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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation THE WEST BANCORPORATION FOUNDATION, INC		A Employer identification number 20-0523259
Number and street (or P O box number if mail is not delivered to street address) 1601 22ND STREET	Room/suite	B Telephone number (see instructions) 515-222-2300
City or town, state or province, country, and ZIP or foreign postal code WEST DES MOINES, IA, 50266		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,493,963	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	

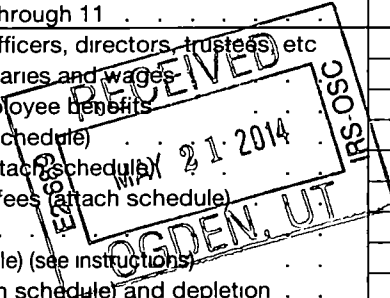
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	575,603			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	567	567	0	
	4 Dividends and interest from securities	32,079	32,079	0	
	5a Gross rents	0	0	0	
	b Net rental income or (loss)	0			
	6a Net gain or (loss) from sale of assets not on line 10	22,871			
	b Gross sales price for all assets on line 6a	172,612			
	7 Capital gain net income (from Part IV, line 2)		22,871		
	8 Net short-term capital gain			0	
	9 Income modifications			0	
	10a Gross sales less returns and allowances	0			
b Less: Cost of goods sold	0				
c Gross profit or (loss) (attach schedule)	0		0		
11 Other income (attach schedule)	0	0	0		
12 Total. Add lines 1 through 11	631,120	55,517	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0	0	0	0
	14 Other employee salaries and wages	0	0	0	0
	15 Pension plans, employee benefits	0	0	0	0
	16a Legal fees (attach schedule)	0	0	0	0
	b Accounting fees (attach schedule)	0	0	0	0
	c Other professional fees (attach schedule)	10,028	10,028	0	0
	17 Interest	0	0	0	0
	18 Taxes (attach schedule) (see instructions)	200	0	0	0
	19 Depreciation (attach schedule) and depletion	0	0	0	0
	20 Occupancy	0	0	0	0
	21 Travel, conferences, and meetings	0	0	0	0
	22 Printing and publications	0	0	0	0
	23 Other expenses (attach schedule)	0	0	0	0
	24 Total operating and administrative expenses. Add lines 13 through 23	10,228	10,028	0	0
	25 Contributions, gifts, grants paid	290,740			290,740
	26 Total expenses and disbursements. Add lines 24 and 25	300,968	10,028	0	290,740
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	330,152				
b Net investment income (if negative, enter -0-)		45,489			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form **990-PF** (2013)

SCANNED MAY 29 2014



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	108	108	108
	2 Savings and temporary cash investments	100,650	14,496	14,496
	3 Accounts receivable ▶ 0			
	Less: allowance for doubtful accounts ▶ 0	0	0	0
	4 Pledges receivable ▶ 0			
	Less: allowance for doubtful accounts ▶ 0	0	0	0
	5 Grants receivable	0	0	0
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	0	0	0
	7 Other notes and loans receivable (attach schedule) ▶ 0			
	Less: allowance for doubtful accounts ▶ 0	0	0	0
	8 Inventories for sale or use	0	0	0
	9 Prepaid expenses and deferred charges	0	0	0
	10a Investments—U.S. and state government obligations (attach schedule)	382,480	653,138	640,892
	b Investments—corporate stock (attach schedule)	680,573	826,221	973,555
	c Investments—corporate bonds (attach schedule)	0	0	0
	11 Investments—land, buildings, and equipment, basis ▶ 0			
Liabilities	Less: accumulated depreciation (attach schedule) ▶ 0	0	0	0
	12 Investments—mortgage loans	0	0	0
	13 Investments—other (attach schedule)	0	0	0
	14 Land, buildings, and equipment, basis ▶ 0			
	Less: accumulated depreciation (attach schedule) ▶ 0	0	0	0
	15 Other assets (describe ▶)	0	0	0
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,163,811	1,493,963	1,629,051
	17 Accounts payable and accrued expenses	0	0	
	18 Grants payable	0	0	
	19 Deferred revenue	0	0	
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21 Mortgages and other notes payable (attach schedule)	0	0	
	22 Other liabilities (describe ▶)	0	0	
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	1,163,811	1,493,963	
	25 Temporarily restricted	0	0	
	26 Permanently restricted	0	0	
	Foundations that do not follow SFAS 117, check here ▶ ☐			
	and complete lines 27 through 31.			
Net Assets or Fund Balances	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	0	0	
	30 Total net assets or fund balances (see instructions)	1,163,811	1,493,963	
	31 Total liabilities and net assets/fund balances (see instructions)	1,163,811	1,493,963	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,163,811
2 Enter amount from Part I, line 27a	2	330,152
3 Other increases not included in line 2 (itemize) ▶	3	0
4 Add lines 1, 2, and 3	4	1,493,963
5 Decreases not included in line 2 (itemize) ▶	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,493,963

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a N/A				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				2 22,871
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }				3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	308,190	1,178,817	26.14%
2011	220,243	1,051,102	20.95%
2010	232,344	965,291	24.07%
2009	222,418	1,084,115	20.52%
2008	293,648	1,072,562	27.38%
2 Total of line 1, column (d)			2 119.06
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 23.81
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 1,580,197
5 Multiply line 4 by line 3			5 376,245
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 455
7 Add lines 5 and 6			7 376,700
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 290,740

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1		910
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		0
3	Add lines 1 and 2	3		910
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		910
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a		806
b	Exempt foreign organizations—tax withheld at source	6b		0
c	Tax paid with application for extension of time to file (Form 8868)	6c		0
d	Backup withholding erroneously withheld	6d		0
7	Total credits and payments. Add lines 6a through 6d	7		806
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		104
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax ▶ Refunded ▶	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ <u>N/A</u> (2) On foundation managers. ▶ \$ <u>N/A</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ <u>N/A</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	✓	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	✓	
14	The books are in care of ► DOUGLAS R. GULLING Telephone no. ► 515-222-2309 Located at ► 1601 22ND STREET, WEST DES MOINES, IOWA ZIP+4 ► 50266-1408			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here. ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No ✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	✓
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b**

N/A

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**6b**

✓

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**7b**

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHMENT				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 SELECTION OF RECIPIENTS OF CHARITABLE GRANTS**2****3****4**

0

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE**2**

All other program-related investments See instructions

3

0

Total. Add lines 1 through 3 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,205,672
b	Average of monthly cash balances	1b	398,589
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	1,604,261
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,604,261
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	24,064
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,580,197
6	Minimum investment return. Enter 5% of line 5	6	79,010

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	79,010
2a	Tax on investment income for 2013 from Part VI, line 5	2a	910
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	0
c	Add lines 2a and 2b	2c	910
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	78,100
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	78,100
6	Deduction from distributable amount (see instructions)	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	78,100

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	290,740
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	290,740
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	910
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	289,830

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				78,100
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	242,086			
b From 2009	168,642			
c From 2010	185,111			
d From 2011	170,709			
e From 2012	252,637			
f Total of lines 3a through e	1,019,185			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 290,740				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2013 distributable amount				78,100
e Remaining amount distributed out of corpus	212,640			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,231,825			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	242,086			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	989,739			
10 Analysis of line 9:				
a Excess from 2009	168,642			
b Excess from 2010	185,111			
c Excess from 2011	170,709			
d Excess from 2012	252,637			
e Excess from 2013	212,640			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶	N/A				
b	Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

JILL HANSEN, WEST BANK, 1601 22ND STREET, WEST DES MOINES, IA, 50266 515-222-2300

b The form in which applications should be submitted and information and materials they should include:

WRITTEN REQUEST INCLUDING REASON FOR THE REQUEST AND TYPE OF ORGANIZATION SUBMITTING REQUEST

c Any submission deadlines:

JANUARY 1, APRIL 1, JULY 1, AND OCTOBER 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

CONTRIBUTIONS WILL FOCUS ON HUMAN SERVICES, EDUCATION AND ARTS AND CULTURE IN DES MOINES & IOWA CITY, IOWA AREAS

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED SCHEDULE				290,740
Total			3a	290,740
b <i>Approved for future payment</i> SEE ATTACHED SCHEDULE				100,500
Total			3b	100,500

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	✓
	(2) Other assets	1a(2)	✓
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	✓
	(3) Rental of facilities, equipment, or other assets	1b(3)	✓
	(4) Reimbursement arrangements	1b(4)	✓
	(5) Loans or loan guarantees	1b(5)	✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)	✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	✓
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

WEST BANCORPORATION FOUNDATION, INC

Employer identification number

20-0523259

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization WEST BANCORPORATION FOUNDATION, INC	Employer identification number 20-0523259
--	---

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	West Bank 1601 22nd St West Des Moines, IA 50266	\$ 280,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	CoBank 5500 S Quebec St Greenwood Village, CO 80111	\$ 200,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	John & Karen Willette 2945 Pleasant Valley Rd Brighton, MI 48114	\$ 10,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	David & Joan Miller 1271 Parview Sanibel, FL 33957	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
5	Michael Coppola 4521 Fleur Dr., Ste C Des Moines, IA 50321	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
6	Greenleaf Power 2600 Capitol Ave, Suite 430 Sacramento, CA 95816	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization WEST BANCORPORATION FOUNDATION, INC	Employer identification number 20-0523259
--	---

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$-----	-----

Name of organization WEST BANCORPORATION FOUNDATION, INC	Employer identification number 20-0523259
--	---

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	

The West Bancorporation Foundation, Inc.
EIN # 20-0523259
Form 990-PF
2013

Part I, Line 1
Contributions

West Bank	280,000
CoBank	200,000
Michael Coppola	5,000
David & Joan Miller	5,000
Greenleaf Power	5,000
John & Karen Willette	10,000
Other donors (less than \$5,000 each)	70,603
	\$ 575,603

Part I, Line 16c
Other professional fees

West Bank Trust Services Fee	887
Miles Capital - Portfolio Management Fee	9,141
	\$ 10,028

The West Bancorporation Foundation, Inc.

EIN # 20-0523259

2013

Form 990-PF

Part II, Line 10a

Investments--U.S. and state government obligations

Name	Book Value	Fair Market Value
FHLMC 5 50% Due 08/01/22	\$ 1,506	\$ 1,612
ISHARES Barclays Tips Bond Fund	\$ 83,734	\$ 79,897
Vanguard Total Bond Market ETF	\$ 410,582	\$ 399,049
SPDR Barclays High Yield Bond ETF	\$ 157,316	\$ 160,334
	<u>\$ 653,138</u>	<u>\$ 640,892</u>

West Bancorporation Foundation, Inc.

EIN # 20-0523259

2013

Form 990-PF

Part II, Line 10b

Investments--corporate stock

Name	No. of Shares	Book Value	Fair Market Value
IPATH Dow Jones UBS Commodity Index Total Return	2,156	\$ 85,819	\$ 79,233
ISHARES Core S&P 500 ETF	2,189	\$ 331,136	\$ 406,388
ISHARES Russell 2000 Index Fd	702	\$ 54,421	\$ 80,983
Vanguard FTSE Developed Markets ETF	7,874	\$ 277,099	\$ 328,188
Vanguard REIT ETF	1,220	\$ 77,746	\$ 78,763
		<u>\$ 826,221</u>	<u>\$ 973,555</u>

The West Bancorporation Foundation, Inc.

EIN # 20-0523259

2013

Form 990-PF

Part IV

Capital Gains and Losses

(a) Property Sold	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(g) Cost	(h) Gain or (loss)
FHLMC 5 50% Due 8/01/22	P	10/11/2007	1/15/2013	80	80	0
FHLMC Pool # 3059 5 00% Due 04/15/31	P	5/7/2008	1/16/2013	914	922	(8)
FHLMC 5 50% Due 8/01/22	P	10/11/2007	2/15/2013	74	74	0
FHLMC 5 50% Due 8/01/22	P	10/11/2007	3/15/2013	81	80	0
FHLMC 5 50% Due 8/01/22	P	10/11/2007	4/15/2013	83	83	0
ISHARES Core S&P 500 ETF	P	4/10/2012	4/18/2013	9,321	8,296	1,025
ISHARES Core S&P 500 ETF	P	4/10/2012	4/23/2013	4,597	4,010	588
FHLMC 5 50% Due 8/01/22	P	10/11/2007	5/15/2013	93	93	0
ISHARES Core S&P 500 ETF	P	4/10/2012	5/29/2013	8,444	7,051	1,393
FHLMC 5 50% Due 8/01/22	P	10/11/2007	6/17/2013	68	68	0
IPATH Dow Jones UBS Commodity Index Total Return	P	4/5/2012	6/25/2013	2,888	3,290	(403)
SPDR Barclays High Yield Bond ETF	P	4/4/2012	6/25/2013	3,438	3,450	(11)
ISHARES Core S&P 500 ETF	P	4/10/2012	6/25/2013	3,691	3,180	511
ISHARES Core S&P 500 ETF	P	4/11/2012	6/25/2013	15,404	13,216	2,188
ISHARES RUSSELL 2000 Index Fd	P	2/18/2009	6/25/2013	9,085	4,073	5,012
Vanguard Total Bond Market ETF	P	4/4/2012	6/25/2013	13,060	13,518	(458)
FHLMC 5 50% Due 8/01/22	P	10/11/2007	7/15/2013	57	57	0
FHLMC 5 50% Due 8/01/22	P	10/11/2007	8/15/2013	68	68	0
ISHARES Core S&P 500 ETF	P	4/11/2012	9/6/2013	9,865	8,123	1,743
SPDR Barclays High Yield Bond ETF	P	4/4/2012	9/6/2013	3,810	3,802	7
ISHARES Barclays Tips Bond Fund	P	4/4/2012	9/6/2013	1,746	1,878	(132)
Vanguard Total Bond Market ETF	P	4/4/2012	9/6/2013	11,199	11,693	(495)
Vanguard FTSE Developed Markets ETF	P	3/22/2011	9/6/2013	15,110	14,610	500
ISHARES RUSSELL 2000 Index Fd	P	2/18/2009	9/6/2013	3,483	1,458	2,025
IPATH Dow Jones UBS Commodity Index Total Return	P	4/5/2012	9/6/2013	4,870	5,357	(486)
FHLMC 5 50% Due 8/01/22	P	10/11/2007	9/16/2013	47	47	0
FHLMC 5 50% Due 8/01/22	P	10/11/2007	10/15/2013	45	45	0
FHLMC 5 50% Due 8/01/22	P	10/11/2007	11/15/2013	74	74	0
Vanguard FTSE Developed Markets ETF	P	3/22/2011	11/19/2013	17,550	15,787	1,763
Vanguard Total Bond Market ETF	P	4/4/2012	11/19/2013	3,235	3,317	(83)
ISHARES Barclays Tips Bond Fund	P	4/4/2012	11/19/2013	1,231	1,291	(60)
ISHARES Core S&P 500 ETF	P	4/11/2012	11/19/2013	22,491	17,209	5,282
SPDR Barclays High Yield Bond ETF	P	4/4/2012	11/19/2013	2,059	1,999	60
ISHARES RUSSELL 2000 Index Fd	P	2/18/2009	11/19/2013	3,606	1,415	2,191
FHLMC 5 50% Due 8/01/22	P	10/11/2007	12/16/2013	29	29	0
Vanguard Total Bond ETF Cap Gain Distribution	P			218		218
Vanguard Total Bond ETF Cap Gain Distribution	P			75		75
Vanguard Total Bond ETF Cap Gain Distribution	P			425		425
				172,612	149,741	22,871

The West Bancorporation Foundation, Inc.

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2013

Form 990-PF

Part VII, Line 10

Substantial Contributors Added During the Year

CoBank

5500 S Quebec Street

Greenwood Village, CO 80111

The West Bancorporation Foundation, Inc.
EIN # 20-0523259
2013

Form 990-PF
Part VIII, Item 1.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
David D Nelson West Bancorporation, Inc 1601 22nd Street, West Des Moines, IA	Director, 5	\$0	\$0	\$0
Jill T Hansen West Bank 1601 22nd Street, West Des Moines, IA	Executive Director, 4	\$0	\$0	\$0
Douglas R Gulling West Bank 1601 22nd Street, West Des Moines, IA	Director and Treasurer, 5	\$0	\$0	\$0
Brad L Winterbottom West Bank 1601 22nd Street, West Des Moines, IA	Director, 5	\$0	\$0	\$0
Sharen K Surber West Bank 1601 22nd Street, West Des Moines, IA	Director, 5	\$0	\$0	\$0
Alice A Jensen West Bank 1601 22nd Street, West Des Moines, IA	Secretary, 5	\$0	\$0	\$0
Rodney S Weikert West Bank 1601 22nd Street, West Des Moines, IA	Director, 5	\$0	\$0	\$0
Peggy J Fleming West Bank 1601 22nd Street, West Des Moines, IA	Director, 5	\$0	\$0	\$0
Steve R Hall West Bank 2742 Hubbell, Des Moines, IA	Director, 5	\$0	\$0	\$0
Ashley L Wear West Bank 229 S Dubuque Street, Iowa City, IA	Director, 5	\$0	\$0	\$0
Kaye R Lozier 3780 River Oaks Dr , Des Moines, IA	Director, 5	\$0	\$0	\$0
Harlee N Olafson West Bank 1601 22nd Street, West Des Moines, IA	Director, 5	\$0	\$0	\$0

The West Bancorporation Foundation, Inc
 EIN # 20-0523259
 2013

Form 990-PF
 Part XV, 3a
 Grants and Contributions Paid During the Year

(a) Name and address	If individual, show relationship to foundation	Foundation status of recipient	Purpose of grant	Amount
The ALS Association, Iowa Chapter 6165 NW 86th Street Johnston, IA 50131	N/A	Public	Sponsorship of Walk to Defeat ALS	2,500
Alzheimer's Association - Greater Iowa Chapter 1730 28th Street West Des Moines, IA 50266	N/A	Public	Sponsorship of Memory Walk	1,000
Amanda Cares, Inc 1000 73rd Street, Suite 12 Des Moines, IA 50311	N/A	Public	Funding for grief support program	2,500
American Heart Association 1111 9th Street #280 Des Moines, IA 50314	N/A	Public	Walk sponsorship	1,000
American Heart Association 1111 9th Street #280 Des Moines IA 50314	N/A	Public	Funding for the Heart Ball	5,000
American Red Cross, Central Iowa Chapter 2116 Grand Avenue Des Moines, IA 50312	N/A	Public	Heroes of the Heartland Event	15,000
Animal Rescue League of Iowa, Inc 5452 N E 22nd Street Des Moines, IA 50313	N/A	Public	Funding for the CatSnip and DogSnip spay/neuter program	2,500
Big Brothers Big Sisters of Central Iowa 9051 Swanson Boulevard Clive, IA 50325	N/A	Public	Des Moines area school mentoring program	2,500
Blank Children's Hospital 1200 Pleasant Street Des Moines, IA 50309	N/A	Public	Festival of Trees sponsor	2,500
Boys & Girls Clubs of Central Iowa 1350 East Washington Avenue Des Moines, IA 50310	N/A	Public	Funding for general program expenses	1,000
Bridges of Iowa 1211 Vine Street, Suite 1110 West Des Moines, IA 50265	N/A	Public	Support for financial literacy and employment readiness program for women inmates	2 000
Broadlawn Medical Center Foundation 1801 Hickman Rd, PO Box 5008 Des Moines, IA 50305	N/A	Public	Support for Mental Health Community Awareness	2,500
Camp Hertko Hollow, Inc 101 Locust Street Des Moines, IA 50309	N/A	Public	Scholarship funds for summer camp for kids with diabetes	1,000
Catholic Council for Social Concern 601 Grand Avenue Des Moines IA 50309	N/A	Public	Funds for St Joseph Emergency Family Shelter	2,000
Central Iowa Shelter & Services 205 15th Street Des Moines IA 50309	N/A	Public	Provide emergency shelter meals, and counseling services for the homeless	2,500
Children and Families of Iowa 1111 University Avenue Des Moines, IA 50314	N/A	Public	Support for domestic violence services	2 500
Children and Families of Iowa 1111 University Avenue Des Moines, IA 50314	N/A	Public	Capital campaign to maintain current facility	5,000
The Chrysalis Foundation 300 E Locust Street, Suite 150 Des Moines, IA 50309	N/A	Public	Sponsor of "Chrysalis Conversations"	5,000
City of West Des Moines Police Department K9 Unit 250 Mills Civic Parkway West Des Moines, IA 50266	N/A	Local Government Agency	Funds to assist in training of K9	2,500
Community Mental Health Center for Mid-Eastern Iowa 507 E College Street Iowa City, IA 52240	N/A	Public	Funding for mental health services	2,000

Des Moines Art Center 4700 Grand Avenue Des Moines, IA 50312	N/A	Public	Support public school tours	2,500
The Des Moines Choral Society 1707 38th Street Des Moines, IA 50310	N/A	Public	Funding for "Society Celebration" event	1,000
Des Moines Metro Opera 106 W Boston Avenue Des Moines, IA 50125	N/A	Public	Funds for 2013 Summer Festival of Des Moines and educational and outreach programs	1,000
Des Moines Pastoral Counseling Center Foundation 2929 Westown Pkwy, Suite 110, West Des Moines, IA 50266	N/A	Public	Funds for counseling for uninsured, undersinsured, and low income adults and children	3,000
Des Moines Performing Arts 221 Walnut Street Des Moines, IA 50309	N/A	Public	Funding for Applause Education Series	2,500
Des Moines Public Library Foundation 400 Locust Street, Suite 350 Des Moines, IA 50309	N/A	Public	Support for Iowa Authors program	1,500
Des Moines Public School Library Support Group 1801 16th Street Des Moines, IA 50314	N/A	Public	Funding for Reading Rainbow project	1,000
Des Moines Symphony 221 Walnut Street, Suite 4 Des Moines, IA 50309	N/A	Public	Sponsorship of symphony production	10,000
Des Moines University 3200 Grand Avenue Des Moines, IA 50312	N/A	Public	Funding for Glanton Scholarship	1,200
DMACC Foundation Scholarship Fund 2006 S Ankeny Blvd Ankeny, IA 50023	N/A	Public	Scholarship fund	5,000
Dowling Catholic High School 1400 Buffalo Road West Des Moines, IA 50265	N/A	Public	Funding for "Pathways for Success" program	6,000
Downtown Events Group 700 Locust Street, Suite 100 Des Moines, IA 50309	N/A	Public	Funding for Wonder of Words Festival	2,500
Drake University 2507 University Avenue Des Moines, IA 50311	N/A	Public	Funding for Business Roundtable	5,000
Drake University 2507 University Avenue Des Moines, IA 50311	N/A	Public	Capital campaign for basketball practice facility	2,000
Easter Seal Society of Iowa, Inc 401 NE 66th Avenue Des Moines, IA 50313	N/A	Public	Camp funding for kids with disabilities	1,000
The Eddie Davis Community Center, Inc 1312 Maple Street West Des Moines, IA 50265	N/A	Public	Support community center programs - food pantry, clothing closet educational enrichment, etc	5,000
Everybody Wins! Iowa P O Box 691 Des Moines, IA 50303	N/A	Public	Funding for program costs	1,000
Filipino-American Association of Iowa PO Box 434 Granger, IA 50109	N/A	Public	Relief funding for Typhoon Haiyan	640
First Book - Johnson County 256 Amhurst Street Iowa City, IA 52245	N/A	Public	Funds to purchase books for low income families	1,500
Food Bank of Iowa 2220 E 17th Street Des Moines IA 50316	N/A	Public	Funding of general operating expenses	2 000
Fort Des Moines Museum 75 E Army Post Rd Des Moines, IA 50315	N/A	Public	Funding for operating expenses and educational programming	1 000
Free Lunch Program 1820 Dubuque Road Iowa City, IA 52245	N/A	Public	Purchase of appliances for new location	3,900
Gigi's Playhouse Des Moines 10430 New York Ave Urbandale, IA 50322	N/A	Public	Assist in the education of individuals with Down Syndrome	1,000
Girl Scouts of Greater Iowa 10715 Hickman Road Des Moines, IA 50322	N/A	Public	Funding for Underserved Girls program	1,000

Goodwill Industries of Central Iowa 4900 NE 22nd St Des Moines, IA 50313	N/A	Public	Funding for Work Experience program	2,500
Greater Des Moines Botanical Garden 909 Robert D Ray Drive Des Moines, IA 50316	N/A	Public	Capital campaign	20,000
Greater Des Moines Habitat for Humanity 2341 2nd Avenue Des Moines, IA 50303	N/A	Public	Funding for Self-Help Home Ownership program	1,000
Hawkeye Area Council, Boy Scouts of America 660 32nd Avenue SW Cedar Rapids, IA 52404	N/A	Public	Funding to engage new at-risk youth into the Scouting program	1,500
Hawthorn Hills New Directions Shelter 3001 Grand Avenue Des Moines, IA 50312	N/A	Public	Funds for temporary, emergency shelter and services for homeless mothers and children	2,500
The Heart Connection Children's Cancer Programs 1221 Center Street, Suite 12 Des Moines, IA 50309	N/A	Public	Sponsorship of holiday cards	4,000
Hope Ministries P O Box 862 Des Moines, IA 50304	N/A	Public	Funding for "Meals for Hope" program	2,500
Hospice of Central Iowa 401 Railroad Place West Des Moines, IA 50265	N/A	Public	Funding for care program	1 000
Iowa City Community School District Foundation 509 S Dubuque Street Iowa City, IA 52240	N/A	Public	Funding for technology	1,000
Iowa College Foundation 505 5th Avenue, Suite 1034 Des Moines, IA 50309	N/A	Public	Funding for Minority Scholarship Program	2,000
Iowa Dollars for Scholars (Scholarship America) P O Box 1303 Johnston, IA 50131	N/A	Public	Scholarship program for area high school seniors	5,500
Iowa International Center (Iowa Council for International Understanding) 319 7th St #200 Des Moines, IA 50309	N/A	Public	Assist immigrants and refugees in central Iowa with interpretation and translation services, including ESL classes, life skills, and cultural familiarization instructions	5,000
ISED Ventures 1111 9th St # 380 Des Moines, IA 50314	N/A	Public	Funding for financial education to assist low to moderate income individuals	1,000
Juvenile Diabetes Research Foundation 5444 NW 96th Street, Suite A Johnston, IA 50131	N/A	Public	Funding for ongoing events and programming	2,000
Kiwanis Club of Des Moines Iowa Foundation 505 5th Avenue, Suite 302 Des Moines, IA 50309	N/A	Public	2013 Kiwanis Basketball Jamboree	2,500
Leukemia & Lymphoma Society 8033 University Blvd, Suite A Des Moines, IA 50325	N/A	Public	Sponsor Light the Night walk	2,500
Living History Farms 2600 111th Street Urbandale, IA 50322	N/A	Public	Educational and outreach programs	1,000
Lutheran Services in Iowa, Inc 3125 Cottage Grove Avenue Des Moines IA 50311	N/A	Public	Funding to assist elderly refugees in passing the US Citizenship exam	3,500
Mentor Iowa 3900 Ingersoll Avenue, Suite 102 Des Moines, IA 50312	N/A	Public	Assist with purchase of holiday gift cards for children under jurisdiction of the Juvenile Court	1,000
Music Under the Stars, Inc 5817 Walnut Hill Ave Des Moines, IA 50312	N/A	Public	Funding for 2013 program and instrument drive	1,000
National Ovarian Cancer Coalition - Iowa Chapter PO Box 214 Ankeny, IA 50021	N/A	Public	Walk sponsorship	1 000
Polk County Housing Trust 409 SW 8th Street Des Moines, IA 50309	N/A	Public	Funding for operating expenses	10,000
Prevent Blindness Iowa (Iowa Society to Prevent Blindness) 1111 9th Street, Suite 250 Des Moines, IA 50314	N/A	Public	Light the Night for Sight Walk	2,500
Pride of Iowa Barbershop Chorus 3801 Greenbranch Drive	N/A	Public	Funding for workshop	500

West Des Moines, IA 50265

Rebuilding Together Greater Des Moines 1111 9th Street, Suite 265 Des Moines, IA 50314	N/A	Public	Funding for Rebuilding Together project	2,500
Ronald McDonald House Charites of Central Iowa 1441 Pleasant Street Des Moines, IA 50314	N/A	Public	Funding to upgrade computers	1,000
Ronald McDonald House of Iowa City Iowa, Inc 730 Hawkuns Drive Iowa City, IA 52246	N/A	Public	Funds to hold a walk	1,000
The Salvation Army of Johnson County 1116 S Gilbert Court Iowa City, IA 52240	N/A	Public	Funds for bus pass program	1,000
Shelter House Community Shelter and Transition Svcs 331 N Gilbert St Iowa City, IA 52244	N/A	Public	Shelter and support for the homeless	2,000
Stage West Theater Company PO Box 12127 Des Moines, IA 50312	N/A	Public	Funding to support production of a show	2,000
Summer of the Arts, Inc P O Box 3128 Iowa City, IA 52244	N/A	Public	Sponsorship of the Iowa City Summer Arts Festival	3,000
United Way of Central Iowa 1111 9th Street, Suite 100 Des Moines, IA 50314	N/A	Public	Annual fundraising	41,000
United Way of Johnson County Iowa, Inc 1150 5th Street, Suite 290 Coralville, IA 52241	N/A	Public	Annual fundraising	4,000
Wesley Life Community Services, Inc 944 18th Street Des Moines, IA 50309	N/A	Public	Funding for Volunteer Services Money Management program	2,500
West Des Moines Business Incubator 2829 Westown Parkway Suite 220 West Des Moines, IA 50266	N/A	Public	Sponsorship and funding of general operating expenses	5,000
West Des Moines Community Schools Foundation 3550 Mills Civic Pkwy West Des Moines, IA 50265	N/A	Public	Booster Pak Program - provides weekend food packs to low income children in the West Des Moines community schools	3 500
Winefest 604 Locust Street, Suite 520 Des Moines, IA 50309	N/A	Public	Event sponsorship (proceeds go to Metro Arts)	5,000
YMCA of Greater Des Moines 101 Locust Street Des Moines, IA 50309	N/A	Public	Downtown building campaign	10,000
Young Women's Resource Center 705 East 2nd Street Des Moines, IA 50309	N/A	Public	Funds for prevention services	2,500
Youth Homes of Mid-America 7225 NW 58th Street P O Box 39 Johnston, IA 50131	N/A	Public	Funding to assist in providing programs to at-risk youth	3,000

Total

\$290,740

The West Bancorporation Foundation, Inc.
EIN # 20-0523259
2013

Form 990-PF
Part XV, 3b
Grants and Contributions Approved for Future Payment

(a) Name and address	If individual, show relationship to foundation	Foundation status of recipient	Purpose of grant	Amount
Drake University 2507 University Avenue Des Moines, IA 50311	N/A	Public	Business Roundtable	\$ 7,500
Drake University 2507 University Avenue Des Moines, IA 50311	N/A	Public	Capital campaign for basketball practice facility	8,000
United Way of Central Iowa 1111 9th Street, Suite 100 Des Moines, IA 50314	N/A	Public	Annual fundraising	41,000
United Way of Johnson County Iowa, Inc 1150 5th Street, Suite 290 Coralville, IA 52241	N/A	Public	Annual fundraising	4,000
YMCA of Greater Des Moines 101 Locust Street Des Moines, IA 50309	N/A	Public	Downtown building campaign	40,000
Total				<u>\$ 100,500</u>