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**Return of Private Foundation**

**2013**

Department of the Treasury  
Internal Revenue Service

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Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation <b>D E French Foundation, Inc</b>			<b>A Employer identification number</b>  16-6052246	
Number and street (or P O box number if mail is not delivered to street address) <b>120 Genesee Street</b>		Room/suite <b>503</b>	<b>B Telephone number (see instructions)</b>  315-253-9321	
City or town <b>Auburn</b>	State <b>NY</b>	ZIP code <b>13021</b>		
Foreign country name	Foreign province/state/country	Foreign postal code	<b>C If exemption application is pending, check here</b> <input type="checkbox"/>	
<b>G Check all that apply</b>			<b>D 1. Foreign organizations, check here</b> <input type="checkbox"/>	
<input type="checkbox"/> Initial return			<input type="checkbox"/> Initial return of a former public charity	
<input type="checkbox"/> Final return			<input type="checkbox"/> Amended return	
<input type="checkbox"/> Address change			<input type="checkbox"/> Name change	
<b>H Check type of organization</b>			<b>D 2. Foreign organizations meeting the 85% test, check here and attach computation</b> <input type="checkbox"/>	
<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation			<b>E If private foundation status was terminated under section 507(b)(1)(A) check here</b> <input type="checkbox"/>	
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust			<input type="checkbox"/> Other taxable private foundation	
<b>I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$</b> 5,001,082		<b>J Accounting method</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		
			<b>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here</b> <input type="checkbox"/>	

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
3	Interest on savings and temporary cash investments	11	11		
4	Dividends and interest from securities	119,202	119,202		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	205,826			
b	Gross sales price for all assets on line 6a 2,132,051				
7	Capital gain net income (from Part IV, line 2)		205,826		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	3,497			
12	<b>Total.</b> Add lines 1 through 11	328,536	325,039	0	
13	Compensation of officers, directors, trustees, etc	20,625	4,125		16,500
14	Other employee salaries and wages	5,512			5,512
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)	19,766	19,766		
17	Interest				
18	Taxes (attach schedule) (see instructions)	3,497			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	330			330
24	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	49,730	23,891	0	22,342
25	Contributions, gifts, grants paid	206,800			206,800
26	<b>Total expenses and disbursements.</b> Add lines 24 and 25	256,530	23,891	0	229,142
27	Subtract line 26 from line 12				
a	<b>Excess of revenue over expenses and disbursements</b>	72,006			
b	<b>Net investment income</b> (if negative, enter -0-)		301,148		
c	<b>Adjusted net income</b> (if negative, enter -0-)			0	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	28,670	43,407	43,407
	2	Savings and temporary cash investments	8,464	22,650	22,650
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	20,160	17,298	19,166
	b	Investments—corporate stock (attach schedule)	2,924,103	3,531,250	4,532,460
	c	Investments—corporate bonds (attach schedule)	900,706	339,504	383,399
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)				
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ )				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	3,882,103	3,954,109	5,001,082	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ )			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	3,882,103	3,954,109	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see instructions)	3,882,103	3,954,109	
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	3,882,103	3,954,109		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,882,103
2	Enter amount from Part I, line 27a	2	72,006
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,954,109
5	Decreases not included in line 2 (itemize) ▶ Rounding	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,954,109

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
<b>1a</b>	See NYS Annual Report	P	VARIOUS	VARIOUS
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>	2,132,051	1,926,225	205,826	
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>				205,826
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		205,826
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	{ }		0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	220,070	4,442,550	0.049537
2011	210,840	4,448,660	0.047394
2010	214,080	4,242,745	0.050458
2009	198,295	3,902,179	0.050816
2008	202,350	4,555,082	0.044423
<b>2</b>	Total of line 1, column (d)		0.242628
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		0.048526
<b>4</b>	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5		4,702,667
<b>5</b>	Multiply line 4 by line 3		228,202
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b)		3,011
<b>7</b>	Add lines 5 and 6		231,213
<b>8</b>	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		229,142

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	6,023	
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	0	
<b>3</b>	Add lines 1 and 2	<b>3</b>	6,023	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	<b>5</b>	6,023	
<b>6</b>	<b>Credits/Payments</b>			
<b>a</b>	2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	3,497	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments Add lines 6a through 6d	<b>7</b>	3,497	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	2,526	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	0	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	0	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ Walter M Lowe Telephone no ▶ 315-253-9321 Located at ▶ 120 Genesee Street Auburn NY ZIP+4 ▶ 13021			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20 _____, 20 _____, 20 _____, 20 _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 _____, 20 _____, 20 _____, 20 _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Ronald D. West PO Box 412 Scipio Center, NY 13147	President/ Director 1 00	2,400		
James P. Costello 30 South Street Marcellus, NY 13108	Director 1 00	2,400		
John P. McLane 92 Willow Brook Drive Auburn, NY 13021	Director 1 00	2,400		
Walter M. Lowe 15 Taber Drive Auburn, NY 13021	Manager/ Director 10 00	13,425		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 None	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
3 All other program-related investments See instructions	

Total. Add lines 1 through 3 ▶ 0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	4,736,036
<b>b</b>	Average of monthly cash balances	<b>1b</b>	38,245
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	4,774,281
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	4,774,281
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	71,614
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	4,702,667
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	235,133

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	235,133
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	6,023
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	6,023
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	229,110
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	229,110
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	229,110

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	229,142
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	229,142
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	229,142

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				229,110
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only			49,623	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008				
<b>b</b> From 2009				
<b>c</b> From 2010				
<b>d</b> From 2011				
<b>e</b> From 2012				
<b>f</b> Total of lines 3a through e	0			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 229,142				
<b>a</b> Applied to 2012, but not more than line 2a			49,623	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2013 distributable amount				179,519
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions				
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				49,591
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009				
<b>b</b> Excess from 2010				
<b>c</b> Excess from 2011				
<b>d</b> Excess from 2012				
<b>e</b> Excess from 2013				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) **N/A**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶ **N/A**

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
<b>b</b> 85% of line 2a					0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets					0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
<b>(3)</b> Largest amount of support from an exempt organization					0
<b>(4)</b> Gross investment income					0

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Walter M Lowe 120 Genesee Street Auburn, NY 13021 315-253-9321

**b** The form in which applications should be submitted and information and materials they should include

No Particular Form

**c** Any submission deadlines

NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Generally Limited to Cayuga County, New York State

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Schedule			Charitable	206,800
<b>Total</b>				▶ <b>3a</b> 206,800
b <i>Approved for future payment</i>				
<b>Total</b>				▶ <b>3b</b> 0





**Part I, Line 18 (990-PF) - Taxes**

		3,497	0	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Balance of excise tax for tax year 2011				
2	Estimated excise tax paid for tax year 2012	3,497			

**Part I, Line 23 (990-PF) - Other Expenses**

		330	0	0	330
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	New York State filing fee	250			250
2	Office supplies	80			80

Grants and Contributions Paid during 2013

Name and Address	Description of Grant	Amount
Berea College Berea, KY 40404	scholarships	\$ 1,000.
Calvary Food Pantry CNY 90 Franklin St. Auburn, NY 13021	food pantry	5,000.
Freedom Recreational Services for Youth PO Box 2134 Auburn, NY 13021	horseback riding program	3,000.
Literacy Volunteers of Cayuga County 17 Nelson St. Auburn, NY 13021	re-conditioned copier	1,600.
Auburn Education Foundation 78 Thornton Ave. Auburn, NY 13021	restricted endowment fund	10,000.
Cayuga Community College Fdn. 197 Franklin St. Auburn, NY 13021	general scholarships nursing scholarships	20,000. 5,000.
Champions for Life Inc. PO Box 1228 Auburn, NY 13021	construct sports fields	5,000.
Cayuga County Highland Search & Rescue PO Box 153 Auburn, NY 13021	purchase equipment	1,000.
Mercy Works PO Box 25 Syracuse, NY 13205	golfless golf tournament in support of Synergy prog.	1,000.
Mercy Flight Central 2420 Brickyard Rd. Canandaigua, NY 14424	night vision goggles & training	5,000.
Schweinfurth Memorial Art Center 205 Genesee St. Auburn, NY 13021	new display, furnishing, & security barriers	3,000.
Seneca/Cayuga ARC 1521 Clark St. Rd. Auburn, NY 13021	capital campaign to reduce debt on building	25,000.
Cayuga County Sheriff's Office 7445 County House Rd. Auburn, NY 13021	DARE program	1,000.
American Red Cross Cayuga County Chapter 11 State St. Auburn, NY 13021	local disaster relief	5,000.
Booker T. Washington Community Center 17-21 Chapman Ave. Auburn, NY 13021	renovate restrooms	6,000.

Grants and Contributions Paid during 2013

Name and Address	Description of Grant	Amount
E. John Gavras Center 182 North St. Auburn, NY 13021	sensory environment for	\$ 5,000.
Girl Scouts of NY Penn Pathways Inc. 300 Route 318 Phelps, NY 14532	annual support	2,000.
Merry-Go-Round Playhouse 17 William St. Auburn, NY 13021	Mary Poppins production	10,000.
Southern Cayuga Instant Aid, Inc. 2530 State Rt. 34B POB 7 Poplar Ridge, NY 13139	toward purchase used ambulance	10,000.
YMCA-WEIU 29 William St. Auburn, NY 13021	refurbish running track & new roof for attached house	6,600.
United Way of Cayuga County 17 E. Genesee St. Suite 2 Auburn, NY 13021	2013 annual campaign	23,000.
Westminster Presbyterian Church 17 William St. Auburn, NY 13021	restricted grant-refurbish narthex plus flooring and paint	5,000.
The Christmas Elf 65 State St. Auburn, NY 13021	toys	5,000.
Auburn Chamber Orchestra 17 Nelson St. Auburn, NY 13021	purchase timpani	2,500.
Fort Hill Cemetery Association 19 Fort St. Auburn, NY 13021	3 capital projects	3,200.
Powers Library Association 29 Church St. POB 71 Auburn, NY 13021	capital improvements & materials	1,700.
Salvation Army – Auburn Corps 18 E. Genesee St. Auburn, NY 13021	various programs including food pantry	2,000.
Westminster Manor 81 South St. Auburn, NY 13021	renovate 3 shower/bathrooms	5,000.
Faatz-Crofut Home for the Elderly 46 Grant Ave. Auburn, NY 13021	various capital projects	1,000.
First Presbyterian Church 112 South St. Auburn, NY 13021	replace two doors	4,200.

Grants and Contributions Paid during 2013

Name and Address	Description of Grant	Amount
Cayuga County Habitat for Humanity 169 Genesee St. Auburn, NY 13021	construct new home Arch St.	\$ 5,000.
Matthew House 43 Metcalf Dr. Auburn, NY 13021	upgrade & repair East Wing resident suite	5,000.
Mercy Works PO Box 25 Syracuse, NY 13205	offset 2013 budget deficit	1,000.
Saints Peter & John Episcopal Church 169 Genesee St. Auburn, NY 13021	capital improvement/ organ upgrade/renovation	7,000.
Auburn Doubledays 130 N. Division St. Auburn, NY 13021	capital improvements	5,000.
		<hr/>
	Total:	\$ 206,800.00





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 TWO WORLD TRADE CENTER, NEW YORK, N.Y. 10047

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2013

SECURITIES SCHEDULE

CALENDAR OR OTHER FISCAL YEAR

Shares or Principal	INVENTORY BEGINNING OF PERIOD		PURCHASES OR OTHER ACQUISITIONS			SALES OR OTHER DISPOSITIONS			Date Sold	Amount Gain or Loss	INVENTORY END OF PERIOD			Income Received
	Cost or Acquisition Value	Acquisition Value	Number Shares	Cost Share	Gross Cost	No. of Shares	Price Share	Gross Selling Price			No. Shares or Principal	Cost or Acquisition Value	Market Value	
850	23753.25					375	33.762	12651.45	8/19/13	2170.62		13273.87	52861-	3161.25
1000	28965.41											28965.41		
850	42066.33		1000		26020.17				RECEIVED AS A SPOUSE FROM ABBOTT			26020.17	52810-	1600-
150	8053.52					350	33.417	11853.85	8/30/13	3298.46		15544.28	24722.85	570.30
400	31547.88					10	105.15	1057.23	10/7/13	262.53		30759.18	43594.20	1108.-
350	23134.29					25	113.13	2827.55	4/16/13	1175.10		None	None	5375
150	11970.12					525	84.317	44252.52	6/28/13	6396.38				
50	4404.25													
350	57572.76					35	275.34	9635.84	4/25/13	3878.52		46058.20	111661.20	None
						35	379.51	11111.92	9/26/13	5354.62				
1200	44487.72													
390	22292.40					15	94.32	1414.38	10/7/13	556.99		21435-	49575-	342.90
150	8911.46											8911.46		
100	8028.80											8028.80		
80	10569.40					25	410.28	10256.14	4/25/13	6953.20		1321.17	89763.20	2348-
100	12846.75					5	489.62	2422.93	9/26/13	1762.34		12846.75		
50	12590.71					40	525.95	21036.64	10/29/13	15751.94		12590.71		

Foundation

11 # 16 - 6025 - 2346

STATE OF NEW YORK, OFFICE OF THE ATTORNEY GENERAL  
 CHARITIES, TRUSTS & ESTATES BUREAU  
 TWO WORLD TRADE CENTER, NEW YORK, N.Y. 10047

SECURITIES SCHEDULE

CALENDAR OR OTHER FISCAL YEAR

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 2013

INVENTORY BEGINNING OF PERIOD	PURCHASES OR OTHER ACQUISITIONS		SALES OR OTHER DISPOSITIONS		Date Sold	Amount Gain or Loss	INVENTORY END OF PERIOD			Income Received
	Shares or Principal	Number Shares	Cost Share	Gross Cost			No. Shares or Principal	Cost or Acquisition Value	Market Value	
1450							1450	45704.72	54114.00	1624.00
250					10/7/13	2058.13	225	41884.80	71205.25	1638.00
1000					10/7/13	292.70	975	43968.20	69780.75	894.38
700					3/21/13	6572.11	None	None	None	None
50					4/25/13	1469.67	None	None	None	None
100					6/28/13	3495.45	None	None	None	None
250					9/26/13	784.24	85	6017.16	79317.85	2520.00
300							250	19376.25		
1450							300	22156.50		
					10/7/13	663.93	1405	42817.37	73010.83	1083.88
					9/26/13	(468.07)	None	None	None	525.00
					10/7/13	(92.22)	None	None	None	
					10/17/13	(367.03)				
							340	35125.81	41657.4	325.50
							10	1049.95		
400							400	33394.60	50231.50	1094.50
150							150	12368.67		
600					10/7/13	278.51	575	27136.89	37357.75	1056.75

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 TWO WORLD TRADE CENTER, NEW YORK, N.Y. 10047

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2013

SECURITIES SCHEDULE

CALENDAR OR OTHER FISCAL YEAR

INVENTORY BEGINNING OF PERIOD	PURCHASES OR OTHER ACQUISITIONS			SALES OR OTHER DISPOSITIONS			Date Sold	Amount Gain or Loss	INVENTORY END OF PERIOD			Income Received
	Shares or Principal	Number Shares	Cost or Acquisition Value	Number Shares	Price Share	Gross Selling Price			No. Shares or Principal	Cost or Acquisition Value	Market Value	
2000			33509.80	200	26.30	5254.91	9/26/13	1903.93	960	16084.71	48036.50	570-
950			17742.48	315	24.45	7693.74	10/17/13	2415.95	950	17742.48		
				525	24.003	12588.13	10/29/13	3791.81				
				785	48.109	37789.35			785	37789.35	40906.35	785
				530	72.202	38282.76			530	38282.76	40603.30	159-
800			40674.40	800	58.92	47938.21	7/24/13	7263.81	None	None	None	943-
350			18947.25	350	59.92	20972.96	7/24/13	2025.96	None	None		
100			6753.25	165	88.25	14556.80	3/21/13	2406.27				
100			8302.74	50	88.66	4431.65	4/25/13	229.13				
100			8643.15						85	7347.19	54142.25	1438.65
450			30017.21						450	30017.21		
400			31875.96	250	302.87	7565.40	4/25/13	(2345.84)	None	None	None	7050-
400			2 For 1	550	276.19	15176.71	6/28/13	(6738.01)	None	None		
				115	62.77	7215.55	9/26/13	1285.26	1150	5662.88	82610-	NONE
				1650	23.168	38278.58			1650	38278.58	46249.50	313.50
105			49940.53	20	812.34	16245.92	3/20/13	6733.45	75	35677.81	84053.25	NONE
				5	875.75	4378.55	9/26/13	2000.43				
				5	1019.93	5089.38	10/29/13	2721.27				

11 #16 16-15-2013

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2013

SECURITIES SCHEDULE

CALENDAR OR OTHER FISCAL YEAR

INVENTORY BEGINNING OF PERIOD	PURCHASES OR OTHER ACQUISITIONS			SALES OR OTHER DISPOSITIONS			Date Sold	Amount Gain or Loss	INVENTORY END OF PERIOD			Income Received
	Shares or Principal	Number Shares	Cost Share	Gross Cost	No. of Shares	Price Share			Gross Selling Price	No. Shares or Principal	Cost or Acquisition Value	
	220	151.85	33412.74						220	33412.74	48746.50	288.75
	55	164.52	9049.69						55	9049.69		
	900	39028.50		75	74.97	5621.04	4/25/13	2368.66	575	24934.87	7081.75	1640.25
	200	9429.82		210	79.54	16697.69	8/30/13	7591.04	200	9429.82		
				40	83.79	3350.36	9/26/13	1615.76				
	400	30823.1		40	210.62	8423.60	3/21/13	5341.30	145	11173.34	27197.65	1161.75
				175	189.74	33199.20	9/26/13	19714.14				
				40	173.34	6932.61	10/17/13	3850.31				
	100	4710.84		40	52.06	2081.36	10/7/13	197.02	60	2826.50	61988.80	1496.1
	400	18231.1							400	18231.1		
	200	9691.50							200	9691.50		
	300	13531.44							300	13531.44		
	100	3721.50							100	3721.50		
	800	56767.20		770	59.75	45797.40			770	45797.40	48502.30	NONE
				75	101.34	7598.68	4/25/13	2276.75	470	33350.73	45604.10	1916.85
				255	95.71	24400.30	8/19/13	6305.76				
				330	106.37	35109.03			330	35109.03	62946.1	159.60
				60	118.19	7092.94			60	7092.98		

FOUNDER ATTORNEY

11/16/13

STATE OF NEW YORK, OFFICE OF THE ATTORNEY GENERAL  
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 TWO WORLD TRADE CENTER, NEW YORK, N.Y. 10047

SECURITIES SCHEDULE

CALENDAR OR OTHER FISCAL YEAR

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 2013

FOUNDATION

T.	INVENTORY BEGINNING OF PERIOD		PURCHASES OR OTHER ACQUISITIONS			SALES OR OTHER DISPOSITIONS			Date Sold	Amount Gain or Loss	INVENTORY END OF PERIOD			Income Received
	Shares or Principal	Cost or Acquisition Value	Number Shares	Cost Share	Gross Cost	No. of Shares	Price Share	Gross Selling Price			No. Shares or Principal	Cost or Acquisition Value	Market Value	
450	33139.44		565	70.79	40018.55	450	69.53	3107.19	6/28/13	2032.25	None	None	175.50	
465	26056.41					465		47195.75	7/24/13	2139.34	None	44484.50	NONE	
50	3183.75		50	101.52	5074.81	50	101.52	5074.81	7/24/13	1891.06	None	None	453.40	
2650	53172.35		150	304.9	4569.64	150	304.9	4569.64	4/25/13	1559.89	2300	46149.50	76575-	2580-
200	4252-		200	28.56	5705.98	200	28.56	5705.98	9/26/13	1692.98	200	4252-		
850	31840.33		80	91.16	7290.50	80	91.16	7290.50	3/21/13	4293.82	640	23973.88	55763.20	2633.10
800	50266-		130	87.49	11369.65	130	87.49	11369.65	6/28/13	6499.95				
800	50266-		20	80.24	1604.81	20	80.24	1604.81	7/24/13	347.50	780	49009.35	63499.80	1881.12
375			375	84.93	33733.84						375	33733.84	47550-	320-
125			125	90.72	11342.50						125	11342.50		
1150	58043.42					125	62.01	7747.27	4/25/13	1438.19	975	49210.78	72393.75	1346.25
200	31206.72		50	68.77	3437.19	50	68.77	3437.19	9/26/13	913.50				
600											800	31206.72	74506.50	NONE
550			550	423.06	23284.91						550	23284.91		
300	22065.54					300	85.60	25672.50	9/26/13	3607.41	None	None	438-	

(1) # 16 - 665-2346







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SECURITIES SCHEDULE

CALENDAR OR OTHER FISCAL YEAR

666133/1/14  
 11/14/16 2013

INVENTORY BEGINNING OF PERIOD	PURCHASES OR OTHER ACQUISITIONS			SALES OR OTHER DISPOSITIONS			Date Sold	Amount Gain or Loss	INVENTORY END OF PERIOD			Income Received
	Shares or Principal	Number Shares	Cost Share	Gross Cost	No. of Shares	Price Share			Gross Selling Price	No. Shares or Principal	Cost or Acquisition Value	
1375									1375	91264.39	183350.48	6569.22
	300		68.5	20557.50					300	20557.50		
	1165		64.54	75224.95					1165	75224.05		
4155.25					160080	19.89	32000.00	3105.56	2554325	46105.56	94014.93	2503.66
1587.302					4713.905	14.85	70000.00	20222.22	1587.302	25000.00		LONG TERM GAIN 8578.08
4637437									4637437	55000.00	127449.22	2042.71
	3366.63		27.03	91000.00					3366.63	91000.00	99786.12	125.91
1816.94					84.069	47.58	4000.00	923.07	None	None	None	None
					1732871	53.21	92015.45	28592.38	None	None	None	None
2424.83					990.09		10000.00	207.92	1434.73	14792.08	101828.37	4790.02
	1986.755		10.7	21000.00					1986.755	21000.00		LONG TERM GAIN 23180.50
	4642.52		10.77	50000.00					4642.52	50000.00		LONG TERM GAIN 2846.42
	2305.476		10.41	24000.00					2305.476	24000.00		
305535					84986	35.30	30000.00	635.68	None	None	None	None
					287037	37.47	11289.76	28664.07	None	None	None	None



STATE OF NEW YORK, OFFICE OF THE ATTORNEY GENERAL  
 CHARITIES, TRUSTS & ESTATES BUREAU  
 TWO WORLD TRADE CENTER, NEW YORK, N.Y. 10047

SECURITIES SCHEDULE

CALENDAR OR OTHER FISCAL YEAR

# 13 of 13

2013

INVENTORY BEGINNING OF PERIOD	PURCHASES OR OTHER ACQUISITIONS			SALES OR OTHER DISPOSITIONS			Date Sold	Amount Gain or Loss	No. Shares or Principal	INVENTORY END OF PERIOD		Income Received
	Shares or Principal	Cost or Acquisition Value	Number Shares	Cost Share	Gross Cost	No. of Shares				Price Share	Gross Selling Price	
8464.10	8464.10	VAR	PAR	206030 <sup>87</sup>	VAR	PAR	2091845 <sup>31</sup>	VAR	NONE	22649 <sup>66</sup>	22649.66	7.66
	NONE			NONE			19.60	7/21/13	19.60	NONE	NONE	NONE
	20160 <sup>06</sup>			NONE			2847.42		(13.97)	17298 <sup>62</sup>	19165 <sup>53</sup>	1146 <sup>14</sup>
	900706-			NONE			550000-		(11202.27)	339504-	383399 <sup>50</sup>	31687 <sup>50</sup>
	1207138 <sup>71</sup>			1420375			663091 <sup>35</sup>		(4457.87)	1959971 <sup>27</sup>	2157204 <sup>63</sup>	38782.47 LTCM-24602.43
	66124 <sup>29</sup>			NONE			42500-		(3257.80)	20366 <sup>48</sup>	20184-	2574 <sup>77</sup>
	1650840 <sup>14</sup>			548932 <sup>79</sup>			848984 <sup>19</sup>		200123 <sup>29</sup>	1550911 <sup>97</sup>	2355071 <sup>39</sup>	45011 <sup>03</sup>
	8464.10			2106030 <sup>87</sup>			2091845 <sup>31</sup>		NONE	22649 <sup>66</sup>	22649.66	7.66
	28670.55			14737 <sup>03</sup>			NET INCREASE FOR YEAR		NONE	43407 <sup>58</sup>	43407.58	3.32
	NONE			NONE			19.60		19.60	NONE	NONE	NONE
	3882103 <sup>85</sup>			4090075 <sup>94</sup>			17189287 <sup>72</sup>		181217 <sup>84</sup>	3954109 <sup>59</sup>	5001082 <sup>18</sup>	119212 <sup>87</sup> LTCM-24602.43