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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Department of the Treasury  
Internal Revenue ServiceDo not enter Social Security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation

A Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

P.O. BOX 97

B Telephone number

(607) 273-3210

City or town, state or province, country, and ZIP or foreign postal code

ITHACA, NY 14851

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒ Cash☐ Accrual

(from Part II, col. (c), line 16)

☐ Other (specify)

\$ 2,730,757. (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	373,485.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	60,126.	60,126.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	152,930.			
	b Gross sales price for all assets on line 6a	751,229.			
	7 Capital gain net income (from Part IV, line 2)		152,930.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	586,541.	213,056.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees	150.	150.		0.
	b Accounting fees	3,850.	3,850.		0.
	c Other professional fees	18,411.	18,411.		0.
	17 Interest				
	18 Taxes	1,819.	260.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	20,974.	20,974.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	45,204.	43,645.		0.
	25 Contributions, gifts, grants paid	163,169.			163,169.
26 Total expenses and disbursements. Add lines 24 and 25	208,373.	43,645.		163,169.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	378,168.				
b Net investment income (if negative, enter -0-)		169,411.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		88,537.	321,292.	321,292.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 8		217,272.	213,307.	213,307.
	b	Investments - corporate stock STMT 9		1,632,536.	1,652,749.	1,652,749.
	c	Investments - corporate bonds STMT 10		302,745.	543,409.	543,409.
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		2,241,090.	2,730,757.	2,730,757.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted		2,241,090.	2,730,757.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances		2,241,090.	2,730,757.		
31	Total liabilities and net assets/fund balances		2,241,090.	2,730,757.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,241,090.
2	Enter amount from Part I, line 27a	2	378,168.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	111,499.
4	Add lines 1, 2, and 3	4	2,730,757.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,730,757.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES - SHORT TERM</b>	P	VARIOUS	VARIOUS
<b>b PUBLICLY TRADED SECURITIES - LONG TERM</b>	P	VARIOUS	VARIOUS
<b>c CAPITAL GAINS DIVIDENDS</b>			
d			
e			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 79,291.		80,318.	<1,027.>
b 671,050.		517,981.	153,069.
c 888.			888.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<1,027.>
b			153,069.
c			888.
d			
e			

  

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	152,930.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	156,407.	2,215,765.	.070588
2011	164,183.	2,239,278.	.073320
2010	163,909.	2,193,358.	.074730
2009	161,666.	2,067,398.	.078198
2008	200,767.	2,547,921.	.078796
2 Total of line 1, column (d)			.375632
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			.075126
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			2,341,881.
5 Multiply line 4 by line 3			175,936.
6 Enter 1% of net investment income (1% of Part I, line 27b)			1,694.
7 Add lines 5 and 6			177,630.
8 Enter qualifying distributions from Part XII, line 4			163,169.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	3,388.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,388.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	3,388.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,040.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,040.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,348.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>WWW.TCLEGACY.ORG</b>	13	X	
14	The books are in care of ► <b>JANET HEWITT</b> Telephone no. ► <b>607-274-2004</b> Located at ► <b>C/O TOMPKINS TRUST COMPANY, PO BOX 460, ITHACA, N</b> ZIP+4 ► <b>14851</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

▶ ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	2,251,721.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	125,823.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	2,377,544.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	2,377,544.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	35,663.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	2,341,881.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	117,094.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	117,094.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	3,388.
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	3,388.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	113,706.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	113,706.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	113,706.

**Part XII****Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	163,169.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	163,169.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	163,169.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				113,706.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	74,405.			
b From 2009	58,664.			
c From 2010	54,885.			
d From 2011	44,513.			
e From 2012	43,638.			
f Total of lines 3a through e	276,105.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 163,169.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				113,706.
e Remaining amount distributed out of corpus	49,463.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	325,568.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	74,405.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	251,163.			
10 Analysis of line 9:				
a Excess from 2009	58,664.			
b Excess from 2010	54,885.			
c Excess from 2011	44,513.			
d Excess from 2012	43,638.			
e Excess from 2013	49,463.			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CHILD DEVELOPMENT COUNCIL	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,500.
FAMILY & CHILDREN'S SERVICE OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
FOOD BANK OF THE SOUTHERN TIER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
ITHACA HEALTH ALLIANCE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,500.
PALEONTOLOGICAL RESEARCH INSTITUTE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	17,500.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	163,169.
b Approved for future payment				
PLANNED PARENTHOOD OF THE SOUTHERN FINGER LAKES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	12,500.
COOPERATIVE EXTENSION OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,500.
SCIENCENTER OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
Total			▶ 3b	30,000.



## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a** Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash

**(2) Other assets**

**b Other transactions:**

**(1) Sales of assets to a noncharitable exempt organization**

**(2) Purchases of assets from a noncharitable exempt organization**

**(3) Rental of facilities, equipment, or other assets**

#### (4) Reimbursement arrangements

**(5) Loans or loan guarantees**

**(6) Performance of services or membership or fundraising solicitations**

**c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

**d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

**Title**

May the IRS discuss this return with the preparer shown below (see inst. 1)?

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Prepared: signature

Date	
------	--

Check ☐ if  
self-employed

PTIN

PATRICK JORDAN

05/14/14

P00854521

Firm's name ► CDLM &amp; COMPANY CPA'S, LLP

Firm's EIN ► 16-1171627

Firm's address ► 401 E. STATE ST., SUITE 500  
ITHACA, NY 14850

Phone no. **607-272-4444**

Form **990-PF** (2013)

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2013**

Name of the organization

Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization	Employer identification number
LEGACY FOUNDATION OF TOMPKINS COUNTY	15-6018481

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GEORGE V. & JEAN A. SMITH CHARITABLE TRUST	\$ 25,899.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	JEWELL ESTATE	\$ 6,097.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	ESTATE OF DORIS S. STRONG	\$ 15,008.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	ADALINE ROE TRUST	\$ 73,300.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	JOHN AND MAYFRED HIRSHFELD TRUST	\$ 251,080.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Name of organization

Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

**Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Employer identification number

15-6018481

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SCIENCENTER OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	13,000.
SPCA OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,500.
UNITED WAY OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,500.
UNITY HOUSE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,600.
ALTERNATIVE IMPACT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
AMERICAN RED CROSS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,000.
BIG BROTHERS BIG SISTERS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,500.
CAYUGA HOUSING DEVELOPMENT CORP.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,000.
COMMUNITY FAITH PARTNERS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	625.
COMMUNITY FOUNDATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	250.
<b>Total from continuation sheets</b>				<b>130,169.</b>

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COOPERATIVE EXTENSION OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,500.
DISCOVERY TRAIL	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
DOWNTOWN ITHACA CHILDREN'S CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,024.
FRANZISKA CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,525.
FRIENDS OF STEWART PARK	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,500.
GOLDEN OPPORTUNITY PROGRAM	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
CENTER FOR THE ARTS AT ITHACA, INC.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	9,000.
HISTORIC ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
HUMAN SERVICES COALITION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,200.
IMMACULATE CONCEPTION FOOD PANTRY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ITHACA NEIGHBORHOOD HOUSING	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,000.
COAR OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
PLANNED PARENTHOOD	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,500.
PLANNED PARENTHOOD OF THE SOUTHERN FINGER LAKES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	12,500.
JANET BOWMAN	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	350.
KELLY METZLER	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	350.
JAN MCCLURE	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	350.
DAN FERGUSON	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	350.
JANET STINSON	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	350.
SLATERVILLE AMBULANCE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	750.
Total from continuation sheets				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SLATERVILLE VOLUNTEER FIRE DEPARTMENT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	750.
SOCIAL VENTURES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
SUICIDE PREVENTION & CRISIS SERVICES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	250.
SYRACUSE RESCUE MISSION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	375.
THE LEARNING WEB	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,720.
THE RESCUE MISSION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	250.
THE VILLAGE AT ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	250.
TOMPKINS COUNTY PUBLIC LIBRARY FOUNDATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,000.
TOMPKINS COUNTY HABITAT FOR HUMANITY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,500.
WORKERS RIGHTS CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,000.
<b>Total from continuation sheets</b>				

15-6018481

### 3 Grants and Contributions Paid During the Year (Continuation)

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05-01-13

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
DIVIDENDS	61,014.	888.	60,126.	60,126.		
TO PART I, LINE 4	61,014.	888.	60,126.	60,126.		

FORM 990-PF		LEGAL FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
LEGAL FEES	150.	150.				0.
TO FM 990-PF, PG 1, LN 16A	150.	150.				0.

FORM 990-PF		ACCOUNTING FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
ACCOUNTING FEES (AUDIT & TAX)	3,850.	3,850.				0.
TO FORM 990-PF, PG 1, LN 16B	3,850.	3,850.				0.

FORM 990-PF		OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
OTHER PROFESSIONAL FEES	18,411.	18,411.				0.
TO FORM 990-PF, PG 1, LN 16C	18,411.	18,411.				0.



FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NYS FILING FEES	260.	260.		0.	
EXCISE TAX	1,559.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	1,819.	260.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSE	4,257.	4,257.		0.	
DIRECTOR OF DEVELOPMENT SERVICES	8,200.	8,200.		0.	
INSURANCE	853.	853.		0.	
PROFESSIONAL	7,664.	7,664.		0.	
TO FORM 990-PF, PG 1, LN 23	20,974.	20,974.		0.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	7
DESCRIPTION	AMOUNT				
UNREALIZED APPRECIATION OF INVESTMENTS	111,499.				
TOTAL TO FORM 990-PF, PART III, LINE 3	111,499.				

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	8
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
US TREASURY BOND 7.25% DUE 5/15/16	X		23,156.	23,156.	
US TREASURY NOTES 4.625% DUE 11/15/16	X		33,300.	33,300.	
US TREASURY NOTES 4.75% DUE 5/15/14	X		30,509.	30,509.	
FFCB 2.18% DUE 9/20/2021	X		18,555.	18,555.	
FNMA 2.00% DUE 4/18/2019	X		24,709.	24,709.	
FNLB 2.35% DUE 1/30/2023	X		13,855.	13,855.	
FHLMC 2.0% DUE 2/21/2020	X		14,572.	14,572.	
FNMA 2.5% DUE 2/22/2023	X		27,388.	27,388.	
FNMA 2.25% DUE 10/17/2022	X		27,263.	27,263.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			213,307.	213,307.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			213,307.	213,307.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
TOMPKINS FINANCIAL CORP	127,139.	127,139.	
JOHNSON & JOHNSON	27,477.	27,477.	
CHEVRON CORP	19,986.	19,986.	
EXXON MOBIL CORP	20,240.	20,240.	
MICROSOFT CORP	29,928.	29,928.	
ALTRIA GROUP INC.	26,873.	26,873.	
CATERPILLAR INC.	16,800.	16,800.	
CONOCOPHILLIPS	12,717.	12,717.	
AT&T	19,162.	19,162.	
WALT DISNEY	19,100.	19,100.	
IBM	28,136.	28,136.	
ORACLE CORP	23,530.	23,530.	
PFIZER	24,504.	24,504.	
APPLE INC	33,661.	33,661.	
MCDONALDS CORP	19,406.	19,406.	
NATIONAL OILWELL VARCO INC	13,520.	13,520.	
EMERSON ELEC CO	21,054.	21,054.	
ISHARES TR MSCI EAFE IDX ETF	129,829.	129,829.	
PNC FINL SVCS GROUP	15,516.	15,516.	
PROCTER & GAMBLE CO	24,423.	24,423.	
CELGENE CORP	12,673.	12,673.	
COVIDIEN PLC	13,280.	13,280.	

GENERAL MILLS	10,481.	10,481.
GOOGLE INC	33,621.	33,621.
ISHARES MSCI EMERG MKTS	67,708.	67,708.
J.P. MORGAN CHASE & CO	6,140.	6,140.
VERIZON COMMUNICATIONS	21,622.	21,622.
DANAHER CORP	23,160.	23,160.
E M C CORP MASS	21,126.	21,126.
JOHNSON CTLS INC	23,342.	23,342.
KOHL'S CORP	17,593.	17,593.
TEVA PHARMA INDS	11,423.	11,423.
ACCENTURE PLC	20,555.	20,555.
BHP BILLITON LIMITED	11,935.	11,935.
DOMINION RES INC	12,291.	12,291.
DUPONT EI DENEMOURS & CO	24,364.	24,364.
FORD MOTOR CO	13,115.	13,115.
QUALCOMM INC	21,161.	21,161.
THERMO FISHER SCIENTIFIC INC	12,805.	12,805.
UNITED TECHNOLOGIES CORP	19,915.	19,915.
DIRECTV COM	14,157.	14,157.
HALLIBURTON CO	14,717.	14,717.
VODAFONE GROUP PLC	11,596.	11,596.
ISHARES MBS ETF	60,127.	60,127.
ALLERGAN	12,219.	12,219.
BED BATH & BEYOND	17,666.	17,666.
CHUBB CORP	10,629.	10,629.
DUKE ENERGY CORP	12,077.	12,077.
FEDEX CORP	23,722.	23,722.
METLIFE	10,784.	10,784.
OCCIDENTAL PETE CORP	12,838.	12,838.
PEPSICO	30,273.	30,273.
SECTOR SPDRTR SCTR TR ETF	8,884.	8,884.
SIMON PPTY GROUP	15,216.	15,216.
SYMANTEC CORP	9,904.	9,904.
UNITED HEALTH GROUP	17,319.	17,319.
SPDR S&P DIVIDEND ETF	47,203.	47,203.
VANGUARD SMALL CAP VAL	48,685.	48,685.
ISHARES CORE S&P MCP ETF	133,810.	133,810.
VANGUARD TAX MANAGED INTL FD	89,612.	89,612.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,652,749.	1,652,749.

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CISCO SYSTEMS 5.5%, DUE 2/22/16	22,003.	22,003.	
JP MORGAN CHASE & CO 5.15% DUE 10/1/15	10,688.	10,688.	
SBC COMMUNICATIONS 5.10% DUE 9/15/14	10,319.	10,319.	
OCCIDENTAL PETE CORP 4.125% DUE 6/1/16	21,513.	21,513.	
AFLAC INC 3.45% DUE 8/15/15	15,663.	15,663.	

PNC FUNDING CORP 3.625% DUE 2/08/15	20,689.	20,689.
US BANCORP 2.875% DUE 11/20/14	15,321.	15,321.
AT&T INC 3.00% DUE 2/15/2022	18,843.	18,843.
MORGAN STANLEY 5.75% DUE 1/25/2021	28,282.	28,282.
PEPSICO INC 3.00% DUE 8/25/2021	39,445.	39,445.
SIMON PPTY GROUP LP 2.80% DUE 1/30/2017	25,858.	25,858.
VERIZON COMMUNICATIONS INC 3.5% DUE 11/1/2021	39,712.	39,712.
WELLS FARGO 2.625% DUE 12/15/2016	26,182.	26,182.
AMERICAN EXPRESS 2.375 DUE 3/24/2017	35,976.	35,976.
BAXTER INTL 1.85% DUE 6/15/2018	34,562.	34,562.
BERKSHIRE HATHAWAY 3.0% DUE 5/15/2022	38,346.	38,346.
GENERAL ELECTRIC 3.1% DUE 1/09/2023	47,438.	47,438.
GOLDMAN SACHS GROUP 2.375% DUE 1/22/2018	15,057.	15,057.
GOLDMAN SACHS GROUP 5.375% DUE 3/15/2020	16,682.	16,682.
INTEL CORP 2.7% DUE 12/15/2022	36,872.	36,872.
JP MORGAN CHASE 3.25% DUE 9/23/2022	23,958.	23,958.
TOTAL TO FORM 990-PF, PART II, LINE 10C	543,409.	543,409.

FORM 990-PF      PART VIII - LIST OF OFFICERS, DIRECTORS      STATEMENT 11  
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LARRY BAUM PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
JAMES BROWN PO BOX 97 ITHACA, NY 14851	VICE PRESIDENT 1.00	0.	0.	0.
PATRICIA JOHNSON PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
ANNE C. DIGIACOMO PO BOX 97 ITHACA, NY 14851	SECRETARY/TREASURER 1.00	0.	0.	0.
GREGORY J. HARTZ PO BOX 97 ITHACA, NY 14851	PRESIDENT 1.00	0.	0.	0.
MARY KANE PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

SUSAN NOHELTY	DIRECTOR			
PO BOX 97	1.00	0.	0.	0.
ITHACA, NY 14851				
SEAN WHITTAKER	DIRECTOR			
PO BOX 97	1.00	0.	0.	0.
ITHACA, NY 14851				
ROBERT CREE	DIRECTOR			
PO BOX 97	1.00	0.	0.	0.
ITHACA, NY 14851				
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

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NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

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GREGORY HARTZ, PRESIDENT  
P.O. BOX 97  
ITHACA, NY 14851

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TELEPHONE NUMBER

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607-255-3759

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FORM AND CONTENT OF APPLICATIONS

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ALL REQUESTS SHOULD BE IN WRITING. REQUESTS SHOULD INDICATE A BRIEF  
DESCRIPTION OF THE ORGANIZATION REQUESTING THE FUNDS AND THE PURPOSE OF THE  
REQUEST AND A BUDGET.

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ANY SUBMISSION DEADLINES

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NONE

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RESTRICTIONS AND LIMITATIONS ON AWARDS

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THE FOUNDATION'S POLICY IS TO MAKE THE FUNDS AVAILABLE TO VOLUNTARY  
AGENCIES SERVING THE TOMPKINS COUNTY AREA.