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Form **990-PF****Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2013**Department of the Treasury  
Internal Revenue Service

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► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation <b>SWIFT FAMILY FOUNDATION, INC.</b>		A Employer identification number <b>20-0102620</b>
Number and street (or P O box number if mail is not delivered to street address) <b>2221 8TH STREET</b>	Room/suite	B Telephone number (see instructions)
City or town, state or province, country, and ZIP or foreign postal code <b>SARASOTA FL 34237</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ <b>1,290,407</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	5,435	5,435		
	4 Dividends and interest from securities	34,008	34,008		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10 Stmt 1	92,107			
	b Gross sales price for all assets on line 6a 580,598				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	131,550	39,443	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) Stmt 2	61	61		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch) Stmt 3	35	35		
	24 Total operating and administrative expenses. Add lines 13 through 23	96	96	0	0
	25 Contributions, gifts, grants paid	59,675			59,675
26 Total expenses and disbursements. Add lines 24 and 25	59,771	96	0	59,675	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	71,779				
b Net investment income (if negative, enter -0-)		39,347			
c Adjusted net income (if negative, enter -0-)			0		

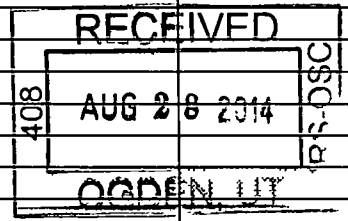
For Paperwork Reduction Act Notice, see instructions.

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914-16

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1	Cash – non-interest-bearing				
	2	Savings and temporary cash investments		148,440	98,747	98,747
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (att schedule) ▶				
		Less allowance for doubtful accounts ▶	0			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments – U.S. and state government obligations (attach schedule) Stmt 4		87,822	25,826	25,826
	b	Investments – corporate stock (attach schedule) See Stmt 5		874,428	1,165,834	1,165,834
	c	Investments – corporate bonds (attach schedule)				
<b>Liabilities</b>	11	Investments – land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach sch) ▶				
	12	Investments – mortgage loans				
	13	Investments – other (attach schedule)				
	14	Land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach sch) ▶				
	15	Other assets (describe ▶)	)			
	16	<b>Total assets</b> (to be completed by all filers – see the instructions Also, see page 1, item I)		1,110,690	1,290,407	1,290,407
	17	Accounts payable and accrued expenses				
	18	Grants payable				
<b>Net Assets or Fund Balances</b>	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)	)			
	23	<b>Total liabilities</b> (add lines 17 through 22)		0	0	
		<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> ▶ <input checked="" type="checkbox"/>				
	24	Unrestricted		1,110,690	1,290,407	
	25	Temporarily restricted				
	26	Permanently restricted				
		<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> ▶ <input type="checkbox"/>				
<b>Net Assets or Fund Balances</b>	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	<b>Total net assets or fund balances</b> (see instructions)		1,110,690	1,290,407	
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions)		1,110,690	1,290,407	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,110,690
2	Enter amount from Part I, line 27a	2	71,779
3	Other increases not included in line 2 (itemize) ▶ <b>See Statement 6</b>	3	107,938
4	Add lines 1, 2, and 3	4	1,290,407
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,290,407

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a N/A</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	60,230	1,110,690	0.054228
2011	52,675	1,014,772	0.051908
2010	50,600	985,000	0.051371
2009	53,710	900,286	0.059659
2008	56,565	906,802	0.062379

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.279545
<b>3</b> Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.055909
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	<b>4</b>	1,205,640
<b>5</b> Multiply line 4 by line 3	<b>5</b>	67,406
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	393
<b>7</b> Add lines 5 and 6	<b>7</b>	67,799
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	59,675

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>787</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	<b>0</b>
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>787</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	<b>0</b>
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	<b>787</b>
<b>6</b>	Credits/Payments		
<b>a</b>	2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	
<b>b</b>	Exempt foreign organizations – tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	<b>9</b>
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed	<b>9</b>	<b>796</b>
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<b>X</b>
<b>1c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>4b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>X</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <b>None</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		<b>X</b>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		<b>X</b>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13	<b>X</b>	
14	The books are in care of ► <b>JON SWIFT</b> <b>2221 8TH STREET</b> Located at ► <b>SARASOTA</b>	Telephone no ► <b>941-951-6100</b> FL ZIP+4 ► <b>34237</b>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		<input type="checkbox"/>
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No <b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	<b>N/A</b> ► <input type="checkbox"/>	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	<b>N/A</b>	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions.)	<b>N/A</b>	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	<b>N/A</b>	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<b>X</b>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JON F. SWIFT 2221 8TH STREET SARASOTA FL 34237	President 10.00	0	0	0
JANEY SWIFT 2221 8TH STREET SARASOTA FL 34237	Vice Preside 1.00	0	0	0
JASON SWIFT 4153 CAMINO REAL ROAD SARASOTA FL 34231	Director 5.00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1 THE FOUNDATION WAS CREATED TO PROVIDE SUPPORT TO CHILDREN AND YOUTH-RELATED ORGANIZATIONS.</b>	<b>59,675</b>
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1 N/A</b>	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	
<b>Total. Add lines 1 through 3</b> <span style="float: right;">▶</span>	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	<b>1,100,000</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b>	<b>124,000</b>
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	<b>0</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	<b>1,224,000</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	<b>0</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	<b>0</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	<b>1,224,000</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	<b>18,360</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>1,205,640</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	<b>60,282</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	<b>60,282</b>
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	<b>787</b>
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	<b>787</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	<b>59,495</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	<b>59,495</b>
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	<b>59,495</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	<b>1a</b>	<b>59,675</b>
<b>b</b>	Program-related investments – total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>59,675</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	<b>0</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	<b>59,675</b>

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				<b>59,495</b>
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008				<b>11,895</b>
<b>b</b> From 2009				<b>9,403</b>
<b>c</b> From 2010				<b>2,063</b>
<b>d</b> From 2011				<b>2,642</b>
<b>e</b> From 2012				<b>8,018</b>
<b>f</b> Total of lines 3a through e	<b>34,021</b>			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <b>59,675</b>				
<b>a</b> Applied to 2012, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)				
<b>d</b> Applied to 2013 distributable amount				<b>59,495</b>
<b>e</b> Remaining amount distributed out of corpus	<b>180</b>			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	<b>34,201</b>			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions				
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions				
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				<b>0</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	<b>11,895</b>			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	<b>22,306</b>			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009				<b>9,403</b>
<b>b</b> Excess from 2010				<b>2,063</b>
<b>c</b> Excess from 2011				<b>2,642</b>
<b>d</b> Excess from 2012				<b>8,018</b>
<b>e</b> Excess from 2013				<b>180</b>

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test – enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test – enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
**N/A**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
**N/A**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
**N/A**

**b** The form in which applications should be submitted and information and materials they should include  
**N/A**

**c** Any submission deadlines  
**N/A**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
**N/A**

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year <b>See Statement 7</b>				<b>59,675</b>
<b>Total</b>			<b>► 3a</b>	<b>59,675</b>
<b>b</b> Approved for future payment <b>N/A</b>				
<b>Total</b>			<b>► 3b</b>	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					5,435
4 Dividends and interest from securities					34,008
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					92,107
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0		0	131,550
13 Total. Add line 12, columns (b), (d), and (e)				13	131,550

(See worksheet in line 13 instructions to verify calculations)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash
- (2) Other assets
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		<b>X</b>
1a(2)		<b>X</b>
1b(1)		<b>X</b>
1b(2)		<b>X</b>
1b(3)		<b>X</b>
1b(4)		<b>X</b>
1b(5)		<b>X</b>
1b(6)		<b>X</b>
1c		<b>X</b>

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes

☐ Yes ☐ No

Signature of officer or trustee

Date \_\_\_\_\_

\_\_\_\_\_

Title \_\_\_\_\_

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐ if self-employed

**Paid  
Preparer  
Use Only**

Firm's name ►

M. Dennis Modrak, CPA

Firm's address

438 Interstate Ct  
Sarasota, FL 34240-8765

PTIN

Firm's EIN ▶

Phone no

941-306-4698

Form **990-PF** (2013)

# Federal Statements

## Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Whom Sold	Description	Date Acquired	Date Sold	How Received		Cost	Expense	Depreciation	Net Gain / Loss
				Price	Purchase				
SHORT TERM COVERED - SEE ATTACHED	Various	Various	Various	252,693	\$	233,116	\$	\$	19,577
SHORT TERM NONCOVERED - SEE ATTACHED	Various	Various	Various	25,000		25,887			-887
LONG TERM COVERED - SEE ATTACHED	Various	Various	Various	53,512		45,881			7,631
LONG TERM NONCOVERED - SEE ATTACHED	Various	Various	Various	249,393		183,607			65,786
Total				\$ 580,598	\$	488,491	\$	0	\$ 92,107

## Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXCISE TAX	\$ 61	\$ 61	\$	\$
Total	\$ 61	\$ 61	0	0

## Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
BANK CHARGES	35	35		
Total	\$ 35	\$ 35	0	0

## Federal Statements

### Statement 4 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
FIXED SECURITIES GOVT BACKED	\$ 87,822	\$ 25,826	Market	\$ 25,826
Total	\$ 87,822	\$ 25,826		\$ 25,826

### Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
STOCKS	\$ 823,918	\$ 1,140,584	Market	\$ 1,140,584
PREFERRED STOCKS	50,510	25,250	Market	25,250
Total	\$ 874,428	\$ 1,165,834		\$ 1,165,834



**Federal Statements****Statement 6 - Form 990-PF, Part III, Line 3 - Other Increases**

<u>Description</u>	<u>Amount</u>
UNREALIZED GAIN ON INVESTMENTS	\$ 107,938
Total	<u>\$ 107,938</u>

## Federal Statements

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20-0102620

FYE: 12/31/2013

**Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the**  
**Year**

Name		Address		Relationship	Status	Purpose	Amount
	Address						
1st Presbyterian Church	Sarasota FL 34202	NONE	2050 Oak street 501 C 3	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,500	
All Faiths Food Bank	Sarasota FL 34202	NONE	8171 Blaikie Ct 501 C 3	UNRESTRICTED	CHARITABLE CONTRIBUTION	2,500	
BISHOP WATERSON HIGH SCHO	COLUMBUS OH 43214	NONE	99 EAST COOKE ROAD 501(C)(3)	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,000	
Boyscouts of America	Sarasota FL 34202	NONE	1801 Boy Scout Drive 501 C 3	UNRESTRICTED	CHARITABLE CONTRIBUTION	7,100	
CHRISTIAN FELLOWSHIP MISS	SARASOTA FL 32230	NONE	P.O. BOX 50035 501(C)(3)	UNRESTRICTED	CHARITABLE CONTRIBUTION	500	
EDUCATION FDN OF SARASOTA	SARASOTA FL 32230	NONE	P.O. BOX 15920 501(C)(3)	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,000	
Field Club Foundation	Sarasota FL 34202	NONE	1400 Field Road 501 C 3	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,000	
FIRST United Methodist	Sarasota FL 34202	NONE	3224 Bee Ridge Rd 501 C 3	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,000	
Florida Sheriffs Youth Ranch	Boys Ranch FL 32230	NONE	P.O. Box 2000 501(C)(3)	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,000	
Goodwill	Sarasota FL 34202	NONE	7501 15th st e 501 C 3	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,000	
Pines of Sarasota	Sarasota FL 34202	NONE	1501 N. Orange 501 C 3	UNRESTRICTED	CHARITABLE CONTRIBUTION	1,000	
Police Athletic League	Sarasota FL 32230	NONE	2071 Ringling Blvd 501(C)(3)	UNRESTRICTED	CHARITABLE CONTRIBUTION	2,000	
Rotary Foundation	Sarasota FL 32230	NONE	P.O. Box 2766 501 C 3	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,000	
Sarasota Pregnancy Foundation	Sarasota FL 34202	NONE	2635 Fruitville Rd 501 C 3	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,000	
Shelter Box	Sarasota FL 34202	NONE	7359 Merchant Ct 501 C 3	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,000	
Teen Court	Sarasota FL 34202	NONE	101 S, Washington Blvd 501 C 3	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,000	
NEW COLLEGE FOUNDATION	SARASOTA FL 34243	NONE	5800 BAYSHORE RD 501(C)(3)	UNRESTRICTED	CHARITABLE CONTRIBUTION	3,000	

## Federal Statements

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20-0102620

FYE: 12/31/2013

**Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year (continued)**

Name		Address		Relationship		Status	Purpose	Amount
Address								
OUT OF DOOR ACADEMY		5950 DEER DR		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	500
SARASOTA FL 34240		3224 BEE RIDGE RD		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	1,000
SAMARITAN COUNCIL		PO BOX 48702		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	200
SARASOTA FL 34239		3224 BEE RIDGE RD		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	5,500
JOIN OUR YOUTH		4507 VINTAGE DR		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	1,000
SARASOTA FL 34230		PO BOX 1741		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	4,000
1ST UNITED METHODIST CHURCH		8251 15TH ST E		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	1,000
SARASOTA FL 34202		501 HF ROBINSON		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	200
CHILD DEVELOPMENT CENTER		PO BOX 2400		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	1,000
SARASOTA FL 34230		720 S ORANGE AVE		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	4,000
ROTARY CLUB OF HIGHLANDS		365 BRADEN AVE		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	2,000
HIGHLANDS NC 28741		801 N ORANGE AVE		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	675
CIRCUS SARASOTA		700 JOHN RINGLING BLVD		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	500
SARASOTA FL 34243		4870 CATTLEMEN RD		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	1,500
WESTERN CAROLINA UNIVERSITY		6135 BEECHWOOD AVE		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	2,000
CULLOWHEE NC 28723		3507 E FRONTAGE RD		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	2,000
SHERIFFS ATHLETIC LEAGUE		PO BOX 4068		NONE		501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	500
SARASOTA FL 34230								
CHILD PROTECTION CENTER								
SARASOTA FL 34236								
ABA ACADEMY								
SARASOTA FL 34243								
SARASOTA MILITARY ACADEMY								
SARASOTA FL 34236								
THE PLYMOUTH HARBOR FOUNDATION								
SARASOTA FL 34236								
GIRL SCOUTS OF FLORIDA								
SARASOTA FL 34233								
PINESHORE PRESBYTERIAN								
SARASOTA FL 34231								
ST JUDES CHILDRENS HOSPITAL								
TAMPA FL 33607								
BOYS & GIRLS CLUB								
SARASOTA FL 34240								

## Federal Statements

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20-0102620

FYE: 12/31/2013

**Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year (continued)**

Name		Address		Relationship		Status	Purpose	Amount
SECOND CHANCE LAST OPPORTUNITY				PO BOX 1027				
SARASOTA FL 34230			NONE			501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	1,500
OPERATION SECOND CHANCE				PO BOX 461				
CLARKSBURG MD 20871			NONE			501(C) (3)	UNRESTRICTED CHARITABLE CONTRIBUTION	2,000
Total								59,675

# 2013 ENHANCED SUMMARY

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As of Date: 2/07/14

**Your Financial Advisor :**  
MARK ALAN DAVY  
665 S ORANGE AVENUE, SUITE 4  
SARASOTA, FL 34236  
(941) 951-1977

SWIFT FAMILY FOUNDATION INC  
2221 8TH ST  
SARASOTA FL 34237-2834

Account Number: 7672-4797

## Year-End Account Summary

**This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.**

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE

### SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP	1a-Quantity Sold	1b-Date of Acquisition	2-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
BRISTOL MYERS SQUIBB / 110122108 CO					
07/17/13	600 0000	01/25/13	26,477 79	22,117 36	4,360.43 SALE
CLECO CORPORATION / 12561W105					
08/16/13	400.0000	09/24/12	18,328 58	16,386 74	1,441 84 SALE
GREAT PLAINS ENERGY INC / 391164100					
08/16/13	750.0000	05/28/13	16,931 40	17,202 11	-270.71 SALE
INTEI CORP / 458110100					
11/25/13	1,500.0000	04/04/13	35,069 83	32,112.69	2,957 14 SALE
NEXTERA ENERGY INC / 65339F101					
08/16/13	250 0000	09/24/12	20,413 41	17,446.30	2,967.11 SALE
NV ENERGY INC / 67073Y106					
06/03/13	2,000 0000	07/13/12	46,463.56	36,443.15	10,020 41 SALE
OGE ENERGY CORP / 670837103					
08/16/13	650 0000	06/17/13	23,322 61	22,393.49	929 12 SALE
SLM CORP 6.97% PFD / 78442P205 SERIES A CALLABLE 11/16/09					
12/26/13	500 0000	07/05/13	22,160.94	25,114.17	-2,953 23 SALE





# 2013 ENHANCED SUMMARY

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As of Date: 2/07/14

## Your Financial Advisor :

MARK ALAN DAVY  
665 S ORANGE AVENUE, SUITE 4  
SARASOTA, FL 34236  
(941) 951-1977

SWIFT FAMIL / FOUNDATION INC  
2221 8TH ST  
SARASOTA FL 34237-2834

Account Number: 7672-4797

## Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

### SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
STAPLES INC / 855030102 03/06/13	1,500.0000	09/06/12	18,524.38	17,509.97	1,014.41	SALE
US BANCORP 7.875% PFD / 902973882 CALLABLE 04/15/13 06/03/13	1,000.0000	04/19/13	25,000.00	25,889.69	-889.69	REDEMPTION

### TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES

252,692.50 233,115.67 19,576.83

### SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
CITIGROUP CAP 7.125% TR / 17306N203 PFD TRUPS DUE 7/31/31 CALLABLE 7/31/06 04/16/13	1,000.0000	08/07/12	25,000.00	25,886.88	-886.88	REDEMPTION

### TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

25,000.00 25,886.88 -886.88

## 2013 ENHANCED SUMMARY

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As of Date: 2/07/14

## Your Financial Advisor :

MARK ALAN DAVY  
665 S ORANGE AVENUE, SUITE 4  
SARASOTA, FL 34236  
(941) 951-1977

SWIFT FAMILY FOUNDATION INC  
2221 8TH ST  
SARASOTA FL 34237-2834

Account Number: 7672-4797

## Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

**LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)**  
**Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
AFLAC INC / 001055102 12/26/13	200.0000	10/25/11	13,221.97	8,487.48	4,734.49 SALE
CLECO CORPORATION / 12561W105 10/22/13	600.0000	09/24/12	28,009.20	25,330.10	2,679.10 SALE
NORTHEAST UTILITIES / 664397106 08/16/13	300.0000	07/13/12	12,281.23	12,063.54	217.69 SALE
<b>TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES</b>			<b>53,512.40</b>	<b>45,881.12</b>	<b>7,631.28</b>

**LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)**  
**Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
CATERPILLAR INC / 149123101	100.0000	03/21/07	8,379.73	6,629.06	1,750.67
	200.0000	06/18/07	16,759.48	16,584.60	174.88
07/09/13	300.0000	Various	25,139.21	23,213.66	1,925.55 SALE
<b>TOTAL 2 LOTS</b>					
CMS ENERGY COFP / 125896100 05/28/13	1,625.0000	06/19/08	44,109.83	25,884.69	18,225.14 SALE
ELI LILLY & CO / 532457108 12/26/13	500.0000	06/23/10	25,248.31	17,265.85	7,982.46 SALE
HONEYWELL INTERNATIONAL / 438516106 INC	200.0000	04/22/04	13,527.09	7,102.69	6,424.40



## 2013 ENHANCED SUMMARY

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continued

**LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)**  
**Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	1a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
01/25/13	200.0000	01/26/05	13,527.10	7,250.33	6,276.77	
	400.0000	Various	27,054.19	14,353.02	12,701.17	SALE
						TOTAL 2 LOTS
MERCK & CO INC NEW / 58933Y105						
01/25/13	500.0000	01/19/06	21,210.48	16,944.39	4,266.09	SALE
PROCTER & GAMBLE CO / 742718109						
	200.0000	10/11/06	16,222.31	12,651.80	3,570.51	
	50.0000	01/17/07	4,055.58	3,330.95	724.63	
12/19/13	250.0000	Various	20,277.89	15,982.75	4,295.14	SALE
12/26/13	150.0000	01/17/07	12,107.08	9,992.85	2,114.23	SALE
						TOTAL 2 LOTS
TENN VALLEY AUTHORITY / 880591DV1						
GLOBAL BONDS						
CPN 4.700% DUE 07/15/33						
DTD 06/23/03 FC 01/15/04						
07/10/13	50,000.0000	06/24/09	50,177.50	46,429.16	3,748.34	SALE
VERIZON COMMUNICATIONS / 92343V104						
COM						
12/19/13	250.0000	02/09/10	11,957.33	6,770.37	5,186.96	SALE
12/26/13	250.0000	02/09/10	12,110.93	6,770.36	5,340.57	SALE
<b>TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES</b>			<b>249,392.75</b>	<b>183,607.10</b>	<b>65,785.65</b>	

\*Box 2a Sales price less commissions and option premiums