) 2011	(d) 2010	(e) Total
<b>b</b> 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
<ul> <li>Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c</li> </ul>					
3 Complete 3a, b, or c for the alternative test relied upon					- <del></del>
a 'Assets' alternative test — enter				j j	
<ul><li>(1) Value of all assets</li><li>(2) Value of assets qualifying under section 4942(j)(3)(B)(i)</li></ul>					<del> </del>
b Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter		<del></del>	<del></del>		
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					L
Part XV   Supplementary Information assets at any time during the	(Complete this	s part only if the	e foundation had	d \$5,000 or more	in
1 Information Regarding Foundation Mana		istructions.			
a List any managers of the foundation who have close of any tax year (but only if they have YONG PING DUAN	e contributed more t	than 2% of the total ( than \$5,000) (See	contributions received e section 507(d)(2) )	by the foundation bef	ore the
<b>b</b> List any managers of the foundation who owr a partnership or other entity) of which the NONE	10% or more of the foundation has a	e stock of a corporati 10% or greater inte	on (or an equally larg rest	e portion of the owner	ship of
2 Information Regarding Contribution, Gra Check here  X if the foundation only make requests for funds. If the foundation make complete items 2a, b, c, and d	akes contributions to es gifts, grants, etc	preselected charitate (see instructions)	ole organizations and to individuals or orga	anizations under othe	
<b>a</b> The name, address, and telephone number of	r e-mail of the perso	on to whom application	ons should be address	sed <sup>.</sup>	
<b>b</b> The form in which applications should be	submitted and info	ormation and mater	als they should inclu	ude	
c Any submission deadlines					
d Any restrictions or limitations on awards,	such as by geogra	phical areas, charit	able fields, kinds of	institutions, or other	factors
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3	Grants and Contributions Paid During the Ye	ar or Approved for Fut	ure Paymen	t	<del></del>
	Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
	Name and address (home or business)	substantial contributor	recipient		
	Paid during the year STATEMENT 5				
			·		
	Total	·	<del></del>	► 3a	2,065,177.
	Approved for future payment				270007277.
	Total			<b>▶</b> 31	

#### Part XVI-A Analysis of Income-Producing Activities

Enter gross	s amounts unless otherwise indicated	Unrelated	business income	Excluded by	section 512, 513, or 514	
		(a) Business code	<b>(b)</b> Amount	(c) Exclu- sion	(d) Amount	(e) Related or exempt function income (See instructions)
a Progr	am service revenue	<del></del>		code		
ь —		<del>                                     </del>		<del>  -</del>		<del></del>
	<del></del>	<del>   </del>	····			
d		† <del>  </del>		+		
e		<del></del>		+		
f -						
g Fees	and contracts from government agencies					·
2 Meml	bership dues and assessments			1		
3 Interes	st on savings and temporary cash investments			14	3.	
	ends and interest from securities			14	1,490,451.	
	ental income or (loss) from real estate					
	financed property					
	lebt-financed property					
	ntal income or (loss) from personal property					
=	r investment income					
	r (loss) from sales of assets other than inventory			18	5,550,677.	
	ncome or (loss) from special events.	<b></b>		<del>                                     </del>		
	s profit or (loss) from sales of inventory	<u> </u>		<del> </del>		
	r revenue.	<u> </u>				
a		<del>├</del> ──-	<del></del>	++-		
b	<del></del>	<del> </del>		<del></del>		
ç	<del></del>	<del> </del>	<u> </u>			<del> </del>
d		<del> </del>		++-		
	otal Add columns (b), (d), and (e)	<del>                                     </del>	<del></del>		7,041,131.	
12 Oub.	otal fida colarinis (b), (a), aria (c)	1 . 1				2 041 131
13 Total	Add line 12 columns (b) (d) and (e)				1.5	7.041.131
	l. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculations.	ons )			13 .	7,041,131.
(See works	sheet in line 13 instructions to verify calculation		hment of Even	mt Durnos	•	7,041,131.
(See works			hment of Exem	pt Purpos	•	/,041,131.
(See works	sheet in line 13 instructions to verify calculation	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
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Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	
Part XVI	-B Relationship of Activities to the	Accomplis			es	

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# Form 990-PF (2013) ENLIGHT FOUNDATION 20-1063909 Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

4 D	d 11- a a a a a a a a a a a a a a a a a a							res	NO
de	d the organization direction sold (constitution) is scribed in section 501 (constitution) to political organical org	c) of the Code (ot	ngage in any of the foll ther than section 501(c	owing with a (3) organiza	ny other organization tions) or in section 52	7,			
	ansfers from the reporti		a noncharitable exemp	t organizatio	n of	1			
	) Cash		,	J		<u></u> j-	1 a (1)		X
(2	Other assets					f	1 a (2)		X
<b>b</b> Of	her transactions								
(1	Sales of assets to a n	oncharitable exe	mpt organization			]-	1 b (1)		<u> </u>
•	Purchases of assets f		. •	n			1 b (2)		X
(3	Rental of facilities, eq	uipment, or othei	rassets				1 b (3)		X
•	Reimbursement arran					1	1 b (4)		X
(5	) Loans or loan guarant	tees					1 b (5)		X
(6	Performance of service	es or membershi	p or fundraising solicita	ations		Ī	1 b (6)		X
c Si	narıng of facılıtıes, equip	ment, mailing lis	ts, other assets, or par	d employees		ř	1 c		X
						L			
<b>d</b> If the ar	the answer to any of the goods, other assets, or by transaction or sharing	e above is 'Yes,' services given by t g arrangement, st	complete the following the reporting foundation now in column (d) the v	schedule Co If the foundat alue of the g	olumn <b>(b)</b> should alway ion received less than fa joods, other assets, or	ys show the fair m air market value in services received	arket val	ue of	
(a) Line	<del></del>		f noncharitable exempt organ		(d) Description of transfe			ngemen	ts
N/A			<del></del>						
			<del></del>						
			<del></del>						
					<del></del>				
		-	· · · · · · · · · · · · · · · · · · ·						
	<del>-  </del>		<del></del>						
		<del></del>	<del></del>		<del></del>				
	<del></del>		<del></del>						
	<del></del>								
					<del></del>				
de	the foundation directly or escribed in section 501(i	c) of the Code (of	d with, or related to, one ther than section 501(c	or more tax-e )(3)) or in se	xempt organizations ction 527?		Yes	X	No
D 11	(a) Name of organiz		<b>(b)</b> Type of org	ianization	(6)	Description of rela	tionshin		
NI / N	(a) Name of Organiz	ation	(b) Type of org	Jamzation		3000			
N/A									
			<del></del>	<del></del>	<del></del>	<del></del>	<del></del>		——
	<del></del>								
					<del></del>	<del></del>			
	Under penalties of perjury, I de	clare that I have exam	ined this return, including acco	mnanving schedu	les and statements, and to th	e hest of my knowledge	and belief.	it is true	
	correct, and complete Declara	tion of preparer (other	than taxpayer) is based on all	information of wh	nich preparer has any knowled	ge			
Sign	0.	/ .	_	1. 1.1	·	,	May the this return	IRS disc	uss he
Here	1 km	de		112/14	o Presid	ert	preparer (see inst	shown	below
	Signature of officer or trustee	•	Date		Title			Yes	No_
	Print/Type preparer's	name	Preparer's signature		Date Date	Check If	PTIN		
Paid	CHEN C. LE	E	G	سام	_MAY 0 2 201	self-employed	P0133	<u> 69</u> 77	
Prepa		SY, LEE &	CHEN, LLP		F	ırm's EIN ▶ 95-35			
Use O			YEY AVE						
	,	MONTEREY F			P	hone no (626)	288-	7202	<u>}</u>
BAA	<del></del>		<del></del>				Form <b>99</b>		

2013	FEDERAL STATEMENTS	PAGE 1
CLIENT 6701	ENLIGHT FOUNDATION	20-1063909
5/02/14  STATEMENT 1 FORM 990-PF, PART I, LINE 16A LEGAL FEES		10 46AM
LEGAL FEES	(A) (B) NET (C) EXPENSES INVESTMENT ADJUSTED PER BOOKS INCOME NET INCOME  \$ 5,185. \$ 1,728.  TOTAL \$ 5,185. \$ 1,728. \$ 0.	(D) CHARITABLE PURPOSES \$ 3,457. \$ 3,457.
STATEMENT 2 FORM 990-PF, PART I, LINE 18 TAXES		
FEDERAL TAX - 2012 FEDERAL TAX - 2013	(A) (B) NET (C) EXPENSES INVESTMENT ADJUSTED PER BOOKS INCOME NET INCOME  \$ 98,392. 300,000. TOTAL \$ 398,392. \$ 0. \$ 0.	(D) CHARITABLE PURPOSES  \$ 0.
STATEMENT 3 FORM 990-PF, PART I, LINE 23 OTHER EXPENSES		
ATTORNEY GENERAL'S REGISTRY BANK CHARGES FILING FEE OFFICE EXPENSES PENALTY STATE ANNUAL FEE TAXES ON PAYROLL	(A) (B) NET (C) EXPENSES INVESTMENT ADJUSTED PER BOOKS INCOME NET INCOME  OF CHARITBLE \$ 225. 150. \$ 50. 365. 171. 57. 2,654. 10. 3,370. 1,123. TOTAL \$ 6,945. \$ 1,230. \$ 0.	(D) CHARITABLE PURPOSES  \$ 225. 100. 365. 114.  10. 2,247. \$ 3,061.
STATEMENT 4 FORM 990-PF, PART II, LINE 22 OTHER LIABILITIES PAYROLL TAXES PAYABLE	\$ TOTAL <u>\$</u>	

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2013	FEDERAL STA	TEMENTS	3	PAGE 2
CLIENT 6701	ENLIGHT FOU	NDATION		20-1063909
5/02/14	<del></del>			10 46AM
STATEMENT 5 FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR	₹			
NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
PARTNERS IN EDUCATION P.O. BOX 1557 PALO ALTO, CA 94302		501 (C) (3)	EDUCATION	\$ 100,000.
STANFORD UNIVERSITY STANFORD, CA 94305		501 (C) (3)	SCHOLARSHIP & FELLOWSHIP	250,000.
GIVE 2 ASIA P.O. BOX 193223 SAN FRANCISCO, CA 94119		501 (C) (3)	CHARITY	10,000.
LUCILE PACKARD CHILDREN'S FUND 400 HAMILTON AVE. #340 PALO ALTO, CA 94301		501 (C) (3)	CHARITY	600,000.
BARRON PARK SCHOOL, PUBLIC SCHOOL 800 BARRON AVE. PALO ALTO, CA 94306		501C3	EDUCATION	5,500.
MONTEREY BAY AQUARIUM 886 CANNERY ROW MONTEREY, CA 93940		501C3	EDUCATION	10,000.
DHARMA TREASURE INC P.O. BOX 232 PEARCE, AZ 85625	-	501(C)(3)	RELIGION	10,000.
FELL GOOD WORLD 2935 VAN NESS AVE. STE 9 SAN FRANCISCO, CA 94109		501 (C) (3)	CHARITY	200,000.
PINEWOOD SCHOOL 26800 W FREMONT ROAD LOS ALTOS HILLS, CA 94022		501 (C) (3)	EDUCATION	151,000.
MORRISSEY-COMPTON EDUCATION CENTER 2555 PARK BOULEVARD, SUITE 1 PALO ALTO, CA 94306		501 (C) (3)	SPECIAL EDUCATION	10,000.
UNIVERSITY OF CINCINNATI DEPARTMENT 00303 CINCINNATI, OH 45274		501(C)(3)	SCHOLARSHIP & FELLOWSHIP	58,377.
SAFEHOUSE FOR THE PERFORMING ART 715 BRYANT ST. SAN FRANCISCO, CA 94103		501 (C) (3)	CHARITY	24,300.

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2013	FEDERAL STA	TEMENTS	3		PAGE 3
CLIENT 6701	ENLIGHT FOU	NDATION			20-1063909
5/08/14					10 37AM
STATEMENT 5 (CONTINUED) FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR	ł				
NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE GRANT	OF	AMOUNT
THE RFK CENTER FOR JUSTICE & HUMAN RIGHT 1300 19TH STREET, NW, SUITE 750 WASHINGTON, DC 20036		501 (C) (3)	CHARITY	\$	610,000.
CARE MISSION USA 362 W. GARVEY AVE. MONTEREY PARK, CA 91754		501 (C) (3)	CHARITY		5,000.
PENINSULA FAMILY CONNECTIONS P.O. BOX 358 SAN CARLOS, CA 94070		501 (C) (3)	CHARITY		5,000.
BAY AREA ADOPTION SERVICES 465 FAIRCHILD DR. MOUNTAIN VIEW, CA 94043		501 (C) (3)	CHARITY		6,000.
CURRY SENIOR CENTER 333 TURK ST. SAN FRANCISCO, CA 94102		501(C)(3)	CHARITY		10,000.
				TOTAL \$	2,065,177.

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2013

#### FEDERAL SUPPORTING DETAIL

PAGE 1

**CLIENT 6701** 

#### **ENLIGHT FOUNDATION**

20-1063909

5/08/14

10 49AM

#### BALANCE SHEET - INVESTMENTS FAIR MARKET VALUE AT END OF YEAR (FORM 990-PF)

126,200 SH AAPL 40,000 SH F 3,471,500 SH NINE 135 CALL AAPL \$500 01/18/2014 100 CALL AAPL \$615 01/18/2014 \$ 70,800,724. 1,179,200. 6,040,410.

-826,875. -7,800. TOTAL \$ 77,185,659.

2013 FEIN#20-1063909

QUANTITY		-	DESCRIPTION	DATE ACQUII	DATE SOLD	SALES PRICE	COST BASIS	GAIN OR (LOSS)
5,000	SH	LONG	PERFECT WORLD	03/30/1	0 01/22/13	59,746	188,411	(128,665)
						59,746	188,411	(128,665)
300	SH	LONG	APPLE INC	03/20/1	2 04/29/13	126,155	179,235	(53,080)
						126,155	179,235	(53,080)
3,500	SH	LONG	APPLE INC	03/20/1	2 05/03/13	1,522,459	2,091,075	(568,616)
•	SH	LONG	APPLE INC	03/20/1	2 10/11/13		1,075,410	(211,432)
5,300	•					2,386,437	3,166,485	(780,048)
35	CALL	. ASSIGNED	AAPL \$435 05/03/1	3 05/03/1	3 04/26/13	3,169	0	3,169
18	CALL	ASSIGNED	AAPL \$480 10/11/1	3 10/11/1	3 09/30/13	14,053	0	14,053
53						17,222	0	17,222

2,589,560	3,534,131	(944,571)
2.590.560	2 524 424	(944,571)
	2,589,560	

2013 FEIN#20-1063909

30,000 SH YAHOO 02/21/2 01/18/13 449,983 438,009 13,974 40,000 SH YAHOO 02/22/12 01/18/13 399,977 581,345 118,632 20,000 SH YAHOO 02/22/12 01/18/13 399,984 290,673 109,311 16,200 SH YAHOO 02/22/12 01/18/13 399,984 290,673 109,311 16,200 SH YAHOO 02/22/12 01/18/13 399,984 290,673 109,311 16,200 SH YAHOO 02/22/12 01/18/13 259,194 235,445 23,749 56,000 SH YAHOO 03/20/12 01/18/13 2,539,139 2,383,136 158,003 30,000 SH YAHOO 03/20/12 01/18/13 3,539,139 2,383,136 158,003 30,000 SH YAHOO 04/10/12 01/18/13 452,389,139 2,383,136 158,003 30,000 SH YAHOO 05/22/12 01/18/13 462,389 442,560 198,229 386,400	QUANTITY	DESCRIPTIO	)N		DATE	SALES	COST	GAIN OR
30,000 SH YAHOO 02/22/12 01/18/13 449,983 436,009 13,974 40,000 SH YAHOO 02/22/12 01/18/13 699,977 581,345 118,632 20,000 SH YAHOO 02/22/12 01/18/13 399,984 230,673 109,311 16,200 SH YAHOO 02/22/12 01/18/13 259,194 235,445 23,749 60,000 SH YAHOO 02/22/12 01/18/13 259,194 235,445 23,749 60,000 SH YAHOO 03/20/12 01/18/13 259,194 235,445 23,749 158,700 SH YAHOO 03/20/12 01/18/13 2,539,139 2,383,136 156,003 30,000 SH YAHOO 03/20/12 01/18/13 3,539,139 2,383,136 156,003 30,000 SH YAHOO 04/10/12 01/18/13 452,399,139 2,383,136 156,003 30,000 SH YAHOO 05/22/12 01/18/13 462,389 442,560 19,829 386,400 SH YAHOO 05/22/12 01/18/13 462,389 442,560 19,829 386,400 SH YAHOO 05/22/12 01/18/13 462,389 442,560 19,829 386,400 SH YAHOO 15/5 01/19/13 01/19/13 02/22/12 33,734 0 33,734 100 CALL ASSIGNED YHOO \$15 01/19/13 01/19/13 02/22/12 33,734 0 33,734 100 CALL ASSIGNED YHOO \$15 01/19/13 01/19/13 02/22/12 33,734 0 33,734 100 CALL ASSIGNED YHOO \$15 01/19/13 01/19/13 02/22/12 17,367 0 17,367 200 CALL ASSIGNED YHOO \$17.5 01/19/13 01/19/13 02/22/12 17,367 0 17,367 100 CALL ASSIGNED YHOO \$17.5 01/19/13 01/19/13 01/23/12 24,991 0 24,991 100 CALL ASSIGNED YHOO \$17.5 01/19/13 01/19/13 01/23/12 10,305 0 0,067 200 CALL ASSIGNED YHOO \$17.5 01/19/13 01/19/13 01/23/12 10,305 0 0 10,305 849 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 01/23/12 10,305 0 0 10,305 849 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 01/23/12 11,367 3 0 113,873 1,000 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 01/23/12 11,367 3 0 113,873 1,000 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 01/23/12 11,367 3 0 13,475 3,864  2,500 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 2,722,22 3,000 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 2,722,22 3,000 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 2,722,22 3,000 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 2,722,22 3,000 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 907,837 2,144 12,500 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 907,837 2,144 12,500 SH TESLA MOTORS INC 05/30/13 07/19/13 1,374,969 1,325,224 49,745	L							
40,000 SH	•	YAHOO				-		3,805
20,000 SH						•		13,974
16,200 SH YAHOO 02/23/12 01/18/13 959,977 883,842 76,135 158,700 SH YAHOO 03/20/12 01/18/13 959,977 883,842 76,135 158,700 SH YAHOO 03/20/12 01/18/13 959,977 883,842 76,135 158,700 SH YAHOO 04/10/12 01/18/13 2.539,139 2.383,135 156,003 30,000 SH YAHOO 04/10/12 01/18/13 479,999 450,199 29,791 28,900 SH YAHOO 05/22/12 01/18/13 479,999 450,199 29,791 28,900 SH YAHOO 05/22/12 01/18/13 482,389 442,560 19,829 386,400	•					•		-
50,000 SH						•		
156,700 SH YAHOO 03/20/12 01/18/13 2,539,139 2,383,135 156,003 30,000 SH YAHOO 04/10/12 01/18/13 479,989 442,560 19,829 386,400 5H YAHOO 05/22/12 01/18/13 479,989 442,560 19,829 386,400 5H YAHOO 05/22/12 01/18/13 462,389 442,560 19,829 2386,400 5H YAHOO 05/22/12 01/18/13 6,292,224 5,740,995 551,229 26 CALL ASSIGNED YHOO \$15 01/19/13 01/02/13 04/23/12 33,734 0 33,734 100 CALL ASSIGNED YHOO \$15 01/19/13 01/19/13 02/22/12 17,367 0 17,367 200 CALL ASSIGNED YHOO \$15 01/19/13 01/19/13 02/23/12 17,367 0 17,367 200 CALL ASSIGNED YHOO \$15 01/19/13 01/19/13 01/23/12 24,991 0 24,991 100 CALL ASSIGNED YHOO \$17 5 01/19/13 01/19/13 03/23/12 9,067 0 9,067 200 CALL ASSIGNED YHOO \$17 5 01/19/13 01/19/13 01/23/12 10,305 0 10,305 400 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 01/23/12 10,305 0 10,305 40 42,404 40 40 40 40 40 40 40 40 40 40 40 40						,		23,749
30,000 SH YAHOO 05/12/12 01/18/13 479,989 450,198 29,791 386,400		YAHOO		02/23/12	01/18/13	959,977	883,842	76,135
28,900 SH		YAHOO		03/20/12	01/18/13	2,539,139	2,383,136	156,003
386,400     6,292,224   5,740,995   551,229   26   CALL ASSIGNED YHOO \$16   01/19/13   01/02/13   04/23/12   3,477   0   3,477   200   CALL ASSIGNED YHOO \$15   01/19/13   01/19/13   02/22/12   33,734   0   34,991   0   24,991   0   24,991   0   24,991   100   CALL ASSIGNED YHOO \$17 5   01/19/13   01/19/13   01/23/12   0.667   0   9,067   0   9,067   0   9,067   0   0   0,067   0   0   0,006   0   0   0,306   0   0   0,306   0   0   0,306   0   0   0,306   0   0   0,306   0   0   0,306   0   0   0,306   0   0   0,306   0   0   0   0   0   0   0   0   0	30,000 SH	YAHOO		04/10/12	01/18/13	479,989	450,198	29,791
26 CALL ASSIGNED YHOO \$16 01/19/13 01/02/13 04/23/12 3,477 0 3.477 200 CALL ASSIGNED YHOO \$15 01/19/13 01/19/13 02/22/12 33,734 0 33,734 100 CALL ASSIGNED YHOO \$15 01/19/13 01/19/13 02/23/12 17,367 0 17,367 200 CALL ASSIGNED YHOO \$17.5 01/19/13 01/19/13 01/23/12 24,991 0 24,991 100 CALL ASSIGNED YHOO \$17.5 01/19/13 01/19/13 01/23/12 24,991 0 24,991 100 CALL ASSIGNED YHOO \$17.5 01/19/13 01/19/13 01/23/12 9,067 0 9,067 100 CALL ASSIGNED YHOO \$17.5 01/19/13 01/19/13 01/23/12 10,305 0 10,305 849 CALL ASSIGNED YHOO \$20 01/19/13 01/19/13 01/23/12 10,305 0 10,305 849 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 01/23/12 113,673 0 113,673 1,000 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 01/23/12 136,739 0 136,739 1,899 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 08/24/12 47,789 0 136,739 1,899 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 08/24/12 47,789 0 47,785 3,864  2,500 SH BANK OF AMERICA 01/23/12 01/19/13 24,992 18,012 6,986 97,400 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 272,222 30,000 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 272,222 30,000 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 272,222 30,000 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 272,222 30,000 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 272,222 30,000 SH BANK OF AMERICA 01/23/12 01/18/13 99,997 216,144 53,843 129,900  25 CALL ASSIGNED BAC \$10 01/19/13 01/19/13 09/17/12 1,243 0 1,243 300 CALL ASSIGNED BAC \$10 01/19/13 01/19/13 09/17/12 48,438 0 48,438 300 CALL ASSIGNED BAC \$10 01/19/13 01/19/13 09/17/12 48,438 0 48,438 300 CALL ASSIGNED BAC \$10 01/19/13	28,900_SH	YAHOO		05/22/12	01/18/13	462,389	442,560	19,829
200 CALL ASSIGNED YHOO \$15  01/19/13  01/19/13  02/22/12  33,734  0  33,734  100 CALL ASSIGNED YHOO \$15  01/19/13  01/19/13  02/23/12  17,367  0  17,367  0  17,367  100 CALL ASSIGNED YHOO \$17.5  01/19/13  01/19/13  02/23/12  24,991  0  24,991  100 CALL ASSIGNED YHOO \$17.5  01/19/13  01/19/13  03/23/12  9,067  0  9,067  100 CALL ASSIGNED YHOO \$17.5  01/19/13  01/19/13  03/23/12  8,067  0  8,067  100 CALL ASSIGNED YHOO \$20  01/19/13  01/19/13  03/23/12  10,305  0  10,305  849 CALL ASSIGNED YHOO \$16  01/19/13  01/19/13  04/23/12  113,673  0  113,673  1,000 CALL ASSIGNED YHOO \$16  01/19/13  01/19/13  04/23/12  113,673  0  136,735  189 CALL ASSIGNED YHOO \$16  01/19/13  01/19/13  05/22/12  24,143  0  24,143  900 CALL ASSIGNED YHOO \$16  01/19/13  01/19/13  08/24/12  47,789  0  47,785  3,864	386,400					6,292,224	5,740,995	551,229
200 CALL ASSIGNED YHOO \$15	26 CALL ASSIGN	NED VHOO \$16	01/10/13	01/02/13	04/23/12	3 477	0	3 477
100 CALL ASSIGNED YHOO \$15						· · · · · · · · · · · · · · · · · · ·	_	
200 CALL ASSIGNED YHOO \$17.5 01/19/13 01/19/13 01/23/12 24,991 0 24,991 100 CALL ASSIGNED YHOO \$17.5 01/19/13 01/19/13 03/23/12 9,067 0 8,067 100 CALL ASSIGNED YHOO \$17.5 01/19/13 01/19/13 03/23/12 10,305 0 10,305 849 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 04/23/12 113,673 0 113,673 1,000 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 04/23/12 113,673 0 136,735 1,000 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 04/23/12 136,739 0 136,735 1,000 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 05/22/12 24,143 0 24,143 900 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 05/22/12 24,143 0 24,143 900 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 08/24/12 47,789 0 47,785 3,864 242,352 0 429,						•		
100   CALL ASSIGNED YHOO \$17 5   01/19/13   01/19/13   03/23/12   9,067   0 8,067   100   CALL ASSIGNED YHOO \$17 5   01/19/13   01/19/13   01/23/12   10,305   0 10						•		
100 CALL ASSIGNED YHOO \$17 5 01/19/13 01/19/13 04/23/12 10,305 0 1							_	
200 CALL ASSIGNED YHOO \$10 01/19/13 01/19/13 01/23/12 10,305 0 10,305 849 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 04/23/12 113,673 0 113,673 1,000 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 05/21/12 136,739 0 136,739 189 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 05/21/12 24,143 0 24,143 900 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 08/24/12 47,789 0 47,785 3,864 429,352 0 429,352						·		•
849 CALL ASSIGNED YHOO \$16       01/19/13       01/19/13       04/23/12       113,673       0       113,673         1,000 CALL ASSIGNED YHOO \$16       01/19/13       01/19/13       05/11/12       136,739       0       136,739         1,000 CALL ASSIGNED YHOO \$16       01/19/13       01/19/13       05/22/12       24,143       0       24,143         900 CALL ASSIGNED YHOO \$16       01/19/13       01/19/13       08/24/12       47,789       0       47,789         3,864       0       01/19/13       01/19/13       08/24/12       47,789       0       47,789         2,500 SH       BANK OF AMERICA       01/23/12       01/10/13       24,992       18,012       6,986         97,400 SH       BANK OF AMERICA       01/23/12       01/18/13       973,971       701,749       272,222         30,000 SH       BANK OF AMERICA       01/23/12       01/18/13       973,971       701,749       272,222         30,000 SH       BANK OF AMERICA       01/23/12       01/18/13       973,971       701,749       272,222         30,000 SH       BANK OF AMERICA       01/23/12       01/18/13       973,971       701,749       272,222         974 CALL ASSIGNED BAC \$10       01/19/13       01/19/13       <							_	
1,000 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 05/11/12 136,739 0 136,739 189 CALL ASSIGNED YHOO \$16 01/19/13 01/19/13 05/22/12 24,143 0 24,143 0 24,143 0 0 47,785								
189 CALL ASSIGNED YHOO \$16 901/19/13       01/19/13 01/19/13 01/19/13       01/19/13 01/19/13       24,143 0 24,143 0 24,143 0 47,789 0 47,789 0 47,789 0 47,789 0 47,789 0 47,789 0 47,789 0 47,789 0 47,789 0 429,352 0 429,352 0 429,352 0 429,352         2,500 SH BANK OF AMERICA 97,400 SH BANK OF AMERICA 101/23/12 01/18/13 973,971 701,749 272,222 30,000 SH BANK OF AMERICA 01/23/12 01/18/13 269,987 216,144 53,843 1,268,950 935,905 333,045 1,268,950 935,905 935,905 333,045 1,268,950 935,905 935								
900   CALL ASSIGNED   YHOO \$16   01/19/13   01/19/13   08/24/12   47,789   0   47,789   3,864   429,352   0   42	·							The state of the s
3,864       429,352       0       429,352         2,500       SH       BANK OF AMERICA       01/23/12 01/09/13       24,992       18,012       6,980         97,400       SH       BANK OF AMERICA       01/23/12 01/18/13       973,971       701,749       272,222         30,000       SH       BANK OF AMERICA       01/23/12 01/18/13       269,987       216,144       53,845         129,900       25       CALL ASSIGNED BAC \$10       01/19/13       01/09/13 09/17/12       1,243       0       1,243         974       CALL ASSIGNED BAC \$10       01/19/13       01/19/13 09/17/12       48,438       0       48,438         300       CALL ASSIGNED BAC \$9       01/19/13 01/19/13 05/31/12       14,315       0       14,315         1,299       10,000       SH       TESLA MOTORS INC       05/20/13 05/24/13 90,977       907,837       2,140         12,500       SH       TESLA MOTORS INC       05/30/13 07/19/13 1,374,969 1,325,224 49,745       2,284,946 2,233,061 51,885         100       CALL ASSIGNED TSLA \$91       05/24/13 05/20/13 05/20/13 05/20/13 06/21/13 42,622 0 42,622       0       77,092 0 77,092         125       CALL ASSIGNED TSLA \$110 07/20/13 07/19/13 06/21/13 05/30/13 95,030 540 94,490       95,030 540 94,490						•		•
2,500 SH BANK OF AMERICA 01/23/12 01/09/13 24,992 18,012 6,980 97,400 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 272,222 30,000 SH BANK OF AMERICA 01/23/12 01/18/13 269,987 216,144 53,843 129,900 216,144 53,843 1,268,950 935,905 333,046 25 CALL ASSIGNED BAC \$10 01/19/13 01/09/13 09/17/12 1,243 0 1,243 0 1,245 974 CALL ASSIGNED BAC \$10 01/19/13 01/19/13 09/17/12 48,438 0 48,438 300 CALL ASSIGNED BAC \$9 01/19/13 01/19/13 05/31/12 14,315 0 14,315 1,299 63,996 0 63,996 0 63,996 10,000 SH TESLA MOTORS INC 05/20/13 05/24/13 909,977 907,837 2,146 12,500 SH TESLA MOTORS INC 05/30/13 07/19/13 1,374,969 1,325,224 49,745 22,500 10 CALL ASSIGNED TSLA \$91 05/24/13 05/24/13 05/24/13 34,470 0 34,476 125 CALL ASSIGNED TSLA \$91 05/24/13 05/24/13 05/20/13 42,622 0 42,622 225 77,092 0 77,092 125 CALL TSLA \$110 07/20/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,496 125 CALL TSLA \$110 06/22/13 06/21/13	<del></del>	AED THOO \$10	01/19/13	01/19/13	00/24/12			
97,400 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 272,222 30,000 SH BANK OF AMERICA 01/23/12 01/18/13 269,987 216,144 53,845 129,900 25 CALL ASSIGNED BAC \$10 01/19/13 01/09/13 09/17/12 1,243 0 1,245 0 1,2	<u> </u>					720,002		420,002
97,400 SH BANK OF AMERICA 01/23/12 01/18/13 973,971 701,749 272,222 30,000 SH BANK OF AMERICA 01/23/12 01/18/13 269,987 216,144 53,845 129,900 25 CALL ASSIGNED BAC \$10 01/19/13 01/09/13 09/17/12 1,243 0 1,245 0 1,2	2 500 SH	BANK OF AN	MERICA	01/23/12	01/09/13	24 992	18 012	6 980
30,000 SH	•					•		
129,900   1,268,950   935,905   333,045	•					•		•
974 CALL ASSIGNED BAC \$10 01/19/13 01/19/13 09/17/12 48,438 0 48,438 1,299 CALL ASSIGNED BAC \$9 01/19/13 01/19/13 05/31/12 14,315 0 14,315 1,299 63,996 0 63,996 0 63,996 0 63,996 0 63,996 10,000 SH TESLA MOTORS INC 05/20/13 05/24/13 909,977 907,837 2,140 12,500 SH TESLA MOTORS INC 05/30/13 07/19/13 1,374,969 1,325,224 49,745 2,284,946 2,233,061 51,885 100 CALL ASSIGNED TSLA \$91 05/24/13 05/24/13 05/20/13 34,470 0 34,470 125 CALL ASSIGNED TSLA \$110 07/20/13 07/19/13 06/21/13 42,622 0 42,622 225 77,092 0 77,092 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95/300 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/20/13 95/20/13 95/20/13 95/20/13 95/20/13 95/20/13 95/20/13 95/20/13 95/20/13 95/20/13 95/20/13 95/20/13 95/20/13 95/20/13 95/20/	<del></del>	27.1111 01 7.11	i Er (i O) (	01/20/12	0 11 10 10			333,045
974 CALL ASSIGNED BAC \$10 01/19/13 01/19/13 09/17/12 48,438 0 48,438 300 CALL ASSIGNED BAC \$9 01/19/13 01/19/13 05/31/12 14,315 0 14,315 1,299  10,000 SH TESLA MOTORS INC 05/20/13 05/24/13 909,977 907,837 2,140 12,500 SH TESLA MOTORS INC 05/30/13 07/19/13 1,374,969 1,325,224 49,745 22,500  100 CALL ASSIGNED TSLA \$91 05/24/13 05/24/13 05/20/13 34,470 0 34,470 125 CALL ASSIGNED TSLA \$110 07/20/13 07/19/13 06/21/13 42,622 0 42,622 225  125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 95,030 540 94,490	25 CALL ACCIO	NED BAC \$10	04/40/42	04/00/12	00/47/40	1 242	0	1 242
300						•		•
10,000 SH TESLA MOTORS INC 05/20/13 05/24/13 909,977 907,837 2,140 12,500 SH TESLA MOTORS INC 05/30/13 07/19/13 1,374,969 1,325,224 49,745 22,500 22,284,946 2,233,061 51,885 22,284,946 2,233,061 51,885 22,284,946 2,233,061 51,885 2,23						•		•
10,000 SH TESLA MOTORS INC 05/20/13 05/24/13 909,977 907,837 2,140 12,500 SH TESLA MOTORS INC 05/30/13 07/19/13 1,374,969 1,325,224 49,745 2,284,946 2,233,061 51,885 100 CALL ASSIGNED TSLA \$91 05/24/13 05/24/13 05/20/13 34,470 0 34,470 125 CALL ASSIGNED TSLA \$110 07/20/13 07/19/13 06/21/13 42,622 0 42,622 77,092 0 77,092 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13		MED DVC 18	01/19/13	01/19/13	03/3 1/12			
12,500 SH     TESLA MOTORS INC     05/30/13 07/19/13 1,374,969 1,325,224 49,745 2,284,946 2,233,061 51,885 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,284,946 2,233,061 2,284,946 2,2	1,299					03,990		00,990
12,500 22,500       SH       TESLA MOTORS INC       05/30/13 07/19/13 1,374,969 1,325,224 49,745 2,284,946 2,233,061 51,885 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,233,061 2,284,946 2,284,9	10.000 SH	TESLA MOT	ORS INC	05/20/13	05/24/13	909 977	907 837	2 140
22,500  100 CALL ASSIGNED TSLA \$91 05/24/13 05/24/13 05/20/13 34,470 0 34,470 125 CALL ASSIGNED TSLA \$110 07/20/13 07/19/13 06/21/13 42,622 0 42,622 225  125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 95,030 540 94,490	•					-		
100 CALL ASSIGNED TSLA \$91 05/24/13 05/24/13 05/20/13 34,470 0 34,470 125 CALL ASSIGNED TSLA \$110 07/20/13 07/19/13 06/21/13 42,622 0 42,622 225 77,092 0 77,092  125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 95,030 540 94,490		LOLA WOT		00,00,10	0.710/10			51,885
125 CALL ASSIGNED TSLA \$110     07/20/13     07/19/13 06/21/13     42,622     0     42,622       77,092     0     77,092       125 CALL     TSLA \$110     06/22/13     06/21/13 05/30/13     95,030     540     94,490       95,030     540     94,490       95,030     540     94,490	<del></del>					<del></del>		<u>,</u>
225  125 CALL  TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 95,030 540 94,490					-	•	0	34,470
125 CALL TSLA \$110 06/22/13 06/21/13 05/30/13 95,030 540 94,490 95,030 540 94,490		NED TSLA \$110	07/20/13	07/19/13	06/21/13			42,622
95,030 540 94,490	225					77,092	0	77,092
95,030 540 94,490	125 0411	TCI A 6440	06/22/42	06/04/40	05/20/42	05.020	E40	04.400
	120 CALL	13LA \$110	00/22/13	00/2 1/13	03/30/13			
OUR TOTAL CHARLES COLUMN P ( D.C.)						95,030	540	34,430
SÜB. TOTAL - CHARLES SCHWAB ( P 2 ) 10,511,590 8,910,501 1,601,089	SUB TOTAL - CHARLES	SCHWAR (P2)				10,511,590	8,910,501	1,601,089

SUB. TOTAL - CHARLES SCHWAB ( P 3 )

2013 FEIN#20-1063909

QUANTITY		DESCRIPTION	V	DATE	DATE	SALES	COST	GAIN OR
, ,				ACQUIR	SOLD	PRICE	BASIS	(LOSS)
50	CALL EXPIRED	AAPL \$535	01/19/13	01/19/13	01/11/13	15,587	0	15,587
50	CALL EXPIRED	AAPL \$545	01/19/13	01/19/13	12/31/12	40,979	0	40,979
95	CALL EXPIRED	AAPL \$600	01/19/13	01/19/13	03/20/12	656,072	0	656,072
5	CALL EXPIRED	AAPL \$600	01/19/13	01/19/13	04/24/12	24,541	0	24,541
10	CALL EXPIRED	AAPL \$600	01/19/13	01/19/13	12/03/12	21,190	0	21,190
100	CALL EXPIRED	AAPL \$615	01/19/13	01/19/13	03/20/12	621,956	0	621,956
100	CALL EXPIRED	AAPL \$615	01/19/13	01/19/13	04/27/12	627,412	0	627,412
100	CALL EXPIRED	AAPL \$615	01/19/13	01/19/13	02/22/12	428,292	0	428,292
80	CALL EXPIRED	AAPL \$615	01/19/13	01/19/13	10/31/12	184,848	0	184,848
	CALL EXPIRED	AAPL \$615	01/19/13	01/19/13	12/20/12	42,764	0	42,764
	CALL EXPIRED	AAPL \$645	01/19/13		04/24/12	•	0	346,759
	CALL EXPIRED	AAPL \$670	01/19/13		08/22/12	· ·	0	497,553
	CALL EXPIRED	AAPL \$670	01/19/13		09/26/12	·	0	62,198
	CALL EXPIRED	AAPL \$670	01/19/13		10/22/12		0	76,631
	CALL EXPIRED	AAPL \$525	01/25/13		01/07/13	·	0	28,343
	CALL EXPIRED	AAPL \$500	01/25/13		01/22/13		Ō	234,740
	CALL EXPIRED	AAPL \$520	02/16/13		02/11/13	·	Ö	3,988
	CALL EXPIRED	AAPL \$525	02/16/13		02/11/13	•	Ö	3,367
	CALL EXPIRED	AAPL \$530	02/16/13		02/11/13		Ö	2,339
	CALL EXPIRED	AAPL \$535	02/16/13		02/11/13	•	0	1,868
	CALL EXPIRED	AAPL \$355	02/10/13		02/11/13	•		542
					02/22/13		0	113,583
	CALL EXPIRED	AAPL \$460	03/16/13			·	0	6,997
	CALL EXPIRED	AAPL \$425	04/20/13		04/09/13	·		
	CALL EXPIRED	AAPL \$430	04/20/13		04/04/13	•	0	20,918
100	CALL EXPIRED	AAPL \$585	12/13/13	12/13/13	12/09/13		0	8,576
						4,072,043		4,072,043
50	CALL	AAPL \$535	01/11/13	01/11/13	12/31/12	40,229	220	40,009
00	07.22	,		• ,, , , , ,	,	40,229		40,009
000	CU	NEW ODIES	CAL ED	07/04/40	04/45/40	14 604	44 205	366
900		NEW ORIENT			01/15/13	•		
209,100		NEW ORIENT			01/18/13		2,642,804	75,427
10,000	SH	NEW ORIENT	AL ED	08/08/12	01/18/13			17,677
220,000	-					2,869,910	2,776,440	93,470
9	CALL ASSIGNED	EDU \$13	01/19/13	01/15/13	07/24/12	2,185	0	2,185
2,091	<b>CALL ASSIGNED</b>	EDU \$13	01/19/13	01/15/13	07/24/12	493,128	0	493,128
100	CALL ASSIGNED	EDU \$14	01/19/13	01/15/13	07/24/12	20,968	0	20,968
2,200	-					516,281		516,281

7,498,463

2,776,660 4,721,803

2013 FEIN#20-1063909

QUANTITY		DESCRIPTION	DATE	DATE	SALES	COST	GAIN OR
<u> </u>		1 .	ACQUIR	SOLD	PRICE	BASIS	(LOSS)
2,000	SH	BROOKFIELD RESIDEN	TIA 04/10/12	04/04/13	44,913	20,590	24,323
4,746	SH	BROOKFIELD RESIDEN	ITIA 04/10/12	04/04/13	107,159	48,859	58,300
285	SH	BROOKFIELD RESIDEN	ITIA 05/07/12	04/04/13	6,435	3,306	3,129
100	SH	BROOKFIELD RESIDEN	ITIA 05/07/12	04/04/13	2,258	1,160	1,098
515	SH	BROOKFIELD RESIDEN	ITIA 05/07/12	04/04/13	11,628	5,973	5,655
187	SH	BROOKFIELD RESIDEN	ITIA 05/21/12	04/04/13	4,222	2,009	2,213
5,036	SH	BROOKFIELD RESIDEN	ITIA 12/03/12	04/04/13	113,708	81,568	32,140
100	SH	BROOKFIELD RESIDEN	ITIA 12/03/12	04/05/13	2,251	1,620	631
7,031	SH	BROOKFIELD RESIDEN	ITIA 12/03/12	04/08/13	158,749	113,882	44,867
					451,323	278,967	172,356

SUB. TOTAL - CHARLES SCHWAB ( P.4 )	451,323	278,967	172,356
SUB TOTAL SHORT TERM CAPITAL GAIN-CHARLES SCHWAB	18,461,376	11,966,128	6,495,248
TOTAL - CHARLES SCHWAB	21,050,936	15,500,259	5,550,677