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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation CORNING INCORPORATED FOUNDATION		A Employer identification number 16-6051394
Number and street (or P O box number if mail is not delivered to street address) MP-BH-07	Room/suite	B Telephone number 607-974-8722
City or town, state or province, country, and ZIP or foreign postal code CORNING, NY 14831		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 9,628,092. (Part I, column (d) must be on cash basis.)	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	7,367,582.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	187,222.	187,222.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	486,469.			
	b Gross sales price for all assets on line 6a	7,515,000.			
	7 Capital gain net income (from Part IV, line 2)		486,469.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	8,041,273.				
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages	149,299.	0.		149,299.
	15 Pension plans, employee benefits	61,233.	0.		61,233.
	16a Legal fees				
	b Accounting fees STMT 2	15,657.	11,743.		3,914.
	c Other professional fees STMT 3	2,000.	0.		2,000.
	17 Interest				
	18 Taxes STMT 4	16,250.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	8,120.	0.		8,120.
	22 Printing and publications				
	23 Other expenses STMT 5	185,156.	934.		183,538.
	24 Total operating and administrative expenses. Add lines 13 through 23	437,715.	12,677.		408,104.
	25 Contributions, gifts, grants paid	7,474,806.			7,544,375.
26 Total expenses and disbursements. Add lines 24 and 25	7,912,521.	12,677.		7,952,479.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	128,752.				
b Net investment income (if negative, enter -0-)		661,014.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	169,275.	53,085.	53,085.
	2 Savings and temporary cash investments	8,507,517.	9,564,322.	9,564,322.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	12,750.	10,685.	10,685.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment: basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		8,689,542.	9,628,092.	9,628,092.
17 Accounts payable and accrued expenses		29,629.	10,730.	
18 Grants payable		2,668,110.	2,530,221.	
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	2,697,739.	2,540,951.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	5,991,803.	7,087,141.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	5,991,803.	7,087,141.	
	31 Total liabilities and net assets/fund balances	8,689,542.	9,628,092.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,991,803.
2 Enter amount from Part I, line 27a	2	128,752.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	966,586.
4 Add lines 1, 2, and 3	4	7,087,141.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,087,141.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BALANCE INDEX FUND INST	P	VARIOUS	VARIOUS
b BALANCE INDEX FUND INST	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,956,995.		3,756,130.	200,865.
b 3,558,005.		3,272,401.	285,604.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			200,865.
b			285,604.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	486,469.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	8,232,020.	7,799,088.	1.055511
2011	8,040,503.	6,635,321.	1.211773
2010	5,292,282.	5,736,484.	.922565
2009	4,983,387.	7,524,104.	.662323
2008	4,813,696.	9,374,058.	.513513

2 Total of line 1, column (d)	2	4.365685
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.873137
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	9,984,097.
5 Multiply line 4 by line 3	5	8,717,485.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,610.
7 Add lines 5 and 6	7	8,724,095.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	7,952,479.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	13,220.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	13,220.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	13,220.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	7,040.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	7,040.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	6,180.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	

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14 The books are in care of ► **KAREN C. MARTIN** Telephone no. ► **607-974-8722**
 Located at ► **MP-BH-07, CORNING, NY** ZIP+4 ► **14831-0001**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
 and enter the amount of tax-exempt interest received or accrued during the year ► **15** N/A

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►

16	Yes	No
		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2 NONE	
	0.
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	9,876,975.
b	Average of monthly cash balances	1b	259,164.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	10,136,139.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	10,136,139.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	152,042.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,984,097.
6	Minimum investment return. Enter 5% of line 5	6	499,205.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	499,205.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	13,220.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	13,220.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	485,985.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	485,985.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	485,985.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,952,479.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,952,479.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,952,479.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				485,985.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	4,351,025.			
b From 2009	4,611,258.			
c From 2010	5,010,886.			
d From 2011	7,716,663.			
e From 2012	7,856,118.			
f Total of lines 3a through e	29,545,950.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 7,952,479.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				485,985.
e Remaining amount distributed out of corpus	7,466,494.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	37,012,444.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	4,351,025.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	32,661,419.			
10 Analysis of line 9:				
a Excess from 2009	4,611,258.			
b Excess from 2010	5,010,886.			
c Excess from 2011	7,716,663.			
d Excess from 2012	7,856,118.			
e Excess from 2013	7,466,494.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities.

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

- ### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE APPLICATION PROCEDURES ATTACHED

- b The form in which applications should be submitted and information and materials they should include:**

SEE APPLICATION PROCEDURES ATTACHED

- c Any submission deadlines:**

SEE APPLICATION PROCEDURES ATTACHED

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE APPLICATION PROCEDURES ATTACHED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED STATEMENT				7,544,375.
Total			▶ 3a	7,544,375.
b Approved for future payment				
SEE ATTACHED STATEMENT				950,300.
Total			▶ 3b	950,300.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☒ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
CORNING INCORPORATED	TAX EXEMPT	SEE STATEMENT 9

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge			May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	Signature of officer or trustee <u>Regina C. Martin</u>		Date <u>4/29/14</u>	Title <u>PRESIDENT</u>	
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date
	REGINA KOSHY		<u>Regina Koshy</u>		<u>4/22/14</u>
	Firm's name <u>BONADIO & CO., LLP</u>				Firm's EIN <u>16-1131146</u>
Firm's address <u>171 SULLY'S TRAIL, SUITE 201</u> <u>PITTSFORD, NY 14534</u>					Phone no. <u>(585) 381-1000</u>

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

Employer identification number

CORNING INCORPORATED FOUNDATION

16-6051394

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization	Employer identification number
CORNING INCORPORATED FOUNDATION	16-6051394

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CASH CONTRIBUTION FROM CORNING INCORPORATED ONE RIVERFRONT PLAZA CORNING, NY 14831	\$ 7,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	CORNING INCORPORATED ONE RIVERFRONT PLAZA CORNING, NY 14831	\$ 367,582.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

16-6051394

[illegible]

Name of organization

Employer identification number

CORNING INCORPORATED FOUNDATION

16-6051394

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS AND INTEREST FROM SECURITIES	187,222.	0.	187,222.	187,222.	
TO PART I, LINE 4	187,222.	0.	187,222.	187,222.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	15,657.	11,743.		3,914.
TO FORM 990-PF, PG 1, LN 16B	15,657.	11,743.		3,914.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	2,000.	0.		2,000.
TO FORM 990-PF, PG 1, LN 16C	2,000.	0.		2,000.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	16,250.	0.		0.
TO FORM 990-PF, PG 1, LN 18	16,250.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SUPPLIES	4,940.	0.		4,940.
REDISTRIBUTION EXPENSES	154,686.	189.		154,308.
STATE FILING FEE	250.	250.		0.
MEMBERSHIPS/DUES	17,673.	0.		17,673.
MISCELLANEOUS	900.	0.		900.
INSURANCE	1,603.	0.		1,603.
DONATIONS	3,830.	0.		3,830.
MEALS	1,274.	495.		284.
TO FORM 990-PF, PG 1, LN 23	185,156.	934.		183,538.

FOOTNOTES

STATEMENT 6

NONE OF THE OFFICERS, DIRECTORS OR TRUSTEES LISTED IN PART VIII RECEIVE COMPENSATION OR EMPLOYEE BENEFITS DIRECTLY FROM THE FOUNDATION. HOWEVER, KAREN MARTIN RECEIVED COMPENSATION AND EMPLOYEE BENEFITS FROM CORNING INCORPORATED WHICH HAS BEEN TREATED AS A CHARITABLE CONTRIBUTION ON LINE 1, PART I. THE CORRESPONDING DEDUCTION IS REFLECTED IN PART I, LINE 14. NONE OF THE PERSONS LISTED RECEIVED AN EXPENSE ACCOUNT ALLOWANCE.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
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DESCRIPTION	AMOUNT
UNREALIZED GAIN ON INVESTMENTS	898,115.
DISCOUNT ON GRANTS PAYABLE	68,471.
TOTAL TO FORM 990-PF, PART III, LINE 3	966,586.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	8
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DR. JEFFREY W. EVENSON C/O CORNING INCORPORATED CORNING, NY 14831	TRUSTEE 0.09	0.	0.	0.
JAMES D. FLAWS C/O CORNING INCORPORATED CORNING, NY 14831	TRUSTEE 0.12	0.	0.	0.
KIRK P. GREGG C/O CORNING INCORPORATED CORNING, NY 14831	TRUSTEE 0.12	0.	0.	0.
VINCENT P. HATTON C/O CORNING INCORPORATED CORNING, NY 14831	TRUSTEE 0.06	0.	0.	0.
DENISE A. HAUSELT C/O CORNING INCORPORATED CORNING, NY 14831	SECRETARY (1/1/2013-4/1/2103) 0.03	0.	0.	0.
JAMES R. HOUGHTON C/O CORNING INCORPORATED CORNING, NY 14831	TRUSTEE 0.03	0.	0.	0.
LINDA E. JOLLY C/O CORNING INCORPORATED CORNING, NY 14831	SECRETARY (EFFECTIVE 4/1/2013) 0.12	0.	0.	0.
KAREN C. MARTIN C/O CORNING INCORPORATED CORNING, NY 14831	PRESIDENT 40.00	0.	0.	0.

CORNING INCORPORATED FOUNDATION

16-6051394

E.MARIE MCKEE C/O CORNING INCORPORATED CORNING, NY 14831	CHAIRMAN 0.09	0.	0.	0.
LAWRENCE D. MCRAE C/O CORNING INCORPORATED CORNING, NY 14831	TRUSTEE 0.12	0.	0.	0.
DR. DAVID L. MORSE C/O CORNING INCORPORATED CORNING, NY 14831	TRUSTEE 0.09	0.	0.	0.
CHRISTINE M. PAMBIANCHI C/O CORNING INCORPORATED CORNING, NY 14831	TRUSTEE 0.12	0.	0.	0.
MARK S. ROGUS C/O CORNING INCORPORATED CORNING, NY 14831	TREASURER 0.09	0.	0.	0.
LEWIS A. STEVERSON C/O CORNING INCORPORATED CORNING, NY 14831	TRUSTEE 0.03	0.	0.	0.
WENDELL P. WEEKS C/O CORNING INCORPORATED CORNING, NY 14831	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

990-PF	AFFILIATION WITH TAX-EXEMPT ORGANIZATIONS PART XVII, LINE 2, COLUMN (C)	STATEMENT	9
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NAME OF AFFILIATED OR RELATED ORGANIZATION

CORNING INCORPORATED

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

THE CORNING INCORPORATED FOUNDATION, THE CORNING MUSEUM OF GLASS, THE MARKET STREET RESTORATION CORP. AND THE ROCKWELL MUSEUM ALL RECEIVE THEIR PRIMARY SOURCE OF FUNDING, DONATIONS FROM CORNING INCORPORATED. FROM TIME TO TIME, OFFICERS AND TRUSTEES OF ONE ORGANIZATION MAY SERVE AS OFFICERS AND TRUSTEES OF ANOTHER NAMED ORGANIZATION.

IMPORTANT TAX DOCUMENT

Vanguard

Contact Info 1-800-950-0053

IAM TEAM

P O Box 2600

Valley Forge, PA 19482 - 2600

RECIPIENT'S identification number: 16-6051394

2013 Form 1099-B
Proceeds From Broker and Barter Exchange Transactions

CORNING INCORPORATED
FOUNDATION
ATTN MS KAREN C MARTIN
ASSOCIATE DIRECTOR
MP-BH-07
CORNING NY 14831-0001

Copy B For Recipient
OMB No 1545-0715

Fund Name	PAYER'S federal identification number	Stock or other symbol (Box 1d)	Recipient Account number	State identification no. (Box 13)	State identification no. (Box 14)
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Description (Box 8)	Quantity sold (Box 1e)	Date of sale or exchange (Box 1a)	Date of acquisition (Box 1b)	Stocks, bonds, etc (Sales price) (Box 2a)	Cost or other basis (Box 3)	Wash sale loss disallowed (Box 5)	Federal income tax withheld (Box 4)	State tax withheld (Box 15)
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Short-term transactions for which basis is reported to the IRS-- Report on Form 8949, Part I, with Box A checked

Type of gain or loss (Box 1c) Short-Term Basis reported to IRS (Box 6b)

BALANCE INDEX FUND INST		23-2691871	921931309	VBAIX	09830360869			
WIRE REDEMPTION	10,369 141	01/07/2013		250,000 00	242,755 47	0 00	0 00	0 00
WIRE REDEMPTION	4,453 441	02/08/2013	04/04/2012	110,000 00	104,261 01	0 00	0 00	0 00
WIRE REDEMPTION	87,824 351	03/08/2013	04/04/2012	2,200,000 00	2,082,817 14	0 00	0 00	0 00
WIRE REDEMPTION	27,301 015	06/05/2013		696,994 91	660,243 25	0 00	0 00	0 00
WIRE REDEMPTION	23,649 980	07/02/2013	09/19/2012	600,000 00	572,078 03	0 00	0 00	0 00
WIRE REDEMPTION	3,885 004	09/04/2013	09/19/2012	100,000 00	93,975 79	0 00	0 00	0 00

Long-term transactions for which basis is reported to the IRS-- Report on Form 8949, Part II, with Box D checked

Type of gain or loss (Box 1c) Long-Term Basis reported to IRS (Box 6b)

BALANCE INDEX FUND INST		23-2691871	921931309	VBAIX	09830360869			
WIRE REDEMPTION	5,108 055	04/11/2013	04/04/2012	130,000 00	121,174 01	0 00	0 00	0 00
WIRE REDEMPTION	9,663 703	05/08/2013	04/04/2012	250,000 00	233,705 40	0 00	0 00	0 00
WIRE REDEMPTION	15,785 550	06/05/2013	04/04/2012	403,005 09	381,755 14	0 00	0 00	0 00
WIRE REDEMPTION	49,561 571	10/04/2013	09/19/2012	1,300,000 00	1,199,359 13	0 00	0 00	0 00
WIRE REDEMPTION	10,011 123	11/04/2013	09/19/2012	270,000 00	245,888 87	0 00	0 00	0 00
WIRE REDEMPTION	44,399 410	12/04/2013		1,205,000 00	1,090,519 10	0 00	0 00	0 00

For securities sold that were acquired on a variety of dates, Box 1b may be blank

This is Important tax Information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. You are ultimately responsible for the accuracy of your tax return. Please Note: Each specific fund name, NOT simply Vanguard, must be reported along with the related tax information on Form 1040, Schedule D. This applies to Form 1099-B. CUSIP® is a trademark of American Bankers Association

(keep for your records)



**Corning Incorporated Foundation
Grants Paid During 2013**

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
American Enterprise Institute for Public Policy Research 1150 Seventeenth Street, NW Washington, DC 20036	General program support	10,000
American National Red Cross PO Box 37243 Washington, DC 20013-7243	Disaster relief - Oklahoma tornado	10,000
Americans for the Arts 1000 Vermont Avenue, NW, 6th Floor Washington, DC 20005	General program support	5,000
Arc Foundation of Monroe 2060 Brighton-Henrietta Townline Road Rochester, NY 14623	James V C and Anita Lambert Enrichment Campus	5,000
The Arc Otsego PO Box 490 Oneonta, NY 13820	Exhibition	1,700
Arts Council of Mercer County 913 Mackville Rd. Harrodsburg, KY 40330	General program support	2,500
The ARTS Council of the Southern Finger Lakes 32 West Market Street Corning, NY 14830	General program support and lease- hold improvements	125,000
Association for the Blind and Visually Impaired (ABVI) 422 South Clinton Ave Rochester, NY 14620	General program support	5,000
Big Brothers Big Sisters of Southwest Virginia PO Box 2102 Christiansburg, VA 24068	General program support	2,000
BoardSource 750 9th Street NW, Suite 650 Washington, DC 20001	General program support	5,000
Brigade Boys & Girls Club 2759 Vance Street Wilmington, NC 28412	Project Learn program	5,000
Burgin Independent School System PO Box B 140 Burgin-Danville Road Burgin, KY 40310	Computerization project	2,670
Canine Companions for Independence PO Box 680388 Orlando, FL 32868	General program support	3,000

**Corning Incorporated Foundation
Grants Paid During 2013**

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
Canton Free Library 8 Park Street Canton, NY 13617	General program support	2,500
Canton-Potsdam Hospital Foundation, Inc 29 Grove Street Potsdam, NY 13676-1605	Facility renovation	2,500
Catawba County United Way PO Box 2425 Hickory, NC 28603	General program support	28,600
Catawba Science Center 243 3rd Ave. NE Hickory, NC 28603	General program support	25,000
Catholic Charities Food Bank of the Southern Tier 388 Upper Oakwood Avenue Elmira, NY 14903	"Empty Bowls" hunger relief initiative	2,345
Catholic Charities Food Bank of the Southern Tier 388 Upper Oakwood Avenue Elmira, NY 14903	Hunger Education activities	25,000
Catholic Charities Food Bank of the Southern Tier 388 Upper Oakwood Avenue Elmira, NY 14903	Professional development	5,000
Catholic Charities of Steuben County 23 Liberty Street Bath, NY 14810	Steuben Bridges Out of Poverty Program	10,000
Catholic Charities of the Diocese of Rochester d/b/a Catholic Charities Chemung/Schuyler County 607 N Franklin St Watkins Glen, NY 14891	Schuyler Outreach Emergency Services	5,000
Center for Excellence in Education 8201 Greensboro Drive, Suite 215 McLean, VA 22102	Research Science Institute and Teacher Enrichment Program	5,000
Chemung County Historical Society, Inc 415 East Water Street Elmira, NY 14901	Computerization project	3,000
Chemung County Performing Arts, Inc PO Box 1046 207 Clemens Center Parkway Elmira, NY 14902-1046	General program support	43,500

**Corning Incorporated Foundation
Grants Paid During 2013**

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
Chemung County Performing Arts, Inc PO Box 1046 207 Clemens Center Parkway Elmira, NY 14902-1046	Strategic Planning Initiative	10,000
Cheshire Children's Museum 222 West Street, Box 5 Keene, NH 03431	General program support	5,000
Claxton-Hepburn Medical Center Foundation 503 Mansion Ave Ogdensburg, NY 13669	General program support	1,000
Columbus County Partnership for Children 109 W Main Street Whiteville, NC 28472	Kindergarten readiness initiative	5,000
Communities In Schools of Cape Fear PO Box 398 Wilmington, NC 28402	Academic achievement mentoring program	5,000
Community Bicycle Center PO Box 783 Biddeford, ME 04005	Youth development program	2,500
Community Boys & Girls Club of Wilmington 901 Nixon Street Wilmington, NC 28401	General program support	5,000
Community Career Development Council, Inc 459 Philo Road Elmira, NY 14903	General program support	28,000
Community Foundation of Elmira, Corning and the Finger Lakes 301 South Main Street Horseheads, NY 14845	Steuben County mini-grant program	10,000
Cornell University Office of Sponsored Programs 373 Pine Tree Road Ithaca, NY 14850	Multi-media project	30,000
Corning City School District 165 Charles Street Painted Post, NY 14870	2012-2013 Elementary/Secondary Programs	2,730,984
Corning City School District 165 Charles Street Painted Post, NY 14870	2013-2014 Elementary/Secondary Programs	1,827,816

Corning Incorporated Foundation
Grants Paid During 2013

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
Corning City School District 165 Charles Street Painted Post, NY 14870	Curriculum initiatives	25,320
Corning-Elmira Musical Arts, Inc 52 W Market Street Corning, NY 14830	General program support	60,000
Corning-Elmira Musical Arts, Inc 52 W Market Street Corning, NY 14830	General program support and business plan implementation	34,064
Corning-Painted Post Civic Music Association PO Box 1402 Corning, NY 14830	General program support	20,000
Corning-Painted Post Historical Society 73 West Pulteney Street Corning, NY 14830-2212	Facility improvements	5,385
Craft Emergency Relief Fund + Artists' Emergency Resources PO Box 838 Montpellier, VT 05601	Educational outreach videos	10,000
Cultural Data Project 400 Market Street, Suite 600 Philadelphia, PA 19106	General program support	5,000
Edward John Noble Hospital Auxiliary of Gouverneur New York 77 West Barney Street Gouverneur, NY 13642	General program support	1,000
Endless Mountain Music Festival 130 Main Street Wellsboro, PA 16901	General program support	10,000
Family Service Society 280 Princeton Ave Extension Corning, NY 14830	Corning Area Youth Center	10,000
Feeding America Southwest Virginia 1025 Electric Road Salem, VA 24153	General program support	5,000
First Night Oneonta PO Box 101 Oneonta, NY 13820	General program support	1,500

Corning Incorporated Foundation
Grants Paid During 2013

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
Florence Crittenton Services of Arizona 715 West Mariposa St. Phoenix, AZ 85013	Programs for at-risk youth	1,000
Food Bank of Central & Eastern North Carolina 1314 Marstellar Street Wilmington, NC 28401	General program support	10,000
Frederic Remington Art Museum 303 Washington Street Ogdensburg, NY 13669	Eva Caten Remington Education Center	3,000
Give2Asia PO Box 193223 San Francisco, CA 94119-3223	Professional development	3,500
Glass Art Society, Inc 6512 23rd Avenue, NW, Suite 329 Seattle, WA 98117	Technology upgrade	10,000
Glenn H. Curtiss Museum of Local History, Inc 8419 Route 54 Hammondsport, NY 14840	Collections Registrar	6,000
Glimmerglass Opera, Inc PO Box 191 Cooperstown, NY 13326	Young American Artists Program	10,000
Greater Wilmington Chamber Foundation One Estell Lee Place Wilmington, NC 28401	Leadership Wilmington community service program	10,000
Guthrie Corning Hospital 176 Denison Parkway East Corning, NY 14830	New facility	300,000
Harrodsburg Historical Society The Morgan Row Museum and Research Center 220 South Chiles Street PO Box 316 Harrodsburg, KY 40330	Old Mud Meetinghouse restoration project	5,000
Hartwick College One Hartwick Drive Oneonta, NY 13820	Department of Biology/Cell biology program	2,000
Heart of Illinois United Way 509 W High Street Peoria, IL 61606	Tornado Relief Fund	7,500

**Corning Incorporated Foundation
Grants Paid During 2013**

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
Heart of Kentucky United Way 118 North Third Street Danville, KY 40422	General program support	15,000
Hickory Community Theatre, Inc 30 Third Street, NW Hickory, NC 28601	Facility renovation	25,000
Hospice & Palliative Care Center a/k/a Hospice & Palliative Care Center 101 Hospice Lane Bldg 141 Winston-Salem, NC 27103-5766	General program support	10,000
Hospice of St. Lawrence Valley, Inc. 6805 US Hwy 11 Potsdam, NY 13676	Palliative care program	3,500
Intercollegiate Center for Classical Studies in Rome 9002 Chimney Rock, G-243 Houston, TX 77096	Library acquisitions	5,000
International Centre for Missing & Exploited Children 1700 Diagonal Road, Suite 625 Alexandria, VA 22314	General program support	5,000
James B Haggin Memorial Hospital, Inc. 464 Linden Avenue Harrodsburg, KY 40330	Software and equipment	5,000
Junior Achievement of Rochester, New York Area, Inc. Twin Tiers Region 1600 College Avenue Elmira, NY 14901	In-school financial literacy programs	8,000
Lenoir-Rhyne University PO Box 7467 Hickory, NC 28603	Renovation and expansion of science complex	25,000
Lincoln Center for the Performing Arts 70 Lincoln Center Plaza New York, NY 10023-6585	General program support	7,500
Literacy Volunteers of America - New River Valley 195 West Main Street Christiansburg, VA 24073	Library materials	1,200
Louise Wells Cameron Art Museum 3201 South 17th Street Wilmington, NC 28412	Educational program	5,000

**Corning Incorporated Foundation
Grants Paid During 2013**

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
Lower Cape Fear Hospice, Inc 1414 Physicians Drive Wilmington, NC 28401	Facility renovation and program expansion	5,000
Maine Regional School Unit 21 177 Alewife Road Kennebunk, ME 04043-6866	International Baccalaureate Program	5,000
Massachusetts Institute of Technology 77 Massachusetts Avenue, Building E19-750 Cambridge, MA 02139	IDEAS Global Challenge competition	20,000
Mercer County School District Mercer County Board of Education 371 East Lexington Street Harrodsburg, KY 40330	General program support	4,000
Metropolitan Museum of Art 1000 Fifth Avenue New York, NY 10028-0198	General program support	60,000
Monadnock United Way 23 Center Street Keene, NH 03431	General program support	5,600
Monroe Community College Foundation, Inc 228 E. Main Street Rochester, NY 14604	Optical Systems Technology Program	100,000
Montgomery Public Schools Blacksburg High School 3109 Price's Fork Road Blacksburg, VA 24060	New facility	4,000
Museum of Biblical Art 1868 Broadway at 61st Street New York, NY 10023	Exhibition	5,000
New Hanover County Schools 6410 Carolina Beach Rd. Wilmington, NC 28412	Science Olympiad program	5,000
New York Public Library Stephen A Schwarzman Building Fifth Avenue and 42nd Street, Room 73 New York, NY 10018	General program support	7,500
Norwood Village Green Concert Series, Inc 3 Bicknell Street Norwood, NY 13668	Concert series	1,000

**Corning Incorporated Foundation
Grants Paid During 2013**

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
Ogdensburg Boys & Girls Club, Inc PO Box 555 Ogdensburg, NY 13669	General program support	5,000
One Seventy One Cedar, Inc 171 Cedar Street Corning, NY 14830	2012-2013 General program support	50,000
One Seventy One Cedar, Inc. 171 Cedar Street Corning, NY 14830	2013-2014 General program support and facilities repairs/maintenance	65,000
Orchestra Association of Northern New York, Inc PO Box 488 Potsdam, NY 13676	General program support	2,500
Pilchuck Glass School 430 Yale Avenue North Seattle, WA 98109	Scholarship award	5,000
Potsdam College Foundation Community Performance Series Sara M Snell Music Theatre State University of New York at Potsdam Potsdam, NY 13676	Community Performance Series	2,500
Potsdam College Foundation 44 Pierrepont Avenue Potsdam, NY 13676	Mathematics and Science Education Center	10,000
Potsdam College Foundation 44 Pierrepont Avenue Potsdam, NY 13676	Rebecca V. Sheard Literacy Center mentor program	5,000
Ragged Edge Community Theatre PO Box 157 Harrodsburg, KY 40330	Facility improvement	2,500
Railroad & Local Young Men's Christian Association of Oneonta 20-26 Ford Avenue Oneonta, NY 13820	Gymnastics equipment	1,000
Regional Science & Discovery Center, Inc 114 Pine Street, Suite 201 Corning, NY 14830	2012-2013 General program support	25,000
Regional Science & Discovery Center, Inc 114 Pine Street, Suite 201 Corning, NY 14830	2013-2014 General program support	70,000

**Corning Incorporated Foundation
Grants Paid During 2013**

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
River Tree Center for the Arts 35 Western Ave. Kennebunk, ME 04043	STEM education	2,750
Roanoke Symphony Orchestra New River Valley Friends of the Roanoke Symphony Orchestra PO Box 242 Blacksburg, VA 24063-0242	In-school and public concert series	2,000
Rochester Museum & Science Center 657 East Avenue Rochester, NY 14607-2177	General program support	6,000
Rochester Philharmonic Orchestra, Inc 108 East Avenue Rochester, NY 14604	RPO Pops Series concert	5,000
Rochester Philharmonic Orchestra, Inc. 108 East Avenue Rochester, NY 14604	2013-2014 RPO Ithaca Series	6,000
Roswell Park Alliance Foundation Elm and Carlton Streets Buffalo, NY 14263	Clinical Sciences Center	50,000
Sanford School Department 917 Main Street, Suite 200 Sanford, ME 04073	STEM program	6,594
Smart Start of New Hanover County 3001B Wrightsville Ave. Wilmington, NC 28403	Building Bridges to School Success project	5,000
Southern Maine Medical Center Auxiliary PO Box 626 1 Medical Center Drive Biddeford, ME 04005-0626	Professional development	5,700
St. Lawrence County Historical Association PO Box 8 Canton, NY 13617	History journal	1,000
St. Lawrence University North Country Public Radio Canton, NY 13617	Broadcast underwriting	6,000
St. Lawrence-North Country Community Foundation St. Lawrence Country Chamber of Commerce 101 Main Street Canton, NY 13617	Revitalization project	1,000

Corning Incorporated Foundation
Grants Paid During 2013

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
St Mary's Food Bank Alliance 2831 N 31st Ave. Phoenix, AZ 85009	General program support	4,500
State University of New York College at Oneonta Foundation Netzer Administration Building Oneonta, NY 13820-4015	STEM project	3,500
Three Rivers Development Foundation, Inc 114 Pine Street, Suite 201 Corning, NY 14830	Frederick Carder Memorial Window installation	7,500
Traditional Arts in Upstate New York 53 Main Street Canton, NY 13617	General program support	2,500
United Way of Central Carolinas 363 Church Street, North, Suite 130 Concord, NC 28025	General program support	10,000
United Way of Delaware & Otsego Counties, Inc 31 Maple Street PO Box 631 Oneonta, NY 13820-0631	General program support	5,600
United Way of Forsyth County 301 North Main Street, Suite 1700 Winston-Salem, NC 27101	General program support	7,100
United Way of Greater Rochester 75 College Avenue Rochester, NY 14607-1009	General program support	5,000
United Way of Montgomery, Radford & Floyd, Inc PO Box 6202 Christiansburg, VA 24068	General program support	12,500
United Way of Northern New York, Inc 200 Washington St Watertown, NY 13601	General program support	5,000
United Way of Tarrant County 1500 N. Main St , Suite 200 PO Box 4448 Bedford, TX 76164	General program support	6,000
United Way of the Cape Fear Area 5919 Oleander Drive, Suite 115 Wilmington, NC 28403	General program support	55,000

**Corning Incorporated Foundation
Grants Paid During 2013**

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
United Way of the Southern Tier, Inc 300 Civic Center Plaza, Suite 220 Corning, NY 14830	General program support	442,000
United Way of York County 36 Water Street PO Box 727 Kennebunk, ME 04043-0727	General program support	7,100
University of Rochester Medical Center 601 Elmwood Avenue Box 665 Rochester, NY 14642	Orthopaedic Educational Fund	12,000
University System of New Hampshire 229 Main Street Keene, NH 03435	Regional Center for Advanced Manufacturing	10,000
Valley of the Sun United Way 1515 E Osborn Road Phoenix, AZ 85014	General program support	5,000
Virginia Foundation for Independent Colleges 8010 Ridge Road, Suite B Richmond, VA 23229	General program support	1,000
Warm Hearth Foundation Incorporated 2607 Warm Hearth Drive, Suite 100 Blacksburg, VA 24060	Neighbors in Need Program	2,000
Western Virginia Foundation for the Arts and Sciences One Market Square, Fifth Floor Roanoke, VA 24011	Arts and cultural education program	2,700
Wheaton Arts and Cultural Center 1501 Glasstown Rd. Millville, NJ 08332	Facility improvement	10,000
Wilderness Trace Family YMCA PO Box 326 130 North College St Harrodsburg, KY 40330	Healthy Kids Day events	5,000
Wilmington Concert Association PO Box 1084 Wilmington, NC 28402	Concert series	5,000
Women's Resource Center 125 3rd Street NE PO Box 1608 Hickory, NC 28603	Education-to-work skill training initiative	5,000

**Corning Incorporated Foundation
Grants Paid During 2013**

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
World Vision Inc PO Box 9716 Federal Way, WA 98063-9716	Philippines Disaster Response Fund	30,000
WSKG Public Telecommunications Council PO Box 3000 601 Gates Road Binghamton, NY 13902	Broadcast underwriting	27,000
Matching Gift Program grants		
Accredited College or University		219,970
Private Elementary or Secondary School		170,544
Health and Wellness		19,668
Historical Society		13,320
Hospital, Nursing Home, Hospice		48,421
Library		18,415
Museum		32,163
Nature Center, Botanical or Zoological Garden		10,094
Performing or Visual Arts Organization		66,507
Public Radio or Television Station		17,178
Public School District		7,367
<hr/> Total grants paid during 2013 <hr/>		7,544,375

Corning Incorporated Foundation
Grants Approved in 2013 with Future Payments

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
Carefirst NY, Inc. 11751 East Corning Road Corning, NY 14830	Relocation and renovation project	\$100,000
Community Career Development Council, Inc. 459 Philo Road Elmira, NY 14903	General program support	14,000
Community Foundation of the New River Valley, Inc. PO Box 6009 Christiansburg, VA 24068-6009	General program support	5,500
Corning-Elmira Musical Arts, Inc. 52 W. Market Street Corning, NY 14830	General program support	60,000
Endless Mountain Music Festival 130 Main Street Wellsboro, PA 16901	General program support	15,000
Literacy Volunteers of America - New River Valley 195 West Main Street Christiansburg, VA 24073	Educational program	2,000
Montgomery County Education Foundation PO Box 966 Christiansburg, VA 24068	General program support	6,000
Museum of Science 1 Science Park Boston, MA 02114	Hall of Human Life	30,000
One Seventy One Cedar, Inc. 171 Cedar Street Corning, NY 14830	General program support and facilities repairs/maintenance	50,000
One Seventy One Cedar, Inc. 171 Cedar Street Corning, NY 14830	Professional development	8,000
Pierpont Morgan Library 225 Madison Ave. New York, NY 10016-3405	General program support	7,500

Corning Incorporated Foundation
Grants Approved in 2013 with Future Payments

<u>Organization</u>	<u>Description</u>	<u>Amount</u>
Regional Science & Discovery Center, Inc. 114 Pine Street, Suite 201 Corning, NY 14830	General program support	\$30,000
Roanoke Symphony Orchestra New River Valley Friends of the Roanoke Symphony Orchestra PO Box 242 Blacksburg, VA 24063-0242	In-school and public concert series	2,500
United Way of the Southern Tier, Inc. 300 Civic Center Plaza, Suite 220 Corning, NY 14830	General program support	457,000
Virginia Foundation for Independent Colleges 8010 Ridge Road, Suite B Richmond, VA 23229	General program support	1,000
Warm Hearth Foundation Incorporated 2607 Warm Hearth Drive, Suite 100 Blacksburg, VA 24060	Neighbors in Need Program	3,800
Wilderness Trace Family YMCA PO Box 326 130 North College St. Harrodsburg, KY 40330	Renovation project	150,000
WSKG Public Telecommunications Council PO Box 3000 601 Gates Road Binghamton, NY 13902	Professional development	8,000
<u>Total future payments</u>		<u>\$950,300</u>

Corning Incorporated Foundation

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Information for Grant Seekers

Make a Request to Corning Incorporated Foundation

All requests to the Foundation for support must be made in writing. Grant seekers are advised to submit a two-to three-page Letter of Inquiry, signed by the senior administrative officer of the organization.

If invited to submit a full proposal, the following items must be included:
A project description with the following:

- Objectives and specific activities to accomplish these goals
- Timetable for the project
- Criteria for evaluating the program
- An explanation of how the request meets Corning Incorporated Foundation program interests
- Demonstration of how the project or program promotes cooperation among existing organizations in the field
- The amount of money sought from the Foundation and dates when funds will be needed
- An itemized project budget showing sources of committed and proposed income and expenses

A description of the sponsoring organization and a list of officers and directors

The organization's budget with sources of income and expenses

The long-range plan for generating other funding and attaining increased self-sufficiency

A copy of the organization's latest audited financial statement

A copy of the organization's Internal Revenue Service determination letter indicating tax-exempt status under Sections 501(c)(3) and 509(a) of the Internal Revenue Code

All inquiries should be mailed to:
Ms. Karen C. Martin, president
Corning Incorporated Foundation
MP-BH-07
Corning, NY 14831
Or martinkc@corning.com

Deadlines

Letters of Inquiry may be submitted at any time and are reviewed continuously.

Grants are not available to/for:

- Individuals
- Political parties, campaigns or causes
- Labor or veterans' organizations
- Religious or fraternal groups
- Volunteer emergency squads
- Athletic activities
- Courtesy advertising
- Fund-raising events

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