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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury  
Internal Revenue ServiceDo not enter Social Security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013 or tax year beginning

, and ending

Name of foundation <b>THE BAIRD FOUNDATION</b>		A Employer identification number <b>16-6023080</b>
Number and street (or P O box number if mail is not delivered to street address) <b>11 SUMMER STREET, 4TH FLOOR</b>	Room/suite	B Telephone number <b>(716) 883-2429</b>
City or town, state or province, country, and ZIP or foreign postal code <b>BUFFALO, NY 14209</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>11,104,056.</b>	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) <b>MODIFIED CASH</b> (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		232.	232.		STATEMENT 1
4 Dividends and interest from securities		204,057.	204,057.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		645,022.			
b Gross sales price for all assets on line 6a <b>2,069,592.</b>					
7 Capital gain net income (from Part IV, line 2)			645,022.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		114,934.	114,934.		STATEMENT 3
12- Total. Add lines 1 through 11		964,245.	964,245.		
13 Compensation of officers, directors, trustees, etc		75,000.	15,000.		60,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits		21,942.	4,388.		17,554.
16a Legal fees					
b Accounting fees STMT 4		19,743.	9,871.		9,872.
c Other professional fees STMT 5		66,082.	48,527.		17,555.
17- Interest					
18 Taxes STMT 6		-16,966.	-966.		0.
19 Depreciation and depletion		867.	867.		
20 Occupancy		27,051.	5,410.		21,641.
21 Travel, conferences, and meetings		6,961.	0.		6,961.
22 Printing and publications					
23 Other expenses STMT 7		36,876.	10,996.		25,880.
24 Total operating and administrative expenses. Add lines 13 through 23		237,556.	94,093.		159,463.
25 Contributions, gifts, grants paid		519,458.			519,458.
26 Total expenses and disbursements. Add lines 24 and 25		757,014.	94,093.		678,921.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		207,231.			
b Net investment income (if negative, enter -0-)			870,152.		
c Adjusted net income (if negative, enter -0-)				N/A	

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	8,391.	26,546.	26,546.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ 56,000.			
	Less: allowance for doubtful accounts ▶	85,000.	56,000.	56,000.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 10	5,669,789.	6,979,293.	6,979,293.
	c Investments - corporate bonds STMT 11	2,052,178.	1,657,639.	1,657,639.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 12	2,203,336.	2,365,306.	2,365,306.	
14 Land, buildings, and equipment basis ▶ 54,728.				
Less: accumulated depreciation ▶ 38,280.	17,315.	16,448.	16,448.	
15 Other assets (describe ▶ OTHER RECEIVABLES )	1,898.	2,824.	2,824.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	10,037,907.	11,104,056.	11,104,056.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	10,037,907.	11,104,056.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	10,037,907.	11,104,056.	
31 Total liabilities and net assets/fund balances	10,037,907.	11,104,056.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,037,907.
2 Enter amount from Part I, line 27a	2	207,231.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	920,655.
4 Add lines 1, 2, and 3	4	11,165,793.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	61,737.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,104,056.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a VARIOUS SECURITIES</b>	P	VARIOUS	VARIOUS
<b>b FROM PCM PARTNERS LP K-1</b>	P	VARIOUS	VARIOUS
<b>c FROM PCM PARTNERS LP K-1</b>	P	VARIOUS	VARIOUS
<b>d CAPITAL GAIN DISTRIBUTION</b>	P	VARIOUS	VARIOUS
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 1,854,948.</b>		<b>1,418,590.</b>	<b>436,358.</b>
<b>b</b>		<b>5,980.</b>	<b>-5,980.</b>
<b>c 56,215.</b>			<b>56,215.</b>
<b>d 158,429.</b>			<b>158,429.</b>
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			<b>436,358.</b>
<b>b</b>			<b>-5,980.</b>
<b>c</b>			<b>56,215.</b>
<b>d</b>			<b>158,429.</b>
<b>e</b>			

<b>2 Capital gain net income or (net capital loss)</b>	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	<b>645,022.</b>
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b>	{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	670,495.	9,828,077.	.068222
2011	678,453.	10,427,488.	.065064
2010	731,323.	9,587,857.	.076276
2009	718,238.	8,294,567.	.086591
2008	765,323.	10,294,664.	.074342

<b>2 Total of line 1, column (d)</b>	<b>2</b>	<b>.370495</b>
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>	<b>3</b>	<b>.074099</b>
<b>4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5</b>	<b>4</b>	<b>10,331,533.</b>
<b>5 Multiply line 4 by line 3</b>	<b>5</b>	<b>765,556.</b>
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>	<b>6</b>	<b>8,702.</b>
<b>7 Add lines 5 and 6</b>	<b>7</b>	<b>774,258.</b>
<b>8 Enter qualifying distributions from Part XII, line 4</b>	<b>8</b>	<b>678,921.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1 17,403.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 17,403.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 17,403.
6 Credits/Payments:		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 5,120.	
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c 12,500.	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7 17,620.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 217.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> 217. Refunded <input type="checkbox"/>	11 0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>MS. CATHERINE SCHWEITZER</u> Telephone no. ► <u>716-883-2429</u> Located at ► <u>11 SUMMER STREET, 4TH FLOOR, BUFFALO, NY</u> ZIP+4 ► <u>14209</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT J.A. IRWIN	TRUSTEE			
11 SUMMER STREET, 4TH FLOOR				
BUFFALO, NY 14209	2.00	0.	0.	0.
ARTHUR W. CRYER	TRUSTEE			
11 SUMMER STREET, 4TH FLOOR				
BUFFALO, NY 14209	2.00	0.	0.	0.
WILLIAM B. IRWIN	TRUSTEE			
11 SUMMER STREET, 4TH FLOOR				
BUFFALO, NY 14209	2.00	0.	0.	0.
CATHERINE F. SCHWEITZER	EXECUTIVE DIRECTOR			
11 SUMMER STREET, 4TH FLOOR				
BUFFALO, NY 14209	40.00	75,000.	12,934.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	0.
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	10,105,417.
b	Average of monthly cash balances	1b	234,191.
c	Fair market value of all other assets	1c	149,258.
d	Total (add lines 1a, b, and c)	1d	10,488,866.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	10,488,866.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	157,333.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,331,533.
6	Minimum investment return. Enter 5% of line 5	6	516,577.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	516,577.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	17,403.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	17,403.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	499,174.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	499,174.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	499,174.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	678,921.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	678,921.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	678,921.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				499,174.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008	257,501.			
<b>b</b> From 2009	308,754.			
<b>c</b> From 2010	267,401.			
<b>d</b> From 2011	201,506.			
<b>e</b> From 2012	184,208.			
<b>f</b> Total of lines 3a through e	1,219,370.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 678,921.				
<b>a</b> Applied to 2012, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2013 distributable amount				499,174.
<b>e</b> Remaining amount distributed out of corpus	179,747.			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,399,117.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7	257,501.			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,141,616.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009	308,754.			
<b>b</b> Excess from 2010	267,401.			
<b>c</b> Excess from 2011	201,506.			
<b>d</b> Excess from 2012	184,208.			
<b>e</b> Excess from 2013	179,747.			

<b>Part XIV</b>	<b>Private Operating Foundations</b> (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE ATTACHED STATEMENTS				519,458.
<b>Total</b>			<b>3a</b>	519,458.
<b>b Approved for future payment</b>				
SEE ATTACHED STATEMENTS				924,962.
<b>Total</b>			<b>3b</b>	924,962.




**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |          |  | Yes | No |
|----------|--|-----|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |     |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |     |    |
|          | (1) Cash   |     | X  |
|          | (2) Other assets   |     | X  |
| <b>b</b> | Other transactions:  |     |    |
|          | (1) Sales of assets to a noncharitable exempt organization   |     | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization   |     | X  |
|          | (3) Rental of facilities, equipment, or other assets   |     | X  |
|          | (4) Reimbursement arrangements   |     | X  |
|          | (5) Loans or loan guarantees   |     | X  |
|          | (6) Performance of services or membership or fundraising solicitations   |     | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   |     | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

<b>Sign Here</b>	<p>Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.</p> <p>  <span style="margin-left: 100px;">9/4/14</span> <span style="margin-left: 100px;">Trustee</span> </p> <p> <span style="display: inline-block; width: 40%;">Signature of officer or trustee</span> <span style="display: inline-block; width: 20%;">Date</span> <span style="display: inline-block; width: 40%;">Title</span> </p>	<p>May the IRS discuss this return with the preparer shown below (see instr.)?</p> <p> <input checked="checked" type="checkbox"/> Yes           <input type="checkbox"/> No         </p>
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<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>THOMAS DALTON</b>	Preparer's signature <i>Thomas M. Dalton</i>	Date <b>8/29/14</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P00645802</b>
	Firm's name ▶ <b>FREED MAXICK CPAS, P.C.</b>			Firm's EIN ▶ <b>45-4051133</b>	
	Firm's address ▶ <b>424 MAIN STREET, SUITE 800 BUFFALO, NY 14202-3508</b>			Phone no. <b>716-847-2651</b>	

**THE BAIRD FOUNDATION**

**GRANTS PAID TO BENEFIT NONPROFIT ORGANIZATIONS  
For the Year Ended December 31, 2013**

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**Arts, Culture, Libraries, Humanities, Museums, Preservation:**

Albright-Knox Art Gallery	\$ 5,000
The Antarctic Heritage Trust	1,000
Bar Room Buzzards	6,500
The Buffalo Fine Arts Academy	1,000
Buffalo History Museum	3,700
Buffalo History Museum	500
Buffalo History Museum	150
Buffalo History Museum	600
Buffalo History Museum	1,500
Buffalo History Museum	100
Buffalo History Museum	3,000
Buffalo History Museum	1,000
Buffalo Museum of Science	2,500
Buffalo Museum of Science	2,500
Buffalo Philharmonic Chorus Society, Inc.	1,000
Buffalo Philharmonic Chorus Society, Inc.	1,500
Buffalo Philharmonic Chorus Society, Inc.	300
Buffalo Philharmonic Chorus Society, Inc.	300
Buffalo Philharmonic Chorus Society, Inc.	3,000
Buffalo Philharmonic Chorus Society, Inc.	200
Buffalo Philharmonic Orchestra Society, Inc.	50,000
Buffalo Philharmonic Orchestra Society, Inc.	5,000
Buffalo Philharmonic Orchestra Society, Inc.	3,000
Buffalo Philharmonic Orchestra Society, Inc.	5,000
Buffalo Philharmonic Orchestra Society, Inc.	5,000
Burchfield-Penney Art Center	1,000
Burchfield-Penney Art Center	1,000
Carousel Society of the Niagara Frontier, Inc.	135
The Garret Club – Historic Preservation Foundation	1,000
Glenridge Performing Arts Center	500
Glimmerglass Opera, Inc.	1,000
Graycliff Conservancy, Inc.	500
Graycliff Conservancy, Inc.	250
Graycliff Conservancy, Inc.	20,000
Hyde Hall	1,000
Hyde Hall	1,000
International Skiing History Association, Inc.	500
Just Buffalo Literary Center Inc.	5,000
Kleinhans Music Hall Management Inc.	500
Locust Street Neighborhood Art Classes, Inc.	3,000
Martin House Restoration Corp.	1,000

THE BAIRD FOUNDATION

GRANTS PAID TO BENEFIT NONPROFIT ORGANIZATIONS  
For the Year Ended December 31, 2013 (continued)

Arts, Culture, Libraries, Humanities, Museums, Preservation: (continued)

Martin House Restoration Corp.	10,000	
Metropolitan Museum of Art	275	
Metropolitan Opera Association, Inc.	1,000	
Metropolitan Opera Association, Inc.	4,200	
Michigan Street Preservation Corporation	1,400	
A Musical Feast	3,000	
New England Ski Museum	1,000	
New England Ski Museum	1,000	
New England Ski Museum (Karen K and R James Irwin Fund)	487	
New England Ski Museum (Karen K and R James Irwin Fund)	513	
New York State Historical Association	1,000	
Old Fort Niagara Association Incorporated	1,000	
Pathways to History Initiative WNY	1,000	
Pathways to History Initiative WNY	933	
Pathways to History Initiative WNY	1,000	
Pierce Hall Community Center, Inc.	7,000	
Pierce Hall Community Center, Inc.	180	
Pierce Hall Community Center, Inc.	3,223	
Pierce Hall Community Center, Inc.	10,000	
Preservation Buffalo Niagara	500	
Preservation Buffalo Niagara	10,000	
Preservation Buffalo Niagara	2,500	
Preservation Buffalo Niagara	10,000	
Preservation League of New York State	33	
Road Less Traveled Productions	2,000	
Rochester Public Library	500	
Roycroft Campus Corporation	10,000	
The Saturn Club Foundation	500	
Shakespeare in Delaware Park Inc.	2,500	
Shakespeare in Delaware Park Inc.	2,500	
The Shaw Festival Foundation	2,500	
Society of Architectural Historians	5,000	
Springville Center for the Arts	5,000	
Theodore Roosevelt Inaugural Site Foundation	100	
Theodore Roosevelt Inaugural Site Foundation	500	
Theodore Roosevelt Inaugural Site Foundation	7,500	
Trinity Episcopal Church	50	
U S. National Ski and Snowboard Hall of Fame	3,000	
War of 1812 Legacy Council	5,000	
Western New York Heritage Press, Inc.	5,000	
Western New York Heritage Press, Inc.	1,000	
White River Valley Players Inc.	500	
		\$ 265,129



**THE BAIRD FOUNDATION**

**GRANTS PAID TO BENEFIT NONPROFIT ORGANIZATIONS**  
**For the Year Ended December 31, 2013 (continued)**

**Community (General Quality of Life, Public Gardens, Monuments, Community Grants):**

Buffalo Green Fund Inc.	\$	500	
Buffalo Niagara Partnership Foundation		529	
Community Foundation of Sarasota County Inc.		15,000	
The National Federation for Just Communities of WNY		3,500	
The National Federation for Just Communities of WNY		1,000	
Buffalo Olmsted Parks Conservancy		700	
United Way of Buffalo & Erie County		1,000	
United Way of Buffalo & Erie County		3,000	
Vermont Public Radio		250	
Western New York Grantmakers Association Inc.		1,500	
Western New York Grantmakers Association Inc.		<u>50</u>	
	\$		27,029

**Health, Hospitals, Medical Care, Guest Houses (Research, Education, Capital & Special Projects):**

ECMC Lifeline Foundation	\$	3,000	
ECMC Lifeline Foundation		300	
Hauptman Woodward Medical Research Institute, Inc.		1,500	
Kaleida Health Foundation Inc.		20,000	
Planned Parenthood of Northern New England		1,000	
Roswell Park Alliance Foundation		1,500	
Roswell Park Alliance Foundation		1,000	
Roswell Park Alliance Foundation		1,000	
Roswell Park Alliance Foundation		<u>20,000</u>	
	\$		49,300

**Schools, Student Activities (Special Projects, Capital Projects & Libraries):**

Babson College	\$	500	
Berkshire School (Karen K and R James Irwin Fund)		1,000	
Buffalo State College Foundation, Inc.		3,000	
Canisius High School		1,350	
Colgate University		1,000	
Community Foundation for Greater Buffalo – Say Yes		5,000	
Harvest House		2,500	
Kent School		250	
Nardin Academy		500	
Nardin Academy		500	
Nardin Academy		1,000	
Niagara University		1,000	
Nichols School of Buffalo		2,000	

**THE BAIRD FOUNDATION**

**GRANTS PAID TO BENEFIT NONPROFIT ORGANIZATIONS**  
**For the Year Ended December 31, 2013 (continued)**

**Schools, Student Activities (Special Projects, Capital Projects & Libraries): (continued)**

Oracle Charter School	300	
PSIA Eastern Educational Foundation	5,000	
PSIA Eastern Educational Foundation	5,000	
Ridley College Scholarship Fund, Inc.	1,000	
Ridley College Scholarship Fund, Inc.	1,000	
Rochester Institute of Technology	5,000	
St. Joseph's Collegiate Institute	<u>1,000</u>	
		\$ 37,900

**Science, Environmental Protection & Animal Welfare, Environmental Education:**

New York State Chapter of the American Chestnut Foundation Inc.	\$ 500	
City of Buffalo Animal Shelter	1,000	
Friends of North Branch Nature Center Inc.	1,000	
Great Old Broads for Wilderness	750	
Hope for Cats, Inc.	400	
Central Vermont Humane Society	500	
Lake Champlain Committee Inc.	1,000	
Natural Resources Defense Council Inc.	5,000	
North American Bear Center	5,000	
Northeast Wilderness Trust	1,000	
Save Our Strays Association	500	
Society for the Prevention of Cruelty to Animals	500	
Society for the Prevention of Cruelty to Animals	3,000	
Vermont Land Trust	1,000	
Vermont Natural Resources Council	2,000	
Vermont Natural Resources Council	1,000	
The Vermont River Conservancy	1,000	
White River Partnership	250	
Wildlife Research Institute	5,000	
Zoological Society of Buffalo, Inc.	500	
Zoological Society of Buffalo, Inc.	500	
Zoological Society of Buffalo, Inc.	<u>20,000</u>	
		\$ 51,400

**Social (Recreation, Disabled, Elderly, Shelters, Housing, Food Service, Jobs):**

All Hands Volunteers	\$ 1,000	
American Snowsports Education Association- Education Foundation	5,000	
Bristol Home, Inc.	2,500	
Buffalo City Mission	1,000	
Community Services for the Developmentally Disabled Inc.	3,000	
Double "H" Ranch Inc.	2,000	
Double "H" Ranch Inc.	<u>2,000</u>	

**THE BAIRD FOUNDATION**

**GRANTS PAID TO BENEFIT NONPROFIT ORGANIZATIONS  
For the Year Ended December 31, 2013 (continued)**

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**Social (Recreation, Disabled, Elderly, Shelters, Housing, Food Service, Jobs): (continued)**

Families of FANA – WNY Inc.	1,500	
Food Bank of Vermont	2,000	
Food Bank of Western New York, Inc.	2,000	
Friends of Night People, Inc.	3,500	
Friends of Night People, Inc.	1,000	
Gliding Stars	5,000	
Jericho Road Ministries	2,500	
Park House Community Care	500	
People United for Sustainable Housing	10,000	
Tidewell Hospice	<u>500</u>	
		\$ 45,000

**Youth Clubs & Programs:**

Algonquin Campership Fund (Karen K and R James Irwin Fund)	\$ 500	
Baker Victory Services	500	
Boys and Girls Clubs of Buffalo, Inc.	25,000	
Boys and Girls Clubs of Buffalo, Inc.	2,500	
Boys and Girls Clubs of Buffalo, Inc.	10,000	
West Side Rowing Club	200	
West Side Rowing Club	1,000	
YWCA of Western New York	3,000	
YWCA of Western New York	<u>1,000</u>	
		\$ 43,700
		<u>\$ 519,458</u>

THE BAIRD FOUNDATION

COMMITMENTS

As of December 31, 2013

	<b>Commitments Payable in Future Years</b>
A Musical Feast	\$ 3,000
All Hands Volunteers	1,000
American Snowsports Education Association – Education Foundation	5,000
American Snowsports Education Association – Education Foundation	5,000
The Antarctic Heritage Trust	1,000
Ashford Hollow Foundation for the Visual Performing Arts	2,000
Babson College	500
Bar Room Buzzards	6,500
Boys and Girls Clubs of Buffalo Inc.	10,000
Boys and Girls Clubs of Buffalo Inc.	3,000
Boys and Girls Clubs of Buffalo Inc.	100,000
Boys and Girls Clubs of Buffalo Inc.	25,000
Buffalo City Mission	1,000
The Buffalo Fine Arts Academy	1,000
Bristol Home	2,500
Buffalo Green Fund Inc.	500
Buffalo History Museum	2,500
Buffalo History Museum	2,500
The Buffalo Legacy Project, Inc.	5,000
Buffalo Museum of Science	30,000
Buffalo Museum of Science	2,500
Buffalo Niagara Partnership	555
Buffalo Philharmonic Orchestra Society, Inc.	50,000
Buffalo Philharmonic Orchestra Society, Inc.	3,000
Buffalo Philharmonic Orchestra Society, Inc.	5,000
Buffalo Philharmonic Orchestra Society, Inc.	5,000
Buffalo Philharmonic Orchestra Society, Inc.	300,000
Buffalo Suzuki Strings, Inc.	3,000
Burchfield-Penney Art Center	1,000
Carrousel Society of the Niagara Frontier, Inc.	135
Carrousel Society of the Niagara Frontier, Inc.	5,000
Carrousel Society of the Niagara Frontier, Inc.	135
Cazenovial Community Resource Center, Inc.	1,000
Central Vermont Human Society	500
City of Buffalo Animal Shelter	1,000
Colgate University	1,000
Congress for New Urbanism	10,000
Community Foundation of Sarasota County Inc.	15,000
Double "H" Hole in the Wall Ranch Inc.	2,000
Double "H" Hole in the Wall Ranch Inc.	2,000
Elmwood Village Association	10,000
Forrest Lawn Hentage Foundation Inc.	10,000
Friends of the Night People Inc.	1,000
Friends of North Branch Nature Center Inc.	1,000
Friends of North Branch Nature Center Inc.	1,000
Garret Club Foundation	10,000
Glenridge Benevolent Fund	1,000
Gliding Stars	5,000
Glimmerglass Opera Inc.	1,000
Great Old Broads for Wilderness	500
Hauptman Woodward Medical Research Institute, Inc.	1,500

# THE BAIRD FOUNDATION

## COMMITMENTS (CONTINUED) As of December 31, 2013

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Hyde Hall	\$ 1,000
International Skiing History Association Inc.	500
James and Karen Irwin Fund	2,987
Kent School	250
Kent School	250
Kleinhans Music Hall Management Inc.	500
Lake Champlain Committee Inc.	1,000
Locust Street Neighborhood Art Classes, Inc.	6,000
Martin House Restoration Corp.	30,000
Meals on Wheels of Western New York	5,000
Metropolitan Museum of Art	275
Metropolitan Museum of Art	275
Metropolitan Opera Association Inc.	1,000
Metropolitan Opera Association Inc.	4,500
Nardin Academy	1,000
Nardin Academy	1,000
Natural Resource Defense Council Inc.	5,000
Neto Hatinakwe Onkwehoweh, Inc.	1,000
New England Ski Museum	1,000
New York State Chapter of the American Chestnut Foundation, Inc.	500
New York State Historical Association	1,000
Niagara County SPCA	1,000
Nichols School of Buffalo	2,000
North American Bear Center	5,000
Northeast Wilderness Trust	1,000
Old Fort Niagara Association, Inc.	1,000
Park House Community Care-	500
People United for Sustainable Housing	10,000
Planned Parenthood of Northern New England	1,000
Preservation Buffalo Niagara	10,000
Preservation Buffalo Niagara	500
PSIA Eastern Educational Foundation	5,000
Ridley College Scholarship Fund	1,000
Ridley College Scholarship Fund	1,000
Ridley College Scholarship Fund	1,000
Ridley College Scholarship Fund	1,000
Rochester Public Library	500
Roswell Park Alliance Foundation	1,000
Roswell Park Alliance Foundation	1,000
Roswell Park Alliance Foundation	1,000
Society for the Prevention of Cruelty to Animals	30,000
Society for the Prevention of Cruelty to Animals	3,000
SOS Save Our Strays Association	2,500
St. Joseph's Collegiate Institute	1,000
Theodore Roosevelt Inaugural Site Foundation	100
Theodore Roosevelt Inaugural Site Foundation	500
Theodore Roosevelt Inaugural Site Foundation	7,500
United Way of Buffalo and Erie County	3,000
United Way of Buffalo and Erie County	1,000
Vermont Land Trust	1,000
Vermont Natural Resources Council	1,000
Vermont Public Radio	250

**THE BAIRD FOUNDATION**

**COMMITMENTS (CONTINUED)**

**As of December 31, 2013**

---

The Vermont River Conservancy	\$ 1,000
War of 1812 Legacy Council	5,000
West Side Rowing Club	1,000
West Side Rowing Club	1,000
Western New York Grantmakers Association, Inc.	1,500
Western New York Grantmakers Association, Inc.	1,500
Western New York Heritage Press, Inc.	1,000
Western New York Heritage Press, Inc.	10,000
Western New York Heritage Press, Inc.	1,000
Western New York Maritime Charter School	3,500
Western New York Public Broadcasting Association	10,000
White River Partnership	250
Wildlife Research Institute	5,000
YWCA of Western New York	1,000
YWCA of Western New York	5,000
Zoological Society of Buffalo Inc.	60,000
Zoological Society of Buffalo Inc.	500
Zoological Society of Buffalo Inc.	<u>500</u>
	<u>\$ 924,962</u>

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS	193.	193.	
PCM PARTNERS LP K-1	39.	39.	
TOTAL TO PART I, LINE 3	232.	232.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS	198,574.	0.	198,574.	198,574.	
PCM PARTNERS LP K-1	5,483.	0.	5,483.	5,483.	
TO PART I, LINE 4	204,057.	0.	204,057.	204,057.	

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTY INCOME	114,934.	114,934.	
TOTAL TO FORM 990-PF, PART I, LINE 11	114,934.	114,934.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
AUDITING FEES	19,743.	9,871.		9,872.	
TO FORM 990-PF, PG 1, LN 16B	19,743.	9,871.		9,872.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
SECRETARIAL SERVICES	21,944.	4,389.		17,555.	
INVESTMENT SERVICE FEES	44,138.	44,138.		0.	
TO FORM 990-PF, PG 1, LN 16C	66,082.	48,527.		17,555.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL TAX REFUND	-17,716.	-1,716.		0.	
DEPT. OF LAW FILING FEE	750.	750.		0.	
TO FORM 990-PF, PG 1, LN 18	-16,966.	-966.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CONSULTING	1,346.	673.		673.	
MEMBERSHIP DUES	802.	0.		802.	
PROPERTY & LIABILITY INSURANCE	3,957.	791.		3,166.	
REPAIRS & MAINTENANCE	7,666.	0.		7,666.	



## THE BAIRD FOUNDATION

16-6023080

MISCELLANEOUS	12,282.	2,456.	9,826.
OFFICE EXPENSE	1,665.	333.	1,332.
BANK FEE	252.	126.	126.
TELEPHONE	2,624.	525.	2,099.
INVESTMENT EXPENSES FROM K-1	6,092.	6,092.	0.
OFFICE SUPPLIES	190.	0.	190.
TO FORM 990-PF, PG 1, LN 23	36,876.	10,996.	25,880.

## FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
UNREALIZED GAIN(LOSS) ON INVESTMENTS	908,583.
EXPENSES FROM K-1 NOT INCLUDED ON BOOKS	6,092.
ST LOSS OF PCM PARTNERS K-1 NOT INCLUDED ON BOOKS	5,980.
TOTAL TO FORM 990-PF, PART III, LINE 3	920,655.

## FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 9

DESCRIPTION	AMOUNT
LT GAIN OF PCM PARTNERS K-1 NOT INCLUDED ON BOOKS	56,215.
INTEREST FROM PCM PARTNERS NOT INCLUDED ON BOOKS	39.
DIVIDENDS FROM PCM PARTNERS NOT INCLUDED ON BOOKS	5,483.
TOTAL TO FORM 990-PF, PART III, LINE 5	61,737.

## FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	6,979,293.	6,979,293.
TOTAL TO FORM 990-PF, PART II, LINE 10B	6,979,293.	6,979,293.

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FORM 990-PF	CORPORATE BONDS	STATEMENT 11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	1,657,639.	1,657,639.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,657,639.	1,657,639.

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FORM 990-PF	OTHER INVESTMENTS	STATEMENT 12
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	FMV	2,329,600.	2,329,600.
ARTWORK AND BOOK COLLECTION	FMV	35,706.	35,706.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,365,306.	2,365,306.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MS. CATHERINE SCHWEITZER  
11 SUMMER STREET, 4TH FLOOR  
BUFFALO, NY 14209

TELEPHONE NUMBER

(716) 883-2429

FORM AND CONTENT OF APPLICATIONS

WRITTEN APPLICATION STATING AMOUNT AND PURPOSE OF REQUEST. INCLUDE  
501(C)(3) EXEMPTION, FINANCIAL STATEMENTS, AND LIST OF BOARD OF DIRECTORS.  
APPLICATION MUST BE SIGNED BY PERSON ON THE GOVERNING BOARD RECEIVING NO  
COMPENSATION FROM THE ORGANIZATION.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

PREFERENCES TO AWARDS IN THE WESTERN NEW YORK AREA.

The Baird Foundation  
Net Book Value [Depreciation]

GAAP

For the Period January 1, 2013 to December 31, 2013

Asset ID	ASSET BALANCES			DEPRECIATION					End. Balance	Net Book Value
	Beginning	Additions	Deletions	Ending	Beg. Balance	Depr Exp & AFYD	Sec 179/179A	Oth. Additions		
Asset GL Acct #. (no value)										
000001	1,019.60	0.00	0.00	1,019.60	1,019.60	0.00	0.00	0.00	1,019.60	0.00
000002	759.00	0.00	0.00	759.00	759.00	0.00	0.00	0.00	759.00	0.00
000003	1,092.24	0.00	0.00	1,092.24	1,092.24	0.00	0.00	0.00	1,092.24	0.00
000004	368.00	0.00	0.00	368.00	368.00	0.00	0.00	0.00	368.00	0.00
000005	464.00	0.00	0.00	464.00	464.00	0.00	0.00	0.00	464.00	0.00
000006	2,462.37	0.00	0.00	2,462.37	2,462.37	0.00	0.00	0.00	2,462.37	0.00
000007	2,190.00	0.00	0.00	2,190.00	2,190.00	0.00	0.00	0.00	2,190.00	0.00
000008	13,068.36	0.00	0.00	13,068.36	13,068.36	0.00	0.00	0.00	13,068.36	0.00
000009	1,155.00	0.00	0.00	1,155.00	1,155.00	0.00	0.00	0.00	1,155.00	0.00
000010	2,399.90	0.00	0.00	2,399.90	2,399.90	0.00	0.00	0.00	2,399.90	0.00
000011	1,000.00	0.00	0.00	1,000.00	1,000.00	0.00	0.00	0.00	1,000.00	0.00
000012	509.96	0.00	0.00	509.96	509.96	0.00	0.00	0.00	509.96	0.00
000013	485.99	0.00	0.00	485.99	485.99	0.00	0.00	0.00	485.99	0.00
000017	150.00	0.00	0.00	150.00	150.00	0.00	0.00	0.00	150.00	0.00
000019	1,298.57	0.00	0.00	1,298.57	1,298.57	0.00	0.00	0.00	1,298.57	0.00
000020	930.93	0.00	0.00	930.93	930.93	0.00	0.00	0.00	930.93	0.00
000021	1,101.58	0.00	0.00	1,101.58	1,101.58	0.00	0.00	0.00	1,101.58	0.00
000022	2,100.00	0.00	0.00	2,100.00	2,100.00	0.00	0.00	0.00	2,100.00	0.00
000023	553.00	0.00	0.00	553.00	553.00	0.00	0.00	0.00	553.00	0.00
000024	245.00	0.00	0.00	245.00	245.00	0.00	0.00	0.00	245.00	0.00
000025	2,105.00	0.00	0.00	2,105.00	2,105.00	0.00	0.00	0.00	2,105.00	0.00
000026	148.00	0.00	0.00	148.00	148.00	0.00	0.00	0.00	148.00	0.00
000027	100.00	0.00	0.00	100.00	100.00	0.00	0.00	0.00	100.00	0.00
000028	35,706.00	0.00	0.00	35,706.00	0.00	0.00	0.00	0.00	0.00	35,706.00
000029	1,205.00	0.00	0.00	1,205.00	723.00	241.00	0.00	0.00	964.00	241.00
000030	250.00	0.00	0.00	250.00	150.00	50.00	0.00	0.00	200.00	50.00
000031	610.16	0.00	0.00	610.16	325.41	122.03	0.00	0.00	447.44	162.72
000032	1,033.13	0.00	0.00	1,033.13	464.92	206.63	0.00	0.00	671.55	361.58
000033	1,022.00	0.00	0.00	1,022.00	42.58	246.99	0.00	0.00	289.57	732.43
Subtotal. (no value)	75,532.79	0.00	0.00	75,532.79	37,412.41	866.65	0.00	0.00	38,279.06	37,253.73
(29)										
Grand Total	75,532.79	0.00	0.00	75,532.79	37,412.41	866.65	0.00	0.00	38,279.06	37,253.73

**THE BAIRD FOUNDATION**  
**FORM 990-PF PART IV ATTACHMENT**  
**December 31, 2013**

Security	Shares	Date Sold	Cost Basis	Proceeds	Gain/(Loss)
Abbvie Inc.	1,345	02/27/2013	41,177.13	49,274.31	8,097.18
American Express Co Cmn	1,495	07/31/2013	67,744.94	111,266.43	43,521.49
Boeing	164	05/13/2013	9,440.50	15,429.56	5,989.06
Google, Inc.	21	02/27/2013	10,917.60	16,794.47	5,876.87
Google	20	08/15/2013	10,397.71	17,242.82	6,845.11
Honeywell Intl	133	02/05/2013	4,374.22	9,226.09	4,851.87
Johnson & Johnson	255	05/29/2013	15,888.17	22,256.34	6,368.17
J&J	1,172	07/09/2013	73,023.28	103,946.62	30,923.34
Lowes Companies	402	02/27/2013	8,587.32	14,914.03	6,326.71
Lowes	1,980	11/12/2013	42,295.77	98,503.28	56,207.51
McDonalds	496	07/31/2013	35,037.73	48,612.01	13,574.28
Nike Cl B	226	05/13/2013	8,977.05	14,432.54	5,455.49
Occidental Petroleum Corp	680	06/07/2013	43,277.44	63,277.86	20,000.42
Pepsico Inc	373	07/17/2013	15,155.03	31,367.59	16,212.56
Praxair Inc	583	06/07/2013	30,185.24	66,475.65	36,290.41
Procter & Gamble	1,375	10/15/2013	77,662.07	107,181.31	29,519.24
Prudential Common	196	03/21/2013	11,204.60	11,519.68	315.08
vertex	908	11/06/2013	74,114.01	57,316.14	(16,797.87)
Visa	483	08/20/2013	24,817.91	83,861.20	59,043.29
Rabobank Nederland Utrecht	78	04/29/2013	78,585.00	119,673.83	41,088.83
MSCI Japan-Index Fund	4,350	01/11/2013	49,981.50	42,585.54	(7,395.96)
AB Svensk due 10/23/13	200	10/23/2013	200,000.00	251,000.00	51,000.00
Royal Bank of Canada TOPIX index	40	06/04/2013	40,000.00	50,352.80	10,352.80
Eksportfinans ASA due 01/24/2013	75	01/24/2013	75,000.00	83,437.50	8,437.50
GS Invest Grade Credit Fund A	39,163.09	11/08/2013	370,745.57	365,000.00	(5,745.57)
			<b>1,418,589.78</b>	<b>1,854,947.60</b>	<b>436,357.82</b>

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print  File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	<b>THE BAIRD FOUNDATION</b>	<b>16-6023080</b>
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	<b>11 SUMMER STREET, 4TH FLOOR</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	<b>BUFFALO, NY 14209</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**MS. CATHERINE SCHWEITZER**

• The books are in the care of **11 SUMMER STREET, 4TH FLOOR - BUFFALO, NY 14209**

Telephone No. **716-883-2429**

Fax No. ☐

• If the organization does not have an office or place of business in the United States, check this box ☐ **X**

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 17, 2014.**

5 For calendar year **2013**, or other tax year beginning ☐ , and ending ☐

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

**MORE TIME IS NEEDED TO GATHER ADDITIONAL INFORMATION TO ENSURE A COMPLETE AND ACCURATELY FILED RETURN.**

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	<b>17,620.</b>
8b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	<b>17,620.</b>
8c Balance due: Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	<b>0.</b>

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title ☐

Date ☐