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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2013**

Open to Public Inspection

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▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**For calendar year 2013 or tax year beginning , and ending**

Name of foundation <b>MID-YORK FOUNDATION</b>			A Employer identification number <b>16-1378925</b>	
Number and street (or P.O. box number if mail is not delivered to street address) <b>P.O. BOX 505</b>		Room/suite	B Telephone number (see instructions) <b>(315) 893-7588</b>	
City or town <b>HAMILTON</b>	State <b>NY</b>	ZIP code <b>13346-0505</b>		
Foreign country name	Foreign province/state/county	Foreign postal code	C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>1,132,768</b>		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	25,414	25,414		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	48,720			
b Gross sales price for all assets on line 6a <b>275,544</b>				
7 Capital gain net income (from Part IV, line 2)		48,720		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 <b>Total.</b> Add lines 1 through 11	74,134	74,134	0	
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc	5,739	5,739		
14 Other employee salaries and wages				
15 Pension plans, employee benefits	573	573		
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	575	575		
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	289			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	4,993	4,993		
24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23	12,169	11,880	0	0
25 Contributions, gifts, grants paid	35,125			35,125
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	47,294	11,880	0	35,125
27 Subtract line 26 from line 12				
a <b>Excess of revenue over expenses and disbursements</b>	26,840			
b <b>Net investment income</b> (if negative, enter -0-)		62,254		
c <b>Adjusted net income</b> (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1	Cash—non-interest-bearing		39,051	8,466	
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)		943,232	1,132,768	1,132,768
	c	Investments—corporate bonds (attach schedule)				
<b>Liabilities</b>	11	Investments—land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach schedule) ▶				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)				
	14	Land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach schedule) ▶				
	15	Other assets (describe ▶ )				
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		982,283	1,141,234	1,132,768
	17	Accounts payable and accrued expenses				
	18	Grants payable				
<b>Net Assets or Fund Balances</b>	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ PAYROLL TAXES WITHHELD )		486	439	
	23	<b>Total liabilities</b> (add lines 17 through 22)		486	439	
		<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> ▶ <input type="checkbox"/>				
	24	Unrestricted				
<b>Net Assets or Fund Balances</b>	25	Temporarily restricted				
	26	Permanently restricted				
		<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg , and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		981,797	1,140,795	
	30	<b>Total net assets or fund balances</b> (see instructions)		981,797	1,140,795	
<b>Net Assets or Fund Balances</b>	31	<b>Total liabilities and net assets/fund balances</b> (see instructions)		982,283	1,141,234	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	981,797
2	Enter amount from Part I, line 27a	2	26,840
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAINS	3	132,158
4	Add lines 1, 2, and 3	4	1,140,795
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,140,795

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Attached Statement			
<b>b</b> See Attached Statement			
<b>c</b> See Attached Statement			
<b>d</b> See Attached Statement			
<b>e</b> See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			0
<b>b</b>			0
<b>c</b>			0
<b>d</b>			0
<b>e</b>			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			0
<b>b</b>			0
<b>c</b>			0
<b>d</b>			0
<b>e</b>			0

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	48,720
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	<b>3</b>	-106

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

<b>1</b> Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	52,161	949,238	0.054950
2011	63,741	983,102	0.064837
2010	32,970	947,418	0.034800
2009	35,000	842,271	0.041554
2008	49,737	1,017,841	0.048865

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.245006
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.049001
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	<b>4</b>	1,036,611
<b>5</b> Multiply line 4 by line 3	<b>5</b>	50,795
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	623
<b>7</b> Add lines 5 and 6	<b>7</b>	51,418
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	<b>8</b>	35,125

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	1,245
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2	<b>3</b>	1,245
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	1,245
<b>6</b>	<b>Credits/Payments</b>		
<b>a</b>	2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	0
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	9
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	1,254
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	0
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► www.themidyorkfoundation.org	13	X	
14	The books are in care of ► LEIGH P FORD Telephone no ► (315) 368-3807 Located at ► P O BOX 505 HAMILTON NY ZIP+4 ► 13346			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 _____, 20 _____, 20 _____, 20 _____		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 _____, 20 _____, 20 _____, 20 _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b>	During the year did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		<b>5b</b>	N/A
	Organizations relying on a current notice regarding disaster assistance check here	<input checked="" type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		<b>6b</b>	
	If "Yes" to 6b, file Form 8870			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>7b</b>	N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0		
	00	0		
	00	0		
	00	0		
	00	0		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	

Total. Add lines 1 through 3 ▶

0



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,052,397
<b>b</b>	Average of monthly cash balances	<b>1b</b>	
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	1,052,397
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	1,052,397
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	15,786
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	1,036,611
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	51,831

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	51,831
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	1,245
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	1,245
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>	50,586
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	50,586
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	<b>7</b>	50,586

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	35,125
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	35,125
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	35,125

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1. Distributable amount for 2013 from Part XI, line 7				50,586
2. Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0	
b Total for prior years 20 ____, 20 ____, 20 ____				
3. Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011			15,104	
e From 2012			5,277	
f <b>Total</b> of lines 3a through e	20,381			
4. Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 35,125				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				35,125
e Remaining amount distributed out of corpus				
5. Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )	15,461			15,461
6. Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	4,920			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0
7. Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8. Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9. <b>Excess distributions carryover to 2014.</b> Subtract lines 7 and 8 from line 6a	4,920			
10. Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012			4,920	
e Excess from 2013				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)**N/A**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
				0
<b>b</b> 85% of line 2a				0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed				0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities				0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> "Assets" alternative test—enter				
<b>(1)</b> Value of all assets				0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)				0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
<b>c</b> "Support" alternative test—enter				
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
<b>(3)</b> Largest amount of support from an exempt organization				0
<b>(4)</b> Gross investment income				0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

PAUL V NOYES 131 SHERRILL ROAD SHERRILL, NY 13461 315-363-6580

- b** The form in which applications should be submitted and information and materials they should include

**SEE ATTACHED APPLICATION**

- c** Any submission deadlines

NOVEMBER 1

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

AWARDS ARE MADE TO RECIPIENTS IN MADISON & CHENANGO COUNTIES OF NYS

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
SOUTHERN MADISON VOL AMBULANCE CORPS 86 LABANON ST HAMILTON, NY 13346	NONE	PC	EQUIPMENT FOR EMS	5,320
GEORGETOWN VOL FIRE DEPARTMENT P O BOX 215 GEORGETOWN, NY 13072	NONE	PC	PURCHASE PERSONAL PROTECTIVE EQUIPMENT	5,500
PRATT NEWTON MEMORIAL HOME 12 S MAIN STREET SHERBURNE, NY 13460	NONE	PC	CAPITAL IMPROVEMENTS	2,800
TOWN OF BROOHFIELD HISTORICAL SOCIETY 10556 MAIN STREET BROOKFIELD, NY 13314	NONE	PC	UPGRADE COMPUTER SYSTEM	3,500
THE CHILDREN'S CENTER AT MORRISVILLE SC 80 EATON STREET, BAILEY ANNEX MORRISVILLE, NY 13408	NONE	PC	UPGRADE PLAYGROUND & EQUIP	6,000
MID-YORK SENIOR HOMES, INC 310 MADISON LANE HAMILTON, NY 13346	NONE	PC	PURCHASE GOLF CART	3,005
COMMUNITY MEMORIAL HOSPITAL-ACE FUND 150 BROAD STREET HAMILTON, NY 13346	NONE	PC	SUPPORT EDUCATION FUND	5,000
SOUTHERN MADISON HERITAGE TRUST P O BOX 117 HAMILTON, NY 13346	NONE	PC	PROPERTY DEVELOPMENT ENHANCEMENT	4,000
<b>Total</b>			<b>3a</b>	35,125
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b>			<b>3b</b>	0

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	25,414	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	48,720	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)		0		74,134	0
13	Total. Add line 12, columns (b), (d), and (e)				13	74,134

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash
- (2) Other assets
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/11/41 PRES  
Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

James S Cowan, CPA

Preparer's signature

Preparer's signature *Thomas S. Cowan*

Date \_\_\_\_\_

5/8/2014

Check ☒ if

PTIN

P00315203

Firm's name ▶ James S Cowan, CPA

Firm's address ► PO Box 525, 113 Main St, Whitesboro, NY 13492

Firm's EIN ► 16-1450228

Phone no	(315)792-4878
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## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

										Amount				Totals		Cost, Other Basis and Expenses		Net Gain or Loss																	
Check "X" to include in Part IV										Long Term CG Distributions		Short Term CG Distributions		Capital Gains/Losses		Other sales		Gross Sales		Net Gain or Loss															
										22,114						275,544		226,824		-48,720															
																0		0		0															
Description										CUSIP #		Purchaser		Check "X" if Purchaser is a Business		Date Acquired		Acquisition Method		Date Sold		Gross Sales Price		Cost or Other Basis		Valuation Method		Expense of Sale and Cost of Improve-ments		Depreciation		Adjustments		Net Gain or Loss	
1	X	55 545 SH CALVERT SOCIAL II	131618407							8/30/2013	P	9/25/2013	870	904																			-34		
2	X	128 725 SH PIMCO GLOBAL B	693390346							9/3/2013	P	9/25/2013	1,311	1,359																			-48		
3	X	90 937 SH PIONEER STRATEG	723884300							9/3/2013	P	9/25/2013	975	999																			-24		
4	X	24 459 SH CALVERT SOCIAL II	131618407							8/31/2012	P	9/25/2013	383	393																			-10		
5	X	8 302 SH PIMCO FD PAC INVT	722000711							3/26/2012	P	11/21/2013	103	100																			3		
6	X	1951 22 SH PIMCO FDS PAC II	722000232							5/15/2012	P	11/21/2013	19,994	20,332																			-338		
7	X	31 853 SH PIMCO GLOBAL BO	693390346							9/4/2012	P	9/25/2013	324	333																			-9		
8	X	71 714 SH PIONEER STRATEG	723884300							9/4/2012	P	9/25/2013	769	765																			4		
9	X	1434 899 SH CALVERT SOCIAL	131618407							12/27/2011	P	9/25/2013	22,485	22,339																			146		
10	X	300 SH CATERPILLAR INC	149123101							3/11/2008	P	3/21/2013	25,890	21,590																			4,300		
11	X	68 SH EXPRESS SCRIPTS HL	30219G108							1/26/1999	P	9/13/2013	4,386	1,306																			3,080		
12	X	1252 348 SH LOOMIS SAYLES	543487284							4/4/2005	P	9/25/2013	20,000	16,202																			3,798		
13	X	1611 131 SH PIMCO GD PAC II	722000711							12/30/2011	P	11/21/2013	19,891	19,042																			849		
14	X	1850 139 SH PIMCO FDS PAC	693390445							10/28/2008	P	9/25/2013	19,994	19,116																			878		
15	X	2129052 SH PIMCO GLOBAL B	693390346							12/30/2011	P	9/25/2013	21,689	20,979																			710		
16	X	2273 481 SH PIONEER STRAT	723884300							12/1/2011	P	9/25/2013	24,372	24,557																			-185		
17	X	326 158 SH PRUDENTIAL JEN	74441K107							11/17/2003	P	4/14/2013	15,000	7,277																			7,723		
18	X	1583 281 SH LOOMIS SAYLES	543487284							5/8/2003	P	1/11/2013	25,000	19,949																			5,051		
19	X	2477 291 SH PIMCO FD PAC II	722000711							6/15/2010	P	9/15/2013	29,994	29,282																			712		

**Part I, Line 11 (990-PF) - Other Income**

		0	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	REFUND OF TAX ON INVESTMENT INCOME		0	

**Part I, Line 16b (990-PF) - Accounting Fees**

		575	575	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	JAMES S COWAN, CPA	575	575		

**Part I, Line 18 (990-PF) - Taxes**

		289	0	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Tax on investment income	289			

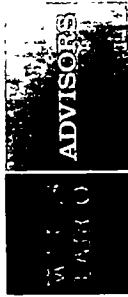
**Part I, Line 23 (990-PF) - Other Expenses**

		4,993	4,993	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	INSURANCE	1,657	1,657		
2	RENT	600	600		
3	OFFICE SUPPLIES & EXPENSE	504	504		
4	NYS DEPT OF LAW FILING	100	100		
5	ADVERTISING	22	22		
6	OTHER ADMINISTRATIVE EXPENSES	2,110	2,110		



**Part II, Line 10b (990-PF) - Investments - Corporate Stock**

		943,232		1,132,768		943,232		1,132,768	
		Book Value		Book Value		FMV		FMV	
		Beg of Year		End of Year		Beg of Year		End of Year	
Description		Num Shares/ Face Value		Book Value		Book Value		FMV	
				Beg of Year		End of Year		Beg of Year	
1	EQUITIES - SEE ATTACHED			297,987		502,853		297,987	
2	EQUITY MUTUAL FUNDS-SEE ATTACHED			645,245		629,915		645,245	



PART 11, LINE 108 (990-PF)

MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: 5878-9701

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## Stocks, options & ETFs

### Stocks and ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ABBOTT LABORATORIES									
ABT Acquired 06/15/10 L nc	0.84	250	22.97	5,830.92	38.3300	9,582.50	3,751.58	220.00	2.29
ABBVIE INC									
ABBV Acquired 06/15/10 L nc	1.16	250	24.91	6,323.13	52.8100	13,202.50	6,879.37	400.00	3.02
AMERICAN ELECTRIC POWER									
AMP Acquired 07/15/11 L	1.23	300	37.33	11,365.53	46.7400	14,022.00	2,656.47	600.00	4.27
ANHEUSER BUSCH INBEV									
SA/INV-SPONSORED ADR BUD Acquired 09/25/13 S	1.31	140	99.45	14,139.84	106.4600	14,904.40	764.56	350.56	2.35
AT & T INC									
AT Acquired 11/22/02 L nc	1.54	499	27.52	13,977.42	35.1600	17,544.84	3,567.42	918.16	5.23
BCE INC									
BCE Acquired 08/23/11 L	1.90	500	39.50	19,983.15	43.2900	21,645.00	1,661.85	1,074.15	4.96
BRISTOL MYERS SQUIBB									
CO BMY Acquired 09/25/13 S	1.30	280	46.60	13,265.68	53.1500	14,882.00	1,616.32	403.20	2.70
CONOCOPHILLIPS									
COP Acquired 08/20/98 L nc	1.28	206	16.33	3,364.96	70.6500	14,553.90	11,188.94	568.56	3.90
DIRECTV									
DTV Acquired 08/17/99 L nc	1.04	172	19.92	3,427.67	69.0600	11,878.32	8,450.65	N/A	N/A
HOME DEPOT INC									
HD Acquired 09/25/13 S	1.30	180	75.65	13,834.33	82.3400	14,821.20	986.87	280.80	1.89

MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER 5878-9701

**Stocks, options & ETFs**  
**Stocks and ETFs continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
HONEYWELL INTERNATIONAL									
INC									
HON									
Acquired 10/15/01 L nc		524	28.28	15,078.08		47,877.88	32,799.80		
Acquired 11/22/02 L nc		42	24.20	1,067.22		3,837.54	2,770.32		
Total	4.53	566		\$16,145.30	91.3700	\$51,715.42	\$35,570.12	\$1,018.80	1.97
ISHARES ET									
INTL SELECT DIVIDEND									
IDV									
Acquired 08/14/12 L	3.32	1,000	31.40	31,595.00	37.9400	37,940.00	6,345.00	1,699.00	4.47
JPMORGAN CHASE & CO									
JPM									
Acquired 10/15/01 L nc		440	33.71	15,084.90		25,731.20	10,646.30		
Acquired 11/22/02 L nc		111	24.83	2,834.96		6,491.28	3,656.32		
Total	2.82	551		\$17,919.86	58.4800	\$32,222.48	\$14,302.62	\$837.52	2.60
NBT BANCORP INC									
(DEL)									
\$ 001 PAR									
NBTB									
Acquired 11/21/13 S	1.82	800	25.05	20,340.80	25.9000	20,720.00	379.20	672.00	3.24
NESTLE S A REG ADR									
NSRGY									
Acquired 11/21/13 S	1.93	300	73.29	22,265.70	73.5900	22,077.00	-188.70	544.80	2.46
PENTAIR LTD									
PNR									
Acquired 08/23/11 L	4.08	600	31.17	18,935.90	77.6700	46,602.00	27,666.10	600.00	1.28
PHILLIPS 66									
PSX									
Acquired 08/20/98 L nc	0.70	103	9.70	1,000.01	77.1300	7,944.39	6,944.38	160.68	2.02
TOTAL S.A. SPONS ADR									
TOT									
Acquired 05/27/11 L	2.68	500	56.66	28,577.95	61.2700	30,635.00	2,057.05	1,313.00	4.28
UNITED TECHNOLOGIES CORP									
UTX									
Acquired 03/11/08 L nc	2.99	300	66.79	20,296.84	113.8000	34,140.00	13,843.16	708.00	2.07



MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER 5878-9701

**Stocks, options & ETFs**  
**Stocks and ETFs continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
VANGUARD INDUSTRIALS ET VIS	2.85	325	79.68	26,153.95	100.0300	32,509.75	6,355.80	344.50	1.05
VODAFONE GROUP PLC SPONSORED ADR NEW VOD									
Acquired 08/15/13 S	3.44	1,000	29.96	30,246.00	39.3100	39,310.00	9,064.00	1,590.00	4.04
<b>Total Stocks and ETFs</b>	<b>44.06</b>			<b>\$338,989.94</b>		<b>\$502,852.70</b>	<b>\$163,862.76</b>	<b>\$14,303.73</b>	<b>2.84</b>
<b>Total Stocks, options &amp; ETFs</b>	<b>44.06</b>			<b>\$338,989.94</b>		<b>\$502,852.70</b>	<b>\$163,862.76</b>	<b>\$14,303.73</b>	<b>2.84</b>

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

**Mutual Funds**

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

**Open End Mutual Funds**

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ALLIANZ FDS ALLIANZGI NFJ SMALL CAP VALUE FUND CLASS A PCVAX									
On Reinvestment		191,17200	18.98	3,628.44		6,339.26	2,710.82		
Acquired 01/30/03 L nc		983,86500	25.41	25,000.00		32,624.95	7,624.95		
Acquired 12/04/03 L nc		1,529,65800	27.08	41,435.32		50,723.47	9,288.15		
Reinvestments L m		345,82200	32.16	11,124.26		11,467.46	343.20		
<b>Total</b>	<b>8.86</b>	<b>3,050.51700</b>		<b>\$81,188.02</b>	<b>33.1600</b>	<b>\$101,155.14</b>	<b>\$19,967.12</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)								\$28,628.44	
Gain/Loss on Client Investment (Including Reinvestments)								\$72,526.70	

## MID-YORK FOUNDATION, INC

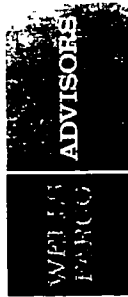
DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER 5878-9701

## Mutual Funds

## Open End Mutual Funds continued

Open End Mutual Funds continued									
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ALLIANZ FDS									
ALLIANZGI OPPORTUNITY FD									
CL A									
POPAX									
On Reinvestment		1,406 07400	17 78	25,000 00		42,983 67	17,983 67		
Acquired 05/05/09 L nc		88,12400	22 84	2,013 18		2,693 95	680 77		
Reinvestments L m		77 64100	29 15	2,264 01		2,373 49	109 48		
Reinvestments S									
Total	4.21	1,571.83900		\$29,277.19	30.5700	\$48,051.11	\$18,773.92	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$25,000 00			
						\$23,051 11			
CALVERT FD SOCIAL									
INDEX FD CL A									
CSXAX									
On Reinvestment		1,487 37700	15 96	23,738 53		25,344 89	1,606 36		
Acquired 09/25/13 S		45 98600	16 71	768 53		783 61	15 08		
Reinvestments S									
Total	2.29	1,533.36300		\$24,507.06	17.0400	\$26,128.50	\$1,621.44	\$217.73	0.83
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$23,738 53			
						\$2,389 97			
FIRST EAGLE GOLD									
INC GOLD FD A									
SGGD									
On Reinvestment		181,07200	35 67	6,463 13		2,627 35	-3,835 78		
Acquired 11/18/10 L nc		112 97900	31 87	3,601 44		1,639 33	-1,962 11		
Reinvestments L m									
Total	0.37	294.05100		\$10,064.57	14.5100	\$4,266.68	-\$5,797.89	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$6,463 13			
						-\$2,196 45			
FIRST EAGLE US VALUE									
FUND CLASS A									
FEVAX									
On Reinvestment		1,564,94500	12 78	20,000 00		31,283 23	11,283 23		
Acquired 05/05/09 L nc		574,38300	17 41	10,000 00		11,481 92	1,481 92		
Acquired 05/27/11 L nc		203 51700	16 65	3,390 17		4,068 32	678 15		
Reinvestments L m									





## MID-YORK FOUNDATION, INC

 DECEMBER 1 - DECEMBER 31, 2013  
 ACCOUNT NUMBER 5878-9701

## Mutual Funds

## Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S		107.61800	19.30	2,078.10		2,151.28	73.18		
<b>Total</b>	<b>4.29</b>	<b>2,450.46300</b>		<b>\$35,468.27</b>	<b>19.9900</b>	<b>\$48,984.75</b>	<b>\$13,516.48</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
COLUMBIA FDS SER TR II SELECT LARGE-CAP VALUE FUND CLASS A SLVAX									
On Reinvestment		798.02900	9.96	7,948.36		16,870.33	8,921.97		
Acquired 05/21/04 L nc		86.00300	14.28	1,228.84		1,818.10	589.26		
Reinvestments L m		28.59400	20.62	589.62		604.48	14.86		
<b>Total</b>	<b>1.69</b>	<b>912.62600</b>		<b>\$9,766.82</b>	<b>21.1400</b>	<b>\$19,292.91</b>	<b>\$9,526.09</b>	<b>\$229.98</b>	<b>1.19</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
COLUMBIA FDS SER TR II MASS SELECT SMALLER-CAP VALUE FD CL A SSCVX									
On Reinvestment		1,564.12899	9.59	15,000.00		33,300.29	18,300.29		
Acquired 12/18/02 L nc		770.02101	15.98	12,308.66		16,393.75	4,085.09		
Reinvestments L m		162.31400	20.71	3,361.53		3,455.67	94.14		
<b>Total</b>	<b>4.66</b>	<b>2,496.46400</b>		<b>\$30,670.19</b>	<b>21.2900</b>	<b>\$53,149.71</b>	<b>\$22,479.52</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
COLUMBIA FDS SER TR II MASS SELIG COMMUNICATION & INFORMATION FD CL A SLMCX									
On Reinvestment		393.08200	38.16	15,000.00		19,626.58	4,626.58		
Acquired 09/21/07 L nc		43.56500	41.29	1,799.00		2,175.20	376.20		
Reinvestments L m		7.13200	48.10	343.06		356.10	13.04		

MID-YORK FOUNDATION, INC

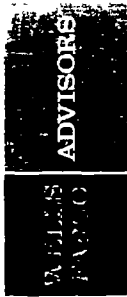
DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER 5878-9701

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
<b>Total</b>	<b>1.94</b>	<b>443.77900</b>		<b>\$17,142.06</b>	<b>49.9300</b>	<b>\$22,157.88</b>	<b>\$5,015.82</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$15,000.00			
						\$7,157.88			
<b>Total</b>	<b>3.62</b>	<b>2,524.82500</b>		<b>\$35,619.02</b>	<b>16.3600</b>	<b>\$41,306.13</b>	<b>\$5,687.11</b>	<b>\$1,711.83</b>	<b>4.14</b>
LOOMIS SAYLES FDS II									
STRATEGIC INCOME FD									
CL A									
NEFZX									
On Reinvestment									
Reinvestments L m		2,369.24400	13.98	33,139.44		38,760.83	5,621.39		
Reinvestments S		155.58100	15.93	2,479.58		2,545.30	65.72		
<b>Total</b>	<b>3.62</b>	<b>2,524.82500</b>		<b>\$35,619.02</b>	<b>16.3600</b>	<b>\$41,306.13</b>	<b>\$5,687.11</b>	<b>\$1,711.83</b>	<b>4.14</b>
LOOMIS SAYLES FDS II									
VALUE FD CL A									
LSVRX									
On Reinvestment									
Acquired 01/11/13 S		1,147.84200	21.78	25,000.00		30,693.28	5,693.28		
Acquired 09/25/13 S		775.49400	25.79	20,000.00		20,736.72	736.72		
Reinvestments S		116.14400	26.10	3,031.37		3,105.69	74.32		
<b>Total</b>	<b>4.78</b>	<b>2,039.48000</b>		<b>\$48,031.37</b>	<b>26.7400</b>	<b>\$54,535.69</b>	<b>\$6,504.32</b>	<b>\$558.81</b>	<b>1.02</b>
Client Investment (Excluding Reinvestments)						\$45,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$9,535.69			
JPMORGAN MID CAP VALUE									
CL A									
JAMCX									
On Reinvestment									
Acquired 03/09/05 L nc		834.72500	23.96	20,006.00		28,814.70	8,808.70		
Reinvestments L m		262.54700	22.02	5,782.23		9,063.13	3,280.90		
Reinvestments S		52.88300	33.40	1,766.42		1,825.52	59.10		
<b>Total</b>	<b>3.48</b>	<b>1,150.15500</b>		<b>\$27,554.65</b>	<b>34.5200</b>	<b>\$39,703.35</b>	<b>\$12,148.70</b>	<b>\$171.37</b>	<b>0.43</b>
Client Investment (Excluding Reinvestments)						\$20,006.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$19,697.35			





MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER 5878-9701

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PIMCO FUNDS PAC INVT									
ALL ASSET ALL AUTHORITY									
FUND CLASS A									
PAUAX									
On Reinvestment						4,435.31	-232.97		
Acquired 05/15/12 L		448.01200	10.42	4,668.28		963.11	-110.72		
Reinvestments L		97.28400	11.03	1,073.83		820.17	-44.67		
Reinvestments S		82.84500	10.43	864.84					
Total	0.54	628.14100		\$6,606.95	9.9000	\$6,218.59	-\$388.36	\$323.49	5.20
Client Investment (Excluding Reinvestments)									
						\$4,668.28			
Gain/Loss on Client Investment (Including Reinvestments)						\$1,550.31			
PIMCO FDS PAC INVT									
MGMT SER TOTAL RETURN									
FD CL A									
PTTAX									
On Reinvestment						2,338.41	78.26		
Acquired 10/28/08 L nc		218.74800	10.33	2,260.15		19,043.10	-19.20		
Reinvestments L m		1,781.39400	10.70	19,062.30		963.36	-27.43		
Reinvestments S		90.11800	10.99	990.79					
Total	1.96	2,090.26000		\$22,313.24	10.6900	\$22,344.87	\$31.63	\$487.03	2.18
Client Investment (Excluding Reinvestments)									
						\$2,260.15			
Gain/Loss on Client Investment (Including Reinvestments)						\$20,084.72			
PIMCO FDS PAC INVT									
MGMT SER REAL RETURN BD									
FD CL A									
PRTNX									
On Reinvestment						21,317.35	557.04		
Reinvestments L m		1,943.24100	10.68	20,760.31		282.17	-11.59		
Reinvestments S		25.72200	11.42	293.76					
Total	1.89	1,968.96300		\$21,054.07	10.9700	\$21,599.52	\$545.45	\$173.07	0.80
Client Investment (Excluding Reinvestments)									
						\$2,260.15			
Gain/Loss on Client Investment (Including Reinvestments)						\$20,084.72			
PIMCO FD PAC INVT MGMT									
ALL ASSET FD CLASS A									
PASAX									
On Reinvestment						20,422.28	422.28		
Acquired 05/15/12 L		1,689.18900	11.84	20,000.00		2,838.72	-77.27		
Reinvestments L		234.79800	12.41	2,915.99		1,818.52	-21.65		
Reinvestments S		150.41500	12.23	1,840.17					

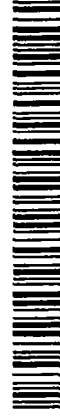


DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER 5878-9701

# Mutual Funds

## Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
<b>Total</b>	<b>2.20</b>	<b>2,074.40200</b>		<b>\$24,756.16</b>	<b>12.0900</b>	<b>\$25,079.52</b>	<b>\$323.36</b>	<b>\$1,016.45</b>	<b>4.05</b>
PIONEER EQUITY INCOME									
FD CLC						\$20,000.00			
PCEQX						\$5,079.52			
On Reinvestment		799.36800	32.67	26,115.34		26,954.68	839.34		
Acquired 09/25/13 S		31.36000	33.15	1,039.75		1,057.46	17.71		
Reinvestments S									
<b>Total</b>	<b>2.45</b>	<b>830.72800</b>		<b>\$27,155.09</b>	<b>33.7200</b>	<b>\$28,012.14</b>	<b>\$857.05</b>	<b>\$354.72</b>	<b>1.27</b>
PIONEER HIGH YIELD FD									
CLC						\$26,115.34			
PYICX						\$1,896.80			
On Reinvestment		1,365.19500	9.25	12,633.55		14,853.32	2,219.77		
Reinvestments L m		109.66700	10.88	1,193.41		1,193.17	-0.24		
Reinvestments S									
<b>Total</b>	<b>1.41</b>	<b>1,474.86200</b>		<b>\$13,826.96</b>	<b>10.8800</b>	<b>\$16,046.49</b>	<b>\$2,219.53</b>	<b>\$681.38</b>	<b>4.25</b>
PRUDENTIAL SECTOR FDS									
FINL SVCS FD CL A									
PFSAX									
On Reinvestment		1,047.48600	14.32	15,000.00		16,738.82	1,738.82		
Acquired 01/14/13 S		99.58400	15.77	1,570.44		1,591.35	20.91		
Reinvestments S									
<b>Total</b>	<b>1.61</b>	<b>1,147.07000</b>		<b>\$16,570.44</b>	<b>15.9800</b>	<b>\$18,330.17</b>	<b>\$1,759.73</b>	<b>\$110.69</b>	<b>0.60</b>
Client Investment (Excluding Reinvestments)									
						\$15,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$3,330.17			



DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: 5878-9701

## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PRUDENTIAL JENNISON NATURAL RESOURCES FD CLA PGNAX On Reinvestment Acquired 11/17/03 L nc Reinvestments L nc		346.18600 331.77300	22.31 41.10	7,723.41 13,636.34		17,132.74 16,419.45	9,409.33 2,783.11		
<b>Total</b>	<b>2.94</b>	<b>677.95900</b>		<b>\$21,359.75</b>	<b>49.4900</b>	<b>\$33,552.19</b>	<b>\$12,192.44</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
<b>Total Open End Mutual Funds</b>	<b>55.20</b>			<b>\$502,931.88</b>		<b>\$629,915.34</b>	<b>\$126,983.46</b>	<b>\$8,036.55</b>	<b>0.96</b>
<b>Total Mutual Funds</b>	<b>55.20</b>			<b>\$502,931.88</b>		<b>\$629,915.34</b>	<b>\$126,983.46</b>	<b>\$6,036.55</b>	<b>0.96</b>

Part II, Line 22 (990-PF) - Other Liabilities

		486	439
Description		Beginning Balance	Ending Balance
1	PAYROLL TAXES WITHHELD	486	439

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
Long Term CG Distributions	22,114				253,430		0	226,824	26,606	0	0	0	26,606
Short Term CG Distributions	0												
1 55 545 SH CALVERT SOCIAL INVT FD	131618407	P	8/30/2013	9/25/2013	870			904	-34	0	0	0	-34
2 128 725 SH PIMCO GLOBAL BOND	693390346	P	9/3/2013	9/25/2013	1,311			1,359	-48	0	0	0	-48
3 90 937 SH PIONEER STRATEGIC INC FD	723884300	P	9/3/2013	9/25/2013	975			999	-24	0	0	0	-24
4 24 459 SH CALVERT SOCIAL INVT FD	131618407	P	8/31/2012	9/25/2013	383			393	-10	0	0	0	-10
5 8 302 SH PIMCO FD PAC INVT MGMT	72200Q711	P	3/26/2012	11/21/2013	103			100	3	0	0	0	3
6 1951 22 SH PIMCO FDS PAC INVT	72200Q232	P	5/15/2012	11/21/2013	19,994			20,332	-338	0	0	0	-338
7 31 853 SH PIMCO GLOBAL BOND	693390346	P	9/4/2012	9/25/2013	324			333	-9	0	0	0	-9
8 71 714 SH PIONEER STRATEGIC INC FD	723884300	P	9/4/2012	9/25/2013	769			765	4	0	0	0	4
9 1434 899 SH CALVERT SOCIAL INVT FD	131618407	P	12/27/2011	9/25/2013	22,465			22,339	146	0	0	0	146
10 300 SH CATERPILLAR INC	149123101	P	3/11/2008	3/21/2013	25,890			21,590	4,300	0	0	0	4,300
11 68 SH EXPRESS SCRIPTS HLDG CO	30219G108	P	1/26/1999	9/13/2013	4,386			1,306	3,080	0	0	0	3,080
12 1252 346 SH LOOMIS SAYLES FDS ST IN	443487284	P	4/4/2005	9/25/2013	20,000			16,202	3,798	0	0	0	3,798
13 1611 131 SH PIMCO GO PAC INVT MGMT	72200Q711	P	12/30/2011	11/21/2013	19,891			19,042	849	0	0	0	849
14 1850 139 SH PIMCO FDS PAC INVT MGMT	693390445	P	10/28/2008	9/25/2013	19,994			19,116	878	0	0	0	878
15 2129 652 SH PIMCO GLOBAL BOND II	693390346	P	12/30/2011	9/25/2013	21,689			20,979	710	0	0	0	710
16 2273 481 SH PIONEER STRATEGIC INC	723884300	P	1/21/2011	9/25/2013	24,372			24,557	-185	0	0	0	-185
17 326 158 SH PRUDENTIAL JENNISON INVT	7441K107	P	11/17/2003	4/14/2013	15,000			7,277	7,723	0	0	0	7,723
18 1583 281 SH LOOMIS SAYLES FDS	543487284	P	5/8/2003	11/12/2013	25,000			19,949	5,051	0	0	0	5,051
19 2477 291 SH PIMCO FD PAC INVT MGMT	72200Q711	P	6/15/2010	9/15/2013	29,994			29,282	712	0	0	0	712

## Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

5,7390												
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	PAUL V NOYES		131 SHERRILL RD	SHERRILL	NY	13461		PRES	1 00			
2	JOHN KWASNIK		PO BOX 61	SHERBURNE	NY	13460		VICE PRES	1 00			
3	JENNIFER CALOIA		4229 STONEBRIDGE RD	MORRISVILLE	NY	13408		SEC	1 00			
4	MARGARET RHYDE		2306 SMITH ROAD	HAMILTON	NY	13346		TREAS	1 00			
5	TERRENCE MURPHY		2123 SPRING ST	HAMILTON	NY	13346		BOARD MEMBER	1 00			
6	DONALD DUBOIS		981 PRESTON HILL RD	EARLVILLE	NY	13332		BOARD MEMBER	1 00			
7	JAMES PLESNIARSKI		CRANE LAKE ROAD	HAMILTON	NY	13346		BOARD MEMBER	1 00			
8	SUSAN GEIR		18 UNIVERSITY AVE	HAMILTON	NY	13346		BOARD MEMBER	1 00			
9	JAMES E TILBE		PO BOX 289	BROOKFIELD	NY	13314		BOARD MEMBER	1 00			
10	PATTI VANVOORHIS		897 UPHAM ROAD	GEORGETOWN	NY	13072		BOARD MEMBER	1 00			
11	VIOLA K UHLIG		PO BOX 52	BOUCKVILLE	NY	13310		DIRECTOR	10 00	3,032		
12	LEIGH P FORD		169 KIRKLAND AVE	CLINTON	NY	13323		ADMINISTRATOR	10 00	2,707		

APPLICATION  
TO  
THE MID-YORK FOUNDATION

DATE \_\_\_\_\_

Name of Organization or  
Individual \_\_\_\_\_

Address \_\_\_\_\_

His/Her title \_\_\_\_\_

Person for us to notify \_\_\_\_\_

His/Her telephone number: Home \_\_\_\_\_ Work \_\_\_\_\_

Is there any relationship between you or your organization and  
this foundation that would disqualify this grant? (Sec 4946 (a)  
(1) IRS code) \_\_\_\_\_

Has your organization applied to other funding sources for the  
grant proposal submitted to The Mid-York Foundation? If yes,  
provide detail:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

What is the purpose of this request and how and when would  
the money be used?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Total amount of money requested \$ \_\_\_\_\_

When must you know of our decision? \_\_\_\_\_

Please attach additional information if you wish.

\_\_\_\_\_  
Signature

Please see reverse for important Foundation policy statements.

SELECTED POLICY STATEMENTS OF THE MID-YORK FOUNDATION:

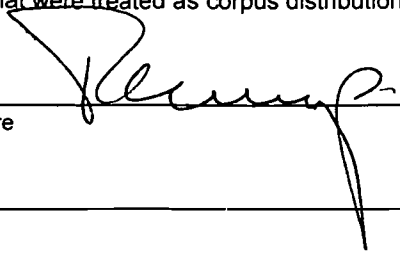
- (1) The Foundation seldom makes grants to applicants in successive years.
- (2) The Foundation does not provide grants for the purpose of funding operating expenses of applicant organizations.
- (3) The Foundation requires grantee organizations to provide a written status report on the disposition of funds granted by the Foundation within a year following the grant and/or other appropriate time periods.
- (4) The Foundation deadline for receipt of applications during its calendar year/fiscal year is November 1. Applicants will receive notification of Foundation action on their proposal no later than February 15th of the following year.

## Elections

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### Election for Treatment of Unused Prior Year Corpus Distributions

Pursuant to IRC Section 4942(g)(3) and Reg 53.4942(a)-3(c)(2)(iv), the Foundation elects to treat unused prior tax year's distributions that were treated as corpus distributions as distributions from corpus in the current tax year

  
\_\_\_\_\_  
Officer Signature  
\_\_\_\_\_  
Title