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Form **990**
Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)
Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013
Open to Public Inspection

A For the 2013 calendar year, or tax year beginning , **and ending**

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization **NORTHERN NEW YORK COMMUNITY FOUNDATION**

D Employer identification number **15-6020989**

E Telephone number **315-782-7110**

F Name and address of principal officer

G Gross receipts \$ **17,750,654**

H(a) Is this a group return for subordinates? ☐ Yes ☒ No
H(b) Are all subordinates included? ☐ Yes ☐ No
 If "No," attach a list (see instructions)

H(c) Group exemption number ▶

Tax-exempt status ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

Website: **WWW.NNYCF.ORG**

Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation **1929** **M State of legal domicile** **NY**

Part I Summary

1 Briefly describe the organization's mission or most significant activities
TO AID GENERALLY IN ALL FORMS OF CHARITABLE, BENEVOLENT, PHILANTHROPIC, EDUCATIONAL AND WELFARE WORK IN ALLOWABLE WAYS AND METHODS.

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3 Number of voting members of the governing body (Part VI, line 1a) **3** **14**

4 Number of independent voting members of the governing body (Part VI, line 1b) **4** **14**

5 Total number of individuals employed in calendar year 2013 (Part V, line 2a) **5** **4**

6 Total number of volunteers (estimate if necessary) **6** **0**

7a Total unrelated business revenue from Part VIII, column (C), line 12 **7a** **0**

b Net unrelated business taxable income from Form 990-T, line 34 **7b** **0**

	Prior Year	Current Year
8 Contributions and grants (Part VIII, line 1h)	3,021,398	4,499,875
9 Program service revenue (Part VIII, line 2g)		0
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	4,299,707	7,458,729
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		0
12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	7,321,105	11,958,604
13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	1,955,503	1,670,421
14 Benefits paid to or for members (Part IX, column (A), line 4)		0
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	262,403	327,173
16a Professional fundraising fees (Part IX, column (A), line 11e)		0
b Total fundraising expenses (Part IX, column (D), line 25) ▶		137,726
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	490,190	486,447
18 Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	2,708,096	2,484,041
19 Revenue less expenses Subtract line 18 from line 12	4,613,009	9,474,563
	Beginning of Current Year	End of Year
20 Total assets (Part X, line 16)	41,233,692	50,375,587
21 Total liabilities (Part X, line 26)	3,222,433	3,737,930
22 Net assets or fund balances Subtract line 21 from line 20	38,011,259	46,637,657

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here **Signature of officer** **Date** **Nov. 14, 2014**

Signature of officer **RANDE S. RICHARDSON** **EXECUTIVE DIRECTOR**

Type or print name and title

Print/Type preparer's name **Preparer's signature** **Date** **Check ☐ if self-employed ☐ PTIN**

ROBERT F STACKEL **Robert F. Stackel** **11/13/14** **P00095764**

Firm's name **STACKEL & NAVARRA, CPA, PC** **Firm's EIN** **16-1215909**

216 WASHINGTON ST STE 402

Firm's address **WATERTOWN, NY 13601-3336** **Phone no** **315-782-1220**

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

DAA Form **990** (2013)

SCANNED BY REVENUE ACTIVITIES & GOVERNANCE 11/13/2014

617 29

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☒

1 Briefly describe the organization's mission:

TO AID GENERALLY IN ALL FORMS OF CHARITABLE, BENEVOLENT, PHILANTHROPIC, EDUCATIONAL AND WELFARE WORK IN ALLOWABLE WAYS AND METHODS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a	(Code		) (Expenses \$		including grants of \$		) (Revenue \$		)
-----------	-------	--	----------------	--	------------------------	--	---------------	--	---

4b	(Code		) (Expenses \$		including grants of \$		) (Revenue \$		)
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4c	(Code		) (Expenses \$		including grants of \$		) (Revenue \$		)
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4d Other program services (Describe in Schedule O)

(Expenses \$	1,670,421	including grants of \$	1,670,421	) (Revenue \$	
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4e Total program service expenses **1,670,421**

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	X	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	X	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII		X
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX		X
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X		X
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		X
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	X	
22 Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, or IV, and Part V, line 1		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O		X

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a 10		
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b 0		
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c		
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a 4		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	X	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		X
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O.	3b		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		X
b If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
c If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7 Organizations that may receive deductible contributions under section 170(c).			
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		
d If "Yes," indicate the number of Forms 8282 filed during the year.	7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		
9 Sponsoring organizations maintaining donor advised funds.			
a Did the organization make any taxable distributions under section 4966?	9a		X
b Did the organization make a distribution to a donor, donor advisor, or related person?	9b		X
10 Section 501(c)(7) organizations. Enter:			
a Initiation fees and capital contributions included on Part VIII, line 12.	10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b		
11 Section 501(c)(12) organizations. Enter:			
a Gross income from members or shareholders.	11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b		
c Enter the amount of reserves on hand.	13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b		

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	14	
b Enter the number of voting members included in line 1a, above, who are independent.	14	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3	X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5	X
6 Did the organization have members or stockholders?	6	X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	8a	X
b Each committee with authority to act on behalf of the governing body?	8b	X
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.	9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a	X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13.	12a	X
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done.	12c	X
13 Did the organization have a written whistleblower policy?	13	X
14 Did the organization have a written document retention and destruction policy?	14	X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official.	15a	X
b Other officers or key employees of the organization. If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).	15b	X
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

- 17** List the states with which a copy of this Form 990 is required to be filed **NY**
- 18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☒ Another's website ☒ Upon request ☐ Other (explain in Schedule O)
- 19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization **▶ RANDE S. RICHARDSON 120 WASHINGTON STREET, SUITE 400**

WATERTOWN

NY 13601

315-782-7110

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐
Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
 - List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
 - List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
 - List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.
- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☒ Check this box if neither the organization nor any related organizations compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) KATHERINE FENLON	0.00									
DIRECTOR	0.00	X						0	0	0
(2) BEVERLY A BROWN	0.00									
DIRECTOR	0.00	X						0	0	0
(3) LAUREL PIKE	0.00									
DIRECTOR	0.00	X						0	0	0
(4) LINDA MERRELL	0.00									
VICE PRESIDENT	0.00	X		X				0	0	0
(5) JUDE RENZI	0.00									
DIRECTOR	0.00	X						0	0	0
(6) JACQUELYN SCHELL	0.00									
SECRETARY/TREASURER	0.00	X		X				0	0	0
(7) THOMAS R SAUTER	0.00									
DIRECTOR	0.00	X						0	0	0
(8) KATHERINE WYCKOFF	0.00									
DIRECTOR	0.00	X						0	0	0
(9) HAROLD B JOHNSON II	0.00									
DIRECTOR	0.00	X						0	0	0
(10) JOSEPH RUSSELL	0.00									
PRESIDENT	0.00	X		X				0	0	0
(11) PETER WHITMORE	0.00									
DIRECTOR	0.00	X						0	0	0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(12) CATHY PIRCSUK	0.00 0.00	☒						0	0	0
(13) STEPHEN TODD	0.00 0.00	☒						0	0	0
DIRECTOR	0.00 0.00	☒						0	0	0
(14) JAY STONE	0.00 0.00	☒						0	0	0
DIRECTOR	0.00 0.00	☒						0	0	0
(15)										
(16)										
(17)										
(18)										
(19)										
1b Sub-total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **0**

- 3** Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3		☒
4		☒
5		☒

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	4,499,875			
	g Noncash contributions included in lines 1a-1f		\$ 863,357			
	h Total. Add lines 1a-1f		4,499,875			
Program Service Revenue	2a	Busn Code				
	b					
	c					
	d					
	e					
	f All other program service revenue					
	g Total. Add lines 2a-2f					
	Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		1,361,434		
4 Income from investment of tax-exempt bond proceeds						
5 Royalties						
6a Gross rents		(i) Real (ii) Personal				
b Less rental exps						
c Rental inc or (loss)						
d Net rental income or (loss)						
7a Gross amount from sales of assets other than inventory		(i) Securities (ii) Other	11,889,345			
b Less cost or other basis & sales exps			5,792,050			
c Gain or (loss)			6,097,295			
d Net gain or (loss)			6,097,295	6,097,295		
8a Gross income from fundraising events (not including \$ of contributions reported on line 1c) See Part IV, line 18		a				
b Less direct expenses		b				
c Net income or (loss) from fundraising events						
9a Gross income from gaming activities See Part IV, line 19		a				
b Less direct expenses		b				
c Net income or (loss) from gaming activities						
10a Gross sales of inventory, less returns and allowances		a				
b Less cost of goods sold		b				
c Net income or (loss) from sales of inventory						
Miscellaneous Revenue	11a	Busn Code				
	b					
	c					
	d All other revenue					
	e Total. Add lines 11a-11d					
	12 Total revenue. See instructions		11,958,604	6,097,295	0	1,361,434

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

 Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	966,229	966,229		
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22	704,192	704,192		
3	Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees				
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	233,779		187,023	46,756
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	22,550		18,040	4,510
9	Other employee benefits	52,848		42,278	10,570
10	Payroll taxes	17,996		14,397	3,599
11	Fees for services (non-employees)				
a	Management				
b	Legal	9,016		7,213	1,803
c	Accounting	8,225		6,580	1,645
d	Lobbying				
e	Professional fundraising services. See Part IV, line 17				
f	Investment management fees				
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)				
12	Advertising and promotion	23,138		18,510	4,628
13	Office expenses	30,527		24,422	6,105
14	Information technology				
15	Royalties				
16	Occupancy	19,660		15,728	3,932
17	Travel				
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	8,845		7,076	1,769
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	9,955		7,962	1,993
23	Insurance	6,503		5,202	1,301
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a	INVESTMENT FEES	252,235		252,235	
b	AMORTIZATION OF GIFT ANNU	39,950		31,960	7,990
c	COMPUTER EXPENSE	22,330		17,864	4,466
d	DONOR FUND EXPENSES	22,073			22,073
e	All other expenses	33,990		19,404	14,586
25	Total functional expenses. Add lines 1 through 24e	2,484,041	1,670,421	675,894	137,726
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

 Check if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest bearing	434,408	1	1,254,356
	2 Savings and temporary cash investments	4,075,627	2	3,634,459
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net		4	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	1,082	9	1,244
	10a Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 111,308		
	b Less accumulated depreciation	10b 69,481		
		44,849	10c	41,827
	11 Investments—publicly traded securities	36,484,435	11	45,204,751
	12 Investments—other securities See Part IV, line 11		12	
	13 Investments—program-related See Part IV, line 11		13	
	14 Intangible assets		14	
15 Other assets See Part IV, line 11	193,291	15	238,950	
16 Total assets. Add lines 1 through 15 (must equal line 34)	41,233,692	16	50,375,587	
Liabilities	17 Accounts payable and accrued expenses	1,770	17	41,051
	18 Grants payable	1,117,611	18	787,050
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D	2,103,052	25	2,909,829
	26 Total liabilities. Add lines 17 through 25	3,222,433	26	3,737,930
	Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here ☒ and complete lines 27 through 29, and lines 33 and 34.		
27 Unrestricted net assets		37,567,968	27	46,191,784
28 Temporarily restricted net assets		193,291	28	195,873
29 Permanently restricted net assets		250,000	29	250,000
Organizations that do not follow SFAS 117 (ASC 958), check here ☐ and complete lines 30 through 34.				
30 Capital stock or trust principal, or current funds			30	
31 Paid-in or capital surplus, or land, building, or equipment fund			31	
32 Retained earnings, endowment, accumulated income, or other funds			32	
33 Total net assets or fund balances		38,011,259	33	46,637,657
34 Total liabilities and net assets/fund balances		41,233,692	34	50,375,587

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	11,958,604
2	Total expenses (must equal Part IX, column (A), line 25)	2	2,484,041
3	Revenue less expenses Subtract line 2 from line 1	3	9,474,563
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	38,011,259
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-848,165
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	46,637,657

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII ☐

		Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis		X
b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization

**NORTHERN NEW YORK COMMUNITY
FOUNDATION**

Employer identification number

15-6020989

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state.
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vii)**. (Complete Part II.)
- 9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III—Functionally integrated d ☐ Type III—Non-functionally integrated
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**.
- f ☐ If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box.
- g ☐ Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?
 (ii) A family member of a person described in (i) above?
 (iii) A 35% controlled entity of a person described in (i) or (ii) above?
- h ☐ Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	1,018,845	1,186,830	2,229,736	3,021,398	4,499,875	11,956,684
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	1,018,845	1,186,830	2,229,736	3,021,398	4,499,875	11,956,684
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						11,956,684

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	1,018,845	1,186,830	2,229,736	3,021,398	4,499,875	11,956,684
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	1,071,117	847,227	-1,689,055	2,917,934	1,361,434	4,508,657
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						16,465,341
12 Gross receipts from related activities, etc. (see instructions)					12	

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	72.62 %
15 Public support percentage from 2012 Schedule A, Part II, line 14	15	68.69 %
16a 33 1/3% support test—2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☒		
b 33 1/3% support test—2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☐		
17a 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐		
b 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ☐		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II
If the organization fails to qualify under the tests listed below, please complete Part II)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► ☐						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	%

- 19a 33 1/3% support tests—2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► ☐
- b 33 1/3% support tests—2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► ☐
- 20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, and Part III, line 12. Also complete this part for any additional information. (See instructions)

**SCHEDULE D
(Form 990)**

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization

**NORTHERN NEW YORK COMMUNITY
FOUNDATION**

Employer identification number

15-6020989

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" to Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year	59	
2 Aggregate contributions to (during year)	1,032,226	
3 Aggregate grants from (during year)	664,315	
4 Aggregate value at end of year	3,380,636	

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☒ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☒ Yes ☐ No

Part II Conservation Easements.

Complete if the organization answered "Yes" to Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e.g., recreation or education)	☐ Preservation of an historically important land area
☐ Protection of natural habitat	☐ Preservation of a certified historic structure
☐ Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1	▶ \$
(ii) Assets included in Form 990, Part X	▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1	▶ \$
b Assets included in Form 990, Part X	▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

- a** ☐ Public exhibition **d** ☐ Loan or exchange programs
b ☐ Scholarly research **e** ☐ Other
c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

- c** Beginning balance
d Additions during the year
e Distributions during the year
f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII ☐

Part V Endowment Funds.

Complete if the organization answered "Yes" to Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	38,539,663	34,874,032	36,549,327	33,257,209	25,783,926
b Contributions	1,825,627	589,208	454,257	825,583	758,775
c Net investment earnings, gains, and losses	7,381,140	4,308,395	-1,265,992	3,399,558	7,232,019
d Grants or scholarships	220,631	989,195	654,628	726,705	337,901
e Other expenditures for facilities and programs					
f Administrative expenses	216,186	242,777	208,932	206,318	179,610
g End of year balance	47,309,613	38,539,663	34,874,032	36,549,327	33,257,209

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

- a** Board designated or quasi-endowment ▶ **99.06 %**
b Permanent endowment ▶ **0.53 %**
c Temporarily restricted endowment ▶ **0.41 %**

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

- (i) unrelated organizations
(ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? ☐

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a See Form 990, Part X, line 10

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		22,105	14,557	7,548
d Equipment		89,203	54,924	34,279
e Other				

Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c)) ▶ **41,827**

Part VII Investments—Other Securities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b See Form 990, Part X, line 12

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 12) ►		

Part VIII Investments—Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c See Form 990, Part X, line 13

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 13) ►		

Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15) ►	

Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f See Form 990, Part X, line 25

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) ENDOWMENT LIABILITY	2,507,610
(3) GIFT ANNUITY LIABILITIES	402,219
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25) ►	2,909,829

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☐

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	11,958,604
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	11,958,604
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)		5	11,958,604

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a

1	Total expenses and losses per audited financial statements		1	2,484,041
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	2,484,041
4	Amounts included on Form 990, Part IX, line 25, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)		5	2,484,041

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART XI, LINE 4B - REVENUE AMOUNTS INCLUDED ON RETURN - OTHER

DONATIONS NOT ON F/S PER SFAS 136	\$	0
GAIN/LOSS NOT ON F/S PER SFAS 136	\$	0

PART XII, LINE 4B - EXPENSE AMOUNTS INCLUDED ON RETURN - OTHER

PAYMENT OF GRANTS REQUIRED TO BE REPORTED AS PAYMENT OF	\$	0
LIABILITIES BY SFAS 136.	\$	0

Part XIII **Supplemental Information** (continued)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

Department of the Treasury
Internal Revenue Service

Name of the organization

**NORTHERN NEW YORK COMMUNITY
FOUNDATION**

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Employer identification number

15-6020989

OMB No. 1545-0047

2013

**Open to Public
Inspection**

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed

1	(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1)	-\$5,000 & UNDER OFF FINANCIAL STATEMENTS WATERTOWN NY 13601		3	80,577				
(2)	THOMPSON PARK CONSERVANCY THOMPSON PARK WATERTOWN NY 13601	16-1416787	3	15,670				OPERATING FUNDS
(3)	WATERTOWN FAMILY YMCA 119 WASHINGTON STREET WATERTOWN NY 13601	15-0559207	3	19,651				OPERATING FUNDS
(4)	THOUSAND ISLANDS PERFORMING ARTS 405 RIVERSIDE DRIVE CLAYTON NY 13624	22-2317579	3	11,000				FUND CONCERT
(5)	FOOD BANK OF CENTRAL NY 6970 SCHUYLER ROAD EAST SYRACUSE NY 13057	22-2816988	3	25,000				FOOD PANTRIES
(6)	UNITED WAY OF NORTHERN NY 200 WASHINGTON STREET, SUITE 402A WATERTOWN NY 13601	15-0543356	3	24,467				OPERATING FUNDS
(7)	SAMARITAN MEDICAL CENTER 830 WASHINGTON STREET WATERTOWN NY 13601	15-0533577	3	13,216				OPERATING FUNDS
(8)	JEFFERSON COMM COLLEGE FOUNDATION 1220 COFFEEN STREET WATERTOWN NY 13601	23-2009906	3	5,083				OPERATING FUNDS
(9)	ORCHESTRA OF NORTHERN NEW YORK PO BOX 488 POTSDAM NY 13676	16-1486736	3	17,000				MUSIC PERFORMANCES

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

3 Enter total number of other organizations listed in the line 1 table

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

DAA

Schedule I (Form 990) (2013)

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**
Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

OMB No 1545-0047

2013

**Open to Public
Inspection**

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

**NORTHERN NEW YORK COMMUNITY
FOUNDATION**

Employer identification number

15-6020989

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?
2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

☐ Yes ☐ No

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed

1	(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1)	HOSPICE OF JEFFERSON COUNTY 1398 GOTHAM STREET WATERTOWN NY 13601	16-1541636	3	10,000				SOFTWARE UPDATES
(2)	OPERATION SNOWSTORM 7668 N STATE STREET LOWVILLE NY 13367	15-6002266	3	18,000				CLOTHING FOR POOR
(3)	ADVISED FUND GRANTS		3	671,565				
(4)	HENDERSON FREE LIBRARY 8939 STATE ROUTE 178 HENDERSON NY 13650	15-0561711	3	10,000				CONSTRUCTION PROJECT
(5)	ESF COLLEGE FOUNDATION 1 FORESTRY DRIVE SYRACUSE NY 13210	15-6023443	3	15,000				
(6)	HENDERSON HISTORICAL SOCIETY PO BOX 322 HENDERSON NY 13650	16-1344201	3	10,000				CONSTRUCTION PROJECT
(7)	CORNELL COOPERATIVE EXTENSION OF JC 203 NORTH HAMILTON STREET WATERTOWN NY 13601	16-6072882	3	10,000				HEALTHY HABITS PROGR
(8)	NEW BEGINNINGS CLOTHING&FOOD OUTREA 3613 COUNTY ROUTE 14 MADRID NY 13660	51-0634740	3	10,000				CONSTRUCTION PROJECT
(9)								

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

3 Enter total number of other organizations listed in the line 1 table

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

DAA

Schedule I (Form 990) (2013)

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 SCHOLARSHIPS		704,192			
2					
3					
4					
5					
6					
7					

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

PART I, LINE 2 - PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS

RECIPIENTS OF GRANTS ARE REQUIRED TO SUBMIT A REPORT TO THE FOUNDATION 30 DAYS AFTER THE EVENT THAT THE GRANT WAS USED FOR. IF THERE IS MORE THAN ONE PAYMENT, THE NEXT INSTALLMENT IS NOT SENT UNTIL THE REPORT IS RECEIVED.

SCHEDULE M **(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

Noncash Contributions

► Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

► Attach to Form 990.

► Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

**Open To Public
Inspection**

**NORTHERN NEW YORK COMMUNITY
FOUNDATION**

Employer identification number

15-6020989

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art — Works of art				
2 Art — Historical treasures				
3 Art — Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities — Publicly traded	X	37	863,357	LISTED MARKET VALUES
10 Securities — Closely held stock				
11 Securities — Partnership, LLC, or trust interests				
12 Securities — Miscellaneous				
13 Qualified conservation contribution — Historic structures				
14 Qualified conservation contribution — Other				
15 Real estate — Residential				
16 Real estate — Commercial				
17 Real estate — Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ()				
26 Other ► ()				
27 Other ► ()				
28 Other ► ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 - 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

	Yes	No
30a		X
31		X
32a		X

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Name of the organization

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

► Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

**Open to Public
Inspection**

**NORTHERN NEW YORK COMMUNITY
FOUNDATION**

Employer identification number

15-6020989

FORM 990, PART III, LINE 4D - ALL OTHER ACCOMPLISHMENT
GRANTS TO OTHER 501(C) (3) ORGANIZATIONS AND SCHOLARSHIPS
TO COLLEGE STUDENTS.

FORM 990, PART VI, LINE 11B - ORGANIZATION'S PROCESS TO REVIEW FORM 990
NO REVIEW WAS OR WILL BE CONDUCTED.

FORM 990, PART VI, LINE 12C - ENFORCEMENT OF CONFLICTS POLICY
ANNUALLY FILL OUT CONFLICT OF INTEREST. CHECKS PAYING MOST EXPENDITURES
REQUIRE TWO SIGNATURES.

FORM 990, PART VI, LINE 15A - COMPENSATION PROCESS FOR TOP OFFICIAL
THE FOUNDATION BOARD DOES A REVIEW AND USES DATA SUPPLIED BY THE COUNCIL ON
FOUNDATIONS TO ESTABLISH SALARY RANGES USING FOUNDATIONS OF COMPARABLE
SIZE.

FORM 990, PART VI, LINE 15B - COMPENSATION PROCESS FOR OFFICERS
THE FOUNDATION DOES A REVIEW AND USES DATA SUPPLIED BY THE COUNCIL ON
FOUNDATIONS TO ESTABLISH SALARY RANGES USING FOUNDATIONS OF COMPARABLE
SIZE.

FORM 990, PART VI, LINE 19 - GOVERNING DOCUMENTS DISCLOSURE EXPLANATION
NO DOCUMENTS AVAILABLE TO THE PUBLIC

FORM 990, PART XI, LINE 9 - RECONCILIATION OF CHANGES - OTHER

Name of the organization

NORTHERN NEW YORK COMMUNITY

Employer identification number

15-6020989

DONATIONS NOT ON F/S PER SFAS 136	\$	0
GAIN/LOSS NOT ON F/S PER SFAS 136	\$	0
PAYMENT OF GRANTS REQUIRED TO BE REPORTED AS PAYMENT OF	\$	0
LIABILITIES BY SFAS 136.	\$	0

FORM 990, PART XI, LINE 9 - OTHER CHANGES IN NET ASSETS EXPLANATION

PAYMENT OF GRANTS REQUIRED TO BE REPORTED AS	\$	0
PAYMENT OF LIABILITIES BY SFAS 136	\$	35,807
DONATIONS NOT ON F/S PER SFAS 136	\$	580,077
GAIN/LOSS NOT ON F/S PER SFAS 136	\$	303,895

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Name(s) shown on return

Depreciation and Amortization **(Including Information on Listed Property)**

▶ See separate instructions.

▶ Attach to your tax return.

OMB No 1545-0172

2013

Attachment Sequence No **179**

**NORTHERN NEW YORK COMMUNITY
FOUNDATION**

Identifying number
15-6020989

Business or activity to which this form relates

INDIRECT DEPRECIATION

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	9,955

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	0
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life				S/L	
b 12-year			12 yrs	S/L	
c 40-year			40 yrs	MM	S/L

Part IV Summary (See instructions.)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	9,955
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form **4562** (2013)

DAA

THERE ARE NO AMOUNTS FOR PAGE 2

RBC

	Shares Beginning	Per Client Cost	Per Broker Cost	F.V. @ 6/30/2013	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	F.V. @ 4/1/2014	Appreciation (Depreciation)	
U.S. EQUITIES																			
CAMERON INTL CORP	2000	22,150.00	22,150.00	112,920.00	90,770.00	9/17/13	500	5,510.00	29,575.08	24,065.08					1,500	16,620.00	89,295.00	72,675.00	
					0.00												0.00		
					0.00												0.00		
TOTAL U.S. EQUITIES		22,150.00	22,150.00	112,920.00	90,770.00			5,510.00	29,575.08	24,065.08						16,620.00	89,295.00	72,675.00	
INTERNATIONAL EQUITIES																			
AGRIUM INC	775	44,080.67	44,080.67	77,400.14	11,119.67							12/2/13	348	5,912.16	775	44,080.67	70,897.00	26,816.33	
AL LEGION															348	5,912.16	15,778.12	9,465.76	
ANA SPONSOR ADR	1175	29,188.18	29,188.18	20,681.18	(8,507.00)										1,175	29,188.18	12,721.40	1,513.22	
BASF SE	860	64,125.47	64,125.47	80,671.44	16,545.97	9/17/13	160	11,910.12	15,158.13	3,427.81					700	62,195.15	74,741.90	22,546.75	
BRP BILLITRON LTD SPONS ADR	2125	44,711.05	44,711.05	166,642.50	121,911.45	9/17/13	285	5,286.75	19,140.81	13,854.06		7/1/13	160	10,065.55	2,000	50,217.70	136,400.00	86,182.30	
BRITISH AMERICAN TOBACCO	917	56,432.81	56,432.81	94,871.25	38,438.44	9/17/13	7	241.85	746.46	504.61					910	66,190.96	99,900.60	43,709.64	
CANADIAN NATL RAILWAY CO	1970	65,189.18	65,189.18	175,649.30	110,660.12	9/17/13	910	18,442.15	91,056.04	74,613.89					2,000	47,146.83	114,040.00	66,893.17	
CANADIAN NATURAL RESOURCES	1020	34,456.52	34,456.52	29,447.40	(5,009.12)										1,020	34,456.52	34,516.80	60.28	
CANADIAN PACIFIC RAILWAY LTD	980	55,989.70	55,989.70	99,587.60	43,597.90	9/17/13	180	7,196.41	22,674.56	15,278.15		10/1/13	13	1,875.62	813	50,468.91	123,023.16	72,554.25	
CORP LABORATORIES NV	850	8,719.75	8,719.75	92,011.10	84,193.75	9/17/13	575	5,827.63	94,155.85	88,328.22					275	2,892.12	52,511.25	49,619.13	
DIAGEO PLC	990	77,605.13	77,605.13	113,414.20	35,809.07	9/17/13	240	18,941.42	10,917.88	11,996.46					750	58,664.11	99,315.00	40,650.89	
EATON CORP	1274	6,519.47	6,519.47	69,025.32	62,505.85	9/17/13	174	9,031.57	11,847.80	2,816.23		9/21/13	145	10,247.01	1,245	67,611.97	94,769.40	27,135.43	
ENSCO PLC												11/1/13	667	38,287.13	1,122	64,418.78	64,155.96	(262.82)	
ENSCO PLC												11/4/13	455	26,131.65	1,200	14,009.94	18,912.00	4,902.06	
ENVISION RESOURCE SV C	3575	31,110.48	31,110.48	55,213.75	22,123.27	9/17/13	2375	20,202.79	40,958.90	20,756.11					215	3,852.84	5,491.10	(1,671.74)	
FINNING INTERNATIONAL	215	4,852.84	4,852.84	5,280.40	(427.56)										215	4,852.84	5,491.10	(638.26)	
INGERSOLL RAND PLC	1315	34,123.95	34,123.95	63,067.40	28,943.45	9/17/13	315	6,114.15	20,126.62	14,212.47		(841.60)	9/24/13	45	2,977.97	1,045	23,211.87	64,772.00	41,560.13
INGERSOLL RAND PLC												(5,916.30)							
MANULIFE FINANCIAL CORP	1275	10,740.13	10,740.13	17,327.25	(6,587.12)										1,275	10,740.13	25,155.75	(14,415.62)	
NABORS INDUSTRIES LTD	5600	134,865.80	134,865.05	80,920.00	(53,945.80)	7/16/13	735	16,644.08	10,900.15	(5,743.93)					4,500	109,946.34	76,455.00	(33,491.34)	
NABORS INDUSTRIES LTD						9/17/13	165	8,265.41	5,917.27	(2,348.14)					1,700	71,700.09	124,820.80	53,120.71	
NESTLE S A SPONS ADR REF VTG	2185	90,179.07	90,179.07	155,292.12	65,113.05	9/17/13	685	18,478.98	45,942.12	27,463.14					2,950	61,456.81	110,516.50	47,059.69	
NOBIE CORP PLC	2950	69,144.60	69,144.60	102,719.00	33,574.40										1,800	91,315.18	144,484.00	53,168.82	
NOVARTIS AG ADR	2125	105,078.93	105,078.93	154,512.50	29,433.57	9/17/13	325	13,761.75	24,446.72	10,684.97					1,700	96,455.85	151,187.00	54,731.15	
PARTNRR LTD B1 RMUDA	725	21,800.77	21,800.77	26,159.25	4,358.48										725	21,800.77	26,159.25	4,358.48	
POTASH CORP OF SASKATCHEWAN	2835	98,967.46	98,967.46	115,216.15	16,248.69										2,835	98,967.46	91,441.60	(7,525.86)	
RIO TINTO PLC SPONS ADR	2500	80,980.76	80,926.76	145,225.00	64,244.24	9/17/13	495	12,502.46	24,869.35	12,366.89					2,700	99,799.18	152,361.00	52,561.82	
SCHLUMBERGER LTD	1700	56,485.10	56,455.85	117,807.62	61,322.52										1,700	56,455.85	151,187.00	94,731.15	
SUNCOR ENERGY	2075	64,675.68	64,675.68	68,411.50	3,735.82										2,075	64,675.68	72,728.75	8,053.07	
TALISMAN ENERGY	1270	22,834.35	22,814.35	14,389.10	(8,445.25)										1,270	22,814.35	14,795.50	(8,018.85)	
TECK RESOURCES LTD	770	27,472.68	27,472.68	27,989.50	516.82										770	27,472.68	20,027.70	(7,444.98)	
TPSARIS SA ADR	2500	16,025.79	15,989.59	104,800.00	88,774.21	9/17/13	700	2,029.20	14,169.15	12,140.15		9/10/13	460	21,509.19	2,660	15,469.58	116,215.40	80,745.82	
TRANS OCEANA INC	1812	92,481.58	92,481.58	80,923.92	(11,557.66)	9/24/13	420	15,098.57	18,697.40	3,598.83						0.00			
TRANS OCEANA INC						10/28/13	1392	77,383.01	67,788.94	(9,594.07)					1,325	49,131.98	53,304.75	4,172.77	
UNILEVER NV NYL YORK SHS	1325	49,131.98	49,131.98	50,177.75	1,045.77										3,850	19,159.39	58,712.50	19,551.11	
VALE S A	3830	19,069.35	19,159.39	80,696.00	61,626.65										7,905	116,681.67	122,448.45	5,766.78	
WEATHERFORD INTL LTD	7000	82,183.51	102,177.51	78,330.00	(1,853.51)														
TOTAL INTERNATIONAL EQUITIES		1,530,151.56	1,697,751.14	2,546,944.24	1,016,792.68			267,580.72	562,134.37	294,553.65	(6,757.90)				162,725.72	1,586,139.24	2,474,287.14	888,148.90	
TOTAL																			
discrepancy client vs broker																			
		1,552,301.56	1,719,901.14	2,659,864.24	1,107,562.68			273,110.72	591,789.45	318,598.73	(6,757.90)				162,725.72	1,602,758.24	2,465,582.14	960,823.90	
			(167,999.56)																
TOTAL		1,552,301.56	1,719,901.14	2,659,864.24	1,107,562.68			273,110.72	591,789.45	318,598.73	(6,757.90)				162,725.72	1,602,758.24	2,465,582.14	960,823.90	
CASH		689.48	689.48													596.66	596.66		
US GOVT MONEY MARKET FUND		87,212.54	87,212.54													61,960.55	61,960.55		
GRAND TOTAL		1,640,203.58	1,807,803.16	2,659,864.24	1,107,562.68			273,110.72	591,789.45	318,598.73	(6,757.90)				162,725.72	1,665,315.45	2,626,139.35	960,823.90	

62,557.21

CHECK FIGURE
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Clearbridge EQUITIES	05/29/2013 Beginning Balance	05/29/2013 Cost	05/29/2013 FMV Beginning Year	Unrealized Gain (Loss)	Date Sold	Shares Sold	Cost Basis	Proceeds	Realized Gain/(Loss)	Adjustment	Date Purchased	Shares Purchased	Purchase Price	Ending Shares	Cost	12/31/2013 FMV	Unrealized Gain (Loss)
COMMON STOCKS																	
AMER ELECTRIC POWER CO	814	38,964.55	37,598.66	0.00					-								
AMERICAN TOWER CORP REIT	490	41,590.12	38,925.60	(1,365.89)					-								(918.19)
AMERICAN TOWER CORP REIT				(2,664.52)					-								2,790.77
AMERICAN TOWER CORP REIT				0.00					-								0.00
AMERICAN TOWER CORP REIT				0.00					-								
AT & T INC	2,676	97,056.65	96,095.16	(961.49)					-								(2,968.49)
AUTOMATIC DATA PROCESSING INC	1,814	116,106.52	126,562.78	10,456.26					6,274.79								
BHP BILLITON PLC NEW SPN ADR	624	38,714.83	36,429.12	(2,285.71)					(3,256.59)								19,043.48
CATERPILLAR INC	738	63,208.91	63,829.62	620.71					(128.37)								0.00
CHEVRON CORP	488	58,260.71	61,239.12	2,978.41					-								3,339.51
COMCAST CORP NEW SPECIAL CL A	2,017	76,878.96	79,308.44	2,429.48					-								4,544.04
DU PONT DE NEMOURS	2,344	116,644.00	131,310.88	14,666.88					3,464.51								24,490.66
DU PONT DE NEMOURS									-								24,149.04
EXXON MOBIL CORP	1,527	135,945.50	140,606.16	4,660.66					-								18,586.90
GENL ELECTRIC CP	3,618	83,215.71	85,529.52	2,313.81					4,764.21								23,845.84
GENL MILLS IMNC	1,054	48,718.09	50,781.72	2,063.63					-								0.00
HOMER DEPOT INC	564	39,176.29	44,832.36	5,656.07					-								8,095.42
HOMER DEPOT INC									-								
HONDA MOTOR CO ADR JAN ADR	1,481	58,284.76	57,596.09	(688.67)					-								2,954.59
INTEL CORP	3,649	77,833.17	88,561.23	10,728.06					-								18,279.83
INTEL PAPER CO	1,708	77,615.45	80,036.88	2,421.43					-								6,127.79
JOHNSON & JOHNSON COM	984	77,755.68	84,279.60	6,523.92					-								12,368.88
KIMBERLY CLARK CORP	772	71,487.82	76,806.28	5,318.46					-								12,200.32
MERCK & CO INCS NEW COM				0.00					-								4,513.98
MERCK & CO INCS NEW COM				0.00					-								0.00
MERCK & CO INCS NEW COM				0.00					-								
METLIFE INC	1,667	62,996.06	73,231.31	10,235.25					-								26,918.70
MICROSOFT CORP	4,173	117,469.95	145,554.24	28,084.29					7,032.51								21,631.36
MICROSOFT CORP				0.00					4,859.54								
NESTLE S A SPONSORED ADR	531	38,428.47	35,741.61	(2,686.86)					-								3,791.77
NEXTERA ENERGY INC COM	785	58,306.50	59,440.20	1,133.70					-								8,902.20
OCCIDENTAL PETROLEUM CRP									-								2,410.82
ORACLE CORP									-								2,034.67
ORACLE CORP									-								
ORACLE CORP									-								
PEOPLES UNITED FINANCIAL INC	4,317	58,528.59	59,876.79	1,348.20					2,690.56								4,261.95
PEPSICO INC	506	38,857.61	41,046.72	2,189.11					-								3,110.03
PFIZER INC	2,783	78,397.11	78,703.24	306.13					-								7,952.66
PPG INDUSTRIES INC	414	58,267.81	65,039.40	6,771.59					-								20,251.43
PROCTER & GAMBLE CO	1,215	92,608.51	95,863.50	3,254.99					423.90								86,538.83
QUALCOMM INC	1,200	77,419.92	76,932.00	(487.92)					-								5,515.91
RAYTHEON CO	1,009	57,860.80	67,703.90	9,843.10					-								11,680.08
SCHLUMBERGER LTD NETHERLANDS ANTILLES	1,131	84,837.79	84,802.38	(35.41)					-								34,571.11
SPECTRA ENERGY CORP	2,694	77,749.92	82,975.20	5,225.28					-								17,319.09
TARGET CORP	1,167	77,923.39	81,013.14	3,089.75					-								18,210.36
TEXAS INSTRUMENTS	1,111	38,591.81	40,095.99	1,504.18					-								(4,087.30)
TIME WARNER INC NEW	1,376	77,307.74	81,459.20	3,951.46					-								10,192.20
TOTAL S A FRANCE SPON ADR	1,918	96,201.53	98,355.04	2,153.51					-								18,484.64
TRAVELERS COS INC/THE	947	78,409.14	79,235.49	826.35					-								0.00
UNION PACIFIC CORP	266	41,247.53	41,510.58	263.05					-								17,582.60
UNION PACIFIC CORP									-								
UNITED PARCEL SERVICE INC CL B	1,140	97,227.18	98,427.60	1,200.42					-								7,332.24
UNITED HEALTH GROUP INC	988	60,454.41	62,589.80	2,135.39					-								6,137.22
UNITED HEALTH GROUP INC				0.00					-								0.00
UNITED TECHNOLOGIES CORP	836	77,995.04	79,520.32	1,525.28					-								101,395.80
UNITED TECHNOLOGIES CORP									-								
VERIZON COMMUNICATIONS INC	2,030	98,861.00	100,627.10	1,766.10					-								893.20
WAL MART STORES INC	1,075	77,961.26	81,947.25	3,985.99					-								8,143.65
WAL MART STORES INC									-								
WALT DISNEY CO	677	38,572.14	44,858.02	6,285.88					-								23,320.01
WALT DISNEY CO									-								0.00

US EQUITIES

	Shares Beginning	Cost Per Client	Cost Per Broker	FMV @ 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP/ Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)	12/31/12 Bal per Broker
ALCOA INC																			
ALCOA INC	995	38,599.89	38,599.89	41,849.70	3,249.81	2/4/13	56	2,178.96	2,482.58	303.62		9/18/13	2,831	23,681.32	5,158	45,773.18	54,829.54	9,056.36	
ALCOA INC						2/24/13	241	9,377.50	10,997.26	1,619.96		11/26/13	1,299	12,418.42	698	27,043.63	35,549.14	8,505.51	0.00
ANALOG DEVICES																		0.00	
ANALOG DEVICES	981	36,695.87	36,695.87	34,256.52	(2,439.35)					-		6/7/13	1,489	22,911.69	1,489	22,911.69	26,325.52	3,413.83	0.00
APPLIED MATERIALS INC	1377	41,208.77	41,208.77	36,077.40	(5,131.37)					-					981	36,695.87	49,526.39	12,540.52	0.00
AVERY DENNISON	3994	29,577.98	29,577.98	22,885.62	(6,692.36)					-					1,377	41,208.77	47,075.63	5,870.86	0.00
BABCOCK & WILCOX	1695	38,982.01	38,982.01	38,781.60	(200.41)					-					3,994	29,577.98	48,007.88	18,425.90	0.00
BOSTON SCIENTIFIC	2000	44,511.10	43,867.23	29,880.00	(13,987.23)	7/19/13	147	3,683.84	2,791.69	(892.15)		5/17/13	40	1,080.72	1,113	21,638.87	19,956.09	1,682.79	0.00
BROADBRIDGE FINANCIAL						7/20/13	606	15,186.46	11,369.21	(3,817.25)								0.00	
CABLEVISION SYSTEMS						10/5/13	16	400.96	272.79	(128.17)								0.00	
CABLEVISION SYSTEMS	632	38,010.79	38,010.79	47,602.24	9,591.45	10/4/13	118	2,957.10	2,012.05	(945.05)					632	38,010.79	61,070.16	23,059.37	0.00
CABLEVISION SYSTEMS										-		2/4/13	738	20,675.37	1,128	32,354.66	37,596.24	5,241.58	0.00
CHUBB CORP	392	25,857.95	26,190.89	28,702.24	2,511.35					-		8/29/13	390	11,679.29	392	26,190.89	36,361.92	10,171.03	0.00
CINEMAX HLDGS INC										-		12/3/13	286	16,087.50	434	24,408.33	24,560.42	(157.91)	0.00
CINEMAX HLDGS INC						12/4/13	148	8,320.83							763	23,045.50	25,186.63	2,141.13	0.00
CLOROX	722	38,053.02	38,011.92	46,063.60	8,051.68	6/18/13	223	11,618.21	15,186.03	3,567.82		5/7/13	763	23,045.50	499	26,393.71	34,435.99	8,042.28	0.00
COACH INC	430	30,978.75	30,978.75	27,412.50	(3,566.25)	7/8/13	75	3,049.36	2,276.21	(773.15)					430	30,978.75	27,206.10	(3,772.65)	0.00
DIEBOLD INC	835	34,278.02	34,272.33	24,832.90	(9,445.43)	8/21/13	760	31,222.97	22,668.12	(8,554.85)								0.00	
DUKE ENERGY										-		11/12/13	103	4,377.40	577	24,520.80	25,532.25	1,011.45	0.00
ENERGY CORP						11/13/13	4	170.00										0.00	
EXELON CORP	1774	28,175.02	28,175.02	42,735.66	14,560.64	12/11/13	470	19,973.40		-		7/24/13	316	7,032.93	2,090	35,207.95	58,938.00	23,730.05	0.00
EXPEDITORS INTL OF WASHINGTON INC	631	33,911.82	33,911.82	40,118.98	6,207.16					-					631	33,911.82	52,492.89	18,581.07	0.00
EXPEDITORS INTL OF WASHINGTON INC	878	43,365.99	43,365.99	50,643.04	7,277.05	6/10/13	878	43,365.99	63,655.00	20,289.01					849	28,628.85	35,768.37	7,139.52	0.00
GENUINE PARTS	742	24,119.24	24,209.96	30,693.32	6,093.32					-		5/20/13	107	4,418.89	819	37,019.33	45,053.19	8,033.86	0.00
HAEMONETICS CORP-MASS	819	37,019.33	37,019.33	29,402.10	(7,617.23)					-					253	19,744.37	24,242.46	4,498.09	0.00
HASBRO	3001	32,182.75	32,182.75	24,398.13	(7,784.62)	6/14/13	3001	32,182.75	24,904.26	(7,278.49)		7/26/13	2,492	23,033.56	2,492	23,033.56	30,826.04	7,792.48	0.00
HUDSON CITY BANCORP	253	19,744.37	19,744.37	19,438.23	(306.14)					-		2/6/13	462	21,192.49	900	42,802.05	51,075.00	8,272.95	0.00
ITC HOLDINGS										-		2/14/13	221	10,331.09				0.00	
JANUS CAPITAL GROUP INC						9/27/13	217	11,278.47							667	21,966.64	31,008.83	9,042.19	0.00
KOHL'S	491	36,390.76	36,390.76	48,348.77	11,958.01	10/1/13				-		10/1/13			491	36,390.76	57,162.22	20,771.46	0.00
KOHL'S	1540	37,072.57	37,072.57	56,394.80	19,322.23					-					1,540	37,072.57	73,273.20	36,200.63	0.00
LEIDOS HOLDINGS INC COM	608	46,767.64	46,767.64	58,951.68	12,184.04					-					608	46,767.64	98,131.20	51,363.56	0.00
M&T BANK	374	19,894.97	19,894.97	18,938.06	(956.91)					-		2/11/13	191	10,797.42	731	40,818.25	52,193.40	11,375.15	0.00
MATTEL	2841	47,897.73	47,897.73	37,217.10	(10,680.63)	4/26/13	166	10,125.86		-					2,841	47,897.73	47,870.85	(26.88)	0.00
MCKESSON CORP	1504	28,528.49	28,528.49	37,434.56	8,906.07					-		5/3/13	463	6,316.43	1,504	28,528.49	49,451.52	20,923.03	0.00
NATIONAL FUEL GAS	2902	33,140.92	33,140.92	30,906.30	(2,234.62)					-					3,365	39,457.35	58,115.55	18,656.20	0.00
NATIONAL FUEL GAS	905	39,779.22	39,779.22	45,213.80	5,434.58	7/29/13	367	16,687.45	23,732.63	7,045.18		9/12/13	1,236	22,900.73	538	23,091.77	40,011.06	16,919.29	0.00
NY COMM BANCORP										-		10/2/13	380	23,751.44	1,236	22,900.73	24,139.08	1,238.35	0.00
NISOURCE	1432	19,955.21	19,955.21	19,976.40	21.19	11/6/13	704	10,259.25	2,136	-		1/16/13	704	10,259.25	380	23,751.44	20,345.20	(3,406.24)	0.00
OLD REPUBLIC INTL	448	28,810.26	28,810.26	26,060.16	(2,750.10)	3/8/13	83	5,043.99		-		3/8/13	83	5,043.99	628	40,950.92	46,421.76	5,470.84	0.00
OMNICOM GROUP						8/15/13	97	7,094.67		-		1/23/13	1,726	20,743.93	-	-	0.00	0.00	
PEABODY ENERGY CORP						2/14/13	944	11,268.81		-					-	-	0.00	0.00	
QUEST DIAGNOSTICS INC	978	40,293.40	40,293.40	44,635.92	4,342.52	5/7/13	554	23,449.44	29,723.48	6,274.04		2/14/13			-	-	0.00	0.00	
REGAL ENTERTAINMENT	721	38,153.57	38,153.57	51,147.74	12,994.17	10/11/13	424	16,843.96	19,542.11	2,698.15		9/30/13	381	10,018.30	381	10,018.30	12,599.67	2,581.37	0.00
ROCKWELL COLLINS	941	28,161.19	28,161.19	27,975.93	(185.26)	7/10/13	155	8,144.37	12,645.10	4,500.73					410	21,812.29	36,801.60	14,989.31	0.00
ROCKWELL COLLINS	931	41,961.45	41,961.45	33,646.34	(8,315.11)	11/21/13	156	8,196.91	14,053.95	5,857.04		5/9/13	1,641	23,285.46	941	28,161.19	39,258.52	11,097.33	0.00
SAIC INC										-		6/11/13	528	8,236.80	931	41,961.45	57,675.45	15,714.00	0.00
SAIC INC						9/6/13	246	3,483.66		-					2,415	35,005.92	38,374.35	3,368.43	0.00
SCANA CORP	1425	27,040.66	27,040.66	40,398.75	13,358.09					-					1,425	27,040.66	52,454.25	25,413.59	0.00
SCIENCE APPLICATIONS INTL CORP	1254	39,084.04	39,084.04	39,701.64	617.60					-					1,254	39,084.04	45,269.40	6,185.36	0.00
SEMPRA ENERGY	1677	29,375.07	29,375.07	28,106.52	(1,268.55)	2/5/13	116	1,965.03		-					1,793	31,340.10	30,911.32	(428.78)	0.00
SEMPRA ENERGY	981	29,826.06	29,826.06	21,719.34	(8,106.72)					-		1/24/13	93	31,449.94	981	29,826.06	25,290.18	(4,535.88)	0.00
SONOCO PRODUCTS										-					893	31,449.94	23,950.26	(7,499.69)	0.00
ST JUDE MEDICAL	496	27,069.41	27,069.41	33,346.08	6,276.67	12/13/13	7	377.34	644.12	266.78					401	21,948.34	39,646.87	17,698.53	0.00
STAPLES INC										-								0.00	
STAPLES INC										-								0.00	
SUNTRUST BANKS										-								0.00	
SYSCO CORP										-								0.00	
TECO ENERGY INC										-								0.00	
TELEPHONE & DATE SYSTEMS										-								0.00	
VERIFONE SYSTEMS INC										-								0.00	
WR GRACE										-								0.00	

RBC																			
	Shares Beginning	Cost Per Client	Cost Per Broker	FMV @ 12/31/2012	Appreciation (Depreciation)	Date	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)	12/31/12 Bal per Broker
WR GRACE	1213	29,216.32	29,216.32	32,399.23	3,182.91	12/16/13	88	4,743.73	8,144.60	3,400.87					763	18,403.49	21,318.22	2,914.73	0.00
XCEL ENERGY	4466	49,576.02	49,576.02	30,458.12	(19,117.90)	1/22/13	450	10,812.83	12,316.25	1,503.42					4,466	49,576.02	54,351.22	4,775.20	
ZIMMER HOLDINGS	475	27,726.63	27,736.63	31,663.50	3,926.87					-	-	1/11/13	58	4,124.03	533	31,860.66	49,670.27	17,809.61	0.00
										-	-				-	-	-	0.00	0.00
					0.00					-	-				-	-	-	0.00	0.00
TOTAL US EQUITIES		1,371,001.26	1,360,737.26	1,410,064.48	49,327.22			276,492.67	311,430.18	34,937.51	-			484,216.70		1,568,461.29	2,086,935.70	518,474.41	
INTERNATIONAL EQUITIES																			
AMDOCS LTD																			
AMDOCS LTD																			
AMDOCS LTD																			
ENDURANCE SPECIALTY	794	37,702.97	37,702.97	31,513.86	(6,189.11)					-	-	12/17/13	53	2,150.32	605	24,581.35	\$ 24,950.20	368.85	
INVESCO LTD	1219	30,591.62	30,914.57	31,803.71	889.14					-	-	12/18/13	505	20,506.38	794	37,702.97	\$ 46,583.98	8,881.01	
INVESCO LTD										-	-	12/19/13	47	1,924.65	1,809.00	50,268.41	\$ 65,847.60	15,579.19	
NEXEN	1396	27,189.98	27,189.98	37,608.24	10,418.26	3/1/13	1396	27,189.98	38,390.00	11,200.02					-	-	-	0.00	
ULTRA PETROLEUM CORP										-	-	5/16/13	1,081	23,787.62	1,081.00	23,787.62	\$ 23,403.65	(383.97)	0.00
										-	-				-	-	-	0.00	
										-	-				-	-	-	0.00	
										-	-				-	-	-	0.00	
TOTAL INTERNATIONAL EQUITIES		95,484.57	95,807.52	100,925.81	5,118.29			27,189.98	38,390.00	11,200.02	-			67,222.81		136,340.35	160,785.43	24,445.08	
OTHER ASSETS																			
AMERICAN CAPITAL AGENCY	1679	50,227.18	50,227.18	48,523.10	(1,704.08)	6/3/13	372	11,395.82	9,369.54	(2,026.28)					1,307.00	38,831.36	25,212.03	(13,619.33)	
ANNALY CAP MGMT	2866	50,349.93	50,270.15	40,238.64	(10,031.51)	10/7/13	838	14,872.41	9,645.25	(5,227.16)					-	-	-	-	
ANNALY CAP MGMT						12/10/13	2,028	35,397.74	20,618.55	(14,779.19)					-	-	-	-	
BRANDYWINE REALTY TRUST	1609	18,757.55	18,516.60	19,613.71	1,097.11					-	-	(344.20)			1,609.00	18,172.40	22,670.81	4,498.41	
HCP INC	951	35,173.06	34,611.50	42,947.16	8,335.66					-	-	(464.72)			951.00	34,146.78	34,540.32	393.54	
HEALTH CARE REIT	694	34,845.99	34,428.25	42,535.26	8,107.01					-	-	(882.17)			694.00	33,546.08	37,177.58	3,631.50	
SPDR GOLD	558	74,345.73	74,345.73	90,407.38	16,061.65	1/4/13	307	39,804.82	49,141.82	9,337.00					-	-	-	-	
SPDR GOLD						6/20/13	251	34,540.91	31,384.11	(3,156.80)					-	-	-	-	
SUN COMMUNITIES	899	35,799.05	35,799.05	35,861.11	62.06					-	-	(739.15)			1,001.00	39,315.34	42,682.64	3,367.30	
TOTAL OTHER ASSETS		299,498.49	298,198.46	320,126.36	21,927.90			136,011.70	120,159.27	(15,852.43)	(2,430.21)			4,255.44		164,011.96	162,583.38	(1,728.58)	
			1,300.03																
TOTAL		1,765,987.32	1,754,743.24	1,831,116.65	76,373.41			439,694.35	469,979.45	30,285.10	(2,430.21)			556,194.95		1,868,813.60	2,410,004.51	541,190.91	
			11,241.08																
diverchaba's client vs broker																			
TOTAL		1,765,987.32	1,754,743.24	1,831,116.65	76,373.41			439,694.35	469,979.45	30,285.10	(2,430.21)			556,194.95		1,868,813.60	2,410,004.51	541,190.91	
RBC BANK DEPOSIT PROGRAM		152,438.54	160,940.32													119,667.67			
CASH			(8,501.78)													722.86			
GRAND TOTAL		1,918,425.86	1,907,181.78	1,831,116.65	76,373.41			439,694.35	469,979.45	30,285.10	(2,430.21)			556,194.95		1,989,204.13	2,410,004.51	541,190.91	
																			120,390.53

Kennedy	Shares Beginning	Per Client Cost	Per Broker Cost	FMV 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
STOCKS																		
INVESTCO LTD	2,075	31,614.88	31,614.88	54,136.75	22,521.87					-					2,075	31,614.88	75,530.00	43,915.12
MARVELL TECH GROUP	5,046	70,194.73	70,194.73	76,639.00	(13,555.73)	4/16/13	5046	70,194.73	51,880.79	(18,313.94)					-	-	-	-
ALLIED WORLD ASSURANCE CO HLDGS LTD	968	41,875.26	41,875.39	76,278.40	34,403.01	5/7/13	968	41,875.39	86,201.94	44,326.55					-	-	-	-
AGCO CORP	1,991	87,321.44	87,321.44	97,797.92	10,476.48					-					1,991	87,321.44	117,847.29	30,525.85
APPLIED MATERIALS	5,951	73,792.40	73,792.40	68,079.44	(5,712.96)	4/16/13	5951	73,792.40	81,928.55	8,136.15					1,725	48,378.64	92,097.75	43,719.11
ATWOOD OCEANICS	1,725	49,290.80	48,378.64	78,987.75	30,609.11					-					2,651	104,914.85	125,763.44	20,848.59
AXIALL CORP COM/GEORGIA GULF CORP	1,690	68,319.59	68,319.59	69,763.20	1,443.61					-		10/7/13	961	36,595.26	2,651	104,914.85	125,763.44	20,848.59
CADENCE DESIGN SYSTEMS INC	3,494	37,457.23	36,407.13	67,259.50	30,852.37					-		10/31/13	8,168	105,375.37	8,168	105,375.37	114,515.36	9,139.99
CENTERPOINT ENERGY	2,449	54,870.59	54,862.64	74,302.66	19,440.02	5/13/13	1322	27,083.16	49,456.36	22,373.20					3,494	36,407.13	80,990.92	44,583.79
COMERICA										-					-	-	-	-
COMMUNITY HEALTH SYS INC										-		9/19/13	467	100,721.94	467	100,721.94	96,879.09	(3,842.85)
DANA HLDG CORP COM	1,067	36,467.24	36,467.24	48,089.69	11,622.45	10/16/13	1067	36,467.24	52,540.40	16,073.16		5/7/13	5,324	90,502.14	5,324	90,502.14	104,456.88	13,954.74
DARDEN RESTAURANTS										-						-	-	-
DENBURY RES INC DEL COM										-		1/1/13	4,766	84,532.64	4,766	84,532.64	78,305.38	(6,227.26)
DOVER CORP	1,204	52,904.39	52,904.39	54,288.36	1,383.97	7/30/13	1204	52,904.39	67,622.44	14,718.05		7/30/13	1,172	99,455.22	1,172	99,455.22	113,144.88	13,689.66
ENERGEN CORP										-		8/30/13	993	97,167.33	993	97,167.33	107,482.32	10,314.99
ENERGIZER HLDGS INC	888	21,786.41	21,786.41	51,965.76	30,179.35					-		6/5/13	1,667	19,420.88	888	21,786.41	67,008.48	45,222.07
FMC CORP-NEW	6,467	57,800.11	57,800.11	64,087.97	6,287.86	9/25/13	3675	37,367.78	41,796.15	4,428.37					-	-	-	-
FIRST HORIZON NATL						12/9/13	4459	39,853.21	49,205.10	9,351.89					-	-	-	-
FOOT LOCKER INC										-		11/5/13	2,998	103,407.92	2,998	103,407.92	124,237.12	20,829.20
FOREST LABORATORIES	1,833	44,249.56	44,249.56	64,741.56	20,492.00					-					1,833	44,249.56	65,785.43	65,785.43
FOSSIL IN GEORGIA GULF CORP	1,020	73,448.77	73,448.77	94,962.00	21,513.23	2/12/13	388	27,939.34	40,678.05	12,738.71					-	-	-	-
FOSSIL IN GEORGIA GULF CORP						10/18/13	632	45,509.43	74,620.20	29,110.77					-	-	-	-
GILDAN ACTIVE WEAR	2,276	54,615.87	51,086.93	83,256.08	32,169.15	10/25/13	654	17,252.26	31,347.11	14,094.85					1,622	33,834.67	86,468.82	52,634.15
HARMAN INTL	1,992	74,356.21	74,356.21	88,922.88	14,566.67	8/70/13	527	20,262.31	34,661.39	14,399.08					1,465	54,093.90	119,910.25	65,816.35
HELMERICH & PAYNE	1,290	57,010.65	57,010.65	72,252.90	15,242.25					-					1,290	57,010.65	108,463.20	51,452.55
HORMEL FOODS	2,890	52,881.55	52,881.55	90,196.90	37,315.35	3/15/13	1134	21,183.91	43,592.59	22,408.68					-	-	-	-
HORMEL FOODS						3/19/13	1756	31,697.64	68,379.37	36,681.73					-	-	-	-
HOSPIRA INC	2,212	75,634.92	75,634.92	69,102.88	(6,532.04)					-		2/20/13	704	21,426.03	2,916	97,060.95	120,372.48	23,311.53
HUNTINGTON BANCSHARES	14,815	71,428.15	71,420.20	94,667.85	23,247.65	7/12/13	3500	17,982.08	29,518.13	11,536.05		2/15/13	4,519	89,466.26	11,315	53,438.12	109,189.75	55,751.63
JABIL CIRCUIT INC						11/4/13	4519	89,466.26	97,519.68	8,053.42		12/9/13	6,449	78,466.92	6,449	78,466.92	83,740.26	5,273.34
JDS UNIPHASE CORP	1,967	77,234.65	77,234.65	78,680.00	1,445.35	4/16/13	1967	77,234.65	75,731.73	(1,502.92)					8,036	67,903.40	107,843.12	39,939.72
KENAMETAL INC	9,530	80,527.55	80,527.55	80,242.60	(284.95)	7/12/13	1494	12,624.15	17,778.29	5,154.14		4/16/13	1,479	79,645.48	1,479	79,645.48	95,336.34	15,690.86
KEYCORP										-		6/24/13	1,798	94,339.80	1,798	94,339.80	102,036.50	7,696.70
KLA-TENCOR CORP COM										-		10/31/13	2,905	104,386.53	2,905	104,386.53	98,944.30	(5,442.23)
KOHLER CORP	1,569	36,709.66	34,181.07	76,378.92	42,197.85	1/29/13	781	17,220.04	41,124.42	23,904.38		10/18/13	1,795	101,786.01	1,795	101,786.01	110,931.00	9,144.99
LINCOLN ELECT CO						1/30/13	788	16,961.03	42,129.94	25,168.91					-	-	-	-
LINCOLN ELECT CO						4/24/13	2404	75,695.95	90,652.32	14,956.37					-	-	-	-
METHANEX CORP	2,404	75,695.95	75,695.95	76,615.48	919.53	4/24/13	2404	75,695.95	90,652.32	14,956.37					-	-	-	-
NCR CORP	2,395	70,892.00	70,892.00	80,352.25	9,460.25	10/31/13	2395	70,892.00	95,031.70	24,139.70		10/31/13	2,905	104,386.53	2,905	104,386.53	98,944.30	(5,442.23)
NETAPP INC										-		10/18/13	1,795	101,786.01	1,795	101,786.01	110,931.00	9,144.99
NORDSTROM INC	1,455	50,296.88	50,296.88	56,861.40	6,564.52	10/8/13	1455	50,296.88	59,529.85	9,232.97					-	-	-	-
NORTHEAST UTILITIES	976	40,064.70	40,064.70	69,823.04	29,758.34	5/8/13	252	10,344.57	23,938.98	13,594.41		3/15/13	2,641	91,377.54	2,641	91,377.54	133,793.06	42,415.52
OIL STATES INTL						10/7/13	251	10,303.53	26,514.74	16,211.21		10/8/13	2,613	58,780.74	4,492	91,383.84	103,271.08	11,887.24
OIL STATES INTL						12/9/13	473	19,416.60	48,368.18	28,951.58					1,134	57,860.14	83,598.48	25,738.34
ON SEMICONDUCTOR						10/31/13	11086	82,421.50	76,784.73	(5,636.77)					9,440	61,074.91	93,361.60	32,286.69
PARKER HANNIFIN CORP	11,086	82,421.50	82,421.50	78,156.30	(4,265.20)					-		7/3/13	748	92,790.52	1,488	57,556.37	115,186.08	57,629.71
PENNY JC CO INC										-					748	92,790.52	103,732.64	10,942.12
PROTECTIVE LIFE CORP										-		9/19/13	1,110	100,360.76	1,110	100,360.76	89,565.90	(10,794.86)
QUESTAR CORP	3,505	60,816.31	60,816.31	69,258.80	8,442.49	5/30/13	1626	28,213.21	39,882.48	11,669.27		9/19/13	1,110	100,360.76	1,110	100,360.76	89,565.90	(10,794.86)
REGAL-BELOIT	1,134	59,700.06	57,860.14	79,912.98	22,052.84					-		4/16/13	6,946	91,169.72	3,624	66,535.82	103,501.44	36,965.62
REGIONS FINL CORP	12,332	79,785.57	79,785.57	87,927.16	8,141.59	7/12/13	2892	18,710.66	29,267.11	10,556.45		4/11/13	1,122	16,539.96	6,946	91,169.72	130,862.64	39,692.92
REINSURANCE GRP OF AMERICA	1,488	57,556.37	57,556.37	79,637.76	22,081.39					-		12/9/13	3,471	77,965.95	6,052	73,549.61	118,256.08	44,706.47
ROPER INDS INC										-		7/30/13	1,413	22,791.69	3,471	77,965.95	81,846.18	3,880.23
ST JUDE MEDICAL	1,908	68,872.08	68,872.08	68,955.12	83.04	9/19/13	1908	68,872.08	101,267.62	32,395.54		7/30/13	1,413	22,791.69	6,172	99,036.69	108,750.64	9,713.95
STANLEY BLACK & DECKER INC COM										-		9/19/13	1,110	100,360.76	1,110	100,360.76	89,565.90	(10,794.86)
SKYWORX SOLUTIONS	3,624	66,535.55	66,535.55	73,567.20	7,031.38					-		9/19/13	1,110	100,360.76	3,624	66,535.82	103,501.44	36,965.62
SOUTHWEST AIRLINS CO										-		4/16/13	6,946	91,169.72	6,946	91,169.72	130,862.64	39,692.92
STEEL DYNAMICS	4,930	61,665.02	57,009.65	67,688.90	10,679.25					-		4/11/13	1,122	16,539.96	6,052	73,549.61	118,256.08	44,706.47
SYMANTEC CORP	4,759	76,245.00	76,245.00	80,379.51	4,134.51					-		12/9/13	3,471	77,965.95	3,471	77,965.95	81,846.18	3,880.23
TERADYNE	2,141	75,892.65	75,892.65	102,404.03	26,511.38	7/30/13	401	14,955.85	22,732.77	7,776.92		7/30/13	1,413	22,791.69	6,172	99,036.69	108,750.64	9,713.95
TIMKEN CO										-					-	-	-	-

Fidelity																		
Kennedy	Shares Beginning	Per Client Cost	Per Broker Cost	FMV 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
TIMKEN CO	1,867	58,616.14	58,616.14	96,467.89	37,851.75	9/19/13	1,740	60,936.80	108,786.90	47,850.10					1,407	43,738.73	109,937.05	66,218.32
TORCHMARCK CORP	2,193	67,293.74	67,293.74	78,553.26	11,259.52	7/17/13	460	14,877.41	31,528.03	16,650.62					2,193	67,293.74	119,562.36	52,268.62
TRINITY INDS																		
TYSON FOODS INC CL A	2,349	59,995.05	59,995.05	76,835.79	16,840.74	8/30/13	3857	85,789.32	112,707.28	26,917.96		1/28/13	3,857	85,789.32				
UGI CORP	3,866	105,124.00	105,124.00	70,090.58	(35,033.42)	5/30/13	1,169	30,107.42	46,287.50	16,180.08		5/6/13	1,048	22,341.05	1,180	29,887.63	48,922.80	19,035.17
ULTRA PETROLEUM	2,737	51,270.89	51,270.89	93,386.44	42,115.55	1/18/13	2,737	51,270.89	97,748.12	46,477.23		4/24/13	594	87,752.75	4,914	127,465.05	106,388.10	(21,076.95)
VALERO ENERGY															-	-	-	-
VALMONT INDS INC	3,446	98,704.36	98,696.41	60,305.00	(38,391.41)	7/24/13	594	87,752.75	89,024.79	1,272.04					-	-	-	-
WMS INDUSTRIES	472	21,885.05	21,885.05	41,120.64	19,235.59	7/3/13	3,446	98,696.41	87,788.42	(10,907.99)					-	-	-	-
WATERS CORP	2,457	63,633.15	63,610.88	70,319.34	6,708.46	5/7/12	472	21,885.05	43,935.74	22,050.69					2,457	63,610.88	79,041.69	15,430.81
WESTAR ENERGY															359	51,882.57	56,312.74	4,430.17
WHIRLPOOL CORP	1,003	56,759.77	56,759.77	43,500.11	(13,259.66)	12/3/13	606	34,293.54	36,510.62	2,217.08		7/30/13	489	24,277.09	1,290	67,432.52	79,812.30	12,379.78
WHITING PETE CORP															1,215	47,053.22	55,416.15	8,362.93
WHITING PETE CORP															2,583	47,932.15	72,169.02	24,236.87
WOODWARD INC COM	2,583	47,932.15	47,932.15	68,991.93	21,059.78	9/19/13	1,019	39,979.04	41,389.52	1,410.48		1/30/13	1,109	43,510.06	1,228	62,375.10	114,437.32	52,062.22
XCEL ENERGY	1,228	62,375.10	62,375.10	81,858.48	19,483.38										-	-	-	-
ZIMMER HOLDINGS															-	-	-	-
TOTAL STOCKS		3,001,842.90	2,987,260.85	3,588,050.36	600,789.51			1,778,362.34	2,423,147.92	644,785.58	-			2,381,368.47		3,590,266.98	4,837,660.07	1,247,393.09
OTHER EQUITIES																		
BOSTON PROPERTIES	814	62,810.02	62,810.02	86,129.34		2/20/13	814	62,810.02	86,837.85	24,027.83		6/17/13	4,625	92,041.66	4,625	92,041.66	83,805.00	(8,236.66)
BIOMED RLTY TR INC												7/8/13	330	24,533.25	1,316	91,302.85	86,230.08	(5,072.77)
CAMDEN PPTY	1,166	67,079.77	66,769.60	79,532.86	12,763.26	7/17/13	5,608	96,944.94	96,944.94	-		7/17/13	5,608	96,944.94	5,608	96,944.94	86,194.96	(10,749.98)
DDR CORP COM	1,109	36,175.20	34,981.47	67,970.61	32,989.14	6/17/13	1,109	33,498.11	72,651.54	39,153.43	(1,483.36)				-	0.00	-	(0.00)
HEALTH CARE REIT	4,393	36,901.64	36,901.64	68,838.31	31,936.67							3/5/13	828	13,887.46	5,221	50,789.10	101,496.24	50,707.14
HOST HOTELS												8/30/13	1,554	97,741.47	1,554	97,741.47	94,389.96	(3,351.51)
MID-AMER APT COMNTYS INC															-	-	-	-
TOTAL OTHER EQUITIES		202,966.63	201,462.73	302,471.12	77,689.07			96,308.13	159,489.39	63,181.26	(1,483.36)			325,148.78		428,820.02	452,116.24	23,296.22
TOTAL		3,204,809.53	3,188,723.58	3,890,521.48	678,478.58			1,874,670.47	2,582,637.31	707,966.84	(1,483.36)			2,706,517.25		4,019,087.00	5,289,776.31	1,270,689.31
discrepancy client vs broker																		
TOTAL		3,204,809.53	3,188,723.58	3,890,521.48	678,478.58			1,874,670.47	2,582,637.31	707,966.84	(1,483.36)			2,706,517.25		4,019,087.00	5,289,776.31	1,270,689.31
TOTAL CASH		3,204,809.53	3,188,723.58	3,890,521.48	678,478.58			1,874,670.47	2,582,637.31	707,966.84	(1,483.36)			2,706,517.25		4,019,087.00	5,289,776.31	1,270,689.31
		118,846.76	118,846.76													87,735.95	87,735.95	
GRAND TOTAL		3,323,656.29	3,307,570.34	3,890,521.48	678,478.58		-	1,874,670.47	2,582,637.31	707,966.84	(1,483.36)		-	2,706,517.25	-	4,106,822.95	5,377,512.26	1,270,689.31

CHECK FIGURE

	Shares Beginning	Per Client Cost	Per Broker Cost	FMV @ 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
US EQUITIES																		
ALTRIA GROUP	950	31,795 08	31,795 08	29,868 00	(1,927 08)					-		6/14/13	85	3,040 45	1,415	48,310 65	54,321 85	6,011 20
ALTRIA GROUP												7/23/13	50	1,791 50				
ALTRIA GROUP												8/15/13	40	1,381 17				
ALTRIA GROUP												9/19/13	225	8,036 55				
ALTRIA GROUP												9/25/13	65	2,265 90				
AT&T	840	30,070 74	30,070 74	28,316 40	(1,754 34)					-		6/14/13	120	4,336 69	1,355	48,168 96	47,641 80	(527 16)
AT&T												7/23/13	55	1,965 70				
AT&T												8/15/13	40	1,375 97				
AT&T												9/19/13	245	8,537 76				
AT&T												9/25/13	55	1,882 10				
BOEING	340	25,060 89	25,060 89	25,622 40	561 51					-		5/16/13	40	4,920 00	340	25,060 89	46,406 60	21,345 71
CHEVRON	260	29,891 94	29,891 94	28,116 40	(1 775 54)					-		8/15/13	20	2,400 80	440	51,991 64	54,960 40	2,968 76
CHEVRON												9/19/13	65	8,148 40				
CHEVRON												9/25/13	10	1,246 70				
CHEVRON												10/18/13	45	5,383 80				
CHEVRON												6/27/13	50	1,228 50				
CISCO	1070	20,007 40	20,007 40	21,024 86	1,017 46					-		6/14/13	265	6,428 66	2,100	44,904 03	47,103 00	2,198 97
CISCO												8/15/13	95	2,316 02				
CISCO												9/19/13	370	9,090 16				
CISCO												9/25/13	45	1,100 25				
CISCO												10/18/13	205	4,733 04				
CONOCOPHILIPS	560	32,550 28	32,550 28	32,474 40	(75 88)					-		6/14/13	55	3,377 55	710	42,638 83	50,161 50	7,522 67
CONOCOPHILIPS												9/19/13	80	5,652 00				
CONOCOPHILIPS												9/25/13	15	1,059 00				
CORNING INC												12/16/13	215	3,655 00	215	3,655 00	3,831 30	176 30
DIAMOND OFFSHORE DRILLING INC										-		2/5/13	275	20,270 72	740	51,027 60	42,120 80	(8 906 80)
DIAMOND OFFSHORE DRILLING INC												5/13/13	45	3,182 40				
DIAMOND OFFSHORE DRILLING INC												6/14/13	110	7,400 60				
DIAMOND OFFSHORE DRILLING INC												7/2/13	50	3,452 50				
DIAMOND OFFSHORE DRILLING INC												8/15/13	45	2,998 80				
DIAMOND OFFSHORE DRILLING INC												9/19/13	160	10,234 88				
DIAMOND OFFSHORE DRILLING INC												9/25/13	20	1,269 40				
DIAMOND OFFSHORE DRILLING INC												10/18/13	35	2,218 30				
DOMINION RESOURCES INC	375	19,840 69	19,840 69	19,425 00	(415 69)					-		6/14/13	35	1,969 10	490	26,860 39	31,698 10	4,837 71
DOMINION RESOURCES INC												9/19/13	65	4,106 05				
DOMINION RESOURCES INC												9/25/13	15	944 55				
EDU PONT DE NEMOURS	500	24,844 25	24,844 25	22,489 25	(2 355 00)					-		6/14/13	50	2,634 00	690	35,884 35	44,829 30	8,944 95
EDU PONT DE NEMOURS												9/19/13	75	4,533 00				
EDU PONT DE NEMOURS												9/25/13	20	1,192 00				
EDU PONT DE NEMOURS												10/18/13	45	2,681 10				
ELI LILY	560	30,048 76	30,048 76	27,619 20	(2 429 56)					-		6/14/13	110	5,686 90	980	51,788 70	49,980 00	(1,808 70)
ELI LILY												7/23/13	45	2,284 65				
ELI LILY												9/19/13	125	6,728 50				
ELI LILY												9/25/13	35	1,849 75				
ELI LILY												10/18/13	105	5,190 14				
GENERAL ELECTRIC CO	1200	27,382 20	27,382 20	25,188 00	(2,194 20)					-		5/16/13	150	3,496 37	1,735	40,285 23	48,632 05	8,346 82
GENERAL ELECTRIC CO												8/15/13	40	958 37				
GENERAL ELECTRIC CO												9/19/13	305	7,471 89				
GENERAL ELECTRIC CO												9/25/13	40	976 40				
GENUINE PARTS	400	24,719 96	24,719 96	25,432 00	712 04					-		9/19/13	60	4,898 99	520	34,359 48	43,258 80	8,899 32
GENUINE PARTS												9/25/13	15	1,222 65				
GENUINE PARTS												10/18/13	45	3,517 88				
HJ HEINZ	475	27,387 31	27,387 31	27,398 00	10 69	6/10/13	475	27,387 31	34,437 50	7,050 19		6/14/13	20	499 20	2,050	45,804 19	53,207 75	7,403 56
INTEL	1370	29,809 15	29,809 15	28,249 40	(1 559 75)					-		7/23/13	215	4,878 16				
INTEL												9/19/13	285	6,808 08				

RBC

	Shares Beginning	Per Client Cost	Per Broker Cost	FMV @ 12/31/2012	Appreciation (Depreciation)	Date	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
INTEL						9/25/13							60	1,423.80				
INTEL						10/18/13							100	2,385.80				
JOHNSON & JOHNSON	465	32,614.40	32,614.40	32,596.50	(17.90)					-		9/19/13	80	7,201.60	575	42,440.40	52,664.25	10,223.85
JOHNSON & JOHNSON												9/25/13	30	2,624.40				
JPMORGAN CHASE	460	19,941.00	19,941.00	20,225.79	284.79					-		9/19/13	110	5,802.28	600	27,284.38	35,088.00	7,803.62
JPMORGAN CHASE												9/25/13	30	1,541.10				
KIMBERLY CLARK	345	30,111.08	30,111.08	29,128.35	(982.73)	6/27/13	50	4,563.92	4,893.41	529.49		7/23/13	15	1,455.30	405	36,404.21	42,306.30	5,902.09
KIMBERLY CLARK												9/19/13	75	7,290.75				
KIMBERLY CLARK												9/25/13	20	1,911.00				
MERCK & CO	735	34,815.85	34,815.85	30,090.90	(4,724.95)					-		5/16/13	135	6,263.88	1,250	59,183.42	62,562.50	3,379.08
MERCK & CO												7/23/13	20	953.60				
MERCK & CO												9/19/13	205	9,880.59				
MERCK & CO												9/25/13	30	1,438.50				
METLIFE INC												10/18/13	125	5,831.00				
METLIFE INC												3/22/13	420	16,024.68	800	33,485.11	43,136.00	9,650.89
METLIFE INC												6/14/13	105	4,636.70				
METLIFE INC												6/27/13	100	4,569.00				
METLIFE INC												9/19/13	175	8,254.73				
MICROSOFT CORP	1010	29,712.69	29,712.69	26,976.80	(2,735.89)					-		7/23/13	130	4,136.48	1,420	43,154.54	53,122.20	9,967.66
MICROSOFT CORP												9/19/13	215	7,187.02				
MICROSOFT CORP												9/25/13	65	2,118.35				
NEXTERA ENERGY	420	29,891.36	29,891.36	29,059.80	(831.56)					-		6/14/13	15	1,200.30	570	42,219.85	48,803.40	6,583.55
NEXTERA ENERGY												9/19/13	115	9,508.19				
NEXTERA ENERGY												9/25/13	20	1,620.00				
PHILIP MORRIS INTL	320	29,573.60	29,573.60	26,764.80	(2,808.80)					-		5/16/13	40	3,840.40	560	51,289.35	48,792.80	(2,496.55)
PHILIP MORRIS INTL												6/14/13	15	1,393.65				
PHILIP MORRIS INTL												7/23/13	35	3,112.55				
PHILIP MORRIS INTL												9/19/13	90	8,148.60				
PHILIP MORRIS INTL												9/25/13	35	3,042.55				
PHILIP MORRIS INTL												10/18/13	25	2,178.00				
RAYTHEON CO	530	29,969.65	29,969.65	30,506.80	537.15					-		9/19/13	30	2,420.40	620	37,067.65	56,234.00	19,166.35
RAYTHEON CO												9/25/13	30	2,360.40				
RAYTHEON CO												10/18/13	30	2,317.20				
THE TRAVELERS	390	27,564.23	27,564.23	28,009.80	445.57					-		8/15/13	20	1,617.80	520	38,727.93	47,080.80	8,352.87
THE TRAVELERS												9/19/13	70	6,084.40				
THE TRAVELERS												9/25/13	10	861.70				
THE TRAVELERS												10/18/13	30	2,599.80				
VERIZON COMM	560	24,924.76	24,924.76	24,231.20	(693.56)	2/11/13	560	24,924.76	24,768.24	(156.52)		9/19/13	45	5,484.15	-	-	-	-
3M COMPANY	265	25,134.85	25,134.85	24,605.25	(529.60)					-		9/25/13	10	1,207.20	320	31,826.20	44,880.00	13,053.80
3M COMPANY															-	-	-	0.00
3M COMPANY															-	-	-	0.00
3M COMPANY															-	-	-	0.00

Karpus	Fidelity																	
	Shares Beginning	Per Client Cost	Per Broker Cost	FMV 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
POWERSHARES BUILD AMERICA	38300	930,049.80	930,049.80	931,073.00	1,023.20	8/27/13	4000	99,288.41	108,074.91	8,786.50	-				-	-	-	-
SPDR NUVEEN BARCLAYS CAP						5/9/13	7800	189,600.00	189,692.53	92.53								
SPDR NUVEEN BARCLAYS CAP						6/14/13	2500	60,750.00	60,573.94	(176.06)								
SPDR NUVEEN BARCLAYS CAP						8/19/13	3400	82,620.00	81,768.91	(851.09)								
SPDR NUVEEN BARCLAYS CAP						12/11/13	24600	597,079.80	596,214.90	(864.90)								
SPDR SERIES TRUST BARCLAYS INTL ETF	4400	245,803.43	245,800.28	268,444.00	22,640.57	6/17/13	1800	100,554.66	105,064.17	4,509.51					-	-	-	-
SPDR SERIES TRUST BARCLAYS INTL ETF						8/15/13	2600	145,245.62	151,109.37	5,863.75								
SARATOGA INVT CORP SR NT												5/10/13	1,200	29,770.00	1,200	29,770.00	30,468.00	698.00
TORTOISE ENERGY	4900	50,469.51	50,469.51	49,441.00	(1,028.51)	1/7/13	4900	50,469.51	49,490.00	(979.51)					-	-	-	-
TORTOISE ENERGY INFRASTR 4 375% 12/31/27												3/8/13	6,100	60,817.00	6,100	60,817.00	50,813.00	(10,004.00)
VANGUARD SCOTTSDALE FDS ST CORP												3/5/13	3,800	305,366.48	8,800	701,666.48	702,064.00	397.52
VANGUARD SCOTTSDALE FDS ST CORP												9/3/13	5,000	396,300.00				-
WESTERN ASSET/CLAYMORES (WIA)	4600	60,236.00	60,236.00	60,306.00	70.00					-					4,600	60,236.00	52,532.00	(7,704.00)
WESTERN ASSET/CLAYMORES (WIA)	18975	226,963.00	217,040.64	250,470.00	23,507.00					-					18,975	217,040.64	213,848.25	(3,192.39)
WESTERN ASSET INFLATION	11444	184,245.55	182,295.81	215,032.76	30,787.21					-					11,444	182,295.81	193,746.92	11,451.11
										-					-	-	-	-
										-					-	-	-	-
TOTAL EQUITIES		4,798,066.12	4,776,308.40	5,008,592.76	210,526.64			3,182,136.99	3,237,974.34	55,837.35	(1,065.65)			3,716,486.47		5,309,592.23	5,218,747.87	(92,456.63)
AUCTION RATE PREFERRED																		
ALLIANZGI CONV & INCOME FD II	5	90,645.00	90,645.00	93,750.00	3,105.00					-					5	90,645.00	88,125.00	(2,520.00)
BLACKROCK MUNI 2018 W7	7	165,613.75	165,613.75	166,250.00	636.25	1/24/13	2	47,318.22	50,000.00	2,681.78				4	94,636.42	90,000.00	(4,636.42)	
BLACKROCK MUNI 2018 W7						5/23/13	1	23,659.11	25,000.00	1,340.89								
BLACKROCK NY MUNI 2018 T7	6	141,957.50	141,957.50	143,250.00	1,292.50	1/23/13	1	23,659.58	25,000.00	1,340.42				4	94,638.33	92,000.00	(2,638.33)	
BLACKROCK NY MUNI 2018 T7						10/30/13	1	23,659.59	25,000.00	1,340.41								
PIMCO CORP INC FND	4	68,020.00	68,020.00	73,000.00	4,980.00					-				4	68,020.00	68,998.76	978.76	
PIMCO FLOATING RATE	5	95,020.00	95,020.00	100,000.00	4,980.00					-				5	95,020.00	97,500.00	2,480.00	
										-						-	-	-
										-						-	-	-
TOTAL AUCTION RATE PREFERRED		561,256.25	561,256.25	576,250.00	14,993.75			118,296.50	125,000.00	6,703.50	-			-		442,959.75	436,623.76	(6,335.99)

Atalanta/Sosnoff COMMON STOCKS	06/17/2013 Beginning Balance	06/17/2013 Cost	06/17/2013 FMV	Unrealized Gain (Loss)	Date Sold	Shares Sold	Cost Basis	Proceeds	Realized Gain/(Loss)	Adjustment	Date Purchased	Shares Purchased	Purchase Price	Ending Shares	Cost	12/31/2013 FMV	Unrealized Gain (Loss)
ACE LTD CHF														0			
ALLSTATE CORP	892	41,597.77	42,298.64	700.87							8/19/13	481	43,530.50	481	43,530.50	49,797.93	6,267.43
ALLSTATE CORP											7/9/13	835	40,363.82	2,140	102,673.29	116,715.60	14,042.31
AMAZON.COM INC	199	54,749.95	55,333.94	583.99							7/11/13	413	20,711.70				
AMER EXPRESS CO	1153	56,654.52	85,137.52	28,483.00							9/27/13	71	22,550.69	270	77,300.64	107,673.30	30,372.66
AMERICAN INTL GROUP INC COM	1917	71,347.37	86,552.55	15,205.18							7/9/13	428	19,072.88	1,005	49,529.90	91,183.65	41,653.75
AMERICAN INTL GROUP INC COM					10/10/13	148	7,124.62	10,944.75	3,820.13					1,329	53,973.86	67,845	13,871.59
AMERICAN TOWER CORP REOT	792	40,241.23	60,920.64	20,679.41										621	31,801.51	49,568.22	17,766.71
AMERISOURCEBERGEN CORP	715	38,677.82	39,796.90	1,119.08							9/4/13	459	42,307.77	0			
ANADARKO PETROLEUM CORP	479	41,349.40	41,696.95	347.55										0			
ANADARKO PETROLEUM CORP					12/10/13	692	62,207.74	59,186.91	(3,020.83)								
APPLE INC											10/24/13	90	47,062.27	133	69,942.52	74,615.66	4,673.14
APPLE INC	4148	50,123.13	54,795.08	4,671.95							10/29/13	43	22,880.25				
BANK OF AMER CORP	342	46,197.57	71,050.50	24,852.93							7/23/13	1519	22,460.09	5,667	72,583.22	88,235.19	15,651.97
BIOMGEN IDEC INC					7/3/12	48	6,282.59	10,411.50	4,128.91		12/27/13	101	28,382.03	310	57,171.59	86,667.32	29,495.73
BIOMGEN IDEC INC					8/13/13	85	11,125.42	18,027.73	6,902.31								
BLACKROCK INC	223	46,841.27	61,545.77	14,704.50													
BOEING COMPANY	1339	112,852.75	137,957.17	25,104.42							7/9/13	98	9,978.36	1,713	155,383.79	233,807.37	78,423.58
BOEING COMPANY											9/26/13	182	21,315.49				
BOEING COMPANY					9/27/13						9/27/13	94	11,237.19				
BRISTOL MYERS SQUIBB CO					10/31/13	471	24,437.79				11/18/13	218	11,281.39	689	35,719.18	36,620.35	901.17
BRISTOL MYERS SQUIBB CO											12/24/13	149	22,756.83				
CANADIAN PAC RAILWAY LTD CAD	798	100,628.75	97,954.50	(2,674.25)							7/9/13	98	10,771.57	0	(0.00)		0.00
CELGENE CORP	870	96,368.77	102,399.00	6,030.23							9/24/13	858	45,069.11	3,515	129,747.98	183,166.65	53,418.67
CHEVRON CORP	675	75,751.59	81,823.50	6,071.91							7/9/13	437					
CISCO SYSTMS INC	3682	82,052.47	90,945.40	8,892.93													
CISCO SYSTMS INC					10/10/13	123	12,427.06	14,167.05	1,739.99								
CITIGROUP INC	3480	110,984.20	171,772.80	60,788.60							10/22/13	993	20,540.41				
CITIGROUP INC					11/18/13	3126	72,283.63	66,511.52	(5,772.11)								
CME GROUP INC					7/3/13	317	8,459.78	15,077.18	6,617.40								
COMCAST CORP NEW CL A	1805	64,038.69	72,344.40	8,305.71							7/9/13	529	39,973.57	529	39,973.57	41,505.34	1,531.77
CVS CAREMARK CORP	2138	93,455.09	126,719.26	33,264.17							12/27/13	205	14,480.63	1,491	73,489.64	106,711	33,221.23
CVS CAREMARK CORP					9/23/13	190	8,754.27	11,023.72	2,269.45								
EBAY INC	2042	87,144.98	106,326.94	19,181.96													
EMC CORP MASS	2161	59,908.32	53,657.63	(6,250.69)													
ENSCO PLC CL A	734	34,863.13	43,452.80	8,589.67													
ENSCO PLC CL A					10/17/13	445	18,081.64	22,940.64	4,859.00								
EXPRESS SCRIPTS HLDG CO	1406	71,516.12	88,549.88	17,033.76							7/3/13	82	3,648.70	0			
EXPRESS SCRIPTS HLDG CO					8/29/13	652	31,214.43	36,468.66	5,254.23								
FREEMONT-MCMORAN COPPER & GOLD INC	1167	44,883.87	34,613.22	(10,270.65)							9/23/13	319	16,019.72	20,389.43	4,369.71		
GENERAL MOTORS CO	2134	53,585.65	71,979.82	18,394.17							10/25/13	366	18,288.76	22,369.75	4,080.99		
GENERAL MOTORS CO					7/3/13	1167	44,883.87	32,500.38	(12,383.49)								
GENL ELECTRIC CO	3414	69,933.78	81,150.78	11,217.00							7/18/13	577	21,133.78	0		136,097.10	39,402.30
GILEAD SCIENCES INC	1994	53,532.05	101,753.82	48,221.77							9/8/13	619	21,975.37	3,330	96,694.80		
GOLDMAN SACHS GROUP INC	636	91,604.98	104,373.96	12,768.98													
GOOGLE INC CL A	220	144,090.02	194,975.00	50,884.98													
HALLIBURTON CO	2167	78,063.27	94,524.54	16,461.27							11/13/13	431	23,728.71	2,598	101,791.98	233,107.68	95,487.73
HONEYWELL INTL INC	1546	56,327.74	117,712.44	61,384.70							7/9/13	57	4,419.78	1,297	49,658.32	131,848.50	30,056.52
HONEYWELL INTL INC					10/10/13	534	44,319.38				10/10/13	534	44,319.38	959	82,104.15	106,794.98	57,136.66
HONEYWELL INTL INC					11/19/13	264	23,337.60				11/19/13	264	23,337.60				
HONEYWELL INTL INC					12/27/13	161	14,447.17				12/27/13	161	14,447.17				
INTL BUSINESS MACH	106	15,746.59	21,522.24	5,775.65													
JOHNSON & JOHNSON COM	733	56,900.54	62,766.79	5,866.25							10/28/13	247	22,707.80	0			
JPMORGAN CHASE & CO	3852	159,282.23	207,430.20	48,147.97													
JPMORGAN CHASE & CO					9/19/13	419	16,183.09	22,241.02	6,057.93								
LAS VEGAS SANDS CORP	708	39,657.16	40,433.88	776.72							10/23/13	852	33,989.61	45,255.58	11,265.97		
LAS VEGAS SANDS CORP																	
LIBERTY GLOBAL PLC CL A	840	56,721.15	60,505.20	3,784.05							9/3/13	382	21,433.45	1,273	74,346.49	100,401.51	26,055.02
LINKEDIN CORP CL A					7/3/13	260	17,141.02	19,707.14	2,566.12		10/23/13	183	13,255.88				
LOWES COMPANIES INC	1531	57,692.13	63,337.47	5,645.34													
MACYS INC					7/3/13	508	18,203.61	21,453.94	3,250.33		10/16/13	96	21,664.32	580	39,580.13	51,620.00	12,039.87
MARSH & MCLENNAN COS INC	1044	35,420.66	42,083.64	6,662.98													
MARSH & MCLENNAN COS INC					9/12/13	899	43,616.15	39,179.99	(4,436.16)		8/16/13	899	43,616.15	0		50,689.65	11,201.13
														1,044	35,420.66	50,487.84	15,067.18

Tradewinds	Shares Beginning	Cost Per Client	Cost Per Broker	FMV @ 12/31/2012	Appreciation (Depreciation)	Date	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROY / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
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Shares Beginning	Cod Per Client	Cost Per Broker	FMV/g 12/31/2012	Appreciation (Depreciation)	Date Sold	# Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares	Purchase Price	Shares Ending	Original Cost	FMV/g 12/31/2014	Appreciation (Depreciation)
INTL GRAFILL SCIENCES HOLDINGS CORP											12/13/11	62	2,858.20				
INTEGRAL SCIENCES HOLDINGS CORP											12/13/11	91	4,192.96				
INTL GRAFILL SCIENCES HOLDINGS CORP											12/14/11	44	2,069.93				
INTERNATIONAL RECTIFIER CORP	743	14,675.76	13,173.39	(1,502.37)							1/28/13	158	3,157.31	901	17,833.07	21,489.07	5,656.00
LAUSACK FOOD	171	8,463.99	11,051.78	2,587.09										173	8,466.69	15,326.07	6,862.08
JARRI CIRCUIT	1468	27,877.95	28,317.72	439.77										1,670	30,790.58	29,124.80	(1,665.78)
JONES LANG LASALLE	296	16,962.40	24,846.24	7,883.84						(185.52)	12/26/13	202	3,781.48				
JONES LANG LASALLE	397	16,962.40	16,904.26	(58.14)	2/22/13	34	1,934.84	3,265.27	1,330.43		7/31/13	143	12,935.75	405	27,963.21	41,467.95	13,504.74
JONES LANG LASALLE	2259	31,881.32	45,722.16	13,840.84	1/25/13	197	19,437.78	15,319.68	(4,118.10)					-			0.00
KAR AUCTION SRVS					8/20/13	14	183.04	377.79	194.75		12/18/13	811	22,918.45	1,894	39,424.14	55,967.70	16,543.56
KAR AUCTION SRVS					8/20/13	57	745.25	1,525.10	779.85								
KAR AUCTION SRVS					8/20/13	141	1,843.51	3,781.61	1,938.10								
KAR AUCTION SRVS					8/20/13	162	2,118.07	4,355.99	2,237.92								
KAR AUCTION SRVS					9/8/13	71	928.29	1,981.48	1,053.19								
KAR AUCTION SRVS					9/30/13	216	2,824.10	5,984.99	3,160.89								
KAR AUCTION SRVS					11/22/13	515	6,733.37	14,360.99	7,627.62								

	Shares Beginning	Cost Per Share	Cost Per Block	FMV @ 12/31/2012	Appreciation (Depreciation)	Date Sold	# Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Shares Purchase Date	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2014	Appreciation (Depreciation)
KIRBY CORP	294	15,385.63	15,385.63	18,195.66	2,610.03	2,25.13	63	3,327.81	4,704.88	1,377.07		1,21.11	1,813.33	-	0.01		
KIRBY CORP						8.11	48	2,335.47	4,193.32	1,857.85							
KIRBY CORP						9,20.13	35	1,848.78	2,995.51	1,146.73							
KIRBY CORP						11,22.13	45	2,377.01	4,230.64	1,853.63							
KIRBY CORP						12,16.13	131	7,311.88	12,158.15	4,846.27							
KIRBY CORP	897	13,701.42	13,701.42	14,226.42	525.00	11,13.13	252	3,763.17	5,968.86	2,205.69				645	9,938.25	16,847.40	6,909.15
KORN FERRY	286	12,590.13	12,600.19	15,001.56	2,401.37	4,8.11	271	15,635.35	21,923.77	8,288.42		9,27.13	109	6,057.73	395	18,657.92	22,692.74
KORN FERRY						2,51.13	107	2,399.43	4,165.30	1,765.87		1,29.13	271	15,635.35	395	18,657.92	22,692.74
KORN FERRY	595	15,509.79	15,509.79	18,921.00	3,611.21	6,18.13	194	5,075.60	8,216.61	3,157.01		4,17.13	225	7,228.76	519	14,663.52	9,713.91
KORN FERRY						2,11.13	47	1,942.97	2,969.47	1,026.50				-			0.00
KORN FERRY	198	8,612.28	8,604.54	10,650.42	1,843.88	4,30.13	151	6,861.57	10,602.63	3,741.06		11,6.11	7,596	8,701	29,102.70	46,028.29	0.00
KORN FERRY						2,11.13	107	2,399.43	4,165.30	1,765.87		1,29.13	271	15,635.35	395	18,657.92	22,692.74
KORN FERRY	2248	10,780.56	10,812.78	21,940.48	11,127.70	11,6.11	2824	23,097.41	6,705.94	3,794.53		9,27.13	412	5,154.29	3,169	33,496.31	3,922.16
KORN FERRY	1760	12,363.65	12,772.01	16,808.00	4,435.99	3,12.13	370	2,597.36	5,789.25	2,791.89		9,19.13	869	9,515.55	225	6,913.97	10,431.25
KORN FERRY						11,20.11	287	2,883.60									3,537.28
KORN FERRY	491	15,355.20	15,367.91	18,103.17	2,835.26	2,25.13	93	2,911.82	3,789.75	877.93		5,29.13	361	1,952.95	1,872	19,593.47	26,825.76
KORN FERRY						4,30.13	95	2,974.44	4,160.44	1,186.00		11,8.13	505	22,706.32	786	9,592.91	2,094.91
KORN FERRY	1511	15,640.52	15,640.52	18,026.23	2,385.71	6,18.13	78	2,467.68	3,568.86	1,123.18		6,27.13	237	6,764.90	505	22,706.32	24,250.10
KORN FERRY	786	9,552.26	9,592.91	10,343.76	750.85	10,13.13	79	5,927.86	10,130.94	4,203.12		8,19.13	116	1,916.96	781	23,936.98	28,756.42
KORN FERRY	1786	9,606.97	9,606.96	1,316.70	(9,290.26)	10,11.13	99	3,726.11	5,853.21	2,127.10		1,10.13	1,408	18,971.53	911	12,274.91	14,803.75
KORN FERRY	288	16,107.49	16,107.49	17,625.60	1,518.11	10,11.13	99	3,726.11	5,853.21	2,127.10		11,18.13	431	5,849.23	(0.01)		0.01
KORN FERRY						6,19.13	214	2,363.20	3,156.50	871.30							2,528.84
KORN FERRY	2641	27,608.08	27,608.08	29,209.46	1,601.38	10,11.13	214	2,363.20	3,156.50	871.30							0.01
KORN FERRY						7,11.13	215	2,509.45	3,768.53	1,259.08							0.01
KORN FERRY						8,14.13	249	2,618.95	4,127.44	1,468.49							0.01
KORN FERRY						12,26.13	991	11,203.97	14,844.57	3,640.60		1,26.13	560	20,233.98	(0.01)		0.01
KORN FERRY						6,18.13	126	4,552.65	6,035.14	1,482.69							0.01
KORN FERRY						7,8.13	85	3,071.23	4,180.67	1,094.44							0.01
KORN FERRY						8,26.13	283	10,222.39	14,793.20	4,567.81							0.01
KORN FERRY	410	19,977.34	19,977.34	21,173.30	1,195.96	1,20.13	67	3,202.13	4,196.56	994.43							0.01
KORN FERRY						7,12.13	139	6,267.25	8,964.13	2,696.88							0.01
KORN FERRY						7,11.13	84	4,342.65	5,797.57	1,454.92							0.01
KORN FERRY	409	10,679.19	10,707.03	14,650.18	3,943.35	1,21.13	86	2,214.75	3,164.23	929.48		6,20.13	142	5,162.17	-		0.00
KORN FERRY						2,22.13	96	2,494.61	4,111.08	1,616.47							0.00
KORN FERRY						10,29.13	369	11,139.84	17,353.10	6,213.26							0.00
KORN FERRY	561	16,261.77	15,892.51	17,185.39	1,492.88	3,18.13	118	3,611.10	4,420.09	808.99		9,20.13	1,405	22,660.96	1,617	30,949.38	4,817.97
KORN FERRY						9,20.13	36	2,611.82	3,642.29	1,030.47		9,25.13	212	3,450.45	393	11,143.99	6,890.78
KORN FERRY						11,23.13	33	616.92	819.48	202.56		2,26.13	693	12,055.29	1,536	27,677.82	13,517.70
KORN FERRY						11,23.13	554	10,596.75	13,913.29	3,356.54		6,18.13	464	7,315.38			0.01
KORN FERRY						6,21.13	386	6,293.27									0.01
KORN FERRY						1,7.13	632	19,814.91									0.01
KORN FERRY						4,16.13	161	3,299.47									0.01
KORN FERRY	241	14,401.97	14,401.98	21,087.14	6,695.16	6,12.13	192										0.01
KORN FERRY						11,8.13	49	2,912.68	5,766.34	2,853.66							0.01
KORN FERRY						11,13.13	57	1,694.11	3,662.31	1,968.20							0.01
KORN FERRY	304	8,948.90	8,948.90	13,068.96	4,120.06	1,13.13	32	915.87	2,433.59	1,517.82							0.01
KORN FERRY						1,13.13	32	915.87	2,433.59	1,517.82							0.01
KORN FERRY						2,26.13	61	1,784.01	2,770.60	986.59							0.01
KORN FERRY						5,51.13	211	6,229.02	10,151.85	3,922.83							0.01

	Shares Beginning	Cost Per Client	Cost Per Broker	FMV @ 12/31/2012	Appreciation (Depreciation)	Date Sold	# Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Shares Purchase Date	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2014	Appreciation (Depreciation)
WILMDD1ILAL111	800	19,659.49	18,296.19	11,472.00	(6,187.49)	3/26/13	246	7,390.12	6,006.95	(1,384.17)							0.00
WILMDD1ILAL111						6/18/13	89	2,448.32	2,512.20	63.88							
WILMDD1ILAL111						7/12/13	465	8,457.75	15,889.70	7,431.95							
WISTAR1N1RGY	615	16,428.75	16,428.75	17,601.30	1,172.55	6/27/13	212	3,825.45	6,777.69	2,952.24				615	16,428.75	19,784.55	3,355.80
ZIONS BANCORP	1792	23,292.03	23,292.03	29,788.80	6,496.77	6/28/13	210	3,462.69	6,066.64	2,603.95				824	13,926.28	24,687.04	10,760.76
ZIONS BANCORP						7/9/13	126	2,077.61	3,858.31	1,780.70							0.00
ZIONS BANCORP																	0.00

Shares Beginning	Cost Per Client	Cost Per Broker	FMV @ 12/31/2012	Appreciation (Depreciation)	Date Sold	# Sold	Cost Base	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
TOTAL US EQUITIES																	
	953,106.53	953,057.59	1,132,599.84	179,542.25			623,506.10	867,967.45	247,178.93	(385.52)			663,546.99		992,232.96	1,276,056.83	268,520.88
47.94																	
0.00																	
INTERNATIONAL EQUITIES																	
AFRCAP HOLDINGS NV					11/29/13	504	7,473.72	10,583.36	3,109.64		2-4-13	1,301	19,292.27	1,183	18,244.87	45,168.05	27,123.18
ALRCAP HOLDINGS NV											5/15/13	386	6,426.32				0.00
ENRGY XXI BIFAMUDA LTD											11/7/13	543	15,335.95	815	22,927.19	22,053.90	(881.24)
ENERGY XXI BIFAMUDA LTD											11/12/13	272	7,591.44				0.00
EVIRITC INC											11/7/13	82	1,877.80	1,423	32,071.81	35,091.18	3,019.35
EVIRITC INC											11/8/13	916	20,438.71				0.00
EVIRITC INC											11/8/13	55	1,246.30				0.00
EVIRITC INC											11/15/13	137	1,109.90				0.00
EVIRITC INC											11/18/13	176	3,972.42				0.00
EVIRITC INC											12/26/13	57	1,386.80				0.00
TRUSARY WINE ESTATES LTD											8/22/13	1,276	13,870.91	5,172	21,971.18	22,201.66	330.28
TRUSARY WINE ESTATES LTD											9/9/13	1,896	8,100.47				0.00
TOTAL INTERNATIONAL EQUITIES																	
							7,473.72	10,583.36	3,109.64				102,640.19		95,175.47	124,814.79	29,639.32
OTHER ASSETS																	
COMMONWEALTH IT COM											8/15/13	592	15,211.50		15,211.50	13,799.52	(1,411.98)
CUBESMART											8/16/13	1,243	21,921.92	1,384	24,337.24	22,060.96	(2,276.28)
CUH SMART											8/21/13	141	2,415.32				0.00
HUDSON PACIFIC PROPERTY INC											9/3/13	710	14,200.00	710	14,200.00	15,527.70	1,327.70
PENNYMAC MORTGAGE INVESTMENT											9/30/13	991	22,343.19	1,303	29,458.67	29,916.88	458.21
PENNYMAC MORTGAGE INVESTMENT											10-4-13	113	2,576.17				0.00
PENNYMAC MORTGAGE INVESTMENT											10-4-13	199	4,539.11				0.00
REDWOOD TRUST INC											7/10/2013	1,298	21,776.94	1,517	25,492.19	29,184.29	3,897.10
REDWOOD TRUST INC											7/31/2013	219	1,712.25				0.00
TOTAL OTHER ASSETS																	
													108,699.60		108,699.60	110,649.35	1,989.75
TOTAL																	
	953,106.53	953,057.59	1,132,599.84	179,542.25			630,979.82	878,170.81	270,288.57	(385.52)			874,915.78		1,196,608.03	1,511,560.97	308,149.95
47.94																	
TOTAL RBC BANK DEPOSIT PROGRAM																	
	953,106.53	953,057.59	1,132,599.84	179,542.25			630,979.82	878,170.81	270,288.57	(385.52)			874,915.78		1,196,608.03	1,511,560.97	308,149.95
CASH	56,029.72	56,029.72													56,029.72		0.00
GRAND TOTAL																	
	1,009,136.25	1,009,087.31	1,132,599.84	179,542.25			630,979.82	878,170.81	270,288.57	(385.52)			874,915.78		1,208,691.91	1,511,560.97	308,149.95
per broker																	
(1.86)																	
CIB CK FIGURE																	
\$13.60																	
92.083.88																	

RBC

US EQUITIES

	Shares Beginning	Per Client Cost	Per Broker Cost	FMV @ 6/30/2013	Appreciation (Depreciation)	Date	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 6/30/2014	Appreciation (Depreciation)
BANK NEW YORK MELLON CORP	2050	29,060 00	29,060 00	43,214 00	14,154 00	6/10/13	550	7,796 59	11,660 18	3,863 59		1,225	40,375 27	1,225	40,375 27	42,801 50	0 00
BRIGGS & STRATTON						6/13/13	145	2,055 46	2,987 62	932 16				1,355	19,207 95	29,484 80	2,426 23
CISCO SYSTEMS	2690	47,397 80	47,397 80	52,856 89	5,459 09					-		1,275	16,767 78	2,690	47,397 80	60,336 70	12,938 90
CORNING	2500	31,877 50	31,877 50	31,550 00	(327 50)					-				3,775	48,645 28	67,270 50	18,625 22
E I DE PONT	1040	49,034 44	49,034 44	46,777 64	(2 256 80)					-				1,040	49,034 44	67,568 80	18,534 36
EMERSON ELECTRIC CO										-				430	23,727 40	30,177 40	6,450 00
FEDEX CORP										-				500	50,808 72	71,885 00	21,076 28
FEDEX CORP					0 00												
INTEL CORP																	
INTEL CORP																	
ITRON INC																	
JOHNSON & JOHNSON	570	36,212 10	36,212 10	39,957 00	3,744 90	8/22/13	570	36,212 10	50,290 45	14,078 35				2,780	66,566 65	72,154 90	5,588 25
LIQUIDITY SERVICES INC					0 00	10/30/13	355	12,122 68	9,255 64	(2,867 04)							(1 229 83)
LIQUIDITY SERVICES INC					0 00					-				1,020	34,775 27	23,113 20	0 00
LIQUIDITY SERVICES INC										-							(11,662 07)
LIQUIDITY SERVICES INC																	
LIQUIDITY SERVICES INC																	
LIQUIDITY SERVICES INC																	
LIFE TECHNOLOGIES	875	42,421 23	42,421 23	42,901 25	480 02	4/10/13	310	15,110 89	20,476 68	5,365 79				-	0 00		(0 00)
LIFE TECHNOLOGIES						4/15/13	565	27,310 34	41,291 30	13,980 96							0 00
MONDELEZ-INLT	1420	32,829 95	31,951 38	36,143 54	3,313 59	2/6/13	1420	31,951 38	39,707 56	7,756 18							10,625 40
NATIONAL-OILWELL VARGO INC					0 00					-							
NATIONAL-OILWELL VARGO INC																	
NCR CORP	1570	28,140 10	28,140 10	40,003 60	11,863 50	12/13/13	1455	39,195 23	48,526 31	9,331 08							25,334 42
ORACLE CORP	1455	39,195 23	39,195 23	48,480 60	9,285 37					-							0 00
SCOTT'S MIRACLE GRO	645	30,278 94	30,278 94	28,412 25	(1 866 69)					-							0 00
STANLEY BLACK & DECKER	290	20,949 89	20,949 89	21,451 30	501 41					-							9,852 96
TEREX CORP	1800	28,005 30	28,005 30	50,598 00	22,592 70	6/7/13	325	9,696 00	11,729 04	2,033 04							4,159 24
TEXAS INSTRUMENTS	1625	48,480 00	48,480 00	50,196 25	1,716 25	6/26/13	1300	38,784 00	45,594 75	6,810 75							47,576 70
TEXAS INSTRUMENTS						4/2/13	122	10,100 69	18,128 38	8,027 69							0 00
VALMONT INDUSTRIES	235	19,456 24	19,456 24	32,089 25	12,633 01	4/3/13	113	9,355 55	16,557 05	7,201 50							(0 00)
VALMONT INDUSTRIES										-							
XYLEM	1990	53,289 98	53,289 98	53,929 00	639 02	6/7/13	125	9,608 75	13,747 88	4,139 13							15,564 02
3M COMPANY	630	48,428 10	48,428 10	58,495 50	10,067 40					-							32,006 90
					0 00					-							0 00
					0 00					-							0 00
					0 00					-							0 00
					0 00					-							0 00
					0 00					-							0 00
SUBTOTAL US EQUITIES		585,056.80	584,178.23	677,056.07	91,999.27			249,299.66	329,952.84	80,653.18	-		383,423.00		718,301 57	946,445.40	228,143 83

INTERNATIONA EQUITIES

AGRIUM	605	47,085 20	47,085 20	60,422 20	13,337 00	12/9/13	205	3,900 69	8,393 55	4,492 86							8,260 20
ALLEGION						2/22/13	1460	47,931 62	46,651 79	(1,279 83)							0 00
CENOVUS ENERGY	1460	47,931 62	47,931 62	48,968 40	1,036 78					-							0 00
CHICAGO BRIDGE & IRON CO	1295	53,016 95	53,016 95	60,023 25	7,006 30					-							54,649 35
INGERSOLL RAND	1405	43,715 20	43,561 60	67,383 80	23,668 60	10/17/13	790	23,457 88	50,008 33	26,550 45	(899 20)						22,580 17
INGERSOLL RAND										-							
SIEMENS	475	47,894 20	47,894 20	51,998 25	4,104 05					-							19,349 65
SONY CORP										-							7,323 98
TEVA PHARMACEUTICAL IND										-							(127 16)
										-							0 00
										-							0 00

RBC

	Shares Beginning	Per Client Cost	Per Broker Cost	FMV @ 6/30/2013	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 6/30/2014	Appreciation (Depreciation)
					-				-						-	-	-	0 00
					-				-						-	-	-	0 00
SUBTOTAL		239,643.17	239,489.57	288,795.90	49,152.73			75,290.19	105,053.67	29,763.48	1,549.89			67,477.57		225,425.46	337,461.65	112,036.19
TOTAL		824,699.97	823,667.80	965,851.97	141,152.00			324,589.85	435,006.51	110,416.66	1,549.89			450,900.57		943,727.03	1,283,907.05	340,180.02
				1,032.17														
TOTAL		824,699.97	823,667.80	965,851.97	141,152.00			324,589.85	435,006.51	110,416.66	1,549.89			450,900.57		943,727.03		(943,727.03)
RBC BANK DEPOSIT PROGRAM		132,465.09	132,465.09													150,040.55		(150,040.55)
CASH		574.69	574.69													(17,152.64)		17,152.64
GRAND TOTAL		957,739.75	956,707.58	965,851.97	141,152.00			324,589.85	435,006.51	110,416.66	1,549.89			450,900.57	132,887.91	1,076,614.94	-	(1,076,614.94)

CHECK FIGURE
S/B \$0

RBC

	Shares Beginning	Per Client Cost	Per Broker Cost	FMV @ 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
OPPENHEIMER DVL PNG MKTS FUND-CL A	29492.152	1,004,065.86	1,004,065.86	1,040,778.04	36,712.18							12/9/13	28.620	1,074.10	29,661.608	1,010,425.54	1,127,734.34	117,308.80
OPPENHEIMER DVL PNG MKTS FUND-CL A												12/9/13	140.836	5,285.58				
OPPENHEIMER DVL PNG MKTS FDS CL Y					0.00	8/30/13	0.506	17.00	17.00			5/17/13	1,376.273	50,000.00	8,910.540	308,820.79	334,679.88	25,859.09
OPPENHEIMER DVL PNG MKTS FDS CL Y					0.00							6/5/13	1,445.922	50,000.00				0.00
OPPENHEIMER DVL PNG MKTS FDS CL Y					0.00							6/13/13	1,614.462	54,972.43				0.00
OPPENHEIMER DVL PNG MKTS FDS CL Y					0.00							6/27/13	1,504.664	50,000.00				0.00
OPPENHEIMER DVL PNG MKTS FDS CL Y					0.00							7/22/13	1,440.092	50,000.00				0.00
OPPENHEIMER DVL PNG MKTS FDS CL Y					0.00							8/15/13	1,448.007	50,839.51				0.00
OPPENHEIMER DVL PNG MKTS FDS CL Y												12/9/13	38.941	1,443.53				
OPPENHEIMER DVL PNG MKTS FDS CL Y												12/9/13	42.685	1,582.32				
					0.00					-					-			0.00
SUBTOTAL		1,004,065.86	1,004,065.86	1,040,778.04	36,712.18			17.00	17.00	-	-			315,197.47		1,319,246.33	1,462,414.22	143,167.89
<u>INTERNATIONAL EQUITIES</u>																		
SUBTOTAL		-	-	-	-			-	-	-	-							0.00
					-										-			0.00
TOTAL		1,004,065.86	1,004,065.86	1,040,778.04	36,712.18			17.00	17.00	-	-			315,197.47		1,319,246.33	1,462,414.22	143,167.89
TOTAL		1,004,065.86	1,004,065.86	1,040,778.04	36,712.18			17.00	17.00	-	-			315,197.47		1,319,246.33	1,462,414.22	143,167.89
US GOVT MONEY MARKET FUND		0.21	0.21	0.21												-		
CASH																1,203.03		
GRAND TOTAL		1,004,066.07	1,004,066.07	1,040,778.25	36,712.18			17.00	17.00	-	-			315,197.47		1,320,449.36	1,462,414.22	143,167.89
															1,203.03			
CHECK FIGURE S/B \$0																		

Riverbidge	Shares Beginning	Per Client Cost	Pere Broker Cost	FMV @ 6/30/2013	Appreciation (Depreciation)	Date	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP/ Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 6/30/2014	Appreciation (Depreciation)
US EQUITIES																		
ABAXIS	550	13,911.95	13,911.95	20,405.00	6,493.05	2/27/13	10	252.94	422.92	169.98		1/30/13	59	3,184.14	540	13,659.01	21,606.48	7,947.47
ADVISORY BOARD CO										-		1/31/13	197	10,704.55	435	24,390.69	27,696.45	3,305.76
ADVISORY BOARD CO												2/1/13	79	4,305.04				
ADVISORY BOARD CO												11/8/13	100	6,196.96				
ATHENAHEALTH	345	21,855.37	21,855.65	25,285.05	3,429.68	2/27/13	5	298.63	477.13	178.50					340	21,557.02	45,730.00	24,172.98
BEACON ROOFING SPLY	940	16,555.53	16,555.53	31,283.20	14,727.67	2/27/13	20	352.25	733.99	381.74					920	16,203.28	37,057.60	20,854.32
BIO REFERENCE LABS	865	17,223.02	17,223.02	24,764.78	7,541.76	2/27/13	15	298.67	386.78	88.11					850	16,924.35	4,784.65	21,709.00
CABOT MICROELC	430	16,438.04	16,438.04	15,269.30	(1,168.74)	2/27/13	5	191.14	172.09	(19.05)		8/8/13	210	7,708.91	635	23,955.81	29,019.50	5,063.69
CASS INFO SYS	399	12,788.07	12,761.13	16,837.80	4,049.73					-					399	12,761.13	26,872.65	14,111.52
CEPHEID	935	35,817.98	35,817.98	31,659.10	(4,158.88)	2/27/13	20	766.16	725.69	(40.47)		7/22/13	180	6,160.21	1,290	49,352.91	60,206.88	10,853.97
CHEESECAKE FACTORY	725	20,386.90	20,386.90	23,714.75	3,327.85	2/27/13	15	421.80	520.81	99.01		11/12/13	195	8,140.88	710	19,965.10	34,271.70	14,306.60
CHEMED CORP	515	27,345.21	27,345.21	35,323.85	7,978.64	2/27/13	10	530.97	769.32	238.35					505	26,814.24	38,693.10	11,878.86
CONCUR TECH	335	14,849.55	14,849.55	22,619.20	7,769.65	2/27/13	5	221.64	351.03	129.39					-	-	0.00	0.00
CONCUR TECH						12/18/13	330	14,627.91	30,943.82	16,315.91					-	-	0.00	0.00
CONSTANT CONTACT	340	6,622.96	6,622.96	4,831.40	(1,791.56)	4/2/13	340	6,622.96	4,398.14	(2,224.82)					275	18,498.64	50,759.50	32,260.86
COSTAR GROUP	325	21,372.14	21,372.14	29,045.25	7,673.11	2/27/13	5	287.35	490.88	203.53								
COSTAR GROUP						11/4/13	45	2,586.15	7,808.53	5,222.38								
DEALERTRACK	875	20,608.70	20,608.70	25,130.00	4,521.30	2/27/13	10	213.17	295.39	82.22					865	20,395.53	41,589.20	21,193.67
DIGI INTL	1420	19,771.19	19,771.19	13,447.40	(6,323.79)	2/27/13	25	348.08	250.57	(97.51)					1,395	19,423.11	16,907.40	(2,515.71)
EBIX INC	775	12,937.85	12,937.85	12,493.00	(444.85)	2/27/13	15	250.41	220.57	(29.84)					760	12,687.44	11,179.60	(1,507.84)
ECHO GLOBAL LOGISTICS	790	11,626.58	11,626.58	14,196.30	2,569.72	2/27/13	15	220.76	275.30	54.54					775	11,405.82	16,647.00	5,241.18
FARO TECH	340	13,722.40	13,722.40	12,131.20	(1,591.20)	2/27/13	10	403.60	359.19	(44.41)					-	-	0.00	0.00
FARO TECH						6/5/13	330	13,318.80	11,929.75	(1,389.05)					600	13,354.50	41,688.00	28,333.50
FINANCIAL ENGINES	610	13,577.07	13,577.07	16,921.40	3,344.33	2/27/13	10	222.57	329.43	106.86		5/8/13	191	7,024.41	310	11,419.64	13,391.38	1,971.74
FIVE BELOW INC						5/9/13							119	4,395.23				
FORWARD AIR	350	10,757.95	10,757.95	12,253.50	1,495.55	2/27/13	5	153.68	187.79	34.11					345	10,604.27	15,148.95	4,544.68
FRESH MARKET	380	15,890.06	15,890.08	18,274.20	2,384.14	2/27/13	5	209.08	235.25	26.17					375	15,681.00	15,187.50	(493.50)
GENTEX	850	25,422.23	25,422.23	16,022.50	(9,399.73)	2/27/13	15	448.63	283.81	(1,648.82)		7/22/13	255	5,957.21	1,090	30,930.81	35,948.20	5,017.39
GRAND CANYON EDUC	1330	23,811.22	23,811.22	31,215.10	7,403.88	2/27/13	20	335.59	492.64	157.05					1,310	23,475.63	33,640.37	9,164.74
GREENWAY MED TECH	700	13,179.11	13,180.13	10,752.00	(2,427.11)	11/7/13	1240	18,992.79	25,234.00	6,241.21		7/10/13	275	2,993.38	-	(0.00)	0.00	0.00
GREENWAY MED TECH						7/11/13							265	2,819.28				
INNERWORKINGS	1400	11,823.80	11,823.80	19,292.00	7,468.20	2/27/13	25	211.14	369.49	158.35					1,375	11,612.66	10,711.25	(901.41)
IPC THE HOSPITALIST	485	20,556.18	20,556.18	19,259.35	(1,296.83)	2/27/13	5	206.45	212.03	5.58		4/25/13	304	7,650.46	480	20,349.73	28,507.20	8,157.47
K12 INC												4/26/13	130	3,249.61	850	21,508.37	18,487.50	(3,020.87)
K12 INC												4/29/14	416	10,608.30	-	0.01	(0.01)	
LKQ CORP	2020	29,478.98	29,478.98	42,622.00	13,143.02	2/27/13	35	510.77	804.63	293.86					-	-		
LKQ CORP						4/26/13	430	6,275.23	9,838.00	3,562.77								
LKQ CORP						7/22/13	800	11,674.84	20,744.51	9,069.67								
LKQ CORP						11/8/13	755	11,018.13	23,589.63	12,571.50								
MAXIMUS	585	22,701.49	22,701.51	36,983.70	14,282.21	2/27/13	5	194.03	363.44	169.41		7/1/13	580		1,160	22,507.48	51,028.40	28,520.92
MAXWELL TECHNOLOGIES	780	13,937.35	13,937.35	6,474.00	(7,463.35)	4/2/13	780	13,937.35	3,924.64	(10,012.71)					-	-	0.00	0.00
MEDNAX	380	24,914.98	24,914.98	30,217.60	5,302.62	2/27/13	5	327.83	428.74	100.91		12/20/13	375		750	24,587.15	40,035.00	15,447.85
MOBILE MINI	955	17,197.09	17,197.09	19,910.80	2,713.71	2/27/13	10	177.86	270.05	92.19					945	17,019.23	38,915.10	21,895.87
NATIONAL INSTRUMENTS	1225	32,371.85	32,371.85	31,617.25	(754.60)	2/27/13	20	528.52	604.42	75.90		8/8/13	260	7,220.17	1,465	39,063.50	46,909.30	7,845.80
NEOGEN	580	22,070.80	22,070.80	26,285.60	4,214.80	2/27/13	10	380.53	467.48	86.95		10/31/13	285		855	21,690.27	39,073.50	17,383.23
PEGASYS/STIMES	400	14,794.17	14,794.19	9,072.00	(5,722.17)					-		12/18/13	185	9,066.79	585	23,860.98	28,770.30	4,909.32
PORTFOLIO RECOVERY	320	21,910.40	21,910.40	34,195.20	12,284.80	2/27/13	5	342.35	586.81	244.46		8/2/13	630		945	21,568.05	49,933.80	28,365.75
POWER INTEGRATIONS	505	17,517.14	17,517.14	16,973.05	(544.09)	2/27/13	10	346.87	420.29	73.42					495	17,170.27	27,630.90	10,460.63
PROTO LABS INC												4/25/13	380	18,062.84	380	18,062.84	27,048.40	8,985.56
ROADRUNNER TRANSPORTATION												11/8/13	795	19,319.06	795	19,319.06	21,425.25	2,106.19
ROLLINS	1805	38,189.26	38,189.29	39,782.20	1,592.94	2/27/13	25	528.94	615.98	87.04					1,515	32,053.61	45,889.35	13,835.74

	RBC																	
Riverbridge	Shares Beginning	Per Client Cost	Pere Broker Cost	FMV @ 6/30/2013	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds (Loss)	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 6/30/2014	Appreciation (Depreciation)
ROLLINS	895	13,972.16	13,972.16	14,194.70	222.54	7/22/13	265	5,606.74	7,309.47	1,702.73					895	13,972.16	25,489.60	11,517.44
SCITECH	1120	27,189.99	27,189.99	32,424.00	5,234.01	2/27/13	20	485.54	615.62	130.08	-				1,100	26,704.45	27,808.00	1,103.55
SFS COMM	585	11,151.60	11,151.60	21,802.95	10,651.35	2/27/13	10	190.63	373.89	183.26					575	10,960.97	37,547.50	26,586.53
TECHNE	330	23,903.29	23,903.29	22,552.20	(1,351.09)	2/27/13	5	364.71	343.38	(21.33)					325	23,538.58	30,767.75	7,229.17
ULTIMATE SOFTWARE	540	30,225.23	30,225.02	50,981.40	20,756.17	2/27/13	5	279.86	494.08	214.22					395	22,109.04	60,521.90	38,412.86
ULTIMATE SOFTWARE						7/15/13	65	3,638.20	8,431.00	4,792.80								
ULTIMATE SOFTWARE						8/22/13	75	4,197.92	10,696.16	6,498.24								
UNITED NATURAL FOODS	630	23,698.10	23,698.12	33,761.70	10,063.60	2/27/13	10	376.16	508.31	132.15					620	23,321.96	46,741.80	23,419.84
VERINT SYSTEMS	535	15,645.07	15,645.07	15,707.60	62.53	2/27/13	10	292.43	344.30	51.87					525	15,352.64	22,543.50	7,190.86
3-D SYSTEMS	350	9,893.43	9,893.43	18,672.50	8,779.07	1/8/13	350	9,893.43	20,823.55	10,930.12	-				-	-	-	0.00
										-					-	-	-	0.00
					0.00					-					-	-	-	0.00
SUBTOTAL		849,613.44	849,587.70	1,006,657.08	157,043.64			134,562.19	201,474.72	66,912.53	-			144,767.43	-	859,792.94	\$ 1,384,211.39	524,418.45
INTERNATIONAL EQUITIES																		
ITCHIE BROS AUCTIONEERS	1085	21,080.55	21,080.55	22,665.65	1,585.10	2/27/13	20	388.58	449.78	61.20		7/22/13	380	7,297.94	1,835.00	36,741.82	42,076.55	5,334.73
ITCHIE BROS AUCTIONEERS						12/18/13	390			(416.57)	16,991.36		390	8,751.91	17,241.36	18,252.50	33,675.00	15,422.50
STRATASYS	355	8,927.19	8,927.19	28,453.25	19,526.06	2/20/13	105	7,666.05	7,249.48	-					-	-	-	0.00
					-					-					-	-	-	0.00
SUBTOTAL		30,007.74	30,007.74	51,118.90	21,111.16			8,054.63	7,699.26	(355.37)	16,991.36			16,049.85		54,994.32	75,751.55	20,757.23
TOTAL		879,621.18	879,595.44	1,057,775.98	178,154.80			142,616.82	209,173.98	66,557.16	16,991.36			160,817.28		914,787.26	1,459,962.94	545,175.68
				25.74														
discrepancy client vs broker																		
TOTAL		879,621.18	879,595.44	1,057,775.98	178,154.80			142,616.82	209,173.98	66,557.16	16,991.36			160,817.28		914,787.26	1,459,962.94	545,175.68
RBC BANK DEPOSIT PROGRAM		7,906.37	7,906.37													65,246.71		
CASH & MONEY MARKET		13,736.11	13,736.11													39.60		
GRAND TOTAL		901,263.66		1,057,775.98	178,154.80			142,616.82	209,173.98	66,557.16	16,991.36			160,817.28	65,286.31	980,073.57	1,459,962.94	545,175.68

	Shares Beginning	Original Cost	FMV @ 12/31/2012	Appreciation (Depreciation)	Date	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
US EQUITIES																	
AMERICAN EXPRESS CO			0 00	-					-		9/20/13	403	31,308 67	-	33,679 55	39,376 82	0 00
AMERICAN EXPRESS CO			0 00	-					-		9/26/13	31	2,370 88	434	-	-	5,697 27
APPLE INC			0 00	-					-		9/20/13	245	115,888 92	253	119,766 52	141,938 06	0 00
APPLE INC			0 00	-					-		9/26/13	8	3,877 60	-	-	-	22,171 54
BERKSHIRE HATHWAY INC DEL CL B			0 00	-					-		9/20/13	693	81,455 15	742	87,105 83	87,971 52	0 00
BERKSHIRE HATHWAY INC DEL CL B			0 00	-					-		9/26/13	49	5,650 68	-	-	-	865 69
CHARLES SCHWAB CORP			0 00	-					-		9/20/13	1,485	31,734 31	-	0 00	-	0 00
CHARLES SCHWAB CORP			0 00	2,302 04	10/31/13	1551	33,138 78	35,440 82	-		9/20/13	66	1,404 47	-	-	-	(0 00)
COACH INC			0 00	-					-		9/26/13	903	49,429 14	972	53,178 43	54,558 36	0 00
COACH INC			0 00	-					-		9/20/13	69	3,749 29	-	-	-	1,379 93
COGNIZANT TECHNOLOGY SOLUTIONS			0 00	-					-		9/20/13	832	68,804 26	872	72,125 06	88,054 56	0 00
COGNIZANT TECHNOLOGY SOLUTIONS			0 00	-					-		9/26/13	40	3,320 80	-	-	-	15,929 50
CUMMINS INC			0 00	-					-		9/20/13	416	56,376 28	444	60,129 12	62,590 68	0 00
CUMMINS INC			0 00	-					-		9/26/13	28	3,752 84	-	-	-	2,461 56
EMC CORP-MASS			0 00	-					-		9/20/13	2,783	74,080 68	3,428	90,029 01	86,214 20	0 00
EMC CORP-MASS			0 00	-					-		9/26/13	235	6,182 38	-	-	-	(3,814 81)
EMC CORP-MASS			0 00	-					-		10/25/13	410	9,765 95	-	-	-	0 00
EXPRESS SCRIPTS HOLDING CO			0 00	-					-		9/20/13	1,110	69,085 29	1,158	72,079 05	81,337 92	9,258 87
EXPRESS SCRIPTS HOLDING CO			0 00	-	11/8/13	135	8,643 89	9,035 51	391 62		9/26/13	48	2,993 76	505	32,277 04	37,925 50	0 00
GILEAD SCIENCES INC			0 00	-					-		9/20/13	583	37,328 79	75	67,177 20	84,053 25	5,648 46
GILEAD SCIENCES INC			0 00	-					-		9/26/13	57	3,592 14	-	-	-	0 00
GOOGLE INC			0 00	-					-		9/20/13	70	62,786 05	613	68,960 86	71,365 46	16,876 05
GOOGLE INC			0 00	-					-		9/26/13	5	4,391 15	-	-	-	0 00
M & T BANK CORP			0 00	-					-		9/20/13	444	49,765 01	607	33,439 50	41,136 39	2,404 60
M & T BANK CORP			0 00	-					-		9/26/13	31	3,461 46	-	-	-	0 00
M & T BANK CORP			0 00	-					-		12/13/13	138	15,734 39	-	-	-	0 00
MONSTER BEVERAGE CORP			0 00	-					-		9/20/13	556	30,707 51	500	39,614 49	39,765 00	7,696 89
MONSTR BEVERAGE CORP			0 00	-					-		9/26/13	51	2,731 99	-	-	-	0 00
NATIONAL-OILWELL VARCO INC			0 00	-					-		9/20/13	470	37,246 65	500	39,614 49	39,765 00	150 51
NATIONAL-OILWELL VARCO INC			0 00	-					-		9/26/13	30	2,367 84	-	-	-	0 00
PERRIGO CO			0 00	10,898 02	12/19/13	428	53,794 18	64,692 20	-		9/20/13	399	50,232 11	-	0 00	-	(0 00)
PERRIGO CO			0 00	-					-		9/26/13	29	3,562 07	-	-	-	0 00
PERRIGO CO			0 00	-					-		9/20/13	37	37,088 80	36	36,114 42	41,846 40	5,731 98
PRICELINE COM INC			0 00	18 66	10/10/13	4	4,009 60	4,028 26	-		9/26/13	3	3,035 22	-	-	-	0 00
PRICELINE COM INC			0 00	-					-		9/20/13	1,169	81,571 65	1,245	86,807 25	92,441 25	0 00
QUALCOMM INC			0 00	-					-		9/26/13	76	5,235 60	-	-	-	5,634 00
QUALCOMM INC			0 00	-					-		9/20/13	480	55,772 40	518	60,154 56	60,176 06	0 00
STERICYCLE INC			0 00	-					-		9/26/13	38	4,382 16	-	-	-	21 50
STERICYCLE INC			0 00	-					-		9/20/13	579	44,321 76	621	47,428 92	48,245 49	0 00
VARIAN MEDICAL SYSTEM INC			0 00	-					-		9/26/13	42	3,107 16	-	-	-	816 57
VARIAN MEDICAL SYSTEM INC			0 00	-					-		9/20/13	659	43,431 40	709	46,696 40	46,595 48	0 00
VERISK ANALYTICS INC			0 00	-					-		9/26/13	50	3,265 00	-	-	-	(100 92)
VERISK ANALYTICS INC			0 00	-					-		9/20/13	225	43,876 46	241	46,980 78	53,665 88	0 00
VISA INC			0 00	-					-		9/26/13	16	3,104 32	-	-	-	6,685 10
VISA INC			0 00	-					-					-	-	-	0 00
VISA INC			0 00	-					-					-	-	-	0 00
TOTAL US EQUITIES																	
		-	-	-			99,586.45	113,196.79	13,610 34	-			1,253,330.44	-	1,153,743.99	1,259,258.28	105,514.29

TOTAL US EQUITIES

INTERNATIONAL EQUITIES

RBC

	Shares Beginning	Original Cost	FMV @ 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
PERRIGO COMPANY PLC																	
SCHLUMBERGER LTD				0 00					-		12/19/13	428	64,687 92	428	64,687 92	65,680 88	992 96
SCHLUMBERGER LTD				0 00					-		9/20/13	493	43,585 54	521	46,066 90	46,947 31	880 41
											9/26/13	28	2,481 36				0 00
																	0 00
																	0 00
TOTAL INTERNATIONAL EQUITIES		-	-	-			-	-	-	-			110,754.82		110,754.82	112,628.19	1,873.37
TOTAL		-	-	-			99,586.45	113,196.79	13,610.34	-			1,364,085.26		1,264,498.81	1,371,886.47	107,387.66
TOTAL CASH		-	-	-			99,586.45	113,196.79	13,610.34	-			1,364,085.26		1,264,498.81	1,371,886.47	107,387.66
US GOVT MONEY MARKET FUND															332.50		
															78,471.84		
GRAND TOTAL		-	-	-			99,586.45	113,196.79	13,610.34	-			1,364,085.26	78,804 34	1,343,303.15	1,371,886.47	107,387.66

CHECK FIGURE
S/B \$0

Prime Income	Shares Beginning	Cost Per Client	Cost Per Broker	FMV @ 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2014	Appreciation (Depreciation)
US EQUITIES																		
ALTRIA GROUP	1312	44,015.16	44,015.16	41,249.28	(2,765.88)	12/19/13	114	3,824.49	4,336.98	512.49		3/12/13	31	1,063.18	1,435	48,507.75	55,089.65	6,581.90
ALTRIA GROUP												6/28/13	39	1,386.03				0.00
ALTRIA GROUP												8/15/13	95	3,283.14				0.00
AMERICAN ELECTRIC POWER CO INC												10/18/13	72	2,584.73				0.00
BRISTOL MYERS SQUIBB	1315	45,096.16	45,096.16	42,855.85	(2,240.31)	03/12/13	89	3,055.28	3,372.92	317.64		12/19/13	1,177	54,532.88	1,177	54,532.88	55,012.98	480.10
BRISTOL MYERS SQUIBB						06/28/13	1,226	42,040.88	55,526.90	13,486.02						0.00		(0.00)
CENTURY LINK	1078	42,502.84	42,502.84	42,171.36	(331.48)	12/19/13	1,660	62,532.95	50,792.62	(11,740.33)		3/12/13	258	8,984.59				0.00
CENTURY LINK												6/28/13	53	1,891.46				0.00
CENTURY LINK												7/23/13	53	1,901.60				0.00
CENTURY LINK												8/15/13	93	3,105.21				0.00
CHEVRON CORP												10/18/13	125	4,147.25				0.00
CHEVRON CORP												3/21/13	385	46,536.03	450	54,410.75	56,209.50	1,798.75
CHEVRON CORP												6/28/13	28	3,333.01				0.00
CHEVRON CORP												12/19/13	37	4,541.71				0.00
DARDEN RESTAURANTS INC												6/28/13	786	39,768.46	1,052	53,196.13	57,197.24	4,001.11
DARDEN RESTAURANTS INC												7/1/13	183	9,347.48				0.00
DARDEN RESTAURANTS INC												7/23/13	83	4,080.19				0.00
DIAMOND OFFSHORE DRILLING	610	42,453.86	42,453.86	41,455.60	(998.26)	06/02/13	18	1,234.79	1,234.79	-		3/12/13	63	4,323.64	980	65,774.67	55,781.60	(9,993.07)
DIAMOND OFFSHORE DRILLING												6/14/13	59	3,961.98				0.00
DIAMOND OFFSHORE DRILLING												8/15/13	57	3,808.71				0.00
DIAMOND OFFSHORE DRILLING												10/18/13	112	7,083.78				0.00
DIAMOND OFFSHORE DRILLING												12/19/13	97	5,377.49				0.00
DOMINION RESOURCES	821	43,507.25	43,507.25	42,527.80	(979.45)	06/28/13	13	688.91	740.48	51.57		6/14/13	59	3,318.10	867	46,136.44	56,086.23	9,949.79
ELI LILLY	870	46,429.41	46,429.41	42,908.40	(3,521.01)	03/12/13	35	1,877.37	1,930.03	52.66		5/21/13	64	3,513.95	1,110	58,601.27	56,610.00	(1,991.27)
ELI LILLY												6/14/13	20	1,034.59				0.00
ELI LILLY												6/28/13	66	3,264.22				0.00
ELI LILLY												7/23/13	38	1,929.97				0.00
ENERGY TRANSFER PARTNERS LP												10/18/13	87	4,306.50				0.00
ENERGY TRANSFER PARTNERS LP												3/21/13	955	47,108.34	1,062	52,714.50	60,799.50	8,085.00
ENERGY TRANSFER PARTNERS LP												6/28/13	17	861.36				0.00
ENTERPRISE PROD PTNRS												10/18/13	90	4,744.80				0.00
ENTERPRISE PROD PTNRS												8/15/13	63	3,767.02	860	47,392.26	57,018.00	9,625.74
FIRST ENERGY CORP	847	46,373.30	46,373.30	42,417.76	(3,955.54)	03/12/13	47	2,583.18	2,693.03	109.85		3/12/13	86	3,547.33				0.00
FIRST ENERGY CORP						06/28/13	3	164.88	185.24	20.36		6/14/13	170	6,557.55				0.00
FIRST ENERGY CORP	1031	46,862.51	46,862.51	43,054.56	(3,807.95)	12/19/13	1,469	63,791.66	46,276.22	(17,515.44)		6/28/13	33	1,239.24				0.00
FIRST ENERGY CORP												8/15/13	72	2,668.28				0.00
FIRST ENERGY CORP												10/18/13	77	2,916.75				0.00
HJ HEINZ	720	41,484.60	41,484.60	41,529.60	45.00	03/12/13	720	41,484.60	52,197.46	10,712.86		6/14/13	60	4,969.13	684	58,088.55	55,171.44	(2,917.11)
KINDER MORGAN ENERGY	536	45,897.30	45,897.30	42,767.44	(3,129.86)	06/28/13	21	1,793.83	1,793.83	-		7/23/13	11	945.56				0.00
KINDER MORGAN ENERGY												10/18/13	98	8,070.39				0.00
KINDER MORGAN ENERGY												3/12/13	45	4,058.33	381	35,307.27	56,639.46	21,332.19
LOCKHEED MARTIN	463	43,060.30	43,060.30	42,730.27	(330.03)	06/28/13	52	4,836.15	5,595.19	759.04		1/16/13	724	4,364.30	1,096	43,472.25	55,545.28	12,073.03
LOCKHEED MARTIN						12/19/13	75	6,975.21	10,706.67	3,731.46		3/12/13	112	4,364.30				0.00
LORILLARD	362	42,940.40	42,940.40	42,234.54	(705.86)	06/28/13	74	2,925.96	3,233.80	307.84		8/15/13	66	2,810.27				0.00
LORILLARD						12/19/13	94	3,716.76	4,667.96	951.20		3/12/13	38	1,711.43	1,120	52,536.56	56,056.00	3,519.44
MERCK & CO	1016	47,742.27	47,742.27	41,595.04	(6,147.23)	06/28/13	18	849.24	849.23	(0.01)		7/23/13	49	2,337.69				0.00
MERCK & CO						12/19/13	51	2,419.90	2,489.31	69.41		5/21/13	128	4,693.70	1,321	43,138.89	59,114.75	15,975.86
MICROCHIP TECH	1313	42,774.44	42,774.44	42,790.67	16.23	03/12/13	68	2,191.34	2,502.49	311.15	(462.18)	6/14/13	59	3,278.52	1,105	52,381.52	57,205.85	4,824.33
MICROCHIP TECH						06/28/13	52	1,675.73	1,936.96	261.23		7/23/13	37	2,072.37				0.00
PLAINS ALL AMERICAL PIPELINE	930	42,309.51	42,309.51	42,073.20	(236.31)	03/12/13	85	3,867.00	4,594.85	727.85		8/15/13	59	3,093.91				0.00
PLAINS ALL AMERICAL PIPELINE						06/28/13	26	1,182.85	1,453.68	270.83		10/18/13	131	6,677.06				0.00
WILLIAMS PARTNERS LP	868	47,459.02	47,459.02	42,236.88	(5,222.14)	03/21/13	938	50,885.64	46,087.21	(4,798.43)		3/12/13	70	3,426.62				0.00
WILLIAMS PARTNERS LP																		0.00
TOTAL US EQUITIES		710,908.33	710,908.33	676,598.25	(34,310.08)			306,598.60	305,197.85	(1,400.75)	(462.18)			363,344.14		766,191.69	849,537.48	83,345.79

Prime Income	Shares Beginning	Cost Per Client	Cost Per Broker	FMV @ 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2014	Appreciation (Depreciation)
INTERNATIONAL EQUITIES																		
BANK OF MONTREAL	694	42,137.38	42,137.38	42,542.20	404.82				-	-		3/12/13	40	2,504.40	843	51,288.30	\$ 56,194.38	4,906.08
BANK OF MONTREAL									-	-		5/21/13	96	5,886.53				
BANK OF MONTREAL									-	-		6/28/13	13	759.99				
BANK OF NOVA SCOTIA									-	-		3/12/13	779	46,089.54	908	53,442.20	\$ 56,795.40	3,353.20
BANK OF NOVA SCOTIA									-	-		5/21/13	97	5,637.45				
BANK OF NOVA SCOTIA									-	-		6/28/13	32	1,715.21				
BCE INC	996	44,411.39	44,411.39	42,768.24	(1,643.15)				-	-		5/21/13	90	4,241.52	1,266	56,046.09	\$ 54,805.14	(1,240.95)
BCE INC									-	-		6/28/13	136	5,602.91				
BCE INC									-	-		7/23/13	44	1,790.27				
HSBC HOLDINGS PLC	597	43,200.45	43,200.45	42,321.33	(879.12)	03/21/13	676	48,560.09	45,292.67	(3,267.42)		12/19/13	1,014	54,298.69	1,014	54,298.69	\$ 55,901.82	1,603.13
ROYAL DUTCH SHELL PLC									-	-		3/12/13	79	5,359.64	761	55,234.67	\$ 57,158.71	1,924.04
ROYAL DUTCH SHELL PLC									-	-		12/19/13	761	55,234.67				0.00
TOTAL INTERNATIONAL EQUITIES		129,749.22	129,749.22	127,631.77	(2,117.45)			48,560.09	45,292.67	(3,267.42)	-			189,120.82		270,309.95	280,855.45	10,545.50
OTHER ASSETS																		
ANNALY CAPITAL MGMT	2902	46,098.36	46,098.36	40,744.08	(5,354.28)	12/19/13	4,622	68,667.34	45,770.40	(22,896.94)		3/12/13	103.00	1,582.99	-	0.00	-	(0.00)
ANNALY CAPITAL MGMT									-	-		5/21/13	398.00	5,866.15				-
ANNALY CAPITAL MGMT									-	-		6/14/13	212.00	2,938.15				-
ANNALY CAPITAL MGMT									-	-		6/28/13	239.00	3,021.49				-
ANNALY CAPITAL MGMT									-	-		7/23/13	448.00	5,398.18				-
ANNALY CAPITAL MGMT									-	-		8/15/13	104.00	1,189.68				-
ANNALY CAPITAL MGMT									-	-		10/18/13	216.00	2,572.34				-
HEALTH CARE REIT INC	711	43,075.79	43,075.79	43,577.19	501.40	03/12/13	8	484.68	521.10	36.42		6/28/13	27.00	1,823.03	1,002	59,924.21	53,677.14	(6,247.07)
HEALTH CARE REIT INC									-	-		7/23/13	38.00	2,566.43				-
HEALTH CARE REIT INC									-	-		8/15/13	78.00	4,689.95				-
HEALTH CARE REIT INC									-	-		12/19/13	156.00	8,253.69				-
NATL RETAIL SHOP	1373	43,333.05	43,333.05	42,837.60	(495.45)	03/12/13	60	1,889.44	2,092.66	203.22	(96.44)	6/14/13	94.00	3,373.02	1,750	55,789.59	53,077.50	(2,712.09)
NATL RETAIL SHOP									-	-		6/28/13	3.00	103.10				-
NATL RETAIL SHOP									-	-		8/15/13	145.00	4,748.12				-
NATL RETAIL SHOP									-	-		10/18/13	81.00	2,727.19				-
NATL RETAIL SHOP									-	-		12/19/13	114.00	3,490.99				-
TOTAL OTHER ASSETS		132,507.20	132,507.20	127,158.87	(5,348.33)			71,041.46	48,384.16	(22,657.30)	(96.44)			54,344.50		115,713.80	106,754.64	(8,959.16)
TOTAL		973,164.75	973,164.75	931,388.89	(41,775.86)			426,200.15	398,874.68	(27,325.47)	(558.62)			605,809.46		1,152,215.44	1,237,147.57	84,932.13
TOTAL		973,164.75	973,164.75	931,388.89	(41,775.86)			426,200.15	398,874.68	(27,325.47)	(558.62)			605,809.46		1,152,215.44	1,237,147.57	84,932.13
US GOVT MONEY MARKET FUND		29,361.45	29,361.45													22,229.99		
CASH		1,002,526.20	1,002,526.20	931,388.89	(41,775.86)			426,200.15	398,874.68	(27,325.47)	(558.62)			605,809.46		1,174,445.43	1,237,147.57	84,932.13
GRAND TOTAL															22,229.99			

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RBC																	
	Shares Beginning	Original Cost	FMV @ 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
US EQUITIES																	
ALLSTATE CORP					4/16/13	150	7,552 50	7,414 56	(137 94)		4/15/13	150	7,552 50	-	-	0 00	0 00
CISCO SYSTEMS INC					1/8/13	1000	20,290 30	20,191 04	(99 26)		1/7/13	1,000	20,290 30	-	-	0 00	0 00
ENERGY TRANSFER PARTNERS L P					7/24/13	210	9,396 51	10,783 33	1,386 82	(1,410 09)	7/24/14	210	10,806 60	-	-	0 00	0 00
EXXON MOBIL CORP					5/24/13	100	9,179 00	9,119 84	(59 16)	(40 00)	5/23/13	100	9,219 00	-	-	0 00	0 00
EXXON MOBIL CORP					11/20/13	100	604 46	9,533 03	8,928 57	(8,940 54)	11/19/13	100	9,545 00	-	-	0 00	0 00
FEDEX CORP					6/5/13	50	4,854 50	4,858 91	4 41	(64 50)	6/5/13	50	4,919 00	-	-	0 00	0 00
GENERAL ELECTRIC CO					1/17/13	375	7,920 00	7,950 80	30 80		1/17/13	375	7,920 00	-	-	0 00	0 00
GENERAL ELECTRIC CO					7/24/13	1000	11,039 00	24,689 58	13,650 58	(13,671 00)	7/24/13	1,000	24,710 00	-	-	0 00	0 00
FIDELITY NEW MARKETS INC FUND					1/3/13	4 983	88 70	89 44	0 74		1/2/13	4 983	88 70	-	-	0 00	0 00
FINANCIAL INSTITUTIONS INCs COM					12/10/13	4,000		97,126 38			12/4/13	4,000		-	-	0 00	0 00
HARTFORD FINANCIAL SERVICES					12/24/13	100	3,661 00	3,642 13	(18 87)	54 00	12/23/13	100	3,607 00	-	-	0 00	0 00
INTERNATIONAL BUSINESS MACHINES					11/15/13	6		1,091 08	-		11/12/13	6	-	-	-	0 00	0 00
JOHNSON & JOHNSON					12/20/13	20	220 00	1,849 76	1,629 76	(1,607 40)	12/17/13	20	1,827 40	-	-	0 00	0 00
KINDER MORGAN ENERGY PARTNERS					7/24/13	200	9,651 96	17,225 70	7,573 74	(7,504 04)	7/24/13	200	17,156 00	-	-	0 00	0 00
NEXTERA ENERGY INC					5/24/13	100	7,822 00	7,789 86	(32 14)	(94 00)	5/23/13	100	7,916 00	-	-	0 00	0 00
NEXTERA ENERGY INC					11/22/13	70	6,061 30	6,068 19	6 89	25 20	11/21/13	70	6,036 10	-	-	0 00	0 00
PROCTER & GAMBLE CO					5/24/13	100	7,870 00	8,199 85	329 85	(12 00)	5/23/13	100	7,882 00	-	-	0 00	0 00
REALTY INCOME CORP					7/24/13	150	5,055 59	6,752 88	1,697 29	(1,809 91)	7/24/13	150	6,865 50	-	-	0 00	0 00
SEADRILL LIMITED SHS					7/24/13	900	32,156 89	38,717 41	6,560 52	(6,705 11)	7/24/13	900	38,862 00	-	-	0 00	0 00
SIMON PROPERTY GROUP INC					1/17/13	40	6,388 80	6,409 05	20 25		1/17/13	40	6,388 80	-	-	0 00	0 00
THERMO FISHER SCIENTIFIC INC					11/1/13	50	4,896 50	4,897 92	1 42	7 50	11/1/13	50	4,889 00	-	-	0 00	0 00
WASHINGTON MUTUAL INVS FD CL A					3/28/13	177	6,023 31	6,023 31	-	17 70	3/27/13	177	6,005 61	-	-	0 00	0 00
WASHINGTON MUTUAL INVS FD CL A					11/20/13	181		7,080 72	-		11/18/13	181	-	-	-	0 00	0 00
SUBTOTAL		-	-	-			160,732.32	307,504.77	41,474.27	(41,754 19)			202,486.51		-	-	0.00

INTERNATIONAL EQUITIES

[illegible]

RBC

Shares Beginning	Original Cost	FMV @ 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/1931	Appreciation (Depreciation)
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509,586 87

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Notes

- 1 No client's worksheet for December 31 2012
- 2 Broker's statement for December 2012 are missing pages 2-4, 6 & 8 As such, beginning balances were derived from January 31, 2013 statement

Gain/Loss per Broker's
Gain/Loss not in broker's
1/17/13 375 7,920 00 7,950 80 30 80
1/17/13 40 6,388 80 6,409 05 20 25

41,372 34

COMMON STOCKS																Morgan Stanley	
Shares Outstanding	Cost Per Share	Cost	FVN @ 12/31/2013	Appreciation (Depreciation)	Date	Shares Sold	Cost	Proceeds	Gain (Loss)	MOF / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Folowing	Original Cost	FVN @ 12/31/2013	Appreciation (Depreciation)
416	112.76152	120.813.09	129.448.932	8,631.831	6.17.13	892	41.397.71	41.397.71	0		6.6.13	447	20.482.29	0.00		0.00	0.00
2033	71.778.44	71.778.44	71.764.90	(11.54)	6.17.13	199	24.705.22	24.705.22	0		6.7.13	445	21.013.48	0.00		0.00	0.00
2238	115.991.65	115.992.21	133.813.44	18,821.23	6.17.03	1817	71.347.36	71.347.36	0		6.25.13	1168	42.031.63	0.00		0.00	0.00
1739	78.240.68	83.062.32	134.372.53	51,131.21	6.17.13	947	40.241.25	40.241.25	0		6.17.13	289	12.876.41	0.00		0.00	0.00
417	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17	6.17.01	479	19.977.82	19.977.82	0		6.9.13	374	19.761.97	0.00		0.00	0.00
416	50.663.55	47.722.38	225.559.55	185,897.17													

LSF QUOTIES

554	ANANIT TECHNOLOGIES	18 875.28	23 071.24	4 196.96	9/11/13	180	5 478.59	9 140.60	3 671.01	27/11/13	163	5 688.54	0.10	0.10	(0.10)
1274	ALTI GUNTS TECH	48 346.63	18 878.64	(19 467.73)	2/22/13	171	20 591.10	5 136.53	(15 454.57)	7/8/13	25	1 876.15	0.01	0.01	0.01
	ALTI GUNTS TECH				2/22/13	171	7 444.81	5 230.73	(2 214.08)	1/28/13	204	6 123.92			
	ALTI GUNTS TECH				2/22/13	171	13 195.18	8 054.33	(5 140.85)						
	ALTI GUNTS TECH				4/12/13	242	9 497.42	7 098.01	(2 399.41)						
147	AMERICAN TECH	27 219.24	38 877.89	9 618.65	6/25/13	693	21 637.74	18 537.44	(3 100.30)	2/11/13	11	7 864.37	0.00		0.00
	AMERICAN TECH				7/1/13	43	7 463.58	12 217.25	4 653.67	3/1/13	39	10 340.34			
	AMERICAN TECH				9/11/13	55	9 807.70	16 300.27	6 492.57	4/1/13	19	4 981.29			
	AMERICAN TECH				9/11/13	208	51 520.48	61 806.77	9 985.89	4/23/13	23	5 901.81			
	AMERICAN TECH				9/11/13	208	51 520.48	61 806.77	9 985.89	5/10/1	26	6 814.22			
428	AMERICAN EXPRESS	22 238.42	24 601.44	2 365.02	9/11/13	100	4 786.33	7 517.86	2 731.63	0/0/	0/0/				
	AMERICAN EXPRESS				9/11/13	328	17 452.19	20 671.33	7 192.54	2/21/13	12	5 761.94	0.00		0.00
234	AMERICAN EXPRESS	16 552.79	17 388.54	835.75	5/11/13	274	17 452.19	20 671.33	7 192.54	2/21/13	12	5 761.94	0.00		0.00
88	AMERICAN EXPRESS	10 408.02	46 831.22	16 423.20	9/11/13	40	13 206.65	18 708.87	5 502.22	4/10/13	7	3 045.02			
	AMERICAN EXPRESS				9/11/13	130	51 793.52	60 448.46	8 654.94						
	AMERICAN EXPRESS				9/11/13	299	26 130.76	31 275.88	6 945.62						
801	AUTODESK	27 484.11	28 515.35	631.24	9/11/13	195	7 044.38	7 462.91	418.53	7/8/13	5	2 068.85	0.01	(0.01)	0.01
719	AUTODESK	15 980.84	19 751.09	19 889.80	9/11/13	250	20 591.10	20 591.10	0.00	7/5/13	178	4 822.88	0.00	0.00	(0.00)
	AUTODESK				9/11/13	717	18 556.94	24 242.20	5 685.26	6/26/13	30	8 097.44	0.00		0.00
	AUTODESK				9/11/13	100	7 445.83	11 053.05	3 607.22	7/1/13	15	5 299.94			
	AUTODESK				9/11/13	299	26 130.76	31 275.88	6 945.62	2/5/13	486	17 058.93	0.00		(0.00)
	AUTODESK				9/11/13	517	18 779.41	22 484.55	3 705.02	7/8/13	15	515.44			
	AUTODESK				9/11/13	160	5 911.75	6 977.79	1 066.04	4/16/13	227	3 797.53	0.01	0.01	(0.01)
992	CATERPILLAR	33 164.74	33 164.74	55 128.53	4/16/13	62	5 226.78	5 119.84	(106.94)	4/16/13	227	3 797.53	0.01	0.01	(0.01)
2015	CATERPILLAR	40 290.08	41 819.40	1 569.32	2/23/13	290	4 063.81	4 836.27	772.46	4/16/13	227	3 797.53	0.01	0.01	(0.01)
	CATERPILLAR				2/23/13	290	2 961.49	3 506.62	545.13	7/25/13	175	3 125.70			
	CATERPILLAR				2/23/13	290	5 106.62	9 037.70	3 931.08	7/25/13	175	3 125.70			
	CATERPILLAR				2/23/13	290	20 424.71	32 016.19	11 591.48	7/25/13	175	3 125.70			
496	CATERPILLAR	17 029.33	19 621.76	2 393.41	9/11/13	400	5 584.83	7 759.88	2 165.05	7/5/14	734	20 592.20	0.00		0.00
	CATERPILLAR				4/23/13	406	17 029.33	22 021.96	4 992.63	7/5/14	734	20 592.20	0.00		0.00
	CATERPILLAR				9/11/13	170	6 853.78	6 574.12	(279.66)	7/5/14	734	20 592.20	0.00		0.00
	CATERPILLAR				9/11/13	564	22 738.42	21 810.18	(928.24)	7/5/14	734	20 592.20	0.00		0.00
	CATERPILLAR				9/11/13	125	7 163.44	7 421.37	257.93	7/5/14	734	20 592.20	0.00		0.00
	CATERPILLAR				9/11/13	98	22 819.22	21 621.68	(1 197.54)	7/5/14	734	20 592.20	0.00		0.00
	CATERPILLAR				9/11/13	179	13 117.45	18 623.35	5 505.90	6/21/13	234	19 762.47	0.01	(0.01)	0.01
425	CONCHO SOLARIES INC	40 060.51	47 132.25	7 071.74	1/11/13	68	5 226.95	7 803.91	2 582.00	7/5/14	734	20 592.20	0.00		0.00
	CONCHO SOLARIES INC				4/12/13	95	9 585.55	11 250.20	1 751.65	7/5/14	734	20 592.20	0.00		0.00
	CONCHO SOLARIES INC				4/16/13	49	4 741.03	5 429.07	688.04	7/5/14	734	20 592.20	0.00		0.00
	CONCHO SOLARIES INC				9/11/13	50	5 052.27	5 623.79	571.52	7/5/14	734	20 592.20	0.00		0.00
998	CONCHO SOLARIES INC	30 369.04	34 595.16	4 026.12	4/16/13	176	3 461.48	4 467.66	1 006.18	7/5/14	734	20 592.20	0.00		0.00
	CONCHO SOLARIES INC				4/16/13	176	4 011.36	4 901.66	890.30	7/5/14	734	20 592.20	0.00		0.00
1321	COUTER PATENT INC	23 021.44	23 460.20	(438.76)	6/21/13	342	26 907.36	28 184.31	1 276.77	7/5/14	734	20 592.20	0.00		0.00
153	COUTER PATENT INC	15 452.68	15 452.68	0.00	9/11/13	205	8 923.94	11 028.79	2 052.85	6/21/13	176	8 730.04	0.01	0.01	(0.01)
	COUTER PATENT INC				9/11/13	205	2 549.51	3 120.91	571.40	7/5/14	734	20 592.20	0.00		0.00
	COUTER PATENT INC				3/20/13	88	4 357.63	4 730.28	372.65	7/5/14	734	20 592.20	0.00		0.00
	COUTER PATENT INC				4/26/13	99	4 901.66	5 798.19	896.71	7/5/14	734	20 592.20	0.00		0.00
721	ENERSOL ELECTRIC CO	15 063.25	18 184.16	3 120.91	4/16/13	88	4 357.63	4 730.28	372.65	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				9/11/13	120	5 941.41	7 884.90	1 943.49	7/5/14	734	20 592.20	0.00		0.00
195	ENERSOL ELECTRIC CO	17 660.79	17 666.57	21 544.05	9/11/13	414	19 863.14	26 537.76	6 674.62	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				9/11/13	414	19 863.14	26 537.76	6 674.62	7/5/14	734	20 592.20	0.00		0.00
98	ENERSOL ELECTRIC CO	15 108.79	20 207.60	5 108.81	9/11/13	100	4 624.53	5 236.40	751.87	4/24/13	32	6 816.52	0.00		0.00
	ENERSOL ELECTRIC CO				9/11/13	100	17 318.78	17 318.78	0.00	5/11/13	163	11 342.12	0.00		0.00
118	ENERSOL ELECTRIC CO	15 447.16	17 241.50	1 793.98	9/11/13	130	7 284.58	9 172.65	1 878.27	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				9/11/13	412	23 597.25	29 167.03	5 569.78	7/5/14	734	20 592.20	0.00		0.00
556	ENERSOL ELECTRIC CO	28 700.19	30 024.00	1 323.81	1/21/13	556	28 700.19	30 010.81	1 310.62	4/24/13	32	6 816.52	0.00		0.00
1147	ENERSOL ELECTRIC CO	26 090.92	26 090.92	0.00	6/21/13	1147	26 090.92	27 975.72	1 884.80	5/11/13	163	11 342.12	0.00		0.00
1195	ENERSOL ELECTRIC CO	45 213.23	48 762.57	(3 549.34)	2/26/13	167	8 377.78	5 417.52	(2 960.26)	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				2/26/13	231	10 076.19	7 318.52	(2 697.87)	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				6/26/13	634	21 194.13	16 429.12	(4 765.01)	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				2/4/13	41	4 233.24	6 023.38	1 790.10	4/15/13	170	19 082.69	0.01	0.01	(0.01)
360	ENERSOL ELECTRIC CO	39 440.96	39 445.49	45 021.60	5 576.11	39	4 026.74	6 032.38	2 007.64	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				9/11/13	135	7 073.70	8 317.29	1 459.59	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				9/11/13	468	23 410.24	28 225.50	4 815.26	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				9/11/13	227	20 549.18	20 775.12	225.74	7/5/14	734	20 592.20	0.00		0.00
1275	ENERSOL ELECTRIC CO	44 880.13	46 374.09	70 877.25	24 303.16	133	5 880.64	7 572.92	1 692.28	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				4/24/13	94	3 092.17	5 897.51	2 805.34	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				5/13/13	89	2 425.24	6 125.67	3 698.43	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				9/13/13	200	5 848.79	16 761.20	10 912.41	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				9/13/13	659	27 479.62	55 068.50	28 218.88	7/5/14	734	20 592.20	0.00		0.00
181	ENERSOL ELECTRIC CO	70 821.49	71 894.70	22 409.61	45 131.11	36	4 194.23	5 600.98	1 206.71	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				4/24/13	30	3 640.81	4 888.73	1 247.92	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				9/11/13	119	26 327.74	28 032.45	9 904.71	7/5/14	734	20 592.20	0.00		0.00
572	ENERSOL ELECTRIC CO	26 037.51	29 254.07	31 640.91	2 386.84	73	39 843.43	41 016.26	4 192.83	4/12/13	90	5 814.33	0.00		0.00
	ENERSOL ELECTRIC CO				7/5/13	707	39 843.43	41 016.26	4 192.83	4/12/13	90	5 814.33	0.00		0.00
69	ENERSOL ELECTRIC CO	34 815.53	34 823.33	(7 707.80)	9/11/13	25	12 813.76	9 306.33	(3 417.43)	7/5/14	734	20 592.20	0.00		0.00
	ENERSOL ELECTRIC CO				9/11/13	87	43 593.27	52 673.71	(8 949.51)	7/5/14	734	20 592.20	0.00		0.00

Company	Shares Beginning	Cost Per Share	Cost	FWB # 6/30/2014	Amortization (Deduction)	Date	Shares	Cost	Cost	Proceeds	Gains (Loss)	ROP / Adjustment	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FWB # 6/30/2014	Amortization (Deduction)
INTEGRAL	1097	33.0442	33,104.53	33,737.01	5,630.48	1/11/13	202	8,408.73	10,803.81	2,395.08	-	-	4/22/13	11	3,226.70	11	3,226.70	0.00	0.00
INTEGRAL						7/11/13	5	4,037.70	5,234.20	1,196.50			7/11/13	5	2,510.00	5	2,510.00	0.00	0.00
INTEGRAL						9/11/13	141	4,094.97	5,262.29	1,167.32			9/11/13	141	945.94	141	945.94	0.00	0.00
INTEGRAL						11/11/13	406	17,271.97	33,384.16	17,271.97			11/11/13	406	24,208.41	406	24,208.41	0.00	0.00
INTEGRAL						1/11/13	523	27,668.31	33,384.16	5,715.85			1/11/13	523	2,054.20	523	2,054.20	0.00	0.00
INTEGRAL						3/11/13	75	21,608.88	18,004.10	(3,604.78)			3/11/13	75	21,608.88	75	21,608.88	0.00	0.00
INTEGRAL						5/11/13	52	4,489.21	5,373.32	884.11			5/11/13	52	2,646.46	52	2,646.46	0.00	0.00
INTEGRAL						7/11/13	25	2,478.25	4,244.64	1,766.39			7/11/13	25	1,766.39	25	1,766.39	0.00	0.00
INTEGRAL						9/11/13	96	9,230.70	23,660.22	14,719.52			9/11/13	96	6,211.33	96	6,211.33	0.00	0.00
INTEGRAL						11/11/13	115	9,071.78	18,191.13	(4,527.67)			11/11/13	115	4,527.67	115	4,527.67	0.00	0.00
INTEGRAL						1/11/13	78	27,510.87	26,364.44	(1,146.43)			1/11/13	78	2,510.87	78	2,510.87	0.00	0.00
INTEGRAL						3/11/13	78	26,364.44	26,364.44	0.00			3/11/13	78	2,510.87	78	2,510.87	0.00	0.00
INTEGRAL						5/11/13	149	26,364.44	26,364.44	0.00			5/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						7/11/13	149	26,364.44	26,364.44	0.00			7/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						9/11/13	149	26,364.44	26,364.44	0.00			9/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						11/11/13	149	26,364.44	26,364.44	0.00			11/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						1/11/13	149	26,364.44	26,364.44	0.00			1/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						3/11/13	149	26,364.44	26,364.44	0.00			3/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						5/11/13	149	26,364.44	26,364.44	0.00			5/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						7/11/13	149	26,364.44	26,364.44	0.00			7/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						9/11/13	149	26,364.44	26,364.44	0.00			9/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						11/11/13	149	26,364.44	26,364.44	0.00			11/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						1/11/13	149	26,364.44	26,364.44	0.00			1/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						3/11/13	149	26,364.44	26,364.44	0.00			3/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						5/11/13	149	26,364.44	26,364.44	0.00			5/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						7/11/13	149	26,364.44	26,364.44	0.00			7/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						9/11/13	149	26,364.44	26,364.44	0.00			9/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						11/11/13	149	26,364.44	26,364.44	0.00			11/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						1/11/13	149	26,364.44	26,364.44	0.00			1/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						3/11/13	149	26,364.44	26,364.44	0.00			3/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						5/11/13	149	26,364.44	26,364.44	0.00			5/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						7/11/13	149	26,364.44	26,364.44	0.00			7/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						9/11/13	149	26,364.44	26,364.44	0.00			9/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						11/11/13	149	26,364.44	26,364.44	0.00			11/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						1/11/13	149	26,364.44	26,364.44	0.00			1/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						3/11/13	149	26,364.44	26,364.44	0.00			3/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						5/11/13	149	26,364.44	26,364.44	0.00			5/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						7/11/13	149	26,364.44	26,364.44	0.00			7/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						9/11/13	149	26,364.44	26,364.44	0.00			9/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						11/11/13	149	26,364.44	26,364.44	0.00			11/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						1/11/13	149	26,364.44	26,364.44	0.00			1/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						3/11/13	149	26,364.44	26,364.44	0.00			3/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						5/11/13	149	26,364.44	26,364.44	0.00			5/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						7/11/13	149	26,364.44	26,364.44	0.00			7/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						9/11/13	149	26,364.44	26,364.44	0.00			9/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						11/11/13	149	26,364.44	26,364.44	0.00			11/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						1/11/13	149	26,364.44	26,364.44	0.00			1/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						3/11/13	149	26,364.44	26,364.44	0.00			3/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						5/11/13	149	26,364.44	26,364.44	0.00			5/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						7/11/13	149	26,364.44	26,364.44	0.00			7/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						9/11/13	149	26,364.44	26,364.44	0.00			9/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						11/11/13	149	26,364.44	26,364.44	0.00			11/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						1/11/13	149	26,364.44	26,364.44	0.00			1/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						3/11/13	149	26,364.44	26,364.44	0.00			3/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						5/11/13	149	26,364.44	26,364.44	0.00			5/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						7/11/13	149	26,364.44	26,364.44	0.00			7/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						9/11/13	149	26,364.44	26,364.44	0.00			9/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						11/11/13	149	26,364.44	26,364.44	0.00			11/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						1/11/13	149	26,364.44	26,364.44	0.00			1/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						3/11/13	149	26,364.44	26,364.44	0.00			3/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						5/11/13	149	26,364.44	26,364.44	0.00			5/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						7/11/13	149	26,364.44	26,364.44	0.00			7/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						9/11/13	149	26,364.44	26,364.44	0.00			9/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						11/11/13	149	26,364.44	26,364.44	0.00			11/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						1/11/13	149	26,364.44	26,364.44	0.00			1/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						3/11/13	149	26,364.44	26,364.44	0.00			3/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						5/11/13	149	26,364.44	26,364.44	0.00			5/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						7/11/13	149	26,364.44	26,364.44	0.00			7/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						9/11/13	149	26,364.44	26,364.44	0.00			9/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						11/11/13	149	26,364.44	26,364.44	0.00			11/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						1/11/13	149	26,364.44	26,364.44	0.00			1/11/13	149	2,510.87	149	2,510.87	0.00	0.00
INTEGRAL						3/11/13	149	26,364.44	26,364.44	0.00			3/11/13						

Fidelity

H F Brown	Shares Beginning	Per Client Cost/Acq	Per Broker Cost	FNV 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FNV @ 12/31/2013	Appreciation (Depreciation)
APPLE INC												4/29/13	11	4,508.07	15	6,579.43	8,415.30	1,835.87
APPLE INC												11/26/13	4	2,071.36				
ASTRAZENECA	62	2,817.28	2,817.28	2,930.74	113.46				-			1/8/13	57	2,744.55	214	10,826.11	12,705.18	1,879.07
ASTRAZENECA												11/26/13	42	2,300.75				
ASTRAZENECA	75	6,038.81	6,038.81	6,727.50	688.69				-			11/27/13	53	2,963.53	119	10,151.05	14,108.64	3,957.59
BERKSHIRE HATHAWAY	112	1,763.98	1,763.98	2,079.84	315.86	4/8/13	112	1,763.98	3,248.05	1,484.07		1/8/13	44	4,112.24	-	-		-
BLOCK H&R									2,357.11	256.63		3/8/13	56	4,356.55	42	3,966.48	5,732.58	1,766.10
BOEING CO												11/26/13	13	1,710.41	-	-		-
CENTURYLINK	34	1,249.16	1,249.16	1,330.08	80.92	3/12/13	34	1,249.16	1,171.26	(77.90)		1/8/13	16	1,771.68	68	7,580.36	8,493.88	913.52
CHEVRON CORP	32	3,347.88	3,347.88	3,460.48	112.60				-			11/26/13	20	2,460.80				
CHINA PETROLEUM & CHEMICAL CORP												5/13/13	41	4,611.59	102	8,708.57	8,381.34	(327.23)
CHINA PETROLEUM & CHEMICAL CORP									20.51			7/2/13	12					
CHINA PETROLEUM & CHEMICAL CORP												7/29/13	20	1,502.06				-
CISCO SYS	191	3,376.87	3,376.87	3,752.95	376.08	11/15/13	146	2,527.04	3,471.74	944.70		11/26/13	29	2,594.92	-	-		-
CONOCOPHILLIPS	43	2,776.91	2,339.46	2,493.57	(283.41)	6/3/13	66	4,426.23	4,615.93	189.70		1/8/13	107	2,191.90				-
CONOCOPHILLIPS									4,140.96	434.15		11/26/13	65	1,384.50	91	6,627.26	6,429.15	(198.11)
DLL INC	243	2,564.86	2,564.86	2,464.02	(100.81)	4/2/13	374	3,998.66	5,374.25	1,375.59		1/8/13	131	1,433.80	-	-		-
EATON VANCE RISK	616	6,407.48	5,985.83	6,424.88	17.40				-			1/8/13	345	3,656.38	1,341	13,871.57	15,113.07	1,241.50
EATON VANCE RISK												11/26/13	380	4,229.36	99	5,925.04	5,660.82	6,037.98
ENSCO PLC COM	715	60,846.50	52,192.97	61,883.25	1,036.75	1/8/13	580	30,718.13	51,455.86	20,737.73		12/9/13	99	5,925.04	68	843.62	6,881.60	3,196.02
EXXON MOBIL									5,930.74	5,099.52		1/8/13	125	2,655.63	527	11,575.79	14,771.81	
LXON MOBIL	210	3,824.73	3,824.73	4,407.90	583.17	9/19/13	67	831.22		-		10/23/13	36	911.35				
GENERAL ELECTRIC												11/26/13	156	4,184.08				
GENERAL ELECTRIC												1/8/13	103	1,604.43	321	7,211.20	8,981.58	1,770.38
GENERAL ELECTRIC	53	1,371.11	1,371.11	755.25	(615.86)				-			11/15/13	72	1,894.20				
HEWLETT-PACKARD												11/26/13	93	2,341.46	439	10,049.53	11,394.24	1,344.71
HEWLETT-PACKARD	197	4,494.23	4,494.29	4,062.14	(432.09)				-			1/8/13	121	2,576.70				
HEWLETT-PACKARD												11/26/13	121	2,978.54	52	9,770.35	9,753.64	(16.71)
INTEL CORP	13	2,369.27	2,369.27	2,490.15	120.88				-			1/8/13	7	1,371.93				
INTEL CORP												9/17/13	17	3,251.30				
IBM												11/26/13	15	2,777.85				
IBM												1/8/13	50	3,734.45				
ISHARES TR RUSSELL 1000 VALUE INDEX FID	87	6,405.64	6,405.64	6,335.34	(70.30)	6/10/13	40	2,987.56	3,357.41	369.85		7/8/13	140	11,779.71	78	6,740.27	7,345.26	604.99
ISHARES TR RUSSELL 1000 VALUE INDEX FID									8,101.47	948.94		11/26/13	23	2,112.53				
ISHARES RUSSELL 1000 VALUE ETF (IWD)									7,211.62	59.65		1/8/13	151	3,802.16	430	10,956.89	14,215.80	3,258.91
ISHARES RUSSELL 1000 VALUE ETF (IWD)	260	6,549.96	6,549.96	6,351.80	(198.16)				-			11/26/13	19	604.77				
ISHARES US REGIONAL BANKS ETF												12/24/13	128	10,735.62	128	10,735.62	10,938.88	2,358.99
ISHARES US REGIONAL BANKS ETF									2,341.53	762.53		1/8/13	69	3,072.57	197	9,161.57	11,520.56	469.73
ISHARES S&P 500	118	4,556.10	4,556.10	5,188.34	632.24	6/26/13	45	1,579.00				11/26/13	55	3,111.90	116	5,446.27	5,916.00	
JPMORGAN												1/8/13	41	2,034.01				
JPMORGAN	42	1,749.72	1,749.72	2,071.44	321.72				-			11/26/13	33	1,662.54				
LILLY LEI									2,847.51	242.73		1/8/13	35	1,483.65				
LILLY LEI												11/26/13	13	745.81	135	5,525.78	7,747.65	2,221.87
LOCKHEED MARTIN	32	2,604.78	2,604.78	2,953.28	348.50	2/4/13	32	2,604.78	2,351.13	291.49		1/8/13	88	2,412.08	228	6,659.47	8,529.48	1,870.01
MEDTRONIC	87	3,296.32	3,296.32	3,568.74	272.42							3/26/13	115	3,243.59				
MEDTRONIC									3,401.41	998.10		11/26/13	35	1,307.23				
MICROSOFT	150	4,159.52	4,159.52	4,006.50	(153.02)	7/11/13	69	2,059.64				9/6/13	78	4,647.56	161	9,849.45	10,445.68	596.23
MICROSOFT												11/26/13	35	2,181.73				
MURPHY OIL CORP												9/24/13	35	3,020.16				
MURPHY OIL CORP												11/26/13	48	4,459.73				
MURPHY OIL CORP												4/3/13	107	4,459.73				
NEWMONT MNG CORP												11/26/13	47	1,213.54				
NEWMONT MNG CORP	100	2,924.65	2,924.65	2,863.00	(61.65)	9/6/13	158	4,621.15	4,842.85	221.70		1/8/13	58	1,696.50				
PPL CORP	56	3,728.18	3,728.18	3,832.08	103.90	4/30/13	1	66.28	82.47	16.19		11/26/13	21	1,796.76	76	5,458.66	6,303.44	844.78

Fidelity

IIT Brown	Shares Beginning	Per Client Cost/Acq	Per Broker Cost	FMV 12/31/2012	Appreciation (Depreciation)	Date Sold	Shares Sold	Cost Basis	Proceeds	Gain (Loss)	ROP / Adjustments	Purchase Date	Shares Purchased	Purchase Price	Shares Ending	Original Cost	FMV @ 12/31/2013	Appreciation (Depreciation)
PFIZER	93	2,015.31	2,015.31	2,332.34	317.03							8/2/13	89	2,660.71	254	6,967.56	7,780.02	812.46
PFIZER												11/26/13	72	2,291.54	-	-	-	-
PUBLIC SERVICE ENTERP	81	2,579.04	2,579.04	2,478.60	(100.44)	3/26/13	81	2,579.04	2,704.76	125.72		1/8/13	24	1,560.24	-	11,372.77	12,696.75	1,323.98
QUALCOMM	43	2,535.00	2,535.00	2,659.98	124.98							9/11/13	26	1,778.96	171	-	-	-
QUALCOMM												9/25/13	25	1,741.03	-	-	-	-
QUALCOMM												11/26/13	53	3,757.54	127	8,583.83	8,538.21	(45.62)
ROYAL BANK OF CANADA	72	5,151.07	5,151.07	4,964.40	(186.67)							11/13/13	89	5,991.48	-	-	-	-
ROYAL DUTCH SHELL												11/26/13	38	2,592.35	-	-	-	-
SK TELECOM	78	1,137.24	1,137.24	1,234.74	97.50	5/31/13	151	2,281.88	3,185.83	903.95		1/8/13	40	2,773.20	112	7,924.27	7,982.24	57.97
SPDR GOLD	10	1,659.00	1,659.00	1,620.20	(38.80)	2/21/13	17	2,796.14	2,644.80	(151.34)		1/8/13	7	1,137.14	-	-	-	-
SANOFI	88	3,159.20	3,159.20	4,169.44	1,010.24							1/8/13	21	1,006.02	129	5,223.53	6,918.27	1,694.74
SANOFI												11/26/13	20	1,058.31	-	-	-	-
STAPLES INC						8/9/13	99	1,353.30	1,681.47	328.17		2/4/13	274	3,745.50	-	-	-	-
STAPLES INC						8/26/13	175	2,392.20	2,567.21	175.01		12/9/13	1,590	5,267.45	1,590	5,267.45	6,248.70	981.25
TEEKAY TANKERS LTD COM	105	3,067.50	3,067.50	3,434.55	367.05							11/26/13	105	4,267.20	210	7,334.70	8,706.60	1,371.90
UGI CORP												11/21/13	170	9,687.27	241	13,686.70	14,170.80	484.10
VANGUARD INTL EQUITY												11/26/13	71	3,999.43	376	9,961.44	14,780.56	4,819.12
VANGUARD INTL EQUITY	151	4,053.50	4,053.50	3,803.69	(249.81)							1/8/13	84	2,141.15	-	-	-	-
VODAFONE												1/29/13	141	3,766.79	114	7,989.35	8,970.66	981.31
WALMART	43	2,555.49	2,555.49	2,933.89	378.40							1/8/13	18	1,237.32	-	-	-	-
WALMART												11/26/13	53	4,196.54	164	5,830.41	9,420.16	3,589.75
WALGREEN	103	3,519.91	3,519.91	3,812.03	292.12							1/8/13	61	2,310.50	-	-	-	-
TOTAL STOCKS		170,656.20	141,343.63	175,877.13	5,220.93			99,023.76	132,692.93	33,689.68	-			232,042.48		274,362.35	325,998.55	51,697.16
MUTUAL FUNDS																		
PIONEER FUNDS	409	13,128.90	13,128.90	13,337.49	208.59	1/4/13	409	13,128.90	13,640.15						-	-	-	-
					0.00										-	-	-	-
TOTAL MUTUAL FUNDS		13,128.90	13,128.90	13,337.49	208.59			13,128.90	13,640.15		-					-	-	-
TOTAL		183,785.10	154,472.53	189,214.62	5,429.52			112,152.66	146,333.08	33,689.68	-			232,042.48		274,362.35	325,998.55	51,697.16
			29,312.57															
TOTAL CASH		183,785.10	154,472.53	189,214.62	5,429.52			(112,152.66)	(146,333.08)	(33,689.68)	-			232,042.48		274,362.35	325,998.55	51,697.16
		12,374.25	12,374.25													18,738.49		
TOTAL		196,159.35	166,846.78	189,214.62	5,429.52			(112,152.66)	(146,333.08)	(33,689.68)	-			232,042.48		293,100.84	325,998.55	51,697.16

discrepancy client vs Broker