



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue ServiceDo not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation GRIGG-LEWIS FOUNDATION, INC.		A Employer identification number 16-1550858
Number and street (or P O box number if mail is not delivered to street address) 76 WEST AVENUE	Room/suite	B Telephone number 716-478-0002
City or town, state or province, country, and ZIP or foreign postal code LOCKPORT, NY 14094		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 45,211,559.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,221,443.	1,221,443.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,281,210.			
	b Gross sales price for all assets on line 6a	8,944,368.			
	7 Capital gain net income (from Part IV, line 2)		1,281,210.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	<933.>	<933.>		STATEMENT 2	
12 Total. Add lines 1 through 11	2,501,720.	2,501,720.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	132,000.	52,800.		79,200.
	14 Other employee salaries and wages	43,240.	10,810.		32,430.
	15 Pension plans, employee benefits	6,678.	1,670.		5,009.
	16a Legal fees STMT 3	2,380.	0.		2,380.
	b Accounting fees STMT 4	16,400.	5,740.		10,660.
	c Other professional fees STMT 5	281,687.	281,687.		0.
	17 Interest				
	18 Taxes STMT 6	32,401.	2,538.		7,588.
	19 Depreciation and depletion	1,328.	0.		
	20 Occupancy	13,944.	0.		13,944.
	21 Travel, conferences, and meetings	4,530.	0.		4,530.
	22 Printing and publications				
	23 Other expenses STMT 7	44,135.	2,311.		41,824.
	24 Total operating and administrative expenses. Add lines 13 through 23	578,723.	357,556.		197,565.
	25 Contributions, gifts, grants paid	2,442,751.			2,092,751.
26 Total expenses and disbursements. Add lines 24 and 25	3,021,474.	357,556.		2,290,316.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<519,754.>				
b Net investment income (if negative, enter -0-)		2,144,164.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		30,701.	89,081.	89,081.
	2	Savings and temporary cash investments		3,858,136.	3,086,600.	3,086,600.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶		565.		
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶	195,000.			
		Less: allowance for doubtful accounts ▶	0.	350,000.	195,000.	195,000.
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		24,833.	25,755.	25,755.
	10a	Investments - U.S. and state government obligations	STMT 9	8,129,350.	7,296,504.	7,296,504.
	b	Investments - corporate stock	STMT 10	21,818,059.	24,849,092.	24,849,092.
	c	Investments - corporate bonds	STMT 11	8,339,776.	9,059,782.	9,059,782.
	11	Investments - land, buildings, and equipment: basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 12	539,400.	607,752.	607,752.	
14	Land, buildings, and equipment: basis ▶	9,189.				
	Less accumulated depreciation	STMT 13 ▶	7,196.	3,321.	1,993.	
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		43,094,141.	45,211,559.	45,211,559.	
Liabilities	17	Accounts payable and accrued expenses		73,166.	12,885.	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		73,166.	12,885.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		43,020,975.	45,198,674.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		43,020,975.	45,198,674.		
31	Total liabilities and net assets/fund balances		43,094,141.	45,211,559.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	43,020,975.
2	Enter amount from Part I, line 27a	2	<519,754.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	2,697,453.
4	Add lines 1, 2, and 3	4	45,198,674.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	45,198,674.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b CAPITAL GAINS DIVIDENDS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,639,909.		7,663,158.	976,751.
b 304,459.			304,459.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			976,751.
b			304,459.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,281,210.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,898,997.	41,236,840.	.046051
2011	1,967,173.	40,824,164.	.048186
2010	1,759,084.	38,660,563.	.045501
2009	1,949,980.	35,220,469.	.055365
2008	2,542,198.	41,613,128.	.061091

2 Total of line 1, column (d)	2	.256194
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051239
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	43,372,037.
5 Multiply line 4 by line 3	5	2,222,340.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	21,442.
7 Add lines 5 and 6	7	2,243,782.
8 Enter qualifying distributions from Part XII, line 4	8	2,485,316.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	21,442.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	21,442.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	21,442.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	30,246.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	30,246.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,804.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 8,804. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.GRIGGLEWIS.ORG	13	X	
14	The books are in care of ► CHRIS SMITH Telephone no. ► 716-478-0002 Located at ► 76 WEST AVENUE, LOCKPORT, NY ZIP+4 ► 14094			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		132,000.	0.	885.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
HARTFORD FINANCIAL MANAGEMENT 40 PRATT STREET, HARTFORD, CT 06155	INVESTMENT MANAGEMENT	123,260.
MORGAN STANLEY - 50 FOUNTAIN PLAZA, SUITE 800, BUFFALO, NY 14202	INVESTMENT MANAGEMENT	53,396.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	SEE STATEMENT 15	195,000.
2		
3	All other program-related investments. See instructions.	
Total. Add lines 1 through 3		195,000.

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	40,965,009.
b	Average of monthly cash balances	1b	2,621,428.
c	Fair market value of all other assets	1c	446,088.
d	Total (add lines 1a, b, and c)	1d	44,032,525.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	44,032,525.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	660,488.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	43,372,037.
6	Minimum investment return. Enter 5% of line 5	6	2,168,602.

Part XII**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,168,602.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	21,442.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	21,442.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,147,160.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,147,160.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,147,160.

Part XIII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,290,316.
b	Program-related investments - total from Part IX-B	1b	195,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,485,316.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	21,442.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,463,874.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,147,160.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			484,567.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 2,485,316.				
a Applied to 2012, but not more than line 2a			484,567.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				2,000,749.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				146,411.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT B				2,092,751.
Total			3a	2,092,751.
b Approved for future payment				
CATHOLIC CHARITIES OF BUFFALO 741 DELAWARE AVENUE BUFFALO, NY 14209		501C3	HUMAN RESOURCES	25,000.
CITY OF LOCKPORT 1 LOCKS PLAZA LOCKPORT, NY 14094		GOVT	YOUTH AND SCHOOL PROGRAMS	22,558.
KENAN CENTER 433 LOCUST STREET LOCKPORT, NY 14094		501C3	ARTS CULTURE	86,100.
Total	SEE CONTINUATION SHEET(S)			3b 4,550,658.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	1,221,443.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			18	<933.>		
8 Gain or (loss) from sales of assets other than inventory			18	1,281,210.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		2,501,720.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	2,501,720.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, this is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No	
	Signature of officer or trustee <i>[Signature]</i>		Date <i>15-12-14</i>		Title <i>Ex Dir</i>	
Paid Preparer Use Only	Print/Type preparer's name JOHN P. SCHIAVONE		Preparer's signature <i>[Signature]</i>		Date <i>5/12/14</i>	
	Firm's name ▶ LUMSDEN & MCCORMICK, LLP		Check ☐ if self-employed		PTIN P00040031	
	Firm's address ▶ 369 FRANKLIN STREET BUFFALO, NY 14202				Phone no. (716) 856-3300	

Part XVI Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LOCKPORT ICE ARENA & SPORTS CENTER, INC. 172 EAST AVENUE LOCKPORT, NY 14094		501C3	COMMUNITY SERVICE	3,370,000.
LOCKPORT MAIN STREET, INC. 1 EAST MAIN STREET LOCKPORT, NY 14094		501C3	COMMUNITY SERVICE	35,000.
PALACE THEATER 2 EAST AVENUE LOCKPORT, NY 14094		501C3	ARTS	22,000.
THE DALE ASSOCIATION 315 BEWLEY BLDG. LOCKPORT, NY 14094		501C3	ELDERLY	170,000.
YMCA OF LOCKPORT 19 EAST AVENUE LOCKPORT, NY 14094		501C3	HUMAN SERVICE	500,000.
NIAGARA UNIVERSITY 5795 LEWISTON ROAD NIAGARA UNIVERSITY, NY 14109		501C3	EDUCATION	190,000.
IT HAPPENED TO ALEXA FOUNDATION, INC. 411 CENTER STREET LEWISTON, NY 14092		501C3	HUMAN SERVICE	10,000.
BUFFALO PHILHARMONIC ORCHESTRA FOUNDATION, INC. 499 FRANKLIN STREET BUFFALO, NY 14202		501C3	ARTS CULTURE	25,000.
COLD SPRING CEMETARY ASSOCIATION 4949 COLD SPRINGS ROAD LOCKPORT, NY 14094		501C3	COMMUNITY SERVICE	10,000.
NEW DIRECTIONS YOUTH AND FAMILY SERVICES, INC. 6395 OLD NIAGARA ROAD LOCKPORT, NY 14094		501C3	YOUTH	45,000.
Total from continuation sheets				4,417,000.

3 Grants and Contributions Approved for Future Payment (Continuation)

Total from continuation sheets

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
-------------	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CAPITAL GAINS					
DIVIDENDS	304,459.	304,459.	0.	0.	
INTEREST AND DIVIDENDS FROM INVESTMENTS	1,221,443.	0.	1,221,443.	1,221,443.	
TO PART I, LINE 4	1,525,902.	304,459.	1,221,443.	1,221,443.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NA REALTY FUND I LLC	41,467.	41,467.	
PRI INTEREST	<42,400.>	<42,400.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	<933.>	<933.>	

FORM 990-PF	LEGAL FEES	STATEMENT	3
-------------	------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	2,380.	0.		2,380.
TO FM 990-PF, PG 1, LN 16A	2,380.	0.		2,380.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	16,400.	5,740.		10,660.	
TO FORM 990-PF, PG 1, LN 16B	16,400.	5,740.		10,660.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEES	281,687.	281,687.		0.	
TO FORM 990-PF, PG 1, LN 16C	281,687.	281,687.		0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL TAXES	10,151.	2,538.		6,838.	
NYS FILING FEE	750.	0.		750.	
FEDERAL EXCISE TAX	21,500.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	32,401.	2,538.		7,588.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSE	6,582.	0.		6,582.	
INSURANCE	4,099.	0.		4,099.	
EQUIPMENT RENTAL	559.	0.		559.	
DIRECT PAYMENTS FOR THE BENEFIT OF GRANTEEES	25,485.	0.		25,485.	

TELEPHONE	2,415.	0.	2,415.
DUES AND SUBSCRIPTIONS	4,622.	2,311.	2,311.
POSTAGE AND DELIVERY	373.	0.	373.
TO FORM 990-PF, PG 1, LN 23	44,135.	2,311.	41,824.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
-------------	--	-----------	---

DESCRIPTION	AMOUNT
UNREALIZED GAIN/LOSS ON INVESTMENTS	2,697,453.
TOTAL TO FORM 990-PF, PART III, LINE 3	2,697,453.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	9
-------------	--	-----------	---

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVERNMENT OBLIGATIONS -SEE ATTACHMENT A	X		7,296,504.	7,296,504.
TOTAL U.S. GOVERNMENT OBLIGATIONS			7,296,504.	7,296,504.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			7,296,504.	7,296,504.

FORM 990-PF	CORPORATE STOCK	STATEMENT	10
-------------	-----------------	-----------	----

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHMENT A	24,849,092.	24,849,092.
TOTAL TO FORM 990-PF, PART II, LINE 10B	24,849,092.	24,849,092.

FORM 990-PF	CORPORATE BONDS	STATEMENT 11
-------------	-----------------	--------------

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHMENT A	9,059,782.	9,059,782.
TOTAL TO FORM 990-PF, PART II, LINE 10C	9,059,782.	9,059,782.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 12
-------------	-------------------	--------------

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	FMV	141,781.	141,781.
REAL ESTATE LLC	FMV	465,971.	465,971.
TOTAL TO FORM 990-PF, PART II, LINE 13		607,752.	607,752.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 13
-------------	--	--------------

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	1,490.	1,490.	0.
COMPUTER	1,099.	1,099.	0.
COMPUTER UPGRADES	1,955.	1,955.	0.
CONFERENCE ROOM FURNISHINGS	2,869.	1,434.	1,435.
LAPTOP	989.	825.	164.
COMPUTER	787.	393.	394.
TOTAL TO FM 990-PF, PART II, LN 14	9,189.	7,196.	1,993.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 14
-------------	---	--------------

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM B. MAY 76 WEST AVENUE LOCKPORT, NY 14094	SECRETARY/EXEC 35.00	DIRECTOR 82,000.	0.	640.
CHRISTA CALDWELL 76 WEST AVENUE LOCKPORT, NY 14094	PRESIDENT 2.00	10,000.	0.	125.
R. THOMAS WEEKS 76 WEST AVENUE LOCKPORT, NY 14094	DIRECTOR 2.00	10,000.	0.	0.
DAN L. WILSON 76 WEST AVENUE LOCKPORT, NY 14094	TREASURER 2.00	10,000.	0.	0.
MARY F. MURPHY 76 WEST AVENUE LOCKPORT, NY 14094	DIRECTOR 2.00	10,000.	0.	120.
BRIAN COSTELLO 76 WEST AVENUE LOCKPORT, NY 14094	DIRECTOR 2.00	10,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		132,000.	0.	885.

FORM 990-PF	SUMMARY OF PROGRAM-RELATED INVESTMENTS	STATEMENT 15
-------------	--	--------------

DESCRIPTION

DURING 2013 THE FOUNDATION AWARDED A \$240,000 PROGRAM RELATED INVESTMENT LOAN TO THE DALE ASSOCIATION FOR THE ORGANIZATION'S "STRENGTHENING OUR ABILITY TO SERVE - BUILDING PROJECT". THE ORGANIZATION BEGAN REPAYMENT DURING THE YEAR AND THE AMOUNT REPORTED BY THE FOUNDATION REPRESENTS THE OUTSTANDING BALANCE AT YEAR-END.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

195,000.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 16

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CHRIS SMITH
76 WEST STREET
LOCKPORT, NY 14094

TELEPHONE NUMBER

716-478-0002

FORM AND CONTENT OF APPLICATIONS

APPLICATION AVAILABLE ONLINE. APPLICATION CAN BE SUBMITTED ELECTRONICALLY
OR BY MAIL.

ANY SUBMISSION DEADLINES

5/15/14, 9/15/14, 11/16/14

RESTRICTIONS AND LIMITATIONS ON AWARDS

FUNDING PRIORITY IS TO ORGANIZATIONS LOCATED IN THE NIAGARA COUNTY AREA.

FORM 990-PF PAGE 1

328111
05-01-13

26

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

Charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Total A = US and State Government Obligations \$ 7,296,504
Total B = Corporate stock \$ 24,849,092
Total C = Corporate bonds \$ 9,059,782

Account Number
3909-8045
Statement Period
December 1-31, 2013

Investment Detail - Fixed Income

U.S. Treasuries	Par	Market Price	Market Value	% of Account Assets
US TREASURY 5%05/37 (M) UST BOND DUE 05/15/37 CUSIP: 912810PU6	600,000.0000	118.4531	710,718.60	2%
US TREASURY 4.625%02/40 (M) UST BOND DUE 02/15/40 CUSIP: 912810QE1	600,000.0000	112.3750	674,250.00	2%
Total U.S. Treasuries			A 1,384,968.60	4%

Corporate Bonds	Par	Market Price	Market Value	% of Account Assets
BANK AMER CORP 5.75%16 (M) DUE 08/15/16 CUSIP: 060505CL6 MOODY'S: Baa3 S&P: BBB+	500,000.0000	109.9259	549,629.50	2%
GENERAL ELECTRIC 5.5%20 (M) DUE 01/08/20 CUSIP: 36962G4J0 MOODY'S: A1 S&P: AA+	300,000.0000	114.3542	343,062.60	1%
MICROSOFT CORP 3%20 (M) DUE 10/01/20 CUSIP: 594918AH7 MOODY'S: Aaa S&P: AAA	250,000.0000	101.7101	254,275.25	<1%
ORACLE CORPORAT 2.375%19 (M) DUE 01/15/19 CUSIP: 68389XAQ8 MOODY'S: A1 S&P: A+	300,000.0000	100.7498	302,249.40	<1%
Total Corporate Bonds			A 1,449,216.75	4%

Accrued Interest: 10,861.11

Accrued Interest: 7,929.17

Accrued Interest: 1,875.00

Accrued Interest: 3,265.63

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC
ATTACHMENT A

Account Number
3909-8045
Statement Period
December 1-31, 2013

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)		Par	Market Price	Market Value	% of Account Assets
UBS AG 4.875%20F		250,000.0000	110.9259	277,314.75	<1%
DUE 08/04/20					
UBS AG					
CUSIP: 90261XGD8					
MOODY'S: A2 S&P: A					Accrued Interest: 4,976.56
UNILEVER CAP CORP 2.2%19 (M)		250,000.0000	99.6764	249,191.00	<1%
DUE 03/06/19					
CUSIP: 904764AQ0					
MOODY'S: A1 S&P: A+					Accrued Interest: 1,756.94
Total Corporate Bonds		4,850,000.0000		C 1,975,722.50	6%

Mortgage Pools		Par	Market Price	Market Value	% of Account Assets
FHLMC A29689 5%35 (M)		1,000,000.0000	108.3450	298,456.13	<1%
DUE 01/01/35					
CUSIP: 31297HXS3					
FACTOR= .275468300					
REMAIN PRIN=\$275,468.30					
FHLMC A29752 5%35 (M)		1,000,000.0000	108.3137	189,994.23	<1%
DUE 01/01/35					
CUSIP: 31297HXR3					
FACTOR= .175411080					
REMAIN PRIN=\$175,411.08					

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC
ATTACHMENT A
Account Number
3909-8045
Statement Period
December 1-31, 2013

Investment Detail - Fixed Income (continued)

Mortgage Pools (continued)		Par	Market Price	Market Value	% of Account Assets
FHLMC C44969	7%30 (M)	326,061.0000	105.8718	1,035.98	<1%
DUE 11/01/30					
CUSIP: 31298DQW0					
FACTOR= .003001040					
REMAIN PRIN=\$978.52					
FHLMC C80391	7%26 (M)	100,000.0000	113.3302	766.89	<1%
DUE 03/01/26					
CUSIP: 31335GNG6					
FACTOR= .006766860					
REMAIN PRIN=\$676.69					
FHLMC G08014	5%34 (M)	500,000.0000	108.3450	69,516.14	<1%
DUE 10/01/34					
CUSIP: 3128MJAQ3					
FACTOR= .128323670					
REMAIN PRIN=\$64,161.84					
FNMA PL 255629	5%35 (M)	1,000,000.0000	108.9479	145,112.70	<1%
DUE 02/01/35					
CUSIP: 31371L4N6					
FACTOR= .133194580					
REMAIN PRIN=\$133,194.58					
FNMA PL 256101	5.5%36 (M)	1,060,000.0000	110.3398	100,689.47	<1%
DUE 02/01/36					
CUSIP: 31371MNS2					
FACTOR= .086088670					
REMAIN PRIN=\$91,253.99					

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC
EIN: 16-1550858
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC
ATTACHMENT A
Account Number
3909-8045
Statement Period
December 1-31, 2013

Investment Detail - Fixed Income (continued)

Mortgage Pools (continued)	Par	Market Price	Market Value	% of Account Assets
FNMA PL 736453 5%18 (M) DUE 08/01/18 CUSIP: 31402SE29 FACTOR= .082272980 REMAIN PRIN=\$37,845.57	460,000.0000	107.1824	40,563.79	<1%
FNMA PL 776422 5%34 (M) DUE 06/01/34 CUSIP: 31404RSK4 FACTOR= .220413080 REMAIN PRIN=\$66,123.92	300,000.0000	109.8854	72,660.54	<1%
FNMA PL 805184 5%35 (M) DUE 01/01/35 CUSIP: 31406BRD4 FACTOR= .183572180 REMAIN PRIN=\$183,572.18	1,000,000.0000	108.9479	199,998.04	<1%
FNMA PL 850566 5%36 (M) DUE 01/01/36 CUSIP: 31408F6B0 FACTOR= .076477040 REMAIN PRIN=\$13,765.87	180,000.0000	108.9479	14,997.62	<1%
GNMA PL 318571 8%22 (M) DUE 02/15/22 CUSIP: 36223U2C1 FACTOR= .015841770 REMAIN PRIN=\$2,376.27	150,000.0000	107.2251	2,547.95	<1%

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
3909-8045
Statement Period
December 1-31, 2013

Investment Detail - Fixed Income (continued)

Mortgage Pools (continued)	Par	Market Price	Market Value	% of Account Assets
GNMA PL 439538 7%27 (M)	99,077.0000	114.3876	1,658.55	<1%
DUE 04/15/27				
CUSIP: 36207RHP3				
FACTOR= .014634460				
REMAIN PRIN=\$1,449.94				

Total Mortgage Pools

7,175,192,000

A 1,417,998.03

3%

CMO & Asset Backed Securities

	Par	Market Price	Market Value	% of Account Assets
BANC AMER FDG CO 5.75%35	1,000,000.0000	100.5560	668,832.57	2%
REMIC DUE 11/25/35				
-057-				
CUSIP: 05946XU92				
MOODY'S: B1 S&P: AAA				
FACTOR= .665134418				
REMAIN PRIN=\$665,134.42				

Total CMO & Asset Backed Securities

1,000,000,000

C 668,832.57

2%

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
3909-8045
Statement Period
December 1-31, 2013

Investment Detail - Equities

Equities	Quantity	Market Price	Market Value	% of Account Assets
A T & T INC NEW ^(M) SYMBOL: T	8,068.0000	35.1600	283,670.88	<1%
ABBOTT LABORATORIES ^(M) SYMBOL: ABT	9,000.0000	38.3300	344,970.00	1%
AUTO DATA PROCESSING ^(M) SYMBOL: ADP	8,000.0000	80.7990	646,392.00	2%
BAXTER INTERNATIONAL INC ^(M) SYMBOL: BAX	7,000.0000	69.5500	486,850.00	1%
BRISTOL-MYERS SQUIBB CO ^(M) SYMBOL: BMY	10,000.0000	53.1500	531,500.00	2%
C S X CORP ^(M) SYMBOL: CSX	10,000.0000	28.7700	287,700.00	<1%
C V S CAREMARK CORP ^(M) SYMBOL: CVS	15,000.0000	71.5700	1,073,550.00	3%
CATERPILLAR INC ^(M) SYMBOL: CAT	5,000.0000	90.8100	454,050.00	1%
CHEVRON CORPORATION ^(M) SYMBOL: CVX	8,500.0000	124.9100	1,061,735.00	3%
CORNING INC ^(M) SYMBOL: GLW	15,000.0000	17.8200	267,300.00	<1%
COSTCO WHSL CORP NEW ^(M) SYMBOL: COST	4,000.0000	119.0200	476,080.00	1%
			Accrued Dividend: 3,840.00	
			Accrued Dividend: 3,430.00	

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
Schwab One® Account of
ATTACHMENT A
GRIGG LEWIS FOUNDATION INC

Account Number
3909-8045
Statement Period
December 1-31, 2013

Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets
DANAHER CORP DEL (M) SYMBOL: DHR	12,000.0000	77.2000	926,400.00	3% Accrued Dividend: 300.00
DISNEY WALT CO (M) SYMBOL: DIS	8,500.0000	76.4000	649,400.00	2% Accrued Dividend: 7,310.00
DOMINION RES INC VA NEW (M) SYMBOL: D	5,012.0000	64.6900	324,226.28	<1%
E M C CORP MASS (M) SYMBOL: EMC	25,000.0000	25.1500	628,750.00	2%
EXXON MOBIL CORPORATION (M) SYMBOL: XOM	7,000.0000	101.2000	708,400.00	2%
GENERAL ELECTRIC COMPANY (M) SYMBOL: GE	11,600.0000	28.0300	325,148.00	<1% Accrued Dividend: 2,552.00
HOME DEPOT INC (M) SYMBOL: HD	5,000.0000	82.3400	411,700.00	1%
INTEL CORP (M) SYMBOL: INTC	4,000.0000	25.9550	103,820.00	<1%
JPMORGAN CHASE & CO (M) SYMBOL: JPM	12,000.0000	58.4800	701,760.00	2%
KIMBERLY-CLARK CORP (M) SYMBOL: KMB	3,000.0000	104.4600	313,380.00	<1% Accrued Dividend: 2,430.00
KRAFT FOODS GROUP (M) SYMBOL: KRFT	3,333.0000	53.9100	179,682.03	<1% Accrued Dividend: 1,749.83

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC
ATTACHMENT A

Account Number
3909-8045
Statement Period
December 1-31, 2013

Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets
LINSCO LLC ^(M) SYMBOL: LNCO	10,000.0000	30.8100	308,100.00	<1%
MEADWESTVACO CORPORATION ^(M) SYMBOL: MWV	10,000.0000	36.9300	369,300.00	1%
MONDELEZ INTL INC CL A ^(M) SYMBOL: MDLZ	10,000.0000	35.3000	353,000.00	1%
PEPSICO INCORPORATED ^(M) SYMBOL: PEP	5,000.0000	82.9400	414,700.00	1%
QUALCOMM INC ^(M) SYMBOL: QCOM	4,700.0000	74.2500	348,975.00	1%
REGAL ENTERTAINMENT GRP ^(M) SYMBOL: RGC	20,000.0000	19.4500	389,000.00	1%
TARGET CORPORATION ^(M) SYMBOL: TGT	4,000.0000	63.2700	253,080.00	<1%
UNITED TECHNOLOGIES CORP ^(M) SYMBOL: UTX	9,000.0000	113.8000	1,024,200.00	3%
VERIZON COMMUNICATIONS ^(M) SYMBOL: VZ	6,251.0000	49.1400	307,174.14	<1%
VODAFONE GROUP NEW ADR F ^(M) SPONSORED ADR 1 ADR REP 10 ORD SYMBOL: VOD	12,000.0000	39.3100	471,720.00	1%

Accrued Dividend: 2,837.50

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
Schwab One® Account of
ATTACHMENT A
GRIGG LEWIS FOUNDATION INC

Account Number
3909-8045
Statement Period
December 1-31, 2013

Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets
WELLS FARGO & CO NEW (M) SYMBOL: WFC	10,000.0000	45.4000	454,000.00	1%
Total Equities				49%

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets
GOLDMAN SACHS STRATEGIC (M), ^o INCM FD INST SYMBOL: GSZIX	60,304.6240	10.6600	642,847.29	2%
GOLDMAN SACHS STRATEGIC (M), ^o INCM FD INST SYMBOL: GSZIX	0.0040	10.6600	0.04	<1%
JANUS SHORT TERM BD T (M) SYMBOL: JASBX	72,087.8720	3.0700	221,309.77	<1%
LOOMIS SAYLES BOND FUND (M) CL I SYMBOL: LSBDX	54,345.2240	15.1600	823,873.60	3%
LORD ABBETT SHORT DJR (M), ^o INCOME FD CL A SYMBOL: LALSZ	89,070.8190	4.5500	405,272.23	1%
LORD ABBETT SHORT DJR (M), ^o INCOME FD CL A SYMBOL: LALSZ	43,913.5940	4.5500	199,806.85	<1%

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
Schwab One® Account of
ATTACHMENT A
GRIGG LEWIS FOUNDATION INC

Account Number
3909-8045

Statement Period
December 1-31, 2013

Investment Detail - Mutual Funds (continued)

Bond Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets
OPPENHEIMER SENIOR (M) FLOATING RATE A SYMBOL: OOSAX	71,689.6740	8.4300	604,343.95	2%
TEMPLETON GLOBAL BOND (M) FUND CL A SYMBOL: TPICZ	48,179.0840	13.1400	633,073.16	2%
Total Bond Funds		129,868.7580	1,237,417.11	10%

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets
AMERICAN FD EUROPACIFIC (M) GWTH FD CL F2 SYMBOL: AEPFX	47,271.6940	48.9800	2,315,367.57	7%
ROYCE PREMIER FUND (M) INVESTMENT CL SYMBOL: RYPRX	93,846.8160	22.1100	2,074,953.10	6%
Total Equity Funds		141,118.5100	4,390,320.67	13%

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
3909-8045
Statement Period
December 1-31, 2013

Investment Detail - Other Assets

Other Assets	Quantity	Market Price	Market Value	% of Account Assets
FIRST TR NYSE ARCA (M) BIOTECH INDEX FUND SYMBOL: FBT	10,000.0000	69.1800	691,800.00	2%
ISHARES U S PFD ETF (M) U S PFD STK SYMBOL: PFF	10,750.0000	36.8300	395,922.50	1%
Accrued Dividend: 6,601.35				
LIBERTY PROPERTY TRUST (M) REIT SYMBOL: LRY	14,000.0000	33.8700	474,180.00	1%
RAYONIER INC (M) REIT SYMBOL: RYN	9,000.0000	42.1000	378,900.00	1%

Total Other Assets

60,750.0000

B 1,910,802.50

6%

GRIGG LEWIS FOUNDATION, INC.
 EIN: 16-1550858
 ATTACHMENT A

Account 916-057052
 GRIGG LEWIS FOUNDATION
 ATTN WILLIAM B MAY
 CORPORATION

Reporting Period: December 1 - 31, 2013

MONTHLY STATEMENT

HOLDINGS DETAIL

FIXED INCOME			
Investment Description	Symbol/ CUSIP	Quantity	Closing Price
US TREASURY 05.250% 02/15/29 5.25% 02/15/2029	912810FG8	2,500	\$120.375
TOTAL FIXED INCOME			A \$3,009,375.00

Investment Description	Symbol/ CUSIP	Quantity	Closing Price
ISHARES TLT Jan 18 14 130.0 P	-	(120)	\$28.14
			\$(337,680.00)

GRIGG LEWIS FOUNDATION, INC.

EIN: 16-1550858
ATTACHMENT A

Account 916-057052
GRIGG LEWIS FOUNDATION
ATTN WILLIAM B MAY
CORPORATION

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

Investment Description	Symbol/ CUSIP	Quantity	Closing Price	Market Value
EXPRESS SCRIPTS HOLDING CO COM	ESRX	567	\$70.24	\$39,826.08
HEWLETT PACKARD CO COM	HPQ	4,120	27.98	115,277.60

Total Equities B (182,576.32)

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723
Statement Period
December 1-31, 2013

Investment Detail - Fixed Income

U.S. Treasuries	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
US TREASUR NT 2.25%05/14	83,000.0000		100.8750	83,726.25	82,916.46		809.79 ^b	1,867.50
UST NOTE DUE 05/31/14 CUSIP: 912828KV1	83,000.0000		98.8750	82,066.25 [†]	82,916.46	07/24/09	809.79 ^b	2.49% Accrued Interest: 164.18
US TREASUR NT 3.25%05/16	90,000.0000		106.4688	95,821.92	90,128.09		5,693.83 ^b	2,925.00
UST NOTE DUE 05/31/16 CUSIP: 912828KW9	90,000.0000		100.3750	90,337.50 [†]	90,128.09	07/24/09	5,693.83 ^b	3.18% Accrued Interest: 257.14
US TREASURY 3.125%05/19	55,000.0000		106.5000	58,575.00	53,578.88		4,996.12 ^b	1,718.75
UST BOND DUE 05/15/19 CUSIP: 912828KQ2	55,000.0000		95.6250	52,593.75 [†]	53,578.88	07/24/09	4,996.12 ^b	3.65% Accrued Interest: 223.15
Total U.S. Treasuries				228,000.0000	226,023.69		11,499.74 ^b	6,501.25

Agency Securities	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
FFCB 5.125%16	295,000.0000		111.5725	329,138.88	306,129.28		23,009.60 ^b	15,118.75
DUE 08/25/16	295,000.0000		109.3400	322,553.00 [†]	306,129.28	07/24/09	23,009.60 ^b	3.61% Accrued Interest: 5,291.56
CUSIP: 31331V2U9								
MOODY'S: Aaa S&P: AA+								

Charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723
Statement Period
December 1-31, 2013

Investment Detail - Fixed Income (continued)

Agency Securities (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
FHLMC 3.75%19	120,000.0000		108.6942	130,433.04	134,886.60		(4,453.56) ^b	4,500.00
DUE 03/27/19	120,000.0000		115.8052	138,966.24	134,886.60	07/02/12	(4,453.56) ^b	1.29%
CUSIP: 3137EACA5								
MOODY'S: Aaa S&P: AA+								
Accrued Interest: 1,175.00								
FHLMC 2.375%22	160,000.0000		95.2235	152,357.60	164,764.67		(12,407.07) ^b	3,800.00
DUE 01/13/22	160,000.0000		103.4820	165,571.20	164,764.67	07/02/12	(12,407.07) ^b	1.97%
CUSIP: 3137EADB2								
MOODY'S: Aaa S&P: AA+								
Accrued Interest: 1,773.33								
FNMA 5%17	145,000.0000		112.8420	163,620.90	150,863.89		12,757.01 ^b	7,250.00
DUE 05/11/17	145,000.0000		108.6730	157,575.85 ^t	150,863.89	07/24/09	12,757.01 ^b	3.70%
CUSIP: 31359MTX5								
MOODY'S: Aaa S&P: AA+								
Accrued Interest: 1,006.94								
FNMA 2.625%14	440,000.0000		102.1721	449,557.24	449,130.52		426.72 ^b	11,550.00
DUE 11/20/14	240,000.0000		104.4211	250,610.64	244,929.48	12/21/12	283.56 ^b	0.29%
CUSIP: 31398AZV7	200,000.0000		103.9040	207,808.00	204,201.04	03/27/13	143.16 ^b	0.24%
MOODY'S: Aaa S&P: AA+								
Cost Basis				458,418.64				
Accrued Interest: 1,315.42								

Total Agency Securities 1,300,000.0000

A 1,225,407.66

1,205,774.96

19,332.70^b

42,218.75

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A

Account Number
5531-7723

Corporate Bonds (continued)	Par Units Purchased	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
EI DUPONT DE NE 5.875%14 DUE 01/15/14 CUSIP: 263534BV0 MOODY'S: A2 S&P: A	11,000.0000	100.1345	11,014.80	11,009.62	5.18 ^b	646.25
	11,000.0000	109.5750	12,053.25 ^t	11,009.62	5.18 ^b	3.53%
						Accrued Interest: 297.99
FEDEX CORPORATION 8%19 DUE 01/15/19 CUSIP: 31428XAR7 MOODY'S: Baa1 S&P: BBB	75,000.0000	124.4672	93,350.40	81,773.54	11,576.86 ^b	6,000.00
	75,000.0000	115.0490	86,286.75 ^t	81,773.54	11,576.86 ^b	5.90%
						Accrued Interest: 2,766.67
GOLDMAN SACHS GRO 7.5%19 DUE 02/15/19 CUSIP: 38141EA25 MOODY'S: Baa1 S&P: A-	75,000.0000	121.5503	91,162.73	80,855.72	10,307.01 ^b	5,625.00
	75,000.0000	112.9640	84,723.00 ^t	80,855.72	10,307.01 ^b	5.71%
						Accrued Interest: 2,125.00
HARTFORD FINL S 5.125%22 DUE 04/15/22 CUSIP: 416518AB4 MOODY'S: Baa3 S&P: BBB	75,000.0000	108.7341	81,550.58	84,122.60	(2,572.02) ^b	3,843.75
	75,000.0000	113.6770	85,257.75	84,122.60	(2,572.02) ^b	3.42%
						Accrued Interest: 811.46
JPMORGAN CHASE 6.3%19 DUE 04/23/19 CUSIP: 46625HHL7 MOODY'S: A3 S&P: A	75,000.0000	117.6725	88,254.38	77,212.90	11,041.48 ^b	4,725.00
	75,000.0000	104.8200	78,615.00 ^t	77,212.90	11,041.48 ^b	5.64%
						Accrued Interest: 892.50

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A

Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723

Corporate Bonds (continued)	Par Units Purchased	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
THE KROGER CO. 6.4%17	75,000.0000	114.8169	86,112.68	77,859.98	8,252.70 ^b	4,800.00
DUE 08/15/17	75,000.0000	107.6070	80,705.25 ^t	77,859.98	8,252.70 ^b	5.23%
CUSIP: 501044CG4						
MOODY'S: Baa2 S&P: BBB						
Accrued Interest: 1,813.33						
UNION PACIFIC C 7.875%19	75,000.0000	122.8821	92,161.58	83,128.68	9,032.90 ^b	5,906.25
DUE 01/15/19	75,000.0000	118.2340	88,675.50 ^t	83,128.68	9,032.90 ^b	5.38%
CUSIP: 907818DB1						
MOODY'S: Baa1 S&P: A						
Accrued Interest: 2,723.44						
WEATHERFORD IN 9.625%19F	75,000.0000	128.8247	96,618.53	85,027.52	11,591.01 ^b	7,218.75
DUE 03/01/19	75,000.0000	121.7980	91,348.50 ^t	85,027.52	11,591.01 ^b	6.53%
WEATHERFORD INTER						
CUSIP: 947075AF4						
MOODY'S: Baa2 S&P: BBB-						
Accrued Interest: 2,406.25						

C 1065-23-37
958-0732
122-29615
64-81125

Charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723
Statement Period
December 1-31, 2013

Investment Detail - Fixed Income (continued)

Mortgage Pools	Par	Market Price	Market Value	Adjusted Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Annual Income
	Units Purchased	Cost Per Unit	Cost Basis				Yield to Maturity
FHLMC A89870 4.5%39	268,198.0000	105.9557	81,380.13	N/A		23,314.67	N/A
DUE 11/01/39	268,198.0000	75.6003	58,065.46 a	N/A	07/19/12	23,314.67	N/A
CUSIP: 3129366F9							
FACTOR= .286377240							
REMAIN PRIN=\$76,805.80							
FNMA PL AA4537 4.5%24	155,996.0000	106.7160	12,641.95	N/A		(5,931,535.43)	N/A
DUE 04/01/24	155,996.0000	50,177.2899	5,944,177.38	N/A	07/24/09	(5,931,535.43)	N/A
CUSIP: 31416NBF8							
FACTOR= .075940090							
REMAIN PRIN=\$11,846.35							
FNMA PL 889260 5%38	311,760.0000	109.0104	44,818.11	N/A		(12,611,124.30)	N/A
DUE 04/01/38	311,760.0000	30,782.8537	12,655,942.41	N/A	07/24/09	(12,611,124.30)	N/A
CUSIP: 31410G5V1							
FACTOR= .131875820							
REMAIN PRIN=\$41,113.61							
FNMA PL 917049 5%37	67,680.0000	109.0104	7,662.38	N/A		(2,677,134.15)	N/A
DUE 05/01/37	67,680.0000	38,195.7783	2,684,795.53	N/A	07/24/09	(2,677,134.15)	N/A
CUSIP: 31411WZNO							
FACTOR= .103856970							
REMAIN PRIN=\$7,029.04							
FNMA PL 956420 6%37	125,458.0000	111.3863	18,205.00	N/A		(5,443,604.00)	N/A
DUE 12/01/37	125,458.0000	33,417.7821	5,461,809.00	N/A	07/24/09	(5,443,604.00)	N/A
CUSIP: 31413VAV9							
FACTOR= .130274830							
REMAIN PRIN=\$16,344.02							

Charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723

Statement Period
December 1-31, 2013

Investment Detail - Fixed Income (continued)

Mortgage Pools (continued)	Par	Market Price	Market Value	Adjusted Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Annual Income	Yield to Maturity
	Units Purchased	Cost Per Unit	Cost Basis					
FNMA PL 988714 6%38	365,950.0000	111.0113	37,419.53	N/A		11,784.12	N/A	N/A
DUE 10/01/38	365,950.0000	76.0517	25,635.41 ^a	N/A	07/19/12	11,784.12	N/A	N/A
CUSIP: 31415TK33								
FACTOR= .092110550								
REMAIN PRIN=\$33,707.86								
FNMA PL 995196 6%38	442,510.0000	111.3863	66,130.80	N/A		(21,322,213.09)	N/A	N/A
DUE 07/01/38	442,510.0000	36,025.1010	21,388,343.89 ^t	N/A	10/18/10	(21,322,213.09)	N/A	N/A
CUSIP: 31416BRR1								
FACTOR= .134167980								
REMAIN PRIN=\$59,370.67								
GNMA PL 669081 5.5%37	217,915.0000	110.0437	32,673.54	N/A		9,888.00	N/A	N/A
DUE 06/15/37	217,915.0000	76.7411	22,785.54 ^a	N/A	07/19/12	9,888.00	N/A	N/A
CUSIP: 36295FJ21								
FACTOR= .136252300								
REMAIN PRIN=\$29,691.42								
Total Mortgage Pools	685,465.0000		A 806,031.62	N/A		(47,940,621.98)	N/A	N/A

Total Fixed Income	6,249,456.0000		2,895,459.01			(47,940,621.98)	105,511.25
--------------------	----------------	--	--------------	--	--	-----------------	------------

Charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723
Statement Period
December 1-31, 2013

Investment Detail - Equities

Equities	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
ACCOR SA ORD F	500.0000	47.2641	23,632.05		7,481.50	0.00%	0.00
SYMBOL: ACRFF	500.0000	32.3011	16,150.55	08/29/12	7,481.50	489	Long-Term
ALCOA INC	5,700.0000	10.6300	60,591.00		10,309.40	1.12%	684.00
SYMBOL: AA	2,360.0000	10.1776	24,019.32	03/13/12	1,067.48	658	Long-Term
	480.0000	8.3779	4,021.41	04/01/13	1,080.99	274	Short-Term
	2,860.0000	7.7765	22,240.87	06/26/13	8,160.93	188	Short-Term
Cost Basis			50,281.60				
ANHEUSER-BUSC INBEV ORDF	330.0000	106.4613	35,132.23		6,003.55	0.00%	0.00
SYMBOL: AHBIF	330.0000	88.2687	29,128.68	07/03/13	6,003.55	181	Short-Term
APACHE CORP	210.0000	85.9400	18,047.40		(1,155.58)	0.93%	168.00
SYMBOL: APA	100.0000	94.4374	9,443.74	04/26/12	(849.74)	614	Long-Term
	110.0000	88.7203	9,759.24	10/30/13	(305.84)	62	Short-Term
Cost Basis			19,202.98				
APPLE INC	110.0000	561.0200	61,712.20		16,338.52	2.17%	1,342.00
SYMBOL: AAPL	110.0000	412.4880	45,373.68	07/08/13	16,338.52	176	Short-Term
BAKER HUGHES INC	1,000.0000	55.2600	55,260.00		15,990.24	1.08%	600.00
SYMBOL: BHI	520.0000	29.1398	15,152.70	10/28/08	13,582.50	1890	Long-Term
	220.0000	40.8276	8,982.09	07/02/12	3,175.11	547	Long-Term
	260.0000	58.2114	15,134.97	10/30/13	(767.37)	62	Short-Term
Cost Basis			39,269.76				
BECTON DICKINSON & CO	270.0000	110.4900	29,832.30		10,470.93	1.97%	588.60
SYMBOL: BDX	80.0000	62.0810	4,966.48	12/02/08	3,872.72	1855	Long-Term
	160.0000	76.3946	12,223.15	04/22/10	5,455.25	1349	Long-Term
	30.0000	72.3913	2,171.74	11/22/11	1,142.96	770	Long-Term
Cost Basis			19,361.37				

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A

Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723

Statement Period
December 1-31, 2013

Investment Detail - Equities (continued)

Equities (continued)		Quantity	Market Price	Market Value		Unrealized	Estimated	Estimated
	Units Purchased	Cost Per Share	Cost Basis	Acquired	Gain or (Loss)	Holding Days	Yield	Annual Income
								Holding Period
C H ROBINSON WORLDWD NEW	530.0000	58.3500	30,925.50		1,446.22	2.39%		742.00
	530.0000	55.6212	29,479.28	08/15/13	1,446.22	138		Short-Term
CAMERON INTL CORP	1,040.0000	59.5300	61,911.20		3,977.50	0.00%		0.00
	70.0000	60.1128	4,207.90	08/01/13	(40.80)	152		Short-Term
SYMBOL: CAM	970.0000	55.3874	53,725.80	10/30/13	4,018.30	62		Short-Term
Cost Basis			57,933.70					
CATERPILLAR INC	280.0000	90.8100	25,426.80		2,121.23	2.64%		672.00
	280.0000	83.2341	23,305.57	06/12/13	2,121.23	202		Short-Term
SYMBOL: CAT								
CERNER CORP	760.0000	55.7400	42,362.40		20,441.05	0.00%		0.00
	760.0000	28.8438	21,921.35	12/19/11	20,441.05	743		Long-Term
SYMBOL: CERN								
DIRECTV	800.0000	69.0600	55,248.00		17,728.70	0.00%		0.00
	630.0000	46.2692	29,149.60	11/21/11	14,358.20	771		Long-Term
SYMBOL: DTV	170.0000	49.2335	8,369.70	02/19/13	3,370.50	315		Short-Term
Cost Basis			37,519.30					
DISNEY WALT CO	510.0000	76.4000	38,964.00		23,054.55	1.12%		438.60
	510.0000	31.1950	15,909.45	08/10/11	23,054.55	874		Long-Term
SYMBOL: DIS								Accrued Dividend: 438.60
E M C CORP MASS	4,800.0000	25.1500	120,720.00		16,951.31	1.59%		1,920.00
	730.0000	10.1614	7,417.89	10/15/08	10,941.61	1903		Long-Term
SYMBOL: EMC	120.0000	26.4266	3,171.20	02/22/11	(153.20)	1043		Long-Term
	740.0000	22.2450	16,461.30	08/08/11	2,149.70	876		Long-Term
	1,650.0000	23.7646	39,211.63	02/20/13	2,285.87	314		Short-Term
	1,560.0000	24.0427	37,506.67	10/30/13	1,727.33	62		Short-Term
Cost Basis			103,768.69					

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723
Statement Period
December 1-31, 2013

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
E O G RESOURCES INC	90.0000	167.8400	15,105.60		5,596.58	0.44%	67.50
SYMBOL: EOG	90.0000	105.6557	9,509.02	05/04/12	5,596.58	606	Long-Term
EBAY INC	1,040.0000	54.8650	57,059.60		4,202.32	0.00%	0.00
SYMBOL: EBAY	1,040.0000	50.8243	52,857.28	11/20/13	4,202.32	41	Short-Term
ELECTRONIC ARTS INC	2,480.0000	22.9400	56,891.20		17,749.29	0.00%	0.00
SYMBOL: EA	1,210.0000	16.6666	20,166.59 ¹	01/14/09	7,590.81	1812	Long-Term
	320.0000	18.2800	5,849.60	01/13/12	1,491.20	718	Long-Term
	550.0000	14.9483	8,221.57	05/11/12	4,395.43	599	Long-Term
	400.0000	12.2603	4,904.15	07/02/12	4,271.85	547	Long-Term
<i>Cost Basis</i>			<i>39,141.91</i>				
GENERAL ELECTRIC COMPANY	1,220.0000	28.0300	34,196.60		5,302.56	2.71%	927.20
SYMBOL: GE	1,220.0000	23.6836	28,894.04	10/09/13	5,302.56	83	Short-Term
						Accrued Dividend: 268.40	
GOOGLE INC CLASS A	40.0000	1,120.7100	44,828.40		26,490.94	0.00%	0.00
SYMBOL: GOOG	40.0000	458.4365	18,337.46 ¹	06/29/10	26,490.94	1281	Long-Term
HES CORPORATION	1,600.0000	83.0000	132,800.00		53,259.14	1.20%	1,600.00
SYMBOL: HES	250.0000	53.1446	13,286.17 ¹	07/01/09	7,463.83	1644	Long-Term
	290.0000	52.7695	15,303.16 ¹	07/28/10	8,766.84	1252	Long-Term
	160.0000	61.9192	9,907.08	08/04/11	3,372.92	880	Long-Term
	100.0000	54.2757	5,427.57	08/10/11	2,872.43	874	Long-Term
	800.0000	44.5211	35,616.88	05/18/12	30,783.12	592	Long-Term
<i>Cost Basis</i>			<i>79,540.86</i>				

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A

Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723

Statement Period
December 1-31, 2013

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
IMPERIAL TOB GP PLC ORDF	1,250.0000	38.7068	48,383.50		2,991.47	0.00%	0.00
SYMBOL: ITYBF	720.0000	35.0230	25,216.58	07/02/13	2,652.32	182	Short-Term
Cost Basis	530.0000	38.0668	20,175.45	12/06/13	339.15	25	Short-Term
			45,392.03				
JOHNSON & JOHNSON	370.0000	91.5900	33,888.30		8,225.01	2.88%	976.80
SYMBOL: JNJ	370.0000	69.3602	25,663.29	11/23/12	8,225.01	403	Long-Term
JOY GLOBAL INC	300.0000	58.4900	17,547.00		1,592.45	1.19%	210.00
SYMBOL: JOY	300.0000	53.1818	15,954.55	04/22/13	1,592.45	253	Short-Term
JUNIPER NETWORKS INC	2,870.0000	22.5700	64,775.90		10,269.77	0.00%	0.00
SYMBOL: JNPR	1,310.0000	22.8249	29,900.66	02/29/12	(333.96)	671	Long-Term
Cost Basis	1,560.0000	15.7727	24,605.47	07/02/12	10,603.73	547	Long-Term
			54,506.13				
KRAFT FOODS GROUP	280.0000	53.9100	15,094.80		6,493.53	3.89%	588.00
SYMBOL: KRFT	280.0000	30.7188	8,601.27	05/06/10	6,493.53	1335	Long-Term
							Accrued Dividend: 147.00
LULULEMON ATHLETICA INC	700.0000	59.0300	41,321.00		(6,070.39)	0.00%	0.00
SYMBOL: LULU	700.0000	67.7019	47,391.39	11/01/13	(6,070.39)	60	Short-Term
MCGRAW-HILL FINANCIAL	280.0000	78.2000	21,896.00		8,837.61	1.43%	313.60
SYMBOL: MHFI	280.0000	46.6371	13,058.39	02/05/13	8,837.61	329	Short-Term
MONSANTO CO NEW DEL	300.0000	116.5500	34,965.00		15,205.44	1.47%	516.00
SYMBOL: MON	300.0000	65.8652	19,759.56	08/10/11	15,205.44	874	Long-Term
NESTLE SA ORD F	700.0000	73.5000	51,450.00		6,332.63	0.00%	0.00
SYMBOL: NSRGF	700.0000	64.4533	45,117.37	06/25/13	6,332.63	189	Short-Term

Charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723
Statement Period
December 1-31, 2013

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
PEABODY ENERGY CORP	1,480.0000	19.5300	28,904.40		(254.73)	1.74%	503.20
SYMBOL: BTU	820.0000	20.5087	16,817.15	05/24/13	(802.55)	221	Short-Term
	170.0000	15.8311	2,691.30	07/16/13	628.80	168	Short-Term
	490.0000	19.6952	9,650.68	10/30/13	(80.98)	62	Short-Term
Cost Basis			29,159.13				
QIAGEN NV F	690.0000	23.8100	16,428.90		5,520.82	0.00%	0.00
SYMBOL: QGEN	400.0000	16.5247	6,609.88	08/01/11	2,914.12	883	Long-Term
	290.0000	14.8213	4,298.20	08/11/11	2,606.70	873	Long-Term
Cost Basis			10,908.08				
QUALCOMM INC	850.0000	74.2500	63,112.50		9,333.21	1.88%	1,190.00
SYMBOL: QCOM	230.0000	61.8177	14,218.08	08/09/12	2,859.42	509	Long-Term
	450.0000	61.7822	27,802.03	07/24/13	5,610.47	160	Short-Term
	170.0000	69.1716	11,759.18	10/30/13	863.32	62	Short-Term
Cost Basis			53,779.29				
RANGE RESOURCES CORP	230.0000	84.3100	19,391.30		5,406.63	0.18%	36.80
SYMBOL: RRC	230.0000	60.8029	13,984.67	07/02/12	5,406.63	547	Long-Term
REALOGY HOLDINGS CORP	850.0000	49.4700	42,049.50		5,819.47	0.00%	0.00
SYMBOL: RLGY	460.0000	42.8538	19,712.77	10/23/13	3,043.43	69	Short-Term
	390.0000	42.3519	16,517.26	10/30/13	2,776.04	62	Short-Term
Cost Basis			36,230.03				
SCHLUMBERGER LTD F	460.0000	90.1100	41,450.60		11,212.67	1.38%	575.00
SYMBOL: SLB	270.0000	65.3217	17,636.88	01/22/10	6,692.82	1439	Long-Term
	60.0000	58.2843	3,497.06	07/26/10	1,909.54	1254	Long-Term
	40.0000	81.9135	3,276.54	05/11/11	327.86	965	Long-Term
	90.0000	64.7494	5,827.45	07/02/12	2,282.45	547	Long-Term
Cost Basis			30,237.93				
							Accrued Dividend: 143.75

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
ATTACHMENT A
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723
Statement Period
December 1-31, 2013

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
STARZ SER A	910.0000	29.2400	26,608.40		5,298.72	0.00%	0.00
SER A	910.0000	23.4172	21,309.68	05/28/13	5,298.72	217	Short-Term
SYMBOL: STRZA							
SYNGENTA AG TECH ORD F	70.0000	400.8400	28,058.80		(746.63)	0.00%	0.00
SYMBOL: SYENF	70.0000	411.5061	28,805.43	10/30/13	(746.63)	62	Short-Term
TIME WARNER INC NEW	730.0000	69.7200	50,895.60		28,514.56	1.64%	839.50
SYMBOL: TWX	230.0000	29.6656	6,823.09	03/03/10	9,212.51	1399	Long-Term
	500.0000	31.1159	15,557.95	10/27/10	19,302.05	1161	Long-Term
Cost Basis			22,381.04				
UNILEVER PLC ADR NEW F	850.0000	41.2000	35,020.00		15,472.64	3.57%	1,250.52
SPONSORED ADR	850.0000	22.9968	19,547.36	10/16/08	15,472.64	1902	Long-Term
1 ADR REP 1 ORD							
SYMBOL: UL							
VERIFONE SYSTEMS INC	580.0000	26.8200	15,555.60		1,082.77	0.00%	0.00
SYMBOL: PAY	320.0000	30.1618	9,651.80	10/25/12	(1,069.40)	432	Long-Term
	260.0000	18.5424	4,821.03	02/25/13	2,152.17	309	Short-Term
Cost Basis			14,472.83				
VIACOM INC CL B NEW	680.0000	87.3400	59,391.20		21,083.65	1.37%	816.00
SYMBOL: VIAB	350.0000	54.3842	19,034.50	10/11/12	11,534.50	446	Long-Term
	180.0000	57.8893	10,420.08	01/17/13	5,301.12	348	Short-Term
	150.0000	59.0198	8,852.97	02/07/13	4,248.03	327	Short-Term
Cost Basis			38,307.55				

Charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.

EIN: 16-1550858

ATTACHMENT A

Schwab One® Account of
GRIGG LEWIS FOUNDATION INC

Account Number
5531-7723

Statement Period
December 1-31, 2013

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
WEATHERFORD INTL LTD F	2,370.0000	15.4900	36,711.30		1,001.25	0.00%	0.00
SYMBOL: WFT	1,340.0000	15.5182	20,794.52	10/30/08	(37.92)	1888	Long-Term
	430.0000	17.1157	7,359.78	03/21/12	(699.08)	650	Long-Term
	600.0000	12.5929	7,555.75	07/02/12	1,738.25	547	Long-Term
Cost Basis			35,710.05				
YUM BRANDS INC	430.0000	75.6100	32,512.30		3,960.34	1.95%	636.40
SYMBOL: YUM	240.0000	64.9582	15,589.99	04/22/13	2,556.41	253	Short-Term
	190.0000	68.2208	12,961.97	10/30/13	1,403.93	62	Short-Term
Cost Basis			28,551.96				
21ST CENT FOX CL A	880.0000	35.1700	30,949.60		18,209.72	0.71%	220.00
SYMBOL: FOXA	880.0000	14.4771	12,739.88	07/22/11	18,209.72	893	Long-Term
Total Equities	454,220.0000		1,135,700.58		41,552.56		13,421.72

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.
EIN: 16-1550858
Schwab One® Account of
GRIGG LEWIS FOUNDATION INC
ATTACHMENT A

Account Number
5531-7723
Statement Period
December 1-31, 2013

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
MANNING & NAPIER CORE ° PLUS BD SERIES CL S SYMBOL: EXCPX	125,594.1170	10.6500	1,337,577.35	9.99	1,258,095.69 ^a	79,481.66
MANNING & NAPIER GLBL FIXED INCM CL S SYMBOL: MNGSX	23,053.0530	9.9200	228,686.29	9.99	230,300.00	(1,613.71)
MANNING & NAPIER HIGH ° YIELD BD SERIES CL S SYMBOL: MNHYX	21,907.2140	10.6400	233,092.76	10.34	226,597.13 ^a	6,495.63

Total Bond Funds

170,554,374.00

C

229,555.40

4,174,997.82

84,363.53

Equity Funds	Quantity	Market Price	Market Value	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
MANNING & NAPIER EMRG ° MKTS SERIES SYMBOL: MNEMX	5,123.8810	11.4000	58,412.24	10.91	55,904.34 ^a	2,507.90
MANNING & NAPIER INFL ° FOCUS EQTY SERIES SYMBOL: MNIFX	4,861.3570	11.9800	58,239.06	10.81	52,557.38 ^a	5,681.68
MANNING & NAPIER INTL ° SERIES CL S SYMBOL: EXITX	21,269.6930	9.9100	210,782.66	9.36	199,002.19 ^a	11,780.47
MANNING & NAPIER LIFE ° SCIENCES SYMBOL: EXLSX	9,201.5740	12.8800	118,516.27	12.86	117,860.98 ^a	655.29

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
MANNING & NAPIER REAL ° ESTATE SERIES SYMBOL: MNREX	11,978.1190	13.3200	159,548.55	11.34	135,858.31 ^a	23,690.24
MANNING & NAPIER SMALL ° CAP SERIES SYMBOL: MNSMX	8,915.5990	12.1500	108,324.53	6.02	53,652.43 ^a	54,672.10
MANNING & NAPIER TECH ° SERIES SYMBOL: EXTCX	5,742.2750	14.2800	81,999.69			
MANNING & NAPIER TECH SERIES SYMBOL: EXTSZ	2,713.4090	14.2800	38,747.48	11.43	96,644.35 ^a	24,102.82
MANNING & NAPIER WORLD ° OPPTY A SYMBOL: EXWAX	6,855.6760	9.0500	62,043.87			
MANNING & NAPIER WORLD OPPTY A SYMBOL: EXW1Z	2,787.1280	9.0500	25,223.51	7.56	72,886.74 ^a	14,380.64

Total Equity Funds

79,246,511.00

B

621,037.85

784,366.72

187,471.14

charles SCHWAB
INSTITUTIONAL

GRIGG LEWIS FOUNDATION, INC.

EIN: 16-1550858

ATTACHMENT A

Schwab One® Account of

GRIGG LEWIS FOUNDATION INC

Account Number

5531-7723

Statement Period

December 1-31, 2013

Investment Detail - Other Assets

Other Assets	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	Acquired	Unrealized Gain or (Loss)	Holding Days	Holding Period
ALEXANDRIA REAL EST EQTY REIT SYMBOL: ARE	90.0000 90.0000	63.6200 64.3471	5,725.80 5,791.24	06/21/13	(65.44) (65.44)	193	Short-Term
BIOMED REALTY TRUST INC SYMBOL: BMR	340.0000 340.0000	18.1200 17.0420	6,160.80 5,794.28	08/12/11	366.52 366.52	872	Long-Term
CORP OFFICE PPTYS SBI REIT SYMBOL: OFC	460.0000 230.0000 40.0000 190.0000	23.6900 24.7077 21.3417 24.9251	10,897.40 5,682.79 853.67 4,735.77 11,272.23	08/22/11 12/12/11 10/30/13	(374.83) (234.09) 93.93 (234.67)	862 750 62	Long-Term Long-Term Short-Term
DIGITAL REALTY TRUST INC REIT SYMBOL: DLR	240.0000 100.0000 140.0000	49.1200 55.6203 49.1139	11,788.80 5,562.03 6,875.95 12,437.98	08/18/11 10/30/13	(649.18) (650.03) 0.85	866 62	Long-Term Short-Term
DUPONT FABROS TECHNOLOGY SYMBOL: DFT	300.0000 270.0000 30.0000	24.7100 22.7968 21.4430	7,413.00 6,155.14 643.29 6,798.43	08/15/11 11/06/12	614.57 516.56 98.01	869 420	Long-Term Long-Term
Cost Basis							Accrued Dividend: 187.20
Cost Basis							Accrued Dividend: 75.00

Total Other Assets

3,450,000

B

24,955.10

(103.33)

GRIGG-LEWIS FOUNDATION, INC.

EIN 16-1550858

ATTACHMENT B

Name	Name Address	Status	Category	Amount
AMERICAN RED CROSS	2079 Sawyer Road Niagara Falls NY 14304	501c3	Human Service	12,000
ASSOCIATION OF SMALL FOUNDATION	1720 North Street North West Washington DC 20036	501c3	Education	1,500
BOY SCOUTS OF AMERICA	45 Liberty Batavia NY 14020	501c3	Youth	12,000
BUFFALO CITY BALLET	2495 Main St Buffalo NY 14214	501c3	Arts Culture	2,000
BUFFALO PHILHARMONIC	499 Franklin Street Buffalo NY 14202	501c3	Arts Culture	10,000
BUFFALO PHILHARMONIC	499 Franklin Street Buffalo NY 14202	501c3	Arts Culture	25,000
BUFFALO PHILHARMONIC CHORUS	PO Box 76 Buffalo NY 14207	501c3	Arts Culture	1,000
BUFFALO ZOO	300 Parkside Ave Buffalo NY 14214	501c3	Community Service	10,000
CATHOLIC CHARITIES	741 Delaware Avenue Buffalo NY 14209	501c3	Medical	25,000
CHALLENGER LEARNING CENTER O N E	1 East Avenue Lockport NY 14094	501c3	Education	13,000
CHESTNUT RIDGE CEMETERY	8700 Slayton Settlement Rd Gasport NY 14067	501c3	Community Service	3,750
COLD SPRINGS CEMETARY	4849 Cold Springs Rd Lockport NY 14094	501c3	Community Service	6,000
COMMUNITY MISSION	1570 Buffalo Ave Niagara Falls NY 14303	501c3	Human Service	12,000
CORNELL COOPERATIVE	4487 Lake Rd Lockport NY 14094	501c3	Youth	6,400
CORNELL COOPERATIVE	4487 Lake Rd Lockport NY 14094	501c3	Community Service	12,000
CRADLE BEACH	8038 OLD LAKESHORE ROAD ANGOLA NY 14006	501c3	Youth	10,000
DALE ASSOCIATION	315 Bewley Bldg Lockport NY 14094	501c3	Youth	6,400
DALE ASSOCIATION	315 Bewley Bldg Lockport NY 14094	501c3	Elderly	10,000
DALE ASSOCIATION	315 Bewley Bldg Lockport NY 14094	501c3	Elderly	18,000
EASTERN NIAGARA Health SYSTEM	Lockport Mem Hospital Lockport NY 14094	501c3	Youth	3,200
EPISCOPAL DIOCESE OF WNY	1064 Brighton Rd Tonawanda NY 14150	501c3	Human Service	24,000
EQUI STAR	2199 Fuller Rd Burt NY 14028	501c3	Human Service	6,000
EQUI STAR	2199 Fuller Rd Burt NY 14028	501c3	Youth	6,400
FAMILIES OF SPINAL MUSCULAR ATROPHY	PO Box 444 North Tonawanda NY 14120	501c3	Health	1,000
FAMILY & CHILDRENS SERVICES OF NIAGARA	1522 Main st Niagara Falls NY 14305	501c3	Human Service	12,000
FELLOWSHIP HOUSE	625 Buffalo Avenue Niagara Falls NY 14302	501c3	Human Service	35,000
FIRST BAPTIST CHURCH NEWFANE	6047 EAST AVE NEWFANE NY 14108	501c3	Human Service	1,000
FIRST BAPTIST CHURCH NEWFANE	6047 EAST AVE NEWFANE NY 14108	501c3	Human Service	2,500
FIRST PRESBYTERIAN	21 Church Street Lockport NY 14094	501c3	Religious	12,000
GIRL SCOUTS OF AMERICA	3332 Walden Ave Depew NY 14043	501c3	Youth	6,400
GIRL SCOUTS OF AMERICA	3332 Walden Ave Depew NY 14043	501c3	Youth	12,000
GLENWOOD CEMETERY	325 Glenwood Avenue Lockport NY 14094	501c3	Community Service	6,000
GRACE EPISCOPAL CHURCH	Pet Pantry Lockport NY 14094	501c3	Community Service	500
HART INTERFAITH	505 Cayuga Street Lewiston NY 14092	501c3	Elderly	1,800
HEARTS & HANDS FAITH IN ACTION	50 John Street Akron NY 14001	501c3	Elderly	17,500
HOMESPACE CORPORATION	1030 Ellicott Street Buffalo NY 14209	501c3	Human Service	5,000
IMAGINE COMMUNITY GARDENS	7071 Transit Rd East Amherst NY 14051	501c3	Community Service	10,000
JUNIOR ACHIEVEMENT	275 Oak Street Buffalo NY 14203-1638	501c3	Education	12,000
KENAN CENTER	433 Locust Street Lockport NY 14094	501c3	Youth	6,400
KENAN CENTER	433 Locust Street Lockport NY 14094	501c3	Arts Culture	12,000
KENAN CENTER	433 Locust Street Lockport NY 14094	501c3	Arts Culture	40,000
LOCK CITY GLEE CLUB	c/o Christ Episcopal Church Lockport NY 14094	501c3	Arts Culture	3,500
LOCKPORT AN-JO SUMMER BASEBALL	102 Davison Road Lockport NY 14094	501c3	Youth	40,000
LOCKPORT CARES	160 Chestnut Street Lockport NY 14094	501c3	Human Service	12,000
LOCKPORT CARES	160 Chestnut Street Lockport NY 14094	501c3	Human Service	27,800
LOCKPORT CITY SCHOOL DISTRICT	130 Beattie Lockport NY 14094	501c3	Education	600
LOCKPORT CITY SCHOOL DISTRICT	130 Beattie Lockport NY 14094	501c3	Education	1,300
LOCKPORT CITY SCHOOL DISTRICT	130 Beattie Lockport NY 14094	501c3	Education	1,400
LOCKPORT CITY SCHOOL DISTRICT	130 Beattie Lockport NY 14094	501c3	Education	1,500
LOCKPORT CITY SCHOOL DISTRICT	130 Beattie Lockport NY 14094	501c3	Education	3,000
LOCKPORT CITY SCHOOL DISTRICT	130 Beattie Lockport NY 14094	501c3	Youth	25,000
LOCKPORT ICE ARENA & SPORTS	172 EAST AVE LOCKPORT NY 14094	501c3	Community Service	30,000
LOCKPORT ICE ARENA & SPORTS	172 EAST AVE LOCKPORT NY 14094	501c3	Community Service	150,000

LOCKPORT ICE ARENA & SPORTS	172 EAST AVE LOCKPORT NY 14094	501c3	Community Service	200,000
LOCKPORT ICE ARENA & SPORTS	172 EAST AVE LOCKPORT NY 14094	501c3	Community Service	250,000
LOCKPORT LIBRARY	PO Box 475 Lockport NY 14094	501c3	Youth	6,400
LOCKPORT LIBRARY	PO Box 475 Lockport NY 14094	501c3	Education	56,000
LOCKPORT LIBRARY	PO Box 475 Lockport NY 14094	501c3	Education	100,000
LOCKPORT MAIN STREET INC.	1 East Main Street Lockport NY 14094	501c3	Community Service	6,500
LOCKPORT MAIN STREET INC	1 East Main Street Lockport NY 14094	501c3	Community Service	35,000
LOCKPORT NEW BEGINNINGS	271 Elmwood Avenue Lockport NY 14094	501c3	Youth	1,033
LOCKPORT PRESBYTERIAN HOME / SENIOR CARE	305-327 High Street Lockport NY 14094	501c3	Elderly	1,000
MARKET STREET ART CENTER	247 Market Street Lockport NY 14094	501c3	Youth	6,400
MARKET STREET ART CENTER	247 Market Street Lockport NY 14094	501c3	Arts Culture	12,000
MENTAL Health ASSOCIATION	36 Pine Street Lockport NY 14094	501c3	Youth	2,798
MENTAL Health ASSOCIATION	36 Pine Street Lockport NY 14094	501c3	Youth	3,200
MENTAL Health ASSOCIATION	36 Pine Street Lockport NY 14094	501c3	Health	10,000
MENTAL Health ASSOCIATION	36 Pine Street Lockport NY 14094	501c3	Health	12,000
NCCC FOUNDATION	3111 Saunders Settlement Rd Lockport NY 14094	501c3	Education	12,000
NCCC FOUNDATION	3111 Saunders Settlement Rd Lockport NY 14094	501c3	Education	200,000
NEW DIRECTIONS	6395 Old Niagara Rd Lockport NY 14094	501c3	Youth	12,000
NIAGARA COUNTY DEPT OF SOCIAL SERVICES	Office of Youth Bureau Niagara Falls NY 14303	501c3	Youth	500
NIAGARA COUNTY HISTORICAL	215 NIAGARA STREET LOCKPORT NY 14094	501c3	History T	5,000
NIAGARA COUNTY HISTORICAL	215 NIAGARA STREET LOCKPORT NY 14094	501c3	Youth	6,400
NIAGARA COUNTY HISTORICAL	215 NIAGARA STREET LOCKPORT NY 14094	501c3	History Tourism	11,000
NIAGARA COUNTY HISTORICAL	215 NIAGARA STREET LOCKPORT NY 14094	501c3	History Tourism	12,000
NIAGARA HOSPICE	4675 Sunset Dr Lockport NY 14094	501c3	Youth	6,400
NIAGARA HOSPICE	4675 Sunset Dr Lockport NY 14094	501c3	Medical	12,000
NIOGA LIBRARY SYSTEM	6575 Wheeler Rd Lockport NY 14094	501c3	Youth	3,200
NIOGA LIBRARY SYSTEM	6575 Wheeler Rd Lockport NY 14094	501c3	Youth	6,400
NIOGA LIBRARY SYSTEM	6575 Wheeler Rd Lockport NY 14094	501c3	Education	12,000
OLCOTT BEACH CAROUSEL PARK	O Box 308 Olcott NY 14126	501c3	Community Service	10,000
OLD FORT NIAGARA	PO Box 169 Lewiston NY 14174	501c3	History Tourism	6,000
OPPORTUNITIES UNLIMITED	107 Heath Street Lockport NY 14094	501c3	Youth	6,400
PALACE THEATER	2 East Avenue Lockport NY 14094	501c3	Youth	6,400
PALACE THEATER	2 East Avenue Lockport NY 14094	501c3	Arts Culture	37,800
PRESBYTERIAN HOME FOUNDATION	4455 Transit Rd Williamsville NY 14221	501c3	Elderly	12,000
REFUGE TEMPLE	15 Cottage Street Lockport NY 14094	501c3	Human Service	500
RIVIERA THEATRE	67 Webster Street North Tonawanda NY 14120	501c3	Arts Culture	5,000
RIVIERA THEATRE	67 Webster Street North Tonawanda NY 14120	501c3	Arts Culture	13,770
SABAH	2607 Niagara Street Buffalo NY 14207	501c3	Human Service	6,000
SALVATION ARMY	50 Cottage Street Lockport NY 14094	501c3	Youth	6,400
SALVATION ARMY	50 Cottage Street Lockport NY 14094	501c3	Human Service	12,000
ST JOHN THE BAPTIST OUTREACH	160 Chestnut Street Lockport NY 14094	501c3	Human Service	7,500
ST JOHN THE BAPTIST OUTREACH	160 Chestnut Street Lockport NY 14094	501c3	Human Service	7,500
ST JOHN THE BAPTIST OUTREACH	160 Chestnut Street Lockport NY 14094	501c3	Human Service	18,000
UNITED WAY- Greater Niagara	6420 Inducon Drive W. Suite B2 Sanborn NY 14132	501c3	Youth	3,200
UNITED WAY- Greater Niagara	6420 Inducon Drive W Suite B2 Sanborn NY 14132	501c3	Human Service	22,000
WNY GRANTMAKERS	PO Box 1333 Buffalo NY 14205-1333	501c3	Community	2,000
YMCA	19 East Avenue Lockport NY 14094	501c3	Youth	8,400
YMCA	19 East Avenue Lockport NY 14094	501c3	Human Service	12,000
Youth Mentoring Services of Niagara Cty	86 Park Avenue Lockport NY 14094	501c3	Youth	3,200
Youth Mentoring Services of Niagara Cty	86 Park Avenue Lockport NY 14094	501c3	Youth	3,200
Youth Mentoring Services of Niagara Cty	86 Park Avenue Lockport NY 14094	501c3	Youth	5,000
Youth Mentoring Services of Niagara Cty	86 Park Avenue Lockport NY 14094	501c3	Youth	12,000
Youth Mentoring Services of Niagara Cty	86 Park Avenue Lockport NY 14094	501c3	Youth	12,000
YWCA	32 Cottage Street Lockport NY 14094	501c3	Youth	6,400
YWCA	32 Cottage Street Lockport NY 14094	501c3	Human Service	24,000
YWCA	32 Cottage Street Lockport NY 14094	501c3	Human Service	100,000
				<u>2,092,751</u>