




See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF



Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation The Jain Foundation Inc		A Employer identification number 20-0284800	
Number and street (or P O box number if mail is not delivered to street address) 9725 Third Avenue NE		B Telephone number (see instructions) (425) 882-1492	
City or town, state or province, country, and ZIP or foreign postal code Seattle, WA 98117		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (<i>from Part II, col. (c), line 16</i>)▶ \$ 22,792,686		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	82	82		
	4 Dividends and interest from securities.				
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	5,055,584			
	b Gross sales price for all assets on line 6a 5,055,996				
	7 Capital gain net income (from Part IV, line 2) . . .		5,055,584		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 21,088			
	12 Total. Add lines 1 through 11	5,076,754	5,055,666		
	13 Compensation of officers, directors, trustees, etc	200,000			200,000
	14 Other employee salaries and wages	429,816			429,816
	15 Pension plans, employee benefits	60,533			60,533
	16a Legal fees (attach schedule).	 9,750			7,312
	b Accounting fees (attach schedule).	 5,010			3,758
	c Other professional fees (attach schedule)	 31,245			31,245
	17 Interest	44			
	18 Taxes (attach schedule) (see instructions)	 30,000			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy	44,615			44,615
	21 Travel, conferences, and meetings	156,646			156,646
	22 Printing and publications				
	23 Other expenses (attach schedule).	 980,105			979,355
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	1,947,764	0		1,913,280
	25 Contributions, gifts, grants paid	1,900,450			1,900,450
	26 Total expenses and disbursements. Add lines 24 and 25	3,848,214	0		3,813,730
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,228,540			
	b Net investment income (if negative, enter -0-)		5,055,666		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	113,669	1,440,421	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ 2,901 Less allowance for doubtful accounts ▶ _____		2,901	
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	5,907	12,091	
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	19,565,356	19,564,935	22,792,686
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	19,684,932	21,020,348	22,792,686
Liabilities	17	Accounts payable and accrued expenses	36,932	24,356	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	36,932	24,356	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	19,648,000	20,995,992	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	19,648,000	20,995,992	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	19,684,932	21,020,348	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	19,648,000
2	Enter amount from Part I, line 27a	2	1,228,540
3	Other increases not included in line 2 (itemize) ▶ _____	3	119,452
4	Add lines 1, 2, and 3	4	20,995,992
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	20,995,992

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	5,055,584
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	2,488,458	11,336,068	0 21952
2011	2,070,176	8,637,266	0 23968
2010	2,010,592	9,806,815	0 20502
2009	3,127,278	10,783,042	0 29002
2008	2,102,194	16,818,122	0 12500
2 Total of line 1, column (d).			2 1 07923
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 21585
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.			4 23,984,328
5 Multiply line 4 by line 3.			5 5,176,921
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 50,557
7 Add lines 5 and 6.			7 5,227,478
8 Enter qualifying distributions from Part XII, line 4.			8 3,813,730

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	101,113
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	101,113
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	101,113
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	155,217
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	155,217
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	504
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.	10	53,600
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 53,600 Refunded	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No		
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ https://www.jain-foundation.org/	13	Yes			
14	The books are in care of ▶ <u>Plavi Mittal</u> Telephone no ▶ <u>(425) 882-1492</u> Located at ▶ <u>9725 Third Avenue NE Suite 204 Seattle WA</u> ZIP +4 ▶ <u>98005</u>					
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <table><tr><td>15</td><td></td></tr></table>	15				
15						
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶	16	Yes No	No No		

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	No
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
D Albrecht PhD	Research Director	91,000	12,000	
9725 Third Avenue NE Suite 204 Seattle, WA 98117	40 00			
L Rufibach PhD	Research Director	88,955	11,545	
9725 Third Avenue NE Suite 204 Seattle, WA 98117	40 00			
HWindish	Research Program O	55,645	4,839	
9725 Third Avenue NE Suite 204 Seattle, WA 98117	40 00			
S Shira	Office Manager	51,975	5,775	
9725 Third Avenue NE Suite 204 Seattle, WA 98117	40 00			
E Lee	Research Program O	47,775	5,308	
9725 Third Avenue NE Suite 204 Seattle, WA 98117	40 00			
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 While the focus of the Jain Foundation is LGMD2B, the resulting scientific advances and collaboration have a broader impact on human health through their relevance to other muscle disorders, degenerative diseases of tissues other than muscle, and normal aging. The Foundation is hands on in its approach to funding. We closely monitor progress of every project we fund and keep track of results and agreed upon timelines through quarterly detail oriented conference calls with researchers and site visits. Our goal continues to be to fund all possible approaches to a cure and have the patients diagnosed for when we reach a cure so they are able to avail it. Contract Research Organizations. In 2013 we continued existing and initiated new projects at Contract Research Organizations (CROs). There are many drugs in development (some even FDA approved) for other diseases that may be useful in LGMD2B. To evaluate their benefit in LGMD2B we need specific assays. The process of developing assays is.	874,375
2 Patient Recruitment and Diagnosis. LGMD2B is very rare. An important goal of the Foundation is to identify LGMD2B patients worldwide. The Foundation maintains a patient registry and facilitates by providing funding for patients' diagnosis. The Jain Foundation team reviews patient records to identify patients that have a high probability of having dysferlinopathy before doing expensive protein or genetic analysis covered by the Foundation. More often than not, however, patients records or their physicians do not have the information needed for us to make a well-informed decision. In an attempt to identify patients rapidly and to save money, the Foundation developed an easy-to-use online diagnostic tool. The tool is based on a Bayesian algorithm and is grounded on published clinical reports. The tool discriminates between 25 types of LGMD. The tool was launched in May 2012 and has been very well received by the medical community all over the world. This tool has increased our rate of fin.	161,849
3 Foundation Conference. The Dysferlin Conference brings together scientists and clinicians working to understand and develop a therapy for the dysferlinopathies, Limb Girdle Muscular Dystrophy 2B (LGMD2B) and Miyoshi Myopathy. These diseases, caused by mutations in dysferlin, are characterized by progressive muscle wasting beginning in the late teenage years. Although dysferlin has been implicated in repair of muscle fiber membrane tears, a more complete understanding of dysferlins function and role in pathology is required for effective development of therapies. The objective of the 6th Annual Dysferlin Conference, held from April 3-6, 2013 in Washington DC, USA, was to speed research on dysferlinopathy by promoting the sharing of ideas and unpublished data between scientists actively working on dysferlin and other relevant therapeutic approaches. During this meeting the Jain Foundation-funded researchers presented new findings implicating new roles for Dysferlin and opening new avenue.	140,351
4 Clinical Outcome Study. The process of becoming trial ready was initiated in 2012 and continued in 2013. Clinical trials frequently fail because testable outcomes are not well defined and are not sensitive enough to measure a small benefit from the treatment or drug being delivered. To find measurable outcomes for dysferlinopathy we launched a Clinical Outcome Study (COS) running out of 14 centers (in the US, Europe, Japan and Australia). The study is being funded through a grant to Dr. Bushby, Newcastle, UK and a grant for the statistical analysis of the data to Dr. Cnaan at CNMC, Washington, DC. At the end of 2013, 145 patients had been recruited into COS. Patients will visit their respective centers 6 times over 3 years for medical, physiotherapy and MRI/MRS assessments at each visit. We are funding, leading and managing this study. COS will help define the best tests for measuring disease progression. We have started collecting and banking biological samples through this study for.	118,408

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	23,409,855
b	Average of monthly cash balances.	1b	939,717
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	24,349,572
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	24,349,572
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	365,244
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	23,984,328
6	Minimum investment return. Enter 5% of line 5.	6	1,199,216

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,199,216
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	101,113
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	101,113
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,098,103
4	Recoveries of amounts treated as qualifying distributions.	4	12,248
5	Add lines 3 and 4.	5	1,110,351
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,110,351

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,813,730
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,813,730
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,813,730
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,110,351
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.	1,281,403			
b From 2009.	2,619,026			
c From 2010.	1,476,657			
d From 2011.	1,684,805			
e From 2012.	1,950,684			
f Total of lines 3a through e.	9,012,575			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 3,813,730				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				1,110,351
e Remaining amount distributed out of corpus	2,703,379			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	11,715,954			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	1,281,403			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	10,434,551			
10 Analysis of line 9				
a Excess from 2009. . . .	2,619,026			
b Excess from 2010. . . .	1,476,657			
c Excess from 2011. . . .	1,684,805			
d Excess from 2012. . . .	1,950,684			
e Excess from 2013. . . .	2,703,379			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon.					
a	"Assets" alternative test—enter					
	(1) Value of all assets.					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization.					
	(4) Gross investment income.					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

The Jain Foundation Inc
2310 130th Ave NE Suite B101
Bellevue, WA 98005
(425) 882-1492
See Foundation Website www.jain-foundation.org

b

The form in which applications should be submitted and information and materials they should include

See Foundation Website www.jain-foundation.org

c

Any submission deadlines

There are no application deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

The explicit goal of the Jain Foundation is to expedite development of a treatment or cure for LGMD2B/Miyoshi. Any proposed project that does not have application to this disease will not be considered

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	1,900,450
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1a(1)

No

1a(2)

No

b

Other transactions

1b(1)

No

1b(2)

No

1b(3)

No

1b(4)

No

1b(5)

No

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

2014-05-15

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name Lorraine S Boss Esq	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P01450835
Firm's name	LEXINGTON TAX SERVICES			Firm's EIN
Firm's address	1325 FRANKLIN AVE STE 335 GARDEN CITY, NY 115301604			Phone no (516) 739-1462

Form 990-PF (2013)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Childrens Research Institute DrPart 111 Michigan Avenue NW Suite 5400 Washington DC,DC 20010	N/A	PC	Scientific Research Grant	101,958
Monash University Wellington Road Clayton 3800 OC	NONE	foreign	Scientific Research Grant	60,170
Immune Disease Institute 3 Blackfan Circle Boston,MA 02115	N/A	PC	Scientific Research Grant	46,667
Ms Wheel Chair Tennessee Inc 1217 Bentley Park Lane Knoxville,TN 37922	NONE	PC	General Support	2,000
Rockefeller University 1230 York Avenue New York,NY 10065	N/A	PC	General Support	119,460
Children's Hospital at Westmead- Co Locked Bag 4001 Westmead Sydney 2145 AS	N/A	foreign	Scientific ResearchGrant	132,934
Children's National Medical Ctr -Cn 111 Michigan Avenue NW Washington DC,DC 20010	N/A	PC	Scientific Research Grant	33,970
Hugo W Moser Research Inst Dr TCohe 707 North Broadway Baltimore,MD 21205	N/A	PC	Scientfic Research Grant	14,732
Research Inst Nationwide Childrens 700 Childrens Drive Columbus,OH 43205	N/A	PC	Scientific Research Grant	123,060
The Jackson Laboratory 610 Main Street Bar Harbor,ME 04609	N/A	PC	Scientific Research	19,294
Total ▶ 3a				1,900,450

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Dr Plavi Mittal	President 50 00	200,000		
9725 Third Avenue NE Ste 204 Seattle, WA 98117				
Ajit Jain	Director & CEO 3 00	0		
Island Drive Rye, NY 10580				
Indrma Jain	Director 1 00	0		
Island Drive Rye, NY 10580				
Akshay Jain	Director 5 00	0		
Island Drive New York, NY 10580				
Ajay Jain	Director 1 00	0		
Island Drive Rye, NY 10580				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Gnthon Dr Isabelle Richard 1 bis rue de l'Internationale BP60 Evry, Cedex 91002 FR	N/A	foreign	Scientific Research Grant	9,395
NIH- Dr Zimmerberg 9000 Rockville Pike Bethesda, MD 20892	N/A	PC	Scientific Research Grant	94,537
University of Maryland- Dr Joseph R PO Box 41428 Baltimore, MD 21203	N/A	PC	Scientific Research Grant	33,333
Texas Tech University Dr B Sutton 3601 4th Street Lubbock, TX 79415	N/A	PC	Scientific Research Grant	124,694
UNC Chapel Hill-Dr Matthew Hirsch 104 Airport Dr Chapel Hill, NC 27599	N/A	PC	Scientific Research Grant	128,358
Univ of Wisconsin Madison-Dr K Sonnem 21 N Park Street Suite 6401 Madison, WI 53715	N/A	PC	Scientific Research Grant	101,091
Institute of Human Genetics-Dr Bush Central Parkway New Castle upon Tyne NE1 3BZ UK	N/A	foreign	Natural History Project	187,500
MIT Leonard Guarente Professor Biol 77 Massachusetts Avenue 68-280 Cambridge, MA 02139	N/A	PC	Scientific Research Grant	67,533
Emory Genetics Lab - Dr Madhuri Heg 2165 N Decatur Road Atlanta, GA 30033	N/A	PC	Scientific Research Grant	75,872
Univ Western Australia - Dr M Ground 35 Stirling Highway Crawley, Western Australia 6009 AS	N/A	foreign	Scientific Research Grant	19,421
Lab of Molecular Genetics-Dr Bartoli 27 boulevard Jean Moulin Marseille 13385 FR	N/A	foreign	Scientific Research Grant	16,232
Children's Hospital Boston-Dr Kunke 300 Longwood Ave Boston, MA 02115	N/A	PC	Scientific Research Grant	23,403
University of Maryland-Dr Robert Bl PO Box 41428 Baltimore, MD 21203	N/A	PC	Scientific Research Grant	293,838
Children's Research Institute Dr Coh 111 Michigan Avenue NW Suite 5400 Washington DC, DC 20010	N/A	PC	Scientific Research Grant	26,667
Laboratori Malalties Neuromusculars UAB C/ Paret Claret 167 Barcelona 08025 SP	N/A	foreign	Scientific Research Grant	44,331
Total ▶ 3a				1,900,450

TY 2013 Accounting Fees Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 13000170**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Pradeep Agarwal	5,010	0	0	3,758

TY 2013 Legal Fees Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 13000170**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Legal Expenses / tax compliance	9,750	0	0	7,312

TY 2013 Other Expenses Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 13000170

Software Version: 2013v3.1

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Books & Periodicals	1,804			1,804
COS direct costs	11,422			11,422
CRO Biofocus	157,300			157,300
CRO Charles River Discovery Service	131,335			131,335
CRO Evotec AG	335,143			335,143
CRO MRM Proteomics	103,025			103,025
CRO Psychogenics CRO	55,000			55,000
Insurance	693			693
Miscellaneous	4,394			4,394
NYS Charities Bureau Filing Fee	750			
Office Expenses	18,513			18,513
Patient Diagnosis & Recruitment	11,866			11,866
Patient Diagnosis Emory University	60,000			60,000
Postage, shipping, delivery	868			868
Research Tools	69,450			69,450
Telephone & Internet	6,762			6,762
Website design & Software	11,780			11,780

TY 2013 Other Income Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 13000170**Software Version:** 2013v3.1

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Foundation Conference	8,840		
Unused Grants Refunded	12,248		

TY 2013 Other Increases Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 13000170**Software Version:** 2013v3.1

Description	Amount
Adjust FMV vs. Cost Basis Book Value of Donated Securities	119,452

TY 2013 Other Professional Fees Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 13000170**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
B Williams PhD Research Consultant	24,085	0	0	24,085
N Monnier PhD Research Consultant	2,120	0	0	2,120
Other Consultants/Interns	5,040	0	0	5,040

TY 2013 Taxes Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 13000170**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2012 Excise tax on Investment Income	30,000			

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: 1 bis rue de L'Internationale, 91 002 EVRY CEDEX, FRANCE (Dr. Isabelle Richard/Genethon)

(2) Date Paid in Current Tax Year: March 11, 2013

(3) Total Paid: \$67,891.50 USD paid June 28, 2010 (53,500 Euros dispersed for this project in 2010)
\$35,505.70 USD paid July 26, 2011
\$ 32,232.50 USD paid in January, 2012
\$ 9,540.00 USD paid October 19, 2012
\$ 9,394.82 USD paid March 11, 2013
\$154,564.52 USD total paid

(4) Purpose of Grant: "Development of Therapeutic Strategies for Dysferlinopathy"

(5) Amount of Grant Spent by Grantee: \$154,564.52 USD

(6) Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant:

1/14/2010, 3/11/2010, 8/5/2010, 12/10/2010, 2/16/2011, 11/14/2011, 2/17/2012, 3/22/2012, 12/17/2012, 4/3/2013, 8/2/2013, 12/10/2013

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

- 1) **Grantee:** Katharine Bushby, Professor of Neuromuscular Genetics, Institute of Human Genetics, International Center for Life, Central Parkway, New Castle upon Tyne, NE1 3BZ, UK
- 2) **Date Paid in Current Tax Year:** March 11, 2013, December 20, 2013
- 3) **Total Paid:** \$281,474.28 USD in 2011
\$198,263.47 USD in 2012
\$187,499.98 in 2013
\$667,237.73 USD in total as of 2013
- 4) **Purpose of Grant:** Natural History Study in Dysferlinopathy (COS)
- 5) **Amount of Grant Spent by Grantee:** \$614,316.42 USD (calculated converting the amount spent reported as of 12/31/2013 by grantee £367,260.37 British Pounds to USD \$614,316.42 online on 4/17/2014)
- 6) **Diversion:** To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.
- 7) **Date of Report(s) Received from Grant:** 1/11/2011, 1/15/2011, 2/18/2011, 6/15/2011, 8/24/2011, 12/16/2011, 7/25/2012, 1/17/13, 3/5/13, 10/25/13, 11/18/2013

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Miranda Grounds School of Anatomy & Human Biology, M309, The University of
Western Australia, 35 Sterling Highway, Crawley, Western
Australia, 6009 Australia

(2) Date Paid in Current Tax Year: June 10, 2013

(3) Total Paid: \$19,421.29 USD

(4) Purpose of Grant: Quantification of lipid in dysferlin-deficient muscles and other dystrophies

(5) Amount of Grant Spent by Grantee: \$19,421.29 USD

(6) Diversion:

To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grantee:

9/23/2013, 1/21/2014, 3/21/2014

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee:

INSERUM UMRS 910, Translational Myology; Faculté de Médecine de Marseille, Université de la Méditerranée 27, boulevard Jean Moulin; 13385 Marseille Cedex 05, France (Dr. Marc Bartoli)

(2) Date Paid in Current Tax Year: May 7, 2013, November 14, 2013

(3) Total Paid: \$13,228.93 USD in 2012
\$7,948.16 USD in 2013
\$8,284.20 USD in 2013
\$29,461.29 USD total paid

(4) Purpose of Grant: In vivo Delivery of AON in Dysferlin Deficient Mice

(5) Amount of Grant Spent by Grantee: \$16,420.43 USD (calculated by converting what has been reported as the amount spent as of 12/31/2014: €11,868.76 Euros to \$16,420.43USD online on 4/17/2014)

(6) Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant:
8/29/2012, 4/3/2013, 7/2/2013, 10/4/2013, 11/20/2013, 3/26/2014

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Eduard Gallardo, Laboratori de Malalties Neuromusculars, Institut de Recerca Hospital de la Santa Creu i Sant Pau. U.A.B.

(2) Date Paid in Current Tax Year:

(3) Total Paid: \$34,832.20 USD paid in April 30, 2012
\$36,990.86 USD paid March 11, 2013
\$ 7,339.92 USD paid in June 10, 2013
\$47,813.92 total paid

(4) Purpose of Grant: Combined cell transplantation as a therapeutic approach for dysferlinopathies and transversal study to evaluate the frequency of carriers of dysferlinopathy in Caucasian population using a monocyte's test to detect dysferlin expression

(5) Amount of Grant Spent by Grantee: \$43,933.58 USD (Unspent funds 2,807.57 EUROS were returned to the foundation when the project ended. This amount was converted online 4/14/2014= \$3,880.34 USD which was subtracted from the total amount given reflecting that total that the grantee spent in 2013.)

(6) Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant:
4/24/2012,10/30/2012,1/17/2013,5/14/2013,10/9/2013,9/5/2013

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Peter Currie, **MONASH UNIVERSITY** (ABN 12 377 614 012) of Wellington Road, Clayton, VIC 3800 acting through its Australian Regenerative Medicine Institute (ARMI)

(2) Date Paid in Current Tax Year: March 28, 2013, June 28, 2013

(3) Total Paid: \$31,785.03 USD paid in 2012
\$31,992.00 USD paid in 2013
\$28,177.98 USD paid in 2013
\$91,955.01 USD total paid as of 2013

(4) Purpose of Grant: Generating a targeted mutation resource in zebrafish

(5) Amount of Grant Spent by Grantee: \$91,955.01 USD (additional funds for this project continue in 2014/researcher spent more than was given in 2013 but additional funds will be sent to account for this in 2014)

(6) Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant:
10/18/2012, 2/26/2013, 4/3/13, 5/7/13, 8/19/2013, 12/19/2013

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee:

Dr. Sandra Cooper
Faculty of Medicine, University of Sydney
Neurogenetics Research Unit
Children's Hospital at Westmead
Locked Bag 4001, Westmead
Sydney, NSW 2145
Australia

(2) Date Paid in Current Tax Year: May 7, 2013 (two payments), August 27, 2013

(3) Total Paid:

\$24,309.21 USD
\$43,540.18 USD
\$32,566.24 USD
\$33,592.38 USD
\$47,240.44 USD
\$47,652.26 USD
\$45,326.42 USD 2013
\$46,013.60 USD 2013
\$41,593.52 USD 2013
\$361,834.25 USD total

(4) Purpose of Grant: The Functional Role of Dysferlin

(5) Amount of Grant Spent by Grantee: \$361,834.25 USD (additional funds for this project continue in 2014/researcher spend more than was given in 2013 but additional funds will be sent to account for this in 2014)

(6) Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant:

4/12/2011, 4/19/2011, 8/9/2011, 11/8/2011, 1/5/2012, 5/8/2012, 7/31/2012, 11/15/2012, 1/7/2013, 5/21/13, 4/4/2013, 8/7/13, 12/10/2013

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Gillian Butler-Browne, Institute de Myologie, 105 bd de l'Hopital, Paris, 5013, FRANCE

(2) Date Paid in Current Tax Year: no funds were sent in 2013

(3) Total Paid: \$51,607.50 USD

(4) Purpose of Grant: Could modifications in the muscle secretome be involved in the physiopathology of Dysferlinopathy

(5) Amount of Grant Spent by Grantee \$51,607.50 USD

(6) Diversion:

To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grantee:

10-1/2010, 11/17/2011, 3/31/2012, 11/21/2013