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Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013Open to Public
Inspection**A** For the 2013 calendar year, or tax year beginning and ending**B** Check if applicable

- ☒ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organizationCOMMUNITY FOUNDATION OF
TOMPKINS COUNTY, INC.

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address)

200 EAST BUFFALO STREET

Room/suite

202

City or town, state or province, country, and ZIP or foreign postal code

ITHACA, NY 14850

F Name and address of principal officer ROBIN MASSON
SAME AS C ABOVE**D** Employer identification number

16-1587553

E Telephone number

607-272-9333

G Gross receipts \$

7,392,548.

H(a) Is this a group returnfor subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number ▶**I** Tax-exempt status. ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: WWW.COMMUNITYFOUNDATIONOFTC.ORG**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: 2000 **M** State of legal domicile: NY**Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities. TO IMPROVE THE QUALITY OF LIFE IN TOMPKINS COUNTY BY INSPIRING AND SUPPORTING ENDURING		
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	22
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	22
	5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	3
	6	Total number of volunteers (estimate if necessary)	6	75
Revenue	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
	7b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.
	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	2,879,294.	2,100,774.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 5)	21,783.	43,740.
	11	Other revenue (Part VIII, column (A), lines 5, 6c, 8c, 9c, 10c, and 11e)	269,754.	1,209,332.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	0.	0.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	3,170,831.	3,353,846.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	419,863.	962,539.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
Expenses	16a	Professional fundraising fees (Part IX, column (A), line 11e)	177,354.	190,600.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 74,548.	0.	1,650.
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	131,354.	155,754.
	18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	728,571.	1,310,543.
	19	Revenue less expenses. Subtract line 18 from line 12	2,442,260.	2,043,303.
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21	Total liabilities (Part X, line 26)	10,808,148.	13,558,077.
	22	Net assets or fund balances. Subtract line 21 from line 20	5,770.	59,647.
			10,802,378.	13,498,430.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	<i>Robin A. Masson</i>	Date	7/14/14
	Type or print name and title	Robin A. Masson, Chair		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check if self-employed ☐ PTIN
	PATRICK JORDAN	<i>Patrick Jordan</i>	07/03/14	P00854521
	Firm's name ▶ CDLM & COMPANY CPA'S, LLP	Firm's EIN ▶ 16-1171627		
	Firm's address ▶ 401 E. STATE ST., SUITE 500 ITHACA, NY 14850	Phone no. 607-272-4444		

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

14917

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☐**1** Briefly describe the organization's mission.

**THE MISSION OF OUR COMMUNITY FOUNDATION IS TO IMPROVE THE QUALITY OF
LIFE IN TOMPKINS COUNTY BY INSPIRING AND SUPPORTING ENDURING
PHILANTHROPY.**

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code _____) (Expenses \$ 962,539. including grants of \$ 962,539.) (Revenue \$ 43,740.)
**TO PROVIDE GRANTS AND CONTRIBUTIONS TO CHARITIES LOCATED IN THE GREATER
ITHACA, NEW YORK AREA.**

4b (Code _____) (Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4c (Code _____) (Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4d Other program services (Describe in Schedule O.)

(Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4e Total program service expenses **962,539.**

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	X	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>		X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	
22 Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		X
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
28a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
28b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
28c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
35b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

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Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	6	
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a	3
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	X
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	X
b	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O.	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	X
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	X
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	X
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	X
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders.	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b	
c	Enter the amount of reserves on hand.	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b	

Form 990 (2013)

**COMMUNITY FOUNDATION OF
TOMPKINS COUNTY, INC.**

Form 990 (2013)

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	22	
b Enter the number of voting members included in line 1a, above, who are independent	22	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3	X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5	X
6 Did the organization have members or stockholders?	6	X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	8a	X
b Each committee with authority to act on behalf of the governing body?	8b	X
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a	X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	X
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	X
13 Did the organization have a written whistleblower policy?	13	X
14 Did the organization have a written document retention and destruction policy?	14	X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	X
b Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)	15b	X
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **NY**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☒ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **THE ORGANIZATION - 607-272-9333**
200 EAST BUFFALO STREET, NO. 202, ITHACA, NY 14850

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees; highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) DAVID SQUIRES TREASURER	1.00	X		X				0.	0.	0.
(2) MARIETTE GELDENHUYS TRUSTEE	1.00	X						0.	0.	0.
(3) MARY BERENS SECRETARY	1.00	X		X				0.	0.	0.
(4) TOM COLBERT TRUSTEE	1.00	X						0.	0.	0.
(5) ROBIN MASSON CHAIR	1.00	X		X				0.	0.	0.
(6) ALAN MATHIOS TRUSTEE	1.00	X						0.	0.	0.
(7) CAROL TRAVIS TRUSTEE	1.00	X						0.	0.	0.
(8) AMY YALE-LOEHR TRUSTEE	1.00	X						0.	0.	0.
(9) RICK BANKS TRUSTEE	1.00	X						0.	0.	0.
(10) RANDY EHRENBURG TRUSTEE	1.00	X						0.	0.	0.
(11) BOB JEWELL TRUSTEE	1.00	X						0.	0.	0.
(12) BILL MURPHY TRUSTEE	1.00	X						0.	0.	0.
(13) NANCY POTTER TRUSTEE	1.00	X						0.	0.	0.
(14) LINDA WAGENET TRUSTEE	1.00	X						0.	0.	0.
(15) JULIE WATERS TRUSTEE	1.00	X						0.	0.	0.
(16) SUSAN BROWN TRUSTEE	1.00	X						0.	0.	0.
(17) ROSS FELDMAN TRUSTEE	1.00	X						0.	0.	0.

**COMMUNITY FOUNDATION OF
TOMPKINS COUNTY, INC.**

Form 990 (2013)

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Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) MARCIE FINLAY TRUSTEE	1.00	X						0.	0.	0.
(19) SARA KNOBEL TRUSTEE	1.00	X						0.	0.	0.
(20) TIM LITTLE TRUSTEE	1.00	X						0.	0.	0.
(21) DIANE MCDONOUGH TRUSTEE	1.00	X						0.	0.	0.
(22) MICKIE SANDERS-JAUQUET VICE CHAIR	1.00	X		X				0.	0.	0.
(23) GEORGE P. FERRARI, JR. EXECUTIVE DIRECTOR	40.00			X				98,706.	0.	5,889.
1b Sub-total								98,706.	0.	5,889.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								98,706.	0.	5,889.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 0

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 0

Form 990 (2013)

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 2,100,774.				
	g Noncash contributions included in lines 1a-1f \$	10,135.				
	h Total. Add lines 1a-1f		2,100,774.			
	Program Service Revenue	2 a MISCELLANEOUS	Business Code 900099	43,740.	43,740.	
b						
c						
d						
e						
f All other program service revenue						
g Total. Add lines 2a-2f			43,740.			
Other Revenue		3 Investment income (including dividends, interest, and other similar amounts)		282,105.		
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties					
	6 a Gross rents	(i) Real (ii) Personal				
	b Less rental expenses					
	c Rental income or (loss)					
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
	b Less cost or other basis and sales expenses					
	c Gain or (loss)					
	d Net gain or (loss)		927,227.			927,227.
	8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	a				
	b Less: direct expenses	b				
	c Net income or (loss) from fundraising events					
	9 a Gross income from gaming activities. See Part IV, line 19	a				
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities					
	10 a Gross sales of inventory, less returns and allowances	a				
b Less: cost of goods sold	b					
c Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Business Code				
11 a						
b						
c						
d All other revenue						
e Total. Add lines 11a-11d						
12 Total revenue. See instructions.		3,353,846.	43,740.	0.	1,209,332.	

**COMMUNITY FOUNDATION OF
TOMPKINS COUNTY, INC.**

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	962,539.	962,539.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	104,595.		78,447.	26,148.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	68,716.		51,538.	17,178.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,474.		1,105.	369.
9 Other employee benefits	2,927.		2,194.	733.
10 Payroll taxes	12,888.		9,666.	3,222.
11 Fees for services (non-employees)				
a Management				
b Legal				
c Accounting	18,000.		18,000.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	1,650.			1,650.
f Investment management fees	43,442.		43,442.	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	7,367.		1,803.	5,564.
12 Advertising and promotion	1,432.			1,432.
13 Office expenses	35,642.		26,732.	8,910.
14 Information technology				
15 Royalties				
16 Occupancy	15,225.		11,419.	3,806.
17 Travel	4,218.		4,218.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	6,828.		6,828.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	867.		650.	217.
23 Insurance	3,058.		3,058.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a MISCELLANEOUS	14,761.		11,071.	3,690.
b DUES & SUBSCRIPTIONS	4,914.		3,285.	1,629.
c				
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	1,310,543.	962,539.	273,456.	74,548.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

COMMUNITY FOUNDATION OF
TOMPKINS COUNTY, INC.**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing		1	
	2 Savings and temporary cash investments	2,600,238.	2	2,184,997.
	3 Pledges and grants receivable, net	34,111.	3	22,517.
	4 Accounts receivable, net		4	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	1,398.	9	360.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 13,568.		
	b Less: accumulated depreciation	10b 9,235.	0.	10c 4,333.
	11 Investments - publicly traded securities	7,937,426.	11	11,102,702.
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	234,975.	15	243,168.
16 Total assets. Add lines 1 through 15 (must equal line 34)	10,808,148.	16	13,558,077.	
Liabilities	17 Accounts payable and accrued expenses	4,527.	17	4,799.
	18 Grants payable	1,243.	18	54,848.
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		25	
	26 Total liabilities. Add lines 17 through 25	5,770.	26	59,647.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here ☒ and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	5,455,265.	27	7,800,801.
	28 Temporarily restricted net assets	34,300.	28	24,081.
	29 Permanently restricted net assets	5,312,813.	29	5,673,548.
	Organizations that do not follow SFAS 117 (ASC 958), check here ☐ and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
33 Total net assets or fund balances	10,802,378.	33	13,498,430.	
34 Total liabilities and net assets/fund balances	10,808,148.	34	13,558,077.	

Form 990 (2013)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	3,353,846.
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,310,543.
3	Revenue less expenses. Subtract line 2 from line 1	3	2,043,303.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	10,802,378.
5	Net unrealized gains (losses) on investments	5	652,749.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	13,498,430.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☒

	Yes	No
1 Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both. ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both. ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	X	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form 990 (2013)

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2013

Open to Public Inspection

Employer identification number
16-1587553

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- | | Yes | No |
|----------|-----|----|
| 11g(i) | | |
| 11g(ii) | | |
| 11g(iii) | | |

[illegible]

Schedule A (Form 990 or 990-EZ) 2013

COMMUNITY FOUNDATION OF

Schedule A (Form 990 or 990-EZ) 2013 **TOMPKINS COUNTY, INC.**

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Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	1603616.	1842870.	575,664.	2879294.	2100774.	9002218.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	1603616.	1842870.	575,664.	2879294.	2100774.	9002218.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						5786117.
6 Public support. Subtract line 5 from line 4						3216101.

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	1603616.	1842870.	575,664.	2879294.	2100774.	9002218.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	94,835.	150,897.	186,111.	214,169.	282,105.	928,117.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support. Add lines 7 through 10						9930335.
12 Gross receipts from related activities, etc. (see instructions)					12	112,428.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	32.39 %
15 Public support percentage from 2012 Schedule A, Part II, line 14	15	31.61 %
16a 33 1/3% support test - 2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☐		
b 33 1/3% support test - 2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☐		
17a 10% -facts-and-circumstances test - 2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☒		
b 10% -facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ☐		

Schedule A (Form 990 or 990-EZ) 2013

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets. (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► ☐						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

b 33 1/3% support tests - 2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

COMMUNITY FOUNDATION OF

Schedule A (Form 990 or 990-EZ) 2013 TOMPKINS COUNTY, INC.

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Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12
Also complete this part for any additional information. (See instructions)

PART II, SECTION C, LINE 17A, FACTS AND CIRCUMSTANCES TEST:

EXPLANATION: FOR TAX YEAR 2013, WE BELIEVE THAT COMMUNITY FOUNDATION OF
TOMPKINS COUNTY, INC. IS NOT A PRIVATE FOUNDATION BECAUSE IT MEETS THE
PUBLIC SUPPORT TEST UNDER THE FACTS AND CIRCUMSTANCES TEST OF TREAS. REG.
1.170A-9(E)(3) AS FOLLOWS:

1) FOR THE YEAR 2013, THE ORGANIZATION RECEIVED MORE THAN 10% OF ITS
SUPPORT FROM THE GENERAL PUBLIC.

2) THE ORGANIZATION'S PUBLIC SUPPORT PERCENTAGE DID NOT EXCEED 33 1/3%
PRIMARILY BECAUSE OF CONTRIBUTIONS RECEIVED FROM A SINGLE UNRELATED
INDIVIDUAL DURING THE YEARS 2009 THROUGH 2013.

3) THE ORGANIZATION'S PUBLIC SUPPORT PERCENTAGE IS EXPECTED TO INCREASE
EACH YEAR.

4) THE ORGANIZATION MAINTAINS A CONTINUOUS AND BONA FIDE PROGRAM FOR
SOLICITATION OF FUNDS FROM THE GENERAL PUBLIC AND OTHER PUBLIC CHARITIES.

5) THE ORGANIZATION HAS A GOVERNING BODY WHICH REPRESENTS THE BROAD
INTERESTS OF THE GENERAL PUBLIC.

6) THE ORGANIZATION PROVIDES SERVICES DIRECTLY FOR THE BENEFIT OF THE
GENERAL PUBLIC ON A CONTINUING BASIS.

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization
**COMMUNITY FOUNDATION OF
TOMPKINS COUNTY, INC.**

Employer identification number
16-1587553

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year	54
2 Aggregate contributions to (during year)	1,392,812.
3 Aggregate grants from (during year)	698,148.
4 Aggregate value at end of year	5,452,258.

- 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☒ Yes ☐ No
- 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☒ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

- 1 Purpose(s) of conservation easements held by the organization (check all that apply).

- ☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

- 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

- a Total number of conservation easements
b Total acreage restricted by conservation easements
c Number of conservation easements on a certified historic structure included in (a)
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

	Held at the End of the Tax Year
2a	
2b	
2c	
2d	

- 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

- 4 Number of states where property subject to conservation easement is located ▶

- 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

- 6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

- 7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

- 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

- 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8

- 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.
- b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items.
- (i) Revenues included in Form 990, Part VIII, line 1 ▶ \$
- (ii) Assets included in Form 990, Part X ▶ \$
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items
- a Revenues included in Form 990, Part VIII, line 1 ▶ \$
- b Assets included in Form 990, Part X ▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

- a ☐ Public exhibition
 b ☐ Scholarly research
 c ☐ Preservation for future generations

- d ☐ Loan or exchange programs
 e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

- c Beginning balance
 d Additions during the year
 e Distributions during the year
 f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	10,582,333.	7,416,854.	7,550,708.	5,557,669.	3,727,133.
b Contributions	1,703,890.	3,518,614.	515,662.	1,384,766.	1,236,708.
c Net investment earnings, gains, and losses	1,720,481.	356,036.	2,425.	983,108.	1,052,602.
d Grants or scholarships	962,539.	419,863.	469,268.	311,922.	306,159.
e Other expenditures for facilities and programs	26,733.	289,308.	182,673.	62,913.	152,615.
f Administrative expenses					
g End of year balance	13,017,432.	10,582,333.	7,416,854.	7,550,708.	5,557,669.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment ☒ 56.23 %
 b Permanent endowment ☒ 43.58 %
 c Temporarily restricted endowment ☒ .19 %

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
 (ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment		13,568.	9,235.	4,333.
e Other				

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c)) ☒ 4,333.

Schedule D (Form 990) 2013

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ►		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ►		

Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ►	

Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ►	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a

1	Total revenue, gains, and other support per audited financial statements	1	3,963,153.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	652,749.
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	652,749.
3	Subtract line 2e from line 1	3	3,310,404.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	43,442.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	43,442.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	3,353,846.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	1,267,101.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	0.
3	Subtract line 2e from line 1	3	1,267,101.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	43,442.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	43,442.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	1,310,543.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b, Part V, line 4; Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART V, LINE 4:

EXPLANATION: TO ENCOURAGE AND DEVELOP SUSTAINABLE PHILANTHROPY FOR A BROAD RANGE OF COMMUNITY EFFORTS BY: MAKING STRATEGIC GRANTS, ENCOURAGING THE GROWTH OF A PERMANENT CHARITABLE ENDOWMENT, PROVIDING DONORS WITH WAYS TO MAKE GIVING EASY AND EFFECTIVE AND SERVING AS CATALYST AND CONVENER.

PART X, LINE 2:

EXPLANATION: THE FOUNDATION'S FEDERAL INCOME TAX RETURNS FOR 2010, 2011 AND 2012 ARE SUBJECT TO EXAMINATION BY THE IRS, GENERALLY FOR THREE YEARS AFTER THEY WERE FILED. IN ADDITION, THE FOUNDATION'S STATE TAX RETURNS FOR THE SAME YEARS ARE SUBJECT TO EXAMINATION BY STATE TAX AUTHORITIES FOR

SIMILAR TIME PERIODS.

Part XIII	Supplemental Information <i>(continued)</i>
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Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

OMB No 1545-0047

2013

Open to Public Inspection

► Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

COMMUNITY FOUNDATION OF

Employer identification number
16-1587553

Part I	General Information on Grants and Assistance
---------------	---

- 1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States**

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

[illegible]

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

▲ 58.

3 Enter total number of other organizations listed in the line 1 table

 $\overset{\circ}{0}$

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2013)

Part III

[illegible]

PART I, LINE 2:

EXPLANATION: A GRANTEE ATTESTATION FORM IS REQUIRED TO BE COMPLETED AND RETURNED BEFORE A GRANT CHECK IS ISSUED. THIS FORM REQUIRES ACCEPTANCE OF ALL GRANT REQUIREMENTS. IN ADDITION, SITE VISITS ARE EMPLOYED FOR A SUBSET OF GRANTS MADE AS WELL AS WRITTEN INTERIM AND FINAL REPORTS FOR SELECTED GRANTS.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization

COMMUNITY FOUNDATION OF
TOMPKINS COUNTY, INC.

Employer identification number

16-1587553

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

PHILANTHROPY.

FORM 990, PART VI, SECTION B, LINE 11:

EXPLANATION: THE EXECUTIVE COMMITTEE AND THE FINANCIAL ADMINISTRATION
COMMITTEE REVIEWS THE 990 BEFORE SUBMISSION.

FORM 990, PART VI, SECTION B, LINE 12C:

EXPLANATION: ANNUAL DISCLOSURE STATEMENTS SHALL BE AVAILABLE TO ANY
DIRECTOR OF THE CORPORATION ON REQUEST. WHEN ANY MATTER COMES BEFORE THE
BOARD IN WHICH A DIRECTOR HAS AN INTEREST, THAT INTEREST SHALL BE
IMMEDIATELY DISCLOSED TO THE BOARD.

FORM 990, PART VI, SECTION B, LINE 15A:

EXPLANATION: SALARY DETERMINATION FOR THE EXECUTIVE DIRECTOR IS DONE BY THE
HUMAN RESOURCES TASK FORCE OF THE BOARD AND THE BOARD CHAIR BASED UPON
PERFORMANCE APPRAISAL AND REVIEW OF SALARIES AT COMPARABLE WITH OTHER
ORGANIZATIONS. THE DISCUSSION AND THE REVIEW, WHICH IS FINALIZED BY BOARD
APPROVAL, IS DOCUMENTED IN EXECUTIVE SESSION MINUTES.

FORM 990, PART VI, SECTION C, LINE 19:

EXPLANATION: THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF
INTEREST POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON
REQUEST.

FORM 990, PAGE 12, PART XII, LINE 2C

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2013)

332211
09-04-13

Name of the organization **COMMUNITY FOUNDATION OF
TOMPKINS COUNTY, INC.**Employer identification number
16-1587553

**EXPLANATION: THE PROCESS FOR AUDIT OVERSIGHT AND AUDITOR SELECTION HAS
NOT CHANGED FROM THE PRIOR YEAR.**

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

EIN# 16-1587553

SortName	ProfilD	AnnualRptName	GrantDate	GrantNum	GrantAmt	ProgramName	TaxID	TaxSts1	TaxSts2	TaxClassCd	City	State	Zip	-----2013-----	
														#Grants	GrantTotal
Advocacy Ce	462	The Advocacy Center of Tompkins County P.O. Box 164													
			2/27/2013	20130014	\$1,000.00	Domestic Violence Emergency Shelter Rehab	22-2237195	501(C)(3)			Ithaca	NY	14851	4	\$10,545.00
			4/8/2013	20130077	\$2,500.00	unrestricted									
			5/21/2013	20130135	\$3,045.00	unrestricted									
			12/9/2013	20130265	\$4,000.00	Security Updates									
Alternatives	8592	Alternatives Impact 125 N. Fulton St.													
							16-1568466	501(C)(3)			Ithaca	NY	14850	2	\$10,000.00
			11/11/2013	20130244	\$5,000.00	Volunteer Income Tax Assistance Program									
American Re	442	American Red Cross - Southern Tier Chapter 620 E. Main St													
							15-0556762	501(C)(3)			Endicott	NY	13760	3	\$7,350.00
			4/8/2013	20130082	\$5,000.00	unrestricted									
			9/16/2013	20130188	\$2,000.00	Homeless Shelter In Ithaca									
			10/15/2013	20130204	\$350.00	for Tompkins County									
Aurora Free	8882	Aurora Free Library 370 Main St.													
							16-1268178	501(C)(3)			Aurora	NY	13026	2	\$15,000.00
			3/11/2013	20130034	\$6,380.00	Storytime Train - All Aboard for Reading, Talking, Singing, Playing & Writing									
			3/11/2013	20130035	\$8,620.00	Teen Choice: Continuing to Lead the Way									

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

-----2013-----
#Grants GrantTotal

SortName	ProfilD	AnnualRptName	Addr1	Addr2	TaxID	TaxSts1	TaxSts2	TaxClassCd	City	State	Zip	#Grants	GrantTotal
GrantDate	GrantNum	GrantAmt	ProgramName										
Cancer Reso	58	Cancer Resource Center of the Finger Lakes	612 West State Street	16-1453042	501(C)(3)	ithaca			NY	14850		4	\$5,530.00
	2/27/2013	20130028	\$1,530.00	Healthy Beauty Workshops and Wigs for Women with Cancer									
	4/8/2013	20130084	\$2,500.00	unrestricted									
	7/15/2013	20130169	\$1,000.00	Healing Garden									
	8/19/2013	20130173	\$500.00	5k Run/Walk-a-thon									
Catholic Cha	44	Catholic Charities of Tompkins/Tioga	324 West Buffalo Street	51-0621633	501(C)(3)	ithaca			NY	14850		6	\$6,950.00
	2/27/2013	20130015	\$1,200.00	Immigrant Services Program									
	2/27/2013	20130016	\$600.00	Immigrant Services Program									
	4/8/2013	20130102	\$2,500.00	unrestricted									
	5/6/2013	20130130	\$1,000.00	#24 Passes to Self-Sufficiency									
	5/21/2013	20130158	\$1,000.00	#24 Passes to Self-Sufficiency									
	11/18/2013	20130258	\$650.00	unrestricted									
Center for T	456	Center for Transformative Action	119 Anabel Taylor Hall	16-0990318	501(C)(3)	ithaca			NY	14853		5	\$16,508.00
	4/8/2013	20130086	\$500.00	Vitamin L Project									
	4/8/2013	20130110	\$5,000.00	unrestricted									
	5/21/2013	20130144	\$4,308.00	#13 Woods Earth Living Classroom									
	7/23/2013	20130171	\$200.00	Veteran's Sanctuary Project in honor of Jim Murphy									
	10/14/2013	20130192	\$6,500.00	Vitamin L: Sing for Dr. King! CD production and song books for 3rd graders									

EIN# 16-1587553

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

-----2013-----

SortName	ProfilD	AnnualRptName	Addr1	Addr2	TaxID	TaxSts1	TaxSts2	TaxClassCd	State	Zip	#Grants	GrantTotal
GrantDate	GrantNum	GrantAmt	ProgramName				City					
CFTC Grant-I	5647	CFTC Grant-Interfund			501(C)(3)						2	\$27,500.00
	9/17/2013	20130191	\$17,500.00	\$10,000 to TTT- Fall Grant Cycle 2013, \$5,000 to Women's Fund Endowment, \$2,500 to Children's Fund								
	11/11/2013	20130218	\$10,000.00	Transfer to Tompkins Today and Tomorrow- Designated for the Discover Trail Grant from the fall 2013 grant cycle								
Challenge W	53	Challenge Workforce Solutions	16-0956917	501(C)(3)							2	\$15,000.00
		950 Danby Rd	Suite 179	Ithaca								
	3/11/2013	20130007	\$10,000.00	Fingerlakes Fresh Food Hub and any related projects								
	4/8/2013	20130104	\$5,000.00	unrestricted								
Child Develo	312	Child Development Council of TC	16-0918618	501(C)(3)							2	\$5,000.00
		609 West Clinton St.		Ithaca								
	5/6/2013	20130129	\$1,000.00	#23 Basic Needs for Disadvantaged Families								
	5/21/2013	20130147	\$4,000.00	#23 Basic Needs for Disadvantaged Families								
Coalition for	8892	Coalition for the Homeless	13-3072967	501(C)(3)							1	\$5,000.00
		129 Fulton Street		New York								
	5/21/2013	20130153	\$5,000.00	unrestricted								
Connecticut	9210	Connecticut Aeronautical Historical Associati	06-6069083								1	\$5,000.00
		36 Perimeter Rd.		Bradley International Airport								
	4/8/2013	20130115	\$5,000.00	unrestricted								
				Windsor Locks								

FIN# 16-1587553

Community Foundation of Tompkins County Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

SortName	ProfilD	AnnualRptName	GrantDate	GrantNum	GrantAmt	ProgramName	Addr1	Addr2	TaxID	TaxSts1	City	TaxSts2	TaxClassCd	State	Zip	#Grants	GrantTotal
-----2013-----																	
Cornell Coo	300	Cornell Cooperative Extension of TC				16-1159507			501(C)(3)		Ithaca			NY	14850	2	\$12,474.00
		615 Willow Ave.															
	2/27/2013	20130023	\$2,474.00	NLI: Peer Leadership Development Internship													
	11/11/2013	20130253	\$10,000.00	Healthy Food For All													
Cornell Univ	8999	Cornell University									Pittsburgh			PA	15251	2	\$5,350.00
		PO Box 223623															
	2/11/2013	20130001	\$5,000.00	Thomas Eisner Endowment Fund													
	5/6/2013	20130126	\$350.00	Sigma Phi Building Account Fund # 7872-07													
Discovery Tr	8865	Discovery Trail				26-1208633			501(C)(3)		Trumansburg			NY	14886	1	\$10,000.00
		PO Box 986															
	11/11/2013	20130246	\$10,000.00	Kids Discovery Trail Expansion													
Edith B. For	8676	Edith B. Ford Memorial Library of Ovid, NY				15-0535071			501(C)(3)		Ovid			NY	14521	2	\$40,500.00
		P.O. Box 410															
	3/11/2013	20130048	\$15,000.00	LIFT (Libraries for Teens)													
	10/14/2013	20130190	\$25,500.00	World of Words: Early Literacy Program													
Evangelical L	8866	Evangelical Lutheran Church in America				41-1568278			501(C)(3)		Chicago			IL	60694	1	\$10,000.00
		39330 Treasury Center															
	6/10/2013	20130161	\$10,000.00	US Tornadoes: Oklahoma Tornado Disaster Relief													

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

-----2013-----
#Grants GrantTotal

SortName	ProfilD	AnnualRptName	Addr1	GrantDate	GrantNum	GrantAmt	ProgramName	Addr2	TaxID	TaxSts1	City	TaxSts2	TaxClassCd	State	Zip
Family & Chi	68	Family & Children's Service of Ithaca	127 West State Street						15-0589039	501(C)(3)	Ithaca			NY	14850
		4/8/2013	20130085	\$2,000.00	unrestricted										
		5/21/2013	20130150	\$5,000.00	#31 Child Psychiatry										
Finger Lakes	41	Finger Lakes Land Trust, Inc	202 East Court Street						22-2983688	501(C)(3)	Ithaca			NY	14850
		5/21/2013	20130127	\$5,000.00	#11 Cayuga Lake Watershed Protection Initiative										
		10/15/2013	20130200	\$300.00	unrestricted										
Finger Lakes	8777	Finger Lakes ReUse, Inc.	2255 N. Triphammer Rd.						26-2093547	501(C)(3)	Ithaca			NY	14850
		11/11/2013	20130251	\$9,844.00	Truck Fleet Services										
First Unitari	444	First Unitarian Society of Ithaca	P.O. Box 6						15-0569354	501(C)(3)	Ithaca			NY	14851
		4/8/2013	20130095	\$35,000.00	unrestricted										
		10/15/2013	20130207	\$400.00	unrestricted										
Food Bank o	3494	Food Bank of the Southern Tier	388 Upper Oakwood Avenue						20-8808059	501(C)(3)	Elmira			NY	14903
		4/8/2013	20130120	\$15,000.00	unrestricted										
		7/8/2013	20130165	\$200.00	unrestricted										
		11/11/2013	20130220	\$10,000.00	Mobile Food Pantry assessment and Expansion										

EIN# 16-1587553

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

EIN# 16-1587553

SortName	ProfilD	AnnualRptName	Addr1	Addr2	TaxID	GrantAmt	GrantNum	GrantDate	GrantName	TaxSts1	City	TaxSts2	TaxClassCd	State	Zip	#Grants	GrantTotal
-----2013-----																	
Foodnet Me	448	Foodnet Meals on Wheels	-2422 North Triphammer Road		16-1285569	\$5,000.00	20130096	4/8/2013	unrestricted	501(C)(3)	Ithaca			NY	14850	1	\$5,000.00
Gadabout Tr	8328	Gadabout Transportation Services, Inc.	737 Willow Avenue		16-1158497	\$7,000.00	20130143	5/21/2013	#1 Transportation for the Elderly and Disabled	501(C)(3)	Ithaca			NY	14850	2	\$11,000.00
Golden Opp	9124	Golden Opportunities	505 Linn St.		45-5280487	\$25,140.00	20130259	11/25/2013	Literacy		Ithaca			NY	14850	4	\$25,140.00
Greater Itha	61	Greater Ithaca Activities Center (GIAC)	301 W. Court St.		16-0997063	\$51,000.00	20130098	4/8/2013	unrestricted	501(C)(3)	Ithaca			NY	14850	2	\$51,000.00

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

EIN# 16-1587553

SortName	ProfilD	AnnualRptName	GrantDate	GrantNum	GrantAmt	Addr1	Addr2	TaxID	TaxSts1	TaxSts2	TaxClassCd	City	State	Zip	-----2013-----	
															#Grants	GrantTotal
Groton Publi	8884	Groton Public Library 112 East Cortland St.						15-0618030	501(C)(3)			Groton	NY	13073	3	\$23,359.00
			3/11/2013	20130061	\$10,639.00	Picture Me a Story, Sing Me a Story, Write Me a Story (With Southworth & Cortland)										
			3/11/2013	20130062	\$10,900.00	GPL Teen Thing										
			5/6/2013	20130128	\$1,820.00	#22 GPL Teen Thing										
Haitian Ame	9315	Haitian American Family of Long Island, Inc. 294 W. Merrick Rd. Ste. 7						11-3261800				Freeport	NY	11520	1	\$11,000.00
			10/14/2013	20130193	\$11,000.00	School Lunch Program at NEGES for Fall 2013-Spring 2014										
Hangar Thea	47	Hangar Theatre 171 East State St.						16-0902355	501(C)(3)			Ithaca	NY	14850	4	\$5,425.00
			3/4/2013	20130031	\$1,500.00	Annual Fund										
			4/8/2013	20130099	\$750.00	unrestricted										
			5/9/2013	20130134	\$2,175.00	unrestricted										
	6/11/2013	20130162	\$1,000.00	Kiddstuff and Next Generation School - Associate Presenting sponsor for the WIZ												
History Cent	71	The History Center in Tompkins County 401 East State Street						15-6024061	501(C)(3)			Ithaca	NY	14850	4	\$5,750.00
			4/8/2013	20130100	\$500.00	unrestricted										
			5/21/2013	20130149	\$3,500.00	#29 Behind the Lens: a documentary filmmaking at the History Center										
			11/5/2013	20130255	\$1,500.00	Outreach Education										
			11/25/2013	20130260	\$250.00	unrestricted										

Community Foundation of Tompkins County Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

EIN# 16-1587553

SortName	ProfilD	AnnualRptName	Addr1	Addr2	TaxID	TaxSts1	TaxSts2	TaxClassCd	City	State	Zip	#Grants	GrantTotal
-----2013-----													
Human Servi	162	Human Services Coalition of TC	171 East MLK Jr./State St. #133		16-1036873	501(C)(3)			Ithaca	NY	14850-5543	2	\$15,000.00
	9/16/2013	20130189			\$5,000.00								
	12/9/2013	20130267			\$10,000.00								
Non-Profit Leadership Program with the Highland Consulting Group													
Non-profit Leadership Program with the Highland Consulting Group (Triad pass through)													
Ithaca Healt	313	Ithaca Health Alliance	PO Box 362		90-0192978	501(C)(3)			Ithaca	NY	14851	3	\$12,499.00
	2/27/2013	20130025			\$2,499.00								
	4/8/2013	20130114			\$5,000.00								
	11/11/2013	20130252			\$5,000.00								
Equitable Access to Integrative Health Care													
Ithaca Neigh	48	Ithaca Neighborhood Housing Services	115 West Clinton		22-2141948	501(C)(3)			Ithaca	NY	14850	2	\$10,300.00
	4/8/2013	20130093			\$10,000.00								
	10/15/2013	20130195			\$300.00								
unrestricted													
Ithaca Youth	3487	Ithaca Youth Bureau	1 James L. Gibbs Drive			501(C)(3)			Ithaca	NY	14850	2	\$51,060.00
	4/8/2013	20130081			\$50,000.00								
	5/9/2013	20130139			\$1,060.00								
College Discovery Program													
College Discovery Program													

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

EIN# 16-1587553

-----2013-----
#Grants GrantTotal

SortName	ProfilD	AnnualRptName	Addr1	GrantDate	GrantNum	GrantAmt	ProgramName	Addr2	TaxID	TaxSts1	TaxSts2	TaxClassCd	City	State	Zip
Kitchen The	311	Kitchen Theatre	PO Box 429						16-1443072	501(C)(3)			Ithaca	NY	14851-0429
	4/8/2013	20130092			\$1,000.00		unrestricted								
	5/9/2013	20130140			\$700.00		unrestricted								
	7/23/2013	20130170			\$500.00		Artistic Director's Excellence Fund								
	11/11/2013	20130249			\$5,000.00		Professional Intern Program								
Lansing Com	8594	Lansing Community Library	27 Auburn Rd.							501(C)(3)			Lansing	NY	14882
	3/11/2013	20130065			\$7,300.00		Dig Into Reading @ the Lansing Community Library								
Lifelong	35	Lifelong	119 West Court Street						15-0591993	501(C)(3)			Ithaca	NY	14850
	11/11/2013	20130229			\$10,000.00		My Senior Center and website upgrade								
Loaves and	440	Loaves and Fishes	210 N. Cayuga St.						16-1271406	501(C)(3)			Ithaca	NY	14850
	4/8/2013	20130083			\$2,500.00		unrestricted								
	5/9/2013	20130138			\$200.00		unrestricted								
	7/8/2013	20130164			\$300.00		unrestricted								
	9/17/2013	20130183			\$10,000.00		unrestricted								
	10/15/2013	20130201			\$300.00		unrestricted								

-----2013-----	#Grants	GrantTotal
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EIN# 16-1587553

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

-----2013-----
#Grants GrantTotal

SortName	ProfilD	AnnualRptName	GrantDate	GrantNum	GrantAmt	Addr1	Addr2	TaxID	TaxSts1	TaxSts2	TaxClassCd	City	State	Zip	#Grants	GrantTotal
Seneca Falls	8879	Seneca Falls Library 47 Cayuga St.	3/11/2013	20130050	\$4,750.00			16-6075457	501(C)(3)			Seneca Falls	NY	13148	2	\$6,555.00
			3/11/2013	20130051	\$1,805.00			R.E.A.D.Seneca Falls (Relate, Express, Achieve, Design)								
			3/11/2013	20130051	\$1,805.00			S.U.M.M.E.R. Reading Program: Safe, Unforgettable, Magical, Musical, Enjoyable, Remarkable								
Shared Jour	9330	Shared Journey's - Civic Ensemble 832 N. Aurora St						13-3856797				Ithaca	NY	14850	4	\$10,000.00
			11/11/2013	20130240	\$4,500.00			2013-2014 & 2014-2015Community- Based Play								
			11/11/2013	20130241	\$1,000.00			2013-2014 & 2014-2015Community- Based Play								
			11/11/2013	20130242	\$1,000.00			2013-2014 & 2014-2015Community- Based Play								
			11/11/2013	20130243	\$3,500.00			2013-2014 & 2014-2015Community- Based Play								
Social Ventu	7183	Social Ventures, Inc 124 Westfield Drive						16-1564690	501(C)(3)			Ithaca	NY	14850	5	\$21,750.00
			5/17/2013	20130132	\$1,000.00			#38 School Success Transportation Coalition: Transportation Liaisons								
			5/21/2013	20130152	\$4,000.00			#39 Youth Farm Project								
			5/21/2013	20130159	\$1,000.00			#39 Youth Farm Project								
			7/8/2013	20130163	\$5,750.00			Sustainable Tompkins: for two Finger Lakes Climate Fund Grants to Newfield Residents								
			11/11/2013	20130230	\$10,000.00			Merging Local Sustainability Organizations								

EIN# 16-1587553

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

EIN# 16-1587553

SortName	ProfilD	AnnualRptName	Addr1	Addr2	TaxID	TaxSts1	TaxSts2	TaxClassCd	State	Zip	#Grants	GrantTotal
GrantDate	GrantNum	GrantAmt	ProgramName									
Southside C	308	Southside Community Center	305 South Plain St.		15-0539123	501(C)(3)	Ithaca		NY	14850	3	\$53,100.00
	2/27/2013	20130019	\$2,500.00	Girl Empowerment Program - 2013 Enrichment Projects								
	2/27/2013	20130029	\$600.00	Geared for Change								
	4/8/2013	20130109	\$50,000.00	unrestricted								
SPCA of To	80	SPCA of Tompkins County	1640 Hanshaw Road		15-0624378	501(C)(3)	Ithaca		NY	14850	2	\$5,935.00
	7/15/2013	20130166	\$350.00	March for the Animals								
	11/11/2013	20130248	\$5,585.00	Public Assistance								
State Theatr	8775	State Theatre of Ithaca, Inc.	105 W. State St.		30-0520118	501(C)(3)	Ithaca		NY	14850	3	\$30,500.00
	4/8/2013	20130119	\$25,000.00	unrestricted								
	8/8/2013	20130172	\$500.00	Restroom Renovation Project								
	9/4/2013	20130187	\$5,000.00	Birthday Bash								
Tompkins C	2553	Tompkins County Public Library Foundation	101 East Green St		16-1422052	501(C)(3)	Ithaca		NY	14850	5	\$24,650.00
	3/11/2013	20130064	\$5,250.00	Engaging Teens & Tweens at TCPL								
	4/8/2013	20130079	\$12,500.00	unrestricted								
	5/17/2013	20130131	\$1,550.00	#21 Engaging Teens & Tweens at TCPL								
	9/17/2013	20130182	\$5,000.00	unrestricted								
	10/15/2013	20130202	\$350.00	operating funds								

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

SortName	ProfilD	AnnualRptName	GrantDate	GrantNum	GrantAmt	ProgramName	Addr1	Addr2	TaxID	TaxSts1	City	TaxSts2	TaxClassCd	State	Zip	#Grants	GrantTotal
-----2013-----																	
Tompkins C	5198	Tompkins County Worker's Center 115 E. Martin Luther King Jr. St.	4/8/2013	20130071	\$5,000.00	unrestricted in memory of Kathy Yoselson			45-3135903	501(C)(3)	Ithaca			NY	14850	3	\$6,500.00
			10/29/2013	20130217	\$500.00	unrestricted											
			11/18/2013	20130257	\$1,000.00	unrestricted											
Tompkins C	3156	Tompkins County Youth Services 320 W. State St	11/11/2013	20130221	\$5,900.00	Results Bases Accountability Training				501(C)(3)	Ithaca			NY	14850	1	\$5,900.00
Town of Gro	2315	Town of Groton 101 Conger Boulevard	11/11/2013	20130223	\$9,480.00	Groton Youth Commission Groton Youth Employment Program					Groton			NY	13073	1	\$9,480.00
Ulysses Phil	66	Ulysses Phillomathic Library 74 E. Main St.	3/11/2013	20130068	\$7,191.00	Teen Reading Riot (with Edith B. Ford)			22-3260334	501(C)(3)	Trumansburg			NY	14886	3	\$8,091.00
			3/11/2013	20130069	\$600.00	Reader's Theater Showcase											
			10/15/2013	20130197	\$300.00	unrestricted											

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

SortName	ProfilD	AnnualRptName	Addr1	GrantDate	GrantNum	GrantAmt	ProgramName	Addr2	TaxID	TaxSts1	City	TaxSts2	TaxClassCd	State	Zip	#Grants	GrantTotal
-----2013-----																	
United Way	441	United Way of Tompkins County	313 North Aurora St.						15-0572883	501(C)(3)	Ithaca			NY	14850	3	\$6,600.00
	3/21/2013	20130073			\$600.00		Company Cornerstone Program										
	5/21/2013	20130123			\$5,000.00		Food Bank of the Southern Tier: Capital Campaign										
	10/15/2013	20130211			\$1,000.00		unrestricted										
US-RILM Off	7413	US-RILM Office	Cornell University						52-2274965	501(C)(3)	Ithaca			NY	14853	1	\$27,174.00
	2/11/2013	20130005			\$27,174.00		Annual designated payout to US-RILM for period Jan. 1 - Dec. 31, 2013										
Village at Ith	3476	Village at Ithaca	609 West Clinton St.						16-1554144	501(C)(3)	Ithaca			NY	14850	2	\$15,000.00
	4/8/2013	20130106			\$10,000.00		unrestricted										
	5/21/2013	20130151			\$5,000.00		#35 Family Advocacy										
Waverly Fre	9205	Waverly Free Library	18 Elizabeth St.						15-0592911		Waverly			NY	14892	1	\$14,915.00
	3/11/2013	20130057			\$14,915.00		LIFT (Libraries for TWeens)										
Women's O	3475	Women's Opportunity Center	315 North Tioga St.						16-1482758	501(C)(3)	Ithaca			NY	14850	3	\$6,110.00
	2/27/2013	20130024			\$1,000.00		Non-Traditional Scholarship Fund										
	4/8/2013	20130088			\$2,500.00		unrestricted										
	5/21/2013	20130137			\$2,610.00		unrestricted										

Community Foundation of Tompkins County

Tax Form 990 - Grantees with \$5,000 or more in Grants in 2013

-----2013-----

#Grants

State Zip

GrantAmt ProgramName

146

Report Totals:

Tax Form 990 - Grantees with \$5,000 or more in Grants

-----2013-----

#Grantees #Grants

146

\$881,283.00

EIN# 16-1587553

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions. COMMUNITY FOUNDATION OF TOMPKINS COUNTY, INC.	Employer identification number (EIN) or 16-1587553
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions 309 N. AURORA STREET	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions ITHACA, NY 14850	

Enter the Return code for the return that this application is for (file a separate application for each return)

01

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE ORGANIZATION

- The books are in the care of ► **309 N. AURORA STREET - ITHACA, NY 14850**

Telephone No ► **607-272-9333**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2013** or
► ☐ tax year beginning _____, and ending _____

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.