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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation LOUIS GREENSPAN CHARITABLE TRUST		A Employer identification number 14-6185658	
Number and street (or P O box number if mail is not delivered to street address) 12 RAYMOND AVENUE		B Telephone number (see instructions) (845) 483-7745	
City or town, state or province, country, and ZIP or foreign postal code POUGHKEEPSIE, NY 12603		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 3,909,297		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	103,457	103,457		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	 1,109,204			
	b Gross sales price for all assets on line 6a 3,893,982				
	7 Capital gain net income (from Part IV, line 2)		989,322		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	1,212,661	1,092,779		
	13 Compensation of officers, directors, trustees, etc	13,576			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) 	 8,750	4,375		4,375
	c Other professional fees (attach schedule) 	 6,000	3,000		3,000
	17 Interest				
	18 Taxes (attach schedule) (see instructions) 	 5,406	2,548		
	19 Depreciation (attach schedule) and depletion 	 277			
	20 Occupancy	934	467		467
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) 	 28,922	26,894		2,031
	24 Total operating and administrative expenses. Add lines 13 through 23	63,865	37,284		9,873
	25 Contributions, gifts, grants paid	154,500			154,500
	26 Total expenses and disbursements. Add lines 24 and 25	218,365	37,284		164,373
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	994,296			
	b Net investment income (if negative, enter -0-)		1,055,495		
	c Adjusted net income (if negative, enter -0-)				

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	105,498	116,874	116,874
	2	Savings and temporary cash investments	366,180	184,706	184,706
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)		458,316	432,268
	b	Investments—corporate stock (attach schedule)	2,214,455 	2,511,244	2,789,678
	c	Investments—corporate bonds (attach schedule).		409,566	377,827
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ 7,944 Less accumulated depreciation (attach schedule) ▶ 7,529	692 	415	7,944
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,686,825	3,681,121	3,909,297
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	2,686,825	3,681,121	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	2,686,825	3,681,121	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,686,825	3,681,121	

Part III

Analysis of Changes in Net Assets or Fund Balances

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	989,322
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	204,827

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	182,332	3,516,846	0 051845
2011	161,066	3,402,297	0 047340
2010	122,918	3,110,896	0 039512
2009	217,310	2,946,964	0 073740
2008	213,777	3,870,968	0 055226

2	Total of line 1, column (d).	2	0 267663
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 053533
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	4,118,914
5	Multiply line 4 by line 3.	5	220,498
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	10,555
7	Add lines 5 and 6.	7	231,053
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	164,373

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	21,110
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2.	3	21,110
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	21,110
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,300
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	1,300
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	19,810
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of DANIEL F CURTIN Telephone no (845) 483-7745 Located at 12 RAYMOND AVE POUGHKEEPSIE NY ZIP+4 12603			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.		☒		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		☐ Yes	☐ No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes	☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b No		
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes	☒ No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DANIEL F CURTIN  BOX 5000 PMB480 RANCHO SANTA FE, CA 92067	TRUSTEE 10 00	6,788	0	0
GARY KOCH  4408 WEST SWANN TAMPA, FL 33609	TRUSTEE 10 00	6,788	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

NONE

Total number of others receiving over \$50,000 for professional services. 1

Part IX-A

1

2

3

4

Part IX-B

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A

2

All other program-related investments See page 24 of the instructions

3

Total. Add lines 1 through 3

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,324,773
b	Average of monthly cash balances.	1b	849,372
c	Fair market value of all other assets (see instructions).	1c	7,494
d	Total (add lines 1a, b, and c).	1d	4,181,639
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	4,181,639
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	62,725
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,118,914
6	Minimum investment return. Enter 5% of line 5.	6	205,946

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	205,946
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	21,110
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	21,110
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	184,836
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	184,836
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	184,836

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	164,373
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	164,373
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	164,373
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				184,836
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			152,830	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 164,373				
a Applied to 2012, but not more than line 2a			152,830	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				11,543
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				173,293
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

LOUIS GREENSPAN CHARITABLE TRUST
12 RAYMOND AVENUE
POUGHKEEPSIE, NY 12603
(845) 483-7745

b

The form in which applications should be submitted and information and materials they should include

WRITTEN APPLICATIONS WITH SUPPORTING MATERIALS EXPLAINING THE PURPOSE FOR THE REQUEST SHOULD BE SUBMITTED. THE FOUNDATION SUPPORTS ORGANIZATIONS THAT ARE TAX EXEMPT UNDER SECTION 503 (C) (3) OF THE INTERNAL REVENUE CODE

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	154,500
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

1a(1)

No

(2)

Other assets.

1a(2)

No

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

1b(1)

No

(2)

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

(3)

Rental of facilities, equipment, or other assets.

1b(3)

No

(4)

Reimbursement arrangements.

1b(4)

No

(5)

Loans or loan guarantees.

1b(5)

No

(6)

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

✓

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2014-05-12

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

✓

No

Paid Preparer Use Only

Print/Type preparer's name

LORILYN LAPOLLA-D'AVANZO

Preparer's Signature

Date

2014-05-12

Check if self-employed

✓

PTIN

P00599592

Firm's name

D'ARCANGELO & CO LLP

Firm's EIN

Firm's address

510 HAIGHT AVE POUGHKEEPSIE, NY 12603

Phone no

(845) 473-7774

Form 990-PF (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
74,049		76,177	-2,128
155,193		152,385	2,808
344,709		142,388	202,321
70,015		73,747	-3,732
1,972		1,972	
204,308		160,585	43,723
108,988		87,676	21,312
400,417		260,486	139,931
68,645		54,400	14,245
53,176		46,914	6,262
22,027		13,604	8,423
73,824		53,075	20,749
196,190		165,772	30,418
89,018		39,544	49,474
175,719		139,498	36,221
196		325	-129
602,294		312,491	289,803
112,742		103,547	9,195
85,099		70,997	14,102
114,253		91,641	22,612
43,792		36,890	6,902
114,219		89,635	24,584
77,519		54,807	22,712
119,295		127,766	-8,471
25,192		26,195	-1,003
59,053		62,791	-3,738
88,424		84,515	3,909
83,352		66,894	16,458
72,326		49,967	22,359

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,128
			2,808
			202,321
			-3,732
			43,723
			21,312
			139,931
			14,245
			6,262
			8,423
			20,749
			30,418
			49,474
			36,221
			-129
			289,803
			9,195
			14,102
			22,612
			6,902
			24,584
			22,712
			-8,471
			-1,003
			-3,738
			3,909
			16,458
			22,359

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MARIST COLLEGE 3399 NORTH ROAD POUGHKEEPSIE,NY 12601	NONE	N/A	GENERAL OPERATIONS	40,000
BARDAVON THEATER 35 MARKET STREET POUGHKEEPSIE,NY 12601	NONE	N/A	GENERAL OPERATIONS	20,000
DUTCHESS COMMUN COLLEGE FOUNDATION 53 PENDELL ROAD POUGHKEEPSIE,NY 12601	NONE	N/A	GENERAL OPERATIONS	5,000
CULINARY INSTITUTE OF AMERICA 433 ALBANY POST RD HYDE PARK,NY 12538	NONE	N/A	GENERAL OPERATIONS	10,000
ELEANOR ROOSEVELT CENTER 56 VAL-KILL PARK RD HYDE PARK,NY 12538	NONE	N/A	GENERAL OPERATIONS	5,000
BERKELEY SCHOOL 4811 KELLY ROAD TAMPA,FL 33615	NONE	N/A	GENERAL OPERATIONS	10,000
FOUNDATION FOR VASSAR BROS MEDICAL 45 READE PLACE POUGHKEEPSIE,NY 12601	NONE	N/A	GENERAL OPERATIONS	11,000
ULSTER COUNTY COMMUNITY FOUNDATION 491 COTTEKILL ROAD STONE RIDGE,NY 12484	NONE	N/A	GENERAL OPERATIONS	10,000
YMCA OF KINGSTON 507 BROADWAY KINGSTON,NY 12401	NONE	N/A	GENERAL OPERATIONS	10,000
ULSTER COMMUNITY COLLEGE 491 COTTEKILL ROAD STONE RIDGE,NY 12484	NONE	N/A	GENERAL OPERATIONS	10,000
DUTCHESS COUNTY SPCA 635 VIOLET AVENUE HYDE PARK,NY 12538	NONE	N/A	GENERAL OPERATIONS	12,500
COMMUNITY FOUNDATIONS OF THE H V 80 WASHINGTON STREET POUGHKEEPSIE,NY 12601	NONE	N/A	GENERAL OPERATIONS	9,500
DUTCHESS COUNTY SCIENCE FAIR PO BOX 3157 POUGHKEEPSIE,NY 12603	NONE	N/A	GENERAL OPERATIONS	1,500
Total  3a				154,500

TY 2013 Accounting Fees Schedule**Name:** LOUIS GREENSPAN CHARITABLE TRUST**EIN:** 14-6185658

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	8,750	4,375		4,375

TY 2013 Compensation Explanation**Name:** LOUIS GREENSPAN CHARITABLE TRUST**EIN:** 14-6185658

Person Name	Explanation
DANIEL F CURTIN	
GARY KOCH	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: LOUIS GREENSPAN CHARITABLE TRUST

EIN: 14-6185658

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
DEPRECIATION						277			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: LOUIS GREENSPAN CHARITABLE TRUST

EIN: 14-6185658

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
SEE ATTACHED		PURCHASE			250,303	138,094			112,209	

**TY 2013 Investments Corporate
Bonds Schedule**

Name: LOUIS GREENSPAN CHARITABLE TRUST
EIN: 14-6185658

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	409,566	377,827

TY 2013 Investments Corporate Stock Schedule

Name: LOUIS GREENSPAN CHARITABLE TRUST

EIN: 14-6185658

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	2,511,244	2,789,678

TY 2013 Land, Etc. Schedule

Name: LOUIS GREENSPAN CHARITABLE TRUST

EIN: 14-6185658

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
OFFICE FURNITURE & EQUIPMENT	7,944	7,529	415	7,944

TY 2013 Other Expenses Schedule**Name:** LOUIS GREENSPAN CHARITABLE TRUST**EIN:** 14-6185658

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT FEES	26,894	26,894		
DUES & FEES	725			725
OFFICE EXPENSES	1,231			1,234
BANK CHARGES	72			72

TY 2013 Other Professional Fees Schedule

Name: LOUIS GREENSPAN CHARITABLE TRUST

EIN: 14-6185658

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMINISTRATIVE FEES	6,000	3,000		3,000

TY 2013 Taxes Schedule

Name: LOUIS GREENSPAN CHARITABLE TRUST

EIN: 14-6185658

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES WITHHELD	2,548	2,548		
NYS DEPT OF LAW ANNUAL FEE	250			
FEDERAL INCOME TAXES	2,608			

LOUIS GREENSPAN TRUST

SECURITIES SCHEDULE

990PF ATTACHMENT

YEAR 2013

DATE	BEGINNING INVENTORY	PURCHASES	SALES	DATE	GAIN	ENDING INVENTORY	INCOME				
ACQUIRED	DESCRIPTION	# SHARES	COST	# SHARES	PRICE	SOLD	(LOSS)	# SHARES	COST	MKT VALUE	RECEIVED
<u>BOND ACCOUNT</u>											
<u>GOVERNMENT</u>											
04/22/13	FHLMC GOLD POOL 3.0% 4/1/43	19000	19,713.70	551.96	various			19,000	19,161.74	17,500.63	
04/25/13	FHLMC GOLD POOL 2.0% 4/1/28	16000	16,365.00	793.74	various		-	16,000	15,571.26	14,591.61	
05/15/13	FHLMC GOLD POOL 5.0% 11/1/38	169000	30,133.05	126,000	24,202.04	various	(348.49)	43,000	5,582.52	5,474.66	
12/10/13	FHLMC GOLD POOL 2.5% 9/1/28	15000	14,739.98					15,000	14,739.98	14,595.69	
04/22/13	FNMA POOL 4.5% 10/1/40	37000	22,651.73	3,096.83	various		0.00	37,000	19,564.90	19,068.27	
04/29/13	FNMA POOL 3.0% 5/1/43	1000	1,046.56	25.93	various		-	1,000	1,019.63	924.47	
04/29/13	FNMA POOL 4.0% 9/1/41	55000	43,873.63	6,428.93	various		(0.00)	55,000	37,444.70	35,589.09	
04/29/13	FNMA POOL 3.5% 12/1/42	27000	27,885.55	955.45	various		(0.00)	27,000	26,930.10	25,022.97	
05/09/13	FNMA POOL 3.5% 12/1/42	3000	3,163.73	195.25	various		-	3,000	2,967.48	2,752.91	
07/11/13	FNMA POOL 4.0% 1/1/43	1000	959.14	43.89	various		-	1,000	915.25	910.71	
07/11/13	FNMA POOL 3.5% 9/1/42	1000	970.92	34.09	various		-	1,000	936.83	931.19	
09/27/13	FNMA POOL 6.0% 5/1/36	1000	151.20	11.90	various		-	1,000	139.30	139.21	
11/08/13	FNMA POOL 4.0% 8/1/43	2000	2,088.75	2.99	12/26/13		-	2,000	2,085.76	2,046.12	
06/21/13	FEDERATED FARM CREDIT BANK 12/28/15	24,000	24,000.00					24,000	24,000.00	24,009.60	
04/23/13	US TREASURY NOTE 2.0% 2/15/23	40000	40,579.84	19,000	17,884.61	various	(1,631.96)	21,000	21,063.37	19,439.70	
04/23/13	US TREASURY NOTE 1.125% 12/31/19	30000	30,029.45					30,000	30,029.45	28,312.50	
04/23/13	US TREASURY NOTE 0.75% 10/31/17	55000	55,090.66	7,000	6,875.95	07/16/13	(164.79)	48,000	48,049.92	47,114.88	
04/23/13	US TREASURY INFLATION INDEX NOTE 0.125% 7/15/22	73000	79,928.27	7,000	6,889.34	08/15/13	(819.02)	66,000	72,220.91	64,116.89	
10/23/13	US TREASURY INFLATION INDEX BOND 2.375% 1/15/25	6000	8,820.55					6,000	8,820.55	8,534.59	
04/23/13	US TREASURY NOTE 1.375% 2/25/19	20000	20,573.44	20,000	19,804.68	08/09/13	(768.75)	-	-	-	
04/23/13	US TREASURY NOTE 1.75% 5/15/22	20000	20,085.00					20,000	20,085.00	18,457.80	
04/23/13	US TREASURY NOTE 2.125% 8/15/21	38000	38,813.13	14,000	13,921.25	07/16/13	(878.28)	24,000	24,013.60	23,212.56	
04/23/13	US TREASURY NOTE 3.625% 2/15/20	28000	32,746.88	14,000	15,810.68	06/27/13	(658.09)	14,000	16,278.11	15,225.00	
04/23/13	US TREASURY NOTE 3.125% 10/31/16	12000	13,132.97	12,000	12,918.75	07/16/13	(214.22)	-	-	-	
05/07/13	US TREASURY NOTE 2.0% 11/15/21	22000	22,679.85					22,000	22,679.85	20,983.58	
08/27/13	US TREASURY NOTE 1.75% 5/15/23	20000	18,650.62					20,000	18,650.62	17,989.00	
12/05/13	US TREASURY NOTE 3.125% 10/31/16	6000	5,365.83					5,000	5,365.83	5,334.40	
TOTAL - GOVERNMENT		-	594,250.33					458,316.46	432,268.13		
<u>CORPORATE</u>											
04/12/13	AEGON NV 7.25% 12/15/12	41	1,041.48	30	766.24	various	4.19	11	279.43	279.07	
04/12/13	AEGON NV 8.0% 2/15/42	118	3,403.62	53	1,444.58	various	(84.17)	65	1,874.87	1,832.35	
04/12/13	AEGON NV 6.375% 6/15/15	250	6,567.73	113	2,773.67	various	(194.94)	137	3,599.12	3,207.17	
04/12/13	AEGON NV FLOATING RATE 12/15/10	144	3,591.86	80	1,768.71	VARIOUS	(225.77)	64	1,596.38	1,269.52	
04/12/13	AFFILIATED MGR 6.375% GROUP INC 8/15/42	26	691.86	12	284.72	various	(34.80)	14	372.54	318.50	
04/12/13	AFLAC INC 5.5% 9/15/52	33	867.28	15	344.40	various	(49.82)	18	473.06	381.95	
04/12/13	ALEXANDRIA REAL 6.45% 3/15/17	32	851.17	15	342.60	various	(56.39)	17	452.18	360.40	
04/12/13	ALLSTATE 5.1% 1/15/53	19	503.12	8	195.18	various	(16.66)	11	291.28	285.21	
05/13/13	ALLSTATE 7.45% 5/16/19	9000	11,777.85					9,000	11,777.85	11,182.23	
10/04/13	ALLSTATE CORP 6.75% 10/15/18	28	700.71	10	251.70	11/21/13	1.45	18	450.46	481.70	
08/04/13	AMERICAN EXPRESS CO	9000	11,966.94					9,000	11,966.94	11,551.50	
12/02/13	AMERICAN EXPRESS 7.0% 3/19/18	2000	2,414.82					2,000	2,414.82	2,390.08	
04/12/13	AMERICAN FINL 6.375% 6/12/42	32	881.12	14	347.63	various	(29.11)	18	484.38	426.24	
04/12/13	AMERICAN FIN GRP 5.75% 8/25/17	20	529.58	9	201.84	various	(36.48)	11	291.26	234.74	
04/12/13	AMERIPRISE FINANCIAL INC 6/15/39	53	2,545.78	42	1,096.48	various	(53.23)	51	1,396.07	1,308.15	
04/12/13	ARCH CAP GRP 6.75% 4/2/17	93	2,549.04	42	1,032.19	various	(118.99)	51	1,397.85	1,169.43	
04/12/13	ASPEN HLDGS LTD 7.25% 7/1/17	50	1,360.00	23	584.29	various	(41.31)	27	734.40	661.23	
04/17/13	AT&T INC 2.5% 9/15/15	12000	12,470.04					12,000	12,470.04	12,322.80	
04/12/13	AXIS CAP HLDG 6.875% 4/15/17	112	3,058.33	51	1,259.13	various	(133.50)	61	1,665.70	1,438.38	
05/29/13	AXIS CAPITAL 5.5% 6/1/18	42	1,035.48	19	376.67	various	(91.76)	23	567.05	427.55	

04/12/13	BB&T CORP 5 85% 5/1/17	68	1 771 69	31	691 84	various	(127 41)	37	952 44	794 76
04/12/13	BB&T CORP 5 625% 8/1/17	183	4 604 50	82	1 737 99	various	(351 84)	101	2 514 67	2 055 35
05/15/13	BB&T CORP SER G 5 2% 6/1/18	119	2 904 40	40	821 13	various	(168 63)	79	1 914 64	1 485 20
07/22/13	BB&T CORP 5 2% 11/1/17	32	742 15	12	225 96	11/21/13	(52 35)	20	463 84	375 00
04/12/13	BANK AMERICA CORP 6 204% 9/15/11	109	2 754 21	49	1 205 53	various	(32 60)	60	1 516 08	1 445 40
04/12/13	BANK OF AMERICA CORP SUPER REGIONAL	78	2 156 31	35	889 86	various	(78 72)	43	1 185 73	1 078 01
04/12/13	BANK OF AMERICA CORP 6 375% 11/28/10	57	1 447 17	26	622 82	various	(37 29)	31	787 06	720 75
04/12/13	BANK NEW YORK 5 2% 9/20/17	243	5 002 92	106	2 259 40	various	(423 80)	137	3 319 72	2 842 75
04/12/13	BARCLAY BANK PLC 7 75% 3/15/13	74	1 891 37	48	1 233 97	various	7 14	26	664 54	658 58
04/12/13	BARCLAYS BK PLC 7 10% 12/15/12	189	4 802 11	85	2 141 51	various	(18 17)	104	2 642 43	2 817 88
04/12/13	BARCLAYS BK PLC 6 625% 9/15/11	126	3 256 32	58	1 425 70	various	(49 82)	70	1 780 80	1 689 80
12/02/13	BRAZOS 10 1 A1 STUDENT LOAN FIN CORP 6/25/35	5000	3 105 78		59 94	12/28/13	-	5 000	3 046 84	3 031 15
04/12/13	CAPITAL ONE FINL 6 0% 9/1/17	254	6 407 31	113	2 499 56	various	(374 49)	141	3 533 26	3 055 34
04/26/13	CENTERPOINT ENERGY TRANS BOND 2 16% 10/15/21	11000	11 531 09					11 000	11 531 09	10 952 04
04/12/13	CHARLES SCHWAB 6 0% 9/1/17	97	2 583 77	44	1 036 30	various	(149 66)	53	1 407 81	1 165 47
10/02/13	CITIGROUP 7 125% 9/30/23	54	1 385 44	19	492 09	11/21/13	8 93	35	902 28	907 90
04/12/13	CITIGROUP CAP IX 6 0% 2/14/33	162	4 113 08	100	2 501 54	various	(37 40)	82	1 574 14	1 556 20
04/12/13	CITIGROUP CAPITAL X 6 1% 9/30/33	45	1 137 56	45	1 125 48	various	(12 08)	-		
04/12/13	CITIGROUP CAPITAL XI 6 0% 9/27/34	115	2 507 20	67	1 680 95	various	(12 81)	48	1 213 44	1 194 24
04/12/13	CITIGROUP CAPITAL TR XIII 7 875% 10/30/40	162	4 633 35	78	2 138 38	various	(92 49)	84	2 402 48	2 289 00
04/12/13	CITIGROUP INC 5 8% 4/22/18	191	4 722 82	85	1 832 72	various	(314 52)	106	2 575 58	2 251 44
04/12/13	CITIGROUP CAP XVI 6 45% 12/31/66	37	934 25	37	936 68	04/25/13	2 43	-	-	-
04/12/13	CITIGROUP CAP XVII 6 35% 3/15/67	74	1 874 50	48	1 199 12	various	(16 23)	26	659 15	651 30
10/04/13	COMCAST CORP 5 0% 12/15/61	20	428 73	7	150 01	11/21/13	(0 05)	13	278 67	268 32
04/12/13	COUNTRYWIDE CP 6 75% 4/1/33	80	2 028 00	36	902 48	various	(10 12)	44	1 115 40	1 095 16
04/12/13	DEUTSCHE BANK 7 6% CONTINGENT CAP III 2/20/18	126	3 528 63	98	2 558 63	various	(185 87)	28	784 13	726 88
04/12/13	DEUTSCHE BANK 5 05% CONT CAP TR V	42	1 218 00	42	1 143 99	various	(74 01)	-		-
04/12/13	DEUTSCHE BANK 6 375% CAP VIII 10/18/11	80	2 035 80	64	1 608 17	various	(21 27)	16	407 36	387 84
04/12/13	DIGITAL REALTY 7% 9/15/16	29	780 68	13	310 02	various	(39 94)	16	430 72	347 36
04/12/13	DIGITAL REALTY 6 625% 4/5/17	31	826 15	14	314 96	various	(58 14)	17	453 05	349 86
05/16/13	DIGITAL REALTY 5 875% 4/9/18	35	875 15	16	324 20	07/16/13	(75 82)	19	475 13	344 85
04/26/13	DISCOVER CARD CARD MASTER TRUST 5 85% 3/16/20	13000	15 458 28					13 000	15 458 28	14 907 49
04/12/13	DOMINION RES INC 8 375% 6/15/64	80	2 147 76	36	943 66	various	(22 91)	44	1 181 19	1 132 56
04/12/13	DB CONT CAP TR 6 55% 5/23/17	311	8 367 46	141	3 497 92	various	(295 69)	170	4 573 85	4 233 00
04/12/13	DTE ENERGY 6 5% 12/1/61	28	768 88	12	292 92	various	(36 60)	16	439 36	386 88
04/12/13	DTE ENERGY CO 5 25% 12/1/62	20	514 98	9	190 71	VARIOUS	(41 04)	11	283 23	211 97
04/12/13	DUKE ENERGY 5 125% 1/15/73	61	1 556 72	27	584 80	various	(104 24)	34	867 68	702 10
04/12/13	ENTERGY ARKANSAS 5 75% 11/1/40	34	904 91	15	354 45	various	(34 83)	19	505 63	444 22
04/12/13	ENTERGY LOUISIANA 6 0% 3/15/40	26	697 84	12	295 08	various	(27 00)	14	375 76	337 26
04/12/13	ENTERGY LOUISIANA 5 875% 6/15/41	17	464 78	8	193 40	various	(25 32)	9	246 06	217 08
04/12/13	ENTERGY MISSISSIPPI 6 0% 5/1/51	36	967 16	17	410 74	various	(46 02)	19	510 40	453 34
04/12/13	ENTERGY TEXAS 7 875% 6/1/39	23	622 15	11	287 74	various	(9 81)	12	324 80	308 64
04/12/13	EVEREST RE CAP TRUST 6 2% 3/29/34	169	4 257 11	169	4 225 00	05/24/13	(32 11)		-	
04/12/13	FIRST NIAGARA 8 625% 2/15/17	62	1 829 62	28	788 19	various	(28 09)	34	1 003 34	947 24
12/04/13	FLORIDA POWER & LIGHT 5 55% 11/1/17	11 000	12 703 90					11 000	12 703 90	12 482 69
04/12/13	GE CAP CORP 4 875% 10/15/52	154	3 936 24	70	1 505 49	various	(283 71)	84	2 147 04	1 715 28
04/12/13	GE CAP CORP 4 875% 1/29/53	91	2 246 65	38	808 30	various	(166 02)	53	1 272 33	1 074 84
05/21/13	GE CAP CORP 4 7% 5/16/53	154	3 785 96	67	1 352 00	various	(314 95)	87	2 099 01	1 689 54
04/12/13	GOLDMAN SACHS 6 125% 11/1/60	207	5 544 91	94	2 351 60	various	(166 57)	113	3 026 74	2 752 68
04/12/13	GOLDMAN SACHS GROUP PREFERRED FLOATING RATE	18	421 92	8	160 60	various	(26 82)	10	234 40	183 90
04/12/13	GOLDMAN SACHS FLOATING RATE 4/25/10	59	1 384 02	26	498 95	various	(110 99)	33	774 08	595 65
04/12/13	GOLDMAN SACHS 5 5% 11/1/61	209	5 799 73	94	2 401 02	various	(207 48)	115	3 191 23	2 901 45
04/12/13	GOLDMAN SACHS GROUP INC 6 95% 11/10/17	93	2 377 08	57	1 297 98	various	(158 94)	36	920 16	770 04
04/12/13	HARTFORD FINL 7 875% 4/15/42	60	1 829 46	27	775 86	various	(47 38)	33	1 006 22	945 78
04/12/13	HEALTH CARE REIT 6 5%	62	1 685 78	28	675 30	various	(85 02)	34	924 46	773 50
04/12/13	HOSPITALITY TRUST 7 125% 1/15/17	84	2 278 06	38	947 76	various	(82 80)	46	1 247 52	1 063 98
04/12/13	HSBC HOLDINGS PLC 6 2% 12/16/10	135	3 424 55	76	1 901 09	various	(26 91)	59	1 496 55	1 457 30
04/12/13	HSBC HOLDINGS 8 0% 12/15/15	419	11 881 03	185	5 074 15	various	(253 77)	231	6 553 11	6 223 14
04/12/13	ING GROEP NV 6 375% 6/12/42	130	3 262 87	58	1 392 81	various	(62 93)	72	1 807 13	1 705 40
04/12/13	ING GROUP 5 2% 1/15/09	64	1 594 11	29	695 21	various	(27 12)	35	871 78	820 75
04/12/13	ING GROUP NV 7 05% 9/15/07	183	4 642 16	125	3 167 75	VARIOUS	(3 12)	58	1 471 29	1 461 02
04/12/13	ING GROUP NV 7 20% 12/15/07	142	3 601 84	76	1 929 75	various	1 00	86	1 674 09	1 676 40
04/12/13	ING GROUP NV 6 125% 1/15/11	92	2 287 12	42	990 14	various	(53 98)	50	1 243 00	1 157 50

08/20/13	INTEGRITY ENERGY 6.0% 8/1/23	284	6,822.25	95	2,237.31	11/21/13	(25.39)	189	4,559.55	4,526.55
04/12/13	JP MORGAN CHASE 5.5% 9/1/17	275	6,922.77	123	2,665.40	various	(469.74)	152	3,787.63	3,126.54
04/12/13	JP MORGAN CHASE 5.45% 3/1/18	167	4,200.07	60	1,303.38	various	(211.82)	107	2,686.07	2,169.95
04/12/13	JPM TRUST XXI 6.7% 4/2/40	20	523.40	20	514.12	various	(9.28)			-
06/04/13	KIMBERLY-CLARK 6.125% 8/1/17	10000	11,918.40					10,000	11,918.40	11,574.60
04/12/13	KIMCO REALTY 6% 3/20/17	94	2,492.88	43	962.46	various	(187.90)	51	1,352.52	1,064.37
04/12/13	KIMCO REALTY 6.9% 8/30/15	67	1,777.51	30	743.90	various	(52.00)	37	981.61	881.34
04/12/13	KIMCO REALTY 5.5% 7/25/17	33	840.18	15	309.92	various	(71.98)	18	458.28	350.10
04/12/13	KIMCO REALTY 5.625% 12/7/17	34	866.97	16	339.91	various	(68.08)	18	458.98	353.70
04/12/13	LLOYD BKG GRP 7.75% 7/15/50	329	9,119.88	149	4,004.11	various	(126.17)	180	4,989.60	-
04/12/13	MERRILL LYNCH CAP TR 6.45% 12/15/66	149	3,761.97	67	1,666.45	various	(25.17)	82	2,070.35	2,025.84
04/12/13	MERRILL LYNCH CAP TR II 6.45% 6/15/62	130	3,280.43	58	1,442.36	various	(20.97)	72	1,817.10	1,771.20
04/24/13	METLIFE INC 5.0% 6/15/16	13000	14,107.17					13,000	14,107.17	13,833.17
04/12/13	MORGAN STANLEY TR CAP IV 6.25% 4/1/33	57	1,439.14	26	630.67	various	(25.78)	31	782.69	734.08
04/12/13	MORGAN STANLEY CAP TR V 5.75% 7/15/33	59	1,479.07	27	830.94	various	(46.92)	32	802.21	715.84
04/12/13	MORGAN STANLEY TR CAP VI 6.60% 2/1/46	58	1,462.08	26	642.20	various	(13.24)	32	806.62	765.60
04/12/13	MORGAN STANLEY CAP TRUST VIII 4/15/67	54	1,363.28	24	584.81	various	(21.16)	30	757.32	714.60
04/12/13	MORGAN STANLEY TR CAP III 6.25% 3/1/33	129	3,282.15	58	1,401.87	various	(84.84)	71	1,795.44	1,703.29
06/10/13	MORGAN STANLEY CAP VII 6.6% 10/15/66	51	1,289.25	23	567.51	various	(13.93)	28	707.82	660.40
04/12/13	MORGAN STANLEY PFD SER A	72	1,679.62	32	633.89	various	(112.61)	40	933.12	752.00
10/04/13	MORGAN STANLEY 7.125% 10/15/23	54	1,378.23	19	492.85	11/21/13	10.82	35	896.20	913.50
06/19/13	NATIONAL RURAL UTIL COOP FIN CORP 1.0% 2/2/15	12,000	12,085.32	9,000	8,041.12	07/16/13	(15.76)	4,000	4,028.44	4,019.52
04/12/13	NATIONAL RETAIL 6.625% 2/23/17	80	2,153.44	37	868.74	various	(127.23)	43	1,157.47	952.45
04/12/13	NEXTERA ENERGY 5.7% 3/1/72	31	821.81	14	306.68	various	(64.46)	17	450.67	355.30
04/12/13	NEXTERA ENERGY 5.625% 6/15/72	32	834.56	15	323.45	various	(67.75)	17	443.36	342.72
04/12/13	NEXTERA ENERGY 5.125% 11/15/72	68	1,707.89	18	332.63	various	(119.46)	50	1,255.80	925.00
04/12/13	NEXTERA ENERGY 5.0% 1/15/73	35	863.10	9	163.98	11/21/13	(57.96)	26	641.16	474.76
04/12/13	PNC FINANCIAL 6.125% 5/1/22	355	9,770.19	159	4,065.65	various	(324.69)	196	5,379.85	4,949.00
04/24/13	PNC FUNDING CORP 4.25% 9/2/1/16	11000	11,919.49					11,000	11,919.49	11,676.17
04/12/13	PPL CAPITAL FDG 5.9% 4/30/73	34	863.84	15	331.30	various	(49.81)	19	482.73	394.63
04/29/13	PRINCIPAL LIFE INC 5.1% 4/15/14	12000	12,549.24	12000	12,358.92	07/16/13	(190.32)	-	-	-
04/12/13	PS BUSINESS PK 6.45% 1/18/17	59	1,565.86	27	622.89	various	(93.69)	32	849.28	699.20
04/12/13	PS BUS PARKS 6.0% 5/14/17	95	2,469.05	43	939.07	various	(178.50)	52	1,351.48	1,050.40
04/12/13	PS BUS PARKS 5.75% 9/14/17	34	855.13	15	314.50	various	(62.76)	19	477.87	364.99
04/12/13	PS BUS PARKS 5.7% 3/14/18	21	525.63	10	207.15	various	(43.15)	11	275.33	211.42
04/12/13	PARTNERRE LTD 5.875% 3/1/18	51	1,536.53	20	421.65	various	(82.13)	41	1,032.75	829.02
04/12/13	PARTNERRE LTD 7.25% 6/1/16	44	1,223.20	20	516.25	various	(39.75)	24	667.20	607.20
08/20/13	PARTNER RE SER D 6.5% 1/15/09	127	3,021.82	45	1,057.03	11/21/13	(7.77)	82	1,957.02	1,857.30
05/10/13	PECO ENERGY CO 5.35% 3/1/18	10000	11,917.90					10,000	11,917.90	11,359.20
12/09/13	PRINCIPAL LIFE INC 5.55% 4/27/15	13000	13,904.28					13,000	13,904.28	13,860.47
04/12/13	PROTECTIVE LIFE 6.25% 5/15/42	56	1,471.12	25	581.76	various	(74.99)	31	814.37	672.70
05/08/13	PRUDENTIAL FIN 5.7% 3/15/53	34	880.26	15	334.94	various	(53.41)	19	491.91	395.96
04/12/13	PRUDENTIAL FINANCIAL 5.75% 12/15/52	68	1,744.20	38	903.84	various	(70.86)	30	769.50	633.60
10/25/13	PRUDENTIAL PLC 6.50% 9/23/10	22	551.74	8	200.24	11/21/13	(0.17)	14	351.33	344.64
04/12/13	PUBLIC STORAGE 5.75% 3/13/17	106	2,740.10	48	1,064.35	various	(176.41)	58	1,499.30	1,223.80
04/12/13	PUBLIC STORAGE 5.9% 1/12/17	87	2,294.44	39	989.24	VARIOUS	(39.30)	48	1,265.90	1,036.80
04/12/13	PUBLIC STORAGE 5.375% 9/20/17	33	838.51	15	318.90	various	(62.24)	18	457.37	351.64
04/12/13	PUBLIC STORAGE 5.2% 1/16/18	34	854.76	15	307.58	various	(69.54)	19	477.66	358.15
04/12/13	PUBLIC STORAGE 5.2% 3/13/18	35	879.55	16	387.43	VARIOUS	46.81	19	538.73	358.53
04/12/13	PUBLIC STORAGE 6.875% 4/15/15	18	437.12	7	178.46	various	(12.78)	9	245.88	225.32
04/12/13	PUBLIC STORAGE 6.625% 6/15/17	32	827.20	14	305.98	various	(55.92)	18	465.30	363.78
04/12/13	PUBLIC STORAGE 6.5% 4/14/16	58	1,588.62	26	649.49	various	(62.65)	32	876.48	747.84
04/12/13	PUBLIC STORAGE 6.35% 7/26/16	63	1,700.69	28	679.84	various	(76.03)	35	944.82	817.25
04/12/13	QWEST CORP 7.375% 6/1/51	95	2,544.58	43	1,075.69	various	(76.07)	52	1,392.82	1,202.76
04/12/13	QWEST CORP 7.0% 7/1/52	30	800.16	13	317.52	various	(29.22)	17	453.42	371.79
04/12/13	QWEST CORP 7.5% 9/15/51	102	2,753.99	46	1,159.68	various	(82.32)	56	1,511.99	1,312.08
04/12/13	QWEST CORP 7.0% 4/1/17	63	1,675.17	29	709.08	various	(62.03)	34	904.06	745.96
04/12/13	RAYMOND JAMES FINCL 6.9% 3/15/42	35	987.13	16	409.35	various	(29.37)	20	548.41	500.00
04/12/13	REALTY INCOME 6.625% 2/15/17	91	2,484.76	41	1,011.84	various	(107.67)	50	1,365.26	1,196.50
04/12/13	REGENCY CENTERS CORP 6.625% 2/16/17	60	1,612.18	27	634.44	various	(91.04)	33	886.70	716.10
04/12/13	REGENCY CENTERS 6.0% 8/23/17	20	510.00	9	195.31	various	(34.19)	11	280.50	219.76
04/12/13	RENAISSANCE RE HLDGS 6.60% 12/15/11	74	1,878.71	74	1,850.00	06/27/13	(28.71)			-
04/12/13	RENAISSANCE RE 6.08% 3/23/09	36	905.45	22	535.96	various	(20.00)	14	349.49	303.94

06/05/13	RENAISSANCE SERIES E 5.375% 6/1/18	87	1,990.08	37	738.25	various	(133.92)	50	1,117.91	923.00	
04/12/13	ROYAL BK SCOTLAND 6.35% 5/30/10	35	772.80	16	339.67	various	(13.61)	19	419.52	388.74	
04/12/13	ROYAL BK SCOTLAND 5.75% 9/30/09	282	8,629.69	127	2,547.57	various	(438.14)	165	3,643.98	3,027.16	
04/12/13	ROYAL BK SCOTLAND 6.60% 8/30/12	83	1,921.45	38	838.39	various	(41.31)	45	1,041.75	967.95	
04/12/13	ROYAL BK SCOTLAND 6.4% 9/30/09	88	1,954.36	39	831.86	various	(34.27)	49	1,088.23	1,024.10	
04/12/13	ROYAL BK SCOTLAND 6.75% 6/30/11	28	652.30	13	291.19	various	(11.67)	15	349.44	325.05	
04/12/13	SCANA CORP 7.7% 1/30/65	13	357.89	6	167.14	11/21/13	(8.04)	7	182.71	183.61	
04/12/13	SENIOR HOUSING 5.625% 8/1/42	66	1,715.64	30	617.00	various	(139.90)	38	958.74	770.26	
04/29/13	SLM STUDENT 0.0% 4/25/23	15,000	10,432.38		1,912.35	07/25/13	-	15,000	8,520.03	8,529.38	
05/06/13	SOUTH CAROLINA ELEC & GAS 5.250% 11/1/18	10,000	12,003.40					10,000	12,003.40	11,421.30	
04/12/13	STANLEY BLACK & DICK 5.75% 7/25/52	65	1,717.30	29	664.44	various	(101.74)	36	951.12	769.68	
04/12/13	STATE STREET CORP 5.25% 9/15/17	117	2,879.23	52	1,155.70	various	(149.37)	65	1,574.16	1,345.50	
06/19/13	SUNTRUST BANKS 6.0% 9/11/17	10,000	11,626.60					10,000	11,626.60	11,364.70	
05/09/13	TARGET CORP 6.0% 1/15/18	10,000	12,193.50					10,000	12,193.50	11,587.70	
04/12/13	TCF FINANCIAL CORP 7.5% 5/25/17	66	1,743.49	28	704.26	various	(50.34)	38	988.85	937.39	
04/12/13	TELEPHONE DATA 6.875% 11/15/59	34	887.33	15	359.30	various	(32.17)	19	495.86	430.16	
04/12/13	TELEPHONE DATA SYS 7.0% 3/15/60	58	1,532.49	26	649.67	various	(37.31)	32	845.51	739.20	
04/12/13	TORCHMARK CORP 5.875% 12/15/52	20	529.00	9	204.81	various	(33.24)	11	290.95	238.59	
04/12/13	UBS PPEF FUNDING TR IV 6/15/08	153	2,446.47	70	1,084.40	various	(54.50)	83	1,327.17	1,253.30	
05/13/13	UNITED TECHNOLOGIES CORP 1.2% 8/1/15	11,000	11,169.18	11,000	11,184.89	05/22/13	15.51	-	-	-	
04/12/13	US BANCORP FLT 4/15/11	28	670.60	12	238.14	various	(49.26)	16	383.20	311.04	
04/12/13	US BANCORP	95	2,779.63	43	1,161.38	various	(117.16)	52	1,501.09	1,367.60	
04/12/13	US BANCORP 6.0% 4/15/17	43	1,200.13	19	516.96	various	(13.33)	24	669.84	656.88	
05/07/13	US BANCORP 5.15% 7/15/18	56	1,412.18	18	383.43	various	(70.49)	38	958.27	758.66	
05/02/13	US BANCORP 3.442% 2/1/16	13,000	13,819.79					13,000	13,819.79	13,562.90	
04/12/13	US CELLULAR 6.95% 5/15/60	29	771.98	13	321.04	various	(25.02)	16	425.92	362.40	
04/12/13	VENTAS REALTY LP 5.45% 3/15/43	34	864.28	15	328.14	various	(53.16)	19	482.98	405.46	
04/12/13	VORNADO REALTY 6.875% 4/20/16	40	1,107.12	18	451.92	various	(46.28)	22	608.92	529.32	
04/12/13	VORNADO REALTY 5.4% 1/25/18	35	870.10	11	212.97	11/21/13	(60.49)	24	595.64	450.00	
04/12/13	VORNADO REALTY 7.875% 10/1/39	207	5,650.89	104	2,730.55	various	(108.44)	103	2,811.70	2,876.97	
04/12/13	VORNADO REALTY 5.7% 7/18/17	46	1,188.64	21	450.30	various	(82.34)	25	646.00	494.00	
04/17/13	WALT DISNEY COMPANY 5.625% 9/15/16	10,000	11,640.90					10,000	11,640.90	11,292.90	
12/11/13	WALT DISNEY COMPANY 1.35% 8/16/16	2,000	2,038.20					2,000	2,038.20	2,029.06	
04/12/13	WEINGARTEN RLTY 6.5% 1/30/12	78	1,964.42	55	1,360.43	various	(25.57)	23	578.42	521.87	
04/12/13	WEINGARTEN REALTY 8.1% 9/15/19	16	366.72	7	149.10	11/21/13	(11.34)	9	206.28	186.93	
04/12/13	WELLS FARGO & CO 8% 12/15/17	15	451.35	7	200.98	various	(9.65)	8	240.72	223.68	
06/12/13	WELLS FARGO & CO 5.25% 8/15/18	99	2,312.90	83	2,007.30	various	146.01	16	451.61	322.08	
07/03/13	WELLS FARGO & CO 5.2% 9/15/17	64	1,496.53	28	594.09	various	(63.91)	36	838.52	722.88	
07/15/13	WELLS FARGO & CO 5.125% 12/15/17	29	669.90	13	276.70	various	(23.60)	16	369.60	324.80	
07/23/13	WELLS FARGO 5.85% 9/15/23	137	3,339.09	38	908.94	11/21/13	(25.61)	99	2,404.54	2,333.43	
04/12/13	WR BERKLEY CORP 6.75% 7/26/45	182	4,137.25	182	4,050.00	05/28/13	(87.25)				
05/16/13	WR BERKLEY CORP 5.625 4/30/53	55	1,394.86	16	315.53	11/21/13	(90.25)	39	989.08	803.79	
04/12/13	XCEL ENERGY INC 7.8% 1/1/68	79	2,013.39	79	1,975.00	05/31/13	(38.39)	-	-	-	
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TOTAL - CORPORATE

622,264.97

409,566.24 377,827.31 11,390.62

STOCK A/C

04/12/13	AAC TECHNOLOGIES HLDGS	14	684.00					14	684.00	685.44	
04/12/13	ABAXIS INC	202	9,367.36					202	9,367.36	8,082.42	
04/12/13	ABS LTD	327	7,406.75	47	1,057.57	08/26/13	(8.27)	280	6,340.91	7,436.80	
VARIOUS	ABBOTT LABORATORIES	500	27,758.00	82	(10,846.93)	04/12/13	3,802.05	176	5,641.32	6,746.08	5
VARIOUS	ABBVIE	-	-	559	16,533.52	04/12/13	6,433.56	140	4,902.92	7,393.40	5
02/25/03	ABERDEEN ASIA PACIFIC INC	10,000	55,533.00		10,000	76,798.28	04/11/13	17,265.28	-	-	
05/18/12	ACCESS NATIONAL CORP	1,000	12,720.00		1,000	12,280.76	04/12/13	(439.24)	-	-	
11/18/13	ACE LIMITED	45	4,345.53					45	4,345.53	4,658.85	
04/12/13	ADECCO SA	56	1,670.88					56	1,670.88	2,230.48	
04/12/13	ADIDAS AG	66	3,404.42	30	1,731.63	10/29/13	198.93	36	1,871.72	2,312.28	
04/12/13	ADVANCE AUTO PARTS	98	8,122.64					98	8,122.64	10,846.64	
04/12/13	ADVISORY BOARD CO	50	2,659.73	17	1,147.34	10/17/13	250.56	33	1,762.86	2,101.11	
04/12/13	AFLAC INC	69	3,891.74					69	3,891.74	4,609.20	
04/12/13	AIR LIQUIDE	74	1,817.40					74	1,817.40	2,059.38	
04/12/13	AIR PRODUCTS & CHEMICALS INC	43	3,759.66					43	3,759.66	4,806.64	

04/18/13	ALLIANZ GLOBAL INV SERIES C			12,855	173 847 00	1 910	24 920 75	Various	(1 093 45)	10 945	147 832 80	133 419 55	
04/18/13	ALLIANZ GLOBAL INV SERIES M			15,370	172 306 10	3 210	34 905 00	Various	(1 303 80)	12 160	136 097 30	129 652 80	
04/12/13	ALLIANZ SE			169	2,503 60					169	2 503 60	3 063 97	
08/27/13	ALSTOM SA			1 297	4,811 35					1 297	4 811 35	4 669 20	
VARIOUS	ALTRIA GROUP INC	700	18 433 32	32 00	1,179 84	504	18,069 24	04/12/13	4 797 25	228	6 341 17	8,752 92	
07/23/12	AMERICAN CAPITAL AGENCY CORP	200	6,917 40			200	6 548 55	04/11/13	(368 75)				
04/12/13	AMERICAN EXPRESS COMPANY			56 00	3 673 04					56	3 673 04	5,050 88	
01/10/12	AMERICAN WATER WORKS CO INC	300	9,448 77			300	12 657 77	04/12/13	3,209 00	-	-		
01/15/13	AMGEN INC	-		400 00	33 664 42	400	44 701 02	04/12/13	11 036 60	-	-		
04/12/13	AMPHENOL CORP			52 00	3 867 94					52	3 867 94	4 637 35	
05/29/12	ANHEUSER BUSCH INBEV	500	34 426 15			500	49 974 88	04/12/13	15 548 73	-	-	-	
04/12/13	ANSYS INC			134 00	10,505 09	34	2 543 34	Various	(119 08)	100	7 842 67	8 720 00	
12/01/10	APPLE INC	100	31 701 33	7 00	2,983 33	72	30,978 03	04/12/13	8 153 07	35	11 859 70	19 635 70	
04/12/13	APTARGROUP INC			99 00	5 657 75					99	5,657 75	6,713 19	
04/12/13	ARCH CAP GROUP LTD			108 00	5 638 62					108	5 638 62	6 446 52	
04/12/13	ARCOS DORADOS HOLDINGS INC			84 00	1,043 41	84	1 157 73	06/04/13	114 32	-	-		
01/19/11	ARTIS REAL ESTATE INVESTMENT	1 000	13 786 80			1 000	16 120 94	04/12/13	2 334 34	-	-	-	
11/14/13	ASML HOLDING NV			25 00	2 222 40					25	2 222 40	2 342 50	
04/12/13	ASTRAZENECA PLC			39 00	1,991 20	1	50 53	07/25/13	(0 62)	38	1 940 05	2 255 08	
VARIOUS	AT&T INC	1 200	33 572 51			1 200	46,264 96	04/12/13	12 692 45			-	
04/12/13	AUTOMATIC DATA PROCESSING			58 00	3 853 46					58	3 853 46	4 686 34	
04/12/13	AXA			183 00	3 484 68					183	3,484 68	5,103 87	
11 & 12/10	B & G FOODS INC	2 000	25 487 20			2 000	58 916 82	04/11/13	33 429 62	-	-	-	
04/12/13	BAE SYSTEMS PLCE			183 00	4 515 89	4	108 02	07/25/13	9 66	179	4,417 53	5 242 91	
12/01/10	BAIDU INC	200	21,346 88			200	18 049 60	04/11/13	(3,297 28)	-	-		
04/12/13	BANCOLOMBIA			40 00	2 413 37	1	59 85	08/14/13	(6 39)	39	2 347 13	1 911 78	
04/12/13	BANCO SANTANDER CHILE			114 00	3 123 71	114	2,911 38	05/28/13	(212 33)		-	-	
07/12/11	BANK KY FINL CORP	500	12 598 75			500	13,288 10	04/12/13	689 35				
04/12/13	BARCLAYS PLC			215 00	3 691 15	24	386 87	11/15/13	(53 42)	191	3,250 86	3 462 83	3
03/19/10	BASF SE SPONSORED ADR	500	30 325 00	3 00	290 45	478	42 801 88	04/12/13	13 811 18	25	1,624 75	2,684 75	
04/12/13	BAYER AG			65 00	6 917 82					65	6,917 82	9,230 00	
VARIOUS	BCE INC	1 300	47 308 18			1 300	59 954 65	04/12/13	12 646 48	-	-	-	
04/12/13	BED BATH & BEYOND			91 00	6 075 53	24	1 828 49	08/07/13	258 97	67	4 506 01	5 350 10	
04/12/13	BERKLEY WR CORPORATION			164 00	7 443 16					164	7 443 16	7 115 96	
04/12/13	BHP BILLITON LTD			83 00	5 723 34					83	5 723 34	5 660 60	
11/30/03	BIOGEN IDEC INC	1,000	35 365 00			1 000	198 935 54	04/11/13	163,570 54		-	-	
04/12/13	BLACKBAUD INC			112	3 268 38					112	3 268 38	4 216 80	
04/12/13	BLACKROCK INC			22	5 876 76					22	5 876 76	6 962 34	
04/12/13	BNP PARIBAS			158	4 550 24	32	924 48	05/22/13	69 75	126	3,695 52	4,939 20	
04/12/13	BOC HONG KONG HOLDINGS			78	5 144 66	16	1 141 02	Various	(61 00)	80	3 942 64	3,871 20	
VARIOUS	BRISTOL MEYERS SQUIBB	500	24 860 56			500	20 740 80	04/12/13	(4 119 76)	-	-		
12/01/10	BRITISH AMERN TOB PLC	300	21 972 00	65	7 166 22	292	32 338 78	Various	10 952 70	73	7 752 14	7 841 66	
04/12/13	BROOKFIELD ASSET MANAGEMENT			194	7 037 04					194	7 037 04	7 533 02	5
04/15/13	BROOKFIELD PTY LP PARTNERS			9	324 81	9	185 79	04/18/13	(129 02)	-	-		5
04/12/13	BROWN AND BROWN INC			445	14 055 28					445	14,055 28	13 968 55	
04/12/13	BROWN FORMAN CORP			26	1 848 33					26	1 848 33	1 984 82	
08/25/11	BT GROUP PLC	500	13 375 50			500	21 491 02	04/11/13	8 115 52	-	-	-	
04/12/13	CANADIAN NATURAL RESOURCES LTD			43	1 335 44					43	1 335 44	1,455 12	
04/12/13	CANADIAN OIL SANDS LTD			96	1 902 63	46	886 75	08/26/13	(24 93)	50	990 95	940 50	
04/12/13	CANADIAN PACIFIC RAILWAY LTD			33	4 146 49					33	4 146 49	4 993 56	
10/24/12	CAPITAL ONE FINANCIAL CORP	300	18 084 24			300	16 234 14	04/12/13	(1 850 10)	-	-	-	
04/01/13	CARMAX INC			119	5 292 46					119	5 292 46	5 595 38	
12/12/13	CARREFOUR SA			321	2 235 02					321	2,235 02	2,529 48	
04/12/13	CATERPILLAR INC			98	8 333 82	45	3 771 34	11/14/13	(48 09)	53	4,514 39	4,812 93	
04/12/13	C H ROBINSON WORLDWIDE			101	5 935 44					101	5 935 44	5 893 35	
12/22/10	CHESAPEAKE UTILITIES CORP	1,200	50 515 80			1 200	80 310 65	04/11/13	9 794 85	-	-		
07/15/09	CHEVRON CORPORATION	500	40 225 10	124	15,020 17	500	59 807 16	04/12/13	15 582 06	124	15 020 17	15 488 84	
04/12/12	CHINA MOBILE LTD	750	41 021 63			750	40 176 60	04/11/13	(846 03)			-	
04/12/13	CLARCOR INC			96	4 966 12					96	4 966 12	6,177 60	
08/25/11	CLECO CORPORATION	300	10 293 30			300	14 317 18	04/12/13	4 023 88		-	-	
07/19/13	COCA COLA HBC			83	2 050 67	4	113 30	11/15/13	14 50	79	1,952 07	2 304 43	
12/69	COCA-COLA COMPANY	2 000	60 935 00	484	18 989 31	2 000	81 740 12	Various	20 805 12	464	18 989 31	19 167 84	
04/12/13	COHEN & STEERS INC			115	4 441 92					115	4 441 92	4 606 90	

04/12/13	COLFAX CORP			77	3 672 28					77	3,672 28	4 904 13	
VARIOUS	COLGATE-PALMOLIVE CO	500	45,943 60			500	59 428 72	04/12/13	13,485 12	-			
09/21/12	COMCAST CORP	300	10 936 50	31	1 376 14	237	9 928 22	04/12/13	1,288 38	94	3,672 80	4 884 71	
12/22/10	COMPANHIA DE BEBIDAS DAS AMERSAMBE	1 500	45 082 05	41 00	1 687 15	1 541	64 300 55	04/11/13	17 531 35				
04/12/13	COMPASS GROUP PLC			124 00	1 569 59					124	1 569 59	1 999 99	
04/12/13	COMPUTER PROGRAMS & SYSTM			154 00	8,443 04	13	639 49	04/12/13	(76 94)	141	7 728 61	8 715 21	
04/12/13	CONOCOPHILLIPS			160 00	9,591 40					160	9,591 40	11 304 00	
04/12/13	COPART INC			566 00	18,847 05					566	18 847 05	20 743 90	
04/12/13	COVIDIEN PLC			45 00	3 001 88	1	63 52	08/14/13	(4 66)	44	2 933 70	2 996 40	
04/12/13	CROWN HOLDINGS INC			173 00	7 225 54					173	7 225 54	7,710 61	
04/12/13	CSL LTD			115 00	3 575 21					115	3 575 21	3,538 55	
04/12/13	DASSAULT SYSTEMS			27 00	3,204 65	6	770 91	09/11/13	69 81	21	2,503 55	2,610 30	
04/12/13	DENTSPLY INTERNATIONAL INC			159 00	6 716 68					159	6 716 68	7 708 32	
VARIOUS	DEUTSCHE TELEKOM AG			451 00	6 161 30					451	6 161 30	7 784 26	
04/12/13	DEUTSCHE POST AG			223 00	5 151 30	18	500 87	Various	85 07	205	4 735 50	7 523 50	
04/12/13	DIAGEO PLC			46 00	5 647 51					46	5 647 51	6 091 32	
08/19/13	DIRECTV			88 00	5,199 44					88	5 199 44	6,077 28	
04/12/13	DISCOVERY COMMUNICATIONS INC			63 00	4 639 76					63	4 639 76	5 283 18	
04/12/13	DISNEY WALT COMPANY			65 00	3 969 96					65	3 969 96	4,965 00	
10/27/04	DOMINION RESOURCES INC	800	25 580 00			800	48 190 92	04/11/13	22,610 92		-	-	
03/27/12	DTE ENERGY CO	300	16 624 50			300	21,053 68	04/12/13	4 429 18			-	
02/05/09	DUKE ENERGY CORP	333	15 556 90			333	24 340 75	04/12/13	8 784 85		-	-	
04/12/13	ECOLAB INC			61	5 111 04	61	5 578 79	Various	467 75	-	-	-	
04/12/13	ECOPETROL SA			22	1 078 30	22	853 34	12/17/13	(224 96)		-		
01/10/12	ELI LILLY & CO	400	16 165 40			400	23 149 48	04/12/13	6 983 08		-		
04/12/13	EMBRAER SA			32	1 148 46	32	1 034 08	12/17/13	(114 38)	-	-		
04/18/12	EMC CORP MASS	500	14 608 00			500	11 571 49	04/12/13	(3 036 51)	-	-		
04/12/13	ENBRIDGE INC			81	3 691 33					81	3 691 33	3,638 08	
04/12/13	ENSCO PLC			76	4 380 61					76	4 380 61	4 345 68	
VARIOUS	EOG RESOURCES INC			14	2 039 62					14	2 039 62	2 349 76	
04/12/13	ESSILOR INTL			32	1 761 45					32	1 761 45	1 712 96	
04/12/13	EXPEDITORS INTL WASH INC			141	5 256 78					141	5 256 78	5,239 25	
04/12/13	EXPONENT INC			183	9 893 59	62	4,607 54	Various	1 344 36	121	6 630 41	9 350 15	
03/01/12	EXXON MOBIL CORP	1 500	57 885 00	266 00	23,849 52	1 544	136 789 45	Various	74,988 89	222	19,933 96	22 466 40	
04/12/13	FACTSET RESEARCH SYSTEMS INC			76 00	7,149 02					76	7,149 02	8 252 06	
04/12/13	FANUC CORP			135 00	3,492 93	4	104 43	08/14/13	1 43	131	3 389 93	4,023 01	
04/12/13	FEDERATED INVTs INC			324 00	7,995 20					324	7 986 20	9 331 20	
04/12/13	FLEXTRONICS INTL			144 00	1,003 62					144	1 003 62	1 118 88	
12/22/10	FOOT LOCKER INC	500	11 752 50			500	17 209 36	04/12/13	5 456 86	-		-	
04/12/13	FRANKLIN RESOURCES INC			129	5701 01					129	5 701 01	7 447 17	
04/12/13	FREEPORT MCMORAN COPPER & GOLD INC			222	7012 24	78	2 479 29	05/30/13	(15 06)	144	4 517 89	5 434 56	
04/12/13	FUJI HEAVY INDS LTD			73	3421 21	7	394 54	11/15/13	142 54	66	3 169 21	3 796 32	
04/12/13	GDF SUEZ			266	5943 12	5	107 10	07/26/12	0 75	263	5 836 77	6,225 21	
04/12/13	GEMALTO NV			28	1269 93	1	56 91	08/14/13	13 07	27	1 226 09	1,500 86	
04/12/13	GENERAL ELECTRIC COMPANY			254	5999 95					254	5,999 95	7,119 62	
12/01/10	GENUINE PARTS CO	400	19,338 56			400	31,215 30	04/11/13	11 876 74	-		-	
04/12/13	GIVAUDAN ADR			78 00	1 947 29					78	1 947 29	2 232 36	
04/12/13	GLACIER BANCORP INC			179 00	3 371 56	59	1 632 36	11/07/13	556 65	120	2 295 85	3 574 80	
12/09/11	GLAXOSMITHKLINE	300	13 379 04	112 00	5 446 81	300	13 719 89	02/01/13	340 55	112	5 446 81	5 979 68	
04/12/13	GRUPO TELEvisa SA			99 00	2 725 47	99	2 344 33	06/17/13	(381 14)	-	-		
04/12/13	HAEMONETICS CORP			212 00	8 783 69					212	8 783 69	8 931 56	
04/12/13	HENKEL AG & CO			46 00	4 629 56	13	1 417 91	11/15/13	184 73	33	3 396 38	3 850 44	
07/23/12	HERSHEY COMPANY	500	35 328 96			500	43,379 03	04/11/13	8 050 07	-	-	-	
10/10/13	HIBBETT SPORTS INC			109 00	6 372 16					109	6 372 16	7 319 56	
04/12/13	HITTITE MICROWAVE CORP			169 00	9 597 17					169	9 597 17	10 432 37	
05/29/12	HOME DEPOT INC	500	24 882 60			500	35 949 19	04/11/13	11 086 59				
05/16/12	HOMEOWNERS CHOICE INC	1 000	14 675 40			1 000	27,137 39	04/12/13	12,461 99	-		-	
04/12/13	HONDA MOTOR LTD			168 00	5,775 56	102	4 094 84	Various	27 13	66	2 707 85	2 729 10	
04/12/13	HSBC HOLDINGS PLC			314 00	16 751 41	15	851 07	Various	59 61	299	15 959 95	16 483 87	
03/99	IBM CORP	500	41 933 00	65	13 471 55	500	105 595 65	04/12/13	63 662 65	85	13 471 55	12 192 05	
04/12/13	IDEX CORP			85	4 553 90	85	4 946 89	07/22/13	392 99	-	-	-	
04/29/13	IMPERIAL TOB GROUP PLC			75	5 345 85					75	5 345 85	5 833 50	
04/12/13	IMPERIAL OIL LTD			37	1 481 89					37	1 481 89	1 636 51	

04/12/13	INDUSTRIA DE DISEÑO TEXTIL INDITEX			132	3 503 69					132	3 503 69	4 358 64	
VARIOUS	INTEL CORP	1 000	25 988 36	45	1 024 20	864	19 179 30	Various	(3 2/4 64)	181	4 568 62	4 697 85	
02/09/12	INTERNATIONAL PAPER CO	300	9 409 47			300	14,231 71	04/16/13	4 822 24				
04/12/13	INTUITIVE SURGICAL INC			11	5,174 47					11	5 174 47	4 224 88	
04/11/13	ISHARES MSCI EAFE INDEX FUND			5 834	353 236 07					5 834	353,236 07	391 432 23	
04/11/13	ISHARES TR RUSSELL 3000 INDEX FD			7 517	712 973 94					7 517	712 973 94	831 756 05	
04/12/13	ISRAEL CHEMICALS			496	6 149 31	496	4 774 38	Various	(1,3/4 93)	-	-		
04/12/13	JACK HENRY & ASSOC INC			254	11 581 72					254	11,581 72	15,039 34	
04/12/13	JACOBS ENGINEERING GROUP			65	3 563 03					65	3 563 03	4 094 35	
04/12/13	JGC CORP			45	2 799 77	4	300 29	Various	87 21	41	2 596 69	3 202 10	
04/12/13	JOHNSON & JOHNSON			127	10 592 23	2	171 02	05/29/13	5 75	125	10 426 95	11 448 75	
04/12/13	JP MORGAN CHASE & CO			186	9,245 48					186	9 245 48	10 877 28	
01/12/10	KAYNE ANDERSON ENERGY	1 300	30 010 32			1 300	38 024 15	04/11/13	8 013 83	-	-	-	
04/12/13	KIRIN HOLDINGS CO LTD			382 00	6,104 29					382	6 104 29	5 500 80	
04/12/13	KOMATSU LTD			134 00	3 432 79					134	3 432 79	2 757 72	
04/12/13	KONINKLIJKE AHOLD ADR			75 00	1 198 44	75	1,290 95	08/23/13	94 51	-	-		
04/12/13	KRAFT FOODS GROUP			25 00	1 305 90					25	1 305 90	1,347 75	
04/12/13	KUBOTA CORP			51 00	3 844 88	1	76 69	08/14/13	73 58	50	3,841 77	4,180 00	
04/12/13	LABORATORY CORP OF AMER HLDGS			66 00	6 237 50					66	6,237 50	6 030 42	
04/12/13	LANDSTAR SYSTEMS INC			144 00	7 972 58					144	7,972 58	8 272 80	
04/12/13	LAUDER ESTEE COS INC			94 00	6 375 11					94	6,375 11	7 080 08	
04/12/13	LEUCADIA NATIONAL CORP			175 00	5 056 36					175	5 056 36	4 959 50	
04/12/13	LIBERTY GLOBAL PLC			80 00	5 706 97					80	5 706 97	6 745 60	1
04/12/13	LINCOLN ELECTRIC HLDGS			94 00	4 994 10	62	3 545 32	Various	281 89	32	1 730 67	2 282 88	
04/12/13	L'OREAL CO			34 00	1 166 13					34	1 166 13	1 195 10	
01/10/12	LOCKHEED MARTIN CORP	300	24 302 76			300	28 757 39	04/16/13	4 454 63		-	-	
04/12/13	LUKOIL OIL CO			131 00	8,125 90	19	1,170 60	09/13/13	309 20	112	7 264 50	7 069 44	
04/12/13	LUXOTTICA GROUP			68 00	3,486 10	29	1,536 95	12/27/13	51 28	39	2 010 43	2,102 88	
04/12/13	LVMH MOET HENNESSY LOUIS VUITTON			76 00	2,614 24	20	723 99	12/27/13	36 99	56	1 927 24	2 049 59	
04/12/13	M & T BANK CORP			48 00	4,973 16					48	4 973 16	5 588 16	
04/12/13	MAGNA INTL INC			40 00	2 550 52					40	2 560 52	3,282 40	
07/22/13	MALLINCKRODT PLC			6 00	87 34	6	254 32	10/30/13	166 98	-	-	-	
04/12/13	MANULIFE FINL CORP			433 00	6,563 61	28	564 66	Various	170 70	405	6 259 65	7,990 65	
04/12/13	MARKEL CORP			20 00	10,531 95					20	10 531 96	11 607 00	
5/22/2008 & 12	MCDONALDS CORP	700	44 882 45	322	30738 22	903	92 659 37	Various	29 237 73	119	12 189 03	11,546 57	
07/19/12	MERCK & CO INC	500	21 987 55	87	4 108 4	500	23 461 22	04/16/13	1 473 67	87	4 108 40	4 354 35	
04/12/13	MERCK KGAA			41	2 119 94					41	2 119 94	2 457 95	
04/12/13	METTLER TOLEDO INTL INC			11	2 320 36					11	2 320 36	2 668 49	
04/12/13	MICROS SYSTEMS INC			117	5 247 18					117	5 247 18	6 712 28	
05/16/12	MICROSOFT CORP	500	15,064 95			500	14 489 73	04/16/13	(575 22)		-	-	
04/12/13	MONDELEZ INTL INC			89	2 708 87					89	2 708 87	3 141 70	
04/12/13	MORNINGSTAR INC			86	5 843 73					86	5 843 73	6 715 74	
04/12/13	MTN GROUP LTD			456	8 294 98	48	910 12	Various	44 64	408	7,429 50	8 568 00	
04/12/13	MUENCHENER RUECKVERSUCHERUNGS GESELLSCHAFT			437	8 786 68	52	994 25	Various	(52 76)	385	7,739 67	8 561 62	
03/22/12	NESTLE	500	30 890 00	231 00	15 483 20	422	30 544 47	Various	4,473 31	309	21,302 04	22 739 31	
04/12/13	NEWS CORP INC			112 00	3 519 03	112	3 522 87	05/29/13	3 84	-	-	0	2
VARIOUS	NEWS CORP NEW			66 00	623 12					66	623 12	1 189 32	3
09/01	NEXTERA ENERGY INC	2 000	55 400 00			2 000	159 164 83	4/12/13	103 764 83	-	-		
07/24/13	NIPPON TELEGRAPH & TELEPHONE CORP			280 00	7 380 89					280	7 380 89	7 571 20	
12/01/10	NISOURCE INC	700	11,869 20			700	21 444 02	04/12/13	9 574 82		-		
04/12/13	NITTO DENKO CORP ADR			107 00	3 560 22					107	3 560 22	2,282 31	
04/12/13	NOBLE CORP			74 00	2 742 59	74	2,951 72	05/16/13	209 13	-			
04/12/13	NOVARTIS			171 00	12 489 47					171	12,489 47	13 744 88	
04/12/13	NOVO NORDISK			50 00	8 355 34					50	8,355 34	9,238 00	
11/25/13	NVR INC			7 00	6 583 76					7	6 583 76	7,182 07	
04/12/13	OCCIDENTAL PETE CORP			114 00	9 543 12					114	9 543 12	10 841 40	
04/12/13	OMNICOM GROUP			111 00	6 731 22					111	6 731 22	8 255 07	
04/12/13	ORACLE CORPORATION			132 00	4 337 75					132	4 337 75	5 050 32	
05/17/12	ORCHIDS PAPER PRODUCTS CO	1 000	17 888 52			1 000	22 859 24	04/12/13	4 970 72		-		
04/12/13	OWENS & MINOR INC			300 00	9 720 55	110	3 767 58	Various	252 75	190	6 205 72	6 946 40	
12/01/10	PEMBINA PIPELINE CORP	1 000	20,887 80			1 000	31 246 30	04/12/13	10 356 50		-		
05/16/12	PEOPLES BANCORP INC	1 000	19 774 10			1,000	20 944 88	04/12/13	1,170 78				
06/23/03	PEPSICO INC	1 000	45 055 00	95	7 906 07	1 013	81,042 16	Various	34 947 03	85	8 865 94	7 049 80	

05/16/13	PERRIGO CO		42	5 117 61	42	6 524 68	12/19/13	1 407 07	-	-		1
12/19/13	PERRIGO CO PLC		42	6,524 26					42	6 524 26	6 445 32	1
04/12/13	PERUSAHAAN PERSEROAN PERSERO PT INDONESIA TELEKOMUNIKASI		54	3 848 47	35	1 416 15	Various	(186 98)	49	2 245 34	1 755 65	
04/12/13	PETROCHINA CO LTD		20	2,542 20	20	2 360 76	08/15/13	(181 44)	-	-	-	
01/19/11	PEYTO EXPLORATION & DEVELOPMENT CO	1 000	18 952 00		1 000	27 360 69	04/12/13	8 408 69	-	-	-	
07/12/11	PFIZER INCORPORATED	500	10 075 00		500	15 426 85	04/16/13	5,351 85		-	-	
12/01/10	PHILIP MORRIS INTERNATIONAL INC	600	36 823 08	271 00	25 707 17	600	57 072 82	04/16/13	20 249 74	271	25 707 17	23 612 23
04/12/13	PHILLIPS 66		38 00	2 278 90	2	132 97	05/29/13	72 35	36	2 218 28	2 776 68	
04/07/11	PINNACLE WEST CAP CORP	500	21 580 00			500	29 639 59	04/12/13	8,059 59		-	
12/01/10	POLARIS INDS INC	800	28 552 20			800	71,967 47	Various	42 415 27	-	-	
04/12/13	POOL CORP		189 00	9 292 71	109	5,565 84	Various	237 86	80	3 964 73	4,651 20	
VARIOUS	PPG INDUSTRIES INC	200	19 498 00			200	26 937 40	04/11/13	7 439 40	-	-	
07/25/12	PPL CORPORATION	400	11,406 04			400	12 797 55	04/12/13	1 391 51	-		
04/12/13	PRAXAIR INC		54 00	6 042 00					54	6 042 00	7 021 82	
10/31/11	PROCTER & GAMBLE CO	300	19 355 75	153 00	12 296 66	310	24 810 24	Various	4,651 94	143	11 494 11	11 641 63
04/12/13	PRUDENTIAL PLC		176 00	5 783 84					176	5 783 84	7 920 00	
03/22/11	QUALCOMM INC	500	33 173 50	85 00	5,568 69	500	32,955 76	04/16/13	(217 74)	85	5 568 69	6 311 25
04/12/13	RBC BEARINGS INC		93 00	4 708 44					93	4 708 44	5 579 75	
04/12/13	RECKITT BENCKISER PLC		531 00	7 590 93	127	1 898 36	Various	84 80	404	5,777 37	6 500 36	
04/12/13	RIO TINTO PLC		125 00	5 934 50	111	4,960 68	Various	(305 83)	14	667 99	790 02	
12/22/10	RIOCAN REAL ESTATE INVT TR UNIT	1 000	22 857 60	47 00	1 138 31	963	25,885 17	Various	3 873 30	84	1 984 04	1,955 70
04/12/13	RITCHIE BROS AUCTIONEERS INC		197 00	3 930 10	197	3 657 86	10/10/13	(272 24)	-	-		
04/12/13	RLI CORP		86 00	6 486 50					86	6 486 50	8 374 68	
04/12/13	ROCHE HOLDINGS LTD		246 00	14 969 09	21	1 371 52	Various	95 35	225	13 692 92	15 796 00	
04/12/13	ROLLINS INC		162 00	3 883 96	81	2 282 31	Various	376 22	81	1 977 87	2 453 49	
12/01/10	ROSS STORES INC	800	19 737 12	80 00	5 397 62	600	38 189 14	04/16/13	18 452 02	80	5 397 62	5,994 40
01/12/10	ROYAL DUTCH SHELL PLC	500	34,650 00	185 00	12,209 00	446	29 826 67	Various	(1 081 13)	239	16 608 38	17 359 93
04/12/13	RPC INC		329 00	4,830 68					329	4 830 68	5 872 65	
04/12/13	RYANAIR HLDGS PLC		52 00	2,307 18	10	499 76	Various	67 76	42	1,875 18	1 971 06	
04/12/13	SABMILLER PLC		92 00	4,818 03					92	4,818 03	4 717 76	
10/30/13	SALLY BEAUTY CO INC		170 00	4,457 79					170	4 457 79	5,139 10	
04/12/13	SANDVIK AB		133 00	1 992 78					133	1 992 78	1,880 62	
04/12/13	SANOFI		110 00	5 752 72	8	402 82	07/25/13	(14 86)	102	5 335 04	5 470 26	
04/12/13	SAP AG		36 00	2 793 14	1	75 99	08/14/13	(1 78)	35	2,715 37	3 049 90	
11/16/12	SCANA CORP	300	13 668 30			300	15 659 65	04/12/13	1 891 35	-	-	
10/29/07	SCHLUMBERGER LTD	500	49 211 50	18	1 358 06	518	37 860 75	04/16/13	(12 708 81)	-		
01/19/11	SEADRILL LTD	700	23 682 50			700	25 342 51	04/12/13	1 660 01	-		
04/12/13	SIEMENS AG		74	7 906 35					74	7 906 35	10 249 74	
04/12/13	SINGAPORE TELECOMMUNICATIONS		288	8 395 96	16	464 43	09/16/13	3 81	272	7,935 36	7 915 20	
10/04/13	SIRONA DENTAL SYSTEMS INC		94	6 540 58					94	6,540 58	6 598 80	
04/12/13	SKF AB		76	1 881 14					76	1,881 14	2 009 44	
04/12/13	SMITH & NEPHEW PLC		36	2 097 19					36	2,097 19	2 582 64	
04/12/13	SMITHS GROUP PLC		182	3 551 11					182	3 551 11	4 586 40	
04/12/13	SOCIEDAD QUIMICA MINERAL DE CHILE		36	1,850 64	36	970 51	11/15/13	(680 13)	-	-	-	
11/14/13	STATE STR CORP		31	2,192 00					31	2 192 00	2 275 09	
04/12/13	STATOIL		151	3,581 62	39	836 26	08/26/13	(99 25)	112	2 646 11	2 702 56	
04/12/13	SVENSKA CELLULOSA AB		107	2 722 60					107	2 722 60	3 304 16	
04/12/13	SWATCH GRP AG		68	1 954 18					68	1 954 18	2 257 60	
04/12/13	SYNGENTA AG		30	2 470 74					30	2,470 74	2 398 20	
06/23/03	THE SOUTHERN COMPANY	1 000	31 375 00			1 000	48 038 02	04/16/13	18 664 02	-		
04/12/13	TAIWAN SEMICONDUCTOR MFG CO LTD		278	4 773 35	28	458 45	Various	(26 66)	260	4 288 24	4 360 00	
VARIOUS	TAL INTERNATIONAL GROUP LTD	1 200	38 025 96			1 200	52 815 95	04/11/13	14 789 99		-	
04/12/13	TARGET CORP		115 00	8 050 49					115	8 050 49	7 276 05	
04/12/13	TECHNE CORP		191 00	12 674 05	25	2 141 60	Various	510 33	186	11 042 78	15,715 22	
04/12/13	TECHNIP SA		81 00	2 187 43	33	899 25	05/15/13	8 58	48	1 296 76	1,159 68	
VARIOUS	TELUS CORP	1 200	55 455 06			1 200	82 771 80	04/16/13	27 316 74	-		
04/12/13	TESCO PLC		228 00	4 052 52	64	1 105 05	08/27/13	(44 97)	164	2 912 50	2 761 76	
03/26/12	TESSCO TECHNOLOGY INC	500	11 804 00			500	10 608 56	04/12/13	(1 195 44)			
04/12/13	TEXAS INSTRUMENTS INC		169 00	6 060 52					169	6 060 52	7 420 79	
04/12/13	THOR INDUSTRIES		86 00	3,310 70	29	1,667 06	10/03/13	599 95	57	2 243 59	3 148 11	
04/12/13	TIFFANY & CO		74 00	5 448 93	15	1 159 16	05/22/13	63 28	59	4 353 05	5 474 02	
04/12/13	TIME WARNER CABLE		19 00	1 779 16	1	115 37	07/22/13	21 73	18	1 685 52	2 439 00	
04/12/13	TJX COS INC		163 00	7 985 51	77	4,178 60	Various	440 54	86	4 247 45	5 480 78	

09/18/13	TOKYO ELECTRON LTD			167 00	2 033 79					167	2 033 79	2 309 51	
04/12/13	TORO COMPANY			177 00	8 279 59					177	8,279 59	11 257 20	
01/18/12	TOTAL S A SPONS ADR	500	23 262 60	319	15 956 39	540	25 907 09	Various	688 26	279	14 000 16	17 094 33	
04/12/13	TOYOTA MTR CORP			28	3 349 96					28	3 349 96	3,413 76	
03/22/12	TRANSCANADA CORP	500	21 734 83			500	24 344 45	04/11/13	2 609 62	-	-	-	
11/22/13	TRANSDIGM GROUP INC			35	5 184 23					35	5 184 23	5 635 70	
07/12/11	TRIANGLE CAPITAL CORP	1 000	18,777 00			1,000	27 126 39	04/12/13	8,349 39	-	-	-	
04/12/13	TURKCELL ILETISIM HIZMETLERI			185	3 017 86					185	3 017 86	2,469 75	
04/12/13	TURKIYE GARANTI BANKASI ADR			767	3,993 11	767	3 094 27	Various	(895 84)	-			
07/22/13	TWENTY-FIRST CENTY			137	4 288 29					137	4,288 29	4 818 29	?
08/25/11	UNILEVER PLC SPON ADR	700	23,020 20			700	29 952 33	04/11/13	6 932 13	-	-		
04/12/13	UNILEVER NV			167	6,969 71	8	312 42	11/06/13	(22 26)	156	6,635 03	6 366 57	
12/22/10	UNION PACIFIC CORP	300	27 520 86			300	41 714 10	04/18/13	14 193 24		-	-	
08/13/09	UNITEDHEALTH GROUP INC	450	15 898 88			450	28 097 42	04/16/13	12 198 54	-	-	-	
04/12/13	UNITED OVERSEAS BK LTD			236	7 853 28	24	805 70	09/16/13	15 14	212	7 062 72	7 127 44	
04/12/13	UNITED TECHNOLOGIES CORP			82	7 887 84					82	7 887 84	9 331 60	
06/04/12	US BANCORP	400	11,618 24			400	13 037 55	04/16/13	1,419 31	-	-	-	
07/15/09	VERIZON COMMUNICATIONS	1 500	35 822 82			1 500	75,993 55	04/16/13	40,370 73	-		-	
04/12/13	VODAFONE GROUP PLC			282	7 705 34	86	2,885 05	Various	358 80	176	5 179 09	6 918 56	
04/12/13	VOLKSWAGEN AG			59	2 592 68	2	104 92	11/15/13	30 55	57	2 518 31	3 217 08	
04/12/13	WABTEC			103	6 013 08	36	2,915 81	Various	235 89	67	3,333 16	4 976 09	3
04/12/13	WALGREEN COMPANY			83	4,037 24	1	49 49	05/29/13	1 20	82	3,988 95	4 710 08	
04/12/13	WALMART DE MEXICO			66	2,120 51					66	2 120 51	1 723 92	
04/12/13	WALMART STORES INC			103	8,083 52	8	620 35	07/17/13	(8 29)	95	7 454 88	7 475 55	
07/17/13	WELLS FARGO COMPANY			94	4,126 22					94	4 126 22	4 267 60	
04/12/12	WISCONSIN ENERGY CORP	500	17 422 50	500	20,700 50	1 000	43 199 03	04/11/13	5 076 03	-	-	-	
04/12/13	WOODSIDE PETE LTD			46	1,737 98					46	1 737 98	1 597 30	
04/12/13	WORLD FUEL SERVICE CORP			121	4,734 08					121	4 734 08	5 222 36	
09/12/13	WPP PLC			15	1,529 64					15	1 529 64	1,722 90	
03/27/12	WYNDHAM WORLDWIDE CORP	800	25,354 20			600	38 459 14	04/11/13	13,104 94	-			
01/10/12	XCEL ENERGY INC	300	8 245 53			300	9 133 18	04/15/13	887 85		-		
04/12/13	XILINX INC			38	1 402 20					38	1 402 20	1 744 96	
04/12/13	ZURICH INSURANCE GROUP			303	8,167 05	109	2,791 31	Various	(143 60)	194	5 232 15	5,658 98	
TOTAL - STOCKS			<u>2 214 453 98</u>		<u>2 753 307 15</u>						<u>2 511,243 99</u>	<u>2 789 677 74</u>	<u>92 065 92</u>
TOTAL			<u>2 214 453 98</u>								<u>3 379 126 69</u>	<u>3 599 773 18</u>	<u>103 456 74</u>

1 = Merger

2 = Name Change

3 = Stock Dividend

4 = Reverse Stock Split

5 = Spinoff

6=Exchange

2013 ENHANCED 1099

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As of Date: 2/07/14

Your Financial Advisor :

LEWITTES/APGAR
375 PARK AVENUE
10TH FLOOR
NEW YORK, NY 10152
(212) 214-3300

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
U/A DTD 01/27/1998
P O BOX 4983
POUGHKEEPSIE NY 12602-4983

Account Number: 8315-7799

Your Federal Identification Number: 14-6185658

Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder. TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in *italics*. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
						Gain or Loss Amount	Additional Information
NATIONAL RURAL UTIL COOP / 637432MP7							
FIN CORP INTERNOTES							
CPN 1 000% DUE 02/02/15							
DTD 02/02/12 FC 08/02/12							
07/16/13		8,000.0000	06/19/13	8,041.12	8,056.88	-15.76	SALE
PRINCIPAL LIFE INC FNDG / 74254PAK8							
MEDIUM TERM NOTES							
CPN 5 100% DUE 04/15/14							
DTD 04/16/04 FC 10/15/04							
07/16/13		12,000.0000	04/29/13	12,358.92	12,549.24	-190.32	SALE
UNITED TECHNOLOGIES C / 91399AFW0							
SR UNSECURED							
CPN 1 200% DUE 06/01/15							
DTD 06/01/12 FC 12/01/12							
05/22/13		11,000.0000	05/13/13	11,184.69	11,169.18	15.51	TENDER

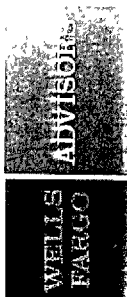
THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.



2013 ENHANCED 1099

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As of Date: 2/07/14

Your Financial Advisor :

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
U/A DTD 01/27/1998
P O BOX 4983
POUGHKEEPSIE NY 12602-4983

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Account Number: 8315-7799
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED** continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1e-Quantity Sold	2a-Sales Price*	Supplemental Information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount
US TREASURY / 912828RC6 NOTES CPN 2 125% DUE 08/15/21 DTD 08/15/11 FC 02/15/12 07/16/13	04/23/13	14,000 0000	13,921 25	14,799 54	-878 29 SALE
US TREASURY / 912828MP2 NOTES CPN 3 625% DUE 02/15/20 DTD 02/15/10 FC 08/15/10 06/27/13	04/23/13	14,000 0000	15,624 22	16,468 77	SALE
US TREASURY / 912828LU2 NOTES CPN 3 125% DUE 10/31/16 DTD 10/31/09 FC 04/30/10 07/16/13	04/23/13	12,000 0000	12,918 75	13,132 97	-214 22 SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					
			74,048.95	76,176.53	

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1e-Quantity Sold	2a-Sales Price*	Supplemental Information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount
INTERNATIONAL BUSINESS MACHINE CORP 04/12/13	03/02/99	100.0000	21,117.54	5,383.00	SALE
04/12/13	03/02/99	400.0000	84,478.11	33,550.00	50,928.11 SALE

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

2013 ENHANCED 1099

Page 8 of 18

As of Date: 2/07/14

Your Financial Advisor :

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
U/A DTD 01/27/1998
P O BOX 4983
POUGHKEEPSIE NY 12602-4983

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS MO 63103
Payer ID #: 23-2384840

Account Number: 8315-7799

Your Federal Identification Number: 14-6185658

Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED continued**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
						Gain or Loss Amount	Additional Information
NEXTERA ENERGY INC / 65339F101	04/12/13	2,000 0000	09/04/01	159,164 83	55,400.00	103,764 83	SALE
PEPSICO INCORPORATED / 713448108	04/12/13	1,000.0000	06/18/03	79,948 21	45,055 00	34,893.21	SALE

TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

344,708.69 112,355.00

UNKNOWN TERM GAINS OR LOSSES# - BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949, WITH BOX B OR E CHECKED AS APPLICABLE**

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
						Gain or Loss Amount	Additional Information
BRAZOS 10-1 A1 STUDENT / 10623PDU1							
LCAM FIN CORP FLOAT RAT							
MULTICLASS CMO CALLABLE							
CPI 0 000% DUE 06/25/35	12/25/13	5,000 0000	N/A	59.94	54.94	N/A	PRINCIPAL PAYMENT
SLM STUDENT LN 06-5 A4 / 83149EAD9							
FLOATING RATE							
CAP-0% FLR 0 08%							
CPI 0 000% DUE 04/25 23	07/25/13	15,000.0000	N/A	955 29	955.29	N/A	PRINCIPAL PAYMENT
	10/25/13	15,000.0000	N/A	957.06	957.06	N/A	PRINCIPAL PAYMENT

TOTAL UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

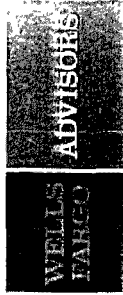
1,972.29 1,972.29

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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2013 AMENDED FORMS 1099



Page 5 of 12

Amended Date: 2/14/14

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

Your Financial Advisor:

LEWITTES/APGAR
375 PARK AVENUE
10TH FLOOR
NEW YORK, NY 10152
(212) 214-3300

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
U/A DTD 01/27/1998
P O BOX 4983
POUGHKEEPSIE NY 12602-4983

Account Number: 4765-2976

Your Federal Identification Number: 14-6185658

Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in *italics*. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
ACCESS NATIONAL CORP / 004337101	600.0000	05/16/12	7,368.45	7,650.00	-281.55	
	300.0000	05/16/12	3,684.23	3,804.00	-119.77	
	100.0000	05/16/12	1,228.08	1,266.00	-37.92	
	1,000.0000	05/16/12	12,280.76	12,720.00	-439.24	SALE
						TOTAL 3 LOTS
ADVISORY BOARD CO/THE / 00762W107	17.0000	04/12/13	1,147.34	896.79	250.55	SALE
ANSYS INC / 03662Q105	27.0000	04/12/13	2,020.49	2,114.28	-93.79	SALE
	7.0000	04/12/13	522.85	548.15	-25.30	SALE
COMPUTER PROGRAMS & SYSTEM / 205306103	4.0000	04/12/13	108.40	220.44	0.00	SALE
	9.0000	04/12/13	441.09	495.99	0.00	SALE

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2013 AMENDED FORMS 1099

Page 6 of 12

Amended Date: 2/14/14

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Payer ID # 23-2384840

Account Number: 4765-2976
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED **continued**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
EXPONENT INC / 30214U102						
11/12/13	3.0000	04/12/13	222.69	157.90	64.79	SALE
11/13/13	17.0000	04/12/13	1,250.54	894.75	355.79	SALE
11/14/13	2.0000	04/12/13	148.19	105.27	42.92	SALE
11/15/13	6.0000	04/12/13	446.90	315.80	131.10	SALE
11/18/13	3.0000	04/12/13	226.09	157.90	68.19	SALE
11/19/13	6.0000	04/12/13	447.87	315.80	132.07	SALE
11/20/13	12.0000	04/12/13	894.04	631.58	262.46	SALE
11/21/13	13.0000	04/12/13	971.22	684.22	287.00	SALE
HOMEOWNERS CHOICE INC / 43741E103						
04/12/13	1,000.0000	05/16/12	27,137.39	14,675.40	12,461.99	SALE
LINCOLN ELECTRIC HLDGS / 533900106						
04/19/13	20.0000	04/12/13	1,013.88	1,052.72	-38.84	SALE
04/22/13	19.0000	04/12/13	955.45	1,000.09	-44.64	SALE
10/17/13	23.0000	04/12/13	1,575.99	1,210.63	365.36	SALE
ORCHIDS PAPER PRODUCTS / 68572N104						
CO						
	800.0000	05/17/12	18,287.39	14,311.92	3,975.47	
	100.0000	05/17/12	2,285.92	1,788.00	497.92	
	100.0000	05/17/12	2,285.93	1,788.60	497.33	
	1,000.0000	05/17/12	22,859.24	17,888.52	4,970.72	SALE
04/12/13						TOTAL 3 LOTS

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001 / P-22 / P2LA

2013 AMENDED FORMS 1099

Page 7 of 12

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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
OWENS & MINOR INC NEW / 690732102							
	10/03/13	31.0000	04/12/13	1,060.75	990.55	70.20	SALE
	10/04/13	26.0000	04/12/13	895.38	830.78	64.60	SALE
	10/07/13	37.0000	04/12/13	1,265.34	1,182.27	83.07	SALE
	10/08/13	16.0000	04/12/13	546.11	511.25	34.86	SALE
PEOPLES BANCORP INC / 709789101							
	04/12/13	870.0000	05/16/12	18,222.04	17,217.30	1,004.74	TOTAL 3 LOTS
		100.0000	05/16/12	2,094.49	1,967.00	127.49	
		30.0000	05/16/12	628.35	589.80	38.55	
		1,000.0000	05/16/12	20,944.88	19,774.10	1,170.78	
POOL CORP / 73278L105							
	04/19/13	25.0000	04/12/13	1,195.52	1,222.02	-26.50	SALE
	04/22/13	26.0000	04/12/13	1,236.44	1,270.90	-34.46	SALE
	10/04/13	1.0000	04/12/13	55.07	48.89	6.18	SALE
	10/07/13	31.0000	04/12/13	1,685.66	1,515.30	170.36	SALE
	10/08/13	26.0000	04/12/13	1,393.15	1,270.89	122.26	SALE
ROLLINS INC / 775711104							
	11/21/13	36.0000	04/12/13	1,011.68	847.16	164.52	SALE
	11/22/13	45.0000	04/12/13	1,270.63	1,058.94	211.69	SALE

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2013 AMENDED FORMS 1099

Page 8 of 12

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Payer ID # 23-2384840

Account Number: 4765-2976
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount Additional Information
THOR INDUSTRIES / 885160101 10/03/13	29.0000	04/12/13	1,667.06	1,067.11	599.95 SALE

TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS
REPORTED TO IRS

108,988.09 87,676.39 21,388.64

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount Additional Information
BANK KY FINL CORP COM / 062896105 04/12/13	500.0000	07/12/11	13,288.10	12,598.75	689.35 SALE
TAL INTERNATIONAL GROUP / 874083108 INC 04/12/13	400.0000	01/19/11	17,621.89	11,219.76	6,402.13 SALE
TESCO TECHNOLOGIES INC / 872386107 04/12/13	500.0000	03/26/12	10,608.56	11,804.00	-1,195.44 SALE
TRIANGLE CAPITAL CORP / 895848109 04/12/13	1,000.0000	07/12/11	27,126.39	18,777.00	8,349.39 SALE

TOTAL LONG TERM GAINS OR LOSSES FOR BASIS
REPORTED TO IRS

68,644.94 54,349.57

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2013 AMENDED FORMS 1099

Page 9 of 12

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Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1c-Quantity Sold	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
TAL INTERNATIONAL GROUP / 874083108 INC	04/12/13	500 0000	22,027.35	13,603.50	8,423.85	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					8,423.85	

*Box 2a Sales price less commissions and option premiums

**For noncovered securities, the following information is not reported to the IRS: COST OR OTHER BASIS (Box 3), DATE OF ACQUISITION (Box 1b), TYPE OF ACQUISITION (Box 1c), WASH SALE LOSS AMOUNT DISALLOWED (Box 5)

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2013 ENHANCED 1099

Page 5 of 28



As of Date: 2/07/14

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U/A DTD 01/27/1998
PO BOX 4983
POUGHKEEPSIE NY 12602-4983

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

Account Number: 1667-8141
Your Federal Identification Number: 14-6185658

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SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 9949 WITH BOX A CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1c-Quantity Sold	2a-Sales Price*	3-Cost or Other Basis	Supplemental information not reported to the IRS	
					Amount	Additional Information
AMERICAN CAPITAL AGENCY / 02503X105 CORP 04/11/13	07/23/12	200.0000	6,548.65	6,917.40	-368.75	SALE
CHINA MOBILE LTD / 16941M109 SPONS ADR 04/11/13	04/12/12	750.0000	40,176.60	41,021.63	-845.03	SALE
HERSHEY COMPANY / 427866108	07/23/12	300.0000	26,027.41	21,195.00	4,832.41	
	07/23/12	196.0000	17,004.58	13,851.32	3,153.26	
	07/23/12	4.0000	347.04	282.64	64.40	
	07/23/12	500.0000	43,379.03	35,328.96	8,050.07	SALE
						TOTAL 3 LOTS
HOME DEPOT INC / 437076102 04/11/13	05/29/12	500.0000	35,949.19	24,882.60	11,066.59	SALE
P P G INDUSTRIES INC / 693506107 04/11/13	06/04/12	200.0000	26,937.40	19,498.00	7,439.40	SALE
WISCONSIN ENERGY CORP / 976657106	04/12/12	500.0000	21,599.51	17,422.50	4,177.01	

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2013 ENHANCED 1099

Page 6 of 28

As of Date: 2/07/14

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Payer ID #: 23-2384840

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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

a-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
04/11/13	500.0000	03/21/13	21,599.52	20,700.50	899.02	
	1,000.0000	Various	43,199.03	38,123.00	5,076.03	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS					30,418.31	TOTAL 2 LOTS

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

a-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
BT GROUP PLC-ADR / 05577E101 04/11/13	200.0000	08/25/11	8,595.81	5,350.20	3,245.61	SALE
04/11/13	300.0000	08/25/11	12,895.21	8,025.30	4,869.91	SALE
CHESAPEAKE UTILITIES / 165303108 CORP 04/11/13	500.0000	04/07/11	25,129.44	21,400.00	3,729.44	SALE
GLAXOSMITHKLINE PLC-ADR / 37733W105	200.0000	12/09/11	9,146.59	8,919.04	227.55	
	100.0000	12/09/11	4,573.30	4,460.00	113.30	
02/01/13	300.0000	12/09/11	13,719.89	13,379.04	340.85	SALE
TOTAL 2 LOTS						
NESTLE S A REG ADR / 641069406 04/11/13	130.0000	03/22/12	9,455.99	8,031.40	1,424.59	SALE
TAL INTERNATIONAL GROUP / 874083108 IIC 04/11/13	300.0000	01/19/11	13,166.71	13,222.70		SALE

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Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 **OMB NO. 1545-0715**

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
						Gain or Loss Amount	Additional Information
TRANSCANADA CORP / 89353D107							
		300 0000	03/22/12	14,606.67	13,041.00	1,565.67	
		100 0000	03/22/12	4,868.89	4,346.90	521.99	
		100 0000	03/22/12	4,868.89	4,346.93	521.96	
04/11/13		500.0000	03/22/12	24,344.45	21,734.83	2,609.62	SALE
							TOTAL 3 LOTS
UNILEVER PLC SPONS ADR / 904767704							
04/11/13		700 0000	08/25/11	29,952.33	23,020.20	6,932.13	SALE
WYNDHAM WORLDWIDE CORP / 98310W108							
		300 0000	01/10/12	19,229.56	11,641.80	7,587.76	
		200 0000	03/27/12	12,819.72	9,142.00	3,677.72	
		100.0000	03/27/12	6,409.86	4,570.40	1,839.46	
04/11/13		600 0000	Various	38,459.14	25,354.20	13,104.94	SALE
							TOTAL 3 LOTS

**TOTAL LONG TERM GAINS OR LOSSES FOR BASIS
REPORTED TO IRS**

139.447.87

175.718.97

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Supplemental Information not reported to the IRS Additional Information
ABERDEEN ASIA PACIFIC / 003009107		2,000 0000	02/20/03	15,359 65	9,845 00	5,514 65	
INCOME FD INC		3,000 0000	05/15/03	23,039 48	16,565 00	6,474 48	
		5,000 0000	05/04/05	38,399 15	33,133 00		
		10,000 0000	Various	76,798 28	54,534 60		
04/11/13					SALE		TOTAL 3 LOTS

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1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
						Gain or Loss Amount	Additional Information
B&G FOODS INC CLASS A / 05508R106							
04/11/13		100.0000	12/01/10	2,944.93	1,158.21	1,786.72	SALE
04/11/13		300.0000	12/01/10	8,840.95	3,474.69	5,366.26	SALE
		1,000.0000	11/22/10	29,456.83	11,342.33	18,114.50	
		600.0000	12/01/10	17,674.11	9,511.97	10,724.75	
04/11/13		1,600.0000	Various	47,130.94	30,854.30	28,839.25	SALE
							TOTAL 2 LOTS
BAIDU INC ADR / 056752108							
04/11/13		200.0000	12/01/10	18,049.60	21,346.88	-3,297.28	SALE
BIOGEN IDEC INC / 09062X103							
04/11/13		1,000.0000	11/13/03	198,935.54	35,365.00	163,570.54	SALE
BRITISH AMERN TOB PLC / 110448107							
SPON ADR							
04/11/13		270.0000	12/01/10	29,904.53	19,774.80	10,129.73	SALE
CHESAPEAKE UTILITIES / 165303108							
CORP							
04/11/13		700.0000	12/22/10	35,181.21	29,115.80	6,065.41	SALE
COMPANHIA DE BEBIDAS / 20441W203							
DAS AMERSAMBEV SPONS							
ADR REPSTG PFD SHS							
04/11/13		1,500.0000	12/22/10	62,683.60	45,082.05	17,601.55	SALE
DOMINION RES INC VA NEW / 25746U109							
04/11/13		800.0000	10/22/04	48,190.92	25,580.00	22,610.92	SALE

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2013 ENHANCED 1099



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As of Date: 2/07/14

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

Your Financial Advisor :

LOUIS GREENSPAN CHARITABLE TR
GARY D KOCH TTEE
DANIEL CURTIN TTEE
U/A DTD 01/27/1998
PO BOX 4983
POUGHKEEPSIE NY 12602-4983

LEWITTES/APGAR
375 PARK AVENUE
10TH FLOOR
NEW YORK, NY 10152
(212) 214-3300

Account Number: 1667-8141

Your Federal Identification Number: 14-6185658

Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED** continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
GENUINE PARTS CO COM / 372460105 04/11/13	400 0000	12/01/10	31,215.30	19,338.56	11,876.74	SALE
KAYNE ANDERSON ENERGY / 48660P104 TOTAL RETURN FUND 04/11/13	1,300 0000	01/12/10	38,024.15	30,010.32		SALE
POLARIS INDS INC / 731068102 04/11/13	50.0000	12/01/10	4,393.90	1,847.01	2,546.89	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			602,293.85	312,450.62		

*Box 2a: Sales price less commissions and option premiums

**For noncovered securities, the following information is not reported to the IRS: COST OR OTHER BASIS (Box 3), DATE OF ACQUISITION (Box 1b), TYPE OF GAIN OR LOSS (Box 1c), WASH SALE LOSS AMOUNT DISALLOWED (Box 5)

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2013 AMENDED FORMS 1099



Page 5 of 19

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Your Financial Advisor :
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10TH FLOOR
NEW YORK, NY 10152
(212) 214-3300

Amended Date: 2/21/14
Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

Account Number: 4865-0275
Your Federal Identification Number: 14-6185658

Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in italics. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
						Gain or Loss	Amount Additional Information
ADIDAS AG-SPON / 00687A107 ADR							
10/29/13		30.0000	04/12/13	1,731.63	1,532.70	198.93	SALE
ANHEUSER BUSCH INBEV / 03524A108 SAINV-SPONSORED ADR							
04/12/13		500.0000	05/29/12	49,974.88	34,426.15	15,548.73	SALE
BANCO SANTANDER-CHILE- / 05965X109 ADR							
05/28/13		114.0000	04/12/13	2,911.38	3,123.71	-212.33	SALE
BANCOLOMBIA S A / 05968L102 SPONSORED ADR REPSTG 4 PREF SHS							
08/14/13		1.0000	04/12/13	59.85	66.24	0.00	SALE
BARCLAYS PLC ADR / 06738E204							
11/15/13		24.0000	04/12/13	386.87	440.29	-53.42	SALE

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2013 AMENDED FORMS 1099

Page 6 of 19

Amended Date: 2/21/14

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POUGHKEEPSIE NY 12602-4983

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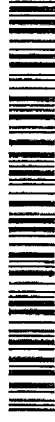
Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price *	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
BNP PARIBAS ADR / 05565A202 05/22/13	32.0000	04/12/13	924.48	854.72	69.76	SALE
COCA COLA HBC AG / 191223106 SPONSORED ADR 11/15/13	4.0000	07/19/13	113.30	98.80	14.50	SALE
COMPANHIA DE BEBIDAS / 20441W203 DAS AMERSAMBEV SPONS ADR REPSTG PFD SHS 04/22/13	41.0000	04/12/13	1,616.95	1,687.15	-70.20	SALE
COVIDIEN PLC / G2554F113 08/14/13	1.0000	04/12/13	63.52	63.18		SALE
DASSAULT SYSTEMS / 237545108 SPONSORED ADR 09/11/13	6.0000	04/12/13	770.91	701.10	69.81	SALE
ECOPETROL SA-SPON ADR / 279158109	20.0000	04/12/13	775.76	936.20	-210.44	TOTAL 2 LOTS
	2.0000	07/22/13	77.58	92.10	-14.52	
12/27/13	22.0000	Various	853.34	1,078.30	-224.96	
EMBRAER SA ADR / 29032A107 SPONS ADR REPSTG PFD SHS	30.0000	04/12/13	969.45	1,070.10	-100.65	TOTAL 2 LOTS
	2.0000	07/22/13	64.63	78.36	-13.73	
12/17/13	32.0000	Various	1,034.08	1,148.46	-114.38	

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2013 AMENDED FORMS 1099

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WELLS
FARGO

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Payer ID # 23-2384840

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POUGHKEEPSIE NY 12602-4983

Account Number: 4865-0275
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
FANUC CORP / 307305102 UNSPONSORED ADR 08/14/13	4 0000	04/12/13	104.43	103.00	1.43	SALE
FLUJ HEAVY INDS LTD ADR / 359556206 11/15/13	7.0000	04/22/13	394.54	252.00	142.54	SALE
GEMALTO NV SPONSORED ADR / 36863N208 08/14/13	1.0000	04/12/13	56.91	43.84	13.07	SALE
GRUPO TELEvisa S A / 40049J206 DE CV GLOBAL DEP RCPT REP ADR 144A PARTN CIF 06/17/13	99 0000	04/12/13	2,344.33	2,725.47	-381.14	SALE
HENKEL AG & CO KGAA / 42550U208 11/15/13	13.0000	04/12/13	1,417.91	1,233.18	184.73	SALE
HONDA MOTOR LTD NEW / 438128308 AMERICAN SHARES 10/76 04/22/13	31 0000	04/12/13	1,221.68	1,229.48	-7.80	SALE
04/22/13	24.0000	04/12/13	945.80	951.35	0.00	SALE
12/27/13	33.0000	04/12/13	1,353.25	1,308.79	44.46	
	14 0000	05/12/13	574.11	577.59		
	47 0000	Various	1,927.36	1884.39		
						TOTAL 2 LOTS
HSBC HOLDINGS PLC-SPON / 404280406 ADR 05/22/13	10.0000	04/12/13	572.45	527.67	44.78	SALE

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2013 AMENDED FORMS 1099

Page 8 of 19

Amended Date: 2/21/14

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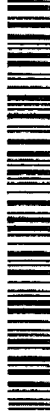
Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 **OMB NO. 1545-0715**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
08/14/13	1.0000	04/12/13	55.92	52.77	3.15	SALE
11/15/13	4.0000	04/12/13	222.70	211.07	11.63	SALE
ISRAEL CHEMICALS-UNSPON / 465036200 ADR						
06/07/13	78.0000	04/12/13	864.82	966.34	-101.52	SALE
JGC CORP-UNSPONSORED ADR / 466140100						
08/14/13	1.0000	04/12/13	71.54	53.27	18.27	SALE
11/15/13	3.0000	04/12/13	228.75	159.81	68.94	SALE
KONINKLIJKE AHOLD ADR / 500467402						
08/23/13	67.0000	04/12/13	1,153.24	1,061.88	91.36	TOTAL 2 LOTS
	8.0000	07/22/13	137.71	134.56	3.15	
	75.0000	Various	1,290.95	1,196.44	94.51	
KUBOTA CORP / 501173207						
08/14/13	1.0000	04/12/13	76.69	73.58	3.11	SALE
LUXOTTICA GROUP S P A / 55068R202 SPONSORED ADR						
12/27/13	29.0000	04/12/13	1,536.95	1,485.67	51.28	SALE
LVMH MOET HENNESSY / 502441306 LOUIS VUITTON ADR						
12/27/13	20.0000	04/12/13	723.99	687.00	36.99	SALE
MALLINCKRODT PLC / G5785G107						
06/28/13	0.8750	Various	37.34		0.00	CASH IN LIEU

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2013 AMENDED FORMS 1099



Page 9 of 19

Amended Date: 2/21/14

Your Financial Advisor :
 LEWITT/APGAR
 375 PARK AVENUE
 10TH FLOOR
 NEW YORK, NY 10152
 (212) 214-3300

Payer:
 FIRST CLEARING, LLC
 2801 MARKET STREET
 SAINT LOUIS MO 63103
 Payer ID #: 23-2384840

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 GARY D KOCH TTEE
 U/A DTD 01/27/1998
 P.O. BOX 4983
 POUGHKEEPSIE NY 12602-4983

Account Number: 4865-0275
 Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
 1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
	3 3846	04/12/13	143.46			
	0.6154	04/30/13	26.08			
	0 7404	05/06/13	31.38			
	0 1346	05/24/13	5.70			
	1.1250	07/22/13	47.70			
10/30/13	6.0000	Various	254.32	87.34	SALE	TOTAL 5 LOTS
MTN GROUP LTD-SPONS ADR / 62474M108						
08/14/13	4.0000	04/12/13	80.80	73.04	7.76	SALE
RECKITT BENCKISER PLC / 756255204						
SPONSORED ADR						
12/11/13	62.0000	04/12/13	959.87	835.36	74.51	SALE
RYANAIR HLDGS PLC / 783513104						
SPONSORED ADR						
08/14/13	1.0000	04/12/13	51.50	43.20	8.30	SALE
08/16/13	9 0000	04/12/13	448.26	388.80	59.46	SALE
SAP AG-SPONSORED ADR / 803054204						
08/14/13	1 0000	04/12/13	75.99	77.77	0.00	SALE
SCHLUMBERGER LTD / 806857108						
05/15/13	18.0000	04/12/13	1,361.57	1,358.06	3.51	SALE

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2013 AMENDED FORMS 1099

Page 10 of 19

Amended Date: 2/21/14

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Payer ID # 23-2384840

Account Number: 4865-0275
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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
						Gain or Loss Amount	Additional Information
SOCIEDAD QUIMICA MIN- / 833635105 ERAL DE CHILE S A SPONS ADR REPSTG SER B SHS		26.0000	04/12/13	700.92	1,337.70	-636.78	
		3.0000	07/22/13	80.87	115.35	-34.48	
		7.0000	08/07/13	188.72	197.59	-8.87	
11/15/13		36.0000	Various	970.51	1,650.64	-680.13	SALE
							TOTAL 3 LOTS
TECHNIP-SA-ADR / 878546209		33.0000	04/12/13	899.25	890.67	8.58	SALE
05/15/13							
TURKIYE GARANTI BANKASI / 900148701 ADR		143.0000	04/12/13	862.84	773.63	89.21	SALE
05/22/13							
08/20/13		111.0000	04/12/13	407.36	600.51	-193.15	SALE
08/20/13		85.0000	04/12/13	311.93	459.85	0.00	SALE
		263.0000	04/12/13	929.18	1,174.91	-493.65	
		85.0000	04/12/13	300.31	501.52	-201.21	
		80.0000	06/14/13	282.65	382.69	-100.04	
08/21/13		428.0000	Various	1,512.14	2,157.12	-644.98	
							TOTAL 3 LOTS
VOLKSWAGEN AG-SPONS ADR / 928662402 PFD							
11/15/13		2.0000	04/22/13	104.92	74.37	30.55	SALE
ZURICH INSURANCE GROUP / 989825104 ADR		78.0000	04/12/13	2,004.67	2,094.22	-89.55	
		8.0000	07/22/13	205.61	216.95	-11.34	

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001 / P' / P2LA

2013 AMENDED FORMS 1099

Page 11 of 19

WELLS
FARGO

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Amended Date: 2/21/14

Account Number: 4865-0275
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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis		Supplemental Information not reported to the IRS	
				Amount	Additional Information	Gain or Loss	
09/27/13	88 0000	Various	51.41	57.37	SALE		TOTAL 3 LOTS

TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS
REPORTED TO IRS

95,079.20 70,446.78

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis		Supplemental Information not reported to the IRS	
				Amount	Additional Information	Gain or Loss	
ARTIS REAL ESTATE / 04315L105 INVESTMENT TRUST 04/12/13	1,000 0000	01/19/11	16,120.94	13,786.60	SALE		
BCE INC / 05534B760	200 0000	01/19/11	9,223.79	7,123.08		2,100.71	
	100 0000	01/19/11	4,611.90	3,552.00		1,049.90	
	300 0000	01/10/12	13,835.70	12,418.20		1,417.50	
04/12/13	600 0000	Various	27,671.39	23,103.28	SALE	4,568.11	TOTAL 3 LOTS

TOTAL LONG TERM GAINS OR LOSSES FOR BASIS
REPORTED TO IRS

43,792.33 36,859.88 6,902.45

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2013 AMENDED FORMS 1099

Page 12 of 19

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 Your Federal Identification Number: 14-6185658

Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental information not reported to the IRS	
					Gain or Loss Amount	Additional Information
BASF SE SPONSORED ADR / 055262505 04/12/13	478.0000	03/19/10	42,801.88	28,990.70	13,811.18	SALE
BCE INC / 05534B760						
	500.0000	12/01/10	23,059.48	17,161.90	5,897.58	
	200.0000	12/22/10	9,223.79	7,043.00	2,180.79	
04/12/13	700.0000	Various	32,283.27	24,204.90	8,078.37	SALE
						TOTAL 2 LOTS
BRITISH AMERN TOB PLC / 110448107 SPON ADR 04/12/13	22.0000	12/01/10	2,434.25	1,611.28	822.97	SALE

**TOTAL LONG TERM GAINS OR LOSSES FOR
NONCOVERED SECURITIES**

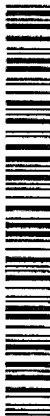
77,519.40 54,806.88 22,712.52

*Box 2a Sales price less commissions and option premiums

**For noncovered securities, the following information is not reported to the IRS: COST OR OTHER BASIS (Box 3), DATE OF ACQUISITION (Box 1b), TYPE OF GAIN OR LOSS (Box 1c), WASH SALE LOSS AMOUNT DISALLOWED (Box 5)

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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2013 AMENDED FORMS 1099



Page 5 of 15

Amended Date: 2/21/14

Your Financial Advisor :

LEWITTES/APGAR
375 PARK AVENUE
10TH FLOOR
NEW YORK, NY 10152
(212) 214-3300

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

LOUIS GREENSPAN CHARITABLE TR
GARY D KOCH TTEE
DANIEL CURTIN TTEE
U/A DTD 01/27/1988
P O BOX 4983
POUGHKEEPSIE NY 12602-4983

Account Number: 7168-5337
Your Federal Identification Number: 14-6185658

Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder. TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in *italics*. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1e-Quantity Sold	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
ABB LTD -SPONS ADR / 000375204 08/26/13	47 0000	04/12/13	1,057.57	1,065.84	-8.27	SALE
ASTRAZENECA PLC / 046353108 SPON ADR 07/25/13	1.0000	04/12/13	50.53	51.15	0.00	SALE
BAE SYSTEMS PLACE / 05523R107 07/25/13	4 0000	04/12/13	108.02	98.36	9.66	SALE
BOC HONG KONG HOLDINGS / 096813209 SPONS ADR 07/25/13	1.0000	04/12/13	62.68	66.78	0.00	SALE
08/27/13	9 0000	04/12/13	565.86	601.02	-35.16	SALE
09/13/13	8.0000	04/12/13	512.48	534.24	-21.76	SALE
CANADIAN OIL SANDS LTD / 13643E105 08/26/13	46.0000	04/12/13	886.75	911.63		SALE

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2013 AMENDED FORMS 1099

Amended Date: 2/21/14

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P.O. BOX 4983
POUGHKEEPSIE NY 12602-4983

Account Number: 7168-5337
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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
DEUTSCHE POST AG / 25157Y202 SPONSORED ADR 07/22/13	12 0000	04/12/13	330.71	277 20	53.51	SALE
07/25/13	6 0000	04/12/13	170.16	138 60	31.56	SALE
GDF SUEZ SPON ADR / 36160B105 07/25/13	5 0000	04/12/13	107 10	106.35	0.75	SALE
ISRAEL CHEMICALS-UNSPON / 465036200 ADR 07/25/13	15.0000	04/12/13	146 06	186.00	-39.94	SALE
07/29/13	304.0000	04/12/13	2,925.46	3,769.43	-843.97	SALE
11/06/13	99.0000	04/12/13	838 04	1,227 54	-389.50	SALE
LUKOIL OIL CO / 677862104 SPONS ADR RUSSIA 09/13/13	19.0000	04/12/13	1,170.60	561.40		SALE
MANULIFE FINL CORP / 56501R106 07/22/13	25.0000	04/12/13	443.00	351.75	91.25	SALE
07/25/13	3.0000	04/12/13	53 70	42 21	11.49	SALE
MTN GROUP LTD-SPONS ADR / 62474M108 09/13/13	44 0000	04/12/13	829.32	792.44	36.88	SALE

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2013 AMENDED FORMS 1099

Page 7 of 15

Amended Date: 2/21/14

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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
MUENCHENER / 626188106 RUECKVERSICHERUNGS- GESELLSCHAFT AG ADR 07/25/13	7.0000	04/12/13	138.74	140.98	0.00	SALE
08/27/13	45.0000	04/12/13	855.51	906.30	-50.79	SALE
PERUSAHAAN PERSEROAN / 715684106 PERSERO PT INDONESIA TELEKOMUNIKASI SPON ADR 08/26/13	8.0000	04/12/13	300.89	366.43	-65.54	SALE
10/18/13	27.0000	04/12/13	1,115.26	1,236.71	-121.45	SALE
PETROCHINA CO LTD / 71646E100 08/15/13	20.0000	04/12/13	2,360.76	2,542.20	-181.44	SALE
RECKITT BENCKISER PLC / 756255204 SPONSORED ADR 09/13/13	65.0000	04/12/13	938.49	928.20	10.29	SALE
ROCHE HOLDINGS LTD ADR / 771195104 07/25/13	2.0000	04/12/13	126.21	121.54	4.67	SALE
08/26/13	19.0000	04/12/13	1,245.31	1,154.63	90.68	SALE
SANOFI ADR / 80105N105 07/25/13	1.0000	04/12/13	52.95	52.22	0.73	SALE
08/26/13	5.0000	04/12/13	254.23	261.06	-6.83	SALE
09/13/13	2.0000	04/12/13	95.64	104.43	-8.79	SALE

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2013 AMENDED FORMS 1099

Page 8 of 15

Amended Date: 2/21/14

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POUGHKEEPSIE NY 12602-4983

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Account Number: 7168-5337
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information

SINGAPORE / 82929R304 TELECOMMUNICATIONS-ADR 09/16/13	16 0000	04/12/13	464.43	460.63	3.80	SALE
STATOIL ASA / 85771P102 08/26/13	39 0000	04/12/13	836.26	935.52	-99.26	SALE
TAIWAN SEMICONDUCTOR / 874039100 MFG CO LTD ADR 07/25/13	4.0000	04/12/13	68.44	69.31	0.00	SALE
08/26/13	24.0000	04/12/13	390.01	415.81	-25.80	SALE
TESCO PLC SPON ADR / 881575302 08/27/13	64 0000	04/12/13	1,105.05	1,150.02	-44.97	SALE
TOTAL S A SPONS ADR / 89151E109 07/25/13	1 0000	04/12/13	52.89	48.74	4.15	SALE
UNILEVER NV / 904784709 11/06/13	8.0000	04/12/13	312.42	334.69	-22.27	SALE

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2013 AMENDED FORMS 1099

Page 9 of 15



LOUIS GREENSPAN CHARITABLE TR
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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental Information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount Additional Information
UNITED OVERSEAS BK LTD / 911271302 SPONSORED ADR 09/16/13	24.0000	04/12/13	805.70	790.56	15.14 SALE
VODAFONE GROUP PLC / 92857W209 SPONSORED ADR NEW 07/25/13	2.0000	04/12/13	59.44	58.75	0.69 SALE
09/17/13	84.0000	04/12/13	2,825.61	2,467.50	358.11 SALE
ZURICH INSURANCE GROUP / 989825104 ADR 09/13/13	21.0000	04/12/13	529.62	566.35	-36.73 SALE

TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS

25,191.90 26,144.57

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental Information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount Additional Information
NESTLE SA REG ADR / 641069406 04/12/13	275.0000	03/22/12	19,934.58	16,989.50	2,945.08 SALE
07/25/13	1.0000	03/22/12	67.23	61.78	5.45 SALE
08/26/13	16.0000	03/22/12	1,086.67	988.48	98.19 SALE
RIOCAN REAL ESTATE INVT / 766910103 TR UNIT 04/12/13	344.0000	04/07/11	9,438.81	8,830.07	608.74 SALE

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2013 AMENDED FORMS 1099

Page 10 of 15

Amended Date: 2/21/14

Your Financial Advisor :
 LEWITTES/APGAR
 375 PARK AVENUE
 10TH FLOOR
 NEW YORK, NY 10152
 (212) 214-3300

Payer:
 FIRST CLEARING, LLC
 2801 MARKET STREET
 SAINT LOUIS, MO 63103
 Payer ID #: 23-2384840

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 DANIEL CURTIN TTEE
 U/A DTD 01/27/1988
 P.O. BOX 4983
 POUGHKEEPSIE NY 12602-4983

Account Number: 7168-5337
 Your Federal Identification Number: 14-6185658

Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 **OMB NO. 1545-0715**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental Information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount Additional Information
08/27/13	77.0000	04/07/11	1,749.03	1,976.50	-227.47 SALE
09/13/13	42.0000	04/07/11	978.14	1,078.09	-99.95 SALE
ROYAL DUTCH SHELL PLC / 780259107					
ADR B					
04/12/13	442.0000	04/11/12	29,559.15	30,630.60	-1,071.45 SALE
08/26/13	4.0000	04/11/12	267.52	277.20	-9.68 SALE
SEADRILL LTD / G7945E105					
	400.0000	01/19/11	14,481.43	13,478.40	1,003.03
	100.0000	01/19/11	3,620.36	3,369.90	250.46
	200.0000	03/16/11	7,240.72	6,834.20	406.52
04/12/13	700.0000	Various	25,342.51	23,682.50	1,660.01 SALE
TOTAL 3 LOTS					

TOTAL LONG TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS

88,423.64 84,514.72 3,908.92

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 **OMB NO. 1545-0715**

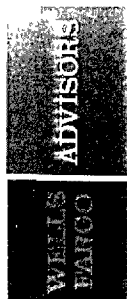
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental Information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount Additional Information
PEMBINA PIPELINE CORP / 706327103					
04/12/13	1,000.0000	12/01/10	31,246.30	20,887.80	10,358.50 SALE
PEVTO EXPLORATION & / 717046106					
DEVELOPMENT CORP					
04/12/13	1,000.0000	12/22/10	27,360.69	18,952.00	8,408.69 SALE

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2013 AMENDED FORMS 1099



Page 11 of 15

Amended Date: 2/21/14

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Payer:

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2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

Account Number: 7168-5337
Your Federal Identification Number: 14-6185658

Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED** *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental information not reported to the IRS	
					Gain or Loss Amount	Additional Information
RIOCAN REAL ESTATE INVT / 766910103 TR UNIT 04/12/13	500.0000	12/22/10	13,719.19	16,127.21		SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			72,326.18	49,967.61		

*Box 2a Sales price less commissions and option premiums

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001 / PNZ2 / P2LA

2013 AMENDED FORMS 1099

Page 6 of 16

Amended Date: 2/14/14

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

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375 PARK AVENUE
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NEW YORK, NY 10152
(212) 214-3300

Account Number: 6269-5063

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						Gain or Loss	Additional Information
ALLIANZ GLOBAL INV / 01882B304 MANAGED ACCOUNTS TR FIXED INCOME SHS SER M	06/13/13	1,790.0000	04/17/13	19,654.20	20,191.20	-537.00	SALE
	07/16/13	1,030.0000	04/17/13	11,062.20	11,618.40	-556.20	SALE
		10.0000	04/17/13	107.40	112.90	0.00	
		380.0000	04/17/13	4,081.20	4,256.40	0.00	
07/16/13		390.0000	04/17/13	4,188.60	4,359.20	0.00	SALE
						TOTAL 2 LOTS	
ALLIANZGI MANAGED ACCT / 01882B205 TR FIXED INCOME SHS SERIES C	06/13/13	605.0000	04/17/13	7,955.75	8,240.10	-284.35	SALE
	07/16/13	975.0000	04/17/13	12,675.00	13,279.50	-604.50	SALE
		20.0000	04/17/13	260.00	272.40	0.00	
		310.0000	04/17/13	4,030.00	4,222.20	0.00	

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2013 AMENDED FORMS 1099

Page 7 of 16

WELLS
FARGO

ADVISORS

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Payer ID # 23-2384840

Account Number: 6269-5063
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SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
07/16/13	330.0000	04/17/13	4,290.00	4494.60	0.00	SALE TOTAL 2 LOTS
MCDONALDS CORP / 580135101 04/16/13	200.0000	01/15/13	20,524.49	18,228.48	2,296.01	SALE
MERCK & CO INC NEW / 58933Y105 04/16/13	500.0000	07/19/12	23,461.22	21,987.55	1,473.67	SALE
MICROSOFT CORP / 594918104 04/16/13	500.0000	05/16/12	14,489.73	15,064.95	-575.22	SALE
TOTAL S A SPONS ADR / 89151E109 04/16/13	500.0000	07/03/12	23,854.52	23,262.60	591.92	SALE
US BANCORP NEW / 902973304 04/16/13	400.0000	06/04/12	13,037.55	11,618.24	1,419.31	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS					155,193.26	153,354.82

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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2013 AMENDED FORMS 1099

Page 8 of 16

Amended Date: 2/14/14

Your Financial Advisor :
 LEWITTES/APGAR
 375 PARK AVENUE
 10TH FLOOR
 NEW YORK NY 10152
 (212) 214-3300

Payer:
 FIRST CLEARING, LLC
 2801 MARKET STREET
 SAINT LOUIS, MO 63103
 Payer ID # 23-2384840

LOUIS GREENSPAN CHARITABLE TR
 DANIEL CURTIN TTEE
 GARY D KOCH TTEE
 U/A DTD 01/27/1998
 P O BOX 4983
 POUGHKEEPSIE NY 12602-4983

Account Number : 6269-5063
 Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS			
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition
2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
FHLMC GOLD PASS THRU / 3128M6ZQ4			
POOL G04951 DTD 12/01/08			
CPN 5 000% DUE 11/01/38			
DTD 12/01/08 FC 01/15/09			
08/23/13	126,000 0000	05/15/13	18,558 98
		18,907 49	-348 51 SALE
US TREASURY WI / 912828UN8			
NOTES			
CPN 2 000% DUE 02/15/23			
DTD 02/15/13 FC 08/15/13			
07/16/13	3,000 0000	04/23/13	2,874 14
		3,081 57	-207 43 SALE
07/16/13	2,000 0000	04/23/13	1,916 09
		2,054 37	0 00 SALE
08/26/13	12,000 0000	04/23/13	11,223 76
		12,326 26	-1,102 50 SALE
08/26/13	2,000 0000	04/23/13	1 870 62
		2,054 37	0 00 SALE
US TREASURY / 912828TW0			
NOTES			
CPN 0 750% DUE 10/31/17			
DTD 10/31/12 FC 04/30/13			
07/16/13	5,000 0000	04/23/13	4,912 11
		5,029 11	-117 00 SALE
07/16/13	2,000 0000	04/23/13	1 964 84
		2,011 64	0 00 SALE

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2013 AMENDED FORMS 1099

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ADVISOR

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
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POUGHKEEPSIE NY 12602-4983

Your Financial Advisor :
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375 PARK AVENUE
10TH FLOOR
NEW YORK, NY 10152
(212) 214-3300

Amended Date: 2/14/14
Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Account Number: 6259-5063
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED**continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description * (CUSIP) 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis		Supplemental Information not reported to the IRS	
				Amount	Additional Information	Gain or Loss	
US TREASURY / 912828TE0 INFLATION INDEX NOTES CPN 0 125% DUE 07/15/22 08/15/13	7,000.0000	04/23/13	6,889.34	7,705.36		-864.32	SALE

TOTAL SHORT TERM GAINS OR LOSSES FOR
NONCOVERED SECURITIES

70,014.57 73,746.61

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description * (CUSIP) 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis		Supplemental Information not reported to the IRS	
				Amount	Additional Information	Gain or Loss	
INTERNATIONAL PAPER CO / 460146103 04/16/13	300.0000	02/06/12	14,231.71	9,409.47		4,822.24	SALE
LOCKHEED MARTIN CORP / 539830109 04/16/13	300.0000	01/10/12	28,757.39	24,302.76		4,454.63	SALE
PFIZER INCORPORATED / 717081103 04/16/13	500.0000	07/12/11	15,426.85	10,075.00		5,351.85	SALE

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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2013 AMENDED FORMS 1099

Page 10 of 16

Amended Date: 2/14/14

Your Financial Advisor :

LOUIS GREENSPAN CHARITABLE TR
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POUGHKEEPSIE NY 12602-4983

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Account Number: 6269-5063
Your Federal Identification Number: 14-6185658

Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental information not reported to the IRS	
					Amount	Additional Information
PHILIP MORRIS / 718172109 INTERNATIONAL INC 04/16/13	200.0000	08/25/11	19,024.28	13,808.60	5,215.68	SALE
PROCTER & GAMBLE CO / 742718109						
200.0000 10/31/11			16,004.92	12,904.00	3,100.92	
100.0000 10/31/11			8,002.46	6,451.75	1,550.71	
300.0000 10/31/11			24,007.38	19,355.75	4,651.63	SALE
04/16/13						TOTAL 2 LOTS
QUALCOMM INC / 747525103						
500.0000 03/22/12			32,955.76	33,173.50	-217.74	SALE
04/16/13						
TELUS CORP / 87971M103 COMMON SHARES						
500.0000 01/19/11			34,488.25	22,620.20	11,868.05	
200.0000 01/10/12			13,795.30	10,601.86	3,193.44	
700.0000 Various			48,283.55	33,222.06	15,061.49	SALE
04/16/13						TOTAL 2 LOTS
UNITEDHEALTH GROUP / 91324P102 INC						
200.0000 08/25/11			12,487.75	8,992.00	3,495.75	SALE
04/16/13						
XCEL ENERGY INC / 98389B100						
300.0000 01/10/12			9,133.18	8,245.53	887.65	SALE
04/16/13						
TOTAL LONG TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS					204,307.85	160,584.67
						43,723.18

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2013 AMENDED FORMS 1099

Page 11 of 16

WELLS
FARGO

ADVISORIAL

Amended Date: 2/14/14

Your Financial Advisor :

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
U/A DTD 01/27/1998
P O BOX 4983
POUGHKEEPSIE NY 12602-4983

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Account Number : 6269-5063
Your Federal Identification Number: 14-6185658

Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
MCDONALDS CORP / 580135101	500 0000	05/22/08	51,311.21	29,466.45	21,844.76	
	200 0000	12/22/10	20,524.48	15,416.00	5,108.48	
	700 0000	Various	71,835.69	44,882.45	26,953.24	SALE
04/16/13						TOTAL 2 LOTS
PHILIP MORRIS / 718172109 INTERNATIONAL INC						
04/16/13	400 0000	12/01/10	38,048.54	23,014.48	15,034.06	SALE
ROSS STORES INC / 778296103 (CALIF)						
04/16/13	600 0000	12/01/10	38,189.14	19,737.12	18,452.02	SALE
SCHLUMBERGER LTD / 806857108						
	250 0000	10/22/07	18,249.59	24,200.00	-5,950.41	
	250 0000	07/24/08	18,249.59	25,011.50	-6,761.91	
	500 0000	Various	36,499.18	49,211.50	-12,712.32	SALE
04/16/13						TOTAL 2 LOTS
TELUS CORP / 87971M103 COMMON SHARES						
04/16/13	500 0000	12/01/10	34,488.25	22,233.00	12,255.25	SALE
THE SOUTHERN COMPANY / 842587107						
04/16/13	1,000 0000	06/18/03	48,039.02	31,375.00	16,664.02	SALE
UNION PACIFIC CORP / 907818108						
04/16/13	300 0000	12/22/10	41,714.10	27,520.86	14,193.24	SALE
UNITEDHEALTH GROUP / 91324P102 INC						
04/16/13	250 0000	08/13/09	15,609.67	6,906.88	8,702.79	SALE

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2013 AMENDED FORMS 1099

Page 12 of 16

Amended Date: 2/14/14

Your Financial Advisor :

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
U/A DTD 01/27/1998
P O BOX 4983
POUGHKEEPSIE NY 12602-4983

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS MO 63103
Payer ID # 23-2384840

Account Number: 6269-5063
Your Federal Identification Number: 14-6185658

Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED** continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description - CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
VERIZON COMMUNICATIONS / 92343V104 COM	1.500 0000	07/15/09	75,993.55	35,622.92		SALE
04/16/13						

TOTAL LONG TERM GAINS OR LOSSES FOR
NONCOVERED SECURITIES

400,417.14 260,486.11

*Box 2a Sales price less commissions and option premiums

**For noncovered securities the following information is not reported to the IRS: COST OR OTHER BASIS (Box 3); DATE OF ACQUISITION (Box 1b); TYPE OF GAIN OR LOSS (Box 1c); WASH SALE LOSS AMOUNT DISALLOWED (Box 5)

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2013 ENHANCED 1099

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As of Date: 1/24/14

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Your Financial Advisor :

LEWITTES/APGAR
375 PARK AVENUE
10TH FLOOR
NEW YORK, NY 10152
(212) 214-3300

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
U/A DTD 01/27/1998
P O BOX 4983
POUGHKEEPSIE NY 12602-4983

Account Number: 3476-2585
Your Federal Identification Number: 14-6185658

Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in *italics*. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
BED BATH & BEYOND INC / 075896100	24.0000	04/12/13	1,828.49	1,569.52	258.97	SALE
ECOLAB INC / 278865100	15.0000	04/12/13	1,410.95	1,237.50	173.45	SALE
07/22/13	39.0000	04/12/13	3,533.60	3,217.50	316.10	
	7.0000	07/22/13	634.24	656.04	-21.80	
08/20/13	46.0000	Various	4,167.84	3,873.54	294.30	SALE
						TOTAL 2 LOTS
GLACIER BANCORP INC / 37637Q105	59.0000	04/12/13	1,632.36	1,075.72	556.64	SALE
11/07/13	75.0000	04/12/13	4,364.90	3,968.70	396.20	
INDEX CORP / 45167R104	10.0000	07/22/13	581.99	585.20	-3.21	
07/22/13	85.0000	Various	4,946.89	4,553.90	392.99	SALE
						TOTAL 2 LOTS
NOBLE CORP BAAR / H5833N103	74.0000	04/12/13	2,951.72	2,742.59	209.13	SALE
NAMEN-AKT						
05/16/13						

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2013 ENHANCED 1099

Page 6 of 17

As of Date: 1/24/14

Your Financial Advisor :

LEWITTES/APGAR
375 PARK AVENUE
10TH FLOOR
NEW YORK, NY 10152
(212) 214-3300

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
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U/A DTD 01/27/1998
P O BOX 4983
POUGHKEEPSIE NY 12602-4983

Account Number: 3476-2585
Your Federal Identification Number: 14-6185658

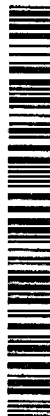
Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
PERRIGO COMPANY / 714290103 12/19/13	42 0000	Various	6,524.68	5,117.61	1,407.05	MERGER
RITCHIE BROS / 767744105 AUCTIONEERS INC	174.0000 23 0000 197 0000	04/12/13 07/22/13 Various	3,230.80 427.06 3,657.86	3,488.04 442.06 3,930.10	-257.24 -15.00 -272.24	SALE
SCANA CORP COM / 80589M102 04/12/13	300.0000	11/16/12	15,659.65	13,668.30	1,991.35	SALE
IECHNE CORP / 878377100 09/23/13	14.0000	04/12/13	1,128.44	913.52	214.92	SALE
12/20/13	11.0000	04/12/13	1,013.16	717.77	295.39	SALE
TIFFANY & CO NEW / 886547108 05/22/13	15.0000	04/12/13	1,159.16	1,095.89	63.27	SALE
TUX COS INC NEW / 872540109 07/22/13	48 0000	04/12/13	2,505.23	2,330.22	175.01	SALE
10/16/13	29.0000	04/12/13	1,673.37	1,407.85	265.52	SALE
WABTEC / 929740108 04/25/13	13.0000	04/12/13	1,351.03	1,332.63	18.40	SALE
12/02/13	23 0000	04/12/13	1,564.78	1,347.29	385.91	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS					53,175.61	46,913.45

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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2013 ENHANCED 1099

Page 7 of 17

As of Date: 1/24/14

Your Financial Advisor :
 LEWITTES/APGAR
 375 PARK AVENUE
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Payer:
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 2801 MARKET STREET
 SAINT LOUIS, MO 63103
 Payer ID #: 23-2384840

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 U/A DTD 01/27/1998
 P O BOX 4983
 POUGHKEEPSIE NY 12602-4983

Account Number: 3476-2585
 Your Federal Identification Number: 14-6185658

Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental Information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount Additional Information
AMERICAN WATER WORKS CO / 030420103 INC					
04/12/13	300 0000	01/10/12	12,657.77	9,448.77	3,209.00 SALE
CLECO CORPORATION / 12561W105					
04/12/13	300.0000	08/25/11	14,317.18	10,293.30	4,023.88 SALE
FOOT LOCKER INC / 344849104					
04/12/13	500 0000	07/12/11	17,209.36	11,752.75	5,456.61 SALE
PINNACLE WEST CAP CORP / 723484101					
04/12/13	500.0000	04/07/11	29,639.59	21,580.00	8,059.59 SALE

**TOTAL LONG TERM GAINS OR LOSSES FOR BASIS
 REPORTED TO IRS**

73,823.90 53,074.82 20,749.08

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental Information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount Additional Information
NISOURCE INC / 65473P105 (NEW)					
04/12/13	700 0000	12/01/10	21,444.02	11,869.20	9,574.82 SALE
POLARIS INDS INC / 731068102					
04/12/13	750.0000	12/01/10	67,573.57	37,675.19	39,868.38 SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			89,017.59	59,544.39	

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2013 ENHANCED 1099

As of Date: 1/24/14

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Your Financial Advisor :

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375 PARK AVENUE
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U/A DTD 01/27/1998
P.O. BOX 4983
POUGHKEEPSIE NY 12602-4983

Account Number: 3476-2585
Your Federal Identification Number: 14-6185658

Reportable Tax Information

First Clearing is required to report the following transaction to the IRS. It should be noted that these are noncovered transactions. Therefore, the basis is not reported to the IRS. We are reporting this information separately because it is important that you use Section L of your schedules K-1 issued by the limited partnership and complete any adjustments required in the worksheets in the Partner's Instruction for the Schedule K-1 (Form 1065).

PARTNERSHIP DISPOSITIONS (NONCOVERED)-BASIS NOT REPORTED TO THE IRS.
REPORT ON FORM 8949, WITH BOX B OR E CHECKED AS APPLICABLE**

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

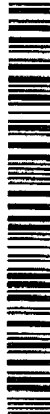
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
BROOKFIELD PPTY LP / G16249107 PARTNERS						
04/18/13	9 0000	04/15/13	195 79	334 51		SALE
TOTAL PARTNERSHIP DISPOSITIONS					324.51	

*Box 2a Sales price less commissions and option premiums

**For noncovered securities, the following information is not reported to the IRS: COST OR OTHER BASIS (Box 3), DATE OF ACQUISITION (Box 1b), TYPE OF GAIN OR LOSS (Box 1c), WASH SALE LOSS AMOUNT DISALLOWED (Box 5)

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2013 ENHANCED 1099



Page 5 of 19

As of Date: 1/24/14

Your Financial Advisor
 LEWITTES/APGAR
 375 PARK AVENUE
 10TH FLOOR
 NEW YORK, NY 10152
 (212) 214-3300

Payer
 FIRST CLEARING, LLC
 2801 MARKET STREET
 SAINT LOUIS, MO 63103
 Payer ID # 23-2384840

LOUIS GREENSPAN CHARITABLE TR
 DANIEL CURTIN TTEE
 GARY D KOCH TTEE
 U/A DTD 01/27/1998
 P O BOX 4983
 POUGHKEEPSIE NY 12602-4983

Account Number 6125-7585
 Your Federal Identification Number 14-6185658

Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder. TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

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SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
ALTRIA GROUP INC / 02209S103 04/12/13	4 0000	06/05/12	143 41	127.11	16.30	SALE
AMGEN INC / 031162100						
	200 0000	01/15/13	22,350 51	17,147 40	5,203 11	
	200 0000	01/24/13	22,350 51	16,517 02	5,833 49	
04/12/13	400 0000	Various	44,701 02	33,664 42	11,036 60	SALE
					TOTAL 2 LOTS	
ARCOS DORADOS HOLDINGS / G0457F107 INC CLASS A 06/04/13	84 0000	04/12/13	1,157 73	1,043 41	114 32	SALE
BRISTOL MYERS SQUIBB / 110122108 CO 04/12/13	200.0000	06/05/12	8,296 32	6 729 12	1,567 20	SALE
CAPITAL ONE FINANCIAL / 14040H105 CORP 04/12/13	300 0000	10/24/12	16 234 14	18,084 24	-1,850 10	SALE

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2013 ENHANCED 1099

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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / OUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
CATERPILLAR INC / 149123101 11/14/13	45 0000	04/12/13	3,771 34	3,819 43	-48 09	SALE
COMCAST CORP NEW CL A / 20030N101 04/12/13	237 0000	09/21/12	9,928.22	8,639 84	1,288 38	SALE
EMC CORP MASS / 268648102 04/12/13	500 0000	04/18/12	11,571 49	14,608 00	-3,036 51	SALE
EXXON MOBIL CORP / 30231G102 07/17/13	44 0000	04/12/13	4,111 17	3,915 56	195 61	SALE
FREEMONT-MCMORAN COPPER / 35671D857 & GOLD INC CLASS B 05/30/13	78,0000	04/12/13	2,479 29	2,494 36	-15.07	SALE
JOHNSON & JOHNSON / 476160104 05/29/13	2,0000	04/12/13	171 02	165 28	5.74	SALE
MCDONALDS CORP / 580135101 07-17/13	3,0000	04/12/13	299 19	310.71	0.00	SALE
NEWS CORP INC-CL A / 65248E104 05/29/13	3 0000	04/12/13	98.10	94.26	3.84	SALE
PEPSICO INCORPORATED / 713448108 07/17/13	13,0000	04/12/13	1,093 95	1,040 13	53 82	SALE

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2013 ENHANCED 1099



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SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1e-Quantity Sold	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
PHILLIPS 66 / 718546104 05/29/13	04/12/13	2 0000	132 97	66 62	11 73	SALE
PROCTER & GAMBLE CO / 742718109 07/17/13	04/12/13	10 0000	802 86	802.56	0.30	SALE
RIO TINTO PLC / 767204100 SPONSORED ADR 05/30/13	04/12/13	30 0000	1,337 66	1,423.39	-85 73	SALE
07/17/13	04/12/13	81 0000	3 623.02	3,843 12	-220 10	SALE
TIME WARNER CABLE / 88732J207 07/22/13	04/12/13	1 0000	115 37	93 64	21.73	SALE
TOTAL SA SPONS ADR / 89151E109 05/30/13	04/12/13	39,0000	1,999 68	1,907 49	92.19	SALE
WAL-MART STORES INC. / 931142103 07/17/13	04/12/13	8 0000	620 35 -8.29	628 64	0 00	SALE
Wash Sale Loss Disallowed (Box 5):						
WALGREEN COMPANY / 931422109 05/29/13	04/12/13	1 0000	49.49	48 29	1.20	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS					112,741.52	103,547.22

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Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
ABBOTT LABORATORIES / 002824100 04/12/13	406 0000	01/11/12	15,071.80	11,269.75		SALE
ABBVIE INC / 00287Y109 04/12/13	419 0000	01/11/12	18,064.16	11,630.60		SALE
AT & T INC / 00206R102						
	160 0000	01/19/11	6,168.66	4,533.60	1,635.06	
	300 0000	04/07/11	11,566.25	9,061.50	2,504.75	
04/12/13	460 0000	Various	17,734.91	13,595.10	4,139.81	SALE
DTE ENERGY CO / 233331107 04/12/13	300 0000	03/27/12	21,053.68	16,624.50	4,429.18	SALE
ELI LILLY & CO / 532457108 04/12/13	400 0000	01/10/12	23,149.48	16,166.40	6,983.08	SALE
INTEL CORP / 458140100						
	500 0000	01/13/12	10,792.25	12,630.00	-1,837.75	
	176 0000	02/15/12	3,798.88	4,702.00	-903.12	
04/12/13	676 0000	Various	14,591.13	17,332.00	-2,740.87	SALE
11/14/13	188 0000	02/15/12	4,588.17	5,022.59	-434.42	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR BASIS					91,640.94	
REPORTED TO IRS					114,253.33	
						TOTAL 2 LOTS

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Account Number: 6125-7585
Your Federal Identification Number: 14-6185658

Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental Information not reported to the IRS	
					3-Cost or Other Basis	Gain or Loss
ALTRIA GROUP INC / 02209S103					Amount	Additional Information
04/12/13		500 0000	12/01/10	17,925 83	13,144.58	SALE
APPLE INC 037833100						
04/12/13		72.0000	12/01/10	30,978 03	22,824 96	8,153 07 SALE
AT & T INC / 00206R102						
04/12/13		740 0000	01/12/10	28,530 05	19,977 41	8,552.64 SALE
BRISTOL MYERS SQUIBB / 110122108						
CO						
04/12/13		300 0000	03/08/99	12,444 48	18,131 37	-5,686 89 SALE
DUKE ENERGY CORP / 26441C204						
COM NEW						
04/12/13		333 0000	02/05/09	24,340 75	15,555.90	8,784 85 SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					114,219.14	847,634.52

*Box 2a Sales price less commissions and option premiums
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2013 ENHANCED 1099

Page 5 of 59

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P.O. BOX 4983

POUGHKEEPSIE NY 12602-4983

Account Number: 6643-9029

Your Federal Identification Number: 14-6185658

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1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

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						Amount	Additional Information
AEGON N V 7.25% PFD / N00927348							
PERP NON-CUM PFD							
CALL STARTING 12/15/12							
05/15/13		21.0000	04/12/13	537.82	533.45	4.37	SALE
07/16/13		2.0000	04/12/13	50.48	50.81	-0.33	SALE
11/21/13		7.0000	04/12/13	177.94	177.81	0.13	SALE
AEGON N V FLOATING RATE / 007924509							
NON-CUM PERPETUAL PFD							
CALL STARTING 12/15/10							
04/24/13		1.0000	04/12/13	24.77	24.95	-0.18	SALE
04/25/13		18.0000	04/12/13	442.38	448.99	-6.61	SALE
04/26/13		8.0000	04/12/13	196.00	199.55	-3.55	SALE
07/16/13		16.0000	04/12/13	356.95	399.10	-42.15	SALE
11/21/13		37.0000	04/12/13	749.61	922.91	-173.30	SALE

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2013 ENHANCED 1099

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Payer:

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2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

Account Number: 6643-9029
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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental information not reported to the IRS

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
AEGON N.V. 6.375% PFD / 007924301 NON-CUM PERPETUAL CALLABLE 6/15/15 07/16/13	35.0000	04/12/13	873.23	919.49	-46.26	SALE
11/21/13	78.0000	04/12/13	1,900.44	2,049.13	-148.69	SALE
AFILAC INC 5.5% PFD / 001055300 SUB DEB-MTY 09/15/52 CALL 09/26/17 07/16/13	5.0000	04/12/13	121.40	131.41	-10.01	SALE
11/21/13	10.0000	04/12/13	223.00	262.82	-39.82	SALE
ALEXANDRIA REAL6 45% PFD / 015271703 ESTATE-SER E-PERPETUAL CALLABLE 3/15/17 07/16/13	5.0000	04/12/13	119.40	133.00	-13.60	SALE
11/21/13	10.0000	04/12/13	223.20	265.99	-42.79	SALE
ALLSTATE CORP 6.75% PFD / 020002606 SERIES C NON-CUMULATIVE PERP 1ST CALL 10/15/18 11/21/13	10.0000	10/04/13	251.70	250.26	1.44	SALE
ALLSTATE 5.1% PFD / 020002309 FIX TO FLOAT JR SUB NOT DUE 1/15/53 CALL 1/15/23 07/16/13	2.0000	04/12/13	50.52	52.96	-2.44	SALE
11/21/13	6.0000	04/12/13	144.66	158.88	-14.22	SALE

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2013 ENHANCED 1099

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1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
						Amount	Additional Information
ARCH CAP GRP 6 75% PFD / G0450A204 PERPETUAL NON-CUM SER C CALLABLE 04/02/17							
07/16/13		13.0000	04/12/13	334.75	356.32	-21.57	SALE
11/21/13		29.0000	04/12/13	697.44	794.87	-97.43	SALE
ASPEN HLDGS LTD 7.25%PFD / G05384147 PERPETUAL CALLABLE							
07/01/2017							
07/16/13		7.0000	04/12/13	183.82	190.40	-6.58	SALE
11/21/13		16.0000	04/12/13	400.47	435.20	-34.73	SALE
AXIS CAP HLDG 6 875% PFD / G0692U307 SERIES C PERP NON-CUM CALLABLE 04/15/17							
07/16/13		16.0000	04/12/13	415.99	436.91	-20.92	SALE
11/21/13		35.0000	04/12/13	843.14	955.73	-112.59	SALE
AXIS CAPITAL 5.5% PFD / G0692U117 CALLABLE 6/01/2018 @ 25							
PERPETUAL/NON-CUMULATIV							
07/16/13		5.0000	05/29/13	111.65	123.28	-11.63	SALE
11/21/13		14.0000	05/29/13	265.02	345.16	-80.14	SALE
BANK AMERICA CORP 6 204% / 060505831 SERIES D PFD CALL STARTING 9/15/11							
07/16/13		15.0000	04/12/13	374.24	379.02	-4.78	SALE
11/21/13		34.0000	04/12/13	831.29	859.12	-27.83	SALE

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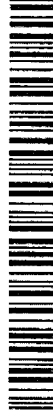
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1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

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BANK NEW YORK 5 2% PFD / 064058209							
MELLON CRP-CALL 09/20/17							
PERP-DEP SHS1/4000TH-C							
07/16/13		3 0000	04/12/13	69.09	69.09	0.00	
		25.0000	04/12/13	575.74	625.97	0.00	
		28.0000	04/12/13	644.83	675.06	0.00	SALE
							TOTAL 2 LOTS
11/21/13		78 0000	04/12/13	1,614.57	1,988.14	-373.57	SALE
BANK OF AMERICA CORP PFD / 060505740							
SUPER-REGIONAL BANKS-US							
PERP/CALL							
07/16/13		11.0000	04/12/13	288.63	304.10	-15.47	SALE
11/21/13		24.0000	04/12/13	600.23	663.48	-63.25	SALE
BANK OF AMERICA CORP PFD / 060505617							
6 375% SER 3							
CALL 11/28/2010							
07/16/13		8 0000	04/12/13	198.48	203.12	-4.64	SALE
11/21/13		18 0000	04/12/13	424.34	457.00	-32.66	SALE
BARCLAY BANK PLC 7 75% / 06739H511							
NON CUM PERPETUAL PFD							
CALL STARTING 3/15/2013							
05/22/13		27 0000	04/12/13	698.66	690.10	8.56	SALE
07/16/13		6 0000	04/12/13	151.92	153.36	-1.44	SALE
11/21/13		15.0000	04/12/13	383.39	383.39	0.00	SALE

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2013 ENHANCED 1099



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As of Date: 2/07/14

Your Financial Advisor :

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
U/A DTD 01/27/1998
P O BOX 4983
POUGHKEEPSIE NY 12602-4983

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

Account Number: 6643-9029
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1e-Quantity Sold	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
BARCLAYS BK PLC 6 625% / 06739F390 NONCUM PERP PFD SER 2 CALL STARTING 9/15/11 07/16/13	04/12/13	17.0000	420.40	432.48	-12.08	SALE
11/21/13	04/12/13	41.0000	1,005.30	1,043.04	-37.74	SALE
BARCLAYS BK PLC 7 10% / 06739H776 NON-CUM PERPETUAL PFD CALL STARTING 12/15/2012 07/16/13	04/12/13	25.0000	626.24	635.20	-8.96	SALE
11/21/13	04/12/13	60.0000	1,515.27	1,524.48	-9.21	SALE
BB & T CORP 5.2% DEP PFD / 054937602 SHS RPSTG1/1000INT-SER NON CUM PERP-CALL 11/1/17 11/21/13	07/22/13	12.0000	225.96	278.31	-52.35	SALE
BB&T CORP SER G 5.2% PFD / 054937800 NON-CUM/PERP CALL 6/01/18 DEP SHS RPSTG 1/1000 07/16/13	05/03/13	17.0000	387.59	414.96	0.00	SALE
11/21/13	05/03/13	23.0000	433.54	574.59	-141.05	SALE
BB&T CORP 5.625% PFD / 054937404 SER E-NON CUM-PERP-DEP SHS1/1000TH CALL 8/01/17 07/16/13	04/12/13	24.0000	565.19	623.97	0.00	SALE

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2013 ENHANCED 1099

Page 10 of 59

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SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
11/21/13	58 0000	04/12/13	1,172.80	1,485.96	-313.16	SALE
BB&T CORP 5.85% PFD / 05/4937206 PERPETUAL PREFERRED CALLABLE 5/1/17 @ 25						
07/16/13	9 0000	04/12/13	221.49	234.49		SALE
11/21/13	22.0000	04/12/13	470.35	584.76	-114.41	SALE
CAPITAL ONE FINL 6% PFD / 14040H402 DEP SHS RPSTG 1/40TH INT PERP-B-CALLBL 09/01/17						
07/16/13	1.0000	04/12/13	24.40	25.52	-1.12	SALE
07/16/13	32.0000	04/12/13	780.79	786.93	0.00	SALE
11/21/13	80.0000	04/12/13	1,694.37	2,041.60	-347.23	SALE
CHARLES SCHWAB 6% PFD / 808513204 DEP SHS REPSTG 1/40TH-B NON CUM PERP CALL 9-1-17						
07/16/13	13 0000	04/12/13	328.89	347.64		SALE
11/21/13	31 0000	04/12/13	707.41	838.34	-130.93	SALE
CITIGROUP CAP TR XIII / 173080201 7.875% FIXED TO FLT CALL 10/30/15 MAT 10/30/40						
07/16/13	23.0000	04/12/13	641.00	657.83	-16.83	SALE
11/21/13	50.0000	04/12/13	1,360.98	1,430.05	-69.07	SALE
12/12/13	5.0000	04/12/13	136.40	143.01	-6.61	SALE

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2013 ENHANCED 1099

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ADVISORS

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SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

a-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental information not reported to the IRS	
					Amount	Additional Information
CITIGROUP INC 5.8% PFD / 172967366 DEP SHS RPSTG1/1000SER C CALL4/22/18-PERP/NON CUM 07/16/13	25.0000	04/12/13	584.74	613.17	0.00	SALE
	17.0000	04/12/13	353.59	428.91	-75.32	
	25.0000	04/12/13	519.99	639.72	-119.73	
	18.0000	04/16/13	374.40	460.44		
11/21/13	60.0000	Various	1,247.98	1,539.07		SALE
TOTAL 3 LOTS						
CITIGROUP 7.125% PFD / 172967358 FIX-FLOAT NON CUM PERP CALLABLE 09/30/23 11/21/13	19.0000	10/02/13	492.09	483.16	8.93	SALE
DB CONT CAP TR 6.55% / 25153X208 CUMULATIVE PERP PFD CALL STARTING 5/23/17 07/16/13	43.0000	04/12/13	1,077.56	1,156.92	-79.36	SALE
11/21/13	98.0000	04/12/13	2,420.36	2,636.69	-216.33	SALE
DEUTSCHE BANK 6.375% / 25153U204 PERP NON-CUM CAP VII CALL STARTING 10/18/11 05/06/13	51.0000	04/12/13	1,291.02	1,298.46	-7.44	SALE
07/16/13	4.0000	04/12/13	98.00	101.84	-3.84	SALE
11/21/13	9.0000	04/12/13	219.15	229.14	-9.99	SALE

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As of Date: 2/07/14

Your Financial Advisor :
LEWITTES/APGAR
375 PARK AVENUE
10TH FLOOR
NEW YORK, NY 10152
(212) 214-3300

Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
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POUGHKEEPSIE NY 12602-4983

Account Number: 6643-9029
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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
1a-Date of Sale or Exchange					Gain or Loss Amount	Additional Information
DEUTSCHE BANK 7 60% PFD / 25154A108						
CONTINGENT CAP III						
CALL STARTING 2/20/2018						
07/16/13	17 0000	04/12/13	451.34	476.09	-24.75	SALE
11/21/13	40 0000	04/12/13	1,043.46	1,120.20	-76.74	SALE
12/10/13	14 0000	04/12/13	364.24	392.07	-27.83	SALE
12/18/13	10.0000	04/12/13	260.39	280.05	-19.66	SALE
12/26/13	17.0000	04/12/13	439.20	476.09	-36.89	SALE
DEUTSCHE BANK 8 05% PFD / 25150L108						
CONT CAP TR V						
CALL STARTING 6/30/2018						
07/16/13	6.0000	04/12/13	163.98	174.00	-10.02	SALE
11/19/13	18.0000	04/12/13	489.82	522.00	-32.18	SALE
11/21/13	6 0000	04/12/13	163.02	174.00	-10.98	SALE
12/16/13	12 0000	04/12/13	327.17	348.00	-20.83	SALE
DIGITAL REALTY 5 875%PFD / 253868889						
SERIES G CUMULATIVE PERP						
1ST CALL 4/9/2018						
07/16/13	5.0000	05/16/13	113.55	125.00	-11.45	SALE
11/21/13	11.0000	05/16/13	210.65	275.00	-64.35	SALE
DIGITAL REALTY 6 625%PFD / 253868806						
TRUST INC-SER F-PERP CUM						
CALL 04/05/17						
07/16/13	4.0000	04/12/13	98.36	106.60	-8.24	SALE

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2013 ENHANCED 1099

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As of Date: 2/07/14

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FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Your Financial Advisor :

LEWITTES/APGAR
375 PARK AVENUE
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LOUIS GREENSPAN CHARITABLE TR
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POUGHKEEPSIE NY 12602-4983

Account Number: 6643-9029
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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
11/21/13	10.0000	04/12/13	216.60	266.50	-49.90	SALE
DIGITAL REALTY 7% E PFD / 253868707						
CALLABLE 9/15/16 @ \$25						
PERPETUAL/CUMULATIVE						
07/16/13	4.0000	04/12/13	100.68	107.68	-7.00	SALE
11/21/13	9.0000	04/12/13	209.34	242.28	-32.94	SALE
FIRST NIAGARA 8.625% PFD / 33582V207						
FINCL NON CUM FIX TO FIT						
PERP CALL 2/15/2017 SR B						
07/16/13	8.0000	04/12/13	229.20	236.08	-6.88	SALE
11/21/13	20.0000	04/12/13	568.99	590.20	-21.21	SALE
GOLDMAN SACHS GROUP PFD / 38144G804						
PREFERRED-FLOATING RATE						
07/16/13	3.0000	04/12/13	65.85	70.32	-4.47	SALE
11/21/13	5.0000	04/12/13	94.75	117.20	-22.45	SALE
GOLDMAN SACHS 5.95% PFD / 38145G209						
GROUP INC-PERP-SERIES I						
CALL 11/10/17						
07/16/13	13.0000	04/12/13	320.31	332.28	-11.97	SALE
10/25/13	24.0000	04/12/13	537.28	613.44	-76.16	SALE
11/21/13	20.0000	04/12/13	440.39	511.20	-70.81	SALE

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2013 ENHANCED 1099

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As of Date: 2/07/14

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2394840

Your Financial Advisor :

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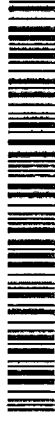
Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
						Amount	Additional Information
GS FLT RT NON-CUM PFD / 38143Y665							
PERPETUAL							
CALLABLE 4/25/10							
07/16/13		8.0000	04/12/13	174.24	187.67	-13.43	SALE
11/21/13		18.0000	04/12/13	324.71	422.25	-97.54	SALE
HARTFORD FINL 7 875% PFD / 416518504							
SVCS GROUP FXD TO FLTG							
DUE04/15/42 CALL04/05/22							
07/16/13		8.0000	04/12/13	231.52	243.93	-12.41	SALE
11/21/13		19.0000	04/12/13	544.34	579.33	-34.99	SALE
HEALTH CARE REITS 5% PFD / 42217K700							
INC-CUMULATIVE PREFERRED							
SER J-PERPETUAL							
07/16/13		9.0000	04/12/13	228.24	244.71	-16.47	SALE
11/21/13		19.0000	04/12/13	447.06	516.61	-69.55	SALE
HOSPITALITY 7.125% PFD / 44106M607							
PRPTY TRUST-CUMULTV SR D							
PERP-CALL 1-15-17@25							
07/16/13		12.0000	04/12/13	306.35	325.44	-19.09	SALE
11/21/13		26.0000	04/12/13	641.41	705.12	-63.71	SALE
HSBC HOLDINGS PLC 6.2% / 404280604							
SERIES A							
CALLABLE 12/16/10							
04/26/13		28.0000	04/12/13	709.37	710.28	-0.91	SALE
07/16/13		14.0000	04/12/13	348.87	355.14	-6.27	SALE

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2013 ENHANCED 1099

Page 15 of 59

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1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Amount	Additional Information
11/21/13	34.0000	04/12/13	842.85	862.48	-19.63	SALE
HSBC HOLDINGS 8.00% PFD / 404280802						
NON-CUM SUB CAP SEC						
07/16/13	57.0000	04/12/13	1,546.38	1,615.38	-69.00	SALE
11/21/13	131.0000	04/12/13	3,527.77	3,712.54	-184.77	SALE
ING GROEP NV 6.375% PFD / 456837608						
NON-CUM PRPTL CAP SEC						
CALL STARTING 6/15/2012						
07/16/13	18.0000	04/12/13	430.19	451.79	-21.60	SALE
11/21/13	40.0000	04/12/13	964.62	1,003.96	-39.34	SALE
ING GROUP NV 6.125% / 456837509						
CUM PERPETUAL DENT SECS						
CALLABLE 1/15/11						
07/16/13	13.0000	04/12/13	305.75	323.18	-17.43	SALE
11/21/13	29.0000	04/12/13	684.39	720.94	-36.55	SALE
ING GROUP NV 7.20% / 456837301						
PERPETUAL DEBT SECS CUM						
CALLABLE 12/15/2007						
04/26/13	23.0000	04/12/13	585.22	583.40	1.82	SALE
07/16/13	16.0000	04/12/13	400.79	405.85	-5.06	SALE
11/21/13	37.0000	04/12/13	942.74	938.51	4.23	SALE

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SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS					Additional Information	
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount
ING GROUP 6.2% / 456837400 CUM PERP DEBT SEC CALLABLE 1/15/09						
07/16/13	9.0000	04/12/13	214.02	224.18	-10.16	SALE
11/21/13	20.0000	04/12/13	481.19	498.16	-16.97	SALE
ING GROUP 7.05% PERP / 456837202 CUM PFD CALLABLE 9/15/07						
04/26/13	22.0000	04/12/13	558.06	558.08	-0.02	SALE
05/15/13	22.0000	04/12/13	564.65	558.08	6.57	SALE
05/29/13	32.0000	04/12/13	807.59	811.75	-4.16	SALE
07/16/13	16.0000	04/12/13	397.11	405.87	-8.76	SALE
11/21/13	33.0000	04/12/13	840.34	837.11	3.23	SALE
INTEGRYS ENERGY 6.0% PFD / 45822P204 FIX TO FLOAT-JR SUB NT CALL 080123 MAT 080173						
11/21/13	92.0000	08/20/13	2,166.65	2,190.35	-23.70	
	3.0000	08/21/13	70.66	72.36	-1.70	
	95.0000	Various	2,237.31	2,262.71	-25.40	SALE
						TOTAL 2 LOTS
JP MORGAN CHASE 5.5% PFD / 48126E750 PERP-DEP SH RPSTG1/400TH SER O-CALL 09/01/17						
07/16/13	10.0000	04/12/13	238.70	256.20	-17.50	SALE
07/16/13	26.0000	04/12/13	620.61	652.05	0.00	SALE

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2013 ENHANCED 1099

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SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
11/21/13	87.0000	04/12/13	1,806.09	2,228.89	-422.80	SALE
JP MORGAN CHASE 5.45% PFD / 46637G124						
07/16/13	20.0000	04/12/13	474.99	523.00	0.00	SALE
11/21/13	40.0000	04/12/13	828.39	1,012.00	-183.61	SALE
KIMCO REALTY 5.5% PFD / 49446R778						
07/16/13	4.0000	04/12/13	94.32	101.84	-7.52	SALE
11/21/13	11.0000	04/12/13	215.60	280.06	-64.46	SALE
KIMCO REALTY 5.625% PFD / 49446R745						
07/16/13	5.0000	04/12/13	118.70	127.50	-8.80	SALE
11/21/13	11.0000	04/12/13	221.21	280.49	-59.28	SALE
KIMCO REALTY 6.90% PFD / 49446R828						
07/16/13	9.0000	04/12/13	228.15	238.77	-10.62	SALE
11/21/13	21.0000	04/12/13	515.75	557.13	-41.38	SALE

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2013 ENHANCED 1099

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As of Date: 2/07/14

Your Financial Advisor :

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
U/A DTD 01/27/1998
P.O. BOX 4983
POUGHKEEPSIE NY 12602-4983

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Account Number: 6643-9029
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental Information not reported to the IRS		
				3-Cost or Other Basis	Gain or Loss Amount	Additional Information
KIMCO REALTY 6% PFD / 49446R794 PERPETUAL/CUM SERIES I CALLABLE 3/20/2017						
07/16/13	13.0000	04/12/13	317.97	344.76	-26.79	SALE
11/21/13	30.0000	04/12/13	634.49	795.60	-161.11	SALE
MORGAN STANLEY PFD SER A / 61747S504 PERP/CALL						
07/15/11						
07/16/13	10.0000	04/12/13	218.10	233.29	-15.19	SALE
11/21/13	22.0000	04/12/13	415.79	513.22	-97.43	SALE
MORGAN STANLEY 7.125%PFD / 61762V200 FIX TO FLTG NON CUM PERP SER E CALL 10/15/23						
11/21/13	19.0000	10/04/13	492.85	481.97	10.88	SALE
NATIONAL RETAIL 6.625%PFD / 637417601 PPTY INC-PREFERRED-DEP SER D-PERP CALL 2/23/17						
07/16/13	11.0000	04/12/13	276.21	296.10	-19.89	SALE
11/21/13	26.0000	04/12/13	592.53	699.87	-107.34	SALE
PARTNER RE 6.50% SER D / G68603409 PERPETUAL PFD CALLABLE 11/15/09						
	33.0000	08/20/13	775.15	781.95	-6.80	
	12.0000	08/21/13	281.88	282.86	-0.98	
	45.0000	Various	1,057.03	1,064.81	-7.78	SALE
11/21/13						TOTAL 2 LOTS

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2013 ENHANCED 1099

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Payer ID #: 23-2384840

Your Financial Advisor :

LEWITTES/APGAR
375 PARK AVENUE
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NEW YORK, NY 10152
(212) 214-3300

LOUIS GREENSPAN CHARITABLE TR
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GARY D KOCH TTEE
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P.O. BOX 4983
POUGHKEEPSIE NY 12602-4983

Account Number: 6643-9029
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
						Amount	Additional Information
PARTNERRE LTD 5 875% PFD / G68603128 CALLABLE 3/1/2018 @ 25 PERPETUAL NON CUMULATIV							
07/16/13		4.0000	04/12/13	94.28	100.76	-6.48	SALE
11/21/13		16.0000	04/12/13	327.37	403.03	-75.66	SALE
PARTNERRE LTD 7.25% PFD / G68603508 CALLABLE 6/01/2016 @ 25 PERPETUAL/CUMULATIVE							
07/16/13		6.0000	04/12/13	159.12	166.80	-7.68	SALE
11/21/13		14.0000	04/12/13	357.13	389.20	-32.07	SALE
PNC FINANCIAL 6 125% PFD / 693475857 PERP-SER P-CALL 5/1/22 FIXED TO FLOAT							
07/16/13		21.0000	04/12/13	548.10	580.64	-32.54	SALE
07/16/13		25.0000	04/12/13	652.48	685.36	0.00	SALE
11/21/13		113.0000	04/12/13	2,865.07	3,124.34	-259.27	SALE
PPL CORPORATION / 69351T106 04/12/13		400.0000	07/25/12	12,797.55	11,406.04	1,391.51	SALE
PROTECTIVE LIFE 6 25% PFD / 743674608 CALLABLE 05/15/17 DUE 05/15/42							
07/16/13		8.0000	04/12/13	198.08	210.16	-12.08	SALE
11/21/13		17.0000	04/12/13	383.68	446.59	-62.91	SALE

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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
PRUDENTIAL PLC 6.50% / G7293H189 PERPETUAL EXCH CAP SEC CALL STARTING 9/23/10	3 0000 5.0000 8.0000	10/25/13 10/25/13 10/25/13	75.09 125.15 200.24	75.09 125.15 200.41	0.00 0.00 0.00	0.00 SALE TOTAL 2 LOTS
PS BUS PARKS 5.7% PFD / 69360J644 DEP SHS RPSTG1/1000 PERP CALL 03/14/18-CUM-SER V 07/16/13	3 0000	04/12/13	68.55	75.09	-6.54	SALE
11/21/13	7.0000	04/12/13	138.60	175.21	-36.61	SALE
PS BUS PARKS 5.75% PFD / 69360J669 PERP/CUMULATIVE SERIES U CALLABLE 09/14/2017 07/16/13	5 0000	04/12/13	114.90	125.76	-10.86	SALE
11/21/13	10 0000	04/12/13	199.60	251.51	-51.91	SALE
PS BUS PARKS 6% PFD / 69360J685 PREFERRED-SER T-CUM PERP REIT-CALL 5/14/17 07/16/13	14.0000	04/12/13	335.01	363.86	-28.85	SALE
11/21/13	29.0000	04/12/13	604.06	753.71	-149.65	SALE
PS BUSINESS PK 6.45% PFD / 69360J719 PERPETUAL/CUMULATIVE CALL 1/18/2017 SER S 07/16/13	8.0000	04/12/13	197.68	212.32	-14.64	SALE

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2013 ENHANCED 1099

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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
PUBLIC STORAGE 5.2% PFD / 74460W875 DEP SHS RPSTG1/1000SER W PERP/CUM-CALL 01/16/18	11/21/13	19.0000	04/12/13	425.21	504.26	-79.05	SALE
07/16/13		5.0000	04/12/13	110.95	125.70	-14.75	SALE
11/21/13		10.0000	04/12/13	196.61	251.40	-54.79	SALE
PUBLIC STORAGE 5.2% PFD / 74460W107 PERP CUM-SER X DEP SHS RPSTG1/1000-CALL 3/13/18	07/16/13	5.0000	04/12/13	111.00	125.65	-14.65	SALE
11/21/13		11.0000	04/12/13	215.17	276.43	-61.26	SALE
PUBLIC STORAGE 5.375%PFD / 74460W800 DEP SHS RPSTG1/1000TH-V SH BEN INT CALL 09/20/17	07/16/13	5.0000	04/12/13	113.50	127.05	-13.55	SALE
11/21/13		10.0000	04/12/13	205.40	254.10	-48.70	SALE
PUBLIC STORAGE 5.625%PFD / 74460W602 PREFERRED PERP CUMULATIV CALLABLE 06/15/17 SER U	07/16/13	4.0000	04/12/13	93.48	103.40	-9.92	SALE
11/21/13		10.0000	04/12/13	212.50	258.50	-46.00	SALE
PUBLIC STORAGE 5.75% PFD / 74460W404 CALLABLE 3/13/17@25 PERPETUAL/CUM SER T	07/16/13	14.0000	04/12/13	334.73	361.90	-27.17	SALE

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2013 ENHANCED 1099

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SAINT LOUIS, MO 63103
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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental information not reported to the IRS

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
PUBLIC STORAGE 5.9% PFD / 74460W206 CALLABLE 1/12/17 @ 25 PERPETUAL/CUMULATIVE	11/21/13	34.0000	04/12/13	729.66	878.90	-149.24	SALE
07/16/13		12.0000	04/12/13	294.71	316.48	-21.77	SALE
11/21/13		27.0000	04/12/13	594.53	712.07	-117.54	SALE
PUBLIC STORAGE 6.35% PFD / 74460D125 CUM PREFERRED PERP-SER R CALL 7/26/16	07/16/13	9.0000	04/12/13	228.60	242.96	-14.36	SALE
11/21/13		19.0000	04/12/13	451.24	512.91	-61.67	SALE
PUBLIC STORAGE 6.5% PFD / 74460D141 PERPETUAL/CUMULATIVE CALL 4/14/16 SERIES Q	07/16/13	8.0000	04/12/13	204.72	219.12	-14.40	SALE
11/21/13		18.0000	04/12/13	444.77	493.02	-48.25	SALE
PUBLIC STORAGE 6.875%PFD / 74460D182 CALLABLE 4/15/2015@25 PERPETUAL/CUMULATIVE	07/16/13	3.0000	04/12/13	76.98	81.96	-4.98	SALE
11/21/13		4.0000	04/12/13	101.48	109.28	-7.80	SALE
REALTY INCOME 6.625% PFD / 756109807 CORP-PREFERRED-SERIES F PERP/CUM CALLABLE2-15-17	07/16/13	12.0000	04/12/13	305.99	327.67	-21.68	SALE

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2013 ENHANCED 1099

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Payer:

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Payer ID # 23-2384840

Your Financial Advisor :

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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

B-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Amount	Additional Information
11/21/13	29.0000	04/12/13	705.85	791.85	-86.00	SALE
REGENCY CENTERS CORP PFD / 758849707						
6.625% PERP/CUM SERIES 6 CALLABLE 02/16/17 @ 25						
07/16/13	8.0000	04/12/13	201.44	214.96	-13.52	SALE
11/21/13	19.0000	04/12/13	433.00	510.53	-77.53	SALE
REGENCY CENTERS 6% PFD / 758849806						
PERP/CUM-CALL 08/23/17 SERIES 7						
07/16/13	3.0000	04/12/13	71.22	76.50	-5.28	SALE
11/21/13	6.0000	04/12/13	124.09	153.00	-28.91	SALE
RENAISSANCE RE HLDGS / G7498P408						
6.60% CUM PERP PFD CALL STARTING 12/15/2011						
06/27/13	74.0000	04/12/13	1,850.00	1,878.71	-28.71	REDEMPTION
RENAISSANCE RE 6.08% HLD / G7498P309						
CUM PERPETUAL PFD CALLABLE 3/23/09						
06/27/13	12.0000	04/12/13	300.00	303.48	-3.48	REDEMPTION
07/16/13	3.0000	04/12/13	74.40	75.87	0.00	SALE
11/21/13	7.0000	04/12/13	161.56	177.03	-15.47	SALE

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SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS							
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
RENAISSANCE 5 375% PFD / G7498P119							
NON-CUMULATIVE SERIES E							
PERP 1ST CALL 6/01/2018							
07/16/13		9.0000	06/05/13	199.26	212.13	-12.87	SALE
11/21/13		28.0000	06/05/13	538.99	659.96	-120.97	SALE
ROYAL BK SCOTLAND 5 75% / 780097788							
SER L NON CUM PFD							
CALLABLE 9/30/09							
07/16/13		39.0000	04/12/13	785.84	916.88	-131.04	SALE
11/21/13		88.0000	04/12/13	1,761.73	2,068.84	-307.11	SALE
ROYAL BK SCOTLAND 6 35% / 780097770							
SER N NON CUM PFD							
CALLABLE 06/30/10							
07/16/13		5.0000	04/12/13	105.15	110.40	-5.25	SALE
11/21/13		11.0000	04/12/13	234.52	242.88	-8.36	SALE
ROYAL BK SCOTLAND 6 60% / 780097739							
NON CUM PERP PFD							
CALL STARTING 06/30/12							
07/16/13		12.0000	04/12/13	261.72	277.80	-16.08	SALE
11/21/13		26.0000	04/12/13	576.67	601.90	-25.23	SALE
ROYAL BK SCOTLAND 6 40% / 780097796							
PERPETUAL NON-CUM PFD							
CALLABLE 9/30/09							
07/16/13		12.0000	04/12/13	252.72	266.51	-13.79	SALE
11/21/13		27.0000	04/12/13	579.14	599.64	-20.50	SALE

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2013 ENHANCED 1099

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SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
1a-Date of Sale or Exchange					Gain or Loss Amount	Additional Information
ROYAL BNK OF SCTLND 675 / 780097754						
PERPETUAL NON-CUM PFD CALL STARTING 06/30/11						
07/16/13	4 0000	04/12/13	88.60	93.19	-4.59	SALE
11/21/13	9.0000	04/12/13	202.59	209.67	-7.08	SALE
STATE STREET CRP5 25%PFD / 857477509						
NON CUM-PEPP-CALL9/15/17 DEP SHS RPSTG1/4000-C						
07/16/13	16.0000	04/12/13	384.95	393.74	0.00	SALE
	17 0000	04/12/13	363.96	432.48	-68.52	
	16 0000	04/12/13	342.56	406.15	-63.59	
	3 0000	06/06/13	64.23	73.51	-9.28	
11/21/13	36.0000	Various	770.75	912.14	-141.39	SALE
TOTAL 3 LOTS						
TCF FINANCIAL CRP7 5%PFD / 872277207						
SERIES A PERP NON-CUML CALL 06/25/2017						
07/16/13	7.0000	04/12/13	181.58	188.65	-7.07	SALE
11/21/13	21.0000	04/12/13	522.68	565.95	-43.27	SALE
UBS PREF FUNDING TR IV / 90263W201						
PERPETUAL CALLABLE 6/15/08						
07/16/13	21.0000	04/12/13	337.25	335.79	1.46	SALE
11/21/13	49.0000	04/12/13	727.15	783.51	-56.36	SALE

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Your Financial Advisor :

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
U/A DTD 01/27/1998
P O BOX 4983
POUGHKEEPSIE NY 12602-4983

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

Account Number: 6643-9029
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
US BANCORP PFD / 902973833 PERPETUAL/CALLABLE VARIABLE							
07/16/13		12.0000	04/12/13	334.31	357.11		SALE
11/21/13		31.0000	04/12/13	827.07	927.43	-100.36	SALE
US BANCORP DEL 5 15% PFD / 902973791 SERIES H NON-CUM PERP CALL 07/15/18							
07/16/13		4.0000	05/07/13	93.36	100.88	-7.52	SALE
11/21/13		14.0000	05/07/13	290.07	353.05	-62.98	SALE
US BANCORP FLT PFD / 902973155 PERPETUAL SERIES B CALL STARTING 04/15/2011							
07/16/13		3.0000	04/12/13	66.24	71.85	-5.61	SALE
11/21/13		9.0000	04/12/13	171.90	215.55	-43.65	SALE
US BANCORP 6% PFD / 902973817 PERPETUAL-CALL 04/15/17 SERIES G -FIXED TO FLOAT							
07/16/13		5.0000	04/12/13	135.75	139.55	-3.80	SALE
11/21/13		14.0000	04/12/13	381.21	390.74	-9.53	SALE
VORNADO REALTY 5 4% PFD / 929042844 PREFERRED-SER L-PERP CUM CALL 01/25/18							
11/21/13		11.0000	04/12/13	212.97	273.46	-60.49	SALE

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2013 ENHANCED 1099

Page 27 of 59

ADVISE:

As of Date: 2/07/14

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SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

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VORNADO REALTY 5.7% PFD / 929042851 PREFERRED-CUM PERPETUAL CALL 7/18/17-SERIES K							
	07/16/13	7.0000	04/12/13	162.19	180.88	-18.69	SALE
	11/21/13	14.0000	04/12/13	288.11	361.76	-73.65	SALE
VORNADO RLTY 6.875% PFD / 929042869 CALL 4/20/2016@25 SER J PERPETUAL CUMULATIVE							
	07/16/13	5.0000	04/12/13	128.75	138.39	-9.64	SALE
	11/21/13	13.0000	04/12/13	323.17	359.82	-36.65	SALE
WEINGARTEN RLTY 6.50% / 948741889 CUM PERPETUAL PFD SER F CALL STARTING 1/30/12							
	06/05/13	42.0000	04/12/13	1,050.00	1,058.40	-8.40	REDEMPTION
	11/21/13	13.0000	04/12/13	310.43	327.60	-17.17	SALE
WELLS FARGO & CO 5.2%PFD / 949746747 DEP SHS RPSTG1/1000TH-A PERP-SER N-CALL 09/15/17							
	07/16/13	8.0000	07/03/13	184.08	188.00	0.00	SALE
	11/21/13	20.0000	07/03/13	410.01	470.00	-59.99	SALE
WELLS FARGO & CO 8% PFD / 949746879 CALLABLE 12/15/17 SERIES J							
	07/16/13	2.0000	04/12/13	57.88	60.18	-2.30	SALE

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001 / PN22 / P2LA

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Reportable Tax Information

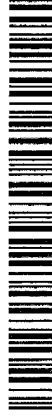
SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
11/21/13	5.0000	04/12/13	143.10	150.45	-7.35	SALE
WELLS FARGO & CO 5.25% PFD / 949746556						
DEP SHS RPSTG1/1000TH-A						
NON CUM PERP-CALL 6/15/18						
07/16/13	12.0000	06/12/13	276.84	276.16	0.68	SALE
	5.0000	06/12/13	106.43	115.06	-8.63	
	5.0000	06/13/13	106.43	117.18	-10.75	
10/15/13	10.0000	Various	212.86	232.24	-19.38	SALE
10/16/13	14.0000	06/13/13	296.31	328.10	-31.79	SALE
10/25/13	37.0000	06/13/13	800.33	790.44	-66.77	SALE
11/21/13	10.0000	06/13/13	208.10	234.35	-26.25	SALE
WELLS FARGO 5.85% PFD / 949746556						
FIX TO FLT NON CUM PERP						
CALLABLE 9/15/23						
11/21/13	8.0000	07/24/13	191.36	199.14	-7.78	SALE
	6.0000	07/23/13	143.52	143.52	0.00	
	17.0000	07/23/13	406.62	406.62	0.00	
	7.0000	07/24/13	167.44	167.44	0.00	
11/21/13	30.0000	Various	717.58	735.41	0.00	SALE
WELLS FARGO&CO 5.125%PFD / 949746721						
PERP-SER O-NON CUM-CL A						
DEP SHS CALL 12/15/17						
07/16/13	4.0000	07/15/13	91.48	92.40	-0.92	SALE
TOTAL 2 LOTS						
TOTAL 3 LOTS						

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2013 ENHANCED 1099

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As of Date: 2/07/14

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Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 **OMB NO. 1545-0715**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental Information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount Additional Information
11/21/13	9.0000	07/15/13	185.22	207.90	-22.68 SALE

TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS
119,295.02 127,766.25

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 **OMB NO. 1545-0715**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental Information not reported to the IRS	
				3-Cost or Other Basis	Gain or Loss Amount Additional Information
AEGON NV 8% PFD / 007924608 \$25 PAR NON-CUMULATIVE MATURITY 2/15/42 07/16/13	16.0000	04/12/13	446.71	461.51	-14.80 SALE
11/21/13	37.0000	04/12/13	997.87	1,067.24	-69.37 SALE
AFFILIATED MGRS6.375%PFD / 008252876 GROUP INC-SENIOR NOTE DUE 8/15/42 CALL 8/15/17 07/16/13					
11/21/13	4.0000	04/12/13	100.16	106.44	-6.28 SALE
11/21/13	8.0000	04/12/13	184.56	212.88	-28.32 SALE
AMERICAN FIN GRP5.75%PFD / 025932500 PREFERRED-SENIOR NOTE CALL 8/25/17 MTY 8/25/42 07/16/13					
11/21/13	3.0000	04/12/13	72.12	79.44	-7.32 SALE
11/21/13	6.0000	04/12/13	129.72	158.88	-29.16 SALE

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2013 ENHANCED 1099

Page 30 of 59

As of Date: 2/07/14

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Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED** continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental information not reported to the IRS

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
AMERICAN FINL 6 375% PFD / 0255932401						
GRP INC-SR NT-DUE6/12/42						
CALLABLE 6/12/17						
07/16/13	5.0000	04/12/13	125.55	134.55	-9.00	SALE
11/21/13	9.0000	04/12/13	222.08	242.19	-20.11	SALE
AMERIPRISE FINANCIAL INC / 03076C205						
MATURITY 6/15/2039						
CALLABLE STARTING 6/15/14						
07/16/13	12.0000	04/12/13	313.79	328.49	-14.70	SALE
11/21/13	30.0000	04/12/13	782.69	821.22	-38.53	SALE
CITIGROUP CAP IX 6% / 173066200						
DUE 2/14/33						
CALLABLE 2/13/08						
05/21/13	28.0000	04/12/13	707.29	710.91	-3.62	SALE
06/26/13	22.0000	04/12/13	546.18	558.57	-12.39	SALE
07/16/13	15.0000	04/12/13	377.99	380.84	-2.85	SALE
11/21/13	35.0000	04/12/13	870.08	888.63	-18.55	SALE
CITIGROUP CAP XVI 6.45% / 17310L201						
DUE 12/31/2066						
CALL STARTING 12/31/2011						
04/25/13	37.0000	04/12/13	936.68	934.25	2.43	SALE
CITIGROUP CAP XVII 6.35% / 17311H209						
DUE 3/15/2067						
CALL STARTING 3/15/2012						
06/26/13	27.0000	04/12/13	671.82	683.94	-12.12	SALE

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Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED**continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis		Supplemental information not reported to the IRS	
				Amount	Additional Information	Gain or Loss	
07/16/13	6.0000	04/12/13	150.96	151.99	-1 03	SALE	
11/21/13	15.0000	04/12/13	376.34	379.97	-3.63	SALE	
CITIGROUP CAPITAL X 6.1% / 173064205							
TRUPS DUE 9/30/33 CALLABLE 9/30/08							
07/16/13	6.0000	04/12/13	150.48	151.68	-1 20	SALE	
10/01/13	39.0000	04/12/13	975.00	985.88	-10.88	REDEMPTION	
CITIGROUP CAPITAL XI 6% / 17307Q205							
TRUPS DUE 9/27/34 CALLABLE 9/27/09							
04/16/13	27.0000	04/12/13	681.61	682.56	-0.95	SALE	
07/16/13	12.0000	04/12/13	300.47	303.36	-2.89	SALE	
11/21/13	28.0000	04/12/13	698.87	707.84	-8.97	SALE	
COMCAST CORP 5% PFD / 20030N606							
\$25 PAR-SR NOTE MTY12/15/61 CALL12/15/17							
11/21/13	7.0000	10/04/13	150.01	150.06	-0 05	SALE	
COUNTRYWIDE CP 6.75% PFD / 22238E206							
IV TR PFD DUE 4/01/2033 CALLABLE 4/11/08							
07/16/13	11.0000	04/12/13	275.99	278.85	-2.86	SALE	
11/21/13	25.0000	04/12/13	626.49	633.75	-7.26	SALE	

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SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED**continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS				Gain or Loss		Additional Information	
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Amount	
DOMINION RES INC 8.375% / 25746U604							
SER A/CALLABLE 6/15/14							
DUE 06/15/64							
07/16/13	11.0000	04/12/13	290.17	295.32	-5.15	SALE	
11/21/13	25.0000	04/12/13	653.49	671.18	-17.69	SALE	
DTE ENERGY CO 5.25% PFD / 233331701							
JR SUB DEB-SERIES C							
MTY 12/1/62 CALL 12/1/17							
07/16/13	3.0000	04/12/13	71.25	77.25	-6.00	SALE	
11/21/13	6.0000	04/12/13	119.46	154.50	-35.04	SALE	
DTE ENERGY 6.5% PFD / 233331602							
JR SUB NOTE							
MAT 12/1/61 CALL 12/1/16							
07/16/13	4.0000	04/12/13	103.24	109.84	-6.60	SALE	
11/21/13	8.0000	04/12/13	189.68	219.68	-30.00	SALE	
DUKE ENERGY 5.125% PFD / 26441C303							
JR SUB DEB-PREFERRED							
DUE 1/15/73 CALL 1/15/18							
07/16/13	8.0000	04/12/13	190.56	204.16	-13.60	SALE	
11/21/13	19.0000	04/12/13	394.24	484.88	-90.64	SALE	
ENERGY ARKANSAS 5.75% PFD / 29364D779							
FIRST MTG BONDS							
MAT 11/1/40 CALL 11/1/15							
07/16/13	5.0000	04/12/13	124.00	133.08	-9.08	SALE	
11/21/13	10.0000	04/12/13	240.45	266.15	-25.70	SALE	

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2013 ENHANCED 1099



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1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	Supplemental Information not reported to the IRS		
					3-Cost or Other Basis	Gain or Loss Amount	Additional Information
ENERGY LOUISIANA 5 875% / 29364W405 FIRST MTG BONDS MAT 06/15/41 CALL 11/23/15	07/16/13	3.0000	04/12/13	74.55	82.02	-7.47	SALE
	11/21/13	5.0000	04/12/13	118.85	136.70	-17.85	SALE
	07/16/13	3.0000	04/12/13	75.03	80.52	-5.49	SALE
	11/21/13	9.0000	04/12/13	220.05	241.56	-21.51	SALE
ENERGY MISS 6 00% PFD / 29364N835 FIRST MTG BONDS MAT 05/01/51 CALL 5/1/16	07/16/13	6.0000	04/12/13	149.10	161.20	-12.10	SALE
	11/21/13	11.0000	04/12/13	261.64	295.52	-33.88	SALE
	07/16/13	3.0000	04/12/13	78.78	81.15	-2.37	SALE
	11/21/13	8.0000	04/12/13	208.96	216.40	-7.44	SALE
EVEREST RE 6 2%CAP TRUST / 29980R202 DUE 3/29/2034 CALLABLE 3/30/09	05/24/13	169.0000	04/12/13	4,225.00	4,257.11	-32.11	REDEMPTION

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SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED** continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
GE CAP CORP 4.875% PFD / 369622428 FXD RT SR NT MTY10/15/52 CALL 10/15/17 07/16/13	20 0000	04/12/13	453.01	511.20	-58.19	SALE
11/21/13	50 0000	04/12/13	1,052.48	1,278.00	-225.52	SALE
GE CAP CORP 4.7% PFD / 369622394 FIXED RATE SR NOTES DUE 5/16/53 1ST CALL 5/16/18 07/16/13	19 0000	05/21/13	413.62	472.72	-59.10	SALE
11/21/13	48.0000	05/21/13	938.38	1,194.22	-255.84	SALE
GE CAP CORP 4.875% PFD / 369622410 FIXED RATE SR NOTES DUE 1/29/53 1ST CALL 1/29/18 07/16/13	9.0000	04/12/13	205.11	230.76	-25.65	SALE
11/21/13	29 0000	04/12/13	603.19	743.56	-140.37	SALE
GOLDMAN SACHS 6 125% PFD / 38145X111 SR NOTE MAT 11/1/60 CALL 11/1/15 07/16/13	29 0000	04/12/13	734.56	776.83	-42.27	SALE
11/21/13	65.0000	04/12/13	1,617.04	1,741.16	-124.12	SALE
GOLDMAN SACHS 6.5% PFD / 38144G184 GRP SR NOTE MAT 11/1/61 CALL 11/1/16 07/16/13	28.0000	04/12/13	722.67	777.00	-54.33	SALE
11/21/13	66.0000	04/12/13	1,678.35	1,831.50	-153.15	SALE

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2013 ENHANCED 1099

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As of Date: 2/07/14

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Your Financial Advisor :

LEWITTES/APGAR
375 PARK AVENUE
10TH FLOOR
NEW YORK, NY 10152
(212) 214-3300

LOUIS GREENSPAN CHARITABLE TR
DANIEL CURTIN TTEE
GARY D KOCH TTEE
U/A DTD 01/27/1998
P.O. BOX 4983
POUGHKEEPSIE NY 12602-4983

Account Number: 6643-9029
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED** continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
JPM TRUST PFD XXIX 6 70% / 48125E207 CALLABLE 04/02/15@\$25.00 MATURITY 04/02/40 07/16/13	3.0000	04/12/13	77.01	78.51	-1.50	SALE
11/21/13	6.0000	04/12/13	153.30	157.02	-3.72	SALE
12/12/13	11.0000	04/12/13	283.81	287.87	-4.06	SALE
LLOYDS BKG GRP PLC 7 75% / 539439802 PREFERRED-SR NOTE CALL 7/15/15 MTY 07/15/50 07/16/13	45.0000	04/12/13	1,196.08	1,247.40	-51.32	SALE
11/21/13	104.0000	04/12/13	2,808.03	2,882.88	-74.85	SALE
MERRILL LYNCH 6 45% CAP / 590199204 TR DUE 12/15/2066 CALL STARTING 12/15/11 07/16/13	20.0000	04/12/13	498.99	504.97	-5.98	SALE
11/21/13	47.0000	04/12/13	1,167.46	1,186.66	-19.20	SALE
MERRILL LYNCH 6 45% PFD / 59024T203 CAP TR II DUE 06/15/62 VARIABLE/CALL 8/12/13 07/16/13	17.0000	04/12/13	424.14	428.88	-4.74	SALE
11/21/13	41.0000	04/12/13	1,018.22	1,034.35	-16.13	SALE
MORGAN STANLEY 5 75% / 617466206 CAP TR V DUE 7/15/2033 CALLABLE 7/16/08 @ \$25 07/16/13	8.0000	04/12/13	196.80	200.56	-3.76	SALE

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POUGHKEEPSIE NY 12602 4983

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2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Account Number: 6643-9029
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED** continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS				
8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1c-Quantity Sold	2a-Sales Price*	3-Cost or Other Basis
11/21/13	04/12/13	19.0000	434.14	476.31
MORGAN STANLEY 6.25% TR / 617462205				
PFD CAP IV DUE 4/1/33				
CALLABLE 4/21/08				
07/16/13	04/12/13	8.0000	199.04	201.99
11/21/13	04/12/13	18.0000	431.63	454.47
MORGAN STANLEY 6.25% TR / 617460209				
PFD CAP III DUE 3/1/33				
CALLABLE 03/1/08				
07/16/13	04/12/13	17.0000	423.63	429.90
11/21/13	04/12/13	41.0000	978.24	1,036.81
MORGAN STANLEY 6.45% PFD / 61753R200				
CAP TRUST VIII 4/15/2067				
CALL STARTING 7/15/12				
07/16/13	04/12/13	7.0000	174.44	176.74
11/21/13	04/12/13	17.0000	410.37	429.22
MORGAN STANLEY 6.60% TR / 617461207				
PFD CAP VI DUE 2/1/46				
CALL STARTING 2/1/11				
07/16/13	04/12/13	8.0000	199.68	201.67
11/21/13	04/12/13	18.0000	442.52	453.75
MORGAN STANLEY 6.60% / 61750K208				
PFD CAP VII 10/15/2066				
CALL STARTING 12/06/13				
07/16/13	06/10/13	7.0000	174.72	176.96

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2013 ENHANCED 1099

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Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED** continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
11/21/13	16.0000	06/10/13	392.79	404.48	-11.69	SALE
NEXTERA ENERGY 5.625% PFD / 65339K704 CAPITAL PREFERRED SER H DUE 6/15/72 CALL 6/15/17						
07/16/13	5.0000	04/12/13	117.75	130.40	-12.65	SALE
11/21/13	10.0000	04/12/13	205.70	260.80	-55.10	SALE
NEXTERA ENERGY 5.7% PFD / 65339K605 JR SUB DEB DUE 03/01/72 CALLABLE 03/01/17 SER G						
07/16/13	4.0000	04/12/13	95.48	106.04	-10.56	SALE
11/21/13	10.0000	04/12/13	211.20	265.10	-53.90	SALE
NEXTERA ENERGY 5% PFD / 65339K886 CAP HLDGS-JR SUB DEB-J MTY11/15/73 CALL 01/15/18						
11/21/13	9.0000	04/12/13	163.98	221.94	-57.96	SALE
NEXTERA ENERGY 5.125% PFD / 65339K803 GTD JR SUB DEB SERIES I MTY11/15/72 CALL 11/15/17						
11/21/13	18.0000	04/12/13	332.63	452.09	-119.46	SALE
PFL CAPITAL FDG 5.9% PFD / 69352P202 JR SUB NTS MTY 04/30/73 SERIES B-CALL 04/30/18						
07/16/13	5.0000	04/12/13	120.30	127.04	-6.74	SALE
11/21/13	10.0000	04/12/13	211.00	254.07	-43.07	SALE

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2013 ENHANCED 1099

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 375 PARK AVENUE
 10TH FLOOR
 NEW YORK, NY 10152
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Payer:
 FIRST CLEARING, LLC
 2801 MARKET STREET
 SAINT LOUIS, MO 63103
 Payer ID #: 23-2384840

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Account Number: 6643-9029
 Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED continued**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
PRUDENTIAL FIN 5.75% PFD / 744320706 JR SUB NOTES DUE 03/15/53 CALL 03/15/18						
07/16/13	4 0000	05/08/13	95.68	103.56	-7.88	SALE
11/21/13	11.0000	05/08/13	239.26	284.79	-45.53	SALE
PRUDENTIAL FINL 5.75% PFD / 744320607 INC-PREFERRED-JR SUB NT MTY 12/15/52 CALL 12/4/17						
05/08/13	14 0000	04/12/13	365.73	359.10	6.63	SALE
07/16/13	7.0000	04/12/13	167.86	179.55	-11.69	SALE
11/21/13	17 0000	04/12/13	370.25	436.05	-65.80	SALE
QWEST CORP 7.375% PFD / 74913G204 SENIOR UNSECURED MAT 06/01/51 CALL 6/1/16						
07/16/13	13 0000	04/12/13	335.00	348.21	-13.21	SALE
11/21/13	30 0000	04/12/13	740.69	803.55	-62.86	SALE
QWEST CORP 7.5% PFD / 74913G303 PREFERRED-SR UNSECURED MTY 09/15/51 CALL 9/15/16						
07/16/13	13 0000	04/12/13	337.99	351.00	-13.01	SALE
11/21/13	33 0000	04/12/13	821.69	891.00	-69.31	SALE
QWEST CORP 7% PFD / 74913G501 SR UNSEC DUE 07/01/52 CALLABLE 07/01/17						
07/16/13	4 0000	04/12/13	100.80	106.69	-5.89	SALE

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2013 ENHANCED 1099

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As of Date: 2/07/14

Payer:

FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2364840

Your Financial Advisor :

LEWITTES/APGAR
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Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED**continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Amount	Additional Information
11/21/13	9 0000	04/12/13	216.72	240.05	-23.33	SALE
OWEST CORP 7% PFD / 74913G402						
SENIOR UNSECURED						
CALL 4/1/2017						
07/16/13	9 0000	04/12/13	226.89	239.31	-12.42	SALE
11/21/13	20 0000	04/12/13	482.19	531.80	-49.61	SALE
RAYMOND JAMES FINCL PFD / 754730208						
6.90% SENIOR NOTES						
CALLABLE DUE 03/15/2042						
07/16/13	5.0000	04/12/13	130.50	137.11	-6.61	SALE
11/21/13	11.0000	04/12/13	278.85	301.62	-22.77	SALE
SCANA CORP 7.7% PFD / 80589M201						
MATURITY 01/30/2065						
CALLABLE STRING 01/30/15						
11/21/13	6 0000	04/12/13	157.14	165.18	-8.04	SALE
SENIOR HOUSING 5.625%PFD / 81721M208						
PPTY'S TR-PREFERRED-SR NT						
DUE 8/1/2042 CALL 8/1/17						
07/16/13	9.0000	04/12/13	207.09	227.07	-19.98	SALE
11/21/13	21 0000	04/12/13	409.91	529.83	-119.92	SALE
STANLEY BLACK&DEKRS 75PFD / 854502705						
JR SUB NTS DUE 7/25/2052						
CALL 07/25/2017						
07/16/13	9.0000	04/12/13	220.05	237.78	-17.73	SALE

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POUGHKEEPSIE NY 12602-4983

Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

Account Number: 6643-9029
Your Federal Identification Number: 14-6185658

Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED**continued
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
11/21/13	20.0000	04/12/13	444.39	528.40	-84.01	SALE
TELEPHONE DATA SYS 7%PFD / 879433837						
SR UNSECURED						
MAT 3/15/60 CALL 3/15/16	8.0000	04/12/13	202.56	211.38	-8.82	SALE
07/16/13						
11/21/13	18.0000	04/12/13	447.11	475.60	-28.49	SALE
TELEPHONE DATA 6.875%PFD / 879433845						
SYS SR UNSECURED						
MAT 11/15/59 CALL 11/15/15	4.0000	04/12/13	100.80	104.40	-3.60	SALE
07/16/13						
11/21/13	11.0000	04/12/13	258.50	287.08	-28.58	SALE
TORCHMARK CORP 5.875%PFD / 891027302						
JR SUB DEB-MTY 12/15/52						
CALL 12/15/17	3.0000	04/12/13	72.51	79.35	-6.84	SALE
07/16/13						
11/21/13	6.0000	04/12/13	132.30	158.70	-26.40	SALE
US CELLULAR 6.95% PFD / 911684405						
SR UNSECURED						
MAT 5/15/60 CALL 5/15/16	4.0000	04/12/13	100.00	106.48	-6.48	SALE
07/16/13						
11/21/13	9.0000	04/12/13	221.04	239.58	-18.54	SALE
VENTAS REALTY LP 5.45%PFD / 92276M204						
VENTAS CAP CRP-GTD SR NT						
MTY 03/15/43 CALL 3/07/18	4.0000	04/12/13	90.64	101.68	-11.04	SALE
07/16/13						

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2013 ENHANCED 1099



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Payer ID # 23-2384840

Account Number: 6643-9029
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Reportable Tax Information

SHORT TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED***continued*
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
11/21/13	11 0000	04/12/13	237.50	279.62	-42.12	SALE
VORNADO RLTY LP 7.875% / 929043602 (PINES)						
DUE 10/1/39 CALL 10/1/14						
07/16/13	28.0000	04/12/13	737.51	764.35	-26.84	SALE
10/04/13	19.0000	04/12/13	496.24	518.67	-22.43	SALE
11/21/13	57.0000	04/12/13	1,496.80	1,555.99	-59.19	SALE
WEINGARTEN REALTY 8.1% / 948741348						
MATURITY 09/15/2019						
CALLABLE STARTING 9/15/14						
11/21/13	7.0000	04/12/13	149.10	160.44	-11.34	SALE
WR BERKLEY CORP 6.75% / 08449Q203						
DUE 7/26/45						
CALL STARTING 7/26/10						
05/28/13	162.0000	04/12/13	4,050.00	4,137.25	-87.25	REDEMPTION
WR BERKLEY CORP 5.625% PFD / 084423409						
SUB NOTES DUE 04/30/53						
1ST CALL 5/02/2018						
11/21/13	16.0000	05/16/13	315.53	405.36	-89.83	SALE
XCEL ENERGY INC 7.6% PFD / 98389B886						
DUE 01/01/68						
CALLABLE 05/31/13						
05/31/13	79.0000	04/12/13	1,975.00	2,013.39	-38.39	REDEMPTION
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					59,053.32	-3,737.62

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Payer ID # 23-2384840

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Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
CHEVRON CORPORATION / 166764100 04/12/13	200.0000	07/12/11	23,922.87	20,950.70	2,972.17	SALE
COLGATE-PALMOLIVE CO / 191162103	300.0000	10/31/11	35,657.23	27,447.60	8,209.63	
	200.0000	02/15/12	23,771.49	18,496.00	5,275.49	
04/12/13	500.0000	Various	59,428.72	45,943.60	13,485.12	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS					83,351.59	16,457.29

TOTAL 2 LOTS

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED**
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
CHEVRON CORPORATION / 166764100 04/12/13	300.0000	07/15/09	35,884.29	19,274.40	16,609.89	SALE
COCA-COLA COMPANY / 191216100 04/12/13	2,000.0000	12/09/99	81,740.12	60,935.00	20,805.12	SALE
EXXON MOBIL CORP / 30231G102 04/12/13	1,500.0000	03/28/01	132,678.28	57,885.00	74,793.28	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					250,302.69	112,208.29

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