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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation THREE LITTLE PIGS FOUNDATION		A Employer identification number 20-0512645
C/O WILLIAM E. FORD		B Telephone number (see instructions) (203) 618-4623
Number and street (or P O box number if mail is not delivered to street address) 1212 FIFTH AVENUE, APT. # 8A		
Room/suite		
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10029		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/>	D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 7,636,949.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	1,090,600.			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	345,190.	344,706.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	383,584.			
b	Gross sales price for all assets on line 6a	3,849,956.			
7	Capital gain net income (from Part IV, line 2)		383,584.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH. 1	325,900.	93.		
12	Total. Add lines 1 through 11	2,145,274.	728,383.	0	
13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest . ATCH. 2	2,343.	2,343.		
18	Taxes (attach schedule) (see instructions) ATCH. 3	17,100.			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH. 4	8,223.	8,223.		
24	Total operating and administrative expenses. Add lines 13 through 23	27,666.	10,566.		
25	Contributions, gifts, grants paid	3,416,875.			3,416,875.
26	Total expenses and disbursements. Add lines 24 and 25	3,444,541.	10,566.	0	3,416,875.
27	Subtract line 26 from line 12	-1,299,267.			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		717,817.		
c	Adjusted net income (if negative, enter -0-)			0	

JSA For Paperwork Reduction Act Notice, see instructions.
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Form 990-PF (2013)

PAGE 3

918-20

9

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Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	56,697.	599,360.	599,360.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 5	5,495,423.	3,313,324.	2,972,685.
	c	Investments - corporate bonds (attach schedule)			
	Liabilities	11	Investments - land, buildings, and equipment basis		
		Less accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans			
13		Investments - other (attach schedule) ATCH 6	2,738,499.	3,058,085.	4,064,904.
14		Land, buildings, and equipment basis			
		Less accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	8,290,619.	6,970,769.	7,636,949.
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, <input checked="" type="checkbox"/> check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	8,290,619.	6,970,769.	
31	Total liabilities and net assets/fund balances (see instructions)	8,290,619.	6,970,769.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,290,619.
2	Enter amount from Part I, line 27a	2	-1,299,267.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	6,991,352.
5	Decreases not included in line 2 (itemize) ▶ ATCH 7	5	20,583.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,970,769.

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	383,584.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	3,369,228.	9,666,926.	0.348531
2011	3,448,721.	8,489,260.	0.406245
2010	1,015,800.	2,953,658.	0.343913
2009	1,850,662.	1,385,602.	1.335638
2008	966,625.	1,207,551.	0.800484
2 Total of line 1, column (d)			2 3.234811
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.646962
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 7,760,125
5 Multiply line 4 by line 3			5 5,020,506.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 7,178.
7 Add lines 5 and 6			7 5,027,684.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 3,416,875.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	14,356.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2	3	14,356.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	14,356.
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	17,998.
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	4,000.
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	21,998.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,642.
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 7,642. Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	X	

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> RICHARD GOLD	Telephone no	<input type="checkbox"/> 203-618-4623	
Located at <input type="checkbox"/> 1212 FIFTH AVENUE, APT. #8A NEW YORK, NY		ZIP+4	<input type="checkbox"/> 10029	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/>			
and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15				
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/>	1b	
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) <input type="checkbox"/>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? <input type="checkbox"/>	4b	X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** X**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Form 990-PF (2013)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,517,729.
b	Average of monthly cash balances	1b	1,274,063.
c	Fair market value of all other assets (see instructions)	1c	2,086,507.
d	Total (add lines 1a, b, and c)	1d	7,878,299.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,878,299.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	118,174.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	7,760,125.
6	Minimum investment return. Enter 5% of line 5	6	388,006.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	388,006.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	14,356.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	14,356.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	373,650.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	373,650.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	373,650.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,416,875.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	3,416,875.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,416,875.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				373,650.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20 11, 20 10, 20 09				
3 Excess distributions carryover, if any, to 2013				
a From 2008 966,625.				
b From 2009 1,870,325.				
c From 2010 1,015,800.				
d From 2011 3,448,721.				
e From 2012 2,901,435.				
f Total of lines 3a through e	10,202,906.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 3,416,875.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				373,650.
e Remaining amount distributed out of corpus	3,043,225.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	13,246,131.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	966,625.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	12,279,506.			
10 Analysis of line 9				
a Excess from 2009 1,870,325.				
b Excess from 2010 1,015,800.				
c Excess from 2011 3,448,721.				
d Excess from 2012 2,901,435.				
e Excess from 2013 3,043,225.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

WILLIAM E. FORD

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 10				3,416,875.
Total			▶ 3a	3,416,875.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	344,706.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	383,584.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b ATCH 9 _____				93.		
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				728,383.		
13 Total. Add line 12, columns (b), (d), and (e) . .				728,383.		

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes[illegible]

Schedule of Contributors

OMB No 1545-0047

2013

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

Name of the organization

THREE LITTLE PIGS FOUNDATION
C/O WILLIAM E. FORD

Employer identification number

20-0512645

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **THREE LITTLE PIGS FOUNDATION**
C/O WILLIAM E. FORD

Employer identification number
20-0512645

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WILLIAM E. FORD 1212 FIFTH AVENUE, APT. # 8A NEW YORK, NY 10029	\$ 1,087,600.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
2	WILLIAM E. FORD 1212 FIFTH AVENUE, APT. # 8A NEW YORK, NY 10029	\$ 3,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization **THREE LITTLE PIGS FOUNDATION**
C/O WILLIAM E. FORD

Employer identification number
20-0512645

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	15,000 SHS OF AMERICAN AXLE & MANUFACTURING HOLDINGS INC	\$ 300,000.	12/09/2013
1	20,000 SHS OF PENNYMAC MORTGAGE INVESTMENT TRUST	\$ 440,200.	12/09/2013
1	20,000 SHS OF ARES CAPITAL CORPORATION	\$ 347,400.	12/16/2013
		\$	
		\$	
		\$	

Name of organization **THREE LITTLE PIGS FOUNDATION**
C/O WILLIAM E. FORD

Employer identification number
20-0512645

Part III *Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.*

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INCOME /LOSS FROM GAP CO. III LLC	33,994.	93.	
INCOME/LOSS FROM MMM INVESTMENTS LLC	291,906.		
TOTALS	<u>325,900.</u>	<u>93.</u>	

ATTACHMENT 2FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INTEREST EXPENSE	2,343.	2,343.		
TOTALS	<u>2,343.</u>	<u>2,343.</u>		

ATTACHMENT 3FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
EXCISE TAXES	17,100.			
TOTALS	<u>17,100.</u>			

ATTACHMENT 4FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
MANAGEMENT FEE	360.	360.		
GAP COINVESTMENTS III LLC	818.	818.		
MMM INVESTMENTS LLC	7,045.	7,045.		
TOTALS	8,223.	8,223.		

ATTACHMENT 5FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INVESTMENTS THROUGH JPMORGAN	4,419,222.	2,237,123.	2,420,895.
76,109 SHS OF DICE	1,076,201.	1,076,201.	551,790.
TOTALS	<u>5,495,423.</u>	<u>3,313,324.</u>	<u>2,972,685.</u>

ATTACHMENT 6FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INVESTMENT IN GAPCO III, LLC	584,135.	756,628.	1,274,433.
CYS INVESTMENTS INC	572,143.		
PENNYMAC MORTGAGE INV TRUST		969,127.	1,083,712.
MID-AMERICA APARTMENT COM.	648,546.	313,789.	303,700.
INVST IN MMM INVESTMENTS LLC	626,764.	936,464.	1,306,209.
BIOMED REALTY TRUST INC.	142,189.		
REDWOOD TRUST INC.	164,722.	82,077.	96,850.
TOTALS	<u>2,738,499.</u>	<u>3,058,085.</u>	<u>4,064,904.</u>

ATTACHMENT 7FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

COST ADJUSTMENT

20,583.

TOTAL

20,583.

THREE LITTLE PIGS FOUNDATION

20-0512645

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 8

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT , AND OTHER ALLOWANCES</u>
WILLIAM E. FORD 1212 FIFTH AVENUE, APT. # 8A NEW YORK, NY 10029	PRESIDENT	0	0	0
RICHARD GOLD 330 EAST 46TH STREET, APT. #1B NEW YORK, NY 10017	SECRETARY	0	0	0
GRAND TOTALS		<u>0</u>	<u>0</u>	<u>0</u>

THREE LITTLE PIGS FOUNDATION

20-0512645

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 9

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>EXCLUSION</u>		<u>RELATED OR EXEMPT FUNCTION INCOME</u>
		<u>AMOUNT</u>	<u>CODE</u>	
INCOME FROM INVESTMENTS			18	93.
TOTALS				<u>93.</u>

THREE LITTLE PIGS FOUNDATION
 EIN # 20-0512845
 TAX YEAR ENDED DECEMBER 31, 2013

RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PHILLIPS ACADEMY	180 MAIN STREET, ANDOVER, MA 01810	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 80,000.00
LET'S GET READY	50 BROADWAY, 25TH FLOOR, NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 5,000.00
TEACH FOR AMERICA	315 W 36TH STREET, 5TH FLOOR, NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 10,000.00
VAIL VALLEY FOUNDATION	90 BENCHMARK ROAD, SUITE 300, AVON, CO 81620	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 5,000.00
STANFORD UNIVERSITY	DEVELOPMENT SERVICES, P O BOX 20466, STANFORD, CA 94309-0466	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 100,000.00
VANDERBILT UNIVERSITY	PMB 407727, 2301 VANDERBILT PLACE, NASHVILLE, TN 37240-7727	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 300,000.00
NEW MUSEUM OF CONTEMPORARY ART	235 BOWERY, NEW YORK, NY 10002	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 41,875.00
AMHERST COLLEGE	220 SOUTH PLEASANT STREET, AMHERST, MA 01002	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 1,500,000.00
THE KITCHEN	512 WEST 18TH STREET, NEW YORK, NY 10011	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 35,000.00
COLLEGIATE SCHOOL	260 WEST 78TH STREET, NEW YORK, NY 10024	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 40,000.00
THE ROCKEFELLER UNIVERSITY	1230 YORK AVENUE, NEW YORK, NY 10085	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 200,000.00
SMITH COLLEGE	76 ELM STREET, NORTHAMPTON, MA 01063	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 25,000.00
ECHOING GREEN	494 EIGHTH AVENUE, 2ND FLOOR, NEW YORK, NY 10001	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 5,000.00
HUMPHREY WRESTLING CLUB, INC	12520 HOOSIER ROAD, FISHERS, IN 46037	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 25,000.00
MEMORIAL SLOAN-KETTERING	P O BOX 27106, NEW YORK, NY 10087-7106	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 10,000.00
MEMORIAL SLOAN-KETTERING	P O BOX 27106, NEW YORK, NY 10087-7106	NONE	PUBLIC CHARITY	GENERAL OPERATING PURPOSE	\$ 1,025,000.00
					<u>\$ 3,416,875.00</u>

THREE LITTLE PIGS FOUNDATION

EIN # 20-0512645

12/31/2013

Analysis of Tax Capital Gain

	Date Donated	Date Sold	Quantity	Tax Cost	Proceeds	Capital Gain/Loss
JPM Short Duration Bond FD	Various Long Term	1/8/2013	8,427.607	\$ 92,596.02	\$ 92,619.40	\$ 23.38
Tortoise MLP Fund Inc	Various Long Term	1/7/2013	5,400.000	\$ 137,196.52	\$ 136,114.79	\$ (1,081.73)
Eli Lilly & Co.	Various Short Term	1/30/2013	5,000.000	\$ 268,499.40	\$ 271,085.32	\$ 2,585.92
Mid-America Apartment Communities Inc	Various Long Term	1/30/2013	3,500.000	\$ 240,178.26	\$ 232,008.74	\$ (8,169.52)
CYS Investments Inc	Various Long Term	3/6/2013	45,000.000	\$ 572,142.61	\$ 528,632.36	\$ (43,510.25)
EBAY Inc	Various Short Term	3/27/2013	150,000.000	\$ 151,125.00	\$ 137,625.00	\$ (13,500.00)
MET Transportation Authority NY	Various Short Term	4/24/2013	25,000.000	\$ 25,208.43	\$ 25,750.00	\$ 541.57
Dow Chemical Co	Various Short Term	4/24/2013	100,000.000	\$ 98,266.00	\$ 99,600.00	\$ 1,334.00
Goldman Sachs Group Inc	Various Long Term	4/24/2013	2,000.000	\$ 49,220.00	\$ 53,418.80	\$ 4,198.80
JPMorgan Chase & Co	Various Short Term	4/24/2013	3,000.000	\$ 75,000.00	\$ 76,770.25	\$ 1,770.25
Aircastle LTD	Various Long Term	4/26/2013	5,000.000	\$ 62,152.70	\$ 67,942.77	\$ 5,790.07
AT&T Inc	Various Short Term	4/26/2013	5,000.000	\$ 182,499.90	\$ 185,495.94	\$ 2,996.04
Aircastle LTD	Various Long Term	5/2/2013	5,000.000	\$ 62,152.70	\$ 69,388.69	\$ 7,235.99
Verizon Communications Inc.	Various Short Term	5/23/2013	5,000.000	\$ 209,400.00	\$ 256,095.59	\$ 46,695.59
Lexington Realty Trust	Various Short Term	6/3/2013	5,000.000	\$ 58,657.50	\$ 62,125.71	\$ 3,468.21
Pennymac Mortgage Investment Trust	Various Short Term	6/3/2013	5,000.000	\$ 110,459.00	\$ 105,255.16	\$ (5,203.84)
Redwood Trust Inc	Various Short Term	10/11/2013	10,000.000	\$ 169,543.24	\$ 174,021.59	\$ 4,478.35
Annaly Capital Management	Various Long Term	10/18/2013	5,000.000	\$ 125,000.00	\$ 120,560.72	\$ (4,439.28)
Cobank ACB PFD	Various Long Term	10/18/2013	2,500.000	\$ 259,162.50	\$ 241,775.00	\$ (17,387.50)
Flaherty & Crumrine Preferred Securities Income Fund Inc	Various Long Term	10/25/2013	3,000.000	\$ 54,785.40	\$ 54,239.05	\$ (546.35)
Flaherty & Crumrine Preferred Securities Income Fund Inc	Various Long Term	10/30/2013	3,000.000	\$ 54,187.10	\$ 54,347.25	\$ 160.15
Vornado Realty Trust	Various Long Term	11/6/2013	2,000.000	\$ 50,678.24	\$ 49,219.14	\$ (1,459.10)
Wells Fargo & Company	Various Long Term	11/6/2013	2,500.000	\$ 62,975.00	\$ 51,989.09	\$ (10,985.91)
Biomed Realty Trust Inc	Various Long Term	11/7/2013	7,500.000	\$ 141,772.01	\$ 144,611.00	\$ 2,838.99
Flaherty & Crumrine Preferred Securities Income Fund Inc	Various Long Term	11/12/2013	9,000.000	\$ 157,390.80	\$ 163,013.29	\$ 5,622.49
Mid-America Apartment Communities Inc	Various Long Term	11/12/2013	1,500.000	\$ 94,577.97	\$ 91,213.41	\$ (3,364.56)
General Elec Cap Corp Mtn	Various Long Term	11/22/2013	100,000.000	\$ 102,130.00	\$ 109,000.00	\$ 6,870.00
ING Groep	Various Long Term	12/16/2013	7,500.000	\$ 183,000.00	\$ 187,500.00	\$ 4,500.00
Gain (Loss) from MMM Investments, LLC	Various	Various	-	\$ -	\$ -	\$ 315,900.00
Gain (Loss) from GAPCO III, LLC	Various	Various	-	\$ -	\$ -	\$ 76,222.00
Grand Total				\$ 3,849,956.30	\$ 3,841,418.06	\$ 383,583.76

THREE LITTLE PIGS FOUNDATION
EIN # 20-0512645
TAX YEAR ENDED DECEMBER 31, 2013

SUBSTANTIAL CONTRIBUTOR NAME
WILLIAM E. FORD

SUBSTANTIAL CONTRIBUTOR ADDRESS
1212 FIFTH AVENUE, APT #8A, NEW YORK, NY 10029

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN (LOSS)							<u>383,584.</u>	

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ X

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II	Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)
----------------	--------------------------------------------------------------------------------------------------------

Type or print File by the due date for filing your return See instructions	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THREE LITTLE PIGS FOUNDATION C/O WILLIAM E. FORD	20-0512645
	Number, street, and room or suite no. If a P O box, see instructions	Social security number (SSN)
	1212 FIFTH AVENUE, APT. # 8A	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10029	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ► RICHARD GOLD, 1212 FIFTH AVENUE, APT. #8A NEW YORK, NY 10029
Telephone No ► 203 618-4623 Fax No ► 203-302-3123

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until 11/15, 2014
5 For calendar year 2013, or other tax year beginning _____, 20____, and ending _____, 20____
6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

- 7 State in detail why you need the extension WE ARE AWAITING ADDITIONAL INFORMATION WHICH IS NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶

Title ▶

Date ▶

Form 8868 (Rev 1-2014)