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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.		A Employer identification number 16-6063485
Number and street (or P O box number if mail is not delivered to street address) C/O CHEMUNG CANAL TRUST CO., -PO BOX 152	Room/suite	B Telephone number (607) 538-9248
City or town, state or province, country, and ZIP or foreign postal code ELMIRA, NY 14902		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☒ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 68,849,995.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		840.	840.		STATEMENT 2
4 Dividends and interest from securities		1,759,970.	1,757,309.		STATEMENT 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		2,635,105.			STATEMENT 1
b Gross sales price for all assets on line 6a		22,257,584.			
7 Capital gain net income (from Part IV, line 2)			2,640,503.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,181.	506.		STATEMENT 4
12 Total. Add lines 1 through 11		4,397,096.	4,399,158.		
13 Compensation of officers, directors, trustees, etc		115,309.	0.		115,309.
14 Other employee salaries and wages		30,916.	0.		30,916.
15 Pension plans, employee benefits		36,556.	0.		36,556.
16a Legal fees STMT 5		39,276.	19,638.		19,638.
b Accounting fees STMT 6		30,500.	15,250.		15,250.
c Other professional fees STMT 7		446,163.	446,163.		0.
17 Interest					
18 Taxes STMT 8		92,005.	7,569.		7,473.
19 Depreciation and depletion		11,404.	0.		
20 Occupancy					
21 Travel, conferences, and meetings		33,598.	0.		33,598.
22 Printing and publications					
23 Other expenses STMT 9		36,036.	0.		35,967.
24 Total operating and administrative expenses. Add lines 13 through 23		871,763.	488,620.		294,707.
25 Contributions, gifts, grants paid		2,688,014.			2,688,014.
26 Total expenses and disbursements. Add lines 24 and 25		3,559,777.	488,620.		2,982,721.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		837,319.			
b Net investment income (if negative, enter -0-)			3,910,538.		
c Adjusted net income (if negative, enter -0-)				N/A	

**A. LINDSAY & OLIVE B. O'CONNOR
FOUNDATION, INC.**

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	2,630.	2,606.	2,606.
	2 Savings and temporary cash investments	2,351,416.	1,866,364.	1,866,364.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ <u>75,000.</u>			
	Less: allowance for doubtful accounts ▶ <u>0.</u>	75,000.	75,000.	75,000.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 11	4,421,149.	3,221,947.	3,033,838.
	b Investments - corporate stock STMT 12	20,729,627.	24,319,653.	34,352,206.
	c Investments - corporate bonds STMT 13	12,752,908.	12,051,834.	12,225,057.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 14	16,432,808.	16,003,979.	17,272,904.
	14 Land, buildings, and equipment: basis ▶ <u>94,660.</u>			
	Less: accumulated depreciation ▶ <u>72,640.</u>	29,781.	22,020.	22,020.
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	56,795,319.	57,563,403.	68,849,995.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 15)	7,844.	666.	
	23 Total liabilities (add lines 17 through 22)	7,844.	666.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	56,787,475.	57,562,737.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	56,787,475.	57,562,737.	
	31 Total liabilities and net assets/fund balances	56,795,319.	57,563,403.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	56,787,475.
2 Enter amount from Part I, line 27a	2	837,319.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	57,624,794.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	62,057.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	57,562,737.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF SECURITIES	P	VARIOUS	VARIOUS
b SALE OF SECURITIES	P	VARIOUS	VARIOUS
c CASH IN LIEU	P	VARIOUS	VARIOUS
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 17,060,546.		14,663,257.	2,397,289.
b 5,071,971.		4,953,824.	118,147.
c 7.			7.
d 125,060.			125,060.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,397,289.
b			118,147.
c			7.
d			125,060.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,640,503.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	2,887,664.	60,854,787.	.047452
2011	2,702,275.	61,267,215.	.044106
2010	3,061,827.	58,095,631.	.052703
2009	3,198,557.	53,134,255.	.060198
2008	3,559,475.	64,899,414.	.054846

2 Total of line 1, column (d)	2	.259305
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051861
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	64,327,110.
5 Multiply line 4 by line 3	5	3,336,068.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	39,105.
7 Add lines 5 and 6	7	3,375,173.
8 Enter qualifying distributions from Part XII, line 4	8	2,982,721.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	78,211.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	78,211.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	78,211.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 80,450.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 25,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	105,450.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	27,239.
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 27,239. Refunded ☐		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTP://WWW.THEOCONNORFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>CHEMUNG CANAL TRUST COMPANY, TRUST</u> Telephone no. ► <u>607-737-3896</u> Located at ► <u>PO BOX 1522 - TRUST TAX DEPARTMENT, ELMIRA, NY</u> ZIP+4 ► <u>14902</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► <u>OTHER COUNTRY</u>	16	X	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ► _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **N/A** 5b

Organizations relying on a current notice regarding disaster assistance check here ☐ Yes ☒ No

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No 6b **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **N/A** 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 16		115,309.	28,828.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	63,009,059.
b	Average of monthly cash balances	1b	2,297,652.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	65,306,711.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	65,306,711.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	979,601.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	64,327,110.
6	Minimum investment return. Enter 5% of line 5	6	3,216,356.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,216,356.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	78,211.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	78,211.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,138,145.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,138,145.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,138,145.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,982,721.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,982,721.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,982,721.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				3,138,145.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			1,744,135.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: 2,982,721.				
a Applied to 2012, but not more than line 2a			1,744,135.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				1,238,586.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				1,899,559.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

☐ 4942(j)(3) or ☐ 4942(j)(5)

(4) Gross investment income

2013.04030 A. LINDSAY & OLIVE B. O'CON 18164001

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE				2,688,014.
Total			▶ 3a	2,688,014.
b Approved for future payment				
SEE ATTACHED SCHEDULE				3,897,132.
Total			▶ 3b	3,897,132.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	840.	
4 Dividends and interest from securities			14	1,759,970.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			01	1,181.	
8 Gain or (loss) from sales of assets other than inventory			18	2,635,105.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		4,397,096.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13 4,397,096.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date 11/13/14

▶ Vice Chairmen

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

CATHERINE A.
MALIWACKI, CPA

Preparer's signature

CATHERINE A. MALI

Date _____

10/28/14

Check ☐ self-employed

PTIN	
------	--

P00051450

Firm's name ► **DAVIDSON, FOX & COMPANY, LLP**

Firm's EIN ► 15-0544726

Firm's address ► 53 CHENANGO STREET
BINGHAMTON, NY 13901

Phone no. (607) 722-5386

Form **990-PF** (2013)

The A Lindsay & Olive B.O'Connor Foundation Inc
FORM 990PF SUPPORTING SCHEDULE

2013

16-6063485

Page 1 of 87.

PART II, LINE 10 & 13

	US GOVERNMENT		CORPORATE STOCK		CORPORATE BONDS	
	COST	MARKET	COST	MARKET	COST	MARKET
CHEMUNG CANAL TRUST						
Custody Account	265,273	245,300	12,754,714	18,984,552	10,451,087	10,618,680
Hospital Account	-	-	1,977,562	2,614,895	652,259	692,082
MERRILL LYNCH						
Account E37	-	-	-	-	948,488	914,295
Account E38	2,956,674	2,788,538	9,587,377	12,752,759		
	3,221,947	3,033,838	24,319,653	34,352,206	12,051,834	12,225,057
PART II, LINE 13						
	MUTUAL FUNDS		LIMITED PARTNERSHIP		ALTERNATIVE INVESTMENTS	
	COST	MARKET	COST	MARKET	COST	MARKET
CHEMUNG CANAL TRUST						
Custody Account	11,110,036	12,206,679	325,000	385,247	-	-
Hospital Account	637,888	738,769	-	-	-	-
MERRILL LYNCH						
Account C78	-	-	-	-	382,630	409,338
Account E33	544,462	671,035	-	-	-	-
Account E38	3,003,963	2,861,836	-	-	-	-
	15,296,349	16,478,319	325,000	385,247	382,630	409,338



Chemung Canal Trust Company Page 2 of 87

Account Number:

Custody

Statement Period: December 01, 2013 Through December 31, 2013

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Fixed Income						
Corporate:						
AMERICAN EXPRESS CREDIT LTD	A-	350,000.000	359,758.00	102.79	8,312.50	2.31
03/26/2012 FC 09/24/2012 2.175%			353,583.12	101.02	2,239.76	1.43
03/24/2017						
AMGEN INC LTD 05/15/2012 FC	A	350,000.000	354,431.00	101.27	7,437.50	2.10
11/15/2012 2.125% 05/15/2017			352,359.50	100.67	950.35	1.73
ANHEUSER-BUSCH COS LLC LTD	A	350,000.000	385,637.00	110.18	15,750.00	4.08
03/11/2003 FC 10/01/2003 CALL @			398,435.62	113.84	3,937.50	1.97
MAKE WHOLE +25BP LONG 15 FC PN						
4.5% 04/01/2018						



Account Number: Custody
Statement Period: December 01, 2013 Through December 31, 2013

Principal Portfolio Statement (Continued)

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Corporate						
BANK OF AMERICA CORP DTD 03/17/2011 F/C 09/17/2011 3.625% 03/17/2016	A-	350,000.000	368,224.50 372,640.62	105.21 106.47	12,687.50 3,665.28	3.45 1.24
CAMPBELL SOUP CO DTD 7/1/2009 F/C 2/15/2010 3.375% 08/15/2014	BBB+	400,000.000	407,236.00 424,879.00	101.81 106.22	13,500.00 5,100.00	3.32 0.50
CISCO SYSTEMS INC DTD 2/17/09 F/C 8/15/19 4.95% 02/15/2019	AA-	350,000.000	393,690.50 414,927.62	112.48 118.55	17,325.00 6,545.00	4.40 2.33
CITIGROUP INC 2 1/4 08/07/15 DTD 8/7/12 F/C 2/7/13 2.25% 08/07/2015	A-	350,000.000	357,273.00 359,757.12	102.08 102.79	7,875.00 3,150.00	2.20 0.95
CONOCOPHILLIPS DTD 05/21/2009 F/C 01/15/2010 4.6% 01/15/2015	A	350,000.000	364,873.00 374,725.00	104.24 107.06	16,100.00 7,423.89	4.41 0.54
FORD MOTOR CREDIT CO LLC DTD 6/12/12 F/C 12/12/12 3% 06/12/2017	BBB-	350,000.000	363,762.00 356,201.12	103.93 101.77	10,500.00 554.17	2.89 1.81
GENERAL ELECTRIC CORP DTD 2/11/11 F/C 8/11/11 5.3% 02/11/2021	AA	350,000.000	391,517.00 382,307.62	111.86 109.23	18,550.00 7,213.89	4.74 3.39
GOLDMAN SACHS GROUP INC DTD 01/12/2005 5.125% 01/15/2015	A-	350,000.000	365,606.50 378,832.12	104.46 108.24	17,937.50 8,271.18	4.91 0.84
HOMER DEPOT INC DTD 03/24/2006 F/C 09/01/2006 5.4% 03/01/2016	A	350,000.000	383,911.50 387,798.00	109.69 110.80	18,900.00 6,300.00	4.92 0.89
INTEL INTC 1.35 12/15/17 CORP DTD 12/11/12 F/C 6/15/13 1.35% 12/15/2017	A+	350,000.000	345,919.00 349,446.12	98.83 99.84	4,725.00 210.00	1.37 1.65
INTERNATIONAL BUSINESS MACHINES CORP DTD 11/1/11 F/C 5/1/12 2.9% 11/01/2021	AA-	400,000.000	384,856.00 413,555.20	96.21 103.39	11,600.00 1,931.33	3.01 3.43
JPMORGAN CHASE & CO DTD 08/20/2012 F/C 02/15/2013 2% 08/15/2017	A	350,000.000	355,022.50 357,457.62	101.44 102.13	7,000.00 2,644.44	1.97 1.75
KRAFT FOODS INC DTD 2/08/2010 F/C 8/09/2010 4.125% 02/09/2016	BBB-	350,000.000	371,056.00 382,636.62	106.02 109.32	14,437.50 5,694.79	3.89 1.00
MCDONALD'S CORP DTD 08/02/10 F/C 01/15/11 3.5% 07/15/2020	A	350,000.000	364,623.00 374,250.62	104.18 106.93	12,250.00 5,648.61	3.36 2.79
ORACLE CORP DTD 01/13/2006 5.25% 01/15/2016	A+	350,000.000	382,060.00 330,456.50	109.16 94.42	18,375.00 8,472.92	4.81 0.74
PROCTER & GAMBLE CO DTD 2/6/2009 F/C 8/15/2009 4.7% 02/15/2019	AA-	350,000.000	390,929.00 355,721.50	111.69 101.63	16,450.00 6,214.44	4.21 2.17
THERMO FISHER SCIENTIFIC DTD 02/22/2011 F/C 09/01/2011 3.2% 03/01/2016	BBB	350,000.000	365,921.50 367,597.12	104.55 105.03	11,200.00 3,733.33	3.06 1.08
BNP PARIBAS DTD 06/29/12 F/C 06/30/14 0% 06/30/2014		375,000.000	519,112.50 375,015.00	138.43 100.00		
BNP PARIBAS DTD 2/25/13 F/C 2/27/15 0% 02/27/2015		400,000.000	389,120.00 400,015.00	97.28 100.00		
BNP PARIBAS 2 YR SPX TWIN-WIN DTD 6/28/13 0% 06/29/2015		500,000.000	558,550.00 500,015.00	111.71 100.00		
GOLDMAN SACHS GROUP INC F/C 8/29/13 0% 08/31/2015		500,000.000	535,960.00 500,015.00	107.19 100.00		



Chemung Canal Trust Company Page 4 of 87

Account Number

Custody

Statement Period: December 01, 2013 Through December 31, 2013

Principal Portfolio Statement (Continued)

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Corporate						
UNITED TECHNOLOGIES CORP DTD 6/1/12 F/C 12/1/12 3.1% 06/01/2022	A	400,000.000	391,112.00 424,799.60	97.78 106.20	12,400.00 1,033.33	3.17 3.27
VERIZON COMMUNICATIONS INC DTD 03/28/11 F/C 10/01/11 3% 04/01/2016	BBB+	350,000.000	364,980.00 353,278.62	104.28 100.94	10,500.00 2,625.00	2.88 1.08
WACHOVIA CORP DTD 01/31/2008 F/C 08/01/2008 5.75% 02/01/2018	A+	350,000.000	403,578.00 410,381.12	115.31 117.25	20,125.00 8,385.42	4.99 1.83
* Total Corporate		Sub- Total	10,618,679.50 10,451,087.10		303,937.50 101,946.63	3.53
Treasury						
US TREASURY N/B 1.5/8 08/15/22 GOVT DTD 08/15/12 F/C 02/15/13 1.625% 08/15/2022		270,000.000	245,300.40 265,272.55	90.85 98.25	4,387.50 1,657.24	1.79 2.80
* Total Treasury		Sub- Total	245,300.40 265,272.55		4,387.50 1,657.24	1.79

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
AT&T INC	T	2,155.000	75,769.80 67,885.79	35.16 31.50	3,965.20	5.23
ABBOTT LAB	ABT	930.000	35,646.90 26,448.51	38.33 28.44	818.40	2.30
AFFILIATED MANAGERS GROUP	AMG	1,515.000	328,573.20 250,607.53	216.88 165.42		
ALCATEL LUCENT ADR	ALU	14,500.000	63,800.00 51,863.68	4.40 3.58	5,104.00	8.00



Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
AMAZON COM INC	AMZN	350.000	139,576.50 93,542.09	398.79 267.26		
AMERICAN ELEC POWER	AEP	965.000	45,104.10 39,085.62	46.74 40.50	1,930.00	4.28
AMERICAN WATER WORKS	AWK	3,325.000	140,514.50 102,350.17	42.26 30.78	3,721.00	2.65
AMERIPRISE FINANCIAL INC	AMP	3,455.000	397,497.75 120,788.24	115.05 34.96	7,186.40	1.81
AMETEK INC	AME	2,498.000	131,569.66 43,622.64	52.67 17.46	599.52	0.46
ANADARKO PETROLEUM CORP	APC	1,130.000	89,631.60 55,306.89	79.32 48.94	\$13.60	0.91
ANTERO RESOURCES CORP	AR	700.000	44,408.00 38,504.60	63.44 55.01		
APPL INC	AAPL	877.000	492,014.54 205,090.05	561.02 233.85	10,699.40	2.17
AUTOMATIC DATA PROCESSING	ADP	1,080.000	87,262.92 63,831.60	80.80 59.10	2,073.60 518.40	2.38
BALL CORP	BI	1,680.000	86,788.80 55,814.28	51.66 33.22	873.60	1.01
BEAM INC	BEAM	1,305.000	88,818.30 78,648.94	68.06 60.27	1,174.50	1.32
BLACKROCK INC-CLASS A	BLK	322.000	101,903.34 71,829.82	316.47 223.07	2,163.84	2.12
BOEING	BA	1,255.000	171,294.95 129,604.58	136.49 103.27	3,664.60	2.14
BORGWARNER INC	BWA	1,220.000	68,210.20 30,498.35	55.91 25.00	610.00	0.89
BRINKER INTERNATIONAL INC RETAIL-RESTAURANTS	EAT	3,825.000	177,350.50 148,809.53	46.34 38.90	3,672.00	2.07
BROADCOM CORP	BRCM	1,675.000	49,655.38 55,170.68	29.65 32.94	737.00	1.48
CMF GROUP INC	CME	735.000	57,668.10 56,334.99	78.46 76.65	1,323.00 1,911.00	2.29
CARDINAL HEALTH INC	CAH	2,570.000	171,701.70 103,308.86	66.81 40.20	3,109.70 777.43	1.81
CAREFUSION CORPORATION W/	CFTN	1,840.000	73,268.80 53,312.93	39.82 28.97		
CELGENE CORP	CELG	1,250.000	211,210.00 72,657.14	168.97 58.13		



Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
CEPHEID INC	CPHD	1 320 000	61,607.04 54,015.70	46.67 40.92		
CHEVRON CORPORATION	CVX	3,555 000	444,055.05 300,289.97	124.91 84.47	14 220.00	3.20
CISCO	CSCO	6,115 000	137,832.35 130,374.30	22.43 21.22	4,178.60	3.03
CITIGROUP INC	C	5,174 000	269,617.14 200,581.75	52.11 38.77	206.96	0.08
CTXIX SYSTEMS INC	CTXS	1 535 000	97,088.75 101,833.87	63.25 66.34		
COLGATE PALMOLIVE	CL	1 125 000	73,361.25 53,869.73	65.21 47.88	1,530.00	2.09
COMCAST CORP CL A	CMCSA	4,915 000	255,407.98 165,338.06	51.97 33.64	3,833.70 958.43	1.50
CONAGRA	CAG	2,250 000	75,825.00 68,124.30	33.70 30.28	2 250.00	2.97
CONOCOPHILLIPS	COP	1,150 000	81,247.50 66,859.85	70.65 58.14	3,174.00	3.91
CONTINENTAL RESOURCES INC/OK	CLR	485 000	54,572.20 42,571.22	112.52 87.78		
THE COOPER COMPANIES INC		700 000	86,688.00 54,305.74	123.84 77.58	42.00	0.05
COSTCO	COST	1 115 000	132,707.30 92,662.01	119.02 83.10	1,382.60	1.04
CROWN CASTLE INTL CORP		1,565 000	114,917.95 113,301.59	73.43 72.40		
DARDEN RESTAURANTS INC/COM	DRI	1,170 000	63,612.90 55,748.37	54.37 47.65	2,574.00	4.05
DEAN FOODS CO	DF	2,260 000	38,849.40 37,191.88	17.19 16.46		
DEERE & CO	DE	700 000	63,931.00 63,961.96	91.33 91.37	1,428.00 357.00	2.23
DICKS SPORTING GOODS INC	DKS	1,680 000	97,608.00 58,615.41	58.10 34.89	840.00	0.86
DISCOVER FINANCIAL SERVICES	DFS	5,870 000	328,426.50 124,631.90	55.95 21.23	4,696.00	1.43
DOLLAR GENERAL CORP	DG	1,375 000	82,940.00 61,820.50	60.32 44.96		
DOVER	DOV	1 070 000	103,297.80 71,391.86	96.54 66.72	1,605.00	1.55



Chemung Canal Trust Company Page 7 of 87

Account Number

Custody

Statement Period: December 01, 2013 Through December 31, 2013

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
EMC CORP	EMC	2,915,000	73,312.25 72,012.30	25.15 24.70	1,166.00	1.59
EOG RESOURCES INC	EOG	585,000	98,186.40 54,730.72	167.84 93.56	438.75	0.45
EBAY INC	EBAY	1,615,000	90,252.93 71,746.18	54.87 43.61		
ECOLAB INC	ECL	1,110,000	115,739.70 63,199.52	104.27 56.94	1,221.00 305.25	1.05
EXPEDIA INC	EXPE	500,000	34,830.00 32,128.05	69.66 64.26	300.00	0.86
EXPRESS SCRIPTS HOLDING CO	ESRX	3,670,000	257,780.80 123,993.91	70.24 33.79		
EXTRA SPACE STORAGE INC REIT	EXR	2,145,000	90,368.85 80,184.41	42.13 37.38	3,432.00	3.80
EXXON MOBIL CORP	XOM	2,457,000	248,648.40 207,268.20	101.20 84.36	6,191.64	2.49
FIREYE INC	FYE	500,000	21,805.00 19,109.25	43.61 38.22		
FRESEPORT MCMORAN COPPER & GOLD INC CLASS B	FCX	2,650,000	100,011.00 91,780.75	37.74 34.63	3,312.50	3.31
GNC HOLDINGS INC	GNC	830,000	48,513.50 30,668.00	58.45 36.95	498.00	1.03
GENERAL ELECTRIC	GE	14,655,000	410,779.65 285,514.42	28.03 19.48	12,896.40 3,224.10	3.14
GENERAL MOTORS CO	GM	1,425,000	58,239.75 34,760.87	40.87 24.39		
GILEAD SCIENCES INC	GILD	3,960,000	297,396.00 89,859.89	75.10 22.69		
GOOGLE INC-CL A	GOOG	292,000	327,247.32 193,463.65	1,120.71 662.55		
GUIDEWIRE SOFTWARE INC	GWRE	500,000	24,535.00 23,048.49	49.07 46.10		
HALLIBURTON CO	HAL	4,220,000	214,165.00 204,550.31	50.75 48.47	2,532.00	1.18
HEWLETT-PACKARD	HPQ	2,600,000	72,748.00 72,045.66	27.98 27.71	1,510.60	2.08
HILLSHIRE BRANDS CO	HSH	1,870,000	62,532.80 52,910.69	33.44 28.29	1,309.00 327.25	2.09
HOME DEPOT INC	HD	4,385,000	361,060.90 223,535.89	82.34 50.98	6,840.60	1.89



Chemung Canal Trust Company Page 8 of 87

Account Number

Custody

Statement Period: December 01, 2013 Through December 31, 2013

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est. Annual Income/ Accrued Inc	Current Yield
Equities						
IDEX CORPORATION	IEEX	2,520,000	186,102.00 89,375.12	73.85 35.47	2,318.40	1.25
INTEL CORP	INTC	5,425,000	140,805.88 129,620.47	25.96 23.89	4,882.50	3.47
INTUIT INC	INTU	1,000,000	76,320.00 66,416.43	76.32 66.42	760.00	1.00
JP MORGAN CHASE & COMPANY	JPM	6,770,000	395,909.60 194,098.39	58.48 28.67	10,290.40	2.60
JOHNSON & JOHNSON	JNJ	2,490,000	228,059.10 173,082.25	91.59 69.51	6,573.60	2.88
KELLOGG	K	865,000	52,825.55 48,237.47	61.07 55.77	1,591.60	3.01
KRAFT FOODS GROUP INC	KRFT	1,287,000	69,382.17 56,768.70	53.91 44.11	2,702.70 675.68	3.90
L BRANDS INC	LTD	1,870,000	115,659.50 40,704.53	61.85 21.77	2,244.00	1.94
LAS VEGAS SANDS CORP	LVS	1,260,000	99,376.20 64,966.51	78.87 51.56	1,764.00	1.78
ELI LILLY & CO	LLY	835,000	42,585.00 34,378.09	51.00 41.17	1,636.60	3.84
LINKEDIN CORP - A	LNKD	350,000	75,890.50 78,394.41	216.83 223.98		
M&T BANK CORP	MTB	535,000	62,284.70 46,638.79	116.42 87.18	1,498.00	2.41
MACYS INC	M	1,440,000	76,896.00 50,064.69	53.40 34.77	1,440.00 360.00	1.87
MARATHON OIL CORP	MRO	805,000	28,416.50 25,116.92	35.30 31.20	611.80	2.15
MCDONALDS CORP	MCD	815,000	79,079.45 51,390.50	97.03 63.06	2,640.60	3.34
MCKESSON CORPORATION	MCK	785,000	126,699.00 54,151.82	161.40 68.98	753.60 188.40	0.59
MEAD JOHNSON NUTRITION CO	MJN	760,000	63,657.60 53,520.91	83.76 70.42	1,033.60 258.40	1.62
MEDIVATION INC	MDVN	1,030,000	65,734.60 47,592.48	63.82 46.21		
MERCK & CO INC	MRK	4,400,000	220,220.00 179,472.62	50.05 40.79	7,744.00 1,936.00	3.52
METLIFE	MET	3,990,000	215,140.80 157,558.08	53.92 39.49	4,389.00	2.04



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Statement Period: December 01, 2013 Through December 31, 2013

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
METTLER-TOLEDO INTERNATIONAL INC	MTD	235,000	57,008.65 18,674.54	242.59 79.47		
MICROSOFT	MSFT	8,670,000	324,344.70 280,222.47	37.41 32.32	9,710.40	2.99
MONDIEZ INTERNATIONAL INC-A	MDLZ	3,165,000	111,724.50 85,398.85	35.30 26.98	1,772.40 443.10	1.59
MONSANTO CO	MON	1,550,000	180,652.50 139,699.24	116.55 90.13	2,666.00	1.48
NPS PHARMACEUTICALS INC	NPSP	1,850,000	56,166.00 49,348.20	30.36 26.67		
NATIONAL OILWELL VARCO INC	NOV	875,000	69,588.75 67,107.07	79.53 76.69	910.00	1.31
NAVISTAR INTERNATIONAL	NAV	1,145,000	43,727.55 30,395.93	38.19 26.55		
NEWS CORP-CLASS A	NWSA	996,000	17,947.92 9,246.88	18.02 9.28		
NEXTERA ENERGY INC	NEX	2,140,000	183,226.80 121,758.77	85.62 56.90	5,649.60	3.08
NIKE INC CL B	NKE	3,700,000	290,968.00 152,617.16	78.64 41.25	3,552.00 888.00	1.22
NOBLE ENERGY INC	NBL	700,000	47,677.00 53,603.99	68.11 76.58	392.00	0.82
NORFOLK SOUTHERN CORP	NSC	3,025,000	280,810.75 163,401.20	92.83 54.02	6,292.00	2.24
OCCIDENTAL PETROLEUM	OXY	675,000	64,192.50 49,421.68	95.10 73.22	1,728.00 432.00	2.69
ORACLE	ORCL	4,000,000	153,040.00 133,116.36	38.26 33.28	1,920.00	1.25
PARKER HANNIFIN CORP	PH	1,780,000	228,979.20 137,952.46	128.64 77.50	3,204.00	1.40
PEOPLE'S UNITED FINANCIAL	PBCT	2,595,000	39,236.40 34,346.86	15.12 13.24	1,686.75	4.30
PEPSICO	PEP	2,760,000	228,914.40 192,080.42	82.94 69.59	6,265.20 1,566.30	2.74
Pfizer	PFT	10,975,000	336,164.25 223,198.97	30.63 20.34	11,414.00	3.40
PIONEER NATURAL RESOURCES CO	PXD	685,000	126,087.95 102,373.97	184.07 149.45	54.80	0.04
T ROWE PRICE GROUP INC	TROW	600,000	50,262.00 29,954.84	83.77 49.92	912.00	1.81



Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
PROCTER & GAMBLE	PG	2,375,000	193,348.75 149,815.06	81.41 63.08	5,714.25	2.96
PRUDENTIAL FINANCIAL INC	PRU	3,200,000	295,104.00 190,884.35	92.22 59.65	6,784.00	2.30
QUALCOMM INC	QCOM	2,245,000	166,691.25 107,353.45	74.25 47.82	3,143.00	1.89
REGAL BEHOLD CORPORATION	RBC	1,015,000	74,825.80 68,551.59	73.72 67.54	812.00 203.00	1.09
ROCKWELL COLLINS	COL	315,000	23,284.80 19,187.85	73.92 60.91	378.00	1.62
ROPER INDUSTRIES INC	ROP	765,000	106,090.20 46,658.67	138.68 60.99	612.00	0.58
SPX CORP	SPW	1,490,000	148,418.90 107,964.70	99.61 72.46	1,490.00 372.50	1.00
ST JUDE MEDICAL INC	STJ	1,890,000	117,085.50 82,920.77	61.95 43.87	1,890.00 472.50	1.61
SALESFORCE.COM INC	CRM	800,000	44,152.00 42,084.82	55.19 52.61		
SCHLUMBERGER LTD	SLB	825,000	74,340.75 57,313.35	90.11 69.47	1,031.25 257.81	1.39
CHARLES SCHWAB	SCHW	8,440,000	219,440.00 181,605.21	26.00 21.52	2,025.60	0.92
SEALED AIR CORP	SEE	2,250,000	76,612.50 51,240.05	34.05 22.77	1,170.00	1.53
SOLERA HOLDINGS INC	SLH	550,000	38,918.00 23,480.17	70.76 42.69	374.00	0.96
SPECTRA ENERGY CORP	SE	2,240,000	79,788.80 57,470.72	35.62 25.66	2,732.80	3.43
SPLUNK INC	SPLK	300,000	20,601.00 14,950.80	68.67 49.84		
STARWOOD HOTELS & RESORTS	HOT	1,185,000	94,148.25 71,416.46	79.45 60.27	1,599.75	1.70
STERIS CYCLE INC	SRCL	520,000	60,408.40 25,437.91	116.17 48.92		
TJX	TJX	1,960,000	124,910.80 20,763.02	63.73 10.59	1,136.80	0.91
T-MOBILE US INC	TMUS	2,000,000	67,280.00 54,243.04	33.64 27.12		
TEXAS INSTRUMENTS	TXN	845,000	37,103.95 28,106.93	43.91 33.26	1,014.00	2.73



Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
THERMO FISHER SCIENTIFIC INC	TMO	2,105,000	234,391.75 103,849.68	111.35 49.33	1,263.00 315.75	0.54
TRANSIGM GROUP INC	TDG	360,000	57,967.20 20,907.20	161.02 58.08		
THE TRAVELERS COMPANIES INC	TRV	1,180,000	106,837.20 87,631.99	90.54 74.26	2,360.00	2.21
TWENTY-FIRST CENTURY FOX INC	FOXA	6,705,000	235,814.85 117,199.99	35.17 17.48	1,676.25	0.71
UNITED TECHNOLOGIES	UTX	670,000	76,246.00 58,054.24	113.80 86.65	1,581.20	2.07
UNITEDHEALTH GROUP INC	UNH	740,000	55,722.00 20,058.15	75.30 27.11	828.80	1.49
VFC CORP	VFC	2,900,000	180,786.00 89,108.87	62.34 30.73	3,045.00	1.68
VALERO	VLO	1,700,000	85,680.00 69,263.68	50.40 40.74	1,530.00	1.79
VISA INC-CLASS A SHARES	V	875,000	194,845.00 151,504.82	222.68 173.15	1,400.00	0.72
VMWARE INC - CLASS A	VMW	895,000	80,290.45 72,913.13	89.71 81.47		
VODAFONE GROUP PLC SP ADR	VOD	3,560,000	139,943.60 104,136.91	39.31 29.25	5,660.40	4.04
WAL-MART STORES INC	WMT	740,000	58,230.60 44,034.00	78.69 59.51	1,391.20 347.80	2.39
WELLS FARGO COMPANY	WFC	6,850,000	310,990.00 209,974.21	45.40 30.65	8,220.00	2.64
WHOLE FOODS MARKET INC	WFM	2,110,000	139,370.30 87,119.89	57.83 36.15	1,156.80	0.83
ACCENTURE PLC CL A	ACN	2,190,000	180,061.80 121,599.24	82.22 55.52	4,073.40	2.26
INVI-SO LTD	IVZ	5,555,000	202,202.00 130,019.03	36.40 23.41	4,999.50	2.47
NORWEGIAN CRUISE LINE HOLDINGS LTD	NCLH	550,000	19,508.50 16,621.95	35.47 30.22		
CHECK POINT SOFTWARE TECH LTD	CHKP	345,000	22,252.16 12,286.33	64.50 35.61		
STRATISYS LTD	SSYS	290,000	39,063.00 25,321.60	134.70 87.32		
LYONDELL BASFLL INDUSTRIES NV -CL A	LYB	1,895,000	152,130.60 107,092.78	80.28 56.51	4,548.00	2.99



Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
AVAGO TECHNOLOGIES LTD	AVGO	700.000	37,015.30 30,179.43	52.88 43.11	700.00	1.89
* Total Equities			18,984,551.83 12,754,713.72		327,196.26 17,096.10	1.72
Mutual Funds						
ABERDEEN GLOBAL HIGH INCOME-I		997	526,398.87 505,636.34	10.05 9.65	37,974.05 2,971.93	7.21
MFS EMERGING MKTS DEBT FUND-I	MEDIX	21,003,095	304,964.94 301,410.94	14.52 14.35	15,920.35	5.22
RIDGEWORTH SEIX FLOA TING-I	SAMBX	55,309.735	501,106.20 500,000.00	9.06 9.04	20,962.39 1,747.07	4.18
INVESTCO EUROPEAN GROWTH FUND-Y	AEDYX	45,003.679	1,777,195.28 1,211,299.53	39.49 26.92	24,031.96	1.35
EATON VANCE STRUCTURED EMERGING MARKETS FUND - I	EJEMX	105,477.029	1,569,498.19 1,419,015.96	14.88 13.45	23,310.42	1.49
ISHARES MSCI PACIFIC EX JPN	IJP	30,015.000	1,402,600.95 1,249,487.79	46.73 41.63	57,268.62 2,474.02	4.08
ISHARES RUSSELL 2000 INDEX FUND	IWM	6,045.000	697,351.20 463,530.60	115.36 76.68	8,547.63	1.23
LEGG MASON CLRBRDG S/C GR-Y	SBPYX	18,856.216	558,898.24 513,341.97	29.64 27.22		
WISDOM TREE JAPAN HEDGED EQ	DJX	8,300.000	421,972.00 401,246.96	50.84 48.34	5,129.40	1.22
DEUTSCHE BANK PRIVATE EQUITY GLOBAL SELECT FUND (INT'L) FUND #7297 - HEDGE FUND		960,000.000	504,726.72 628,880.98	0.53 0.66		
DEUTSCHE BANK PRIVATE EQUITY GLOBAL SELECT FUND II (INT'L) FUND #7257 - HEDGE FUND		870,000.000	732,960.21 771,727.81	0.84 0.89		
TOPIARY TRUST CLASS I/A - HEDGE FUND		1,174.979	53,907.11 40,749.60	45.88 34.68		
DEUTSCHE BANK PRIVATE EQUITY GLOBAL SELECT FUND IV (INTERNATIONAL) FUND #7205 - HEDGE FUND		870,000.000	810,292.77 765,900.73	0.93 0.88		
DB PRIVATE EQUITY ASIA SELECT FUND III (INTERNATIONAL) L.P FUND #7288 - HEDGE FUND		810,000.000	757,981.80 830,000.00	0.94 1.02		



Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield																																																																																																																							
Mutual Funds Equity																																																																																																																													
CCY HEALTHCARE PARTNERS, LTD		402.966	752,598.89	1,867.65																																																																																																																									
HEDGE FUND			500,000.00	1,240.80																																						IPATH DOW JONLS-UBS COMMDTY	DJP	22,700.000	834,225.00	36.75						1,007,806.99	44.40										TOTAL MUTUAL FUNDS			12,206,678.37							11,110,036.20																		LIMITED PARTNERSHIP							TITAN GLOBAL EQUITY OFFSHORE		312.030	385,247.40	1,234.65			HEDGE FUND			325,000.00	1,041.57			TOTAL LIMITED PARTNERSHIP			385,247.40							325,000.00			
IPATH DOW JONLS-UBS COMMDTY	DJP	22,700.000	834,225.00	36.75																																																																																																																									
			1,007,806.99	44.40										TOTAL MUTUAL FUNDS			12,206,678.37							11,110,036.20																		LIMITED PARTNERSHIP							TITAN GLOBAL EQUITY OFFSHORE		312.030	385,247.40	1,234.65			HEDGE FUND			325,000.00	1,041.57			TOTAL LIMITED PARTNERSHIP			385,247.40							325,000.00																																																				
TOTAL MUTUAL FUNDS			12,206,678.37																																																																																																																										
			11,110,036.20																		LIMITED PARTNERSHIP							TITAN GLOBAL EQUITY OFFSHORE		312.030	385,247.40	1,234.65			HEDGE FUND			325,000.00	1,041.57			TOTAL LIMITED PARTNERSHIP			385,247.40							325,000.00																																																																									
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HEDGE FUND			325,000.00	1,041.57			TOTAL LIMITED PARTNERSHIP			385,247.40							325,000.00																																																																																																												
TOTAL LIMITED PARTNERSHIP			385,247.40																																																																																																																										
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Statement Period December 01 2013 Through December 31 2013

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Fixed Income						
Corporate						
CISCO SYSTEMS DTD 02/22/2006 F/C 08/22/2006 5.5% 02/22/2016	AA-	100,000.00	110,013.00 101,738.00	110.01 101.74	5,500.00 1,970.83	5.00 0.80
HSBC FINANCE CORP DTD 4/20/2005 17/C 10/15/2005 5.25% 04/15/2015	A	50,000.00	52,660.00 49,092.00	105.32 98.18	2,625.00 554.17	4.98 1.11
MERRILL LYNCH & CO DTD 07/19/2004 17/C 01/15/2005 5.45% 07/15/2014	A-	100,000.00	102,596.00 97,784.00	102.60 97.78	5,450.00 2,513.06	5.31 0.69



Principal Portfolio Statement (Continued)

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Corporate						
MORGAN STANLEY DTD 12/28/2007 17C 06/28/2008 5.95% 12/28/2017	A-	100,000.000	114,252.00 99,750.00	114.25 99.75	5,950.00 49.58	5.21 2.25
POTASH CORP - SASKATCHEWAN DTD 9/28/2009 1C 3/30/2010 3.75% 09/30/2015	A-	100,000.000	104,853.00 101,645.00	104.85 101.65	3,750.00 947.92	3.58 0.95
RYDER SYSTEM INC DTD 02/24/2011 17C 09/01/2011 3.15% 03/02/2015	BBB	100,000.000	102,441.00 101,720.00	102.44 101.72	3,150.00 1,050.00	3.07 1.06
WRIGLEY JR CO DTD 07/14/2005 17C 01/15/2006 4.65% 07/15/2015	BBB+	100,000.000	105,267.00 100,530.00	105.27 100.53	4,650.00 2,144.17	4.42 1.20
** Total Corporate		Sub- Total	692,082.00 652,259.00		31,075.00 9,229.73	4.49
* Total Fixed Income			692,082.00 652,259.00		31,075.00 9,229.73	4.49

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
APPLE INC	AAPL	150.000	84,153.00 78,335.56	561.02 522.24	1,830.00	2.17
APPLIED MATERIALS INC	AMAT	3,410.000	60,288.80 45,061.74	17.68 13.21	1,364.00	2.26
BANK OF AMERICA CORP	BAC	6,630.000	103,229.10 59,352.88	15.57 8.95	265.20	0.26
CHEVRON CORPORATION	CVX	415.000	51,837.65 31,916.46	124.91 76.91	1,660.00	3.20
CISCO	CSCO	2,990.000	67,065.70 57,860.27	22.43 19.35	2,033.20	3.03
COCA-COLA	KO	1,580.000	65,269.80 45,142.09	41.31 28.57	1,769.60	2.71
COLGATE PALMOLIVE	CL	780.000	50,863.80 37,464.84	65.21 48.03	1,060.80	2.09
CORNING INC COM	GLW	3,335.000	59,429.70 47,422.15	17.82 14.22	1,334.00	2.24
DR HORTON INC	DHI	3,970.000	88,610.40 63,172.64	22.32 15.91	595.50	0.67
DUPONT DE NEMOURS	DD	1,250.000	81,212.50 73,925.75	64.97 59.14	2,250.00	2.77
EMC CORP	EMC	2,670.000	67,150.50 60,323.18	25.15 22.59	1,068.00	1.59



Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
EMERSON ELECTRIC	EMR	750.000	52,635.00 38,795.93	70.18 51.73	1,290.00	2.45
ENZO BIOCHEM INC	ENZ	5,450.000	15,914.00 54,254.15	2.92 9.95		
EXXON MOBIL CORP	XOM	530.000	53,636.00 44,882.24	101.20 84.68	1,335.60	2.49
FORD MOTOR COMPANY	F	7,150.000	110,324.50 87,965.88	15.43 12.30	2,860.00	2.59
GENERAL ELECTRIC	GE	3,000.000	84,090.00 41,233.20	28.03 13.74	2,640.00 660.00	3.14
GOLDMAN SACHS GROUP INC	GS	450.000	79,767.00 69,771.03	177.26 155.05	990.00	1.24
HOMER DEPOT INC	HD	960.000	79,046.40 35,852.81	82.34 37.35	1,497.60	1.89
INTEL CORP	INTC	1,650.000	42,825.75 34,713.08	25.96 21.04	1,485.00	3.47
INTERNATIONAL BUSINESS MACHINES	IBM	290.000	54,395.30 57,041.27	187.57 196.69	1,102.00	2.03
J.P. MORGAN CHASE & COMPANY	JPM	1,570.000	91,813.60 62,331.84	58.48 39.70	2,386.40	2.60
JACOBS ENGINEERING GROUP INC	JEC	760.000	47,872.40 45,244.78	62.99 59.53		
JOHNSON & JOHNSON	JNJ	690.000	63,197.10 46,662.91	91.59 67.63	1,821.60	2.88
JOHNSON CONTROLS INC	JCI	860.000	44,118.00 34,013.00	51.30 39.55	756.80 242.00	1.72
M&T BANK CORP	MTB	610.000	71,016.20 52,073.84	116.42 85.37	1,708.00	2.41
NATIONAL OILWELL VARCO INC	NOV	960.000	76,348.80 45,297.79	79.53 47.19	998.40	1.31
NUCOR	NUE	840.000	44,839.20 37,837.18	53.38 45.04	1,243.20 310.80	2.77
PEPSICO	PEP	600.000	49,764.00 37,022.41	82.94 61.70	1,362.00 340.50	2.74
Pfizer	PFE	2,540.000	77,800.20 43,380.67	30.63 17.08	2,641.60	3.40
PROCTER & GAMBLE	PG	535.000	43,554.35 34,416.12	81.41 64.33	1,287.21	2.96
QUALCOMM INC	QCOM	1,120.000	83,160.00 61,100.88	74.25 54.55	1,568.00	1.89



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Statement Period December 01, 2013 Through December 31, 2013

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
SANDISK CORP	SNDK	1,505,000	106,162.70 64,923.09	70.54 43.14	1,354.50	1.28
SIGMA DESIGNS INC	SIGM	4,660,000	21,995.20 39,690.74	4.72 8.52		
FOLL. BROTHERS INC	FOL	3,050,000	112,850.00 85,283.31	37.00 27.96		
THE TRAVELLERS COMPANIES INC	TRV	580,000	52,513.20 34,534.06	90.54 59.54	1,160.00	2.21
UNITED STATES STEEL CORP	X	3,260,000	96,170.00 74,132.88	29.50 22.74	652.00	0.68
UNITED TECHNOLOGIES	UTX	340,000	38,692.00 12,930.64	113.80 38.03	802.40	2.07
WAL-MART STORES INC	WMT	630,000	49,574.70 57,536.52	78.69 59.58	1,184.40 296.10	2.39
WELLS FARGO COMPANY	WFC	2,020,000	91,708.00 64,661.84	45.40 32.01	2,424.00	2.64
Total Equities			2,614,894.55 1,977,561.65		51,781.01 1,849.40	1.98
Mutual Funds						
LOOMIS SAYLES INST HIGH INC	LSHIX	25,553,663	195,485.52 150,000.00	7.65 5.87	12,853.49	6.58
VANGUARD S/T INVEST GR-ADM	VISUX	9,282,441	99,322.12 100,803.06	10.70 10.86	1,893.62	1.91
ALLIANZ NACM INTL GRW OPPORTUNITY FUND	ALOPX	1,525,800	59,963.94 55,032.12	39.30 36.07	184.62	0.31
ARTISAN INTERNATIONAL FUND INT	ARTIX	988,767	30,137.62 25,845.51	30.48 26.14	560.63	1.86
DODGE & COX INTL STOCK FUND	DODFX	525,389	22,612.74 21,146.25	43.04 40.25	365.15	1.61
FEDERATED STRAT VAL DVD-INST	SVAIX	52,055,302	304,002.96 251,675.83	5.84 4.83	10,306.95	3.39
GMO FOREIGN FUND III	GMOFX	1,990,064	27,243.98 33,385.51	13.69 16.78	672.64	2.47
TOTAL MUTUAL FUNDS			738,768.88 637,888.28			

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ALTERNATIVE INVESTMENTS (NOT HELD IN THE NAME OF MLPF&S^(*))

Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ACP POWER AND ENERGY REAL ASSET FUND A CLASS A EST MKT PRICE AS OF 09/30/13	07/12/11	135	1,018.5185	137,500.00	876.0000	118,260.00			
ACP POWER AND Subtotal	N/A	1	N/A	N/A	876.0000	876.00			
CHILTON SMALL CAP ACCESS LTD CLASS I (H)	12/01/09	124,091	1.6117	199,999.65	1.9905	247,003.14	47,003.49		
EST MKT PRICE AS OF 11/29/13									
COAST ACCESS LTD (0780 FRACTIONAL SHARE) CLASS A UL	08/01/08 08/01/08	7,498	1.2536 1.2820	9,400.24 0.10	1.2369 1.2369	9,274.28 10	(125.96)		
EST MKT PRICE AS OF 10/31/13									
Subtotal		7,498.0780		9,400.34		9,274.38	(125.96)		
COAST ACCESS LTD (1560 FRACTIONAL SHARE) CLASS C UL	07/01/08 07/01/08	28,307	1.2622 1.2179	35,730.15 0.19	1.1907 1.1907	33,705.14 19	(2,025.01)		
EST MKT PRICE AS OF 10/31/13									
Subtotal		28,307.1560		35,730.34		33,705.33	(2,025.01)		
1MTH ACP POWER AND ENERGY REAL ASSET FUND A CLASS A EST MKT PRICE AS OF 09/30/13	N/A	250	N/A	N/A	0.8760	219.00			

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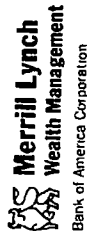
YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

ALTERNATIVE INVESTMENTS (NOT HELD IN THE NAME OF MLPF&S ^(*)) (continued)						
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
TOTAL				382,630.33		409,337.85
					Unrealized Gain/(Loss)	Estimated Annual Income
						Current Yield%
					44,852.52	

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MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
AMERICAN EURO PACIFIC	4,231	175,154.93	48.9800	207,234.38	32,079.45	175,154	32,079	2,427	1.17
GROWTH FUND CL F2									
SYMBOL AEPFX	Initial Purchase 11/19/10								
Equity 100%									



AL&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
ARTISAN INTERNATIONAL FUND INVESTOR CL SYMBOL: ARTIX Initial Purchase 11/19/10 Equity 100%	2,254	49,317.52	30.4800	68,701.92	19,384.40	49,317	19,384	1,276	1.85
HARBOR INTERNATIONAL FUND INSTL CL SYMBOL: HAINX Initial Purchase 11/19/10 Equity 100%	2,831	170,077.82	71.0100	201,029.31	30,951.49	170,077	30,951	4,232	2.10
THOMAS WHITE INTERNATIONAL FUND CL I SYMBOL: TWWIX Initial Purchase 05/21/12 Equity 100%	9,926	149,897.61	19.5500	194,053.30	44,155.69	149,897	44,155	2,601	1.34
.7850 Fractional Share		13.69	19.5500	15.35	1.66			1	1.34
Subtotal (Equities)		544,461.57 ✓		671,034.26	126,572.69		126,569	10,537	1.57
TOTAL				671,034.26					

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Description									
CAD CANADIAN GOVERNMENT BONDS 03 750% JUN 01 2019 MOODY'S AAA S&P AAA CUSIP 135087YR9	10/29/09	35,000	33,445.10	102.1430	35,750.05	2,304.95	107.88	1,236	3.45
CAD CANADIAN GOVERNMENT Subtotal	11/18/10	20,000 55,000	20,747.81 54,192.91	102.1430	20,428.60 56,178.65	(319.21) 1,985.74	61.64 169.52	706 1,942	3.45 3.45
EUR FINNISH GOVT 4 375% JUL 04 2019 MOODY'S AAA S&P AAA CINS X7331AGZ3	09/17/10	15,000	22,410.96	160.4346	24,065.19	1,654.23	445.95	905	3.75
EUR FINNISH GOVT Subtotal	11/18/10	10,000 25,000	15,232.46 37,643.42	160.4346	16,043.46 40,108.65	811.00 2,465.23	297.30 743.25	603 1,508	3.75 3.75
EUR NETHERLANDS GOVT 4 000% JUL 15 2019 MOODY'S AAA S&P *** CINS N45810CT8	10/26/09	30,000	46,203.96	157.6374	47,291.22	1,087.26	765.61	1,654	3.49
EUR NETHERLANDS GOVT Subtotal	11/18/10	10,000 40,000	14,867.58 61,071.54	157.6374	15,763.74 63,054.96	896.16 1,983.42	255.20 1,020.81	552 2,206	3.49 3.49
DKK DENMARK - BULLET 3 000% NOV 15 2021 MOODY'S AAA S&P AAA CINS K5675SKZ1	11/10/11	220,000	44,119.96	20.3930	44,864.60	744.64	153.63	1,219	2.71
GBP TREASURY 4 000% MAR 07 2022 MOODY'S AAT S&P *** CINS G924504T2	08/24/12	30,000	57,837.32	181.1936	54,358.08	(3,479.24)	631.39	1,988	3.65
EUR DEUTSCHLAND REP 1 750% JUL 04 2022 MOODY'S AAA S&P *** CINS D206592A1	06/28/12	35,000	44,547.81	138.2634	48,392.19	3,844.38	416.22	844	1.74

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
EUR BELGIUM KINGDOM 4 250% SEP 28 2022 MOODY'S *** S&P *** CINS B7108WAB9	10/03/12	25,000	37,259.64	158.1059	39,526.48	2,266.84	377.05	1,464	3.70
JPY DEVELOPMNT BK OF JPN 1 050% JUN 20 2023 MOODY'S AA3 S&P AA- CINS J12168AR1	11/25/09	3,000,000	31,696.18	0.9795	29,385.00	(2,311.18)	9.03	300	1.02
JPY DEVELOPMNT BK OF JPN Subtotal	11/18/10	4,000,000 7,000,000	45,790.92 77,487.10	0.9795	39,180.00 68,565.00	(6,610.92) (8,922.10)	12.04 21.07	400 700	1.02
JPY KFW 2 050% FEB 16 2026 MOODY'S AAA S&P AAA- CUSIP 500769BN3	10/30/09	5,000,000	55,189.46	1.0813	54,065.00	(1,124.46)	366.05	1,000	1.84
JPY KFW Subtotal	11/18/10	2,000,000 7,000,000	25,074.84 80,264.30	1.0813	21,626.00 75,691.00	(3,448.84) (4,573.30)	146.42 512.47	400 1,400	1.84
JPY EUROPEAN INVT BK 2 150% JAN 18 2027 MOODY'S AAA S&P AAA- CINS L32484XJ8	01/25/12	4,000,000	52,914.80	1.0670	42,680.00	(10,234.80)	372.12	800	1.87
TOTAL		23,252,500	948,487.98		914,295.67	(34,192.31)	9,288.17	30,820	3.37

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GOVERNMENT AND AGENCY SECURITIES ¹									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ U.S. TREASURY NOTE	11/23/12	23,000	23,062.15 ✓	98.1880	22,583.24	(478.91)	29.07	173	76
0 750% OCT 31 2017									
MOODY'S AAA S&P AAA CUSIP 912828TW0									
ORIGINAL UNIT/TOTAL COST	100 3465/23,079 70								

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES * (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ U.S. TREASURY NOTE	12/13/12	373,000	374,170.36 ✓	98.1880	366,241.24	(7,929.12)	471.40	2,798	.76
ORIGINAL UNIT/TOTAL COST	100 3984/374,486.17								
U.S. TREASURY NOTE	06/12/13	14,000	13,845.78 ✓	98.1880	13,746.32	(99.46)	17.69	105	.76
Subtotal		410,000	411,078.29		402,570.80	(8,507.49)	518.16	3,076	.76
U.S. TREASURY NOTE	01/11/13	250,000	247,656.25 ✓	94.4450	236,112.50	(11,543.75)		2,813	1.19
1 125% DEC 31 2019									
MOODY'S AAA S&P *** CUSIP 912828UF5									
U.S. TREASURY NOTE	06/12/13	9,000	8,769.38 ✓	94.4450	8,500.05	(269.33)		102	1.19
Subtotal		259,000	256,425.63		244,612.55	(11,813.08)		2,915	1.19
Δ U.S. TREASURY NOTE	05/07/13	185,000	191,386.05 ✓	95.4450	176,573.25	(14,812.80)	470.16	3,700	2.09
2 000% NOV 15 2021 02 000% NOV 15 2021									
MOODY'S AAA S&P *** CUSIP 912828RR3									
ORIGINAL UNIT/TOTAL COST	103 7187/191,879.69								
Δ U.S. TREASURY NOTE	06/12/13	10,000	10,026.43 ✓	95.4450	9,544.50	(481.93)	25.41	200	2.09
ORIGINAL UNIT/TOTAL COST	100 2813/10,028.13								
Subtotal		195,000	201,412.48		186,117.75	(15,294.73)	495.57	3,900	2.09
Δ U.S. TRSY INFLATION NTE	07/24/12	172,000	187,207.89 ✓	95.7890	167,338.82	(19,869.07)	98.73	219	13
0 125% JUL 15 2022 INFL ADJ PRIN 174.695									
MOODY'S AAA S&P *** CUSIP 912828TEO									
ORIGINAL UNIT/TOTAL COST	108 4650/186,559.88								
Δ U.S. TRSY INFLATION NTE	11/28/12	123,000	135,173.40 ✓	95.7890	119,666.72	(15,506.68)	70.61	157	.13
INFL ADJ PRIN 124.927									
ORIGINAL UNIT/TOTAL COST	110 0432/135,353.22								
Δ U.S. TRSY INFLATION NTE	06/12/13	7,000	7,169.40 ✓	95.7890	6,810.30	(359.10)	4.02	9	13
INFL ADJ PRIN 7.109									
ORIGINAL UNIT/TOTAL COST	102 0970/7,146.79								
Subtotal		302,000	329,550.69		293,815.84	(35,734.85)	173.36	385	.13

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES * (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Estimated Current Yield%
Δ U.S. TREASURY NOTE 2.000% FEB 15 2023 023 MOODY'S AAA S&P *** CUSIP 912828UN8 ORIGINAL UNIT/TOTAL COST 100 7152/64,457 75	03/06/13	64,000	64,423.08 ✓	92.7660	59,370.24	(5,052.84)	480.00	1,280	2.15
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST 101 2812/133,691 25	04/02/13	132,000	133,573.50 ✓	92.7660	122,451.12	(11,122.38)	990.00	2,640	2.15
Subtotal		196,000	197,996.58		181,821.36	(16,175.22)	1,470.00	3,920	2.15
Δ U.S. TRSY INFLATION BOND 2.375% JAN 15 2025 INFL ADJ PRIN 91,693 MOODY'S AAA S&P *** CUSIP 912810FR4 ORIGINAL UNIT/TOTAL COST 168 8593/124,955 91	12/07/12	74,000	122,715.31 ✓	114.9450	105,396.98	(17,318.33)	807.11	2,178	2.06
Δ U.S. TRSY INFLATION BOND INFL ADJ PRIN 3,717 ORIGINAL UNIT/TOTAL COST 151 5916/4,547 75	06/12/13	3,000	4,522.30 ✓	114.9450	4,272.85	(249.45)	32.72	89	2.06
Subtotal		77,000	127,237.61		109,669.83	(17,567.78)	839.83	2,267	2.06
Δ U.S. TRSY INFLATION BON 2.500% JAN 15 2029 INFL ADJ PRIN 39,163 MOODY'S AAA S&P *** CUSIP 912810PZ5 ORIGINAL UNIT/TOTAL COST 153 1666/55,140 01	07/11/12	36,000	54,250.71 ✓	117.5160	46,023.17	(8,227.54)	413.31	980	2.12
Δ U.S. TRSY INFLATION BON INFL ADJ PRIN 128,368 ORIGINAL UNIT/TOTAL COST 157 8830/186,302 00	12/07/12	118,000	183,506.59 ✓	117.5160	150,853.71	(32,652.88)	1,354.75	3,210	2.12
Δ U.S. TRSY INFLATION BON INFL ADJ PRIN 17,405 ORIGINAL UNIT/TOTAL COST 136 7531/21,880 51	06/12/13	16,000	21,793.50 ✓	117.5160	20,454.74	(1,338.76)	183.70	436	2.12
Subtotal		170,000	259,550.80		217,331.62	(42,219.18)	1,951.76	4,626	2.12

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A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)		Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
FNMA P735580 05%2035	05/16/08	332,000	42,370.89 ✓	108.6206	46,709.56	4,338.67	179.17	2,151	4.60
AMORTIZED FACTOR 0 129525570 AMORTIZED VALUE 43,002									
MOODY'S *** S&P *** CUSIP 31402RFV6									
FNMA P735580 05%2035	05/21/09	28,000	3,740.34 ✓	108.6206	3,939.36	199.02	15.11	182	4.60
AMORTIZED VALUE 3,626									
Subtotal		360,000	46,111.23		50,648.92	4,537.69	194.28	2,333	4.60
FNMA PAL0609 05%2036	06/20/13	25,000	8,832.77 ✓	108.5623	8,865.94	33.17	34.03	409	4.60
AMORTIZED FACTOR 0 326667330 AMORTIZED VALUE 8,166									
MOODY'S *** S&P *** CUSIP 3138EGVB7									
FNMA P745580 05%2036	09/16/13	1,000	138.44 ✓	108.5323	138.64	.20	53	7	4.60
AMORTIZED FACTOR 0 127741610 AMORTIZED VALUE 127									
MOODY'S *** S&P *** CUSIP 31403DJZ3									
FHLMC GO 4062 06%2038	03/26/08	44,000	5,008.31 ✓	110.2235	5,372.59	364.28	24.37	293	5.44
AMORTIZED FACTOR 0 110778910 AMORTIZED VALUE 4,874									
MOODY'S *** S&P *** CUSIP 3128MSZ37									
FNMA P889579 06%2038	10/22/08	245,000	23,752.36 ✓	110.7108	25,780.82	2,028.46	116.43	1,398	5.41
AMORTIZED FACTOR 0 095047480 AMORTIZED VALUE 23,286									
MOODY'S *** S&P *** CUSIP 31410KJY1									
FNMA P889579 06%2038	05/21/09	109,000	10,874.95 ✓	110.7108	11,469.83	594.88	51.80	622	5.41
AMORTIZED VALUE 10,360									
Subtotal		354,000	34,627.31 ✓		37,250.65	2,623.34	168.23	2,020	5.41
FNMA P992033 06%2038	12/08/08	272,000	8,653.85 ✓	110.6244	9,353.32	699.47	42.27	508	5.42
AMORTIZED FACTOR 0 031084650 AMORTIZED VALUE 8,455									
MOODY'S *** S&P *** CUSIP 31415XBAB									
FNMA P995672 04 50%2039	10/11/11	204,000	39,486.35 ✓	105.9368	39,661.58	175.23	140.39	1,685	4.24
AMORTIZED FACTOR 0 183524070 AMORTIZED VALUE 37,438									
MOODY'S *** S&P *** CUSIP 31416CCH7									

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)					Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current	
Description	Acquired	Quantity								Annual Income	Yield%
FHLMC GO 5937 04 50%2040 AMORTIZED FACTOR 0 323698160 AMORTIZED VALUE 39,491 MOODY'S *** S&P *** CUSIP 3128M74W3	03/12/12	122,000			42,101.30 ✓	105.9402	41,837.03	(264.27)	148.09	1,778	4.24
FHLMC A9 6409 03 50%2041 AMORTIZED FACTOR 0 636332730 AMORTIZED VALUE 115,812 MOODY'S *** S&P *** CUSIP 312945DN5	06/07/12	182,000			121,395.09 ✓	99.3346	115,041.94	(6,353.15)	337.79	4,054	3.52
FNMA PAH2683 04%2041 AMORTIZED FACTOR 0 486338480 AMORTIZED VALUE 162,437 MOODY'S *** S&P *** CUSIP 3138A36V4	04/19/12	334,000			171,929.47 ✓	103.0010	167,311.79	(4,617.68)	541.45	6,498	3.88
FHLMC A9 6807 04%2041 AMORTIZED FACTOR 0 493235760 AMORTIZED VALUE 111,964 MOODY'S *** S&P *** CUSIP 312945R42	08/14/12	227,000			119,609.60 ✓	102.7704	115,066.38	(4,543.22)	373.21	4,479	3.89
FNMA PAE0828 03 50%2041 AMORTIZED FACTOR 0 598010540 AMORTIZED VALUE 8,970 MOODY'S *** S&P *** CUSIP 31419A4N4	07/22/13	15,000			9,129.94 ✓	99.4520	8,921.00	(208.94)	26.16	314	3.51
FNMA PAL0065 04 50%2041 AMORTIZED FACTOR 0 536274670 AMORTIZED VALUE 137,923 MOODY'S *** S&P *** CUSIP 3138EGCB8	03/17/11	246,000			133,108.82 ✓	105.9766	139,808.11	6,699.29	494.71	5,937	4.24
FNMA PAH218 04%2041 AMORTIZED FACTOR 0 523412720 AMORTIZED VALUE 24,600 MOODY'S *** S&P *** CUSIP 3138ANDY6	06/18/13	47,000			26,045.67 ✓	103.0037	25,339.32	(706.35)	82.00	984	3.88
FHLMC Q0 4649 03 50%2041 AMORTIZED FACTOR 0 603957470 AMORTIZED VALUE 17,514 MOODY'S *** S&P *** CUSIP 3132GKZN9	04/05/13	29,000			18,625.86 ✓	99.3346	17,398.22	(1,227.64)	51.08	614	3.52

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PRIVATE BANKING &
INVESTMENT GROUP

A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
FNMA PAJ5300 04%2041 09/06/13 AMORTIZED VALUE 32,741 MOODY'S *** S&P *** CUSIP 3138AW3J0	41,000	33,601.43	103 1734	33,780.98	179.55	109.14	1,310	3 87
FNMA PAJ5380 04%2041 04/04/13 AMORTIZED VALUE 28,626 MOODY'S *** S&P *** CUSIP 3138AW6S7	51,000	30,701.84	103 0162	29,489.85	(1,211.99)	95.42	1,146	3 88
FNMA PAB4102 03 50%2041 01/11/12 AMORTIZED VALUE 108,465 MOODY'S *** S&P *** CUSIP 31417ARY7	176,245	111,643.38	99 4419	107,860.26	(3,783.12)	316.36	3,797	3 51
FNMA PAP7553 03%2042 11/07/12 AMORTIZED VALUE 168,986 MOODY'S *** S&P *** CUSIP 3138MBMB9	184,000	173,762.73	95 0400	160,604.61	(17,332.73)	422.47	5,070	3 15
FNMA PAP7553 03%2042 03/18/13 AMORTIZED VALUE 5,510 Subtotal	6,000	5,680.90	95 0400	5,237.11	(443.79)	13.78	166	3 15
FHLMC GO 8537 03%2043 09/06/13 AMORTIZED VALUE 14,778 MOODY'S *** S&P *** CUSIP 3128MJS35	190,000	183,618.24	94,8560	165,841.72	(17,776.52)	436.25	5,236	3 15
FHLMC GO 8541 03 50%2043 09/05/13 AMORTIZED VALUE 19,722 MOODY'S *** S&P *** CUSIP 3128MJS76	15,000	13,921.66	99 3346	14,018.73	97.07	36.95	444	3 16
TOTAL	4,564,245	2,956,674.61		2,788,538.09	(172,311.13)	9,158.91	65,626	2.35

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
A N S Y S INC COM	ANSS	11/22/10	43	48.2895	2,076.45	87.2000	3,749.60	1,673.15		
		11/22/11	9	57.5177	517.66	87.2000	784.80	267.14		
Subtotal			52		2,594.11		4,534.40	1,940.29		
ABBOTT LABS	ABT	07/29/09	14	21.9214	306.90	38.3300	536.62	229.72		13.229
		09/02/09	292	21.6219	6,313.62	38.3300	11,192.36	4,878.74		257.229
		10/14/09	22	24.6154	541.54	38.3300	843.26	301.72		20.229
		03/11/10	282	26.4820	7,467.95	38.3300	10,809.06	3,341.11		249.229
		11/22/10	350	22.5002	7,875.09	38.3300	13,415.50	5,540.41		309.229
		06/18/13	588	37.4703	22,032.54	38.3300	22,538.04	505.50		518.229
		09/11/13	648	34.7814	22,538.41	38.3300	24,837.84	2,299.43		571.229
		09/25/13	681	33.5259	22,831.14	38.3300	26,102.73	3,271.59		600.229
		11/08/13	1,166	37.8575	44,141.96	38.3300	44,692.78	550.82		1,027.229
		11/11/13	368	38.3051	14,096.31	38.3300	14,105.44	9.13		324.229
Subtotal			4,411		148,145.46		169,073.63	20,928.17		3,888.229
ABM INDUSTRIES INC COM	ABM	12/20/13	105	28.1039	2,950.91	28.5900	3,001.95	51.04		66.216
ACCENTURE PLC SHS	ACN	02/19/09	246	31.2300	7,682.58	82.2200	20,226.12	12,543.54		458.226
		10/14/09	135	39.3082	5,306.62	82.2200	11,099.70	5,793.08		252.226
		09/15/10	404	39.4706	15,946.16	82.2200	33,216.88	17,270.72		752.226
		11/22/10	350	43.9250	15,373.75	82.2200	28,777.00	13,403.25		651.226
		05/09/12	376	58.9743	22,174.34	82.2200	30,914.72	8,740.38		700.226
		06/04/12	125	55.7168	6,964.60	82.2200	10,277.50	3,312.90		233.226
		07/12/12	62	57.3209	3,553.90	82.2200	5,097.64	1,543.74		116.226
		07/24/12	64	57.0215	3,649.38	82.2200	5,262.08	1,612.70		120.226
		12/20/12	69	68.1372	4,701.47	82.2200	5,673.18	971.71		129.226
		01/28/13	34	72.0976	2,451.32	82.2200	2,795.48	344.16		64.226
		04/29/13	67	81.2341	5,442.69	82.2200	5,508.74	66.05		125.226
Subtotal			1,932		93,246.81		158,849.04	65,602.23		3,600.226

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
ACE LIMITED	ACE	02/02/11	187	62.4789	11,683.57	103.5300	19,360.11	7,676.54	472 2.43
		02/03/11	81	62.3664	5,051.68	103.5300	8,385.93	3,334.25	205 2.43
		05/17/11	202	68.1450	13,765.29	103.5300	20,913.06	7,147.77	510 2.43
		03/21/12	296	73.7337	21,825.18	103.5300	30,644.88	8,819.70	746 2.43
Subtotal			766		52,325.72		79,303.98	26,978.26	1,933 2.43
ACORDA THERAPEUTICS INC	ACOR	10/08/12	83	26.0716	2,163.95	29.2000	2,423.60	259.65	
		10/09/12	8	25.7662	206.13	29.2000	233.60	27.47	
		12/13/12	15	25.4820	382.23	29.2000	438.00	55.77	
		12/14/12	30	25.3123	759.37	29.2000	876.00	116.63	
		12/17/12	12	25.4191	305.03	29.2000	350.40	45.37	
Subtotal			148		3,816.71		4,321.60	504.89	
ACTUANT CORP CL A	ATU	03/07/12	62	27.4179	1,699.91	36.6400	2,271.68	571.77	3 10
		03/21/13	172	30.3637	5,222.56	36.6400	6,302.08	1,079.52	7 10
Subtotal			234		6,922.47		8,573.76	1,651.29	10 10
ACUTY BRANDS INC	AVI	07/09/13	33	84.3339	2,783.02	109.3200	3,607.56	824.54	18 47
ADIDAS AG SPONSORED ADR	ADDYY	05/04/11	133	37.2684	4,956.71	64.2300	8,542.59	3,585.88	85 98
		05/06/11	100	38.5303	3,853.03	64.2300	6,423.00	2,569.97	64 98
		05/17/11	126	36.2958	4,573.28	64.2300	8,092.98	3,519.70	80 98
		06/23/11	116	36.9541	4,286.68	64.2300	7,450.68	3,164.00	74 98
		08/11/11	95	33.1210	3,146.50	64.2300	6,101.85	2,955.35	61 98
		02/29/12	75	39.7900	2,984.25	64.2300	4,817.25	1,833.00	48 98
		09/04/12	53	39.4471	2,090.70	64.2300	3,404.19	1,313.49	34 98
		03/07/13	14	49.7600	696.64	64.2300	899.22	202.58	9 98
Subtotal			712		26,587.79		45,731.76	19,143.97	455 98

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AL&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
AEGERION PHARM INC	AEGR	08/12/13	29	93.8220	2,720.84	70.9600	2,057.84	(663.00)	
		08/13/13	2	94.8650	189.73	70.9600	141.92	(47.81)	
		10/08/13	19	89.0263	1,691.50	70.9600	1,348.24	(343.26)	
		12/23/13	14	67.8200	949.48	70.9600	993.44	43.96	
Subtotal			64		5,551.55		4,541.44	(1,010.11)	
AIA GROUP LTD SPONSORED ADR	AAGY	09/10/12	1,054	14.6627	15,454.59	20.1500	21,238.10	5,783.51	184 .86
		10/08/12	479	15.4396	7,395.57	20.1500	9,651.85	2,256.28	84 .86
		12/19/12	515	15.8478	8,161.62	20.1500	10,377.25	2,215.63	90 .86
		03/07/13	39	17.4002	678.61	20.1500	785.85	107.24	7 .86
		07/31/13	232	19.1044	4,432.24	20.1500	4,674.80	242.56	41 .86
Subtotal			2,319		36,122.63		46,727.85	10,605.22	406 .86
ALIGN TECH INC DEL COM	ALGN	01/31/13	120	31.7306	3,807.68	57.1400	6,856.80	3,049.12	
		02/06/13	72	32.1808	2,317.02	57.1400	4,114.08	1,797.06	
Subtotal			192		6,124.70		10,970.88	4,846.18	
ALLIANCE DATA SYS CORP	ADS	04/18/13	259	159.6988	41,361.99	262.9300	68,098.87	26,736.88	
		05/09/13	59	176.5193	10,414.64	262.9300	15,512.87	5,098.23	
		05/24/13	59	180.5393	10,651.82	262.9300	15,512.87	4,861.05	
		06/06/13	28	177.3371	4,955.44	262.9300	7,362.04	2,396.60	
		06/07/13	29	179.2451	5,198.11	262.9300	7,624.97	2,426.86	
		06/27/13	139	180.2097	25,049.16	262.9300	36,547.27	11,498.11	
Subtotal			573		97,641.16		150,658.89	53,017.73	
AMADEUS IT HOLDING-UNSP	AMADY	06/13/13	272	32.2133	8,762.04	42.7500	11,628.00	2,865.96	131 1.11
		07/17/13	138	33.5897	4,635.39	42.7500	5,899.50	1,264.11	66 1.11
		07/31/13	126	34.3118	4,323.29	42.7500	5,386.50	1,063.21	61 1.11
Subtotal			536		17,720.72		22,914.00	5,193.28	258 1.11

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
AMAZON COM INC COM	AMZN	03/27/12	14	206.8150	2,895.41	398.7900	5,583.06	2,687.65		
		05/08/12	68	224.7435	15,282.56	398.7900	27,117.72	11,835.16		
		06/06/12	98	216.9432	21,260.44	398.7900	39,081.42	17,820.98		
		03/07/13	8	274.6200	2,196.96	398.7900	3,190.32	993.36		
Subtotal			188		41,635.37		74,972.52	33,337.15		
AMERICAN AIRLIS GROUP INC	AAL	09/11/12	201	11.9218	2,396.30	25.2500	5,075.25	2,678.95		
AMERICAN EQUITY INVT	AEL	05/12/09	239	6.7974	1,624.58	26.3800	6,304.82	4,680.24	44	68
LIFE HLDG CO										
ANGIE'S LIST INC	ANGI	10/02/13	147	20.9774	3,083.69	15.1500	2,227.05	(856.64)		
COMMON STOCK										
ANHEUSER-BUSCH INBEV ADR	BUD	08/29/12	129	83.8075	10,811.17	106.4600	13,733.34	2,922.17	323	235
		08/30/12	162	83.4553	13,519.77	106.4600	17,246.52	3,726.75	406	235
		08/31/12	142	84.1783	11,953.33	106.4600	15,117.32	3,163.99	356	235
		09/04/12	21	86.2652	1,811.57	106.4600	2,235.66	424.09	53	235
		10/12/12	233	86.9820	20,266.81	106.4600	24,805.18	4,538.37	584	235
		10/15/12	169	86.9459	14,693.86	106.4600	17,991.74	3,297.88	423	235
		03/07/13	6	95.0316	570.19	106.4600	638.76	68.57	16	235
		06/06/13	33	92.5372	3,053.73	106.4600	3,513.18	459.45	83	235
		06/07/13	45	93.4908	4,207.09	106.4600	4,790.70	583.61	113	235
		09/25/13	95	99.1009	9,414.59	106.4600	10,113.70	699.11	238	235
		09/25/13	37	99.6194	3,685.92	106.4600	3,939.02	253.10	93	235
		10/21/13	16	102.5450	1,640.72	106.4600	1,703.36	62.64	41	235
		10/22/13	132	103.7853	13,699.66	106.4600	14,052.72	353.06	331	235
Subtotal			1,220		109,328.41		129,881.20	20,552.79	3,060	235
AON PLC	AON	05/07/13	362	63.5588	23,008.32	83.8900	30,368.18	7,359.86	254	.83
		05/08/13	478	64.4506	30,807.39	83.8900	40,099.42	9,292.03	335	.83
Subtotal			840		53,815.71		70,467.60	16,651.89	589	.83

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
APPLE INC	AAPL	11/24/08	66	88.8807	5,866.13	561.0200	37,027.32	31,161.19	806	2.17
		12/09/08	76	99.9050	7,592.78	561.0200	42,637.52	35,044.74	928	2.17
		06/26/09	17	142.0847	2,415.44	561.0200	9,537.34	7,121.90	208	2.17
		06/29/09	13	142.5346	1,852.95	561.0200	7,293.26	5,440.31	159	2.17
		10/30/09	25	195.1196	4,877.99	561.0200	14,025.50	9,147.51	305	2.17
		02/05/10	25	193.1540	4,828.85	561.0200	14,025.50	9,196.65	305	2.17
		11/22/10	125	309.0200	38,627.50	561.0200	70,127.50	31,500.00	1,525	2.17
		04/26/13	78	415.2411	32,388.81	561.0200	43,759.56	11,370.75	952	2.17
		05/03/13	29	451.7110	13,099.62	561.0200	16,269.58	3,169.96	354	2.17
Subtotal			454		111,550.07		254,703.08	143,153.01	5,542	2.17
ARM HLDGS PLC SPD ADR	ARMH	11/22/10	337	18.4671	6,223.42	54.7310	18,444.35	12,220.93	69	.36
		02/29/12	25	27.5016	687.54	54.7310	1,368.28	680.74	6	.36
		03/07/13	6	42.8250	256.95	54.7310	328.39	71.44	2	.36
Subtotal			368		7,167.91		20,141.02	12,973.11	77	.36
ARTISAN PARTNERS ASSET MGMT INC CLASS A	APAM	03/08/13	132	40.1108	5,294.63	65.1900	8,605.08	3,310.45	228	2.63
ASML HLDG NV NY REG SHS	ASML	05/01/12	20	54.0575	1,081.15	93.7000	1,874.00	792.85	12	.62
		06/13/12	137	52.2062	7,152.26	93.7000	12,836.90	5,684.64	81	.62
		06/14/12	40	51.7247	2,068.99	93.7000	3,748.00	1,679.01	24	.62
		10/12/12	113	56.1840	6,348.80	93.7000	10,588.10	4,239.30	67	.62
		10/19/12	57	54.9129	3,130.04	93.7000	5,340.90	2,210.86	34	.62
		12/06/12	159	62.4945	9,936.63	93.7000	14,898.30	4,961.67	94	.62
		12/14/12	84	63.5777	5,340.53	93.7000	7,870.80	2,530.27	50	.62
		03/07/13	21	71.1852	1,494.89	93.7000	1,967.70	472.81	13	.62
		03/27/13	72	65.4216	4,710.36	93.7000	6,746.40	2,036.04	43	.62
		04/02/13	67	66.7756	4,473.97	93.7000	6,277.90	1,803.93	40	.62
		04/03/13	211	66.6363	14,060.28	93.7000	19,770.70	5,710.42	124	.62

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description					Cost Basis							
ASML HLDG NV NY REG SHS		ASML	04/04/13	20	65.4735		1,309.47	93.7000	1,874.00	564.53	12	62
			06/07/13	107	80.5391		8,617.69	93.7000	10,025.90	1,408.21	63	62
			07/05/13	47	81.3591		3,823.88	93.7000	4,403.90	580.02	28	62
Subtotal				1,155			73,548.94		108,223.50	34,674.56	685	62
ASPEN INSURANCE HLDS LTD		AHL	01/31/12	257	26.5643		6,827.03	41.3100	10,616.67	3,789.64	186	1.74
ASPEN TECHNOLOGY INC DEL		AZPN	12/18/13	74	40.9768		3,032.29	41.8000	3,093.20	60.91		
ASSA ABLOY AB ADR		ASAZ	12/23/10	276	14.1750		3,912.32	26.4500	7,300.20	3,387.88	84	1.14
			01/07/11	184	14.0485		2,584.94	26.4500	4,866.80	2,281.86	56	1.14
			01/24/11	349	13.5838		4,740.75	26.4500	9,231.05	4,490.30	106	1.14
			07/14/11	301	12.4666		3,752.45	26.4500	7,961.45	4,209.00	91	1.14
			02/29/12	100	15.2800		1,528.00	26.4500	2,645.00	1,117.00	31	1.14
			03/07/13	13	20.4100		265.33	26.4500	343.85	78.52	4	1.14
Subtotal				1,223			16,783.79		32,348.35	15,564.56	372	1.14
ATHENAHEALTH INC		ATHN	10/21/13	22	139.5722		3,070.59	134.5000	2,959.00	(111.59)		
BAIDU INC SPON ADR		BIDU	04/26/12	27	135.5825		3,660.73	177.8800	4,802.76	1,142.03		
			05/08/12	31	126.7719		3,929.93	177.8800	5,514.28	1,584.35		
			05/23/12	28	120.6303		3,377.65	177.8800	4,980.64	1,602.99		
			11/02/12	30	105.6343		3,169.03	177.8800	5,336.40	2,167.37		
			11/28/12	17	94.3529		1,604.00	177.8800	3,023.96	1,419.96		
			02/28/13	20	90.7570		1,815.14	177.8800	3,557.60	1,742.46		
			03/07/13	4	90.5600		362.24	177.8800	711.52	349.28		
Subtotal				157			17,918.72		27,927.16	10,008.44		
BALLY TECHNOLOGIES INC		BYI	11/22/10	150	39.3068		5,896.02	78.4500	11,767.50	5,871.48		
			03/07/13	6	49.5700		297.42	78.4500	470.70	173.28		
Subtotal				156			6,193.44		12,238.20	6,044.76		

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%	
BANCO BILBAO VIZCAYA ARGENTARIA S A ADR	BBVA	09/05/13 09/06/13 10/11/13 10/21/13 11/26/13 11/27/13	634 273 255 338 199 185	9.9600 9.9935 12.0476 12.8195 11.6756 11.8721	6,314.70 2,728.25 3,072.14 4,333.02 2,323.46 2,196.34	12.3900 12.3900 12.3900 12.3900 12.3900 12.3900	7,855.26 3,382.47 3,159.45 4,187.82 2,465.61 2,292.15	1,540.56 654.22 87.31 (145.20) 142.15 95.81	278 120 112 148 87 81	3.52 3.52 3.52 3.52 3.52 3.52	
Subtotal			1,884		20,967.91		23,342.76	2,374.85		826	3.52
BANK MANDIRI TBK-UNSPON ADR	PPERY	02/07/13 03/07/13 07/30/13	928 18 120	9.0563 10.1000 8.4808	8,404.25 181.80 1,017.70	6.4600 6.4600 6.4600	5,994.88 116.28 775.20	(2,409.37) (65.52) (242.50)		137 3 18	2.27 2.27 2.27
Subtotal			1,066		9,603.75		6,886.36	(2,717.39)		158	2.27
BANK OF THE OZARKS INC	OZRK	09/26/11 09/28/11	88 99	20.8036 21.4708	1,830.72 2,125.61	56.5900 56.5900	4,979.92 5,602.41	3,149.20 3,476.80		74 84	1.48 1.48
Subtotal			187		3,956.33		10,582.33	6,626.00		158	1.48
BARNES GROUP INC DE \$ 01	B	10/16/13 10/28/13	123 123	36.7626 35.9351	4,521.81 4,420.02	38.3100 38.3100	4,712.13 4,712.13	190.32 292.11		55 55	1.14 1.14
Subtotal			246		8,941.83		9,424.26	482.43		110	1.14
BIOMER IDEC INC	BIIB	11/23/11 02/01/12 02/02/12	59 99 61	110.7598 121.5697 122.5734	6,534.83 12,035.41 7,476.98	279.5720 279.5720 279.5720	16,494.75 27,677.63 17,053.89	9,959.92 15,642.22 9,576.91			
Subtotal			219		26,047.22		61,226.27	35,179.05			
BIOMERIN PHARMACEUTICALS	BMRN	09/19/13 11/14/13	289 526	79.6405 64.7452	23,016.13 34,055.98	70.3500 70.3500	20,331.15 37,004.10	(2,684.98) 2,948.12			
Subtotal			815		57,072.11		57,335.25	263.14			

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
	BOEING COMPANY	BA	07/22/10	32	66.7528	2,136.09	136.4900	4,367.68	2,231.59		94 2.13
			11/22/10	200	63.2526	12,650.52	136.4900	27,298.00	14,647.48		585 2.13
			12/02/11	245	71.3759	17,487.10	136.4900	33,440.05	15,952.95		716 2.13
			01/10/13	225	76.5716	17,228.63	136.4900	30,710.25	13,481.62		658 2.13
			03/07/13	13	81.5800	1,060.54	136.4900	1,774.37	713.83		38 2.13
	Subtotal			715		50,562.88		97,590.35	47,027.47		2,091 2.13
	BRANDYWNE RLTY T SBI NEW REIT	BDN	11/05/09	231	9.4889	2,191.94	14.0900	3,254.79	1,062.85		139 4.25
			03/11/10	406	11.3693	4,615.94	14.0900	5,720.54	1,104.60		244 4.25
				637		6,807.88		8,975.33	2,167.45		383 4.25
	BRINKS CO	BCO	05/07/09	94	28.1641	2,647.43	34.1400	3,209.16	561.73		38 1.17
			09/28/09	112	26.2900	2,944.48	34.1400	3,823.68	879.20		45 1.17
			07/08/11	69	31.1579	2,149.90	34.1400	2,355.66	205.76		28 1.17
			07/11/11	27	30.8974	834.23	34.1400	921.78	87.55		11 1.17
			09/20/12	84	25.1090	2,109.16	34.1400	2,867.76	758.60		34 1.17
				386		10,685.20		13,178.04	2,492.84		156 1.17
	BRISTOL-MYERS SQUIBB CO	BMV	08/27/12	1,130	32.8203	37,086.94	53.1500	60,059.50	22,972.56		1,628 2.70
			09/21/12	211	33.6327	7,096.50	53.1500	11,214.65	4,118.15		304 2.70
			09/24/12	384	33.6394	12,917.53	53.1500	20,409.60	7,492.07		553 2.70
			03/07/13	39	37.6338	1,467.72	53.1500	2,072.85	605.13		57 2.70
			05/09/13	258	39.8984	10,293.79	53.1500	13,712.70	3,418.91		372 2.70
				2,022		68,862.48		107,469.30	38,606.82		2,914 2.70
	BRISTOW GROUP INC	BRS	07/08/11	31	51.1532	1,585.75	75.0600	2,326.86	741.11		31 1.33
			07/11/11	21	50.3785	1,057.95	75.0600	1,576.26	518.31		21 1.33
			07/10/12	70	40.9891	2,869.24	75.0600	5,254.20	2,384.96		70 1.33
	Subtotal			122		5,512.94		9,157.32	3,644.38		122 1.33

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
BROWN SHOE CO INC COM	BWS	02/19/13	146	18.0336	2,632.92	28.1400	4,108.44	1,475.52	41 99
		03/11/13	81	17.5202	1,419.14	28.1400	2,279.34	860.20	23 99
		03/20/13	79	17.3148	1,367.87	28.1400	2,223.06	855.19	23 99
		05/02/13	187	16.3910	3,065.12	28.1400	5,262.18	2,197.06	53 99
Subtotal			493		8,485.05		13,873.02	5,387.97	140 99
BUFFALO WILD WINGS INC	BWLD	08/28/12	17	77.4000	1,315.80	147.2000	2,502.40	1,186.60	
		08/29/12	43	78.0086	3,354.37	147.2000	6,329.60	2,975.23	
Subtotal			60		4,670.17		8,832.00	4,161.83	
BURBERRY GROU PLC-SP ADR	BURBY	10/23/12	136	36.2246	4,926.55	50.5500	6,874.80	1,948.25	121 175
		11/29/12	87	42.3814	3,687.19	50.5500	4,397.85	710.66	78 175
		11/29/12	71	42.3815	3,009.09	50.5500	3,589.05	579.96	63 175
		03/07/13	3	42.2300	126.69	50.5500	151.65	24.96	3 175
		04/11/13	67	40.4883	2,712.72	50.5500	3,386.85	674.13	60 175
		10/17/13	97	48.7284	4,726.66	50.5500	4,903.35	176.69	86 175
		12/05/13	91	49.2087	4,478.00	50.5500	4,600.05	122.05	81 175
Subtotal			552		23,666.90		27,903.60	4,236.70	492 175
CANADIAN NATL RAILWAY CO	CNI	08/10/07	586	26.7150	15,655.01	57.0200	33,413.72	17,758.71	475 141
		09/03/08	74	25.9013	1,916.70	57.0200	4,219.48	2,302.78	60 141
		02/29/12	50	38.4648	1,923.24	57.0200	2,851.00	927.76	41 141
		03/07/13	4	50.4100	201.64	57.0200	228.08	26.44	4 141
Subtotal			714		19,696.59		40,712.28	21,015.69	580 141
CARNIVAL CORP PAIRED SHS	CCL	11/22/10	540	41.9057	22,629.13	40.1700	21,691.80	(937.33)	540 248
		02/29/12	50	30.2654	1,513.27	40.1700	2,008.50	495.23	50 248
		03/07/13	19	36.3252	690.18	40.1700	763.23	73.05	19 248
		04/22/13	126	33.3692	4,204.53	40.1700	5,061.42	856.89	126 248
Subtotal			735		29,037.11		29,524.95	487.84	735 248

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PRIVATE BANKING &
INVESTMENT GROUP

A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
Description					Cost Basis							
CASEYS GEN STORES INC		CASY	09/26/11	16	45.2750		724.40	70.2500	1,124.00	399.60		12 1.02
			12/23/11	25	52.2424		1,306.06	70.2500	1,756.25	450.19		18 1.02
			04/02/12	44	55.9695		2,462.66	70.2500	3,091.00	628.34		32 1.02
Subtotal				85			4,493.12		5,971.25	1,478.13		62 1.02
CASH AMERICAN INTL INC		CSH	11/22/10	106	36.0900		3,825.54	38.3000	4,059.80	234.26		15 .36
			02/07/12	52	46.9301		2,440.37	38.3000	1,991.60	(448.77)		8 .36
			03/30/12	24	48.1045		1,154.51	38.3000	919.20	(235.31)		4 .36
			09/20/12	42	38.1311		1,601.51	38.3000	1,608.60	7.09		6 .36
			07/30/13	40	42.8500		1,714.00	38.3000	1,532.00	(182.00)		6 .36
			10/25/13	64	39.1079		2,502.91	38.3000	2,451.20	(51.71)		9 .36
Subtotal				328			13,238.84		12,562.40	(676.44)		48 .36
CATO CORP NEW CL A		CATO	03/28/13	56	24.2555		1,358.31	31.8000	1,780.80	422.49		12 .62
			04/01/13	53	24.1494		1,279.92	31.8000	1,685.40	405.48		11 .62
			04/02/13	114	24.4590		2,788.33	31.8000	3,625.20	836.87		23 .62
Subtotal				223			5,426.56		7,091.40	1,664.84		46 .62
CAVIUM INC		CAVM	01/20/12	60	34.9740		2,098.44	34.5100	2,070.60	(27.84)		
			03/09/12	84	33.3935		2,805.06	34.5100	2,898.84	93.78		
			10/01/13	70	41.9842		2,938.90	34.5100	2,415.70	(523.20)		
			10/02/13	4	41.9450		167.78	34.5100	138.04	(29.74)		
Subtotal				218			8,010.18		7,523.18	(487.00)		
CEC ENTMT INC KANS \$0.1		CEC	03/28/12	60	38.4158		2,304.95	44.2800	2,656.80	351.85		65 2.43
			05/25/12	60	35.8396		2,150.38	44.2800	2,656.80	506.42		65 2.43
			05/29/12	39	35.9030		1,400.22	44.2800	1,726.92	326.70		43 2.43
Subtotal				159			5,855.55		7,040.52	1,184.97		173 2.43
CELGENE CORP COM		CELG	10/07/13	142	156.0904		22,164.84	168.9680	23,993.46	1,828.62		
			11/12/13	156	147.6189		23,028.56	168.9680	26,359.01	3,330.45		
Subtotal				298			45,193.40		50,352.47	5,159.07		

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
CENTENE CORP		CNC	10/24/13	49	61.1216	2,994.96	58.9500	2,888.55	(106.41)		
CHART INDS INC		GTLS	11/02/12	18	64.8900	1,168.02	95.6400	1,721.52	553.50		
			11/05/12	18	64.3788	1,158.82	95.6400	1,721.52	562.70		
			11/01/13	24	101.1666	2,428.00	95.6400	2,295.36	(132.64)		
Subtotal				60		4,754.84		5,738.40	983.56		
CHECK POINT SOFTWARE TECH		CHKP	08/06/13	252	58.7796	14,812.48	64.4990	16,253.75	1,441.27		
			08/07/13	695	57.8907	40,234.04	64.4990	44,826.81	4,592.77		
Subtotal				947		55,046.52		61,080.56	6,034.04		
CHEMTURA CORP.		CHMT	04/09/12	121	16.6799	2,018.27	27.9200	3,378.32	1,360.05		
COM STK			04/10/12	21	16.5600	347.76	27.9200	586.32	238.56		
Subtotal				142		2,366.03		3,964.64	1,598.61		
CHESAPEAKE LODGING TR		CHSP	12/13/13	125	23.6608	2,957.60	25.2900	3,161.25	203.65		130 4.11
			12/18/13	89	24.5848	2,188.05	25.2900	2,250.81	62.76		93 4.11
			12/19/13	30	24.5946	737.84	25.2900	758.70	20.86		32 4.11
Subtotal				244		5,883.49		6,170.76	287.27		255 4.11
CHEVRON CORP		CVX	08/07/08	27	84.0788	2,270.13	124.9100	3,372.57	1,102.44		108 3.20
			10/14/09	38	75.5200	2,869.76	124.9100	4,746.58	1,876.82		152 3.20
			10/28/09	198	75.9184	15,031.86	124.9100	24,732.18	9,700.32		792 3.20
			01/06/10	172	79.3690	13,651.47	124.9100	21,484.52	7,833.05		688 3.20
			11/22/10	325	82.8258	26,918.39	124.9100	40,595.75	13,677.36		1,300 3.20
Subtotal				760		60,741.61		94,931.60	34,189.99		3,040 3.20
CHINA MOBILE LTD SPN ADR		CHL	08/27/13	320	53.3669	17,077.41	52.2900	16,732.80	(344.61)		645 3.85
			08/28/13	17	53.4647	908.90	52.2900	888.93	(19.97)		35 3.85
			09/06/13	159	55.5859	8,838.16	52.2900	8,314.11	(524.05)		321 3.85
			11/07/13	35	52.5154	1,838.04	52.2900	1,830.15	(7.89)		71 3.85
Subtotal				531		28,662.51		27,765.99	(896.52)		1,072 3.85

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A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Yield%
CIE GENERALE DES ETABLISSEMENTS MICHELIN		MGDDY	03/13/12	868	14.5198	12,603.27	21.3500	18,531.80	5,928.53	414	2.22
			08/10/12	144	13.9493	2,008.71	21.3500	3,074.40	1,065.69	69	2.22
			09/10/12	287	15.2662	4,381.40	21.3500	6,127.45	1,746.05	137	2.22
			11/20/13	219	21.8175	4,778.05	21.3500	4,675.65	(102.40)	105	2.22
	Subtotal			1,518		23,771.43		32,409.30	8,637.87	725	2.22
CIT GROUP INC NEW		CIT	11/20/13	112	49.2648	5,517.66	52.1300	5,838.56	320.90	45	.76
			11/25/13	306	49.9165	15,274.45	52.1300	15,951.78	677.33	123	.76
			11/26/13	412	49.6893	20,472.03	52.1300	21,477.56	1,005.53	165	.76
			11/27/13	363	50.0436	18,165.86	52.1300	18,923.19	757.33	146	.76
			11/29/13	131	50.4951	6,614.86	52.1300	6,829.03	214.17	53	.76
			12/02/13	95	50.8190	4,827.81	52.1300	4,952.35	124.54	38	.76
	Subtotal			1,419		70,872.67		73,972.47	3,099.80	570	.76
CMNTY BANK SYS INC DEL		CBU	07/24/09	6	16.3200	97.92	39.6800	238.08	140.16	7	2.82
			03/05/10	89	22.3606	1,990.10	39.6800	3,531.52	1,541.42	100	2.82
			05/31/11	64	24.8895	1,592.93	39.6800	2,539.52	946.59	72	2.82
				159		3,680.95		6,309.12	2,628.17	179	2.82
	Subtotal			314	34.0036	10,677.16	41.3100	12,971.34	2,294.18	352	2.71
COCA COLA COM		KO	05/18/11	896	32.6796	29,280.97	41.3100	37,013.76	7,732.79	1,004	2.71
			06/23/11	888	38.0314	33,771.97	41.3100	36,683.28	2,911.31	995	2.71
			06/27/12	2,098		73,730.10		86,668.38	12,938.28	2,351	2.71
				149	31.4661	4,688.46	44.1300	6,575.37	1,886.91	120	1.81
	Subtotal			383	31.8344	12,192.61	44.1300	16,901.79	4,709.18	307	1.81
COCA COLA ENTERPRISES INC NEW			11/01/12	505	30.4843	15,394.62	44.1300	22,285.65	6,891.03	404	1.81
			11/09/12	178	30.6433	5,454.51	44.1300	7,855.14	2,400.63	143	1.81
			11/12/12	1,215		37,730.20		53,617.95	15,887.75	974	1.81

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
COGNEX CORP	CGNX	08/25/11	118	16.4172	1,937.23	38.1800	4,505.24	2,568.01	26	.57
Subtotal		08/30/11	110	18.0426	1,984.69	38.1800	4,199.80	2,215.11	25	.57
COHERENT INC CAL	COHR	11/22/10	228		3,921.92		8,705.04	4,783.12	51	.57
COLFAX CORP	CFX	02/08/12	129	42.6813	5,505.89	74.3900	9,596.31	4,090.42		
Subtotal		08/09/12	142	32.9938	4,685.13	63.6900	9,043.98	4,358.85		
COMCAST CORP NEW CL A	CMCSA	07/11/13	69	32.3328	2,230.97	63.6900	4,394.61	2,163.64		
Subtotal			211		6,916.10		13,438.59	6,522.49		
COMCAST CRP NEW CL A SPL	CMCSK	07/14/11	1,001	44.3623	44,406.76	51.9650	52,016.97	7,610.21	781	1.50
Subtotal		07/27/11	285	42.6237	12,147.78	51.9650	14,810.03	2,662.25	223	1.50
COMERICA INC COM	CMA	12/19/13	1,286		56,554.54		66,827.00	10,272.46	1,004	1.50
Subtotal		08/10/11	561	23.9370	13,428.66	49.8800	27,982.68	14,554.02	438	1.56
COMPASS GROUP PLC ADR	CMPGY	12/10/12	884	24.0191	21,232.97	49.8800	44,093.92	22,860.95	690	1.56
Subtotal		03/07/13	892	19.9914	17,832.33	49.8800	44,492.96	26,660.63	696	1.56
		07/05/13	2,337		52,493.96		116,569.56	64,075.60	1,824	1.56
			860	46.3900	39,895.40	47.5400	40,884.40	989.00	585	1.43
			391	46.6865	18,254.46	47.5400	18,588.14	333.68	266	1.43
			1,251		58,149.86		59,472.54	1,322.68	851	1.43
			911	11.9120	10,851.92	16.1290	14,693.52	3,841.60	318	2.16
			467	12.0510	5,627.82	16.1290	7,532.24	1,904.42	163	2.16
			328	12.0560	3,954.37	16.1290	5,290.31	1,335.94	115	2.16
			38	12.5971	478.69	16.1290	612.90	134.21	14	2.16
			302	13.1398	3,968.22	16.1290	4,870.96	902.74	106	2.16
Subtotal			2,046		24,881.02		32,999.93	8,118.91	716	2.16

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Estimated	Unrealized	Estimated Current
Description					Cost Basis	Market Price	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income Yield%
COMPASS MINERALS INTL INC		CMP	04/30/12	26	76.5184	80.0500	1,989.48	80.0500	2,081.30	91.82	57 2.72
			07/06/12	31	77.3835	80.0500	2,398.89	80.0500	2,481.55	82.66	68 2.72
			09/20/12	43	74.7995	80.0500	3,216.38	80.0500	3,442.15	225.77	94 2.72
			08/26/13	64	73.8667	80.0500	4,727.47	80.0500	5,123.20	395.73	140 2.72
Subtotal				164			12,332.22		13,128.20	795.98	359 2.72
COMSTOCK RES INC NEW COM		CRK	07/16/13	357	16.9024	18.2900	6,034.16	18.2900	6,529.53	495.37	179 2.73
			07/31/13	90	16.7878	18.2900	1,510.91	18.2900	1,646.10	135.19	45 2.73
Subtotal				447			7,545.07		8,175.63	630.56	224 2.73
CON-WAY INC		CNW	08/15/13	142	42.3278	39.7100	6,010.55	39.7100	5,638.82	(371.73)	57 1.00
CONCUR TECHNOLOGIES INC		CNQR	08/12/11	26	37.6484	103.1800	978.86	103.1800	2,682.68	1,703.82	
			12/29/11	36	51.6338	103.1800	1,858.82	103.1800	3,714.48	1,855.66	
Subtotal				62			2,837.68		6,397.16	3,559.48	
CORNERSTONE ONDEMAND INC		CSOD	03/13/13	62	34.5051	53.3120	2,139.32	53.3120	3,305.34	1,166.02	
			03/14/13	14	34.4721	53.3120	482.61	53.3120	746.37	263.76	
			10/21/13	23	52.3473	53.3120	1,203.99	53.3120	1,226.18	22.19	
			10/22/13	16	52.7306	53.3120	843.69	53.3120	852.99	9.30	
Subtotal				115			4,669.61		6,130.88	1,461.27	
COVIDIEN PLC SHS NEW		COV	09/04/13	358	61.0277	68.1000	21,847.92	68.1000	24,379.80	2,531.88	459 1.87
			09/18/13	386	62.3631	68.1000	24,072.16	68.1000	26,286.60	2,214.44	495 1.87
			11/06/13	329	65.1258	68.1000	21,426.39	68.1000	22,404.90	978.51	422 1.87
Subtotal				1,073			67,346.47		73,071.30	5,724.83	1,376 1.87
CROWN CASTLE INTL CORP		CCI	04/30/12	235	56.5582	73.4300	13,291.20	73.4300	17,256.05	3,964.85	
			05/01/12	65	56.6969	73.4300	3,685.30	73.4300	4,772.95	1,087.65	
			06/11/12	448	56.3556	73.4300	25,247.31	73.4300	32,896.64	7,649.33	
			02/22/13	220	69.8595	73.4300	15,369.09	73.4300	16,154.60	785.51	
			03/07/13	22	72.0077	73.4300	1,584.17	73.4300	1,615.46	31.29	
Subtotal				990			59,177.07		72,695.70	13,518.63	

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual Income	Estimated Current Yield%
CUBESMART COM	CUBE	06/22/12	62	11.1198	689.43	15.9400	988.28	298.85	33 3.26
		06/25/12	143	11.0428	1,579.13	15.9400	2,279.42	700.29	75 3.26
		07/09/12	207	11.8089	2,444.45	15.9400	3,299.58	855.13	108 3.26
Subtotal			412		4,713.01		6,567.28	1,854.27	216 3.26
CUBIC CORP DEL	CUB	09/26/11	21	37.8842	795.57	52.6600	1,105.86	310.29	6 .45
		09/28/11	27	40.0581	1,081.57	52.6600	1,421.82	340.25	7 .45
		02/17/12	25	49.0988	1,227.47	52.6600	1,316.50	89.03	6 .45
		02/21/12	22	49.4272	1,087.40	52.6600	1,158.52	71.12	6 .45
		07/06/12	50	48.7372	2,436.86	52.6600	2,633.00	196.14	12 45
Subtotal			145		6,628.87		7,635.70	1,006.83	37 .45
CUBIST PHARMACEUTICALS	CBST	11/22/11	55	35.9720	1,978.46	68.8700	3,787.85	1,809.39	
		12/06/11	58	37.9434	2,200.72	68.8700	3,994.46	1,793.74	
		07/22/13	39	56.4443	2,201.33	68.8700	2,685.93	484.60	
Subtotal			152		6,380.51		10,468.24	4,087.73	
CURTISS WRIGHT	CW	03/03/11	125	37.8461	4,730.77	62.2300	7,778.75	3,047.98	50 .64
		08/26/13	47	42.4034	1,992.96	62.2300	2,924.81	931.85	19 64
		08/27/13	7	42.4385	297.07	62.2300	435.61	138.54	3 .64
Subtotal			179		7,020.80		11,139.17	4,118.37	72 .64
CVS CAREMARK CORP	CVS	01/11/12	110	41.8169	4,599.86	71.5700	7,872.70	3,272.84	121 1.53
		02/01/12	474	42.8851	20,327.58	71.5700	33,924.18	13,596.60	522 1.53
		02/02/12	204	42.6995	8,710.70	71.5700	14,600.28	5,889.58	225 1.53
		02/03/12	250	43.2160	10,804.00	71.5700	17,892.50	7,088.50	276 1.53
		03/21/12	459	44.8906	20,604.79	71.5700	32,850.63	12,245.84	505 1.53
		11/14/12	459	45.5594	20,911.81	71.5700	32,850.63	11,938.82	505 1.53
		02/13/13	317	50.9935	16,164.94	71.5700	22,687.69	6,522.75	349 1.53
		05/14/13	1,103	59.2353	65,336.54	71.5700	78,941.71	13,605.17	1,214 1.53
Subtotal			3,376		167,460.22		241,620.32	74,160.10	3,717 1.53

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
CYBERONICS INC	CYBX	02/05/13	40	46.6592	1,866.37	65.4220	2,616.88	750.51		
		02/06/13	15	46.8306	702.46	65.4220	981.33	278.87		
		05/29/13	38	46.8976	1,782.11	65.4220	2,486.04	703.93		
Subtotal			93		4,350.94		6,084.25	1,733.31		
CYPRESS SEMICONDTR PV1CTS	CY	07/09/12	360	12.4655	4,487.58	10.5000	3,780.00	(707.58)		159 4.19
		07/15/13	161	11.2175	1,806.03	10.5000	1,690.50	(115.53)		71 4.19
Subtotal			521		6,293.61		5,470.50	(823.11)		230 4.19
DANAHER CORP DEL COM	DHR	05/16/11	228	54.0931	12,333.23	77.2000	17,601.60	5,268.37		23 .12
		05/17/11	495	53.4791	26,472.20	77.2000	38,214.00	11,741.80		50 12
		07/27/11	430	49.4710	21,272.57	77.2000	33,196.00	11,923.43		43 12
		08/24/11	389	43.1243	16,775.39	77.2000	30,030.80	13,255.41		39 12
		05/09/12	152	53.9578	8,201.60	77.2000	11,734.40	3,532.80		16 .12
		05/10/12	190	54.0475	10,269.04	77.2000	14,668.00	4,398.96		19 .12
		11/26/13	301	75.4605	22,713.64	77.2000	23,237.20	523.56		31 .12
Subtotal			2,185		118,037.67		168,682.00	50,644.33		221 .12
DASSAULT SYS SA SPN ADR	DASTY	11/22/10	120	73.3500	8,802.00	124.3000	14,916.00	6,114.00		88 .58
		01/29/13	43	114.1988	4,910.55	124.3000	5,344.90	434.35		32 .58
		03/07/13	4	117.0525	468.21	124.3000	497.20	28.99		3 58
Subtotal			167		14,180.76		20,758.10	6,577.34		123 58
DECKERS OUTDOORS CORP	DECK	10/28/13	45	69.3415	3,120.37	84.4600	3,800.70	680.33		
		12/02/13	26	85.3023	2,217.86	84.4600	2,195.96	(21.90)		
Subtotal			71		5,338.23		5,996.66	658.43		
DELPHI AUTOMOTIVE PLC	DLPH	06/06/12	320	29.2084	9,346.69	60.1300	19,241.60	9,894.91		218 1.13
		09/18/12	546	31.5658	17,234.93	60.1300	32,830.98	15,596.05		372 1.13
		09/19/12	123	31.8543	3,918.09	60.1300	7,395.99	3,477.90		84 1.13
Subtotal			989		30,499.71		59,468.57	28,968.86		674 1.13

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description										
DEUTSCHE BK AG REG SHS	DB	10/31/12	323	45.7308	14,771.08	48.2400	15,581.52	810.44	314	2.01
		11/01/12	37	45.8589	1,696.78	48.2400	1,784.88	88.10	36	2.01
		02/01/13	83	52.8297	4,384.87	48.2400	4,003.92	(380.95)	81	2.01
		03/07/13	9	44.5155	400.64	48.2400	434.16	33.52	9	2.01
		04/30/13	98	46.1479	4,522.50	48.2400	4,727.52	205.02	96	2.01
Subtotal			550		25,775.87		26,532.00	756.13	536	2.01
DIAGEO PLC SP5D ADR NEW	DEO	05/29/08	99	78.5998	7,781.39	132.4200	13,109.58	5,328.19	297	2.26
		06/05/08	118	79.5477	9,386.63	132.4200	15,625.56	6,238.93	354	2.26
		10/14/09	62	61.6448	3,821.98	132.4200	8,210.04	4,388.06	186	2.26
		11/22/10	175	75.5645	13,223.79	132.4200	23,173.50	9,949.71	525	2.26
Subtotal			454		34,213.79		60,118.68	25,904.89	1,362	2.26
DIAMONDBACK ENERGY INC	FANG	11/07/13	55	53.9101	2,965.06	52.8820	2,908.51	(56.55)		
DISCOVERY COMMUNICATN	DISCA	06/26/12	405	52.8756	21,414.62	90.4200	36,620.10	15,205.48		
INC SERIES A		06/27/12	277	53.5889	14,844.15	90.4200	25,046.34	10,202.19		
		03/07/13	16	76.0337	1,216.54	90.4200	1,446.72	230.18		
Subtotal			698		37,475.31		63,113.16	25,637.85		
DISNEY (WALT) CO COM STK	DIS	08/08/12	4	50.4225	201.69	76.4000	305.60	103.91	4	1.12
		08/09/12	108	50.3294	5,435.58	76.4000	8,251.20	2,815.62	93	1.12
		08/22/12	398	49.8378	19,835.48	76.4000	30,407.20	10,571.72	343	1.12
		09/05/12	384	50.8728	19,535.16	76.4000	29,337.60	9,802.44	331	1.12
Subtotal			894		45,007.91		68,301.60	23,293.69	771	1.12
DOMINION RES INC NEW VA	D	09/06/13	469	57.8005	27,108.48	64.6900	30,339.61	3,231.13	1,056	3.47
		09/09/13	513	57.8787	29,691.82	64.6900	33,185.97	3,494.15	1,155	3.47
		09/10/13	157	58.1305	9,126.49	64.6900	10,156.33	1,029.84	354	3.47
		11/26/13	363	65.8217	23,893.28	64.6900	23,482.47	(410.81)	817	3.47
Subtotal			1,502		89,820.07		97,164.38	7,344.31	3,382	3.47

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DOMINOS PIZZA INC	DPZ	08/15/12	45	34.2095	1,539.43	69.6500	3,134.25	1,594.82	36	1.14
		08/16/12	22	34.3568	755.85	69.6500	1,532.30	776.45	18	1.14
Subtotal			67		2,295.28		4,666.55	2,371.27	54	1.14
DUPONT FABROS TECHNOLOGY	DFT	02/03/12	241	25.8041	6,218.79	24.7100	5,955.11	(263.68)	241	4.04
		12/11/12	24	24.4629	587.11	24.7100	593.04	5.93	24	4.04
		12/12/12	35	24.4925	857.24	24.7100	864.85	7.61	35	4.04
		07/26/13	84	23.5596	1,979.01	24.7100	2,075.64	96.63	84	4.04
Subtotal			384		9,642.15		9,488.64	(153.51)	384	4.04
EATON CORP PLC	ETN	02/04/13	40	56.7782	2,271.13	76.1200	3,044.80	773.67	68	2.20
		02/05/13	381	57.8979	22,059.10	76.1200	29,001.72	6,942.62	641	2.20
		02/25/13	184	59.8153	11,006.03	76.1200	14,006.08	3,000.05	310	2.20
		02/26/13	146	59.4997	8,686.97	76.1200	11,113.52	2,426.55	246	2.20
		03/07/13	15	62.2900	934.35	76.1200	1,141.80	207.45	26	2.20
		03/28/13	224	61.3074	13,732.86	76.1200	17,050.88	3,318.02	377	2.20
		04/01/13	117	61.5288	7,198.88	76.1200	8,906.04	1,707.16	197	2.20
Subtotal			1,107		65,889.32		84,264.84	18,375.52	1,865	2.20
EBAY INC COM	EBAY	07/02/13	110	53.3344	5,866.79	54.8650	6,035.15	168.36		
		08/15/13	516	52.4793	27,079.32	54.8650	28,310.34	1,231.02		
Subtotal			626		32,946.11		34,345.49	1,399.38		
EDWARDS LIFESCIENCES CRP	EW	12/07/12	100	91.3466	9,134.66	65.7600	6,576.00	(2,558.66)		
		02/08/13	116	86.9994	10,091.94	65.7600	7,628.16	(2,463.78)		
		02/11/13	113	86.6690	9,793.60	65.7600	7,430.88	(2,362.72)		
		02/12/13	97	87.3117	8,469.24	65.7600	6,378.72	(2,090.52)		
		02/13/13	101	86.9053	8,777.44	65.7600	6,641.76	(2,135.68)		
		02/14/13	79	86.4046	6,825.97	65.7600	5,195.04	(1,630.93)		
		02/15/13	111	86.2826	9,577.37	65.7600	7,299.36	(2,278.01)		
		02/19/13	23	86.5247	1,990.07	65.7600	1,512.48	(477.59)		
		03/07/13	14	88.8500	1,243.90	65.7600	920.64	(323.26)		
Subtotal			754		65,904.19		49,583.04	(16,321.15)		

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Bank of America Corporation

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit	Cost Basis	Total	Estimated	Estimated	Unrealized	Estimated Current
Description				Cost Basis	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income	Yield%
EL PASO ELECTRIC CO NEW	EE	05/15/12	56	30.0010	1,680.06	35.1100	1,966.16	286.10		60 3.01
		05/16/12	53	30.2449	1,602.98	35.1100	1,860.83	257.85		57 3.01
		09/20/12	64	33.9868	2,175.16	35.1100	2,247.04	71.88		68 3.01
		09/21/12	50	34.1648	1,708.24	35.1100	1,755.50	47.26		53 3.01
<i>Subtotal</i>			223		7,166.44		7,829.53	663.09		238 3.01
EMBRAER S A SPONSRD ADR	ERJ	11/22/10	402	28.9482	11,637.18	32.1800	12,936.36	1,299.18		33 .24
		08/10/11	184	22.5291	4,145.37	32.1800	5,921.12	1,775.75		15 .24
		02/29/12	50	29.7808	1,489.04	32.1800	1,609.00	119.96		4 .24
		07/27/12	94	25.1693	2,365.92	32.1800	3,024.92	659.00		8 24
		03/07/13	17	33.7852	574.35	32.1800	547.06	(27.29)		2 .24
<i>Subtotal</i>			747		20,211.86		24,038.46	3,826.60		62 .24
ENDURANCE SPECIALTY HLDG	ENH	09/22/10	145	39.3255	5,702.21	58.6700	8,507.15	2,804.94		186 2.18
		07/08/11	54	41.6892	2,251.22	58.6700	3,168.18	916.96		70 2.18
		07/11/11	88	41.1322	3,619.64	58.6700	5,162.96	1,543.32		113 2.18
<i>Subtotal</i>			287		11,573.07		16,838.29	5,265.22		369 2.18
ENERGY XXI LTD NEW COM	EXXI	08/27/13	339	26.8295	9,095.23	27.0600	9,173.34	78.11		163 1.77
SHARES		10/25/13	33	31.3924	1,035.95	27.0600	892.98	(142.97)		16 1.77
<i>Subtotal</i>			372		10,131.18		10,066.32	(64.86)		179 1.77
ENSTAR GROUP LTD	ESGR	01/02/13	21	120.2780	2,525.84	138.9100	2,917.11	391.27		
EVERTEC INC	EVTC	04/15/13	124	20.1279	2,495.86	24.6600	3,057.84	561.98		50 1.62
EXPERIAN PLC SP ADR	EXPGY	12/10/13	883	18.3704	16,221.15	18.4300	16,273.69	52.54		284 1.74
		12/13/13	169	17.5820	2,971.36	18.4300	3,114.67	143.31		55 1.74
<i>Subtotal</i>			1,052		19,192.51		19,388.36	195.85		339 1.74
EXPRESS SCRIPTS HLDG CO	ESRX	08/08/13	550	66.3541	36,494.76	70.2400	38,632.00	2,137.24		
		08/14/13	289	65.6388	18,969.64	70.2400	20,299.36	1,329.72		
		10/09/13	272	61.6344	16,764.58	70.2400	19,105.28	2,340.70		
<i>Subtotal</i>			1,111		72,228.98		78,036.64	5,807.66		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description					Cost Basis	Share						
EXXON MOBIL CORP COM		XOM	08/10/07	386	83.8861		32,380.04	101.2000	39,063.20	6,683.16	973	2.49
			05/21/08	30	94.7100		2,841.30	101.2000	3,036.00	194.70	76	2.49
			01/28/09	32	78.3184		2,506.19	101.2000	3,238.40	732.21	81	2.49
			10/14/09	44	71.7979		3,159.11	101.2000	4,452.80	1,293.69	111	2.49
			11/22/10	175	69.5058		12,163.52	101.2000	17,710.00	5,546.48	441	2.49
			12/08/10	270	71.9122		19,416.32	101.2000	27,324.00	7,907.68	681	2.49
			03/10/11	193	82.1595		15,856.80	101.2000	19,531.60	3,674.80	487	2.49
			09/26/12	203	91.4276		18,559.82	101.2000	20,543.60	1,983.78	512	2.49
			05/08/13	169	91.3120		15,431.73	101.2000	17,102.80	1,671.07	426	2.49
			11/06/13	217	93.1986		20,224.10	101.2000	21,960.40	1,736.30	547	2.49
Subtotal				1,719			142,538.93		173,962.80	31,423.87	4,335	2.49
FAMILY DOLLAR STORES		FDO	10/19/11	280	57.7750		16,177.00	64.9700	18,191.60	2,014.60	292	1.60
			10/20/11	115	57.6106		6,625.22	64.9700	7,471.55	846.33	120	1.60
			12/02/11	87	58.8365		5,118.78	64.9700	5,652.39	533.61	91	1.60
			12/05/11	191	59.3213		11,330.37	64.9700	12,409.27	1,078.90	199	1.60
			05/08/12	245	67.3957		16,511.95	64.9700	15,917.65	(594.30)	255	1.60
			03/07/13	19	58.4600		1,110.74	64.9700	1,234.43	123.69	20	1.60
Subtotal				937			56,874.06		60,876.89	4,002.83	977	1.60
FANUC LTD-UNSP		FANUY	11/02/10	1,013	24.0712		24,394.20	30.7100	31,109.23	6,725.03	208	.66
			02/29/12	50	30.7750		1,538.75	30.7100	1,535.50	(3.25)	11	.66
			03/07/13	10	25.1230		251.23	30.7100	307.10	55.87	3	.66
			07/30/13	168	25.2860		4,248.05	30.7100	5,159.28	911.23	35	.66
Subtotal				1,241			30,422.23		38,111.11	7,688.88	257	.66
FEDEX CORP DELAWARE COM		FDX	01/17/12	268	89.7727		24,059.11	143.7700	38,530.36	14,471.25	161	.41
			01/18/12	22	90.5104		1,991.23	143.7700	3,162.94	1,171.71	14	.41
			03/28/13	226	98.0896		22,168.27	143.7700	32,492.02	10,323.75	136	.41
			04/01/13	89	98.8714		8,799.56	143.7700	12,795.53	3,995.97	54	.41
Subtotal				605			57,018.17		86,980.85	29,962.68	365	.41

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
FIDELITY NATL INFO SVCS INC	FIS	05/08/13	494	43.4719	21,475.12	53.6800	26,517.92	5,042.80	435	1.63
		07/10/13	325	44.7528	14,544.66	53.6800	17,446.00	2,901.34	286	1.63
		07/11/13	21	45.3661	952.69	53.6800	1,127.28	174.59	19	1.63
Subtotal			840		36,972.47		45,091.20	8,118.73	740	1.63
FINISAR CORPORATION	FNSR	06/21/13	82	16.2315	1,330.99	23.9200	1,961.44	630.45		
		06/24/13	244	16.1459	3,939.60	23.9200	5,836.48	1,896.88		
		08/28/13	34	20.8611	709.28	23.9200	813.28	104.00		
		08/29/13	51	21.2478	1,083.64	23.9200	1,219.92	136.28		
Subtotal			411		7,063.51		9,831.12	2,767.61		
↑ FINISH LINE INC CL A	FINL	01/07/10	125	12.1609	1,520.12	28.1700	3,521.25	2,001.13	35	.99
		09/24/10	295	14.0363	4,140.71	28.1700	8,310.15	4,169.44	83	.99
Subtotal			420		5,660.83		11,831.40	6,170.57	118	.99
↑ FORTINET INC	FTNT	12/29/11	70	21.6747	1,517.23	19.1300	1,339.10	(178.13)		
		11/01/12	160	20.2556	3,240.90	19.1300	3,060.80	(180.10)		
		12/11/12	52	20.2505	1,053.03	19.1300	994.76	(58.27)		
		12/12/12	70	20.5422	1,437.96	19.1300	1,339.10	(98.86)		
Subtotal			352		7,249.12		6,733.76	(515.36)		
FOSTER WHEELER AG	FWLT	10/01/13	115	26.8161	3,083.86	33.0010	3,795.12	711.26		
FRANKLIN RES INC	BEN	06/05/13	624	50.2114	31,331.93	57.7300	36,023.52	4,691.59	300	.83
		06/12/13	468	48.7865	22,832.11	57.7300	27,017.64	4,185.53	225	.83
		07/10/13	291	46.0433	13,398.62	57.7300	16,799.43	3,400.81	140	.83
Subtotal			1,383		67,562.66		79,840.59	12,277.93	665	.83
FRESENIUS MEDICAL CARE AG & CO SPON ADR	FMS	11/22/10	961	29.6685	28,511.43	35.5800	34,192.38	5,680.95	321	.93
		02/29/12	50	35.3750	1,768.75	35.5800	1,779.00	10.25	17	.93
Subtotal			1,011		30,280.18		35,971.38	5,691.20	338	.93

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description					Cost Basis	Market Price						
FRESH MKT INC		TFM	11/22/10	38	33.5784	40.5000	1,275.98	40.5000	1,539.00	263.02		
COMMON STOCK			04/28/11	57	41.7682	40.5000	2,380.79	40.5000	2,308.50	(72.29)		
			03/06/13	51	38.6843	40.5000	1,972.90	40.5000	2,065.50	92.60		
Subtotal				146			5,629.67		5,913.00	283.33		
FULTON FINL CORP PA		FULT	05/28/10	87	9.9956	13.0850	869.62	13.0850	1,138.40	268.78		28 2.44
			08/19/10	354	8.5124	13.0850	3,013.39	13.0850	4,632.09	1,618.70		114 2.44
Subtotal			08/04/11	133	9.9452	13.0850	1,322.72	13.0850	1,740.31	417.59		43 2.44
				574			5,205.73		7,510.80	2,305.07		185 2.44
FXCM INC COM CL A		FXCM	08/23/13	223	19.0256	17.8400	4,242.73	17.8400	3,978.32	(264.41)		54 1.34
			08/26/13	97	19.1938	17.8400	1,861.80	17.8400	1,730.48	(131.32)		24 1.34
			10/25/13	147	16.3885	17.8400	2,409.12	17.8400	2,622.48	213.36		36 1.34
Subtotal			11/26/13	145	16.7795	17.8400	2,433.03	17.8400	2,586.80	153.77		35 1.34
				672			10,946.68		10,918.08	(28.60)		149 1.34
G&K SERVICES INC CL A		GK	10/18/13	43	62.1451	62.2300	2,672.24	62.2300	2,675.89	3.65		47 1.73
			10/21/13	8	62.7412	62.2300	501.93	62.2300	497.84	(4.09)		9 1.73
			10/30/13	47	62.9078	62.2300	2,956.67	62.2300	2,924.81	(31.86)		51 1.73
Subtotal				98			6,130.84		6,098.54	(32.30)		107 1.73
GENERAL MILLS		GIS	10/15/09	29	32.5751	49.9100	944.68	49.9100	1,447.39	502.71		45 3.04
			10/16/09	278	32.7484	49.9100	9,104.07	49.9100	13,874.98	4,770.91		423 3.04
			04/14/10	428	35.3289	49.9100	15,120.81	49.9100	21,361.48	6,240.67		651 3.04
			11/22/10	575	35.1462	49.9100	20,209.12	49.9100	28,698.25	8,489.13		875 3.04
			12/16/10	554	36.5622	49.9100	20,255.46	49.9100	27,650.14	7,394.68		843 3.04
			02/22/12	422	38.6486	49.9100	16,309.71	49.9100	21,062.02	4,752.31		642 3.04
Subtotal			02/23/12	11	38.7100	49.9100	425.81	49.9100	549.01	123.20		17 3.04
				2,297			82,369.66		114,643.27	32,273.61		3,496 3.04

A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
GENERAL MOTORS CO COMMON SHARES	GM	12/16/13	1,704	41.3850	70,520.21	40.8700	69,642.48	(877.73)		
Subtotal		12/18/13	499	41.0050	20,461.50	40.8700	20,394.13	(67.37)		
			2,203		90,981.71		90,036.61	(945.10)		
GENESCO INC	GCO	11/22/10	170	35.6700	6,063.90	73.0600	12,420.20	6,356.30		
GEO GROUP INC	GEO	11/22/10	194	24.1298	4,681.20	32.2200	6,250.68	1,569.48		427 6.82
		10/28/11	20	19.0895	381.79	32.2200	644.40	262.61		44 6.82
		12/31/12	32	28.3200	906.24	32.2200	1,031.04	124.80		71 6.82
		03/07/13	2	35.3600	70.72	32.2200	64.44	(6.28)		5 6.82
Subtotal			248		6,039.95		7,990.56	1,950.61		547 6.82
GEOSPACE TECHNOLOGIES CORP SHS	GEOS	11/22/10	81	33.3950	2,705.00	94.6360	7,665.52	4,960.52		
		10/28/11	20	39.8815	797.63	94.6360	1,892.72	1,095.09		
Subtotal			101		3,502.63		9,558.24	6,055.61		
GLIMCHER REALTY TR SBI REIT	GRT	07/05/12	402	10.4316	4,193.51	9.3600	3,762.72	(430.79)		161 4.27
		07/06/12	26	10.2561	266.66	9.3600	243.36	(23.30)		11 4.27
Subtotal			428		4,460.17		4,006.08	(454.09)		172 4.27
GOLDMAN SACHS GROUP INC	GS	05/17/11	125	139.3955	17,424.44	177.2600	22,157.50	4,733.06		275 1.24
		01/11/12	114	100.0518	11,405.91	177.2600	20,207.64	8,801.73		251 1.24
		05/02/12	183	113.4163	20,755.20	177.2600	32,438.58	11,683.38		403 1.24
		01/17/13	411	141.2965	58,072.90	177.2600	72,853.86	14,780.96		905 1.24
Subtotal			833		107,658.45		147,657.58	39,999.13		1,834 1.24
GOOGLE INC CL A	GOOG	02/23/11	25	606.7280	15,168.20	1,120.7100	28,017.75	12,849.55		
		04/01/11	21	594.0076	12,474.16	1,120.7100	23,534.91	11,060.75		
		04/28/11	19	537.7663	10,217.56	1,120.7100	21,293.49	11,075.93		
		05/04/11	34	537.2958	18,268.06	1,120.7100	38,104.14	19,836.08		
		08/03/11	25	600.0352	15,000.88	1,120.7100	28,017.75	13,016.87		
		11/30/11	38	596.7910	22,678.06	1,120.7100	42,586.98	19,908.92		
		02/08/13	19	785.1705	14,918.24	1,120.7100	21,293.49	6,375.25		

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PRIVATE BANKING &
INVESTMENT GROUP

Bank of America Corporation

A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
GOOGLE INC CL A	GOOG	03/07/13	4	833.7000	3,334.80	1,120.7100	4,482.84	1,148.04		
		05/09/13	12	872.4208	10,469.05	1,120.7100	13,448.52	2,979.47		
		06/06/13	20	863.7255	17,274.51	1,120.7100	22,414.20	5,139.69		
Subtotal			217		139,803.52		243,194.07	103,390.55		
GOVT PTYS INCOME TR	GOV	04/05/10	17	25.9788	441.64	24.8500	422.45	(19.19)		30 6.92
		05/03/10	69	26.9020	1,856.24	24.8500	1,714.65	(141.59)		119 6.92
		06/30/10	65	25.2124	1,638.81	24.8500	1,615.25	(23.56)		112 6.92
		09/28/10	79	25.9060	2,046.58	24.8500	1,963.15	(83.43)		136 6.92
		04/12/13	55	26.1343	1,437.39	24.8500	1,366.75	(70.64)		95 6.92
Subtotal			285		7,420.66		7,082.25	(338.41)		492 6.92
GREENHILL COMPANY INC	GHL	08/26/13	177	50.7550	8,983.65	57.9400	10,255.38	1,271.73		319 3.10
		10/21/13	64	50.2550	3,216.32	57.9400	3,708.16	491.84		116 3.10
Subtotal			241		12,199.97		13,963.54	1,763.57		435 3.10
GULFMARK OFSHRE INC CLA	GLF	12/12/12	71	33.2543	2,361.06	47.1300	3,346.23	985.17		71 2.12
		03/27/13	18	39.1300	704.34	47.1300	848.34	144.00		18 2.12
		03/28/13	54	38.8762	2,099.32	47.1300	2,545.02	445.70		54 2.12
		07/05/13	34	47.5279	1,615.95	47.1300	1,602.42	(13.53)		34 2.12
Subtotal			177		6,780.67		8,342.01	1,561.34		177 2.12
GULFPORT ENERGY NEW\$0 01	GPOR	10/20/11	65	30.0635	1,954.13	63.1300	4,103.45	2,149.32		
		08/10/12	109	25.9713	2,830.88	63.1300	6,881.17	4,050.29		
Subtotal			174		4,785.01		10,984.62	6,199.61		
HAIN CELESTIAL GROUP INC	HAIN	07/16/13	62	73.1580	4,535.80	90.7800	5,628.36	1,092.56		
HANCOCK HOLDING CO	HBHC	09/03/13	182	31.5425	5,740.75	36.6800	6,675.76	935.01		175 2.61
		09/04/13	188	31.6993	5,959.47	36.6800	6,895.84	936.37		181 2.61
Subtotal			370		11,700.22		13,571.60	1,871.38		356 2.61

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
HENNES AND MAURITZ AB- ADR	HNNMY	11/22/10 02/29/12 03/07/13	3,126 400 40	6.7500 7.2322 7.4365	21,100.50 2,892.88 297.46	9.1600 9.1600 9.1600	28,634.16 3,664.00 366.40	7,533.66 771.12 68.94	694 89 9	2.42 2.42 2.42
Subtotal			3,566		24,290.84		32,664.56	8,373.72	792	2.42
HEXCEL CORP NEW COM	HXL	10/07/11 10/11/11	87 85	22.5056 23.5287	1,957.99 1,999.94	44.6900 44.6900	3,888.03 3,798.65	1,930.04 1,798.71		
Subtotal			172		3,957.93		7,686.68	3,728.75		
HMS HLDGS CORP	HMSY	03/07/13 06/26/13 06/27/13	86 140 4	31.4102 23.2235 23.6725	2,701.28 3,251.30 94.69	22.7000 22.7000 22.7000	1,952.20 3,178.00 90.80	(749.08) (73.30) (3.89)		
Subtotal			230		6,047.27		5,221.00	(826.27)		
HOME DEPOT INC	HD	07/02/13 07/26/13 12/19/13	559 212 272	77.5547 78.9432 80.0277	43,353.13 16,735.96 21,767.56	82.3400 82.3400 82.3400	46,028.06 17,456.08 22,396.48	2,674.93 720.12 628.92	873 331 425	1.89 1.89 1.89
Subtotal			1,043		81,856.65		85,880.62	4,023.97	1,629	1.89
HONEYWELL INTL INC DEL	HON	05/26/10 08/11/10 11/22/10 12/04/13	428 318 275 267	42.5626 42.2445 49.4262 86.9165	18,216.80 13,433.78 13,592.23 23,206.71	91.3700 91.3700 91.3700 91.3700	39,106.36 29,055.66 25,126.75 24,395.79	20,889.56 15,621.88 11,534.52 1,189.08	771 573 495 481	1.97 1.97 1.97 1.97
Subtotal			1,288		68,449.52		117,684.56	49,235.04	2,320	1.97
HONG KONG EXCHANGES AND CLEARING LTD SHS	HKXY	11/22/10 02/29/12 03/07/13	1,150 50 31	23.3700 18.8200 17.8700	26,875.50 941.00 553.97	16.6900 16.6900 16.6900	19,193.50 834.50 517.39	(7,682.00) (106.50) (36.58)	439 20 12	2.28 2.28 2.28
Subtotal			1,231		28,370.47		20,545.39	(7,825.08)	471	2.28

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

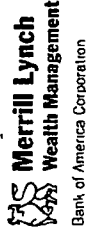
November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description					Cost Basis	Market Price						
HOUGHTON MIFFLIN HARCOURT CO		HMHC	11/19/13	24	16.0937	16.9600	386.25	16.9600	407.04	20.79		
			11/20/13	79	16.7567	16.9600	1,323.78	16.9600	1,339.84	16.06		
			11/21/13	57	17.8196	16.9600	1,015.72	16.9600	966.72	(49.00)		
			11/22/13	30	17.1846	16.9600	515.54	16.9600	508.80	(6.74)		
	Subtotal			190			3,241.29		3,222.40	(18.89)		
HSBC HLDG PLC SP ADR		HSBC	02/29/12	73	44.5060	55.1300	3,248.94	55.1300	4,024.49	775.55	176	4.35
			04/27/12	154	45.5996	55.1300	7,022.34	55.1300	8,490.02	1,467.68	370	4.35
			04/30/12	200	45.2164	55.1300	9,043.28	55.1300	11,026.00	1,982.72	480	4.35
			12/14/12	79	51.9943	55.1300	4,107.55	55.1300	4,355.27	247.72	190	4.35
	Subtotal		03/07/13	12	54.2875	55.1300	651.45	55.1300	661.56	10.11	29	4.35
				518			24,073.56		28,557.34	4,483.78	1,245	4.35
HUNTSMAN CORP		HUN	11/22/10	471	13.6057	24.6000	6,408.32	24.6000	11,586.60	5,178.28	236	2.03
		IBKC	02/07/13	47	51.5057	62.8500	2,420.77	62.8500	2,953.95	533.18	64	2.16
			02/19/13	21	52.3376	62.8500	1,099.09	62.8500	1,319.85	220.76	29	2.16
			02/20/13	27	52.3459	62.8500	1,413.34	62.8500	1,696.95	283.61	37	2.16
			02/26/13	31	50.3100	62.8500	1,559.61	62.8500	1,948.35	388.74	43	2.16
INDUSTRL AND COMMRCBANK OF CHN			04/16/13	32	48.6925	62.8500	1,558.16	62.8500	2,011.20	453.04	44	2.16
			09/04/13	38	52.6610	62.8500	2,001.12	62.8500	2,388.30	387.18	52	2.16
	Subtotal			196			10,052.09		12,318.60	2,266.51	269	2.16
		IDCBY	11/22/10	832	15.4458	13.5800	12,850.98	13.5800	11,298.56	(1,552.42)	540	4.77
			01/27/11	148	15.0189	13.5800	2,222.81	13.5800	2,009.84	(212.97)	96	4.77
Subtotal			01/28/11	226	14.9261	13.5800	3,373.30	13.5800	3,069.08	(304.22)	147	4.77
			03/01/12	75	14.5550	13.5800	1,091.63	13.5800	1,018.50	(73.13)	49	4.77
			03/07/13	46	14.1000	13.5800	648.60	13.5800	624.68	(23.92)	30	4.77
	Subtotal			1,327			20,187.32		18,020.66	(2,166.66)	862	4.77

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
ING GP NV SPSPD ADR	ING	07/01/13	928	9.3161	8,645.43	14.0100	13,001.28	4,355.85	
		07/10/13	891	9.6426	8,591.56	14.0100	12,482.91	3,891.35	
		09/17/13	526	11.9796	6,301.32	14.0100	7,369.26	1,067.94	
		11/07/13	335	12.8777	4,314.03	14.0100	4,693.35	379.32	
		11/26/13	210	12.9053	2,710.13	14.0100	2,942.10	231.97	
		11/27/13	149	12.9885	1,935.29	14.0100	2,087.49	152.20	
Subtotal			3,039		32,497.76		42,576.39	10,078.63	
INNPHOS HLDGS INC	IPHS	09/24/10	102	30.9903	3,161.02	48.6000	4,957.20	1,796.18	164 3.29
		07/05/13	37	47.8821	1,771.64	48.6000	1,798.20	26.56	60 3.29
		08/15/13	60	51.2270	3,073.62	48.6000	2,916.00	(157.62)	96 3.29
Subtotal			199		8,006.28		9,671.40	1,665.12	320 3.29
INTERSIL CORP CL A	ISIL	04/16/12	160	10.6651	1,706.42	11.4710	1,835.36	128.94	77 4.18
		07/06/12	233	10.4627	2,437.83	11.4710	2,672.74	234.91	112 4.18
		09/20/12	150	9.0567	1,358.51	11.4710	1,720.65	362.14	72 4.18
Subtotal			543		5,502.76		6,228.75	725.99	261 4.18
INTESA SANPAOLO SPON ADR	ISNPY	09/18/13	722	13.2080	9,536.24	14.9500	10,793.90	1,257.66	414 3.83
		10/11/13	238	14.5152	3,454.63	14.9500	3,558.10	103.47	137 3.83
		10/21/13	320	15.0611	4,819.57	14.9500	4,784.00	(35.57)	184 3.83
		11/26/13	312	14.2491	4,445.74	14.9500	4,664.40	218.66	179 3.83
Subtotal			1,592		22,256.18		23,800.40	1,544.22	914 3.83
INTL BUSINESS MACHINES CORP IBM	IBM	07/28/10	19	128.9905	2,450.82	187.5700	3,563.83	1,113.01	73 2.02
		11/03/10	101	143.6178	14,505.40	187.5700	18,944.57	4,439.17	384 2.02
		11/22/10	175	144.3300	25,257.75	187.5700	32,824.75	7,567.00	665 2.02
		09/05/12	243	195.2607	47,448.37	187.5700	45,579.51	(1,868.86)	924 2.02
		09/21/12	91	207.3282	18,866.87	187.5700	17,068.87	(1,798.00)	346 2.02
		01/17/13	165	194.1410	32,033.28	187.5700	30,949.05	(1,084.23)	627 2.02
		03/08/13	46	210.4695	9,681.60	187.5700	8,628.22	(1,053.38)	175 2.02
Subtotal			840		150,244.09		157,558.80	7,314.71	3,194 2.02

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description					Cost Basis							
INTUIT INC	COM	INTU	10/31/13	340	71.6965	24,376.81	76.3200	25,948.80	1,571.99	259	99	
			11/01/13	309	71.4810	22,087.63	76.3200	23,582.88	1,495.25	235	99	
	Subtotal			649		46,464.44		49,531.68	3,067.24	494	.99	
INVENSENSE INC USDO 001		INVN	11/12/13	185	16.5915	3,069.43	20.7800	3,844.30	774.87			
IPG PHOTONICS CORP DEL		IPGP	11/07/11	40	51.7235	2,068.94	77.6100	3,104.40	1,035.46			
			04/03/12	37	54.5083	2,016.81	77.6100	2,871.57	854.76			
			11/01/13	7	60.6214	424.35	77.6100	543.27	118.92			
	Subtotal			84		4,510.10		6,519.24	2,009.14			
ISIS PHARMACEUTICALS INC		ISIS	07/11/13	87	32.6677	2,842.09	39.8400	3,466.08	623.99			
ITAU UNIBANCO BANCO HOLD		ITUB	02/03/11	200	19.4310	3,886.20	13.5700	2,714.00	(1,172.20)	16	.57	
SA SPONSORED ADR			05/04/11	307	20.4140	6,267.12	13.5700	4,165.99	(2,101.13)	24	.57	
			07/21/11	197	19.3613	3,814.19	13.5700	2,673.29	(1,140.90)	16	.57	
			07/21/11	28	19.3632	542.17	13.5700	379.96	(162.21)	3	.57	
			09/01/11	234	17.0519	3,990.16	13.5700	3,175.38	(814.78)	19	.57	
			02/29/12	83	19.5251	1,620.59	13.5700	1,126.31	(494.28)	7	.57	
			04/25/12	199	14.4496	2,875.48	13.5700	2,700.43	(175.05)	16	.57	
			03/07/13	33	16.4787	543.80	13.5700	447.81	(95.99)	3	.57	
	Subtotal			1,281		23,539.71		17,383.17	(6,156.54)	104	.57	
JETBLUE AIRWAYS CORP DEL		JBLU	11/22/10	518	6.7700	3,506.86	8.5400	4,423.72	916.86			
JOHNSON AND JOHNSON COM		JNJ	05/12/11	52	66.5550	3,460.86	91.5900	4,762.68	1,301.82	138	2.88	
			06/23/11	593	65.0963	38,602.11	91.5900	54,312.87	15,710.76	1,566	2.88	
			07/20/11	337	66.3148	22,348.12	91.5900	30,865.83	8,517.71	890	2.88	
			10/17/12	679	71.0578	48,248.25	91.5900	62,189.61	13,941.36	1,793	2.88	
			12/06/12	296	70.0733	20,741.70	91.5900	27,110.64	6,368.94	782	2.88	
			12/19/12	290	71.1355	20,629.32	91.5900	26,561.10	5,931.78	766	2.88	
			01/30/13	521	74.6338	38,884.21	91.5900	47,718.39	8,834.18	1,376	2.88	
	Subtotal			2,768		192,914.57		253,521.12	60,606.55	7,311	2.88	

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A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description					Cost Basis							
JOHNSON CONTROLS INC	JCI	05/26/10	259	28.1739	7,297.06	51.3000	13,286.70	5,989.64	228	1.71		
		11/22/10	125	36.8251	4,603.14	51.3000	6,412.50	1,809.36	110	1.71		
		12/02/10	503	39.0171	19,625.65	51.3000	25,803.90	6,178.25	443	1.71		
		03/23/11	505	40.2345	20,318.47	51.3000	25,906.50	5,588.03	445	1.71		
Subtotal			1,392		51,844.32		71,409.60	19,565.28	1,226	1.71		
JPMORGAN CHASE & CO	JPM	11/22/10	2	38.3700	76.74	58.4800	116.96	40.22	4	2.59		
		02/02/11	438	45.7755	20,049.67	58.4800	25,614.24	5,564.57	666	2.59		
		02/25/11	416	46.5125	19,349.20	58.4800	24,327.68	4,978.48	633	2.59		
		08/03/11	669	39.4285	26,377.67	58.4800	39,123.12	12,745.45	1,017	2.59		
		12/02/11	804	32.4363	26,078.79	58.4800	47,017.92	20,939.13	1,223	2.59		
		12/05/11	879	33.8118	29,720.66	58.4800	51,403.92	21,683.26	1,337	2.59		
		03/02/12	448	40.6531	18,212.63	58.4800	26,199.04	7,986.41	681	2.59		
		04/17/13	239	47.7910	11,422.05	58.4800	13,976.72	2,554.67	364	2.59		
		10/30/13	284	52.8714	15,015.48	58.4800	16,608.32	1,592.84	432	2.59		
Subtotal			4,179		166,302.89		244,387.92	78,085.03	6,357	2.59		
J2 GLOBAL INC	JCOM	06/03/13	96	40.6254	3,900.04	50.0100	4,800.96	900.92	98	2.03		
		06/04/13	40	40.8160	1,632.64	50.0100	2,000.40	367.76	41	2.03		
Subtotal			136		5,532.68		6,801.36	1,268.68	139	2.03		
KINDER MORGAN INC. DEL	KMI	08/02/12	399	35.3540	14,106.25	36.0000	14,364.00	257.75	655	4.55		
		08/03/12	529	35.8164	18,946.88	36.0000	19,044.00	97.12	868	4.55		
		08/06/12	613	36.1008	22,129.85	36.0000	22,068.00	(61.85)	1,006	4.55		
		02/05/13	361	37.4039	13,502.81	36.0000	12,996.00	(506.81)	593	4.55		
		02/06/13	456	37.3453	17,029.50	36.0000	16,416.00	(613.50)	748	4.55		
		03/07/13	12	37.2300	446.76	36.0000	432.00	(14.76)	20	4.55		
		04/01/13	496	39.1358	19,411.36	36.0000	17,856.00	(1,555.36)	814	4.55		
Subtotal			2,866		105,573.41		103,176.00	(2,397.41)	4,704	4.55		

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Bank of America Corporation

A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description					Cost Basis							
KINGFISHER PLC SP ADR		KGFIY	11/22/10	3,562	7.9096		28,174.00	12.8400	45,736.08	17,562.08	955	2.08
			02/29/12	325	9.0444		2,939.46	12.8400	4,173.00	1,233.54	88	2.08
			03/07/13	49	8.7000		426.30	12.8400	629.16	202.86	14	2.08
Subtotal				3,936			31,539.76		50,538.24	18,998.48	1,057	2.08
KOMATSU NEW NEW SPNSDADR		KMTUY	11/22/10	222	27.5000		6,105.00	20.5800	4,568.76	(1,536.24)	99	2.15
			02/29/12	50	30.4466		1,522.33	20.5800	1,029.00	(493.33)	23	2.15
			07/30/12	291	22.0576		6,418.79	20.5800	5,988.78	(430.01)	129	2.15
			09/20/12	156	21.0716		3,287.17	20.5800	3,210.48	(76.69)	70	2.15
			03/07/13	22	23.7000		521.40	20.5800	452.76	(68.64)	10	2.15
			06/14/13	198	24.7945		4,909.33	20.5800	4,074.84	(834.49)	88	2.15
Subtotal				939			22,764.02		19,324.62	(3,439.40)	419	2.15
KONE OYJ SHS CL B		KNYJY	08/27/13	208	20.9453		4,356.63	22.6000	4,700.80	344.17	242	5.12
			08/28/13	218	20.7883		4,531.85	22.6000	4,926.80	394.95	253	5.12
			09/26/13	406	22.4952		9,133.09	22.6000	9,175.60	42.51	471	5.12
Subtotal				832			18,021.57		18,803.20	781.63	966	5.12
KRAFT FOODS GROUP INC SHS		KRFT	10/10/12	160	46.8106		7,489.71	53.9100	8,625.60	1,135.89	336	3.89
			03/07/13	42	48.9988		2,057.95	53.9100	2,264.22	206.27	89	3.89
			05/09/13	188	55.0304		10,345.73	53.9100	10,135.08	(210.65)	395	3.89
			08/13/13	186	56.1038		10,435.31	53.9100	10,027.26	(408.05)	391	3.89
			08/14/13	445	55.0784		24,509.89	53.9100	23,989.95	(519.94)	935	3.89
Subtotal				1,021			54,838.59		55,042.11	203.52	2,146	3.89
KUBOTA CORP ADR		KUBTY	04/02/13	72	69.3208		4,991.10	83.6000	6,019.20	1,028.10	60	98
			04/03/13	51	67.4741		3,441.18	83.6000	4,263.60	822.42	43	98
			04/12/13	54	73.7614		3,983.12	83.6000	4,514.40	531.28	45	98
			04/15/13	63	72.5166		4,568.55	83.6000	5,266.80	698.25	53	98
			11/27/13	57	86.8433		4,950.07	83.6000	4,765.20	(184.87)	48	98
			12/20/13	42	81.6780		3,430.48	83.6000	3,511.20	80.72	35	98
Subtotal				339			25,364.50		28,340.40	2,975.90	284	.98

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Bank of America Corporation

A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
LANDSTAR SYS INC COM	LSTR	11/22/10	88	37.7750	3,324.20	57.4500	5,055.60	1,731.40		
		03/07/13	6	56.3866	338.32	57.4500	344.70	6.38		
Subtotal			94		3,662.52		5,400.30	1,737.78		
LAS VEGAS SANDS CORP	LVS	02/02/12	84	51.1638	4,297.76	78.8700	6,625.08	2,327.32	118	1.77
		03/22/12	81	57.9524	4,694.15	78.8700	6,388.47	1,694.32	114	1.77
		05/10/12	127	51.7069	6,566.78	78.8700	10,016.49	3,449.71	178	1.77
		06/01/12	59	44.9298	2,650.86	78.8700	4,653.33	2,002.47	83	1.77
		07/24/12	94	38.4157	3,611.08	78.8700	7,413.78	3,802.70	132	1.77
		03/07/13	31	52.1816	1,617.63	78.8700	2,444.97	827.34	44	1.77
Subtotal			476		23,438.26		37,542.12	14,103.86	669	1.77
LIFELock INC	LOCK	04/01/13	95	9.3398	887.29	16.4100	1,558.95	671.66		
COMMON STOCK		04/02/13	183	9.4509	1,729.53	16.4100	3,003.03	1,273.50		
Subtotal			278		2,616.82		4,561.98	1,945.16		
LOCKHEED MARTIN CORP	LMT	03/04/09	80	62.4638	4,997.11	148.6600	11,892.80	6,895.69	426	3.57
		08/26/09	216	74.6147	16,116.78	148.6600	32,110.56	15,993.78	1,150	3.57
		10/14/09	89	75.1900	6,691.91	148.6600	13,230.74	6,538.83	474	3.57
		11/04/09	144	70.8681	10,205.01	148.6600	21,407.04	11,202.03	767	3.57
		11/22/10	400	68.4142	27,365.68	148.6600	59,464.00	32,098.32	2,128	3.57
		07/06/11	138	81.5005	11,247.08	148.6600	20,515.08	9,268.00	735	3.57
		01/30/13	232	88.7494	20,589.88	148.6600	34,489.12	13,899.24	1,235	3.57
Subtotal			1,299		97,213.45		193,109.34	95,895.89	6,915	3.57
LORILLARD INC	LO	02/29/12	391	43.8889	17,160.56	50.6800	19,815.88	2,655.32	861	4.34
		04/04/12	471	45.1514	21,266.34	50.6800	23,870.28	2,603.94	1,037	4.34
		04/11/12	453	45.3091	20,525.04	50.6800	22,958.04	2,433.00	997	4.34
		05/30/12	426	41.0895	17,504.14	50.6800	21,589.68	4,085.54	938	4.34
		09/12/12	492	39.5171	19,442.46	50.6800	24,934.56	5,492.10	1,083	4.34
		07/24/13	525	44.6230	23,427.08	50.6800	26,607.00	3,179.92	1,156	4.34
Subtotal			2,758		119,325.62		139,775.44	20,449.82	6,072	4.34

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A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
LOUISIANA PAC CORP	LPIX	06/22/12	77	10.3672	798.28	18.5100	1,425.27	626.99		
		07/30/12	183	10.9661	2,006.80	18.5100	3,387.33	1,380.53		
Subtotal			260		2,805.08		4,812.60	2,007.52		
LULULEMON ATHLETICA INC	LULU	06/27/13	287	65.1634	18,701.90	59.0300	16,941.61	(1,760.29)		
		08/21/13	125	69.9024	8,737.81	59.0300	7,378.75	(1,359.06)		
		11/06/13	32	69.9831	2,239.46	59.0300	1,888.96	(350.50)		
		12/17/13	29	58.7748	1,704.47	59.0300	1,711.87	7.40		
Subtotal			473		31,383.64		27,921.19	(3,462.45)		
LVMH MOET HENNESSY ADR	LVMUY	11/22/10	927	32.6000	30,220.20	36.5999	33,928.11	3,707.91	539	1.58
		03/16/11	169	28.5279	4,821.22	36.5999	6,185.38	1,364.16	99	1.58
		09/23/11	178	28.2238	5,023.85	36.5999	6,514.78	1,490.93	104	1.58
		02/29/12	25	34.0164	850.41	36.5999	915.00	64.59	15	1.58
		03/07/13	24	35.3475	848.34	36.5999	878.40	30.06	14	1.58
		04/18/13	136	31.7265	4,314.81	36.5999	4,977.59	662.78	80	1.58
Subtotal			1,459		46,078.83		53,399.26	7,320.43	851	1.58
MADDEN STEVEN LTD	SHOO	11/22/10	229	19.4968	4,464.79	36.5900	8,379.11	3,914.32		
MAXIMUS INC	MMS	09/27/10	137	14.8860	2,039.39	43.9900	6,026.63	3,987.24	25	40
		01/31/11	184	16.9219	3,113.64	43.9900	8,094.16	4,980.52	34	40
Subtotal			321		5,153.03		14,120.79	8,967.76	59	40
MCDONALDS CORP COM	MCD	01/03/13	321	90.4882	29,046.74	97.0300	31,146.63	2,099.89	1,041	3.33
MEDASSETS INC	MDAS	11/22/10	171	16.7750	2,868.53	19.8300	3,390.93	522.40		
		12/10/10	116	18.8612	2,187.90	19.8300	2,300.28	112.38		
		01/16/13	98	19.4825	1,909.29	19.8300	1,943.34	34.05		
Subtotal			385		6,965.72		7,634.55	668.83		

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
MEDIDATA SOLUTIONS INC	MDSO	12/28/10	62	12.0816	749.06	60.5020	3,751.12	3,002.06		
		01/03/11	104	11.9709	1,244.98	60.5020	6,292.21	5,047.23		
		01/04/11	10	11.8260	118.26	60.5020	605.02	486.76		
		03/15/11	218	10.6573	2,323.31	60.5020	13,189.44	10,866.13		
		10/28/11	34	9.2791	315.49	60.5020	2,057.07	1,741.58		
Subtotal			428		4,751.10		25,894.86	21,143.76		
MERCADOLIBRE INC	MELI	09/23/11	39	59.6005	2,324.42	107.7900	4,203.81	1,879.39	23	.53
		09/28/11	52	58.1155	3,022.01	107.7900	5,605.08	2,583.07	30	.53
		11/28/12	51	70.6333	3,602.30	107.7900	5,497.29	1,894.99	30	.53
		03/07/13	4	91.9000	367.60	107.7900	431.16	63.56	3	.53
		11/06/13	18	121.5933	2,188.68	107.7900	1,940.22	(248.46)	11	.53
		11/21/13	15	109.6633	1,644.95	107.7900	1,616.85	(28.10)	9	.53
Subtotal			179		13,149.96		19,294.41	6,144.45	106	.53
METLIFE INC COM	MET	08/10/07	458	61.5122	28,172.61	53.9200	24,695.36	(3,477.25)	504	2.04
		02/12/08	27	57.9900	1,565.73	53.9200	1,455.84	(109.89)	30	2.04
		03/26/08	23	60.3700	1,388.51	53.9200	1,240.16	(148.35)	26	2.04
		05/21/08	39	59.4900	2,320.11	53.9200	2,102.88	(217.23)	43	2.04
		06/23/08	195	56.3387	10,986.05	53.9200	10,514.40	(471.65)	215	2.04
		10/22/08	327	31.3307	10,245.17	53.9200	17,631.84	7,386.67	360	2.04
		10/14/09	90	38.1698	3,435.29	53.9200	4,852.80	1,417.51	99	2.04
		09/01/10	245	39.4166	9,657.07	53.9200	13,210.40	3,553.33	270	2.04
		11/22/10	550	38.3963	21,117.97	53.9200	29,656.00	8,538.03	606	2.04
		01/19/11	511	45.8884	23,449.02	53.9200	27,553.12	4,104.10	563	2.04
Subtotal			2,465		112,337.53		132,912.80	20,575.27	2,716	2.04
MICHAEL KORS HLDGS LTD SHS	KORS	06/27/13	319	61.2106	19,526.21	81.1900	25,899.61	6,373.40		
		06/28/13	229	61.4521	14,072.55	81.1900	18,592.51	4,519.96		
Subtotal			548		33,598.76		44,492.12	10,893.36		

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
MICROSOFT CORP	MSFT	08/18/11	800	24.8834	19,906.72	37.4100	29,928.00	10,021.28	897	2.99
		03/02/12	712	32.3797	23,054.35	37.4100	26,635.92	3,581.57	798	2.99
		04/20/12	166	32.7704	5,439.90	37.4100	6,210.06	770.16	186	2.99
		05/17/12	571	29.9981	17,128.97	37.4100	21,361.11	4,232.14	640	2.99
		07/12/12	352	28.8428	10,152.67	37.4100	13,168.32	3,015.65	395	2.99
		08/01/12	349	29.5334	10,307.19	37.4100	13,056.09	2,748.90	391	2.99
		03/07/13	15	28.2373	423.56	37.4100	561.15	137.59	17	2.99
Subtotal			2,965		86,413.36		110,920.65	24,507.29	3,324	2.99
MITSUBISHI UFJ FINL GRP INC	MTU	09/21/10	1,160	4.8233	5,595.03	6.6800	7,748.80	2,153.77	148	1.90
		10/06/10	903	4.8832	4,409.53	6.6800	6,032.04	1,622.51	115	1.90
		10/07/10	641	4.9241	3,156.35	6.6800	4,281.88	1,125.53	82	1.90
		02/03/11	719	5.3763	3,865.63	6.6800	4,802.92	937.29	92	1.90
		02/29/12	150	5.1937	779.06	6.6800	1,002.00	222.94	20	1.90
		03/07/13	297	5.6898	1,689.90	6.6800	1,983.96	294.06	38	1.90
		03/28/13	375	5.9924	2,247.15	6.6800	2,505.00	257.85	48	1.90
		04/01/13	787	5.9573	4,688.40	6.6800	5,257.16	568.76	100	1.90
		04/12/13	697	6.8088	4,745.80	6.6800	4,655.96	(89.84)	89	1.90
Subtotal			5,729		31,176.85		38,269.72	7,092.87	732	1.90
MONSANTO CO NEW DEL COM	MON	06/30/11	196	72.0485	14,121.52	116.5500	22,843.80	8,722.28	338	1.47
		10/14/11	252	73.8684	18,614.84	116.5500	29,370.60	10,755.76	434	1.47
		01/06/12	172	77.5869	13,344.96	116.5500	20,046.60	6,701.64	296	1.47
		01/09/12	27	77.8337	2,101.51	116.5500	3,146.85	1,045.34	47	1.47
		04/12/12	228	77.8785	17,756.30	116.5500	26,573.40	8,817.10	393	1.47
		03/07/13	11	103.0909	1,134.00	116.5500	1,282.05	148.05	19	1.47
Subtotal			886		67,073.13		103,263.30	36,190.17	1,527	1.47

A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
MONTPELIER RE HLDGS LTD	MRH	02/15/13	150	24.8556	3,728.35	29.1000	4,365.00	636.65	75	1.71
		02/20/13	108	24.8449	2,683.25	29.1000	3,142.80	459.55	54	1.71
		07/17/13	58	26.9032	1,560.39	29.1000	1,687.80	127.41	29	1.71
Subtotal			316		7,971.99		9,195.60	1,223.61	158	1.71
MULTIMEDIA GAMES HOLDING CO INC	MGAM	11/14/13	109	29.8928	3,258.32	31.3600	3,418.24	159.92		
MWI VETERINARY SUPPLY IN	MWIV	11/08/11	19	70.1826	1,333.47	169.9660	3,229.35	1,895.88		
		11/09/11	12	68.2625	819.15	169.9660	2,039.59	1,220.44		
Subtotal			31		2,152.62		5,268.94	3,116.32		
NASDAQ OMX GRP INC	NDQA	11/14/13	199	36.8803	7,339.18	39.8000	7,920.20	581.02	104	1.30
		11/15/13	127	36.9623	4,694.22	39.8000	5,054.60	360.38	67	1.30
		11/18/13	118	37.4415	4,418.10	39.8000	4,696.40	278.30	62	1.30
		11/19/13	209	38.2786	8,000.23	39.8000	8,318.20	317.97	109	1.30
		12/11/13	171	38.7980	6,634.46	39.8000	6,805.80	171.34	89	1.30
		12/12/13	307	38.7328	11,891.00	39.8000	12,218.60	327.60	160	1.30
		12/13/13	144	38.7677	5,582.55	39.8000	5,731.20	148.65	75	1.30
Subtotal			1,275		48,559.74		50,745.00	2,185.26	666	1.30
NESTLE S A REP RG SH ADR	NSRGY	05/21/08	1	48.1100	48.11	73.5900	73.59	25.48	2	2.46
		10/08/08	200	39.6689	7,933.78	73.5900	14,718.00	6,784.22	363	2.46
		08/19/09	207	39.9045	8,260.25	73.5900	15,233.13	6,972.88	376	2.46
		10/14/09	66	42.9900	2,837.34	73.5900	4,856.94	2,019.60	120	2.46
		03/12/10	374	50.7160	18,967.82	73.5900	27,522.66	8,554.84	679	2.46
		04/27/10	224	49.3625	11,057.20	73.5900	16,484.16	5,426.96	407	2.46
		08/24/10	94	50.5777	4,754.31	73.5900	6,917.46	2,163.15	171	2.46
		11/22/10	75	56.0200	4,201.50	73.5900	5,519.25	1,317.75	137	2.46
		02/22/13	298	69.1802	20,615.70	73.5900	21,929.82	1,314.12	541	2.46

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
NESTLE S A REP RG SH ADR	NSRGY	07/31/13	331	67.8339	22,453.05	73.5900	24,358.29	1,905.24	601	2.46
		08/06/13	76	70.7075	5,373.77	73.5900	5,592.84	219.07	138	2.46
		08/14/13	271	67.2163	18,215.62	73.5900	19,942.89	1,727.27	492	2.46
Subtotal			2,217		124,718.45		163,149.03	38,430.58	4,027	2.46
NICE SYSTS LTD SPSP ADR	NICE	03/27/12	63	39.4915	2,487.97	40.9600	2,580.48	92.51	26	.99
		03/19/13	71	36.9156	2,621.01	40.9600	2,908.16	287.15	29	.99
		04/01/13	72	36.2668	2,611.21	40.9600	2,949.12	337.91	30	.99
		04/02/13	4	36.5275	146.11	40.9600	163.84	17.73	2	.99
		04/08/13	41	35.6007	1,459.63	40.9600	1,679.36	219.73	17	.99
		04/09/13	35	35.4751	1,241.63	40.9600	1,433.60	191.97	15	.99
		07/05/13	41	37.4912	1,537.14	40.9600	1,679.36	142.22	17	.99
		08/01/13	21	38.6895	812.48	40.9600	860.16	47.68	9	.99
		08/02/13	24	38.2841	918.82	40.9600	983.04	64.22	10	.99
Subtotal			372		13,836.00		15,237.12	1,401.12	155	.99
NIELSEN HOLDINGS B V	NLSN	11/22/13	582	40.2100	23,402.22	45.8900	26,707.98	3,305.76	466	1.74
NOBLE ENERGY INC	NBL	10/23/13	225	71.1382	16,006.10	68.1100	15,324.75	(681.35)	126	.82
		10/24/13	519	74.4391	38,633.94	68.1100	35,349.09	(3,284.85)	291	.82
Subtotal			744		54,640.04		50,673.84	(3,966.20)	417	.82
NOKIA CORP SPON ADR	NOK	11/22/13	1,181	8.0930	9,557.95	8.1100	9,577.91	19.96		
NOODLES AND CO CL A	NDLS	12/09/13	111	38.1394	4,233.48	35.9200	3,987.12	(246.36)		
		12/10/13	9	38.2077	343.87	35.9200	323.28	(20.59)		
Subtotal			120		4,577.35		4,310.40	(266.95)		
NORTHWEST PIPE CO	NWPX	11/22/10	115	21.3964	2,460.59	37.7600	4,342.40	1,881.81		

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PRIVATE BANKING &
INVESTMENT GROUP

Bank of America Corporation

A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
NOVARTIS ADR	NVS	09/01/10	124	53.2158	6,598.76	80.3800	9,967.12	3,368.36	256	2.55
		09/30/10	257	57.4835	14,773.26	80.3800	20,657.66	5,884.40	529	2.55
		12/08/10	85	54.6175	4,642.49	80.3800	6,832.30	2,189.81	175	2.55
		03/07/13	22	68.8000	1,513.60	80.3800	1,768.36	254.76	46	2.55
Subtotal			488		27,528.11		39,225.44	11,697.33	1,006	2.55
NOVO NORDISK A SADR	NVO	02/04/08	5	61.9960	309.98	184.7600	923.80	613.82	12	1.22
		02/15/08	26	65.3746	1,699.74	184.7600	4,803.76	3,104.02	59	1.22
		02/19/08	65	65.8356	4,279.32	184.7600	12,009.40	7,730.08	148	1.22
		07/21/08	103	62.4968	6,437.18	184.7600	19,030.28	12,593.10	234	1.22
		09/03/08	69	55.0013	3,795.09	184.7600	12,748.44	8,953.35	157	1.22
		03/07/13	7	175.8671	1,231.07	184.7600	1,293.32	62.25	16	1.22
Subtotal			275		17,752.38		50,809.00	33,056.62	626	1.22
O'REILLY AUTOMOTIVE INC	ORLY	07/17/12	91	92.3217	8,401.28	128.7100	11,712.61	3,311.33		
		07/18/12	213	94.1841	20,061.23	128.7100	27,415.23	7,354.00		
		07/19/12	77	95.7341	7,371.53	128.7100	9,910.67	2,539.14		
		03/07/13	9	104.6600	941.94	128.7100	1,158.39	216.45		
Subtotal			390		36,775.98		50,196.90	13,420.92		
OASIS PETE INC NEW	OAS	08/25/11	80	24.0767	1,926.14	46.9700	3,757.60	1,831.46		
		10/31/11	76	30.5609	2,322.63	46.9700	3,569.72	1,247.09		
Subtotal			156		4,248.77		7,327.32	3,078.55		
OCCIDENTAL PETE CORP CAL	OXY	08/31/11	204	88.0581	17,963.87	95.1000	19,400.40	1,436.53	523	2.69
		02/03/12	164	102.5710	16,821.66	95.1000	15,596.40	(1,225.26)	420	2.69
		02/06/12	215	102.5434	22,046.85	95.1000	20,446.50	(1,600.35)	551	2.69
		02/14/12	195	104.3526	20,348.76	95.1000	18,544.50	(1,804.26)	500	2.69
Subtotal			778		77,181.14		73,987.80	(3,193.34)	1,994	2.69

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PRIVATE BANKING &
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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
OPENTABLE INC	OPEN	05/23/13	41	67.2692	2,758.04	79.3700	3,254.17	496.13		
		07/11/13	44	67.0536	2,950.36	79.3700	3,492.28	541.92		
Subtotal			85		5,708.40		6,746.45	1,038.05		
ORACLE CORP \$0.01 DEL	ORCL	11/22/10	42	27.9450	1,173.69	38.2600	1,606.92	433.23		21 1.25
		12/15/10	617	30.4095	18,762.72	38.2600	23,606.42	4,843.70		297 1.25
		04/13/11	580	33.6952	19,543.22	38.2600	22,190.80	2,647.58		279 1.25
		06/29/11	681	32.5248	22,149.39	38.2600	26,055.06	3,905.67		327 1.25
		09/22/11	292	28.5316	8,331.23	38.2600	11,171.92	2,840.69		141 1.25
		10/16/13	556	33.1023	18,404.93	38.2600	21,272.56	2,867.63		267 1.25
		12/23/13	1,271	36.8877	46,884.39	38.2600	48,628.46	1,744.07		611 1.25
Subtotal			4,039		135,249.57		154,532.14	19,282.57		1,943 1.25
OWENS & MINOR INC NEW COM	OMI	05/06/09	225	22.8320	5,137.22	36.5600	8,226.00	3,088.78		216 2.62
PACIFIC DRILLING S.A	PACD	02/21/12	65	10.9612	712.48	11.4600	744.90	32.42		
REG SHS		02/22/12	153	11.0254	1,686.90	11.4600	1,753.38	66.48		
		03/07/13	1	9.3600	9.36	11.4600	11.46	2.10		
Subtotal			219		2,408.74		2,509.74	101.00		
PACIFIC RUBIALES ENERGY CORP	PEGFF	05/23/13	429	20.5131	8,800.12	17.2611	7,405.01	(1,395.11)		267 3.59
		06/03/13	367	22.6229	8,302.64	17.2611	6,334.82	(1,967.82)		228 3.59
		07/12/13	50	19.3104	965.52	17.2611	863.06	(102.46)		32 3.59
		07/15/13	120	19.3943	2,327.32	17.2611	2,071.33	(255.99)		75 3.59
		08/08/13	227	20.9025	4,744.87	17.2611	3,918.27	(826.60)		141 3.59
		08/09/13	49	20.3700	998.13	17.2611	845.79	(152.34)		31 3.59
		12/20/13	237	17.7078	4,196.75	17.2611	4,090.88	(105.87)		148 3.59
Subtotal			1,479		30,335.35		25,529.16	(4,806.19)		922 3.59

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
PANTRY INC	PTRY	03/02/12	38	12.3678	469.98	16.7800	637.64	167.66		
		03/05/12	100	12.0410	1,204.10	16.7800	1,678.00	473.90		
		03/06/12	40	11.7895	471.58	16.7800	671.20	199.62		
		03/07/12	9	11.7677	105.91	16.7800	151.02	45.11		
		03/07/13	1	12.3200	12.32	16.7800	16.78	4.46		
Subtotal			188		2,263.89		3,154.64	890.75		
PAREXEL INTRNL CORP	PRXL	12/20/10	12	21.2608	255.13	45.1800	542.16	287.03		
		10/11/11	60	21.0588	1,263.53	45.1800	2,710.80	1,447.27		
Subtotal			72		1,518.66		3,252.96	1,734.30		
PEARSON SPONSORED ADR	PSO	02/03/12	225	19.1767	4,314.76	22.4000	5,040.00	725.24		162 3.20
		02/06/12	563	19.1185	10,763.77	22.4000	12,611.20	1,847.43		404 3.20
		02/29/12	50	19.1574	957.87	22.4000	1,120.00	162.13		36 3.20
		04/30/12	100	18.9089	1,890.89	22.4000	2,240.00	349.11		72 3.20
		05/01/12	63	18.8290	1,186.23	22.4000	1,411.20	224.97		46 3.20
		05/24/12	207	17.8585	3,696.71	22.4000	4,636.80	940.09		149 3.20
		03/07/13	23	17.6173	405.20	22.4000	515.20	110.00		17 3.20
Subtotal			1,231		23,215.43		27,574.40	4,358.97	886	3.20
PEPSICO INC	PEP	11/01/13	229	84.4245	19,333.23	82.9400	18,993.26	(339.97)		520 2.73
		11/04/13	319	84.5952	26,985.87	82.9400	26,457.86	(528.01)		725 2.73
Subtotal			548		46,319.10		45,451.12	(867.98)	1,245	2.73
PFIZER INC	PFE	10/07/09	211	16.7013	3,523.99	30.6300	6,462.93	2,938.94		220 3.39
		10/14/09	185	17.4491	3,228.10	30.6300	5,666.55	2,438.45		193 3.39
		11/11/09	909	17.7460	16,131.20	30.6300	27,842.67	11,711.47		946 3.39
		09/29/10	493	17.4447	8,600.24	30.6300	15,100.59	6,500.35		513 3.39
		11/22/10	1,100	16.5782	18,236.02	30.6300	33,693.00	15,456.98		1,145 3.39
		01/05/11	1,107	17.9528	19,873.86	30.6300	33,907.41	14,033.55		1,152 3.39

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
PFIZER INC	PFE	02/08/12	1,053	20.9809	22,092.99	30.6300	32,253.39	10,160.40	1,096	3.39
		07/13/12	2,385	22.8476	54,491.53	30.6300	73,052.55	18,561.02	2,481	3.39
		01/09/13	711	26.4299	18,791.66	30.6300	21,777.93	2,986.27	740	3.39
Subtotal			8,154		164,969.59		249,757.02	84,787.43	8,486	3.39
PHILIP MORRIS INTL INC	PM	11/22/10	824	59.2075	48,786.98	87.1300	71,795.12	23,008.14	3,099	4.31
		01/25/12	157	74.9750	11,771.08	87.1300	13,679.41	1,908.33	591	4.31
		02/10/12	306	80.2295	24,550.23	87.1300	26,661.78	2,111.55	1,151	4.31
		02/13/12	657	81.4410	53,506.80	87.1300	57,244.41	3,737.61	2,471	4.31
		06/12/12	289	85.0079	24,567.31	87.1300	25,180.57	613.26	1,087	4.31
		06/20/12	175	88.4218	15,473.82	87.1300	15,247.75	(226.07)	658	4.31
		05/10/13	126	93.1811	11,740.82	87.1300	10,978.38	(762.44)	474	4.31
		10/23/13	194	88.4141	17,152.35	87.1300	16,903.22	(249.13)	730	4.31
Subtotal			2,728		207,549.39		237,690.64	30,141.25	10,261	4.31
PIER 1 IMPORTS INC DEL	PIR	11/01/13	288	20.7537	5,977.09	23.0800	6,647.04	669.95	70	1.03
PINNACLE ENTMT INC COM	PNK	10/28/11	117	11.2928	1,321.26	25.9900	3,040.83	1,719.57		
		03/07/13	10	14.2140	142.14	25.9900	259.90	117.76		
Subtotal			127		1,463.40		3,300.73	1,837.33		
PINNACLE FOOD INC DEL	PF	04/01/13	115	22.7900	2,620.86	27.4600	3,157.90	537.04	97	3.05
PLANTRONICS INC NEW COM	PLT	05/12/11	10	37.6510	376.51	46.4500	464.50	87.99	4	.86
		09/15/11	75	31.3810	2,353.58	46.4500	3,483.75	1,130.17	30	.86
		09/16/11	98	31.0877	3,046.60	46.4500	4,552.10	1,505.50	40	.86
		10/28/11	32	34.5643	1,106.06	46.4500	1,486.40	380.34	13	.86
Subtotal			215		6,882.75		9,986.75	3,104.00	87	.86
PORTLAND GEN ELEC CO	POR	03/10/11	82	23.4904	1,926.22	30.2000	2,476.40	550.18	91	3.64
		03/11/11	201	23.5583	4,735.22	30.2000	6,070.20	1,334.98	222	3.64
		09/22/11	90	23.1281	2,081.53	30.2000	2,718.00	636.47	99	3.64
Subtotal			373		8,742.97		11,264.60	2,521.63	412	3.64

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PRIVATE BANKING &
INVESTMENT GROUP

AL&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
PPG INDUSTRIES INC SHS	PPG	04/01/13	56	133.7975	7,492.66	189.6600	10,620.96	3,128.30	137	1.28
		04/10/13	87	134.5631	11,706.99	189.6600	16,500.42	4,793.43	213	1.28
		04/11/13	45	135.9766	6,118.95	189.6600	8,534.70	2,415.75	110	1.28
		09/26/13	67	166.2353	11,137.77	189.6600	12,707.22	1,569.45	164	1.28
		09/27/13	96	165.5592	15,893.69	189.6600	18,207.36	2,313.67	235	1.28
		09/30/13	130	166.3595	21,626.74	189.6600	24,655.80	3,029.06	318	1.28
		10/01/13	80	166.9652	13,357.22	189.6600	15,172.80	1,815.58	196	1.28
		10/02/13	30	165.4403	4,963.21	189.6600	5,689.80	726.59	74	1.28
Subtotal			591		92,297.23		112,089.06	19,791.83	1,447	1.28
PRECISION CASTPARTS	PCP	07/28/10	5	122.5100	612.55	269.3000	1,346.50	733.95	1	.04
		08/19/10	112	119.6059	13,395.87	269.3000	30,161.60	16,765.73	14	.04
		10/21/10	110	135.9353	14,952.89	269.3000	29,623.00	14,670.11	14	.04
		11/22/10	75	134.1300	10,059.75	269.3000	20,197.50	10,137.75	10	.04
		06/12/12	60	166.2366	9,974.20	269.3000	16,158.00	6,183.80	8	.04
		03/07/13	7	189.6271	1,327.39	269.3000	1,885.10	557.71	1	.04
		08/13/13	54	219.4475	11,850.17	269.3000	14,542.20	2,692.03	7	.04
		08/16/13	53	217.4052	11,522.48	269.3000	14,272.90	2,750.42	7	.04
Subtotal			476		73,695.30		128,186.80	54,491.50	62	.04
PRIMERICA INC COM	PRI	03/25/13	121	32.6103	3,945.85	42.9100	5,192.11	1,246.26	54	1.02
		04/04/13	85	31.6527	2,690.48	42.9100	3,647.35	956.87	38	1.02
		04/16/13	34	32.2800	1,097.52	42.9100	1,458.94	361.42	15	1.02
Subtotal			240		7,733.85		10,298.40	2,564.55	107	1.02
PROASSURANCE CORP	PRA	06/08/12	49	44.6789	2,189.27	48.4800	2,375.52	186.25	59	2.47
		07/25/12	18	45.0255	810.46	48.4800	872.64	62.18	22	2.47
		07/26/12	4	45.3775	181.51	48.4800	193.92	12.41	5	2.47
Subtotal			71		3,181.24		3,442.08	260.84	86	2.47

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
PROCTER & GAMBLE CO	PG	06/22/11 10/23/12 10/24/12 01/29/13 03/07/13	180 203 339 103 30	64.3042 67.4091 67.9674 74.4121 77.1473	11,574.77 13,684.05 23,040.95 7,684.45 2,314.42	81.4100 81.4100 81.4100 81.4100 81.4100	14,653.80 16,526.23 27,597.99 8,385.23 2,442.30	3,079.03 2,842.18 4,557.04 720.78 127.88	434 2.95 489 2.95 816 2.95 248 2.95 73 2.95
<i>Subtotal</i>			855		58,278.64		69,605.55	11,326.91	2,060 2.95
PROOFPOINT INC	PFPT	11/08/13 11/11/13 12/09/13 12/10/13	74 29 59 59	30.9912 31.1651 28.1508 28.9506	2,293.35 903.79 1,660.90 1,708.09	33.1700 33.1700 33.1700 33.1700	2,454.58 961.93 1,957.03 1,957.03	161.23 58.14 296.13 248.94	
<i>Subtotal</i>			221		6,566.13		7,330.57	764.44	
PRUDENTIAL FINANCIAL INC	PRU	12/16/09 01/27/10 02/24/10 11/22/10	16 287 272 300	51.6262 50.0487 51.2938 52.7063	826.02 14,363.98 13,951.94 15,811.89	92.2200 92.2200 92.2200 92.2200	1,475.52 26,467.14 25,083.84 27,666.00	649.50 12,103.16 11,131.90 11,854.11	34 2.29 609 2.29 577 2.29 637 2.29
<i>Subtotal</i>			875		44,953.83		80,692.50	35,738.67	1,857 2.29
PTC INC SHS	PTC	12/28/12 12/31/12 01/04/13 01/07/13	74 30 84 25	22.6552 22.6876 23.1453 23.1520	1,676.49 680.63 1,944.21 578.80	35.3900 35.3900 35.3900 35.3900	2,618.86 1,061.70 2,972.76 884.75	942.37 381.07 1,028.55 305.95	- - - -
<i>Subtotal</i>			213		4,880.13		7,538.07	2,657.94	
PUBLICIS GROUPE SPON ADR	PUBGY	11/22/10 02/29/12 01/25/13 03/07/13 08/07/13 11/27/13	1,950 50 211 49 227 185	11.6250 13.7700 16.6288 17.0038 19.6993 22.1642	22,668.75 688.50 3,508.69 833.19 4,471.75 4,100.38	22.9700 22.9700 22.9700 22.9700 22.9700 22.9700	44,791.50 1,148.50 4,846.67 1,125.53 5,214.19 4,249.45	22,122.75 460.00 1,337.98 292.34 742.44 149.07	445 .99 12 .99 49 .99 12 .99 52 .99 43 .99
<i>Subtotal</i>			2,672		36,271.26		61,375.84	25,104.58	613 .99

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
QLIK TECHNOLOGIES INC	QLIK	03/01/11	182	25.9456	4,722.10	26.6300	4,846.66	124.56		
		02/22/12	65	29.2970	1,904.31	26.6300	1,730.95	(173.36)		
		03/07/13	2	27.2750	54.55	26.6300	53.26	(1.29)		
<i>Subtotal</i>			249		6,680.96		6,630.87	(50.09)		
QUAKER CHEMICAL CORP	KWR	05/05/11	57	41.2043	2,348.65	77.0700	4,392.99	2,044.34		57 1.29
QUESTCOR PHARMACEUTICALS	QCOR	05/07/13	210	32.5753	6,840.82	54.4500	11,434.50	4,593.68		252 2.20
		05/08/13	62	32.8743	2,038.21	54.4500	3,375.90	1,337.69		75 2.20
		09/20/13	44	55.4627	2,440.36	54.4500	2,395.80	(44.56)		53 2.20
<i>Subtotal</i>			316		11,319.39		17,206.20	5,886.81		380 2.20
RANGE RESOURCES CORP DEL	RRC	12/18/09	74	50.6177	3,745.71	84.3100	6,238.94	2,493.23		12 .18
		11/22/10	125	43.0763	5,384.54	84.3100	10,538.75	5,154.21		20 .18
		04/19/12	301	58.6491	17,653.38	84.3100	25,377.31	7,723.93		49 18
		04/20/12	334	57.8453	19,320.36	84.3100	28,159.54	8,839.18		54 .18
		06/18/12	311	57.8385	17,987.80	84.3100	26,220.41	8,232.61		50 .18
<i>Subtotal</i>			1,145		64,091.79		96,534.95	32,443.16		185 .18
REALPAGE INC	RP	10/01/13	70	24.3472	1,704.31	23.3800	1,636.60	(67.71)		
		10/02/13	59	24.7162	1,458.26	23.3800	1,379.42	(78.84)		
<i>Subtotal</i>			129		3,162.57		3,016.02	(146.55)		
RECKITT BENCKISER GROUP	RBGLY	11/22/10	1,638	11.4923	18,824.55	16.0900	26,355.42	7,530.87		672 2.54
PLC SHS SPONSORE ADR		03/16/11	517	9.8641	5,099.74	16.0900	8,318.53	3,218.79		212 2.54
		02/29/12	300	11.0244	3,307.32	16.0900	4,827.00	1,519.68		123 2.54
		03/07/13	60	13.9600	837.60	16.0900	965.40	127.80		25 2.54
<i>Subtotal</i>			2,515		28,069.21		40,466.35	12,397.14		1,032 2.54

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A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

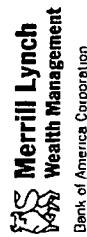
November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Market	Estimated	Unrealized	Estimated	Current
Description					Cost	Basis		Market	Value	Gain/(Loss)	Annual	Income	Yield%
ROCHE HLDG LTD SPN ADR	RHHBY	06/26/13	288	59.9537	17,266.67	70.2000	20,217.60	2,950.93	468	2.31			
		07/05/13	133	62.2182	8,275.03	70.2000	9,336.60	1,061.57	216	2.31			
		07/25/13	69	63.4243	4,376.28	70.2000	4,843.80	467.52	113	2.31			
		12/19/13	71	67.5825	4,798.36	70.2000	4,984.20	185.84	116	2.31			
Subtotal			561		34,716.34		39,382.20	4,665.86	913	2.31			
RTI INTL METALS INC OHIO	RTI	07/15/11	83	32.4801	2,695.85	34.2100	2,839.43	143.58					
		09/27/12	37	23.9900	887.63	34.2100	1,265.77	378.14					
Subtotal			120		3,583.48		4,105.20	521.72					
SABMILLER PLC SPON ADR	SBMRY	11/22/10	391	33.7050	13,178.66	51.2800	20,050.48	6,871.82	388	1.93			
		02/29/12	25	41.1400	1,028.50	51.2800	1,282.00	253.50	25	1.93			
		09/06/13	80	48.6060	3,888.48	51.2800	4,102.40	213.92	80	1.93			
		11/27/13	112	52.1184	5,837.27	51.2800	5,743.36	(93.91)	112	1.93			
Subtotal			608		23,932.91		31,178.24	7,245.33	605	1.93			
SALIX PHRMCTCLS LTD COM	SLXP	11/22/10	3	43.1900	129.57	89.9400	269.82	140.25					
		02/28/11	71	33.7387	2,395.45	89.9400	6,385.74	3,990.29					
Subtotal			74		2,525.02		6,655.56	4,130.54					
SAP AG SHS	SAP	11/22/10	25	49.2064	1,230.16	87.1400	2,178.50	948.34	20	91			
		12/09/10	110	48.9828	5,388.11	87.1400	9,585.40	4,197.29	88	91			
		01/10/12	64	53.8329	3,445.31	87.1400	5,576.96	2,131.65	52	91			
		02/29/12	50	68.1212	3,406.06	87.1400	4,357.00	950.94	40	91			
		03/07/13	10	83.7110	837.11	87.1400	871.40	34.29	8	91			
Subtotal			259		14,306.75		22,569.26	8,262.51	208	91			
SCHLUMBERGER LTD	SLB	10/26/11	159	68.7283	10,927.80	90.1100	14,327.49	3,399.69	199	1.38			
		11/30/11	224	74.4812	16,683.79	90.1100	20,184.64	3,500.85	280	1.38			
		03/07/13	34	77.5273	2,635.93	90.1100	3,063.74	427.81	43	1.38			
		12/18/13	63	85.4290	5,382.03	90.1100	5,676.93	294.90	79	1.38			
Subtotal			480		35,629.55		43,252.80	7,623.25	601	1.38			

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
SCHWEITZER MAUDIT INTL	SWM	05/24/11	110	23.9337	2,632.71	51.4700	5,661.70	3,028.99	159	2.79
		07/20/11	76	28.0177	2,129.35	51.4700	3,911.72	1,782.37	110	2.79
		07/27/11	36	28.3902	1,022.05	51.4700	1,852.92	830.87	52	2.79
		07/28/11	12	28.4800	341.76	51.4700	617.64	275.88	18	2.79
		09/20/12	37	33.2127	1,228.87	51.4700	1,904.39	675.52	54	2.79
		11/08/13	71	51.5630	3,660.98	51.4700	3,654.37	(6.61)	103	2.79
Subtotal			342		11,015.72		17,602.74	6,587.02	496	2.79
SEATTLE GENETICS INC COM	SGEN	11/22/10	120	14.9444	1,793.33	39.8900	4,786.80	2,993.47		
SENSIENT TECHNOLOGY CORP	SXT	05/13/11	31	37.6690	1,167.74	48.5200	1,504.12	336.38	29	1.89
		09/14/11	84	34.9039	2,931.93	48.5200	4,075.68	1,143.75	78	1.89
		04/03/12	28	38.0107	1,064.30	48.5200	1,358.56	294.26	26	1.89
Subtotal			143		5,163.97		6,938.36	1,774.39	133	1.89
SHERWIN WILLIAMS	SHW	08/09/12	267	141.1783	37,694.61	183.5000	48,994.50	11,299.89	534	1.08
		03/07/13	11	164.6300	1,810.93	183.5000	2,018.50	207.57	22	1.08
		07/12/13	70	186.9505	13,086.54	183.5000	12,845.00	(241.54)	140	1.08
		07/15/13	121	186.2423	22,535.32	183.5000	22,203.50	(331.82)	242	1.08
Subtotal			469		75,127.40		86,061.50	10,934.70	938	1.08
SINA CORPORATION	SINA	05/17/13	154	59.7718	9,204.87	84.2500	12,974.50	3,769.63		
SIRONA DENTAL SYSTEMS INC	SIRO	11/22/10	31	36.4348	1,129.48	70.2000	2,176.20	1,046.72		
		12/01/10	58	39.5579	2,294.36	70.2000	4,071.60	1,777.24		
Subtotal			89		3,423.84		6,247.80	2,823.96		
SOTHEBY'S (DELAWARE)	BID	11/22/10	90	42.0500	3,784.50	53.2000	4,788.00	1,003.50	36	.75
SOUTHERN COPPER CORP	SCCO	12/12/11	222	30.7500	6,826.50	28.7100	6,373.62	(452.88)	151	2.36
		09/07/12	113	34.2885	3,874.61	28.7100	3,244.23	(630.38)	77	2.36
		03/04/13	117	37.0270	4,332.17	28.7100	3,359.07	(973.10)	80	2.36
		03/07/13	10	37.4790	374.79	28.7100	287.10	(87.69)	7	2.36
Subtotal			462		15,408.07		13,264.02	(2,144.05)	315	2.36

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ST JUDE MEDICAL INC	STJ	03/07/12	303	40.9111	12,396.09	61.9500	18,770.85	6,374.76	303	1.61
		04/11/12	481	39.3907	18,946.93	61.9500	29,797.95	10,851.02	481	1.61
Subtotal			784		31,343.02		48,568.80	17,225.78	784	1.61
STAGE STORES INC COM NEW	SSI	05/06/09	217	11.3355	2,459.81	22.2200	4,821.74	2,361.93	109	2.25
		07/09/13	68	24.2586	1,649.59	22.2200	1,510.96	(138.63)	34	2.25
		08/07/13	120	24.0050	2,880.61	22.2200	2,666.40	(214.21)	60	2.25
Subtotal			405		6,990.01		8,999.10	2,009.09	203	2.25
STANCORP FINANCL GRP INC	SFG	05/07/09	92	30.3664	2,793.71	66.2500	6,095.00	3,301.29	102	1.66
		02/10/12	49	38.8367	1,903.00	66.2500	3,246.25	1,343.25	54	1.66
Subtotal			141		4,696.71		9,341.25	4,644.54	156	1.66
STAND MOTOR PROD	SMP	09/10/13	96	30.4098	2,919.35	36.8000	3,532.80	613.45	43	1.19
		09/25/13	38	32.1405	1,221.34	36.8000	1,398.40	177.06	17	1.19
		09/26/13	47	32.6665	1,535.33	36.8000	1,729.60	194.27	21	1.19
Subtotal			181		5,676.02		6,660.80	984.78	81	1.19
STARBUCKS CORP	SBUX	09/27/10	79	26.2824	2,076.31	78.3900	6,192.81	4,116.50	83	1.32
		11/22/10	375	30.8477	11,567.89	78.3900	29,396.25	17,828.36	390	1.32
		12/06/12	651	53.4456	34,793.09	78.3900	51,031.89	16,238.80	678	1.32
		03/07/13	47	57.7800	2,715.66	78.3900	3,684.33	968.67	49	1.32
Subtotal			1,152		51,152.95		90,305.28	39,152.33	1,200	1.32
STATE STREET CORP	STT	03/06/13	219	58.8906	12,897.06	73.3900	16,072.41	3,175.35	228	1.41
		03/20/13	572	60.4280	34,564.87	73.3900	41,979.08	7,414.21	595	1.41
Subtotal			791		47,461.93		58,051.49	10,589.56	823	1.41
STIFEL FINANCIAL CORP	SF	08/29/11	66	30.4259	2,008.11	47.9200	3,162.72	1,154.61		
		08/30/11	2	30.5200	61.04	47.9200	95.84	34.80		
		02/06/13	35	37.6360	1,317.26	47.9200	1,677.20	359.94		
		11/21/13	23	45.4173	1,044.60	47.9200	1,102.16	57.56		
		11/22/13	21	45.7361	960.46	47.9200	1,006.32	45.86		
Subtotal			147		5,391.47		7,044.24	1,652.77		

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
Description					Cost Basis							
SUMITOMO MITSUI TR HLDGS INC SPONSORED ADR		SUTNY	05/14/13	3,100	5 8194		18,040.14	5 2900	16,399.00	(1,641.14)	236	1.43
			06/14/13	1,399	4 4235		6,188.48	5 2900	7,400.71	1,212.23	107	1.43
			06/17/13	572	4 3715		2,500.50	5 2900	3,025.88	525.38	44	1.43
			07/30/13	1,053	4 7332		4,984.06	5 2900	5,570.37	586.31	81	1.43
Subtotal				6,124			31,713.18		32,395.96	682.78	468	1.43
SUNEDISON INC		SUNE	12/12/13	262	11 6300		3,047.06	13.0500	3,419.10	372.04		
SWATCH GROUP AG UNSPOND ADR		SWGAY	10/12/11	51	19.6656		1,002.95	33 2000	1,693.20	690.25	11	.60
			10/19/11	113	19.4953		2,202.97	33 2000	3,751.60	1,548.63	23	.60
			11/08/11	185	21 0152		3,887.83	33 2000	6,142.00	2,254.17	37	.60
			12/15/11	204	17.4380		3,557.37	33 2000	6,772.80	3,215.43	41	.60
			02/29/12	50	23 0000		1,150.00	33 2000	1,660.00	510.00	10	.60
			09/04/12	198	20 7476		4,108.04	33 2000	6,573.60	2,465.56	40	.60
Subtotal				801			15,909.16		26,593.20	10,684.04	162	.60
SYNGENTA AG ADR		SYT	03/26/12	57	68.2971		3,892.94	79 9400	4,556.58	663.64	97	2.12
			03/27/12	88	67.8863		5,974.00	79 9400	7,034.72	1,060.72	150	2.12
			01/24/13	55	86.0503		4,732.77	79 9400	4,396.70	(336.07)	94	2.12
			03/07/13	6	85.9600		515.76	79 9400	479.64	(36.12)	11	2.12
			06/27/13	24	78.3029		1,879.27	79 9400	1,918.56	39.29	41	2.12
			06/28/13	36	77.8605		2,802.98	79 9400	2,877.84	74.86	62	2.12
			07/25/13	94	79.1535		7,440.43	79 9400	7,514.36	73.93	160	2.12
Subtotal				360			27,238.15		28,778.40	1,540.25	615	2.12
TAIWAN S MANUFACTURING ADR		TSM	10/16/13	514	18 2770		9,394.38	17.4400	8,964.16	(430.22)	206	2.29
			10/24/13	514	18 6918		9,607.59	17 4400	8,964.16	(643.43)	206	2.29
			11/06/13	262	18 2375		4,778.23	17 4400	4,569.28	(208.95)	105	2.29
			11/21/13	316	17.3317		5,476.82	17 4400	5,511.04	34.22	127	2.29
Subtotal				1,606			29,257.02		28,008.64	(1,248.38)	644	2.29

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description					Cost Basis							
TARGET CORP	COM	TGT	01/12/11	81	55.5345		4,498.30	63.2700	5,124.87	626.57	140	2.71
			02/02/11	379	53.4378		20,252.93	63.2700	23,979.33	3,726.40	652	2.71
			03/02/11	172	52.3831		9,009.91	63.2700	10,882.44	1,872.53	296	2.71
			11/02/11	567	53.8089		30,509.65	63.2700	35,874.09	5,364.44	976	2.71
Subtotal				1,199			64,270.79		75,860.73	11,589.94	2,064	2.71
TATA MOTORS LTD ADR		TTM	04/03/13	106	23.7054		2,512.78	30.8000	3,264.80	752.02	15	.43
			04/04/13	196	23.9312		4,690.52	30.8000	6,036.80	1,346.28	27	.43
			04/10/13	143	25.6604		3,669.45	30.8000	4,404.40	734.95	20	.43
			04/16/13	134	25.5188		3,419.52	30.8000	4,127.20	707.68	18	.43
			04/17/13	46	25.2154		1,159.91	30.8000	1,416.80	256.89	7	.43
Subtotal				625			15,452.18		19,250.00	3,797.82	87	.43
TEAM HEALTH HOLDINGS INC		TMH	01/29/13	51	34.1247		1,740.36	45.5500	2,323.05	582.69		
			01/30/13	23	33.8639		778.87	45.5500	1,047.65	268.78		
			02/12/13	43	33.3179		1,432.67	45.5500	1,958.65	525.98		
Subtotal				117			3,951.90		5,329.35	1,377.45		
TENCENT HOLDINGS LTD ADR		TCEHY	11/22/10	10	22.6800		226.80	64.4200	644.20	417.40	2	.18
			01/10/11	67	23.0807		1,546.41	64.4200	4,316.14	2,769.73	8	.18
			01/11/11	120	23.1325		2,775.90	64.4200	7,730.40	4,954.50	14	.18
			11/21/11	78	19.3915		1,512.54	64.4200	5,024.76	3,512.22	10	.18
			11/22/11	107	20.2341		2,165.05	64.4200	6,892.94	4,727.89	13	.18
			11/23/11	40	19.8462		793.85	64.4200	2,576.80	1,782.95	5	.18
			02/29/12	50	26.1200		1,306.00	64.4200	3,221.00	1,915.00	6	.18
			03/07/13	7	36.0500		252.35	64.4200	450.94	198.59	1	.18
Subtotal				479			10,578.90		30,857.18	20,278.28	59	.18
TENNECO INC DEL		TEN	11/01/13	58	52.5960		3,050.57	56.5700	3,281.06	230.49		
TERADYNE INC		TER	11/22/10	272	12.4300		3,380.96	17.6200	4,792.64	1,411.68		

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Bank of America Corporation

A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
TEREX CORP DEL NEW COM	TEX	01/27/12	104	20.5247	2,134.57	41.9900	4,366.96	2,232.39	21 .47
Subtotal		03/30/12	109	22.4388	2,445.84	41.9900	4,576.91	2,131.07	22 .47
TEXAS INDUST INC	TXI	10/05/12	54	42.7492	2,308.46	68.7800	8,943.87	4,363.46	43 .47
Subtotal		04/01/13	28	63.0146	1,764.41	68.7800	1,925.84	1,405.66	161 .43
TEXAS ROADHOUSE INC-CL A	TXRH	03/06/13	330	20.0208	6,606.87	27.8000	5,639.96	1,567.09	159 1.72
THERAVANCE INC	THR	12/09/13	48	36.3908	1,746.76	35.6500	1,711.20	(35.56)	
Subtotal		12/10/13	37	36.7605	1,360.14	35.6500	1,319.05	(41.09)	
THERMO FISHER SCIENTIFIC INC	TMO	08/10/11	317	50.7794	16,097.10	111.3500	3,030.25	(76.65)	191 .53
Subtotal		08/17/11	261	54.2867	14,188.83	111.3500	29,062.35	14,893.52	157 .53
THERMON GROUP HLDGS INC		09/07/11	257	53.4189	13,728.68	111.3500	28,616.95	14,888.27	155 .53
Subtotal		05/15/13	214	85.2152	18,236.07	111.3500	23,828.90	5,592.83	129 .53
			1,049		62,230.68		116,806.15	54,575.47	632 .53
		09/27/12	67	24.9068	1,668.76	27.3300	1,831.11	162.35	
		09/28/12	21	24.8690	522.25	27.3300	573.93	51.68	
		10/01/12	9	26.0388	234.35	27.3300	245.97	11.62	
		03/08/13	75	22.5474	1,691.06	27.3300	2,049.75	358.69	
		03/11/13	52	22.6505	1,177.83	27.3300	1,421.16	243.33	
		03/12/13	18	22.4777	404.60	27.3300	491.94	87.34	
Subtotal			242		5,698.85		6,613.86	915.01	
THOR INDUSTRIES INC	THO	07/03/13	66	50.0406	3,302.68	55.2300	3,645.18	342.50	61 1.66
Subtotal		07/05/13	51	50.9925	2,600.62	55.2300	2,816.73	216.11	47 1.66
		11/07/13	84	52.0321	4,370.70	55.2300	4,639.32	268.62	78 1.66
Subtotal			201		10,274.00		11,101.23	827.23	186 1.66

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A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Market Price	Estimated	Market Value	Unrealized	Estimated Current
Description					Cost Basis	Cost Basis							
THORATEC CORP COM NEW		THOR	11/22/10	95	25.8900	2,459.55	36.6000	3,477.00	1,017.45	3,477.00	3,477.00	1,017.45	
			10/11/11	12	34.0050	408.06	36.6000	439.20	31.14	439.20	439.20	31.14	
			11/27/12	28	38.6285	1,081.60	36.6000	1,024.80	(56.80)	1,024.80	1,024.80	(56.80)	
			07/16/13	45	31.6600	1,424.70	36.6000	1,647.00	222.30	1,647.00	1,647.00	222.30	
			08/19/13	78	35.8557	2,796.75	36.6000	2,854.80	58.05	2,854.80	2,854.80	58.05	
Subtotal				258		8,170.66		9,442.80	1,272.14	9,442.80	9,442.80	1,272.14	
TIBCO SOFTWARE INC		TIBX	11/22/10	130	19.4990	2,534.88	22.4800	2,922.40	387.52	2,922.40	2,922.40	387.52	
			02/29/12	25	29.3300	733.25	22.4800	562.00	(171.25)	562.00	562.00	(171.25)	
Subtotal				155		3,268.13		3,484.40	216.27	3,484.40	3,484.40	216.27	
TIDEWATER INC COM NEW		TDW	09/24/09	35	45.4765	1,591.68	59.2700	2,074.45	482.77	2,074.45	2,074.45	482.77	35 1.68
			09/24/10	80	43.6387	3,491.10	59.2700	4,741.60	1,250.50	4,741.60	4,741.60	1,250.50	80 1.68
			07/08/11	49	54.9820	2,694.12	59.2700	2,904.23	210.11	2,904.23	2,904.23	210.11	49 1.68
			07/06/12	59	47.0518	2,776.06	59.2700	3,496.93	720.87	3,496.93	3,496.93	720.87	59 1.68
Subtotal				223		10,552.96		13,217.21	2,664.25	13,217.21	13,217.21	2,664.25	223 1.68
TORO CO		TTC	05/06/09	66	15.6665	1,033.99	63.6000	4,197.60	3,163.61	4,197.60	4,197.60	3,163.61	53 1.25
			08/01/13	35	49.3125	1,725.94	63.6000	2,226.00	500.06	2,226.00	2,226.00	500.06	28 1.25
Subtotal				101		2,759.93		6,423.60	3,663.67	6,423.60	6,423.60	3,663.67	81 1.25
TOYOTA MOTOR CORP ADR		TM	11/22/10	308	78.0679	24,044.94	121.9200	37,551.36	13,506.42	37,551.36	37,551.36	13,506.42	723 1.92
			02/10/11	59	89.0518	5,254.06	121.9200	7,193.28	1,939.22	7,193.28	7,193.28	1,939.22	139 1.92
			03/07/13	8	103.5387	828.31	121.9200	975.36	147.05	975.36	975.36	147.05	19 1.92
Subtotal				375		30,127.31		45,720.00	15,592.69	45,720.00	45,720.00	15,592.69	881 1.92
TRAVELERS COS INC		TRV	02/10/10	262	49.6036	12,996.15	90.5400	23,721.48	10,725.33	23,721.48	23,721.48	10,725.33	525 2.20
			11/22/10	275	54.9016	15,097.94	90.5400	24,898.50	9,800.56	24,898.50	24,898.50	9,800.56	551 2.20
			02/09/11	357	58.6226	20,928.30	90.5400	32,322.78	11,394.48	32,322.78	32,322.78	11,394.48	715 2.20
			05/29/13	149	83.1561	12,390.27	90.5400	13,490.46	1,100.19	13,490.46	13,490.46	1,100.19	298 2.20
			08/28/13	239	79.9715	19,113.21	90.5400	21,639.06	2,525.85	21,639.06	21,639.06	2,525.85	479 2.20
			08/29/13	76	80.3793	6,108.83	90.5400	6,881.04	772.21	6,881.04	6,881.04	772.21	152 2.20
Subtotal				1,358		86,634.70		122,953.32	36,318.62	122,953.32	122,953.32	36,318.62	2,720 2.20

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A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
TRIMAS CORP	TRS	09/11/13	167	35.9807	6,008.78	39.8900	6,661.63	652.85		
TRUSTMARK CORP COM	TRMK	11/22/10 08/05/11	185 70	22.0000 20.5448	4,070.00 1,438.14	26.8400 26.8400	4,965.40 1,878.80	895.40 440.66	171 65	3.42 3.42
<i>Subtotal</i>			255		5,508.14		6,844.20	1,336.06	236	3.42
TUMI HLDGS INC	TUMI	11/09/12	153	21.1716	3,239.26	22.5500	3,450.15	210.89		
TWENTY-FIRST CENTURY FOX INC CLASS A	FOXA	07/11/13 07/18/13	739 711	29.9069 30.8399	22,101.20 21,927.17	35.1700 35.1700	25,990.63 25,005.87	3,889.43 3,078.70	185 178	.71 .71
<i>Subtotal</i>			1,450		44,028.37		50,996.50	6,968.13	363	.71
TWO HARBORS INVT CORP SHS	TWO	07/28/11 02/23/12 02/29/12	330 123 25	9.9650 10.0008 10.3700	3,288.48 1,230.11 259.25	9.2800 9.2800 9.2800	3,062.40 1,141.44 232.00	(226.08) (88.67) (27.25)	344 128 26	11.20 11.20 11.20
<i>Subtotal</i>			478		4,777.84		4,435.84	(342.00)	498	11.20
TYCO INTL LTD NAMEN-AKT	TYC	11/16/11 03/07/12 11/29/12 12/06/12	292 374 715 645	23.7705 25.6730 28.5655 28.6030	6,941.01 9,601.71 20,424.40 18,448.94	41.0400 41.0400 41.0400 41.0400	11,983.68 15,348.96 29,343.60 26,470.80	5,042.67 5,747.25 8,919.20 8,021.86	187 240 458 413	1.55 1.55 1.55 1.55
<i>Subtotal</i>			2,026		55,416.06		83,147.04	27,730.98	1,298	1.55
ULTIMATE SOFTWARE GROUP	ULTI	01/18/13 10/16/13	26 17	95.1576 142.8558	2,474.10 2,428.55	153.2200 153.2200	3,983.72 2,604.74	1,509.62 176.19		
<i>Subtotal</i>			43		4,902.65		6,588.46	1,685.81		
UMB FINANCIAL CORP	UMBF	11/22/10 03/07/13	50 2	36.9500 45.7500	1,847.50 91.50	64.2800 64.2800	3,214.00 128.56	1,366.50 37.06	45 2	1.40 1.40
<i>Subtotal</i>			52		1,939.00		3,342.56	1,403.56	47	1.40

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

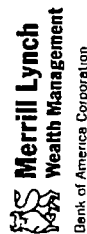
November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Market Value	Unrealized	Estimated Current
Description					Cost Basis	Cost Basis		Market Price		Gain/(Loss) Annual	
UNILEVER NV NY REG SHS		UN	10/18/12	1,033	37.0030	38,224.10	40.2300	41,557.59	3,333.49	1,226	2.94
			01/30/13	259	40.4494	10,476.42	40.2300	10,419.57	(56.85)	308	2.94
			01/31/13	200	40.4725	8,094.50	40.2300	8,046.00	(48.50)	238	2.94
			03/07/13	26	40.3446	1,048.96	40.2300	1,045.98	(2.98)	31	2.94
Subtotal				1,518		57,843.98		61,069.14	3,225.16	1,803	2.94
UNION PACIFIC CORP		UNP	10/06/11	170	89.0158	15,132.70	168.0000	28,560.00	13,427.30	538	1.88
			10/07/11	176	89.0918	15,680.16	168.0000	29,568.00	13,887.84	557	1.88
			10/10/11	36	90.3288	3,251.84	168.0000	6,048.00	2,796.16	114	1.88
			07/30/12	175	122.8661	21,501.57	168.0000	29,400.00	7,898.43	554	1.88
			11/21/13	136	160.5685	21,837.32	168.0000	22,848.00	1,010.68	430	1.88
Subtotal				693		77,403.59		116,424.00	39,020.41	2,193	1.88
UNITED NAT FOODS INC		UNFI	12/28/11	3	39.9166	119.75	75.3900	226.17	106.42		
			01/09/12	51	40.8403	2,082.86	75.3900	3,844.89	1,762.03		
Subtotal				54		2,202.61		4,071.06	1,868.45		
UNITED PARCEL SVC CL B		UPS	07/05/12	175	79.9914	13,998.50	105.0800	18,389.00	4,390.50	434	2.36
			07/11/12	202	78.7106	15,899.56	105.0800	21,226.16	5,326.60	501	2.36
			07/12/12	46	78.5823	3,614.79	105.0800	4,833.68	1,218.89	115	2.36
			07/25/12	255	74.2876	18,943.34	105.0800	26,795.40	7,852.06	633	2.36
			03/06/13	252	84.5790	21,313.91	105.0800	26,480.16	5,166.25	625	2.36
Subtotal				930		73,770.10		97,724.40	23,954.30	2,308	2.36
UNITED TECHS CORP COM		UTX	06/26/09	28	51.4446	1,440.45	113.8000	3,186.40	1,745.95	67	2.07
			06/29/09	17	52.3694	890.28	113.8000	1,934.60	1,044.32	41	2.07
			07/20/09	115	54.3835	6,254.11	113.8000	13,087.00	6,832.89	272	2.07
			09/10/09	84	61.8478	5,195.22	113.8000	9,559.20	4,363.98	199	2.07
			10/14/09	33	63.7778	2,104.67	113.8000	3,755.40	1,650.73	78	2.07
			11/22/10	275	74.7358	20,552.35	113.8000	31,295.00	10,742.65	649	2.07
			12/15/10	308	79.1994	24,393.42	113.8000	35,050.40	10,656.98	727	2.07
			04/03/13	153	93.3324	14,279.87	113.8000	17,411.40	3,131.53	362	2.07
Subtotal				1,013		75,110.37		115,279.40	40,169.03	2,395	2.07

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PRIVATE BANKING &
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Bank of America Corporation

A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

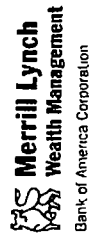
November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
UNITED THERAPEUTICS CORP	UTHR	11/21/11	50	40.6278	2,031.39	113.0800	5,654.00	3,622.61	
		12/01/11	54	40.8461	2,205.69	113.0800	6,106.32	3,900.63	
		02/15/12	44	47.6259	2,095.54	113.0800	4,975.52	2,879.98	
		03/07/13	1	61.5800	61.58	113.0800	113.08	51.50	
<i>Subtotal</i>			149		6,394.20		16,848.92	10,454.72	
UNIVERSAL ELECTRONICS INC	UEIC	11/22/10	174	27.4200	4,771.08	38.1100	6,631.14	1,860.06	
		08/01/12	32	13.1687	421.40	38.1100	1,219.52	798.12	
<i>Subtotal</i>			206		5,192.48		7,850.66	2,658.18	
UNS ENERGY CORP	UNS	05/15/12	21	36.1890	759.97	59.8500	1,256.85	496.88	37 2.90
		05/16/12	72	36.3455	2,616.88	59.8500	4,309.20	1,692.32	126 2.90
		06/04/12	57	37.2473	2,123.10	59.8500	3,411.45	1,288.35	100 2.90
		06/05/12	21	37.3904	785.20	59.8500	1,256.85	471.65	37 2.90
		06/06/12	14	37.2785	521.90	59.8500	837.90	316.00	25 2.90
<i>Subtotal</i>			185		6,807.05		11,072.25	4,265.20	325 2.90
USG CORP COM NEW	USG	07/25/12	47	16.9951	798.77	28.3800	1,333.86	535.09	
		08/09/12	137	17.6542	2,418.63	28.3800	3,888.06	1,469.43	
<i>Subtotal</i>			184		3,217.40		5,221.92	2,004.52	
VEECO INSTRUMENTS INC	VECO	11/22/10	98	43.3560	4,248.89	32.9100	3,225.18	(1,023.71)	
		08/17/12	14	36.6200	512.68	32.9100	460.74	(51.94)	
		08/29/13	52	35.7130	1,857.08	32.9100	1,711.32	(145.76)	
<i>Subtotal</i>			164		6,618.65		5,397.24	(1,221.41)	

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PRIVATE BANKING &
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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
VERIZON COMMUNICATIONS COM	VZ	04/26/12	968	39.9572	38,678.57	49.1400	47,567.52	8,888.95	2,053 4.31
		06/12/12	1,345	42.6444	57,355.72	49.1400	66,093.30	8,736.58	2,852 4.31
		07/27/12	433	44.9042	19,443.56	49.1400	21,277.62	1,834.06	918 4.31
		09/25/13	467	47.2504	22,065.94	49.1400	22,948.38	882.44	991 4.31
		10/02/13	481	46.8109	22,516.09	49.1400	23,636.34	1,120.25	1,020 4.31
		10/30/13	462	50.6564	23,403.30	49.1400	22,702.68	(700.62)	980 4.31
		11/20/13	732	51.0706	37,383.75	49.1400	35,970.48	(1,413.27)	1,552 4.31
Subtotal			4,888		220,847.93		240,196.32	19,348.39	10,366 4.31
VITAMIN SHOPPE INC	VSI	11/22/10	192	30.4619	5,848.70	52.0100	9,985.92	4,137.22	
		01/03/12	13	40.6807	528.85	52.0100	676.13	147.28	
		12/16/13	34	53.3397	1,813.55	52.0100	1,768.34	(45.21)	
Subtotal			239		8,191.10		12,430.39	4,239.29	
VODAFONE GROU PLC SP ADR	VOD	01/19/12	249	27.1741	6,766.37	39.3100	9,788.19	3,021.82	396 4.04
		01/25/12	280	27.3392	7,655.00	39.3100	11,006.80	3,351.80	445 4.04
		09/12/12	706	27.9483	19,731.57	39.3100	27,752.86	8,021.29	1,122 4.04
Subtotal			1,235		34,152.94		48,547.85	14,394.91	1,963 4.04
WABCO HOLDINGS INC	WBC	11/22/10	97	49.7204	4,822.88	93.4100	9,060.77	4,237.89	
WAL-MART STORES INC	WMT	06/28/13	569	75.4021	42,903.85	78.6900	44,774.61	1,870.76	1,070 2.38
		08/09/13	284	77.0252	21,875.16	78.6900	22,347.96	472.80	534 2.38
		08/23/13	255	73.3465	18,703.36	78.6900	20,065.95	1,362.59	480 2.38
Subtotal			1,108		83,482.37		87,188.52	3,706.15	2,084 2.38
WASTE CONNECTIONS INC	WCN	08/09/07	41	21.5665	884.23	43.6300	1,788.83	904.60	19 1.05
		06/08/09	43	17.6672	759.69	43.6300	1,876.09	1,116.40	20 1.05
		06/08/09	21	17.6671	371.01	43.6300	916.23	545.22	10 1.05
		09/03/09	56	17.9055	1,002.71	43.6300	2,443.28	1,440.57	26 1.05
		09/03/09	28	17.9053	501.35	43.6300	1,221.64	720.29	13 1.05

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
WASTE CONNECTIONS INC	WCN	11/17/09	32	21.8434	698.99	43.6300	1,396.16	697.17	15	1.05
		11/17/09	16	21.8431	349.49	43.6300	698.08	348.59	8	1.05
		03/07/13	1	34.3300	34.33	43.6300	43.63	9.30	1	1.05
Subtotal			238		4,601.80		10,383.94	5,782.14	112	1.05
WATTS WATER TECH INC	WTS	11/04/13	23	57.9926	1,333.83	61.8700	1,423.01	89.18	12	.84
		11/05/13	25	57.9852	1,449.63	61.8700	1,546.75	97.12	13	.84
		11/07/13	60	57.6683	3,460.10	61.8700	3,712.20	252.10	32	.84
Subtotal			108		6,243.56		6,681.96	438.40	57	.84
WEBSTER FINL CP PV \$0.01	WBS	02/23/12	260	22.9075	5,955.97	31.1800	8,106.80	2,150.83	156	1.92
WELLS FARGO & CO NEW DEL	WFC	12/12/12	1,050	33.6968	35,381.64	45.4000	47,670.00	12,288.36	1,260	2.64
		01/23/13	631	35.0041	22,087.59	45.4000	28,647.40	6,559.81	758	2.64
		02/13/13	492	35.1355	17,286.67	45.4000	22,336.80	5,050.13	591	2.64
		02/21/13	537	35.5202	19,074.40	45.4000	24,379.80	5,305.40	645	2.64
		04/24/13	735	37.2677	27,391.83	45.4000	33,369.00	5,977.17	882	2.64
		07/24/13	540	44.5302	24,046.36	45.4000	24,516.00	469.64	648	2.64
		09/18/13	507	42.9650	21,783.26	45.4000	23,017.80	1,234.54	609	2.64
		11/20/13	464	43.8234	20,334.06	45.4000	21,065.60	731.54	557	2.64
Subtotal			4,956		187,385.81		225,002.40	37,616.59	5,950	2.64
WEST PHARMACTL SVCS INC.	WST	07/11/11	14	22.5478	315.67	49.0600	686.84	371.17	6	.81
		07/12/11	70	22.6842	1,587.90	49.0600	3,434.20	1,846.30	28	.81
		07/13/11	202	23.0317	4,652.42	49.0600	9,910.12	5,257.70	81	.81
		09/14/11	122	20.0083	2,441.02	49.0600	5,985.32	3,544.30	49	.81
		09/20/12	54	25.2418	1,363.06	49.0600	2,649.24	1,286.18	22	.81
Subtotal			462		10,360.07		22,665.72	12,305.65	186	.81

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A L & O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
WESTAMERICA BANCORP	WABC	05/06/09 08/19/10 09/14/11 09/15/11	94 65 33 9	54.0578 50.9470 40.7300 40.9522	5,081.44 3,311.56 1,344.09 368.57	56.4600 56.4600 56.4600 56.4600	5,307.24 3,669.90 1,863.18 508.14	225.80 358.34 519.09 139.57	143 99 51 14	2.69 2.69 2.69 2.69
<i>Subtotal</i>			201		10,105.66		11,348.46	1,242.80	307	2.69
WESTERN REFNG INC	WNR	08/07/13	390	30.7312	11,985.17	42.4100	16,539.90	4,554.73	343	2.07
WHITEWAVE FOODS CO COMMON STOCK	WWAV	07/19/13	155	18.7387	2,904.50	22.9400	3,555.70	651.20		
WHOLE FOODS MKT INC COM	WFM	04/15/13	938	43.5510	40,850.84	57.8300	54,244.54	13,393.70	451	.83
WINTRUST FINL CP ILL COM	WTF	05/07/09 01/24/11 03/25/13 09/04/13	77 52 36 96	20.0161 33.4065 36.9694 39.5318	1,541.24 1,737.14 1,330.90 3,795.06	46.1200 46.1200 46.1200 46.1200	3,551.24 2,398.24 1,660.32 4,427.52	2,010.00 661.10 329.42 632.46	14 10 7 18	.39 .39 .39 .39
<i>Subtotal</i>			261		8,404.34		12,037.32	3,632.98	49	.39
YANDEX NV CL A	YNDX	06/03/11 06/16/11 06/17/11 01/26/12 02/29/12 03/07/13 03/27/13	36 156 158 215 25 25 164	33.4425 30.4608 30.8929 20.4506 21.7244 24.1600 23.1184	1,203.93 4,751.90 4,881.08 4,396.90 543.11 604.00 3,791.42	43.1500 43.1500 43.1500 43.1500 43.1500 43.1500 43.1500	1,553.40 6,731.40 6,817.70 9,277.25 1,078.75 1,078.75 7,076.60	349.47 1,979.50 1,936.62 4,880.35 535.64 474.75 3,285.18		
<i>Subtotal</i>			779		20,172.34		33,613.85	13,441.51		
YUM BRANDS INC	YUM	03/08/12 03/20/12 06/08/12 06/29/12 01/23/13	72 115 62 51 52	66.1861 70.2640 64.0185 64.0766 66.0321	4,765.40 8,080.36 3,969.15 3,267.91 3,433.67	75.6100 75.6100 75.6100 75.6100 75.6100	5,443.92 8,695.15 4,687.82 3,856.11 3,931.72	678.52 614.79 718.67 588.20 498.05	107 171 92 76 77	1.95 1.95 1.95 1.95 1.95

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PRIVATE BANKING &
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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit	Total	Estimated	Estimated	Unrealized	Estimated	Estimated
Description				Cost Basis	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income	Current Yield%
YUM BRANDS INC	YUM	03/07/13	8	67.0500	536.40	75.6100	604.88	68.48	12	1.95
		07/16/13	63	71.5460	4,507.40	75.6100	4,763.43	256.03	94	1.95
		10/09/13	61	65.7119	4,008.43	75.6100	4,612.21	603.78	91	1.95
Subtotal			484		32,568.72		36,595.24	4,026.52	720	1.95
ZILLOW INC SHS CL A	Z	11/05/12	68	34.4833	2,344.87	81.7300	5,557.64	3,212.77		
3M COMPANY	MMM	06/10/10	184	77.3582	14,233.92	140.2500	25,806.00	11,572.08	630	2.43
		11/22/10	250	84.5216	21,130.40	140.2500	35,062.50	13,932.10	855	2.43
		03/23/11	220	92.1188	20,266.14	140.2500	30,855.00	10,588.86	753	2.43
Subtotal			654		55,630.46		91,723.50	36,093.04	2,238	2.43
TOTAL					9,587,377.45		12,752,758.90	3,165,381.45	233,587	1.83

MUTUAL FUNDS/CLOSED END FUNDS/UIT

Description	Quantity	Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
		Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment	Annual Income
FIXED INCOME SHARES	119,737	1,599,556.09	12.1900	1,459,594.03	(139,962.06)	1,599,556	(139,962)	67,288
SERIES C F CL INSTL								4.61
SYMBOL FXICX Initial Purchase 11/29/10								
Fixed Income 100%								
FIXED INCOME SHARES	132,537	1,404,406.70	10.5800	1,402,241.46	(2,165.24)	1,404,406	(2,165)	43,736
SERIES M F CL INSTL								3.11
SYMBOL FXIMX Initial Purchase 11/29/10								
Fixed Income 100%								
Subtotal (Fixed Income)				2,861,835.49				

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PRIVATE BANCING &
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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
TOTAL		3,003,962.79		2,861,835.49	(142,127.30)		(142,127)	111.024 3.88

The A Lindsay & Olive B O'Connor Foundation Inc
 FORM 990PF SUPPORTING SCHEDULE
 PART II, LINE 14
 2013
 16-6063485

<u>ASSET</u>	<u>COST BASIS</u>	<u>ACCUM. DEPREC.</u>	<u>NET OF DEPREC.</u>
COPIER - 2000	5,063	5,063	-
COPIER - 2012	5,948	1,884	4,064
STAPLER FOR COPIER - 2012	1,807	572	1,235
COMPUTER - 2004	3,119	3,119	-
NOTEBOOK COMPUTER-2006	2,315	2,315	-
COMPUTER - 2007	2,662	2,662	-
COMPUTER - 2007	4,162	4,162	-
BACK UP BATTERY	797	797	-
DELL COMPUTER-2008	8,010	8,010	-
BTO-MACBK COMPUTER- 2009	2,327	2,251	76
DELL COMPUTER -2009	1,600	1,520	80
DELL COMPUTER-2009	2,357	2,239	118
MACBOOK COMPUTER-2009	4,538	4,008	530
APPLE-COMPUTER-2009	3,279	2,624	655
DELL SMALL BUSINESS COMPUTER - 2010	3,715	2,972	743
APPLE COMPUTER - 2010	3,541	2,597	944
HP COMPUTER - 2010	4,842	2,986	1,856
COMPUTER - 2012	2,554	809	1,745
COMPUTER WITH DOCKING STATION- 2012	2,057	446	1,611
LAPTOP AND PRINTER - 2013	3,712	124	3,588
PRINTER - 2003	803	803	-
PRINTER - 2005	2,767	2,767	-
PRINTER - 2005	299	299	-
PRINTER - 2006	232	232	-
PRINTER-2008	649	649	-
PRINTER-2009	858	829	29
APPLE PRINTER - 2010	238	166	72
XEROX PRINTER - 2011	1,148	612	536
PRINTER INK - 2011	1,251	667	584
FILE CABINET	1,125	1,125	-
CHAIR - 2012	803	268	535
FAX MACHINE - 2010	285	195	90
SCANNER - 2001	2,925	2,925	-
SCANNER - 2009	3,227	2,689	538
SCANNER - 2012	3,679	1,288	2,391
SOFTWARE - 2008	1,135	1,135	-
SOFTWARE - 2009	3,699	3,699	-
SOFTWARE - BACKUP ASSIST - 2010	356	356	-
SOFTWARE - WINDOWS 7 - 2010	776	776	-
	<u>94,660</u>	<u>72,640</u>	<u>22,020</u>

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FORM 990 PF SUPPORTING SCHEDULE
PART XV. LINE 2
O'CONNOR FOUNDATION # 16-6063485
GRANT APPLICATION INSTRUCTIONS
2013

1. Please condense the information about your proposal so that it fits within the space allowed on the application form.

You may submit additional information as an addendum to the proposal, but it is essential that all pertinent information be included on the application form within the space provided.

2. Before the Board of Directors can consider any application, proof of Federal Charitable Tax Exempt Status [section 501 (c)(3) Internal Revenue Code, or other appropriate section], or proof that the applicant is a bona fide unit of Government must be on file in the Foundation's office.
3. It is the policy of the O'Connor Foundation to generally restrict our geographic area of program to proposals that have direct impact on the quality of life in Delaware and the 7 contiguously surrounding counties in rural upstate New York (Broome, Chenango, Greene, Otsego, Schoharie, Sullivan and Ulster).
4. Proposals in amounts not exceeding \$5,000 will be considered on a monthly basis (deadline is the first of each month) upon the following conditions:
 - (a) The organization must be located in Delaware County, or directly benefit Delaware County
 - (b) A recipient of a grant cannot reapply for a period of three years for the same project
 - (c) There shall be a required \$1.00 for \$1.00 match on all such grants - if the proposal is approved, match shall be "new money" raised after the date of the approval letter
5. It is preferred, but not required, to have sketches or drawings submitted on legal size or smaller paper.
6. As a general rule, this Foundation will not make grants for meeting annual operating expenditures, or retiring existing debts and prefers to make grants of a non-recurring nature.
7. In almost all cases, a site visit will be arranged after the full application has been filed.
8. Applications should be directed to:

Donald F. Bishop, II
Executive Director
A. Lindsay & Olive B. O'Connor Foundation
Box D, Hobart, NY 13788

IMPORTANT: — The O'Connor Foundation scans all proposals.
 — Please use only one side of the page.
 — Do not staple or bind grant proposals.

Should you have any questions, do not hesitate to call (607) 538-9248
Monday-Friday, 9 a.m. - 3 p.m.

O'CONNOR FOUNDATION

APPLICATION FORM

Page 2

Phone (607) 538-9248 Fax (607) 538-1650

Email office@theoconnorfoundation.org Web www.theoconnorfoundation.org

YOUR ORGANIZATION:

DATE _____

NAME:

ADDRESS:

PROOF OF TAX EXEMPTION - attach an Internal Revenue Service Exemption Letter (Form 1045); or if your organization is part of a municipality, attach a copy of the Minutes forming the organization

FOUNDERS:

CURRENT OFFICERS:

MEMBERSHIP: (actual number) Active _____ Inactive _____ Total _____

CORPORATE PURPOSE:

PRINCIPAL ACTIVITY:

O'CONNOR FOUNDATION APPLICATION FORM

Organization _____ page 3

ORGANIZATION'S ANNUAL BUDGET - Summarize the last two year's balance sheets and income statements to show sources of income, expenses, reserves, and savings. NOTE - THESE ARE TO BE SUMMARIZED ON THIS PAGE ONLY.

O'CONNOR FOUNDATION APPLICATION FORM

Organization _____ page 4

THIS PROPOSAL

CONTACT PERSON:

ADDRESS:

PHONE:

SUMMARY OF THIS PROPOSAL AND THE NEED OR PROBLEM IT ADDRESSES:

PROJECT COST - EXPLAIN WITH DETAILED BUDGET - SHOW ANY LOCAL, STATE
OR FEDERAL SUPPORT

AMOUNT OF O'CONNOR FOUNDATION GRANT PROPOSED \$ _____

TIME SCHEDULE TO CARRY OUT PROJECT - INCLUDING FUNDING SCHEDULE

Organization _____ page 5

GOALS AND OBJECTIVES OF THIS PROPOSAL:

SPECIFIC DETAILED EXPLANATION OF METHODS TO ACHIEVE PROPOSED OBJECTIVES:

WHAT WILL BE THE LONG-TERM BENEFIT OF THIS PROPOSAL?

EVALUATION - HOW WILL SUCCESS OF THE PROJECT BE MEASURED –
(over what time period and by what means)?

HOW WILL PROJECT BE FINANCED IN THE FUTURE?

O' CONNOR FOUNDATION APPLICATION FORM

Organization _____ page 6

HAS THIS PROPOSAL BEEN OR IS IT BEING CONSIDERED BY OTHER FUNDING SOURCES?

DOES THIS PROJECT DUPLICATE ANY EXISTING SERVICE OR AGENCY?

WHY IS YOUR ORGANIZATION QUALIFIED TO UNDERTAKE THIS WORK?

LIST QUALIFIED INDIVIDUALS WHO ENDORSE THE PROJECT: (names & addresses)

THE A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.

EIN 16-6063485

FORM 990 PF SCHEDULE

PART XV, LINE 3(a)

2013

GRANTS PAID OUT IN 2013

Payment Date	Organization	Location	Purpose	Status	Payment Amount
January 2013					
1/7/2013	Athelas Therapeutic Riding, Inc.	Otego	Equipment	501(C)(3) Not fo	\$4,000.00
1/7/2013	Delancey United Presbyterian Church	De Lancey	Organ	Religious	\$1,500.00
1/7/2013	Gilboa Conesville Central School	Gilboa	Flood Displays and Books	School	\$860.00
1/7/2013	Hampstead Stage Company	Center Barnstead	School Plays	501(C)(3) Not fo	\$777.00
1/7/2013	Hanford Mills Museum	East Meredith	2012 Operating Expenses	501(C)(3) Not fo	\$25,000.00
1/7/2013	Unatego Central School District	Otego	Computers	School	\$1,500.00
1/14/2013	Albany Law School	Albany	Scholarships	School	\$2,500.00
1/14/2013	Broome Community College Foundation, Inc.	Binghamton	Scholarships	School	\$20,000.00
1/14/2013	Cazenovia College	Cazenovia	Scholarships	School	\$2,500.00
1/14/2013	Cobleskill Ag & Tech College Foundation, Inc.	Cobleskill	Scholarships	School	\$27,500.00
1/14/2013	Colgate University	Hamilton	Scholarships	School	\$2,500.00
1/14/2013	College Foundation at Delhi, Inc.	Delhi	Scholarships	501(C)(3) Not fo	\$15,000.00
1/14/2013	College Foundation at Delhi, Inc.	Delhi	Scholarships	501(C)(3) Not fo	\$10,000.00
1/14/2013	Cornell University	Ithaca	Scholarships	School	\$17,500.00
1/14/2013	Empire State College Foundation	Saratoga Springs	Scholarships	School	\$14,500.00
1/14/2013	Hartwick College	Ononta	Scholarships	School	\$12,500.00
1/14/2013	Paul Smith's College	Paul Smiths	Scholarships	501(C)(3) Not fo	\$17,500.00
1/14/2013	Rensselaer Polytechnic Institute	Troy	Scholarships	School	\$2,500.00
1/14/2013	Sage Colleges	Troy	Scholarships	School	\$2,500.00
1/14/2013	State University College at Ononta Foundation	Ononta	Scholarships	501(C)(3) Not fo	\$12,500.00
1/14/2013	State University College at Ononta Foundation	Ononta	Scholarships	501(C)(3) Not fo	\$25,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
1/14/2013	Syracuse University	Syracuse	Scholarships	School	\$2,500.00
1/14/2013	Wells College	Aurora	Scholarships	501(C)(3) Not fo	\$5,000.00
1/18/2013	Andes Central School	Andes	Travel Club	School	\$1,000.00
1/18/2013	Delaware Academy and Central School	Delhi	Spanish Club Trip	School	\$5,000.00
1/18/2013	Delaware Highlands Conservatory	Hawley	Workshop	501(C)(3) Not fo	\$1,000.00
1/18/2013	Kirkside of Roxbury, Inc.	Roxbury	Projects Deadline 12/14 5/12 reded toward	501(C)(3) Not fo	\$1,500.00
1/18/2013	Kirkside of Roxbury, Inc.	Roxbury	2012 Maintenance Contingency	501(C)(3) Not fo	\$6,000.00
1/18/2013	Third Sector New England, Inc. Resource Gene	Boston	Disc RLB II General Expenses	501(C)(3) Not fo	\$10,000.00
1/18/2013	William B. Ogden Free Library	Walton	Discussion Group	501(C)(3) Not fo	\$600.00
1/23/2013	Handen Presbyterian Church	Handen	Roof & Driveway Repairs	Religious	\$3,000.00
1/23/2013	Roxbury Arts Group, Inc.	Roxbury	Summer Camp Programs	501(C)(3) Not fo	\$1,000.00
1/23/2013	Writers In the Mountains	Roxbury	Workshops	501(C)(3) Not fo	\$850.00
1/28/2013	Delhi Community Fund, Inc.	Delhi	Heating Fuel	501(C)(3) Not fo	\$1,568.00
1/28/2013	Hanford Mills Museum	East Mercedith	2009 Projects deadline 2014 redesignated t	501(C)(3) Not fo	\$700.00
1/28/2013	Hanford Mills Museum	East Mercedith	2003 Operating Expenses Capital & Specia	501(C)(3) Not fo	\$666.00
1/28/2013	Hanford Mills Museum	East Mercedith	Operating Expenses	501(C)(3) Not fo	\$19,500.00
1/28/2013	Hanford Mills Museum	East Mercedith	I Love New York	501(C)(3) Not fo	\$50,000.00
1/28/2013	Hanford Mills Museum	East Mercedith	Turbine Project	501(C)(3) Not fo	\$27,343.00
1/28/2013	Lake Delaware Boys' Camp, Inc.	Delhi	Scholarships	501(C)(3) Not fo	\$5,000.00
1/28/2013	Roxbury Arts Group, Inc.	Roxbury	76 Main!	501(C)(3) Not fo	\$10,000.00
1/28/2013	Roxbury Arts Group, Inc.	Roxbury	2012 Operating Expenses	501(C)(3) Not fo	\$10,000.00
1/28/2013	West Kortright Centre, Inc.	East Mercedith	Endowment	501(C)(3) Not fo	\$3,310.00
January 2013 Total					\$383,674.00
February 2013					
2/4/2013	Andes Central School	Andes	Workshops	School	\$1,000.00
2/4/2013	M-ARK Project, Inc	Arkville	Food Bank Equipment	501(C)(3) Not fo	\$4,940.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
2/4/2013	South Kortright Central School	South Kortright	Field Trips - 3 years	School	\$1,666.00
2/4/2013	South Kortright Central School Dollars for Sch	South Kortright	Scholarships	501(C)(3) Not fo	\$5,000.00
2/13/2013	Hobart Fire Department	Hobart	Uniforms	Municipality	\$5,000.00
2/13/2013	Zadock Pratt Museum. Inc.	Prattsville	2012 - 2014 Salary	501(C)(3) Not fo	\$8,953.00
2/22/2013	Foothills Performing Arts Center. Inc.	Oneonta	Operating Expenses	501(C)(3) Not fo	\$5,000.00
February 2013 Total					\$31,559.00
March 2013					
3/1/2013	Andes Society for History & Culture	Andes	Endowment Deadline 12/16	501(C)(3) Not fo	\$2,150.00
3/1/2013	Bloomville Fire Department	Bloomville	Stair Chair	Municipality	\$1,500.00
3/1/2013	Catskill Revitalization Corporation	Stamford	2013 Operating Expenses	501(C)(3) Not fo	\$76,000.00
3/1/2013	Catskill Revitalization Corporation	Stamford	Backhoe	501(C)(3) Not fo	\$70,000.00
3/1/2013	Catskill Revitalization Corporation	Stamford	1 Love New York	501(C)(3) Not fo	\$50,000.00
3/1/2013	Foothills Performing Arts Center. Inc.	Oneonta	Concert	501(C)(3) Not fo	\$5,000.00
3/1/2013	Hunter Foundation. Incorporated	Tannersville	Flood Assistance	501(C)(3) Not fo	\$10,134.00
3/1/2013	Hunter Foundation. Incorporated	Tannersville	Flood Assistance	501(C)(3) Not fo	\$5,823.00
3/1/2013	Mary Imogene Bassett Hospital Bassett Healthc	Cooperstown	Partnership for Nursing Opportunities Prog	501(C)(3) Not fo	\$15,339.00
3/5/2013	Hanford Mills Museum	East Meredith	Operating Expenses	501(C)(3) Not fo	\$19,500.00
3/5/2013	Middleburgh Reformed Church	Middleburgh	Sound System	Religious	\$5,000.00
3/13/2013	Deposit Community Theatre and Performing Ar	Deposit	Projector	501(C)(3) Not fo	\$5,000.00
3/13/2013	Friends of Music of Stamford, NY	Stamford	Concert	501(C)(3) Not fo	\$2,000.00
3/13/2013	Kaaterskill United Methodist Church	Tannersville	Window Repair	Religious	\$4,777.00
3/13/2013	M-ARK Project. Inc.	Arkville	Website	501(C)(3) Not fo	\$5,000.00
3/13/2013	Rotary Club of Delhi	Delhi	After Prom Parties	Pending	\$1,667.00
3/13/2013	SUNY Research Foundation	Delhi	2013 Operating Expenses	School	\$30,000.00
3/25/2013	Hanford Mills Museum	East Meredith	Endowment - Deadline 12/16	501(C)(3) Not fo	\$1,145.00
3/25/2013	Kirkside of Roxbury. Inc.	Roxbury	2008 Projects deadline 12/12	501(C)(3) Not fo	\$2,202.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
3/27/2013	Catskill Forest Association	Arkville	2013 Operating Expenses	501(C)(3) Not fo	\$62,940.00
3/27/2013	Margaretville Methodist Church	Margaretville	Oil Tank and Trees 10/12 use \$625 toward Religious	Religious	\$1,225.00
3/27/2013	Zoom Gallery, Inc.	Fleischmanns	Photo Exhibit	501(C)(3) Not fo	\$535.00
March 2013 Total					\$376,937.00
April 2013					
4/3/2013	Hanford Mills Museum	East Mercedith	Operating Expenses	501(C)(3) Not fo	\$19,500.00
4/4/2013	Bloomville United Methodist Church	Bloomville	Youth Group	Religious	\$1,000.00
4/4/2013	Catskill Center for Conservation and Develop	Arkville	Exhibit Expenses	501(C)(3) Not fo	\$5,000.00
4/4/2013	Colchester Historical Society	Downsville	Historical Signs	Municipality	\$2,800.00
4/4/2013	Delaware County Historical Assoc.	Delhi	Events	501(C)(3) Not fo	\$855.00
4/4/2013	Delaware Opportunities, Inc.	Hamden	Big Buddy Program	Municipality	\$1,667.00
4/4/2013	Deposit Community Theatre and Performing Ar	Deposit	Sound Equipment 3/13 redos toward projec	501(C)(3) Not fo	\$1,452.00
4/4/2013	Fleischmanns Community Church	Fleischmanns	Boiler	Religious	\$4,055.00
4/4/2013	Franklin Stock Company at Chapel Hall, Inc.	Franklin	Intern Expenses	501(C)(3) Not fo	\$4,575.00
4/4/2013	Franklin Stock Company at Chapel Hall, Inc.	Franklin	Building Renovations	501(C)(3) Not fo	\$5,000.00
4/4/2013	M-ARK Project, Inc	Arkville	Garden	501(C)(3) Not fo	\$1,980.00
4/4/2013	M-ARK Project, Inc.	Arkville	Garden	501(C)(3) Not fo	(\$1,980.00)
4/4/2013	M-ARK Project, Inc.	Arkville	Home and Garden Festival	501(C)(3) Not fo	\$1,980.00
4/4/2013	Pine Hill Community Center	Pine Hill	Concerts	501(C)(3) Not fo	\$5,000.00
4/4/2013	Pine Hill Community Center	Pine Hill	Chairs	501(C)(3) Not fo	\$1,000.00
4/4/2013	South Kortright Central School	South Kortright	TV	School	\$1,000.00
4/4/2013	Town of Middletown	Margaretville	Technology Upgrades	Municipality	\$2,000.00
4/18/2013	Hanford Mills Museum	East Mercedith	Operating Expenses	501(C)(3) Not fo	\$19,500.00
4/18/2013	Roxbury Arts Group, Inc.	Roxbury	Concert Redes toward 2012 concert in Sla	501(C)(3) Not fo	\$5,000.00
4/18/2013	South Kortright Central School	South Kortright	Trout & Science Class Equipment	School	\$916.00
4/23/2013	Catskill Revitalization Corporation	Stamford	2013 Operating Expenses	501(C)(3) Not fo	\$76,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
4/23/2013	Community Theater Co of Burlington, Inc		Shipping Expenses	501(C)(3) Not fo	\$5,000.00
4/23/2013	Roxbury Arts Group, Inc	Roxbury	Galumphia Programming	501(C)(3) Not fo	\$2,500.00
4/23/2013	United Way of Delaware and Otsego Counties	Oneonta	Cider Run Fundraiser	501(C)(3) Not fo	\$2,500.00
4/24/2013	Delhi Missionary Alliance Church	Delhi	Youth Event	Religious	\$5,000.00
4/29/2013	Catskill Mountain Foundation	Hunter	Dance Festivals	501(C)(3) Not fo	\$2,500.00
4/29/2013	Hunter Foundation, Incorporated	Tannersville	Flood Assistance	501(C)(3) Not fo	\$3,211.00
<u>April 2013 Total</u>					<u>\$179,011.00</u>
<u>May 2013</u>					
5/8/2013	American Chestnut Foundation	Williamsville	Research & Reforestation	501(C)(3) Not fo	\$5,000.00
5/8/2013	Beaverkill Area Neighbors Association	Roscoe	Survey	501(C)(3) Not fo	\$300.00
5/8/2013	Deposit Community Center, Inc.	Deposit	Fence and Gate	501(C)(3) Not fo	\$1,100.00
5/8/2013	Dorothy & Lewis Cullman Child Dev Center	Sidney	Strollers	501(C)(3) Not fo	\$995.00
5/8/2013	Dorothy & Lewis Cullman Child Dev Center	Sidney	Art Supplies	501(C)(3) Not fo	\$500.00
5/8/2013	Greater Delhi Area Foundation	Delhi	Endowment deadline 12/16	Pending	\$2,471.00
5/8/2013	Hunter Foundation, Incorporated	Tannersville	Flood Assistance	501(C)(3) Not fo	\$5,609.00
5/8/2013	M-ARK Project, Inc	Arkville	Artists Studio Tour	501(C)(3) Not fo	\$2,400.00
5/8/2013	M-ARK Project, Inc.	Arkville	Garden	501(C)(3) Not fo	\$5,000.00
5/8/2013	Phoenicia Festival the Voice Foundation	Phoenicia	Electronic Media Upgrades	501(C)(3) Not fo	\$5,000.00
5/8/2013	Sidney Memorial Public Library	Sidney	Digitization	501(C)(3) Not fo	\$5,000.00
5/8/2013	Zadock Pratt Museum, Inc.	Prattsville	2012 - 2014 Salary	501(C)(3) Not fo	\$10,000.00
5/14/2013	Catskill Revitalization Corporation	Stamford	Ballast	501(C)(3) Not fo	\$29,600.00
5/14/2013	Headwaters Soccer Club	North Blenheim	Transportation	501(C)(3) Not fo	\$4,800.00
5/14/2013	Zadock Pratt Museum, Inc	Prattsville	Historic Structures Report	501(C)(3) Not fo	\$10,250.00
5/24/2013	Andes Dollars for Scholars	Andes	Scholarships	501(C)(3) Not fo	\$2,065.00
5/24/2013	Franklin Free Library	Franklin	Summer Reading Program	501(C)(3) Not fo	\$1,000.00
5/24/2013	Franklin Stock Company at Chapel Hall, Inc.	Franklin	Artist Fees	501(C)(3) Not fo	\$2,500.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
5/24/2013	Hanford Mills Museum	East Meredith	Operating Expenses	501(C)(3) Not fo	\$26,000.00
5/24/2013	Historical Society of Middletown	Margaretville	Water Treatment System 6/13 \$910 toward	501(C)(3) Not fo	\$1,870.00
5/24/2013	Roxbury Central School	Roxbury	Ski Club deadline 12/12	School	\$1,000.00
5/24/2013	Rural Health Network of South Central New Yo	Whitney Point	Growing Health events	501(C)(3) Not fo	\$5,000.00
<u>June 2013</u>					
<u>May 2013 Total</u>					\$127,460.00
6/4/2013	Delhi Campus Ministry	Delhi	Annual Grant	Religious	\$10,000.00
6/4/2013	Deposit Central School	Deposit	Broadway Trip	School	\$995.00
6/4/2013	Finger Lakes Trail Conference, Inc.	Mount Morris	Shelter redes 1/12 toward trail	501(C)(3) Not fo	\$1,018.00
6/4/2013	Hampstead Stage Company	Center Barnstead	School Performances	501(C)(3) Not fo	\$2,167.00
6/4/2013	Hunter Foundation, Incorporated	Tannersville	Flood Assistance	501(C)(3) Not fo	\$16,050.00
6/4/2013	Margaretville Central School Dollars for Schola	Margaretville	Scholarships	501(C)(3) Not fo	\$5,000.00
6/4/2013	M-ARK Project, Inc.	Arkville	Guild Traveling Shows	501(C)(3) Not fo	\$5,000.00
6/4/2013	Oneonta Dollars for Scholars	Ononta	Scholarships deadline extended to 12/14	501(C)(3) Not fo	\$1,000.00
6/10/2013	Trinity Episcopal Church of Roslyn	Levittown	Disc EEP Capital Fund	Religious	\$5,000.00
6/11/2013	Delaware Academy and Central School	Delhi	Books	School	\$625.00
6/11/2013	Deposit Community Center, Inc.	Deposit	Security System	501(C)(3) Not fo	\$988.00
6/11/2013	Roxbury Arts Group, Inc.	Roxbury	76 Main'	501(C)(3) Not fo	\$18,940.00
6/11/2013	Roxbury Arts Group, Inc	Roxbury	2012 Operating Expenses	501(C)(3) Not fo	\$24,618.00
6/11/2013	Roxbury Central School	Roxbury	Battle of the Books	School	\$995.00
6/11/2013	Walton Dollars for Scholars	Walton	Scholarships	501(C)(3) Not fo	\$6,000.00
6/13/2013	Andes Central School	Andes	Keyboards	School	\$1,000.00
6/13/2013	Catskill Center for Conservation and Develop	Arkville	Energy Conservation Project Erpf House A	501(C)(3) Not fo	\$18,306.00
6/13/2013	South Kortright Central School	South Kortright	Equipment	School	\$2,400.00
6/13/2013	South Kortright Central School	South Kortright	Computers	School	\$3,175.00
6/13/2013	South Kortright Central School	South Kortright	Marching Band	School	\$5,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
6/13/2013	Stamford Christmas Feeling Fund	Stamford	Annual Grant	501(C)(3) Not fo	\$3,000.00
6/27/2013	Catskill Center for Conservation and Develop	Arkville	Website	501(C)(3) Not fo	\$1,225.00
6/27/2013	Catskill Forest Association	Arkville	2013 Operating Expenses	501(C)(3) Not fo	\$62,940.00
6/27/2013	Foundation for the Open Eye	Margaretville	Summer Workshop	501(C)(3) Not fo	\$1,000.00
6/27/2013	Foundation for the Open Eye	Margaretville	Roof	501(C)(3) Not fo	\$10,000.00
6/27/2013	Foundation for the Open Eye	Margaretville	Student Matinees	501(C)(3) Not fo	\$1,667.00
6/27/2013	Little Delaware Youth Ensemble	Oneonta	Annual Workshop	501(C)(3) Not fo	\$1,000.00
6/27/2013	M-ARK Project, Inc.	Arkville	Artists Studio Tour	501(C)(3) Not fo	\$2,600.00
6/27/2013	M-ARK Project, Inc.	Arkville	Battle of the Bands	501(C)(3) Not fo	\$2,050.00
6/28/2013	Historical Society of Middletown	Margaretville	Water Treatment System 6/13 \$910 toward	501(C)(3) Not fo	\$910.00
6/28/2013	Margaretville Health Foundation	Margaretville	Training Meeting 5/14 redes toward case st	501(C)(3) Not fo	\$510.00
6/11/2013	O'Connor Hospital	Delhi	Roof, HVAC Control, & Windows	501(C)(3) Not fo	\$159,302.00
			June 2013 Total		\$374,481.00
July 2013					
7/18/2013	Andes Central School	Andes	Willow Project	School	\$1,000.00
7/18/2013	Butternut Valley Arts & Crafts Center	Morris	Painting and Roof	501(C)(3) Not fo	\$4,287.00
7/18/2013	Delaware Academy and Central School	Delhi	Tournament Expenses	School	\$2,500.00
7/18/2013	Delaware County Local Development Corp	Delhi	Gallery Website	501(C)(3) Not fo	\$5,000.00
7/18/2013	Delaware County Office of Employment and Tr	Delhi	Summer Youth Employment	Municipality	\$40,000.00
7/18/2013	Downsville Central School	Downsville	After Prom Parties	School	\$1,000.00
7/18/2013	Foundation for the Open Eye	Margaretville	Production Expenses	501(C)(3) Not fo	\$6,555.00
7/18/2013	Greater Roxbury Learning Initiative Corp	Roxbury	Video Equipment	501(C)(3) Not fo	\$3,218.00
7/18/2013	Hancock Community Education Foundation	Hancock	Summer Programs	501(C)(3) Not fo	\$5,000.00
7/18/2013	Hanford Mills Museum	East Meredith	Operating Expenses	501(C)(3) Not fo	\$27,500.00
7/18/2013	Hanford Mills Museum	East Meredith	Operating Expenses	501(C)(3) Not fo	\$27,500.00
7/18/2013	Hobart Presbyterian Church	Hobart	Furnace	Religious	\$2,975.00
7/18/2013	West Davenport Free Baptist Church	West Davenport	Renovations	Religious	\$2,500.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
7/30/2013	Belleayre Conservatory, Inc.	Highmount	2013 Jazz Festival	501(C)(3) Not fo	\$20,000.00
7/30/2013	Bright Hill Press/Word Thursdays	Treadwell	Windows & Doors	501(C)(3) Not fo	\$5,000.00
7/30/2013	Catskill Mountain Foundation	Hunter	GUIDE Support	501(C)(3) Not fo	\$10,000.00
7/30/2013	Delaware County Historical Assoc	Delhi	4th Grade Field Triip	501(C)(3) Not fo	\$1,000.00
7/30/2013	Hanford Mills Museum	East Meredith	Site Signage deadline 12/14	501(C)(3) Not fo	\$1,519.00
7/30/2013	Hanford Mills Museum	East Meredith	2003 Operating Expenses Capital & Specia	501(C)(3) Not fo	\$3,410.00
7/30/2013	Hanford Mills Museum	East Meredith	Development	501(C)(3) Not fo	\$1,600.00
7/30/2013	Hanford Mills Museum	East Meredith	2009 Projects deadline 2014 redesignated t	501(C)(3) Not fo	\$2,285.00
7/30/2013	M-ARK Project, Inc.	Arkville	Battle of the Bands	501(C)(3) Not fo	\$450.00
7/30/2013	M-ARK Project, Inc.	Arkville	Arkville Fair	501(C)(3) Not fo	\$3,000.00
7/30/2013	Mary Imogene Bassett Hospital Bassett Healthc	Cooperstown	Partnership for Nursing Opportunities Prog	501(C)(3) Not fo	\$16,000.00
7/30/2013	Mt Utsayantha Regional Art League	Stamford	Equipment	501(C)(3) Not fo	\$5,000.00
7/30/2013	Roxbury Chapter Dollars for Scholars	Denver	Scholarships	501(C)(3) Not fo	\$4,000.00
7/30/2013	Sidney Dollars for Scholars	Sidney	Scholarships	501(C)(3) Not fo	\$9,000.00
7/31/2013	Holy Cross School	Philadelphia	Music Program	Religious	\$5,000.00
August 2013					\$216,299.00
8/8/2013	Blue Deer Center	Margaretville	Whoop De Doo Festival	501(C)(3) Not fo	\$2,668.00
8/8/2013	Delhi Community Fund, Inc	Delhi	Equipment	501(C)(3) Not fo	\$915.00
8/8/2013	Hancock Partners Inc	Hancock	Square Project	501(C)(3) Not fo	\$5,000.00
8/8/2013	Phoenicia Festival the Voice Foundation	Phoenicia	Working with the Masters	501(C)(3) Not fo	\$4,470.00
8/8/2013	Roxbury Chapter Dollars for Scholars	Denver	Scholarships	501(C)(3) Not fo	\$5,000.00
8/22/2013	Albany Law School	Albany	Scholarships	School	\$1,000.00
8/22/2013	Broome Community College Foundation, Inc	Binghamton	Scholarships	School	\$22,500.00
8/22/2013	Catskill Center for Conservation and Develop	Arkville	Website	501(C)(3) Not fo	\$861.00
8/22/2013	Catskill Revitalization Corporation	Stamford	2013 Operating Expenses	501(C)(3) Not fo	\$76,000.00
July 2013 Total					\$216,299.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
8/22/2013	Cazenovia College	Cazenovia	Scholarships	School	\$2,500.00
8/22/2013	Cobleskill Ag & Tech College Foundation, Inc.	Cobleskill	Scholarships	School	\$27,500.00
8/22/2013	Colgate University	Hamilton	Scholarships	School	\$1,000.00
8/22/2013	College Foundation at Delhi, Inc.	Delhi	Scholarships	501(C)(3) Not fo	\$10,000.00
8/22/2013	College Foundation at Delhi, Inc.	Delhi	Scholarships	501(C)(3) Not fo	\$15,000.00
8/22/2013	Cornell University	Ithaca	Scholarships	School	\$15,000.00
8/22/2013	Empire State College Foundation	Saratoga Springs	Scholarships	School	\$14,500.00
8/22/2013	Hartwick College	Oneonta	Scholarships	School	\$12,500.00
8/22/2013	Hunter Foundation, Incorporated	Tannersville	Flood Assistance	501(C)(3) Not fo	\$5,609.00
8/22/2013	La MaMa Experimental Theatre Club, Inc.	New York	O, Black Madonna Presentation	501(C)(3) Not fo	\$5,000.00
8/22/2013	Paul Smith's College	Paul Smiths	Scholarships	501(C)(3) Not fo	\$17,500.00
8/22/2013	Rensselaer Polytechnic Institute	Troy	Scholarships	School	\$1,000.00
8/22/2013	Sage Colleges	Troy	Scholarships	School	\$1,000.00
8/22/2013	State University College at Oneonta Foundation	Oneonta	Scholarships	501(C)(3) Not fo	\$30,000.00
8/22/2013	State University College at Oneonta Foundation	Oneonta	Scholarships	501(C)(3) Not fo	\$12,500.00
8/22/2013	Syracuse University	Syracuse	Scholarships	School	\$2,500.00
8/22/2013	Wells College	Aurora	Scholarships	501(C)(3) Not fo	\$5,000.00
8/23/2013	Belleayre Conservatory, Inc.	Highmount	Belleayre Festival Opera	501(C)(3) Not fo	\$5,000.00
8/23/2013	Bloomville Fire Department	Bloomville	FireFest	Municipality	\$3,000.00
8/23/2013	Foothills Performing Arts Center, Inc.	Oneonta	Fundraising Program	501(C)(3) Not fo	\$10,000.00
8/23/2013	Hanford Mills Museum	East Mercedith	Operating Expenses	501(C)(3) Not fo	\$27,500.00
August 2013 Total					\$342,023.00

September 2013

9/10/2013	Blue Deer Center	Margaretville	Whoop De Doo Festival	501(C)(3) Not fo	\$464.00
9/10/2013	Franklin Central School	Franklin	Playground Equipment	School	\$5,000.00
9/10/2013	Franklin Central School	Franklin	Infant Simulators	School	\$2,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
9/10/2013	Otschodcla Council, Inc. Boy Scouts of Americ	Ononta	Annual Grant	501(C)(3) Not fo	\$4,000.00
9/10/2013	William B. Ogden Frec Library	Walton	Summer Reading Program	501(C)(3) Not fo	\$1,000.00
9/10/2013	Zadock Pratt Museum, Inc.	Prattsville	School Programs	501(C)(3) Not fo	\$2,000.00
9/10/2013	Zadock Pratt Museum, Inc.	Prattsville	2012 - 2014 Salary	501(C)(3) Not fo	\$8,000.00
9/13/2013	Catskill Mountain Christian Center	Margaretville	Youth Conference 6/13 toward painting, st	501(C)(3) Not fo	\$3,625.00
9/13/2013	Foundation for the Open Eye	Margaretville	Production Expenses	501(C)(3) Not fo	\$3,445.00
9/13/2013	Greater Stamford Area Trust	Stamford	Fesival	501(C)(3) Not fo	\$3,000.00
9/13/2013	Ononta Concert Association	Oneonta	Art Field Days	501(C)(3) Not fo	\$4,000.00
9/17/2013	Hanford Mills Museum	East Meredith	Endowment - deadline 12/16	501(C)(3) Not fo	\$28,835.00
9/17/2013	Rotary Club of Delhi	Delhi	After Prom Parties	Pending	\$1,666.00
9/17/2013	Roxbury Arts Group, Inc.	Roxbury	Scholarships	501(C)(3) Not fo	\$520.00
9/17/2013	Roxbury Arts Group, Inc	Roxbury	Galumpha Programming	501(C)(3) Not fo	\$2,500.00
September 2013 Total					\$70,055.00
October 2013					
10/1/2013	Catskill Forest Association	Arkville	2013 Operating Expenses	501(C)(3) Not fo	\$62,930.00
10/1/2013	Delaware County Historical Assoc.	Delhi	Intern	501(C)(3) Not fo	\$1,280.00
10/1/2013	Greater Roxbury Learning Initiative Corp	Roxbury	Video Equipment	501(C)(3) Not fo	\$1,782.00
10/1/2013	Hamden Presbyterian Church	Hamden	Renovations	Religious	\$2,250.00
10/1/2013	Hanford Mills Museum	East Meredith	Operating Expenses	501(C)(3) Not fo	\$27,500.00
10/3/2013	Albany Law School	Albany	Scholarships	School	(\$1,000.00)
10/18/2013	Catskill Revitalization Corporation	Stamford	2013 Operating Expenses	501(C)(3) Not fo	\$75,525.00
10/18/2013	Catskill Revitalization Corporation	Stamford	Riprap	501(C)(3) Not fo	\$2,880.00
10/18/2013	Catskill Revitalization Corporation	Stamford	Ties	501(C)(3) Not fo	\$7,200.00
10/18/2013	Delaware Opportunities, Inc.	Hamden	Senior Meals	Municipality	\$5,000.00
10/18/2013	Foundation for the Open Eye	Margaretville	Equipment	501(C)(3) Not fo	\$1,783.00
10/18/2013	Hanford Mills Museum	East Meredith	Operating Expenses	501(C)(3) Not fo	\$26,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
10/18/2013	Kirkside of Roxbury, Inc.	Roxbury	Projects Deadline 12/14 5/12 reded toward	501(C)(3) Not fo	\$638.00
10/18/2013	Roxbury Arts Group, Inc	Roxbury	76 Main'	501(C)(3) Not fo	\$12,060.00
10/18/2013	Roxbury Arts Group, Inc	Roxbury	2012 Operating Expenses	501(C)(3) Not fo	\$6,382.00
10/22/2013	Conservation Alliance of New York	Oneonta	Annual Grant	501(C)(3) Not fo	\$5,000.00
10/25/2013	Delhi Art Group, Inc.	Delhi	Art Workshops	501(C)(3) Not fo	\$1,000.00
10/25/2013	Hanford Mills Museum	East Meredith	Endowment - deadline 12/16	501(C)(3) Not fo	\$2,390.00
10/25/2013	Hobart Fire Department	Hobart	Gym Memberships	Municipality	\$1,500.00
10/25/2013	M-ARK Project, Inc	Arkville	Tourism Events	501(C)(3) Not fo	\$765.00
October 2013 Total					\$242,865.00
November 2013					
11/1/2013	Hanford Mills Museum	East Meredith	Turbine Project	501(C)(3) Not fo	\$903.00
11/1/2013	Hanford Mills Museum	East Meredith	2003 Operating Expenses Capital & Specia	501(C)(3) Not fo	\$1,532.00
11/1/2013	Hanford Mills Museum	East Meredith	Development	501(C)(3) Not fo	\$950.00
11/13/2013	Girls on the Run Cooperstown LTD	Fly Creek	Curriculum	501(C)(3) Not fo	\$1,000.00
11/13/2013	Lake Delaware Boys' Camp, Inc.	Delhi	Organ Repairs	501(C)(3) Not fo	\$5,000.00
11/13/2013	New York State Historical Association	Cooperstown	Delaware County Material	501(C)(3) Not fo	\$5,000.00
11/13/2013	Unatego Central School District	Otego	3rd Grade Program	School	\$2,000.00
11/22/2013	Belleayre Conservatory, Inc.	Highmount	Marketing Consultant	501(C)(3) Not fo	\$4,250.00
11/22/2013	Community Arts Network of Oneonta	Oneonta	Pre School Program	501(C)(3) Not fo	\$1,000.00
11/22/2013	Delaware County Historical Assoc.	Delhi	Endowment	501(C)(3) Not fo	\$6,000.00
11/22/2013	Dorothy & Lewis Cullman Child Dev Center	Sidney	Outlast Storage Set	501(C)(3) Not fo	\$2,500.00
11/22/2013	M-ARK Project, Inc.	Arkville	Workshops	501(C)(3) Not fo	\$2,100.00
11/22/2013	M-ARK Project, Inc.	Arkville	Busk and Bard events	501(C)(3) Not fo	\$5,000.00
11/22/2013	Stamford Rural Fire District	Stamford	Medicine Safety Equipment	Municipality	\$4,000.00
11/22/2013	Town of Delhi	Delhi	Playground	Municipality	\$18,145.00
11/22/2013	United Ministry of Delhi	Delhi	Disc CBH Special Music	Religious	\$1,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
11/22/2013	United Ministry of Delhi	Delhi	Disc. PEH Youth Group	Religious	\$250.00
11/22/2013	Village of Delhi -	Delhi	Reader Meters	Municipality	\$5,000.00
11/22/2013	West Kortright Centre. Inc.	East Mercedith	Endowment	501(C)(3) Not fo	\$917.00
<u>December 2013</u>					<u>November 2013 Total</u>
12/4/2013	Catskill Revitalization Corporation	Stamford	Riprap	501(C)(3) Not fo	\$10,236.00
12/4/2013	Charlotte Valley Central School Dollars for Sch	East Mercedith	Scholarships	501(C)(3) Not fo	\$6,000.00
12/4/2013	Community Arts Network of Oneonta	Oneonta	Pre School Program	501(C)(3) Not fo	\$1,000.00
12/4/2013	Delhi Historical Society	Delhi	UV Shades	501(C)(3) Not fo	\$1,885.00
12/4/2013	West Kortright Centre. Inc.	East Mercedith	Endowment	501(C)(3) Not fo	\$540.00
12/17/2013	Bovina Public Library	Bovina Center	Lost Income	501(C)(3) Not fo	\$3,000.00
12/17/2013	Delaware County Department of Social Service	Delhi	Annual Grant	Municipality	\$3,000.00
12/17/2013	Delaware County Department of Social Service	Delhi	Annual Grant	Municipality	\$3,000.00
12/17/2013	Foothills Performing Arts Center, Inc.	Oneonta	Tickets	501(C)(3) Not fo	\$4,400.00
12/17/2013	Friends of Music of Stamford, NY	Stamford	Summer Music Program	501(C)(3) Not fo	\$1,500.00
12/17/2013	Hanford Mills Museum	East Mercedith	Operating Expenses	501(C)(3) Not fo	\$45,000.00
12/17/2013	Kirkside of Roxbury, Inc.	Roxbury	Operating Expenses	501(C)(3) Not fo	\$6,250.00
12/17/2013	South Kortright Central School	South Kortright	Vending Machine	School	\$3,292.00
12/17/2013	South Kortright Central School	South Kortright	Garden	School	\$2,500.00
12/17/2013	Unatego Dollars for Scholars	Otego	Scholarships	501(C)(3) Not fo	\$3,000.00
12/17/2013	West Kortright Centre. Inc.	East Mercedith	2012 & 2013 Programming	501(C)(3) Not fo	\$22,500.00
12/23/2013	Third Sector New England, Inc. Resource Gene	Boston	Disc RLB II General Expenses	501(C)(3) Not fo	\$10,000.00
12/23/2013	Unatego Dollars for Scholars	Otego	Scholarships	501(C)(3) Not fo	\$2,000.00
12/27/2013	American Cancer Society Delaware County Uni	Johnson City	Annual Grant	501(C)(3) Not fo	\$5,000.00
12/27/2013	American Heart Association Mid-State New Yo	Binghamton	Annual Grant	501(C)(3) Not fo	\$5,000.00
12/27/2013	American Red Cross in Delaware County South	Endicott	Annual Grant	501(C)(3) Not fo	\$5,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
12/27/2013	Catskill Forest Association	Arkville	2014 Operating Expenses	501(C)(3) Not fo	\$66,250.00
12/27/2013	CitiHope International	Margaretville	Computers	501(C)(3) Not fo	\$3,750.00
12/27/2013	Delaware County Youth Bureau	Delhi	Annual Grant	Municipality	\$1,000.00
12/27/2013	Delaware Opportunities, Inc.	Hamden	Books	Municipality	\$1,000.00
12/27/2013	Delhi Community Fund, Inc.	Delhi	Annual Grant	501(C)(3) Not fo	\$3,000.00
12/27/2013	Girl Scouts - Seven Lakes Council, Inc.	Johnson City	Annual Grant	501(C)(3) Not fo	\$4,000.00
12/27/2013	Girl Scouts - Seven Lakes Council, Inc.	Johnson City	Annual Grant	501(C)(3) Not fo	\$20,000.00
12/27/2013	Hobart Fire Department	Hobart	Annual Grant	Municipality	\$2,000.00
12/27/2013	Hobart Historical Society	Hobart	Annual Grant	501(C)(3) Not fo	\$1,000.00
12/27/2013	Hobart Presbyterian Church	Hobart	Annual Grant	Religious	\$2,000.00
12/27/2013	Hobart St Peter's Protestant Episcopal Church	Hobart	Annual Grant	Religious	\$2,000.00
12/27/2013	Hobart United Methodist Church	Hobart	Annual Grant	Religious	\$4,000.00
12/27/2013	Otschodela Council, Inc. Boy Scouts of Americ	Oneonta	Annual Grant	501(C)(3) Not fo	\$10,000.00
12/27/2013	South Kortright Central School Dollars for Sch	South Kortright	Scholarships	501(C)(3) Not fo	\$5,000.00
12/27/2013	The Foundation Center	New York	Annual Grant	501(C)(3) Not fo	\$4,000.00
12/27/2013	The Salvation Army	Syracuse	Annual Grant	501(C)(3) Not fo	\$1,000.00
12/27/2013	United Ministry of Delhi	Delhi	Annual Grant	Religious	\$3,000.00

December 2013 Total

\$277,103.00

Grand Total:

\$2,688,014.00

THE A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.
 EIN 16-6063485
 FORM 990 PF SCHEDULE
 PART XV, LINE 3(b)
 2013
 FUTURE GRANTS

08-Jan-14

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<u>1998</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
15-Jun-98	\$80,000.00	\$0.00	\$80,000.00
Delhi -- Delaware County Planning Board			
U&D Railroad Historical Society - Roxbury Train Station deadline 12/15			
1998 Subtotals	\$80,000.00	\$0.00	\$80,000.00
	1.51%	0.00%	100.00%
<u>1999</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
23-Jun-99	\$54,500.00	\$27,250.00	\$27,250.00
Delhi -- Delaware County Planning Board			
TPAS Program deadline 12/14 9/08 redes toward County Comprehensive Plan			
28-Sep-99	\$50,000.00	\$24,775.00	\$25,225.00
Delhi -- Greater Delhi Area Foundation			
Endowment deadline 12/16			
1999 Subtotals	\$104,500.00	\$52,025.00	\$52,475.00
	1.97%	49.78%	50.22%
<u>2000</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
19-Jun-00	\$55,500.00	\$27,750.00	\$27,750.00
Delhi -- Delaware County Department of Planning and Economic Development			
TPAS Program - 2001 Grant extended to 12/14 9/08 redes toward Economic Developm			
21-Sep-00	\$80,000.00	\$0.00	\$80,000.00
Delhi -- Greater Delhi Area Foundation			
Purchase Building and Property - 10/07 redes per proposal from Del Cty Industrial Dev			

O'Connor Foundation Grants with Remaining Balances

08-Jan-14

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19-Jun-00	\$50,000.00	\$46,271.00	\$3,729.00
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East Meredith -- Hanford Mills Museum
Endowment - deadline 12/16

2000 Subtotals	\$185,500.00	\$74,021.00	\$111,479.00
	3.50%	39.90%	60.10%

<u>2001</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
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10-Oct-01 \$18,000.00 \$4,784.00 \$13,216.00
Delhi -- College Foundation at Delhi, Inc.
Musical Concert Redes to AmeriCorp 10/07 Blackbaud Software Matching removed. D

18-Jul-01	\$64,000.00	\$32,000.00	\$32,000.00
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Delhi -- Delaware County Planning Board
Town Planning Advisory Service (TPAS) 2002 deadline 12/14 9/08 redes toward GIS I

2001 Subtotals	\$82,000.00	\$36,784.00	\$45,216.00
	1.55%	44.86%	55.14%

<u>2002</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
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17-Jun-02 \$108,960.00 \$103,676.00 \$5,284.00
Cooperstown -- Mary Imogene Bassett Hospital Bassett Healthcare
Partnership for Nursing Opportunities Program deadline 12/22 Redes 2/12 toward O'Co

17-Jun-02 \$57,000.00 \$28,500.00 \$28,500.00
Delhi -- Delaware County Planning Board
2003 Town Planning Advisory Service deadline 12/14 9/08 redes toward Ag Farmland

03-May-02 \$3,000.00 \$680.00 \$2,320.00
Hamden -- Town of Hamden
Hamden Action Association - Sign Project deadline 12/14 for O&W signs

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 3*

2002 Subtotals	\$168,960.00	\$132,856.00	\$36,104.00
	3.19%	78.63%	21.37%

<u>2003</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
04-Nov-03	\$56,000.00	\$28,000.00	\$28,000.00
Delhi -- Delaware County Planning Board			
Town Planning Advisory Service deadline 12/14 9/08 redes toward Legal Assistance P			
2003 Subtotals	\$56,000.00	\$28,000.00	\$28,000.00
	1.06%	50.00%	50.00%

<u>2004</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
06-Aug-04	\$25,000.00	\$0.00	\$25,000.00
Delhi -- Village of Delhi			
Community Open Air Pavilion deadline 10/14 6/12 redes toward handicapped access,			
2004 Subtotals	\$25,000.00	\$0.00	\$25,000.00
	0.47%	0.00%	100.00%

<u>2005</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
11-Oct-05	\$265,000.00	\$235,365.00	\$29,635.00
East Meredith -- Hanford Mills Museum			
2006 Operating Exp & projects- waterwheel, steam engine, turbine, feedmill, apprentice			
12-Oct-05	\$100,000.00	\$70,000.00	\$30,000.00
Stamford -- Catskill Revitalization Corporation			
Pullman Railroad Car Deadline 12/14			

O'Connor Foundation Grants with Remaining Balances

08-Jan-14

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2005 Subtotals	\$365,000.00	\$305,365.00	\$59,635.00
	6.89%	83.66%	16.34%

<u>2006</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
13-Feb-06 Arkville -- M-ARK Project, Inc. Belleayre Repertory Orchestra Re-des \$2,000 to community projects Deadline 12/13	\$5,000.00	\$3,750.00	\$1,250.00
02-Oct-06 East Meredith -- Hanford Mills Museum Steam Engine deadline 12/14	\$50,000.00	\$0.00	\$50,000.00
02-Oct-06 Oneonta -- Foothills Performing Arts Center, Inc. Endowment deadline extended to 12/14	\$100,000.00	\$0.00	\$100,000.00
02-Oct-06 Roxbury -- Kirkside of Roxbury, Inc. 2007 Maintenance Contingency & Projects Deadline 12/14 5/12 redes full amount towa	\$27,000.00	\$23,000.00	\$4,000.00
14-Jun-06 Roxbury -- Roxbury Arts Group, Inc. Endowment Deadline 12/16	\$24,000.00	\$22,384.00	\$1,616.00
2006 Subtotals	\$206,000.00	\$49,134.00	\$156,866.00
	3.89%	23.85%	76.15%

<u>2007</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
24-Oct-07 Andes -- Andes Public Library Library Renovations & Expansion Deadline 12/15	\$200,000.00	\$103,106.00	\$96,894.00

O'Connor Foundation Grants with Remaining Balances

08-Jan-14

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24-Oct-07	\$25,000.00	\$12,007.00	\$12,993.00
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Andes -- Andes Society for History & Culture

Endowment Deadline 12/16

24-Oct-07	\$30,000.00	\$0.00	\$30,000.00
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East Meredith -- Hanford Mills Museum

Steam Engine - contingent on funds to complete a phase Deadline 12/14

24-Oct-07	\$50,000.00	\$0.00	\$50,000.00
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Oneonta -- Little Delaware Youth Ensemble

Endowment Deadline 12/13

24-Oct-07	\$10,000.00	\$0.00	\$10,000.00
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Prattsville -- Zadock Pratt Museum, Inc.

Matching Endowment Deadline 12/16

16-May-07	\$5,000.00	\$3,603.00	\$1,397.00
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Roxbury -- Kirkside of Roxbury, Inc.

Projects Deadline 12/14 5/12 redes toward tv, refrigerators, stand, cables

24-Oct-07	\$200,000.00	\$0.00	\$200,000.00
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Stamford -- Catskill Revitalization Corporation

O&W Dairy Museum Construction Costs Roxbury Depot Deadline 12/14, Redes 12/12 t,

2007 Subtotals	\$520,000.00	\$118,716.00	\$401,284.00
	9.82%	22.83%	77.17%

2008**Approved****Paid to Date****Balance**

31-Oct-08	\$100,000.00	\$67,804.00	\$32,196.00
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East Meredith -- Hanford Mills Museum

2009 Projects deadline 2014 redesignated toward skylight, tree removal, lumber drying

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 6*

31-Oct-08	\$250,000.00	\$0.00	\$250,000.00
Fly Creek -- The Walter Rich Charitable Foundation			
Endowment deadline 12/15			

16-Jul-08	\$5,000.00	\$0.00	\$5,000.00
Meridale -- Meredith Historical Society			
Endowment deadline 12/16			

2008 Subtotals	\$355,000.00	\$67,804.00	\$287,196.00
	6.70%	19.10%	80.90%

<u>2009</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
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13-Oct-09	\$10,000.00	\$0.00	\$10,000.00
Albany -- Parks & Trails New York, Inc.			
Delhi Trail Expansion 10/12 deadline 12/14			

13-Oct-09	\$20,000.00	\$0.00	\$20,000.00
Arkville -- Catskill Center for Conservation and Development			
Quilting Room Handicap Access deadline extended to 12/14			

21-Aug-09	\$5,000.00	\$4,266.00	\$734.00
Fleischmanns -- Village of Fleischmanns			
Roof deadline extended to 12/14			

23-Jan-09	\$1,500.00	\$0.00	\$1,500.00
Hobart -- Hobart Historical Society			
Electrical Work deadline extended to 12/14			

13-Oct-09	\$8,400.00	\$0.00	\$8,400.00
Hobart -- Project Independence, Inc.			
Car - deadline extended to December 2014.			

O'Connor Foundation Grants with Remaining Balances

08-Jan-14

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31-Mar-09	\$5,000.00	\$3,500.00	\$1,500.00
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Oneonta -- Oneonta Dollars for Scholars
Scholarships deadline extended to 12/14

15-Apr-09	\$1,000.00	\$0.00	\$1,000.00
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Roxbury -- Kirkside of Roxbury, Inc.
Ramp deadline extended to 12/14

15-Jun-09	\$7,500.00	\$3,000.00	\$4,500.00
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Stamford -- Catskill Revitalization Corporation
Legal Right of Way deadline extended to 12/14

2009 Subtotals	\$58,400.00	\$10,766.00	\$47,634.00
	1.10%	18.43%	81.57%

<u>2010</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
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10-Nov-10	\$5,000.00	\$0.00	\$5,000.00
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Delhi -- Village of Delhi
Planning Study 3/13 reded toward LED lights

14-Apr-10	\$5,000.00	\$2,978.00	\$2,022.00
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Fleischmanns -- Village of Fleischmanns
Website

2010 Subtotals	\$10,000.00	\$2,978.00	\$7,022.00
	0.19%	29.78%	70.22%

<u>2011</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
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07-Nov-11	\$5,000.00	\$4,875.00	\$125.00
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Arkville -- M-ARK Project, Inc.
Main Street Program

O'Connor Foundation Grants with Remaining Balances**08-Jan-14****Page 8**

26-Jan-11	\$500.00	\$0.00	\$500.00
Arlington -- Council on Foundations Administration			
14-Jun-11	\$5,000.00	\$3,000.00	\$2,000.00
Delhi -- Catskill Development Foundation, Inc. Senior Computer Training			
10-Mar-11	\$1,000.00	\$0.00	\$1,000.00
Deposit -- Deposit Central School Prom Party			
07-Dec-11	\$1,000.00	\$0.00	\$1,000.00
Deposit -- Deposit Central School Broadway Play			
14-Jun-11	\$5,000.00	\$3,000.00	\$2,000.00
Downsville -- Downsville Central School After Prom Parties			
03-Oct-11	\$62,000.00	\$58,270.00	\$3,730.00
East Meredith -- Hanford Mills Museum Turbine Project			
09-Feb-11	\$5,000.00	\$3,334.00	\$1,666.00
Hamden -- Delaware Opportunities, Inc. Big Buddy Program			
09-Feb-11	\$2,520.00	\$2,050.00	\$470.00
New Kingston -- New Kingston Valley Association Film Festival			

O'Connor Foundation Grants with Remaining Balances

08-Jan-14

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13-Jun-11	\$200,000.00	\$0.00	\$200,000.00
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Stamford -- Catskill Revitalization Corporation

Trail Redes 12/12 toward cost of Ulster Cty RR development

03-Oct-11	\$50,000.00	\$13,116.00	\$36,884.00
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Stamford -- Catskill Revitalization Corporation

Riprap

13-Jun-11	\$10,500.00	\$0.00	\$10,500.00
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Stamford -- Greater Stamford Area Trust

Trail Signs

10-Aug-11	\$2,000.00	\$1,184.00	\$816.00
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Walton -- Christ Church

Porch Repairs

2011 Subtotals	\$349,520.00	\$88,829.00	\$260,691.00
	6.60%	25.41%	74.59%

<u>2012</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
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19-Mar-12	\$5,000.00	\$2,065.00	\$2,935.00
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Andes -- Andes Dollars for Scholars

Scholarships

20-Mar-12	\$1,000.00	\$0.00	\$1,000.00
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Arkville -- M-ARK Project, Inc.

Workshops

20-Mar-12	\$1,000.00	\$0.00	\$1,000.00
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Arkville -- M-ARK Project, Inc.

Workshops

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 10*

11-Jun-12	\$5,000.00	\$3,475.00	\$1,525.00
Arkville -- M-ARK Project, Inc. Workshops			
03-Jul-12	\$5,000.00	\$4,338.00	\$662.00
Arkville -- M-ARK Project, Inc. Tourism Events			
05-Dec-12	\$5,000.00	\$1,980.00	\$3,020.00
Arkville -- M-ARK Project, Inc. Home and Garden Festival			
19-Mar-12	\$35,000.00	\$17,500.00	\$17,500.00
Binghamton -- Foundation of the SUNY at Binghamton Scholarships			
31-Aug-12	\$4,350.00	\$0.00	\$4,350.00
Delhi -- Catskill Ag Inc. Harvest Days			
11-Jun-12	\$30,000.00	\$13,050.00	\$16,950.00
Delhi -- Delaware County Historical Assoc. Endowment			
11-Jun-12	\$25,000.00	\$5,297.00	\$19,703.00
East Meredith -- West Kortright Centre, Inc. Endowment			
11-Jan-12	\$5,000.00	\$2,000.00	\$3,000.00
Hobart -- Hobart Historical Society Attic Repairs			

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 11*

31-Aug-12	\$500.00	\$0.00	\$500.00
Hobart -- Village of Hobart Decorations			
31-Aug-12	\$1,000.00	\$0.00	\$1,000.00
Hobart -- Village of Hobart Library Supplies			
20-Mar-12	\$1,000.00	\$0.00	\$1,000.00
Johnson City -- Girl Scouts - Seven Lakes Council, Inc. Heath Awareness			
11-Jun-12	\$3,500.00	\$2,780.00	\$720.00
Margaretville -- Historical Society of Middletown Water Treatment System 6/13 \$910 toward lighting upgrades			
20-Mar-12	\$996.00	\$0.00	\$996.00
Margaretville -- Margaretville Central School Calculators			
11-Jun-12	\$48,250.00	\$15,750.00	\$32,500.00
Prattsville -- Zadock Pratt Museum, Inc. Historic Structures Report			
21-Mar-12	\$1,550.00	\$0.00	\$1,550.00
Roxbury -- Roxbury Arts Group, Inc. Events			
20-Mar-12	\$2,000.00	\$0.00	\$2,000.00
South Kortright -- South Kortright Central School Peer Mediation			

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 12*

11-Jan-12	\$2,500.00	\$0.00	\$2,500.00
Stamford -- Western Catskills Community Revitalization Council, Inc. Insulation			

11-Jun-12	\$9,500.00	\$0.00	\$9,500.00
Stamford -- Western Catskills Community Revitalization Council, Inc. Cyr Center			

11-Jun-12	\$100,000.00	\$64,939.00	\$35,061.00
Tannersville -- Hunter Foundation, Incorporated Flood Assistance			

20-Mar-12	\$700.00	\$0.00	\$700.00
Walton -- Walton Central High School Special Education Programs			

20-Mar-12	\$625.00	\$0.00	\$625.00
Walton -- Walton Central School Middle School Motivational Program			

20-Mar-12	\$625.00	\$0.00	\$625.00
Walton -- Walton Central School Townsend Elementary School Motivational Program			

2012 Subtotals	\$294,096.00	\$133,174.00	\$160,922.00
	5.55%	45.28%	54.72%

<u>2013</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
11-Mar-13	\$2,000.00	\$0.00	\$2,000.00
Albany -- Albany Law School Scholarships			

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 13*

12-Dec-13	\$1,700.00	\$0.00	\$1,700.00
Albany -- Alzheimer's Association Northeastern New York Transmitters			
11-Mar-13	\$3,000.00	\$0.00	\$3,000.00
Andes -- Andes Dollars for Scholars Scholarships			
04-Jun-13	\$265,000.00	\$66,250.00	\$198,750.00
Arkville -- Catskill Forest Association 2014 Operating Expenses			
04-Jun-13	\$25,000.00	\$0.00	\$25,000.00
Arkville -- M-ARK Project, Inc. Community Garden			
11-Mar-13	\$10,000.00	\$5,000.00	\$5,000.00
Aurora -- Wells College Scholarships			
11-Mar-13	\$45,000.00	\$22,500.00	\$22,500.00
Binghamton -- Broome Community College Foundation, Inc. Scholarships			
11-Mar-13	\$20,000.00	\$0.00	\$20,000.00
Binghamton -- Foundation of the SUNY at Binghamton Scholarships			
28-May-13	\$5,000.00	\$0.00	\$5,000.00
Binghamton -- Foundation of the SUNY at Binghamton Scholarship			

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 14*

04-Jun-13	\$10,000.00	\$0.00	\$10,000.00
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Binghamton -- Mother and Babies Perinatal Network
Operating Support

13-Mar-13	\$1,260.00	\$0.00	\$1,260.00
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Binghamton -- Roberson Memorial, Inc.
Transportation & Programming

31-May-13	\$3,330.00	\$0.00	\$3,330.00
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Bloomville -- Bloomville United Methodist Church
Fence and Floor Repairs

11-Mar-13	\$5,000.00	\$2,500.00	\$2,500.00
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Cazenovia -- Cazenovia College
Scholarships

20-Feb-13	\$4,815.00	\$2,167.00	\$2,648.00
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Center Barnstead -- Hampstead Stage Company
School Performances

11-Mar-13	\$55,000.00	\$27,500.00	\$27,500.00
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Cobleskill -- Cobleskill Ag & Tech College Foundation, Inc.
Scholarships

11-Mar-13	\$20,000.00	\$10,000.00	\$10,000.00
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Delhi -- College Foundation at Delhi, Inc.
Scholarships

11-Mar-13	\$30,000.00	\$15,000.00	\$15,000.00
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Delhi -- College Foundation at Delhi, Inc.
Scholarships

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 15*

18-Nov-13	\$3,000.00	\$0.00	\$3,000.00
Delhi -- Delaware Academy and Central School Class Trip, Statue			
04-Jun-13	\$18,000.00	\$0.00	\$18,000.00
Delhi -- Delaware County Historical Assoc. Operating Expenses			
31-May-13	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Delaware County Sheriff's Office AED's			
09-Oct-13	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Greater Delhi Area Foundation Film Festival			
13-Mar-13	\$3,425.00	\$0.00	\$3,425.00
Delhi -- Habitat for Humanity of Delaware County, Inc. Office Establishment			
09-Oct-13	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Rotary Club of Delhi People Who Care After Prom Party			
04-Jun-13	\$28,000.00	\$0.00	\$28,000.00
Delhi -- SUNY Research Foundation 2014 O'Connor Center Expenses			
04-Jun-13	\$213,000.00	\$0.00	\$213,000.00
Delhi -- Town of Delhi Pool			

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 16*

13-Sep-13	\$20,000.00	\$18,145.00	\$1,855.00
Delhi -- Town of Delhi Playground			

26-Apr-13	\$4,000.00	\$0.00	\$4,000.00
Deposit -- First Baptist Church of Deposit Roof			

13-Mar-13	\$2,500.00	\$0.00	\$2,500.00
East Meredith -- Hanford Mills Museum Transportation Expenses			

04-Jun-13	\$280,000.00	\$0.00	\$280,000.00
East Meredith -- Hanford Mills Museum 2014 Operating Expenses			

04-Jun-13	\$7,500.00	\$2,550.00	\$4,950.00
East Meredith -- Hanford Mills Museum Development			

04-Jun-13	\$20,000.00	\$0.00	\$20,000.00
East Meredith -- Hanford Mills Museum Hardware Store			

04-Jun-13	\$50,000.00	\$0.00	\$50,000.00
East Meredith -- Hanford Mills Museum I Love New York			

04-Jun-13	\$10,000.00	\$0.00	\$10,000.00
East Meredith -- Hanford Mills Museum Power House building			

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 17*

30-Jan-13	\$10,000.00	\$0.00	\$10,000.00
Endicott -- American Red Cross in Delaware County Southern Tier Chapter Annual Grant			
18-Jun-13	\$5,000.00	\$0.00	\$5,000.00
Fleischmanns -- Village of Fleischmanns Museum Repairs			
11-Mar-13	\$1,000.00	\$0.00	\$1,000.00
Franklin -- Franklin Central School Skis			
20-Aug-13	\$1,250.00	\$0.00	\$1,250.00
Franklin -- St. Paul's Episcopal Church Sidewalks			
11-Mar-13	\$2,000.00	\$1,000.00	\$1,000.00
Hamilton -- Colgate University Scholarships			
31-May-13	\$4,950.00	\$1,500.00	\$3,450.00
Hobart -- Hobart Fire Department Gym Memberships			
11-Mar-13	\$30,000.00	\$15,000.00	\$15,000.00
Ithaca -- Cornell University Scholarships			
17-Jul-13	\$5,000.00	\$0.00	\$5,000.00
Johnson City -- American Cancer Society Delaware County Unit Services Expenses			

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 18*

04-Jun-13	\$15,000.00	\$0.00	\$15,000.00
Liberty -- Bethel Woods Center for the Arts, Inc. Report			
04-Jun-13	\$4,500.00	\$0.00	\$4,500.00
Margaretville -- Fairview Public Library Murals			
31-May-13	\$5,000.00	\$1,667.00	\$3,333.00
Margaretville -- Foundation for the Open Eye Student Matinees			
14-Jun-13	\$5,000.00	\$1,783.00	\$3,217.00
Margaretville -- Foundation for the Open Eye Equipment			
09-Oct-13	\$5,000.00	\$0.00	\$5,000.00
Margaretville -- The Interfaith Council of Margaretville Operating Expenses			
20-Feb-13	\$5,000.00	\$0.00	\$5,000.00
Margaretville -- Village of Margaretville Signs			
12-Mar-13	\$100,000.00	\$0.00	\$100,000.00
New York -- Community Funds Inc Disc WJM Murphy Fund			
20-Aug-13	\$4,500.00	\$0.00	\$4,500.00
Oneonta -- Catskill Symphony Orchestra, Inc. Opera to Broadway Concert			

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 19*

09-Oct-13	\$5,000.00	\$2,000.00	\$3,000.00
Oneonta -- Community Arts Network of Oneonta Pre School Program			
11-Mar-13	\$25,000.00	\$12,500.00	\$12,500.00
Oneonta -- Hartwick College Scholarships			
30-Jan-13	\$1,090.00	\$0.00	\$1,090.00
Oneonta -- Little Delaware Youth Ensemble Workshop			
12-Dec-13	\$5,000.00	\$0.00	\$5,000.00
Oneonta -- Little Delaware Youth Ensemble Rent			
31-May-13	\$5,000.00	\$0.00	\$5,000.00
Oneonta -- Lower Deck Events, Inc. Repairs & Purchases			
11-Mar-13	\$60,000.00	\$30,000.00	\$30,000.00
Oneonta -- State University College at Oneonta Foundation Scholarships			
11-Mar-13	\$25,000.00	\$12,500.00	\$12,500.00
Oneonta -- State University College at Oneonta Foundation Scholarships			
11-Mar-13	\$35,000.00	\$17,500.00	\$17,500.00
Paul Smiths -- Paul Smith's College Scholarships			

O'Connor Foundation Grants with Remaining Balances**08-Jan-14****Page 20**

17-Jun-13	\$72,500.00	\$0.00	\$72,500.00
Prattsville -- Zadock Pratt Museum, Inc. Flood Repairs			
31-May-13	\$5,000.00	\$0.00	\$5,000.00
Roxbury -- Kirkside of Roxbury, Inc. Furniture			
04-Jun-13	\$15,000.00	\$6,250.00	\$8,750.00
Roxbury -- Kirkside of Roxbury, Inc. Operating Expenses			
17-Jul-13	\$1,000.00	\$0.00	\$1,000.00
Roxbury -- Manhattan Country School Farm Workshop			
11-Mar-13	\$1,000.00	\$520.00	\$480.00
Roxbury -- Roxbury Arts Group, Inc. Scholarships			
04-Jun-13	\$30,000.00	\$0.00	\$30,000.00
Roxbury -- Roxbury Arts Group, Inc. 76 Main! Expenses			
04-Jun-13	\$30,000.00	\$0.00	\$30,000.00
Roxbury -- Roxbury Arts Group, Inc. Operating Expenses			
20-Aug-13	\$5,000.00	\$0.00	\$5,000.00
Roxbury -- Roxbury Arts Group, Inc. Arts Symposium			

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 21*

20-Aug-13	\$5,000.00	\$0.00	\$5,000.00
Roxbury -- Roxbury Arts Group, Inc. Fiddlers Program			
11-Mar-13	\$600.00	\$0.00	\$600.00
Roxbury -- Writers In the Mountains Essay Contest			
11-Mar-13	\$29,000.00	\$14,500.00	\$14,500.00
Saratoga Springs -- Empire State College Foundation Scholarships			
12-Dec-13	\$5,000.00	\$0.00	\$5,000.00
Sidney -- Tri-Town Boys & Girls Club, Inc. It Takes a Village Program			
20-Feb-13	\$4,900.00	\$0.00	\$4,900.00
South Kortright -- Rosemary Farm Sanctuary, Inc. New Holland UTV			
07-Jan-13	\$100,000.00	\$0.00	\$100,000.00
Stamford -- Catskill Revitalization Corporation Purchase Track Materials (Ulster)			
24-Apr-13	\$15,000.00	\$0.00	\$15,000.00
Stamford -- Catskill Revitalization Corporation Disc DFB II Liongardner			
04-Jun-13	\$300,000.00	\$0.00	\$300,000.00
Stamford -- Catskill Revitalization Corporation 2014 Operating Expenses			

O'Connor Foundation Grants with Remaining Balances

*08-Jan-14**Page 22*

04-Jun-13	\$50,000.00	\$0.00	\$50,000.00
Stamford -- Catskill Revitalization Corporation			
I Love New York			
04-Jun-13	\$36,500.00	\$0.00	\$36,500.00
Stamford -- Catskill Revitalization Corporation			
Sand Blasting System			
04-Jun-13	\$50,000.00	\$7,200.00	\$42,800.00
Stamford -- Catskill Revitalization Corporation			
Ties			
05-Jun-13	\$84,420.00	\$0.00	\$84,420.00
Stamford -- Catskill Revitalization Corporation			
Gilboa Train Station - transferred from Western Catskills (1999 grant)			
04-Jun-13	\$10,700.00	\$0.00	\$10,700.00
Stamford -- First Presbyterian Church of Stamford			
Stained Glass Windows			
11-Mar-13	\$4,000.00	\$0.00	\$4,000.00
Stamford -- Stamford Central School Dollars for Scholars			
Scholarships			
04-Jun-13	\$6,000.00	\$0.00	\$6,000.00
Stamford -- Western Catskills Community Revitalization Council, Inc.			
Maple Museum			
11-Mar-13	\$5,000.00	\$2,500.00	\$2,500.00
Syracuse -- Syracuse University			
Scholarships			

O'Connor Foundation Grants with Remaining Balances

*08-Jan-14**Page 23*

12-Dec-13	\$5,000.00	\$0.00	\$5,000.00
Treadwell -- Bright Hill Press/Word Thursdays Video Equipment			
26-Apr-13	\$500.00	\$0.00	\$500.00
Treadwell -- Treadwell Community Improvement Club Community Gazebo			
11-Mar-13	\$2,000.00	\$1,000.00	\$1,000.00
Troy -- Rensselaer Polytechnic Institute Scholarships			
11-Mar-13	\$2,000.00	\$1,000.00	\$1,000.00
Troy -- Sage Colleges Schlarships			
11-Mar-13	\$1,000.00	\$0.00	\$1,000.00
Walton -- Walton Central School Middle School Museum Expenses			
11-Mar-13	\$1,000.00	\$0.00	\$1,000.00
Walton -- Walton Central School Townsend Elementary School Drums			
31-May-13	\$1,625.00	\$0.00	\$1,625.00
Walton -- Walton Historical Society Driveway, Books, Supplies			
04-Jun-13	\$10,000.00	\$0.00	\$10,000.00
Walton -- Walton Theatre Preservation Association Electrical Upgrades			

O'Connor Foundation Grants with Remaining Balances*08-Jan-14**Page 24*

20-Aug-13	\$825.00	\$0.00	\$825.00
Walton -- William B. Ogden Free Library Reading Group			

18-Jun-13	\$1,000.00	\$0.00	\$1,000.00
Washington -- Association of Small Foundations Grant Support			

09-Oct-13	\$2,250.00	\$0.00	\$2,250.00
Worcester -- Worcester Free Library Consultant Fees			

2013 Subtotals	\$2,437,640.00	\$300,032.00	\$2,137,608.00
	46.01%	12.31%	87.69%

	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
<u>Grand Totals:</u>	\$5,297,616.00	\$1,400,484.00	\$3,897,132.00
	100.00%	26.44%	73.56%



Delhi Rotary Club
P.O. Box 444
Delhi, NY 13753

October 1, 2013

O'Connor Foundation
PO Box D
Hobart, NY 13788

Re: Delhi Rotary Club 2013 Grants Received

Dear Mr Bishop:

In accordance with your request I am writing to acknowledge receipt of Three Thousand Three Hundred Thirty Three Dollars (\$3,333.00) in 2013, all of which has been paid over to People Who Care.

Thank you for your continued support.

Very truly yours,

John Nichols, Treasurer

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
SALE OF SECURITIES				PURCHASED	VARIOUS	VARIOUS
	17,060,546.	14,668,655.	0.	0.	2,391,891.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
SALE OF SECURITIES				PURCHASED	VARIOUS	VARIOUS
	5,071,971.	4,953,824.	0.	0.	118,147.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
CASH IN LIEU				PURCHASED	VARIOUS	VARIOUS
	7.	0.	0.	0.	7.	

CAPITAL GAINS DIVIDENDS FROM PART IV	125,060.
TOTAL TO FORM 990-PF, PART I, LINE 6A	2,635,105.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME-CASH EQUIVALENTS	840.	840.	
TOTAL TO PART I, LINE 3	840.	840.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME	1,157,647.	125,060.	1,032,587.	1,029,926.	
INTEREST INCOME	749,073.	0.	749,073.	749,073.	
INTEREST INCOME-AMORT OF BOND PREMIUM	-5,538.	0.	-5,538.	-5,538.	
INTEREST INCOME-AMORT OF US BOND PREMIUM	-21,590.	0.	-21,590.	-21,590.	
INTEREST INCOME-OID	5,438.	0.	5,438.	5,438.	
TO PART I, LINE 4	1,885,030.	125,060.	1,759,970.	1,757,309.	

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLASS ACTION PROCEEDS	504.	504.	
INTEREST-US TREASURY	2.	2.	
MISCELLANEOUS COST ADJUSTMENT FOR BOOK	675.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,181.	506.	

FORM 990-PF	LEGAL FEES		STATEMENT		5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL	39,276.	19,638.		19,638.	
TO FM 990-PF, PG 1, LN 16A	39,276.	19,638.		19,638.	

FORM 990-PF	ACCOUNTING FEES		STATEMENT		6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING	30,500.	15,250.		15,250.	
TO FORM 990-PF, PG 1, LN 16B	30,500.	15,250.		15,250.	

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT		7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK FEES	446,163.	446,163.		0.	
TO FORM 990-PF, PG 1, LN 16C	446,163.	446,163.		0.	

FORM 990-PF	TAXES		STATEMENT		8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL TAXES	7,473.	0.		7,473.	
FOREIGN TAXES	7,569.	7,569.		0.	
EXCISE TAXES	76,948.	0.		0.	
PENALTIES	15.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	92,005.	7,569.		7,473.	

FORM 990-PF	OTHER EXPENSES		STATEMENT	9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	8,408.	0.		8,408.
POSTAGE	1,323.	0.		1,323.
NYS FILING FEE	1,500.	0.		1,500.
OFFICE SUPPLIES	1,328.	0.		1,328.
TELEPHONE	3,868.	0.		3,868.
DUES & SUBSCRIPTIONS	9,070.	0.		9,070.
MISCELLANEOUS EXPENSE	909.	0.		909.
REPAIRS & MAINTENANCE	1,494.	0.		1,494.
INTERNET EXPENSE	705.	0.		705.
COMPUTER EXPENSE	3,698.	0.		3,698.
LEASE EXPENSE	1,121.	0.		1,121.
CERTIFICATION FEE	1,593.	0.		1,593.
PROFESSIONAL FEE	950.	0.		950.
AMORTIZATION	69.	0.		0.
TO FORM 990-PF, PG 1, LN 23	36,036.	0.		35,967.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES		STATEMENT	10
DESCRIPTION				AMOUNT
TO ADJUST ASSETS FOR RETURN ON PRINCIPAL IN TRANSIT FOR PRIOR YEAR				62,057.
TOTAL TO FORM 990-PF, PART III, LINE 5				62,057.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 11
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US OBLIGATIONS-SEE SCHEDULE	X		3,221,947.	3,033,838.
TOTAL U.S. GOVERNMENT OBLIGATIONS			3,221,947.	3,033,838.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			3,221,947.	3,033,838.

FORM 990-PF	CORPORATE STOCK	STATEMENT 12
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK-SEE SCHEDULE	24,319,653.	34,352,206.
TOTAL TO FORM 990-PF, PART II, LINE 10B	24,319,653.	34,352,206.

FORM 990-PF	CORPORATE BONDS	STATEMENT 13
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS-SEE SCHEDULE	12,051,834.	12,225,057.
TOTAL TO FORM 990-PF, PART II, LINE 10C	12,051,834.	12,225,057.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 14
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUND-SEE SCHEDULE	COST	15,296,349.	16,478,319.
LIMITED PARTNERSHIP - SEE SCHEDULE	COST	325,000.	385,247.
ALTERNATIVE INVESTMENTS - SEE SCHEDULE	COST	382,630.	409,338.
OPTIONS	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		16,003,979.	17,272,904.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	15
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
PAYROLL LIABILITIES	4,360.	662.	
CREDIT CARD LIABILITIES	3,484.	4.	
TOTAL TO FORM 990-PF, PART II, LINE 22	7,844.	666.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	16
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DONALD F. BISHOP II HOBART, NY 13788	CHAIR/EXEC DIR 35.00	96,638.	24,160.	0.
CHARLOTTE BISHOP HILL DELHI, NY 13753	VICE-CHAIR 21.00	18,671.	4,668.	0.
PAMELA HILL DELHI, NY 13753	EXEC SECR/TREAS 0.00	0.	0.	0.
SUZANNE HILL DELHI, NY 13753	DIRECTOR 0.00	0.	0.	0.
EUGENE PECKHAM BINGHAMTON, NY 13901	DIRECTOR 0.00	0.	0.	0.
AMY BISHOP WARNER DELANCEY, NY 13752	DIRECTOR 0.00	0.	0.	0.
LINDSAY BISHOP BROOKLYN, NY 11206	DIRECTOR 0.00	0.	0.	0.
ROBERT L. BISHOP, II KEY COLONY BEACH, FL 33051-0513	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		115,309.	28,828.	0.

4562

Department of the Treasury
Internal Revenue Service (99)

Name(s) shown on return

Depreciation and Amortization 990PF
(Including Information on Listed Property)

▶ See separate instructions.

▶ Attach to your tax return.

OMB No 1545-0172

2013Attachment
Sequence No 179**A. LINDSAY & OLIVE B. O'CONNOR
FOUNDATION, INC.**

Business or activity to which this form relates

Identifying number

FORM 990-PF PAGE 1**16-6063485****Part I Election To Expense Certain Property Under Section 179** Note: If you have any listed property, complete Part V before you complete Part I

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0- If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	11,404.

Part III MACRS Depreciation (Do not include listed property.) (See instructions)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property	/		27 5 yrs.	MM	S/L	
	/		27 5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year	/		40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instr.	22	11,404.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

**A. LINDSAY & OLIVE B. O'CONNOR
FOUNDATION, INC.**

Form 4562 (2013)

16-6063485 Page 2

Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement)

Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A - Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles)

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No				24b If "Yes," is the evidence written? ☐ Yes ☐ No				
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use							25	
26 Property used more than 50% in a qualified business use:								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use:								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1							28	
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1								29

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (do not include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year:					
43 Amortization of costs that began before your 2013 tax year				43	69.
44 Total. Add amounts in column (f). See the instructions for where to report				44	69.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

- File a separate application for each return.
► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions. A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.	Employer identification number (EIN) or 16-6063485
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. C/O CHEMUNG CANAL TRUST CO., -PO BOX 1522	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ELMIRA, NY 14902	

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

CHEMUNG CANAL TRUST COMPANY, TRUST

- The books are in the care of ► **PO BOX 1522 - TRUST TAX DEPARTMENT - ELMIRA, NY 14902**
Telephone No. ► **607-737-3896** Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2013** or
► tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	105,450.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	80,450.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	25,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.	Employer identification number (EIN) or 16-6063485
	Number, street, and room or suite no. If a P.O. box, see instructions. C/O CHEMUNG CANAL TRUST CO., -PO BOX 1522	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ELMIRA, NY 14902	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

CHEMUNG CANAL TRUST COMPANY, TRUST

- The books are in the care of **PO BOX 1522 - TRUST TAX DEPARTMENT - ELMIRA, NY 14902**

Telephone No. **607-737-3896**

Fax No.

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014.**

5 For calendar year **2013**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO GATHER THE NECESSARY INFORMATION TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	105,450.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	105,450.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Arthur J. Molivadei** Title **CPA**

Date **1/31/14**

Form 8868 (Rev. 1-2014)