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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation TUV CLAUD D STEFFENHAGEN 15056501		A Employer identification number 16-6384243	
Number and street (or P O box number if mail is not delivered to street address) C/O NBT BANK NA52 SOUTH BROAD STRE		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NORWICH, NY 13815		B Telephone number (see instructions) (607) 337-6068	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		C If exemption application is pending, check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 1,259,095		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1	1		
	4 Dividends and interest from securities.	31,914	32,265		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	32,610			
	b Gross sales price for all assets on line 6a 318,016				
	7 Capital gain net income (from Part IV , line 2) . . .		32,611		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	64,525	64,877		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	10,374	9,337		1,037
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	81	423		0
	19 Depreciation (attach schedule) and depletion . . .	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications	1	0	0	1
	23 Other expenses (attach schedule)	250			250
	24 Total operating and administrative expenses. Add lines 13 through 23	10,706	9,760	0	1,288
	25 Contributions, gifts, grants paid	61,290			61,290
	26 Total expenses and disbursements. Add lines 24 and 25	71,996	9,760	0	62,578
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-7,471			
	b Net investment income (if negative, enter -0-)		55,117		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	33,184	7,266	7,266
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	224,861	225,356	287,448
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	873,877	855,916	964,381
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,131,922	1,088,538	1,259,095	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,129,858	1,087,690	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,064	848	
	30	Total net assets or fund balances (see page 17 of the instructions)	1,131,922	1,088,538	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,131,922	1,088,538	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,131,922
2	Enter amount from Part I, line 27a	2	-7,471
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	1,124,451
5	Decreases not included in line 2 (itemize) ▶ _____	5	35,913
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,088,538

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	32,611
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	58,174	1,181,108	0 049254
2011	63,923	1,207,036	0 052959
2010	48,423	1,160,810	0 041715
2009	42,134	1,049,454	0 040148
2008	54,193	1,189,521	0 045559

2	Total of line 1, column (d).	2	0 229635
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 045927
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,128,512
5	Multiply line 4 by line 3.	5	51,829
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	551
7	Add lines 5 and 6.	7	52,380
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	62,578

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	551
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3 Add lines 1 and 2.	3	551
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	551
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	440
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	0
d Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.	7	440
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	0
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	111
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 0 Refunded	11	0

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of NBT BANK NA Telephone no (315) 366-3200 Located at 241 MAIN ST STE 200 BUFFALO NY ZIP+4 14203			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NBT BANK NA	TRUSTEE	10,374		
52 SOUTH BROAD STREET	1			
NORWICH, NY 13815				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,133,795
b	Average of monthly cash balances.	1b	11,902
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,145,697
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,145,697
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	17,185
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,128,512
6	Minimum investment return. Enter 5% of line 5.	6	56,426

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	56,426
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	551
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	551
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	55,875
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	55,875
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	55,875

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	62,578
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	62,578
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	551
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	62,027
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				55,875
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			26,048	
b Total for prior years 20__, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				0
b From 2009.				0
c From 2010.				0
d From 2011.				0
e From 2012.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 62,578				
a Applied to 2012, but not more than line 2a			26,048	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				36,530
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				19,345
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				0
b Excess from 2010. . . .				0
c Excess from 2011. . . .				0
d Excess from 2012. . . .				0
e Excess from 2013. . . .				0

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> TRUSTEES OF THE MASONIC HALL AND ASYLUM FUND 71 WEST 23RD STREET NEW YORK, NY 10010	N/A	NONE	FOR CHILD DAY CARE & SUMMER	12,158
Colgate University 13 OAK DRIVE Hamilton, NY 13346	N/A	NONE	ALUMNI ENDOWMENT FUND	24,816
CEDARS HOME FOR CHILDREN 6601 PIONEER BLVD Lincoln, NE 68506	N/A	NONE	YOUTH SERVICES	12,158
ST ANDREW'S PRIORY SCHOOL 224 QUEEN EMMA SQUARE Honolulu, HI 96813	N/A	NONE	COLLEGE PREP EDU,	12,158
Total			 3a	61,290
b <i>Approved for future payment</i>				
Total			 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15 ALTERA CORP COM	P	2012-11-15	2013-01-10
10 AMERICAN EXPRESS CO COM	P	2010-11-19	2013-01-10
15 BROADCOM CORP CL A	P	2010-07-09	2013-01-10
25 CVS CORP COM	P	2011-11-09	2013-01-10
2630 741 CALAMOS MARKET NEU INC FD-I	P	2011-09-01	2013-01-10
40 CISCO SYS INC COM	P	2012-02-23	2013-01-10
15 COCA COLA CO COM	P	2011-02-15	2013-01-10
20 COMCAST CORP-CL A	P	2011-09-01	2013-01-10
5 CUMMINS INC COM	P	2012-09-25	2013-01-10
16 001 DFA U S MICRO CAP PORTFOLIO	P	2012-09-26	2013-01-10
79 678 DFA INTERNATIONAL SMALL CO	P	2012-02-23	2013-01-10
6 179 DFA US S/C VALUE PORTFOLIO	P	2012-11-16	2013-01-10
20 HALLIBURTON CO COM	P	2012-04-04	2013-01-10
10 HALLIBURTON CO COM	P	2011-09-20	2013-01-10
19 504 HARBOR INTERNATIONAL FD-INS	P	2003-06-09	2013-01-10
508 HARBOR INTERNATIONAL FD-INS	P	2012-08-27	2013-01-10
945 ISHARES GOLD TRUST	P	2012-11-15	2013-01-10
20 J P MORGAN CHASE & CO COM	P	2009-12-21	2013-01-10
10 MCDONALDS CORP COM	P	2012-08-27	2013-01-10
25 METLIFE INC COM	P	2012-11-15	2013-01-10
15 MICROCHIP TECHNOLOGY INC	P	2012-08-27	2013-01-10
35 MONDELEZ INTERNATIONAL INC	P	2012-08-27	2013-01-10
5 NOBLE ENERGY INC	P	2011-11-09	2013-01-10
5 NORFOLK SOUTHERN CORP COM	P	2012-09-25	2013-01-10
19 677 OPPENHEIMER INTL GROWTH FD-Y	P	2009-02-24	2013-01-10
5 ORACLE CORP COM	P	2010-11-19	2013-01-10
20 ORACLE CORP COM	P	2012-02-23	2013-01-10
10 PEPSICO INC COM	P	2009-12-21	2013-01-10
15 PRUDENTIAL FINL INC COM	P		2013-01-10
5 SUNCOR ENERGY INC	P	2011-02-15	2013-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
208 663 WELLS FARGO PRECIOUS METALS C	P	2011-11-17	2013-01-10
10 EATON CORP PLC	P	2012-12-03	2013-01-10
7 754 DFA U S MICRO CAP PORTFOLIO	P	2012-09-26	2013-02-05
16 842 DFA INTERNATIONAL SMALL CO	P	2012-02-23	2013-02-05
9 632 DFA US S/C VALUE PORTFOLIO	P	2012-11-16	2013-02-05
132 855 DFA COMMODITY STRATEGY PORTFOLIO	P	2012-11-16	2013-02-05
53 314 DFA GLOBAL REAL ESTATE SECURITIES	P	2012-08-27	2013-02-05
3 613 HARBOR INTERNATIONAL FD-INS	P	2003-06-09	2013-02-05
33 022 OPPENHEIMER INTL GROWTH FD-Y	P	2009-02-24	2013-02-05
33 743 T ROWE PRICE MID CAP VAL	P		2013-02-05
157 233 CALAMOS MARKET NEU INC FD-I	P	2011-09-01	2013-02-07
50 AETNA INC NEW COM	P		2013-04-12
115 AETNA INC NEW COM	P	2012-02-23	2013-04-12
65 AIR PRODS & CHEMS INC COM	P		2013-04-12
5 AMERICAN EXPRESS CO COM	P	2010-10-18	2013-04-12
160 AMERICAN WATER WORKS CO INC	P	2012-11-15	2013-04-12
235 51 BLACKROCK HIGH YIELD BD-INST	P	2013-01-10	2013-04-12
65 BOEING CO COM	P	2011-09-01	2013-04-12
200 BRISTOL MYERS SQUIBB CO COM	P	2012-02-23	2013-04-12
25 BRISTOL MYERS SQUIBB CO COM	P	2013-01-10	2013-04-12
175 BROADCOM CORP CL A	P		2013-04-12
20 BROADCOM CORP CL A	P	2012-08-27	2013-04-12
190 CARNIVAL CORP	P		2013-04-12
180 COMCAST CORP-CL A	P		2013-04-12
45 CUMMINS INC COM	P	2009-12-21	2013-04-12
41 965 DFA U S MICRO CAP PORTFOLIO	P	2012-09-26	2013-04-12
33 545 DFA INTERNATIONAL SMALL CO	P	2012-02-23	2013-04-12
26 DFA US S/C VALUE PORTFOLIO	P	2012-11-16	2013-04-12
464 522 DFA GLOBAL REAL ESTATE SECURITIES	P	2012-08-27	2013-04-12
170 GILEAD SCIENCES INC	P	2012-02-23	2013-04-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 GILEAD SCIENCES INC	P	2013-01-10	2013-04-12
10 859 HARBOR INTERNATIONAL FD-INS	P	2003-06-09	2013-04-12
1140 ISHARES GOLD TRUST	P	2012-11-15	2013-04-12
150 J P MORGAN CHASE & CO COM	P		2013-04-12
45 J P MORGAN CHASE & CO COM	P	2012-08-27	2013-04-12
34 375 LOOMIS SAYLES BOND FUND-INS	P	2013-01-10	2013-04-12
75 MCDONALDS CORP COM	P	2011-09-20	2013-04-12
245 METLIFE INC COM	P	2012-11-15	2013-04-12
205 MICROCHIP TECHNOLOGY INC	P		2013-04-12
225 MONDELEZ INTERNATIONAL INC	P		2013-04-12
55 MONSANTO COMPANY COM	P		2013-04-12
50 NOBLE ENERGY INC	P	2011-11-09	2013-04-12
5 NOBLE ENERGY INC	P	2012-08-27	2013-04-12
75 NORFOLK SOUTHERN CORP COM	P	2012-09-25	2013-04-12
41 974 OPPENHEIMER INTL GROWTH FD-Y	P	2009-02-24	2013-04-12
135 PRUDENTIAL FINL INC COM	P		2013-04-12
20 PRUDENTIAL FINL INC COM	P	2012-08-27	2013-04-12
15 314 T ROWE PRICE MID CAP VAL	P	2012-11-16	2013-04-12
68 096 T ROWE PRICE MID CAP VAL	P	2011-09-01	2013-04-12
115 TARGET CORP COM	P	2012-02-23	2013-04-12
65 UNITED PARCEL SERVICE INC CL B	P		2013-04-12
9 138 VANGUARD TOTL BD MKT IDX INS	P	2012-09-14	2013-04-12
10 VANGUARD TELECOMMUNICATION SER ETF	P	2012-08-27	2013-04-12
30 VANGUARD TELECOMMUNICATION SER ETF	P	2011-10-14	2013-04-12
19 235 WELLS FARGO PRECIOUS METALS C	P	2012-11-16	2013-04-12
275 034 WELLS FARGO PRECIOUS METALS C	P		2013-04-12
90 EATON CORP PLC	P	2012-12-03	2013-04-12
10 NOBLE CORP	P	2012-09-25	2013-04-12
155 NOBLE CORP	P		2013-04-12
783 085 CALAMOS MARKET NEU INC FD-I	P	2011-09-01	2013-07-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
522		454	68
606		428	178
521		546	-25
1,276		962	314
33,253		31,253	2,000
816		810	6
551		473	78
768		437	331
562		465	97
241		241	
1,312		1,261	51
168		157	11
735		663	72
368		354	14
1,232		577	655
32		29	3
15,337		15,829	-492
921		837	84
914		897	17
912		787	125
501		520	-19
952		949	3
522		446	76
322		327	-5
613		306	307
174		141	33
696		578	118
708		606	102
869		924	-55
171		212	-41

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,302		17,538	-4,236
558		519	39
120		117	3
280		266	14
272		244	28
1,254		1,236	18
494		492	2
231		107	124
1,060		514	546
866		835	31
1,998		1,868	130
2,836		2,021	815
6,523		5,384	1,139
5,638		5,464	174
327		198	129
6,765		5,885	880
1,948		1,926	22
5,763		4,304	1,459
8,268		6,482	1,786
1,033		852	181
5,917		6,148	-231
676		711	-35
6,471		6,467	4
7,551		3,919	3,632
5,263		2,125	3,138
679		633	46
570		531	39
766		660	106
4,664		4,283	381
8,792		3,854	4,938

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,034		780	254
699		321	378
16,393		19,095	-2,702
7,338		5,984	1,354
2,201		1,681	520
532		528	4
7,762		6,708	1,054
8,986		7,710	1,276
7,423		7,020	403
6,856		5,946	910
5,793		4,815	978
5,662		4,459	1,203
566		438	128
5,744		4,908	836
1,377		653	724
7,657		7,110	547
1,134		1,088	46
412		370	42
1,832		1,518	314
7,983		6,309	1,674
5,453		3,166	2,287
101		102	-1
784		708	76
2,352		1,881	471
906		1,318	-412
12,954		22,357	-9,403
5,416		4,672	744
367		364	3
5,682		6,041	-359
10,000		9,303	697

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			9,157

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			68
			178
			-25
			314
			2,000
			6
			78
			331
			97
			51
			11
			72
			14
			655
			3
			-492
			84
			17
			125
			-19
			3
			76
			-5
			307
			33
			118
			102
			-55
			-41

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,236
			39
			3
			14
			28
			18
			2
			124
			546
			31
			130
			815
			1,139
			174
			129
			880
			22
			1,459
			1,786
			181
			-231
			-35
			4
			3,632
			3,138
			46
			39
			106
			381
			4,938

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			254
			378
			-2,702
			1,354
			520
			4
			1,054
			1,276
			403
			910
			978
			1,203
			128
			836
			724
			547
			46
			42
			314
			1,674
			2,287
			-1
			76
			471
			-412
			-9,403
			744
			3
			-359
			697

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	

TY 2013 Investments Corporate
Stock Schedule

Name: TUW CLAUD D STEFFENHAGEN 15056501
EIN: 16-6384243

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BHP BILLITON LTD	5,530	5,456
COCA COLA CO	6,640	8,262
CHARLES SCHWAB CORP	9,195	13,780
APPLE INC	5,757	8,415
KOHL'S CORP	14,299	16,741
AMERICAN EXPRESS CO	5,540	12,702
BANK OF NEW YORK MELLON CORP	9,262	11,356
CVS/CAREMARK CORP	6,055	10,378
COACH INC	14,435	15,436
TEVA PHARMACEUTICAL INDS	10,568	10,822
ST JUDE MEDICAL INC	10,629	15,488
WELLS FARGO & CO	9,112	11,123
EXXON MOBIL CORP	5,162	8,096
APACHE CORP	7,132	8,164
VODAFONE GROUP SPONS	2,650	3,538
CISCO SYSTEMS INC	6,852	7,626
EMC CORP/MASS	8,525	8,048
HALLIBURTON CO	3,701	9,135
EXELON CORP	6,536	4,930
ALTERA CORP	6,734	7,152
ORACLE CORP COM	4,662	8,417
PEPSICO INC	5,049	8,709
CH ROBINSON WORLDWIDE INC	7,374	7,294
EXPRESS SCRIPTS HOLDING CO	11,038	12,994
GENERAL DYNAMICS CORP	8,128	10,988
ALCOA INC	5,417	6,963
QUALCOMM INC	4,902	8,167
SUNCOR ENERGY INC	8,975	8,762
INTEL CORP	7,276	8,695
GENERAL ELEC CORP	8,221	9,811

TY 2013 Investments - Other Schedule**Name:** TUW CLAUD D STEFFENHAGEN 15056501**EIN:** 16-6384243

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DFA GLOBAL REAL ESTATE SEC	AT COST	50,435	54,986
DFA INTERNATIONAL SMALL CO INS	AT COST	20,297	28,026
FIDELITY NEW MARKETS INCOME FD	AT COST	60,234	55,239
OPPENHEIMER INTL GROWTH FD Y	AT COST	20,495	50,264
DFA US MICRO CAP	AT COST	11,504	15,365
HARBOR INTL FD	AT COST	19,883	47,714
DFA INFLATION PROT SEC INSTL	AT COST	61,365	55,449
BLACKROCK HIGH YIELD BD INSTL	AT COST	137,769	147,905
DFA EMERG MKT INSTL	AT COST	77,347	78,055
LOOMIS SAYLES BD FD INSTL	AT COST	52,170	54,679
VANGUARD TOTAL BD MARKET INSTL	AT COST	120,113	124,694
OPPENHEIMER DEVELP MKT	AT COST	70,664	86,111
DFA US S/C VALUE PORT	AT COST	10,606	14,797
CALAMOS MKT NEUT INC FD	AT COST	48,328	52,176
T ROWE PRICE MID-CAP VALUE	AT COST	30,669	41,347
DFA COMMODITY STRATEGY FD	AT COST	64,037	57,574

TY 2013 Other Decreases Schedule

Name: TUW CLAUD D STEFFENHAGEN 15056501

EIN: 16-6384243

Description	Amount
ADJUSTMENT TO BOOK VALUE DUE TO SUCCESSOR TRUSTEE	35,913

TY 2013 Other Expenses Schedule

Name: TUW CLAUD D STEFFENHAGEN 15056501

EIN: 16-6384243

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS EPTL FILING FEE	250	0		250

TY 2013 Taxes Schedule**Name:** TUW CLAUD D STEFFENHAGEN 15056501**EIN:** 16-6384243

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	78	423		0
EXCISE TAXES	3	0		0