



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



## Return of Private Foundation

## or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter Social Security numbers on this form as it may be made public.
- Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

For calendar year 2013, or tax year beginning , 2013, and ending

BYCK-ROTHSCHILD FOUNDATION, INC.  
P.O. BOX 568  
STATESBORO, GA 30459

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) **\$ 2,404,836.** J Accounting method  Cash  Accrual  
 Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	75,448.			
	2 Ck ► <input type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	52,922.	52,922.		
	5a Gross rents				
	b Net rental income or (loss)	54,637.			
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a	367,326.			
	7 Capital gain net income (from Part IV, line 2)		54,637.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	183,007.	107,559.	0.		
ADMINISTRATIVE OPERATING AND EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)	SEE ST 1 1,975.			1,975.
	c Other prof fees (attach sch)	SEE ST 2 14,845.	14,845.		
	17 Interest				
	18 Taxes (attach schedule) <small>(see instrs)</small>	SEE STM 3 784.	679.		105.
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	17,604.	15,524.		2,080.
25 Contributions, gifts, grants paid PART XV	97,000.			97,000.	
26 Total expenses and disbursements. Add lines 24 and 25	114,604.	15,524.	0.	99,080.	
27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0) c Adjusted net income (if negative, enter -0)	68,403.	92,035.		0.	

Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash -- non-interest-bearing . . .				
	2 Savings and temporary cash investments . . .		142,704.	118,654.	118,654.
	3 Accounts receivable . . . . .				
	Less: allowance for doubtful accounts ►				
	4 Pledges receivable . . . . .				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable . . . . .				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7 Other notes and loans receivable (attach sch) . . . . .				
	Less: allowance for doubtful accounts ►				
	8 Inventories for sale or use . . . . .				
	9 Prepaid expenses and deferred charges . . . . .				
	10a Investments -- U S and state government obligations (attach schedule) . . . . .				
	b Investments -- corporate stock (attach schedule). STATEMENT 4		1,658,497.	1,749,051.	2,281,694.
	c Investments -- corporate bonds (attach schedule) . . . . .				
L I A B I L I T E S	11 Investments -- land, buildings, and equipment: basis . . . . .				
	Less: accumulated depreciation (attach schedule) . . . . .				
	12 Investments -- mortgage loans . . . . .				
	13 Investments -- other (attach schedule) . . . . .				
	14 Land, buildings, and equipment: basis . . . . .				
	Less: accumulated depreciation (attach schedule) . . . . .				
	15 Other assets (describe ► SEE STATEMENT 5 )		2,589.	4,488.	4,488.
	16 Total assets (to be completed by all filers -- see the instructions. Also, see page 1, item I) . . . . .		1,803,790.	1,872,193.	2,404,836.
	17 Accounts payable and accrued expenses . . . . .				
	18 Grants payable . . . . .				
	19 Deferred revenue . . . . .				
	20 Loans from officers, directors, trustees, & other disqualified persons . . . . .				
	21 Mortgages and other notes payable (attach schedule) . . . . .				
	22 Other liabilities (describe ► )				
	23 Total liabilities (add lines 17 through 22) . . . . .		0.	0.	
N F E U T N D A S B S A E L T A S N C O E R S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ►	<input type="checkbox"/>			
	24 Unrestricted . . . . .				
	25 Temporarily restricted . . . . .				
	26 Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ►	<input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds . . . . .				
	28 Paid-in or capital surplus, or land, building, and equipment fund . . . . .				
	29 Retained earnings, accumulated income, endowment, or other funds . . . . .		1,803,790.	1,872,193.	
	30 Total net assets or fund balances (see instructions) . . . . .		1,803,790.	1,872,193.	
	31 Total liabilities and net assets/fund balances (see instructions) . . . . .		1,803,790.	1,872,193.	

## Part III | Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year -- Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,803,790.
2 Enter amount from Part I, line 27a . . . . .	2	68,403.
3 Other increases not included in line 2 (itemize) . . . . .	3	
4 Add lines 1, 2, and 3 . . . . .	4	1,872,193.
5 Decreases not included in line 2 (itemize) . . . . .	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) -- Part II, column (b), line 30 . . . . .	6	1,872,193.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)			(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 6					
b					
c					
d					
e					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Column (h)) gain minus column (k), but not less than -0- or Losses (from column (h))	
(i) Fair Market Value as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss).		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	54,637.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).		If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	101,226.	1,969,571.	0.051395
2011	86,000.	1,919,063.	0.044814
2010	92,628.	1,757,797.	0.052696
2009	90,088.	1,498,056.	0.060137
2008	90,114.	1,721,151.	0.052357

2 Total of line 1, column (d)

2 0.261399

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 0.052280

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

4 2,206,247.

5 Multiply line 4 by line 3

5 115,343.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 920.

7 Add lines 5 and 6

7 116,263.

8 Enter qualifying distributions from Part XII, line 4

8 99,080.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter 'N/A' on line 1.	
Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ► <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	1 1,841.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b). . . . .		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-). . . . .	2 0.
3	Add lines 1 and 2. . . . .	3 1,841.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .	4 0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-. . . . .	5 1,841.
6	<b>Credits/Payments.</b>	
a	2013 estimated tax pmts and 2012 overpayment credited to 2013	6a 1,560.
b	Exempt foreign organizations – tax withheld at source	6b
c	Tax paid with application for extension of time to file (Form 8868)	6c
d	Backup withholding erroneously withheld . . . . .	6d
7	Total credits and payments Add lines 6a through 6d . . . . .	7 1,560.
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9 281.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ► Refunded . . . . .	11

**Part VII-A | Statements Regarding Activities**

1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	Yes <input type="checkbox"/> No <input type="checkbox"/>
1 b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? . . . . .	Yes <input type="checkbox"/> No <input type="checkbox"/>
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
1 c	Did the foundation file Form 1120-POL for this year? . . . . .	Yes <input type="checkbox"/> No <input type="checkbox"/>
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:	
(1)	On the foundation ► \$ 0. (2) On foundation managers . . . . .	0.
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0. . . . .	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	Yes <input type="checkbox"/> No <input type="checkbox"/>
If 'Yes,' attach a detailed description of the activities.		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	
4 a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	Yes <input type="checkbox"/> No <input type="checkbox"/>
b	If 'Yes,' has it filed a tax return on Form 990-T for this year? . . . . .	Yes <input type="checkbox"/> No <input type="checkbox"/>
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	Yes <input type="checkbox"/> No <input type="checkbox"/>
If 'Yes,' attach the statement required by General Instruction T		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:	
• By language in the governing instrument, or		
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .		
7	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV. . . . .	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions). ►	
GA		
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation . . . . .	Yes <input type="checkbox"/> No <input type="checkbox"/>
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV	
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses . . . . .	Yes <input type="checkbox"/> No <input type="checkbox"/>

BAA

Form 990-PF (2013)

**Part VII-A Statements Regarding Activities (continued)**

11		X
12		X
13	X	
Website address ► <u>WWW.GUIDESTAR.ORG</u>		
14	Telephone no. ► (912) 489-9302	
Located at ► <u>P.O. BOX 10105 SAVANNAH GA 31412</u>		
15	N/A	□
and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		
	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): . . . . .		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.) . . . . .	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__ .		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) . . . . .	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .	4b	X

**Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e)) . . . . .  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . .  Yes  No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . .  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .

Organizations relying on a current notice regarding disaster assistance check here . . . . . c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . N/A  Yes  No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . N/A

5b N/A

6b X

7b

**Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELLEN R. BYCK 305 MCLAWS STREET SAVANNAH, GA 31405	PRESIDENT 0	0.	0.	0.
EDWIN R. BYCK 145 EAST STATE STREET SAVANNAH, GA 31401	SECRETARY 0	0.	0.	0.
DAVID B. BYCK 4750 WATERS AVENUE SAVANNAH, GA 31404	TREASURER 0	0.	0.	0.
NANCY B. BURTON 6181 TIDEWATER ISLAND CIRCLE FT. MYERS, FL 33908	TRUSTEE 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE'.

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 . . . . .

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services**

0

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		

All other program-related investments. See instructions

3

**Total.** Add lines 1 through 3

1

BAA

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a Average monthly fair market value of securities	1a	2,135,619.
b Average of monthly cash balances	1b	104,226.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	2,239,845.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,239,845.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	33,598.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,206,247.
6 Minimum investment return. Enter 5% of line 5	6	110,312.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	110,312.
2a Tax on investment income for 2013 from Part VI, line 5	2a	1,841.
b Income tax for 2013 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	1,841.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	108,471.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	108,471.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	108,471.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	99,080.
b Program-related investments – total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	99,080.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	99,080.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7 . . . . .				108,471.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only . . . . .			60,081.	
b Total for prior years: 20 ____ , 20 ____ , 20 ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 . . . . .				
b From 2009 . . . . .				
c From 2010 . . . . .				
d From 2011 . . . . .				
e From 2012 . . . . .				
f Total of lines 3a through e . . . . .		0.		
4 Qualifying distributions for 2013 from Part XII, line 4. ► \$ 99,080.				
a Applied to 2012, but not more than line 2a . . . . .			60,081.	
b Applied to undistributed income of prior years (Election required – see instructions) . . . . .		0.		
c Treated as distributions out of corpus (Election required – see instructions) . . . . .		0.		
d Applied to 2013 distributable amount . . . . .				38,999.
e Remaining amount distributed out of corpus . . . . .		0.		
5 Excess distributions carryover applied to 2013 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a).)		0.		0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .		0.		
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .			0.	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .			0.	
d Subtract line 6c from line 6b Taxable amount – see instructions . . . . .			0.	
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions . . . . .				0.
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				69,472.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .		0.		
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .		0.		
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .		0.		
10 Analysis of line 9:				
a Excess from 2009 . . . . .				
b Excess from 2010 . . . . .				
c Excess from 2011 . . . . .				
d Excess from 2012 . . . . .				
e Excess from 2013 . . . . .				

**Part XIV** Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling				►	
b	Check box to indicate whether the foundation is a private operating foundation described in section				<input type="checkbox"/> 4942(j)(3) or	4942(j)(5)
2 a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	'Assets' alternative test – enter:					
(1)	Value of all assets					
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	'Support' alternative test – enter:					
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3)	Largest amount of support from an exempt organization					
(4)	Gross investment income					

**Part XV** Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SEE STATEMENT 7				
<b>Total</b>			► 3a	<b>97,000.</b>
<i>b Approved for future payment</i>				
<b>Total</b>			► 3b	

## Part XVI-A Analysis of Income-Producing Activities

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities				14	52,922.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				18	54,637.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				107,559.	
13 Total. Add line 12, columns (b), (d), and (e)				13	107,559.
(See worksheet in line 13 instructions to verify calculations.)					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1) Cash. . . . .	1 a (1)	X
(2) Other assets . . . . .	1 a (2)	X
b Other transactions:		
(1) Sales of assets to a noncharitable exempt organization . . . . .	1 b (1)	X
(2) Purchases of assets from a noncharitable exempt organization. . . . .	1 b (2)	X
(3) Rental of facilities, equipment, or other assets . . . . .	1 b (3)	X
(4) Reimbursement arrangements . . . . .	1 b (4)	X
(5) Loans or loan guarantees . . . . .	1 b (5)	X
(6) Performance of services or membership or fundraising solicitations. . . . .	1 b (6)	X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .	1 c	X

**d** If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

<b>(a) Line no.</b>	<b>(b) Amount involved</b>	<b>(c) Name of noncharitable exempt organization</b>	<b>(d) Description of transfers, transactions, and sharing arrangements</b>
N/A			

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . .

Yes  No

**b** If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

Ellen R. Byrd  
Signature of officer or trustee

1517114

→ President

May the IRS discuss this return with the preparer shown below (see instructions)?

Signature of owner or trustee		Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
<b>Paid Preparer Use Only</b>	Print/Type preparer's name		<i>ZBK</i>	4/22/2011 P00590640	
	Firm's name ► KRT, CPAS P.C.		Firm's EIN ► 58-1202894		
	Firm's address ► 6600 ABERCORN STREET SUITE 200 SAVANNAH, GA 31405		Phone no (912) 232-0475		

**BAA**

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

OMB No 1545-0047

## Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF  
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**2013**

Name of the organization

**BYCK-ROTHSCHILD FOUNDATION, INC.**

Employer identification number

**14-1859523**

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

501(c)( ) (enter number) organization  
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
 527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II.)

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year . . . . . ► \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, or 990-PF.**

**Schedule B** (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

BYCK-ROTHSCHILD FOUNDATION, INC.

Employer identification number

14-1859523

**Part I** Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NANCY R. HILL CHAR. LEAD TRUST C/O SYNOVUS TRUST CO COLUMBUS, GA 31902	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	ELLEN R. BYCK 305 MCLAWS STREET SAVANNAH, GA 31405	\$ 25,448.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/>
			(Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions.)

---

**Name of organization**

**Employer identification number**

BYCK-ROTHSCHILD FOUNDATION, INC.

14-1859523

**Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	833 SHARES TOTAL SYSTEM SVCS INC COM	\$ 25,448.	11/14/13

BAA

---

**Name of organization**

NAME OF ORGANIZATION:  
**BYCK-ROTHSCHILD FOUNDATION, INC.**

**Employer identification number**

14-1859523

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.)

▶ \$

N/A

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
N/A			
	<b>(e)</b> Transfer of gift Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
(a) No. from Part I			
	<b>(e)</b> Transfer of gift Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
(a) No. from Part I			
	<b>(e)</b> Transfer of gift Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
(a) No. from Part I			
	<b>(e)</b> Transfer of gift Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
(a) No. from Part I			
	<b>(e)</b> Transfer of gift Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
(a) No. from Part I			
	<b>(e)</b> Transfer of gift Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2013

## FEDERAL STATEMENTS

PAGE 1

BYCK-ROTHSCHILD FOUNDATION, INC.

14-1859523

**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 16B**  
**ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 1,975.			\$ 1,975.
TOTAL	<u>\$ 1,975.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 1,975.</u>

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	\$ 14,845.	\$ 14,845.		
TOTAL	<u>\$ 14,845.</u>	<u>\$ 14,845.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LICENSES & REGISTRATION . . . . . TAXES . . . . .	\$ 105. 679.	\$ 679.		\$ 105.
TOTAL	<u>\$ 784.</u>	<u>\$ 679.</u>	<u>\$ 0.</u>	<u>\$ 105.</u>

**STATEMENT 4**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS			
VARIOUS - SEE ATTACHED LIST	COST	\$ 1,749,051.	\$ 2,281,694.
		<u>\$ 1,749,051.</u>	<u>\$ 2,281,694.</u>

Byck-Rothschild Foundation, Inc.  
December 31, 2012 and December 31, 2013 Portfolio

	<u>Units</u>	<u>12/31/2012 Tax Cost</u>	<u>12/31/2012 Market Value</u>	<u>Units</u>	<u>12/31/2013 Tax Cost</u>	<u>12/31/2013 Market Value</u>
CASH & CASH EQUIVALENTS		142,704	142,704		118,654	118,654
<b>EQUITIES</b>						
ALLIANCE BERNSTEIN SMALL CAP GROWTH #426	723 07	30,000	28,445	824 22	35,000	44,343
ABBVIE IN COM				125 00	5,657	6,601
ALTRIA GROUP INC	370 00	10,522	11,633	370 00	10,522	14,204
AMERICAN EUROPACIFIC GROWTH FD A #16	1,880 80	68,473	77,395	2,037 37	75,473	99,790
AT&T INC	320 00	9,682	10,787	320 00	9,682	11,251
AUTOMATIC DATA PROCESSING CO	130 00	7,064	7,401	130 00	7,064	10,504
BRISTOL MYERS SQUIBB CO	225 00	7,618	7,333	225 00	7,618	11,959
CALAMOS MARKET NEUTRAL INCOME FD CL I				5,845 67	75,000	75,000
CHEVRON CORPORATION				75 00	9,254	9,368
COLUMBIA DIVIDEND OPPY FUND CL Z	13,056 85	106,035	113,725	12,363 09	100,193	126,104
CONOCOPHILLIPS COM	100 00	5,607	5,799	150 00	8,684	10,598
CONSOLIDATED EDISON INC COM	125 00	7,442	6,943	125 00	7,442	6,910
DARDEN RESTAURANTS INC	115 00	5,126	5,183	115 00	5,126	6,253
DU PONT E I DE NEMOURS & CO	160 00	7,393	7,197	160 00	7,393	10,395
DUKE ENERGY CORP	83 00	5,345	5,295	83 00	5,345	5,728
EMERSON ELECTRIC CO	165 00	7,766	8,738	165 00	7,766	11,580
FIDELITY SMALL CAP VALUE FUND I389				2,007 48	38,500	40,230
GENERAL ELECTRIC CO				525 00	12,228	14,716
GENUINE PARTS CO	85 00	5,195	5,404	85 00	5,195	7,071
HARBOR INTERNATIONAL FUND	1,259 96	55,402	78,269	1,364 39	62,402	96,886
HEWLETT PACKARD CO	325 00	8,563	4,631	325 00	8,563	9,094
IBM CORP	45 00	8,263	8,620	45 00	8,263	8,441
ICM SMALL COMPANY PORTFOLIO #1229	1,040 68	23,020	29,514	-	-	-
INTEL CORP	325 00	8,163	6,702	425 00	10,555	11,031
J P MORGAN CHASE & CO	185 00	6,412	8,134	235 00	9,067	13,743
JOHNSON & JOHNSON CO	110 00	7,159	7,711	110 00	7,159	10,075
JP MORGAN MID CAP VALUE FUND CL I	3,458 12	78,384	96,793	3,458 12	78,384	121,449
KIMBERLY CLARK CORP	100 00	7,284	8,443	100 00	7,284	10,446
KRAFT FOODS GROUP INC	83 00	3,271	3,774	83 00	3,271	4,475
LAZARD EMERGING MARKETS PORTFOLIO	3,695 88	35,000	35,850	-	-	-
LILLY ELI & CO	175 00	6,947	8,631	175 00	6,947	8,925
MARATHON OIL CORP	255 00	7,805	7,818	255 00	7,805	9,002
MARKETFIELD FUND	4,674 93	63,457	74,051	4,966 65	68,457	91,982
MCDONALDS CORP	60 00	5,938	5,293	85 00	8,376	8,248
MERCK & CO INC	200 00	7,681	8,188	200 00	7,681	10,010
MICROSOFT CORP	225 00	7,024	6,010	225 00	7,024	8,417
MONDELEZ INTL INC CL A	250 00	6,075	6,363	250 00	6,075	8,825
MUNDER MIDCAP CORE GROWTH FUND	2,904 25	76,642	95,114	2,904 25	76,642	124,883
NEWMONT MINING CORP				-	-	-
NEXTERA ENERGY INC	125 00	7,376	8,649	125 00	7,376	10,703
OPPENHEIMER DEVELOPING MARKETS FD CL I				995 45	35,000	37,399
ORACLE CORP				125 00	4,090	4,783
PEPSICO INC	150 00	9,957	10,265	150 00	9,957	12,441
PFIZER INC	350 00	7,520	8,778	350 00	7,520	10,721
RAYONIER INC	160 00	7,062	8,293	160 00	7,062	6,736
SENTINEL COMMON STOCK FUND	11,382 95	248,775	388,500	11,212 01	245,008	480,547
SOUTHERN COMPANY	225 00	10,057	9,632	225 00	10,057	9,250
SPECTRA ENERGY CORP	275 00	8,417	7,530	275 00	8,417	9,796
TFS MARKET NEUTRAL FUND	4,538 66	68,864	70,894	-	-	-
TOTAL S A SPONSORED ADR	150 00	7,681	7,802	150 00	7,681	9,191
TOTAL SYS SVCS INC COM				833 00	25,448	27,722
TRAVELERS CO INC	140 00	8,141	10,055	140 00	8,141	12,676
UNITED PARCEL SERVICES CL B	100 00	7,236	7,373	100 00	7,236	10,508
VERIZON COMMUNICATIONS INC	130 00	5,046	5,625	130 00	5,046	6,388
VODAFONE GROUP PLC	175 00	4,796	4,408	175 00	4,796	6,879
WASTE MANAGEMENT INC	225 00	7,251	7,592	225 00	7,251	10,096
	1,113,936	1,356,580		1,217,182	1,744,366	
<b>FIXED INCOME FUNDS</b>						
FEDERATED INST HIGH YIELD BOND FUND #900	9,860 23	97,162	100,476	9,860 23	97,162	100,673
FEDERATED TOTAL RETURN BOND	15,774 62	167,009	180,304	10,304 33	108,677	112,214
TEMPLETON GLOBAL BOND FUND				1,929 01	25,000	25,251
VANGUARD SHORT TERM INV GRADE FD				11,671 34	125,000	124,883
PIMCO LOW DURATION FUND	4,752 85	50,000	49,952	-	-	-
PIMCO TOTAL RETURN FD INST #35	16,472 48	172,933	185,151	10,926 34	113,573	116,803
PIMCO ALL ASSET ALL AUTHORITY FUND #1860	5,354 83	57,457	59,385	5,808 55	62,457	57,505
		544,561	575,268		531,869	537,328
ACCRUED INCOME RECEIVABLE		2,589	2,589		4,488	4,488
TOTAL ASSETS		1,803,790	2,077,141		1,872,193	2,404,836

Byck-Rothschild Foundation, Inc.  
December 31, 2012 and December 31, 2013 Portfolio

	<u>Units</u>	<u>12/31/2012 Tax Cost</u>	<u>12/31/2012 Market Value</u>	<u>Units</u>	<u>12/31/2013 Tax Cost</u>	<u>12/31/2013 Market Value</u>
<b>CASH &amp; CASH EQUIVALENTS</b>		142,704	142,704		118,654	118,654
<b>EQUITIES</b>						
ALLIANCE BERNSTEIN SMALL CAP GROWTH #426	723 07	30,000	28,445	824 22	35,000	44,343
ABBVIE IN COM				125 00	5,657	6,601
ALTRIA GROUP INC	370 00	10,522	11,633	370 00	10,522	14,204
AMERICAN EUROPACIFIC GROWTH FD A #16	1,880 80	68,473	77,395	2,037 37	75,473	99,790
AT&T INC	320 00	9,682	10,787	320 00	9,682	11,251
AUTOMATIC DATA PROCESSING CO	130 00	7,064	7,401	130 00	7,064	10,504
BRISTOL MYERS SQUIBB CO	225 00	7,618	7,333	225 00	7,618	11,959
CALAMOS MARKET NEUTRAL INCOME FD CL I				5,845 67	75,000	75,000
CHEVRON CORPORATION				75 00	9,254	9,368
COLUMBIA DIVIDEND OPPY FUND CL Z	13,056 85	106,035	113,725	12,363 09	100,193	126,104
CONOCOPHILLIPS COM	100 00	5,607	5,799	150 00	8,684	10,598
CONSOLIDATED EDISON INC COM	125 00	7,442	6,943	125 00	7,442	6,910
DARDEN RESTAURANTS INC	115 00	5,126	5,183	115 00	5,126	6,253
DU PONT E I DE NEMOURS & CO	160 00	7,393	7,197	160 00	7,393	10,395
DUKE ENERGY CORP	83 00	5,345	5,295	83 00	5,345	5,728
EMERSON ELECTRIC CO	165 00	7,766	8,738	165 00	7,766	11,580
FIDDLITY SMALL CAP VALUE FUND 1389				2,007 48	38,500	40,230
GENERAL ELECTRIC CO				525 00	12,228	14,716
GENUINE PARTS CO	85 00	5,195	5,404	85 00	5,195	7,071
HARBOR INTERNATIONAL FUND	1,259 96	55,402	78,269	1,364 39	62,402	96,886
HEWLETT PACKARD CO	325 00	8,563	4,631	325 00	8,563	9,094
IBM CORP	45 00	8,263	8,620	45 00	8,263	8,441
ICM SMALL COMPANY PORTFOLIO #1229	1,040 68	23,020	29,514	-	-	-
INTEL CORP	325 00	8,163	6,702	425 00	10,555	11,031
J P MORGAN CHASE & CO	185 00	6,412	8,134	235 00	9,067	13,743
JOHNSON & JOHNSON CO	110 00	7,159	7,711	110 00	7,159	10,075
JP MORGAN MID CAP VALUE FUND CL I	3,458 12	78,384	96,793	3,458 12	78,384	121,449
KIMBERLY CLARK CORP	100 00	7,284	8,443	100 00	7,284	10,446
KRAFT FOODS GROUP INC	83 00	3,271	3,774	83 00	3,271	4,475
LAZARD EMERGING MARKETS PORTFOLIO	3,695 88	35,000	35,850	-	-	-
LILLY ELI & CO	175 00	6,947	8,631	175 00	6,947	8,925
MARATHON OIL CORP	255 00	7,805	7,818	255 00	7,805	9,002
MARKEFIELD FUND	4,674 93	63,457	74,051	4,966 65	68,457	91,982
MCDONALDS CORP	60 00	5,938	5,293	85 00	8,376	8,248
MERCK & CO INC	200 00	7,681	8,188	200 00	7,681	10,010
MICROSOFT CORP	225 00	7,024	6,010	225 00	7,024	8,417
MONDELEZ INTL INC CL A	250 00	6,075	6,363	250 00	6,075	8,825
MUNDER MIDCAP CORE GROWTH FUND	2,904 25	76,642	95,114	2,904 25	76,642	124,883
NEWMONT MINING CORP				-	-	-
NEXTERA ENERGY INC	125 00	7,376	8,649	125 00	7,376	10,703
OPPENHEIMER DEVELOPING MARKETS FD CL I				995 45	35,000	37,399
ORACLE CORP				125 00	4,090	4,783
PEPSICO INC	150 00	9,957	10,265	150 00	9,957	12,441
PFIZER INC	350 00	7,520	8,778	350 00	7,520	10,721
RAYONIER INC	160 00	7,062	8,293	160 00	7,062	6,736
SENTINEL COMMON STOCK FUND	11,382 95	248,775	388,500	11,212 01	245,008	480,547
SOUTHERN COMPANY	225 00	10,057	9,632	225 00	10,057	9,250
SPECTRA ENERGY CORP	275 00	8,417	7,530	275 00	8,417	9,796
TFS MARKET NEUTRAL FUND	4,538 66	68,864	70,894	-	-	-
TOTAL S A SPONSORED ADR	150 00	7,681	7,802	150 00	7,681	9,191
TOTAL SYS SVCS INC COM				833 00	25,448	27,722
TRAVELERS CO INC	140 00	8,141	10,055	140 00	8,141	12,676
UNITED PARCEL SERVICES CL B	100 00	7,236	7,373	100 00	7,236	10,508
VERIZON COMMUNICATIONS INC	130 00	5,046	5,625	130 00	5,046	6,388
VODAFONE GROUP PLC	175 00	4,796	4,408	175 00	4,796	6,879
WASTE MANAGEMENT INC	225 00	7,251	7,592	225 00	7,251	10,096
	1,113,936	1,356,580		1,217,182	1,744,366	
<b>FIXED INCOME FUNDS</b>						
FEDERATED INST HIGH YIELD BOND FUND #900	9,860 23	97,162	100,476	9,860 23	97,162	100,673
FEDERATED TOTAL RETURN BOND	15,774 62	167,009	180,304	10,304 33	108,677	112,214
TEMPLETON GLOBAL BOND FUND				1,929 01	25,000	25,251
VANGUARD SHORT TERM INV GRADE FD				11,671 34	125,000	124,883
PIMCO LOW DURATION FUND	4,752 85	50,000	49,952	-	-	-
PIMCO TOTAL RETURN FD INST #35	16,472 48	172,933	185,151	10,926 34	113,573	116,803
PIMCO ALL ASSET ALL AUTHORITY FUND #1860	5,354 83	57,457	59,385	5,808 55	62,457	57,505
		544,561	575,268		531,869	537,328
ACCRUED INCOME RECEIVABLE		2,589	2,589		4,488	4,488
TOTAL ASSETS		1,803,790	2,077,141		1,872,193	2,404,836

Byck-Rothschild Foundation, Inc.  
December 31, 2012 and December 31, 2013 Portfolio

	<u>Units</u>	12/31/2012 Tax Cost	12/31/2012 Market Value	<u>Units</u>	12/31/2013 Tax Cost	12/31/2013 Market Value
<b>CASH &amp; CASH EQUIVALENTS</b>		142,704	142,704		118,654	118,654
<b>EQUITIES</b>						
ALLIANCE BERNSTEIN SMALL CAP GROWTH #426	723 07	30,000	28,445	824 22	35,000	44,343
ABBVIE INC COM				125 00	5,657	6,601
ALTRIA GROUP INC	370 00	10,522	11,633	370 00	10,522	14,204
AMERICAN EUROPACIFIC GROWTH FD A #16	1,880 80	68,473	77,395	2,037 37	75,473	99,790
AT&T INC	320 00	9,682	10,787	320 00	9,682	11,251
AUTOMATIC DATA PROCESSING CO	130 00	7,064	7,401	130 00	7,064	10,504
BRISTOL MYERS SQUIBB CO	225 00	7,618	7,333	225 00	7,618	11,959
CALAMOS MARKET NEUTRAL INCOME FD CL I				5,845 67	75,000	75,000
CHEVRON CORPORATION				75 00	9,254	9,368
COLUMBIA DIVIDEND OPPY FUND CL Z	13,056 85	106,035	113,725	12,363 09	100,193	126,104
CONOCOPHILLIPS COM	100 00	5,607	5,799	150 00	8,684	10,598
CONSOLIDATED EDISON INC COM	125 00	7,442	6,943	125 00	7,442	6,910
DARDEN RESTAURANTS INC	115 00	5,126	5,183	115 00	5,126	6,253
DU PONT E I DE NEMOURS & CO	160 00	7,393	7,197	160 00	7,393	10,395
DUKE ENERGY CORP	83 00	5,345	5,295	83 00	5,345	5,728
EMERSON ELECTRIC CO	165 00	7,766	8,738	165 00	7,766	11,580
FIDELITY SMALL CAP VALUE FUND 1389				2,007 48	38,500	40,230
GENERAL ELECTRIC CO				525 00	12,228	14,716
GENUINE PARTS CO	85 00	5,195	5,404	85 00	5,195	7,071
HARBOR INTERNATIONAL FUND	1,259 96	55,402	78,269	1,364 39	62,402	96,886
HEWLETT PACKARD CO	325 00	8,563	4,631	325 00	8,563	9,094
IBM CORP	45 00	8,263	8,620	45 00	8,263	8,441
ICM SMALL COMPANY PORTFOLIO #1229	1,040 68	23,020	29,514	-	-	-
INTEL CORP	325 00	8,163	6,702	425 00	10,555	11,031
J P MORGAN CHASE & CO	185 00	6,412	8,134	235 00	9,067	13,743
JOHNSON & JOHNSON CO	110 00	7,159	7,711	110 00	7,159	10,075
JP MORGAN MID CAP VALUE FUND CL I	3,458 12	78,384	96,793	3,458 12	78,384	121,449
KIMBERLY CLARK CORP	100 00	7,284	8,443	100 00	7,284	10,446
KRAFT FOODS GROUP INC	83 00	3,271	3,774	83 00	3,271	4,475
LAZARD EMERGING MARKETS PORTFOLIO	3,695 88	35,000	35,850	-	-	-
LILLY ELI & CO	175 00	6,947	8,631	175 00	6,947	8,925
MARATHON OIL CORP	255 00	7,805	7,818	255 00	7,805	9,002
MARKETFIELD FUND	4,674 93	63,457	74,051	4,966 65	68,457	91,982
MCDONALDS CORP	60 00	5,938	5,293	85 00	8,376	8,248
MERCK & CO INC	200 00	7,681	8,188	200 00	7,681	10,010
MICROSOFT CORP	225 00	7,024	6,010	225 00	7,024	8,417
MONDELEZ INTL INC CL A	250 00	6,075	6,363	250 00	6,075	8,825
MUNDER MIDCAP CORE GROWTH FUND	2,904 25	76,642	95,114	2,904 25	76,642	124,883
NEWMONT MINING CORP				-	-	-
NEXTERA ENERGY INC	125 00	7,376	8,649	125 00	7,376	10,703
OPPENHEIMER DEVELOPING MARKETS FD CL I				995 45	35,000	37,399
ORACLE CORP				125 00	4,090	4,783
PEPSICO INC	150 00	9,957	10,265	150 00	9,957	12,441
PFIZER INC	350 00	7,520	8,778	350 00	7,520	10,721
RAYONIER INC	160 00	7,062	8,293	160 00	7,062	6,736
SENTINEL COMMON STOCK FUND	11,382 95	248,775	388,500	11,212 01	245,008	480,547
SOUTHERN COMPANY	225 00	10,057	9,632	225 00	10,057	9,250
SPECTRA ENERGY CORP	275 00	8,417	7,530	275 00	8,417	9,796
TFS MARKET NEUTRAL FUND	4,538 66	68,864	70,894	-	-	-
TOTAL S A SPONSORED ADR	150 00	7,681	7,802	150 00	7,681	9,191
TOTAL SYS SVCS INC COM				833 00	25,448	27,722
TRAVELERS CO INC	140 00	8,141	10,055	140 00	8,141	12,676
UNITED PARCEL SERVICES CL B	100 00	7,236	7,373	100 00	7,236	10,508
VERIZON COMMUNICATIONS INC	130 00	5,046	5,625	130 00	5,046	6,388
VODAFONE GROUP PLC	175 00	4,796	4,408	175 00	4,796	6,879
WASTE MANAGEMENT INC	225 00	7,251	7,592	225 00	7,251	10,096
	1,113,936	1,356,580		1,217,182	1,744,366	
<b>FIXED INCOME FUNDS</b>						
FEDERATED INST HIGH YIELD BOND FUND #900	9,860 23	97,162	100,476	9,860 23	97,162	100,673
FEDERATED TOTAL RETURN BOND	15,774 62	167,009	180,304	10,304 33	108,677	112,214
TEMPLETON GLOBAL BOND FUND				1,929 01	25,000	25,251
VANGUARD SHORT TERM INV GRADE FD				11,671 34	125,000	124,883
PIMCO LOW DURATION FUND	4,752 85	50,000	49,952	-	-	-
PIMCO TOTAL RETURN FD INST #35	16,472 48	172,933	185,151	10,926 34	113,573	116,803
PIMCO ALL ASSET ALL AUTHORITY FUND #1860	5,354 83	57,457	59,385	5,808 55	62,457	57,505
		544,561	575,268		531,869	537,328
ACCRUED INCOME RECEIVABLE		2,589	2,589		4,488	4,488
TOTAL ASSETS		1,803,790	2,077,141		1,872,193	2,404,836

2013

## FEDERAL STATEMENTS

PAGE 2

BYCK-ROTHSCHILD FOUNDATION, INC.

14-1859523

**STATEMENT 5**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
INVESTMENT INCOME RECEIVABLE	\$ 4,488.	\$ 4,488.
<b>TOTAL</b>	<b>\$ 4,488.</b>	<b>\$ 4,488.</b>

**STATEMENT 6**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	SYNOVUS TRUST CO., NA CAPITAL GAIN DIST.	PURCHASED	VARIOUS	12/31/2013
2	LAZARD EMERGING MARKETS MULTI STRATEGY	PURCHASED	9/27/2012	6/03/2013
3	NEWMONT MINING CORP	PURCHASED	6/03/2013	10/17/2013
4	TFS MARKET NEUTRAL FUND #30	PURCHASED	5/14/2013	10/01/2013
5	COLUMBIA DIVIDEND OPPY FD Z	PURCHASED	7/06/2012	10/17/2013
6	PIMCO LOW DURATION FD INSTL CLASS	PURCHASED	7/06/2012	10/01/2013
7	FEDERATED TOTAL RETURN BOND FD	PURCHASED	VARIOUS	10/01/2013
8	FEDERATED TOTAL RETURN BOND FD	PURCHASED	2/22/2007	10/17/2013
9	ICM SMALL COMPANY PORTFOLIO	PURCHASED	10/15/2008	6/03/2013
10	PIMCO TOTAL RETURN FD	PURCHASED	VARIOUS	10/01/2013
11	PIMCO TOTAL RETURN FD	PURCHASED	7/28/2009	10/17/2013
12	SENTINEL COMMON STOCK FUND	PURCHASED	5/15/2009	10/17/2013
13	TFS MARKET NEUTRAL FUND #30	PURCHASED	VARIOUS	10/01/2013

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	35,188.		0.	35,188.				\$ 35,188.
2	35,296.		35,000.	296.				296.
3	2,683.		3,503.	-820.				-820.
4	5,093.		5,000.	93.				93.
5	7,160.		5,841.	1,319.				1,319.
6	48,954.		50,000.	-1,046.				-1,046.
7	49,954.		48,707.	1,247.				1,247.
8	10,046.		9,626.	420.				420.
9	33,874.		23,020.	10,854.				10,854.
10	49,954.		49,600.	354.				354.
11	10,056.		9,760.	296.				296.
12	7,130.		3,768.	3,362.				3,362.
13	71,938.		68,864.	3,074.				3,074.
							TOTAL	\$ 54,637.

BYCK-ROTHSCHILD FOUNDATION, INC.

14-1859523

**STATEMENT 7**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
LIVE OAK PUBLIC LIBRARIES INC. 2002 BULL STREET SAVANNAH, GA 31401	N/A	T/E	EDUCATION	\$ 7,500.
JUNIOR ACHIEVEMENT OF GEORGIA 2 E. BRYAN ST. SAVANNAH, GA 31401	N/A	T/E	EDUCATION	5,000.
SENIOR CITIZENS, INC. 3025 BULL STREET SAVANNAH, GA 31405	N/A	T/E	BENEVOLENCE	3,000.
SAVANNAH JEWISH COUNCIL, INC. P.O. BOX 23527 SAVANNAH, GA 31403	N/A	T/E	BENEVOLENCE	20,000.
TELFair MUSEUM OF ART 121 BARNARD STREET SAVANNAH, GA 31401	N/A	T/E	ARTS & EDUCATION	13,000.
CANTEBURY SCHOOL ABC ENDOWMENT 3210 SMITH ROAD FORT WAYNE, IN 46804	N/A	T/E	ARTS & EDUCATION	5,000.
SAVANNAH MUSIC FESTIVAL 204 W. ST. JULIAN #2 SAVANNAH, GA 31401	N/A	T/E	ARTS & EDUCATION	10,000.
JEWISH EDUCATIONAL ALLIANCE OF SAVANNAH P.O. BOX 23527 SAVANNAH, GA 31403	N/A	T/E	BENEVOLENCE	1,000.
VICTOR B. JENKINS MEMORIAL BOYS CLUB 6408 WATERS AVENUE SAVANNAH, GA 31406	N/A	T/E	BENEVOLENCE	2,000.
AMERICA'S SECOND HARVEST OF COASTAL GA 2501 E. PRESIDENT ST. SAVANNAH, GA 31404	N/A	T/E	BENEVOLENCE	5,000.
MEMORIAL HEALTH MEDICAL CTR FOUNDATION P.O. BOX 23089 SAVANNAH, GA 31403	N/A	T/E	MEDICAL RESEARCH	2,500.

2013

## FEDERAL STATEMENTS

PAGE 4

BYCK-ROTHSCHILD FOUNDATION, INC.

14-1859523

**STATEMENT 7 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
LEE MEMORIAL HEALTH SYSTEM FOUNDATION 9800 S. HEALTHPARK DR. FORT MYERS, FL 33908	N/A	T/E	MEDICAL RESEARCH	\$ 1,500.
PACE CENTER FOR GIRLS, INC. ONE WEST ADAMS ST., STE 301 JACKSONVILLE, FL 32202	N/A	T/E	BENEVOLENCE	500.
HARRY CHAPIN FOODBANK OF SW FLORIDA, INC 3760 FOWLER STREET FORT MEYERS, FL 33901	N/A	T/E	BENEVOLENCE	500.
HOSPICE SAVANNAH, INC. P.O. BOX 13190 SAVANNAH, GA 31416	N/A	T/E	BENEVOLENCE	2,500.
BIRTHRIGHT ISRAEL FOUNDATION 33 E. 33RD STREET, 7TH FLOOR NEW YORK, NY 10016	N/A	T/E	RELIGIOUS	3,000.
UNITED WAY OF THE COASTAL EMPIRE 428 BULL STREET SAVANNAH, GA 31401	N/A	T/E	BENEVOLENCE	2,500.
GEORGIA REGENTS UNIVERSITY 1120 15TH STREET AUGUSTA, GA 30912	N/A	T/E	EDUCATIONAL	10,000.
THE SALVATION ARMY OF SAVANNAH 3000 BEE ROAD SAVANNAH, GA 31404	N/A	T/E	BENEVOLENCE	2,500.
TOTAL				\$ 97,000.