



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation JEROME AND MARLENE BRODY FOUNDATION INC		A Employer identification number 14-1736554	
Number and street (or P O box number if mail is not delivered to street address) 349 LEGGETT ROAD		B Telephone number (see instructions) (518) 392-4410	
City or town, state or province, country, and ZIP or foreign postal code GHENT, NY 12075		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 639,518		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,557	1,557		
	4 Dividends and interest from securities.	14,805	14,805		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	49,802			
	b Gross sales price for all assets on line 6a 630,127				
	7 Capital gain net income (from Part IV , line 2) . . .		49,802		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	66,164	66,164		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	☒ 4,145			4,145
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	☒ 1,083	1,083		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	☒ 4,672	4,572		100
	24 Total operating and administrative expenses. Add lines 13 through 23	9,900	5,655		4,245
	25 Contributions, gifts, grants paid	25,000			25,000
	26 Total expenses and disbursements. Add lines 24 and 25	34,900	5,655		29,245
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	31,264			
	b Net investment income (if negative, enter -0-)		60,509		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	12,345	16,754	16,754
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	68,154	344	332
	b	Investments—corporate stock (attach schedule)	499,807	595,243	622,432
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	580,306	612,341	639,518
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	580,306	612,341	
	30	Total net assets or fund balances (see page 17 of the instructions)	580,306	612,341	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	580,306	612,341	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	580,306
2	Enter amount from Part I, line 27a	2	31,264
3	Other increases not included in line 2 (itemize) ▶ _____	3	771
4	Add lines 1, 2, and 3	4	612,341
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	612,341

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a Capital Gain Dividends				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			4,131
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	49,802
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	24,483	622,428	0 03934
2011	39,583	617,082	0 06415
2010	24,774	602,202	0 04114
2009	25,258	535,035	0 04721
2008	25,335	603,641	0 04197

2 Total of line 1, column (d).	2	0 23380
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 04676
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	622,884
5 Multiply line 4 by line 3.	5	29,125
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	605
7 Add lines 5 and 6.	7	29,730
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	29,245

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	1,210
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2.	3	1,210
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,210
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	18
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,228
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of Marlene Brody Telephone no (518) 392-4410 Located at 1301 BAY TERRACE NO BAY VILLAGE FL ZIP +4 33141			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Form 990-PF (2013)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	609,309
b	Average of monthly cash balances.	1b	23,061
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	632,370
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	632,370
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	9,486
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	622,884
6	Minimum investment return. Enter 5% of line 5.	6	31,144

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	31,144
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	1,210
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,210
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	29,934
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	29,934
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	29,934

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	29,245
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	29,245
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	29,245
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				29,934
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			24,958	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 29,245				
a Applied to 2012, but not more than line 2a			24,958	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				4,287
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				25,647
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

MARLENE BRODY

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2013)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	25,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

(2)

Other assets.

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

(2)

Purchases of assets from a noncharitable exempt organization.

(3)

Rental of facilities, equipment, or other assets.

(4)

Reimbursement arrangements.

(5)

Loans or loan guarantees.

(6)

Performance of services or membership or fundraising solicitations.

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Yes

No

1a(1)

No

1a(2)

No

1b(1)

No

1b(2)

No

1b(3)

No

1b(4)

No

1b(5)

No

1b(6)

No

1c

No

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2014-02-24

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name
JOHN H LAVELLE CPA
LLM

Preparer's Signature

Date

Check if self-employed

PTIN
P00063169

Firm's name

Lavelle & Finn LLP

Firm's EIN

Firm's address

29 British American Boulevard Latham, NY 121101405

Phone no (518) 869-6227

Form 990-PF (2013)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARLENE BRODY	Pres /Director 15 00	0		
1301 Bay Terrace No Bay Village, FL 33141				
ANNE MESSITTE	Director 0 00	0		
179 EAST 70TH STREET-17b NEW YORK, NY 10021				
ANNE JARDINE	Treasurer 10 00	0		
PO BOX 128 NASSAU, NY 12123				
ANNE JARDINE	Sec'Y/DIRECTOR 0 00	0		
PO BOX 128 NASSAU, NY 12123				
MELANIE BRANDSTON	Director 0 00	0		
PO BOX 28 HOLLOWVILLE, NY 12530				
JOHN H LAVELLE	DIRECTOR/MEMBER 0 00	0		
29 BRITISH AMERICAN BLVD LATHAM, NY 12110				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE MIAMI LIGHTHOUSE FOR BLIND 601 SW 8TH AVENUE MIAMI,FL 33130	NONE	501(C)(3)	PROGRAM SUPPORT	5,000
DOCTORS WITHOUT BORDERS 333 7TH AVENUE 2ND FLOOR NEW YORK,NY 10001	NONE	501(C)(3)	PROGRAM SUPPORT IN SOMOLIA, MALAWI AND DEMOCRATIC REPUBLIC OF CONGO	10,000
FRIENDS OF NEW BOLTON CENTER 382 WEST STREET ROAD KENNETH SQUARE,PA 19348	NONE	501(C)(3)	SUPPORT WORK OF DOCTORS, TEACHERS & RESEARCHERS IN PROMOTING ANIMAL & HUMAN WELLBEING	5,000
WMHT Educational Telecommunications 4 Global View Troy,NY 12180	NONE	501(C)(3)	Program support	2,000
Columbia Greene Humane Society 15 Humane Society Road Hudson,NY 12534	NONE	501(C)(3)	Program support	600
WPBT2 PO Box 610002 Miami,FL 33261	NONE	501(C)(3)	Program support	2,000
Ghent Food Pantry PO Box 98 Ghent,NY 12075	NONE	501(C)(3)	Community Support	150
American Legion 700 N Pennsylvania St Indianapolis,IN 46206	NONE	501(C)(3)	Community Support	100
Optimist Club of North Bay Village 1880 S Treasure Drive 3A North Bay Village,FL 33141	NONE	501(C)(3)	Community Support for young adults	150
Total			3a	25,000

**TY 2013 Investments Corporate
Stock Schedule****Name:** JEROME AND MARLENE BRODY FOUNDATION INC**EIN:** 14-1736554**Software ID:** 13000170**Software Version:** 2013v3.1

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MSSB Equities	211,103	236,486
MSSB Mutual Funds	384,140	385,946

TY 2013 Investments Government Obligations Schedule

Name: JEROME AND MARLENE BRODY FOUNDATION INC

EIN: 14-1736554

Software ID: 13000170

Software Version: 2013v3.1

**US Government Securities - End of
Year Book Value:**

344

**US Government Securities - End of
Year Fair Market Value:**

332

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Legal Fees Schedule

Name: JEROME AND MARLENE BRODY FOUNDATION INC

EIN: 14-1736554

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LAVELLE & FINN, LLP	4,145	0	0	4,145

TY 2013 Other Expenses Schedule**Name:** JEROME AND MARLENE BRODY FOUNDATION INC**EIN:** 14-1736554**Software ID:** 13000170**Software Version:** 2013v3.1

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ML/MSSB Account Fees	4,572	4,572		
NYS DEPARTMENT OF LAW	100			100

TY 2013 Other Increases Schedule

Name: JEROME AND MARLENE BRODY FOUNDATION INC

EIN: 14-1736554

Software ID: 13000170

Software Version: 2013v3.1

Description	Amount
reconciling adjustment	771

TY 2013 Taxes Schedule**Name:** JEROME AND MARLENE BRODY FOUNDATION INC**EIN:** 14-1736554**Software ID:** 13000170**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	794	794		
foreign taxes paid on dividends	289	289		

TY 2013 IRS Payment**Name:** JEROME AND MARLENE BRODY FOUNDATION INC**EIN:** 14-1736554**Software ID:** 13000170**Software Version:** 2013v3.1**Routing Transit Number:** 044000804**Bank Account Number:** 2414598952117**Type of Account:** Checking**Payment Amount in Dollars and
Cents:** 1,228**Requested Payment Date:** 2014-05-15**Taxpayer's Daytime Phone
Number:** (518) 392-4410



Account Detail

Active Assets Account
JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FOUNDATION CHK
INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)	
NET ACTIVITY FOR PERIOD			\$11,253.11	

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
DWS ENHNCD EMRG MKTS FX INC C	08/05/11	11/12/13	5,028.000	\$52,084.45	\$53,548.20	\$(1,463.75)	A
	09/26/11	11/12/13	51.000	528.30	505.41	22.89	A
	09/26/11	11/12/13	1.000	10.36	10.64	(0.28)	A
	12/09/11	11/12/13	50.000	517.94	500.99	16.95	A
	12/09/11	11/12/13	1.000	10.36	9.92	0.44	A
	03/23/12	11/12/13	50.771	525.93	532.08	(6.15)	
	06/22/12	11/12/13	41.553	430.44	434.23	(3.79)	
	09/21/12	11/12/13	31.302	324.25	346.83	(22.58)	
Long-Term This Period				\$54,432.03	\$55,888.30	\$(1,456.27)	
Long-Term Year to Date				\$54,432.03	\$55,888.30	\$(1,456.27)	

SHORT-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
DWS ENHNCD EMRG MKTS FX INC C	12/10/12	11/12/13	30.536	316.32	346.28	(29.96)	
	03/21/13	11/12/13	36.920	382.45	412.77	(30.32)	
	06/21/13	11/12/13	36.012	373.04	373.08	(0.04)	
	09/23/13	11/12/13	33.630	348.42	360.42	(12.00)	
Short-Term This Period				\$1,420.23	\$1,482.55	\$(62.32)	
Short-Term Year to Date				\$1,420.23	\$1,482.55	\$(62.32)	



990 PF - Schedule D
Attachment

CLIENT STATEMENT | For the Period December 1-31, 2013

Morgan Stanley

Account Detail

Select UMA Active Assets Account

JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FOUNDATION MGD
INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY (CONTINUED)

Date	Activity Type	Description	Quantity	Credits/(Debits)
NET ACTIVITY FOR PERIOD			60.000	\$(48,952.56)

TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

CORPORATE ACTIONS

Date	Activity Type	Description	Comments	Quantity
11/26	Stock Dividend	BUNZL PLC NEW		60.000
12/23	Stock Dividend	REPSOL ADR OPT DIV RITS	FROM SEC 65597	45.000

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
ACCENTURE PLC IRELAND CL A	04/11/12	12/10/13	5.000	\$377.34	\$319.00	\$58.34	
	05/01/12	12/10/13	1.000	75.47	55.35	20.12	
AKZO NOBEL NV ADR	03/05/09	12/10/13	37.000	915.73	404.53	511.20	A
	02/17/11	12/10/13	12.000	296.99	272.80	24.19	A
ALLIANZ FIX INC SHRS, SERIES M	04/13/12	12/10/13	1,984.361	21,530.30	21,411.26	119.04	
	09/10/12	12/10/13	30.027	325.79	334.50	(8.71)	
	09/17/12	12/10/13	34.969	379.41	389.20	(9.79)	
ALLIANZ FX INC SHRS: SERIES C	04/13/12	12/10/13	1,785.713	23,339.27	23,589.27	(250.00)	
	04/13/12	12/10/13	110.084	1,438.80	1,454.21	(15.41)	H
	04/13/12	12/10/13	50.075	654.48	672.50	(18.02)	H
	09/14/12	12/10/13	25.055	327.47	340.50	(13.03)	
	09/17/12	12/10/13	29.978	391.81	407.70	(15.89)	
Basis Adjustment Due To Wash Sale \$16.21							
ALLSTATE CORP	03/05/09	12/10/13	6.000	323.09	85.20	237.89	A
	02/17/11	12/10/13	1.000	53.85	31.34	22.51	A
AMER INTL GP INC NEW	10/10/12	12/10/13	20.000	984.98	711.63	273.35	
ANHEUSER BUSCH INBEV SA SPON	09/04/12	12/10/13	8.000	817.67	686.48	131.19	
	10/11/12	12/10/13	7.000	715.45	608.77	106.68	
	10/11/12	12/10/13	1.000	102.21	86.97	15.24	
APPLE INC	02/17/11	12/10/13	8.000	4,530.56	2,871.00	1,659.56	A
ARCHER DANIELS MIDLAND	04/11/12	12/10/13	21.000	890.59	651.34	239.25	
ARKEMA SPONS ADR	05/02/12	12/10/13	5.000	542.64	449.60	93.04	

Select UMA Active Assets Account

JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FOUNDATION MGD
INTERESTED PARTY COPY

Account Detail

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
ASML HOLDING NV NY REG NEW	06/19/12	12/10/13	3.000	325.58	203.75	121.83	
	06/21/12	12/10/13	2.000	217.06	134.10	82.96	
	05/01/12	12/10/13	1.000	91.09	62.32	28.77	
	05/01/12	12/10/13	1.000	91.09	62.31	28.78	
	06/01/12	12/10/13	1.000	91.09	71.29	19.80	
	06/14/12	12/10/13	5.000	455.44	199.68	255.76	
	12/07/12	12/10/13	3.000	273.26	188.14	85.12	
AT&T INC	01/17/07	12/10/13	4.000	137.92	137.96	(0.04)	A
	02/24/10	12/10/13	9.000	310.31	223.99	86.32	A
BAIDU INC ADS	10/17/12	12/10/13	4.000	719.82	459.14	260.68	
BANK OF NEW YORK MELLON CORP	04/11/12	12/10/13	13.000	436.88	304.41	131.47	
BANK OF NOVA SCOTIA	08/02/12	12/10/13	8.000	481.10	408.30	72.80	
	10/15/12	12/10/13	2.000	120.28	109.34	10.94	
BARON GROWTH INSTITUTIONAL	04/11/12	12/10/13	157.966	11,228.22	8,607.55	2,620.67	
BASF SE SP ADR	04/11/12	12/10/13	8.000	825.18	656.56	168.62	
	04/11/12	12/10/13	1.000	103.15	85.65	17.60	H
Basis Adjustment Due To Wash Sale, \$18.27							
BAXTER INTL INC	04/11/12	12/10/13	10.000	673.08	581.66	91.42	
BIOMERIE INC	11/23/11	12/10/13	1.000	286.26	110.76	175.50	A
	02/01/12	12/10/13	1.000	286.26	121.57	164.69	A
	02/02/12	12/10/13	2.000	572.50	245.15	327.35	A
BLACKROCK HI YIELD BD PTF INST	04/11/12	12/10/13	3,329.449	27,667.72	25,636.87	2,130.85	
BOEING CO	02/17/11	12/10/13	8.000	1,071.26	577.64	493.62	A
BRAMBLES LTD UNSPON ADR	05/02/12	12/10/13	38.000	693.48	587.02	106.46	
	06/01/12	12/10/13	5.000	91.25	65.20	26.05	
BRISTOL MYERS SQUIBB CO	08/24/12	12/10/13	23.000	1,177.80	751.76	426.04	
	09/21/12	12/10/13	10.000	512.09	336.58	175.51	
BUNZL PLC NEW	04/11/12	12/10/13	65.000	1,461.17	1,036.75	424.42	
	06/01/12	12/10/13	10.000	224.80	155.46	68.34	
CANON INC ADR NEW	02/22/11	12/10/13	7.000	224.21	336.59	(112.38)	A
	03/17/11	12/10/13	1.000	32.03	47.62	(15.59)	A
	08/11/11	12/10/13	4.000	128.12	185.80	(57.68)	A
	01/11/12	12/10/13	3.000	96.09	128.81	(32.72)	A
	06/01/12	12/10/13	2.000	64.05	76.44	(12.39)	
CARDINAL HEALTH INC	04/11/12	12/10/13	20.000	1,327.77	825.52	502.25	
CENOVUS ENERGY INC COM	04/11/12	12/10/13	17.000	501.15	574.94	(73.79)	
CHECK POINT SOFTWARE TECH LTD	08/20/12	12/10/13	10.000	607.09	502.48	104.61	
	08/20/12	12/10/13	1.000	60.71	50.25	10.46	
	10/18/12	12/10/13	10.000	607.08	411.33	195.75	



Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2013

Account Detail

Select UMA Active Assets Account

JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FQUNDATION MGD
INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
CHEVRON CORP	01/17/07	12/10/13	3,000	371.33	212.43	158.90 A	
	03/05/09	12/10/13	2,000	247.55	113.30	134.25 A	
CHINA MOBILE LTD	04/11/12	12/10/13	14,000	752.90	755.16	(2.26)	
CISCO SYS INC	04/11/12	12/10/13	37,000	782.90	737.78	45.12	
CNOOC LTD ADS	06/19/12	12/10/13	3,000	606.52	593.69	12.83	
COCA COLA CO	06/23/11	12/10/13	18,000	718.18	588.23	129.95 A	
	06/26/12	12/10/13	16,000	638.39	602.70	35.69	
CONOCOPHILLIPS	11/10/09	12/10/13	9,000	634.48	369.67	264.81	
CORUS ENTMT CL B N/ATG	04/11/12	12/10/13	29,000	689.57	712.18	(22.61)	
	06/01/12	12/10/13	3,000	71.34	66.93	4.41	
CROWN CASTLE INTL	04/26/12	12/10/13	7,000	525.45	393.92	132.54	
	06/12/12	12/10/13	9,000	676.88	508.36	168.52	
CVS CAREMARK CORP	04/11/12	12/10/13	26,000	1,766.14	1,138.42	627.72	
DANAHER CORPORATION	05/17/11	12/10/13	13,000	978.10	695.23	282.87 A	
	05/10/12	12/10/13	6,000	451.43	322.88	128.55	
DISCOVERY COMMUNICATIONS SER A	06/27/12	12/10/13	13,000	1,105.63	693.35	412.28	
DU PONT EI DE NEMOURS & CO	04/11/12	12/10/13	10,000	615.78	514.99	100.79	
EDISON INTERNATIONAL	04/11/12	12/10/13	9,000	409.40	375.54	33.86	
EDWARD LIFESCIENCES CORP	12/07/12	12/10/13	1,000	62.32	91.35	(29.03)	
ERICSSON LM TEL ADR CL B NEW	03/26/10	12/10/13	27,000	323.45	274.11	49.34 A	
	02/17/11	12/10/13	12,000	143.76	149.23	(5.47) A	
	04/11/12	12/10/13	36,000	431.27	353.01	78.26	
	05/02/12	12/10/13	18,000	215.64	175.68	39.96	
EXPERIAN GP LTD ADR	04/11/12	12/10/13	46,000	842.24	704.72	137.52	
FACEBOOK INC CL-A	05/18/12	12/10/13	9,000	453.50	375.56	77.94	
	11/19/12	12/10/13	7,000	352.72	164.84	187.88	
FAMILY DOLLAR STORES	04/11/12	12/10/13	12,000	783.47	763.08	20.39	
	05/09/12	12/10/13	5,000	326.44	336.47	(10.03)	
FANUC CORPORATION UNSP ADR	04/11/12	12/10/13	20,000	547.99	593.00	(45.01)	
	05/02/12	12/10/13	7,000	191.80	200.77	(8.97)	
	06/01/12	12/10/13	3,000	82.20	82.11	0.09	
FEDEX CORP	01/17/12	12/10/13	4,000	553.75	359.09	194.66 A	
	01/18/12	12/10/13	1,000	138.44	90.51	47.93 A	
FHLMC 30G Q03168 4 1/2 9-01-41	04/23/12	12/10/13	8,000,000	3,856.10	3,960.92	(104.82)	
	04/23/12	12/15/13	35,290	35.29	37.71	(2.42)	
FNMA POOL AB5670 3 1/2 7-01-42	10/05/12	12/10/13	6,000,000	4,705.96	5,060.01	(354.05)	
	10/05/12	12/25/13	48,440	48.44	51.92	(3.48)	
FNMA POOL AB7079 3,000 11-01-42	11/07/12	12/10/13	4,000,000	3,622.66	4,000.76	(378.10)	
	11/07/12	12/25/13	19,320	19.32	20.36	(1.04)	

Account Detail

Select UMA Active Assets Account

JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FOUNDATION MGD
INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
FNMA POOL A/4052	4 000 10-01-41	04/23/12	12/10/13	6,000.000	3,252.72	23 790	3,365.45 (112.73)
		04/23/12	12/25/13	23 790	25.19	(1.40)	
FNMA POOL AL1948	4,000 1-01-42	08/07/12	12/10/13	4,000.000	3,441.30	3,639.48	(198.18)
		08/07/12	12/25/13	27.690	27.69	30.27	(2.58)
GIVAUDAN SA ADR		04/11/12	12/10/13	58,000	1,600.76	1,131.00	469.76
		06/01/12	12/10/13	6,000	165.60	111.12	54.48
GOOGLE INC-CLA		02/23/11	12/10/13	1 000	1,090.00	612.13	477.87 A
INTEL CORP		05/18/11	12/10/13	8,000	198.39	190.96	7.43 A
INTL BUSINESS MACHINES CORP		09/05/12	12/10/13	4,000	708.39	780.85	(72.46)
		09/21/12	12/10/13	2,000	354.19	414.28	(60.09)
JOHNSON & JOHNSON		05/12/11	12/10/13	4 000	376.63	266.22	110.41 A
		06/23/11	12/10/13	14,000	1,318.22	911.35	406.87 A
		06/23/11	12/10/13	4 000	376.63	260.38	116.25 A
		06/23/11	12/10/13	1 000	94.16	65.10	29.06 A
KINDER MORGAN INCORP		08/02/12	12/10/13	30,000	989.68	1,071.50	(81.82)
KONINKLUKE A HOLD ADR		04/11/12	12/10/13	85,000	1,480.67	1,145.80	334.87
KRAFT FOODS GROUP INC COM		04/11/12	12/10/13	2,000	108.36	82.25	26.11
		04/11/12	12/10/13	1,000	54.18	25.89	28.29
		04/27/12	12/10/13	4,000	216.72	166.00	50.72
		05/01/12	12/10/13	4 000	216.72	168.04	48.68
		05/01/12	12/10/13	1 000	54.18	42.01	12.17
		06/20/12	12/10/13	1,000	54.18	34.19	19.99
		10/10/12	12/10/13	5,000	270.89	234.47	36.42
		12/05/12	12/10/13	7,000	379.24	323.44	55.80
L OREAL CO ADR		04/11/12	12/10/13	13 000	452.13	310.05	142.08
		06/01/12	12/10/13	3 000	104.34	65.88	38.46
		11/09/12	12/10/13	8 000	278.23	200.97	77.26
LINDE AG SPONSORED ADR		04/11/12	12/10/13	71 000	1,437.72	1,208.42	229.30
		06/01/12	12/10/13	8 000	162.00	120.40	41.60
LOWES COMPANIES INC		04/11/12	12/10/13	21 000	997.90	652.59	345.31
MARATHON OIL CO		03/05/09	12/10/13	24 000	865.18	302.63	562.55 A
		02/17/11	12/10/13	3,000	108.15	89.47	18.68 A
		07/13/11	12/10/13	7,000	252.34	224.21	28.13 A
MARSH & MCLENNAN COS INC		04/11/12	12/10/13	25 000	1,197.72	797.40	400.32
MERCK & CO INC NEW COM		04/11/12	12/10/13	13 000	642.96	500.83	142.13
MICROSOFT CORP		08/18/11	12/10/13	21 000	801.87	522.55	279.32 A
		04/20/12	12/10/13	3,000	114.55	98.61	15.94
		05/17/12	12/10/13	14,000	534.58	420.53	114.05
		07/12/12	12/10/13	9 000	343.66	259.65	84.01



Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2013

Account Detail

Select UMA Active Assets Account

JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FOUNDATION MGD
INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
MONDELEZ INTL INC COM	08/01/12	12/10/13	7.000	267.29	206.43	60.86	
	04/11/12	12/10/13	14.000	478.78	335.29	143.49	
	04/11/12	12/10/13	2.000	68.40	47.90	20.50	
	04/27/12	12/10/13	1.000	34.20	25.59	8.61	
MONSANTO CO/NEW	07/14/11	12/10/13	3.000	335.99	254.39	81.60	A
	07/18/11	12/10/13	2.000	224.00	164.00	60.00	A
	07/21/11	12/10/13	1.000	112.00	82.25	29.75	A
	07/27/11	12/10/13	1.000	112.00	88.73	23.27	A
	10/27/11	12/10/13	4.000	447.99	311.22	136.77	A
	04/12/12	12/10/13	4.000	447.99	306.23	141.76	
	04/11/12	12/10/13	12.000	786.94	583.78	203.16	
	04/11/12	12/10/13	4,528.072	48,269.25	47,045.62	1,222.63	
NEUBERGER BERMAN GENESIS INST NEW GOLD INC	04/11/12	12/10/13	178,228	11,540.26	8,558.50	2,981.76	
	04/11/12	12/10/13	83.000	427.65	791.46	(363.81)	
	09/21/12	12/10/13	17.000	87.59	213.62	(126.03)	
	04/11/12	12/10/13	98.000	1,226.93	817.32	409.61	
NORDEA NK SWEDEN AB (PUBL) ADR NORTHROP GRUMMAN CP(HLDG CO)	10/28/09	12/10/13	2.000	220.42	92.08	128.34	A
	02/17/11	12/10/13	2.000	220.41	125.58	94.83	A
	12/08/11	12/10/13	2.000	156.31	109.43	46.88	A
O'REILLY AUTOMOTIVE INC NEW OCCIDENTAL PETROLEUM CORP DE	07/19/12	12/10/13	8.000	1,001.06	749.45	251.61	
	10/23/12	12/10/13	6.000	555.59	485.74	69.85	
	09/20/12	12/10/13	100.000	888.98	512.38	376.60	
PARTNER COMM CO LTD ADR PERNOD RICARD SA UNSPONS ADR PFIZER INC	05/21/12	12/10/13	40.000	883.18	813.38	69.80	
	02/17/11	12/10/13	41.000	1,286.56	787.54	499.02	A
	04/11/12	12/10/13	11.000	345.17	242.06	103.11	
PHILIP MORRIS INTL INC PIMCO EMERGING MKTS BD P PIMCO FOREIGN BD US \$ HEDGED P	02/13/12	12/10/13	3.000	257.57	244.32	13.25	A
	06/13/12	12/10/13	6.000	515.15	513.75	1.40	
	06/20/12	12/10/13	2.000	171.72	177.25	(5.53)	
PROCTER & GAMBLE PRUDENTIAL JENNISON MD CP GW Z QUEST DIAGNOSTICS INC	04/11/12	12/10/13	1,158.265	12,879.91	13,482.20	(602.29)	
	04/11/12	12/10/13	3,789.899	40,362.42	40,930.91	(568.49)	
	08/15/11	12/10/13	3.000	251.12	185.03	66.09	A
	08/22/11	12/10/13	9.000	753.37	555.32	198.05	A
Disallowed Loss Based On Wash Sale \$1.74 RANGE RESOURCES CORP	10/23/12	12/10/13	10.000	837.09	674.85	162.24	
	04/11/12	12/10/13	280.926	11,262.32	9,045.82	2,216.50	
	04/11/12	12/10/13	3.000	176.96	178.70	(1.74)	W
	04/11/12	12/10/13	1.000	58.99	59.56	(0.57)	
	04/11/12	12/10/13	3.000	244.50	167.34	77.16	
	04/20/12	12/10/13	6.000	488.99	354.48	134.51	

Account Detail

Select UMA Active Assets Account

JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FOUNDATION MGD
INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	04/23/12	12/10/13	5,000	407.49	284.50	122.99	
	06/01/12	12/10/13	2,000	163.00	109.60	53.40	
	06/15/12	12/10/13	5,000	407.49	285.34	122.15	
RAYTHEON CO (NEW)	04/11/12	12/10/13	5,000	436.24	258.03	178.21	
REED ELSEVIER PLC - SPONS ADR	04/11/12	12/10/13	16,000	911.02	542.40	368.62	
ROCHE HOLDINGS ADR	05/21/08	12/10/13	3,000	202.08	130.85	71.23	A
	03/05/09	12/10/13	11,000	740.95	305.91	435.04	A
	08/31/10	12/10/13	6,000	404.15	204.45	199.70	A
	02/17/11	12/10/13	3,000	202.07	109.20	92.87	A
SAFRAN SA	10/15/12	12/10/13	48,000	789.58	451.93	327.65	
SBERBANK RUSSIA SPONSORED ADR	07/27/12	12/10/13	41,000	498.55	455.48	43.07	
	09/18/12	12/10/13	35,000	425.59	433.06	(7.47)	
SCHNEIDER ELEC SA UNSP ADR	04/11/12	12/10/13	77,000	1,247.38	919.38	328.00	
	04/11/12	12/10/13	11,000	178.20	135.59	42.61	H
Basis Adjustment Due To Wash Sale, \$21.74							
SGS SA ADR	04/11/12	12/10/13	67,000	1,492.73	1,250.94	231.79	
SHERWIN WILLIAMS COMPANY OHIO	08/10/12	12/10/13	5,000	923.98	701.50	222.48	
SODEXO	04/11/12	12/10/13	14,000	1,369.59	1,084.02	285.57	
SOFTBANK CORP UNSPONS ADR	04/11/12	12/10/13	13,000	561.59	181.87	379.72	
	05/09/12	12/10/13	19,000	820.78	289.12	531.66	
SVENSKA CELLULOSA B SP ADR	10/15/12	12/10/13	26,000	747.74	454.96	282.78	
TOYOTA MOTOR CP ADR NEW	04/11/12	12/10/13	10,000	1,217.68	825.30	392.38	
TRAVELERS COMPANIES INC COM	03/21/06	12/10/13	3,000	265.64	126.00	139.64	A
	01/17/07	12/10/13	5,000	442.74	255.25	187.49	A
	06/19/07	12/10/13	5,000	442.74	256.02	176.72	A
	02/17/11	12/10/13	1,000	88.55	59.85	28.70	A
TULLOW OIL PLC ADR	04/11/12	12/10/13	65,000	457.37	755.36	(298.99)	
	06/01/12	12/10/13	10,000	69.30	104.30	(35.00)	
UNILEVER NV NY SH NEW	04/11/12	12/10/13	30,000	1,185.57	979.80	205.77	
	04/11/12	12/10/13	2,000	79.04	65.32	13.72	
	10/17/12	12/10/13	19,000	750.86	708.19	42.67	
UNION PACIFIC CORP	10/05/11	12/10/13	3,000	488.84	267.05	221.79	A
	10/07/11	12/10/13	3,000	488.84	267.28	221.56	A
	10/10/11	12/10/13	1,000	162.95	90.33	72.62	A
	07/30/12	12/10/13	3,000	488.84	369.31	119.53	
US TSY BOND TIB 2 1/2 1-15-29	07/17/12	12/10/13	1,000,000	1,294.03	1,556.99	(262.96)	
US TSY NOTE T1N 1/8 7-15-22	07/24/12	12/10/13	2,000,000	1,969.78	2,209.00	(239.22)	
	07/24/12	12/10/13	2,000,000	1,969.78	2,208.69	(238.91)	
	11/28/12	12/10/13	2,000,000	1,969.78	2,225.23	(255.45)	

Account Detail

Select UMA Active Assets Account

JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FOUNDATION MGD
INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
UNIT OVERSEAS BK LTD SPON ADR	04/11/12	12/10/13	6.000	197.87	174.78	23.09	
	04/18/12	12/10/13	9.000	296.81	268.07	28.74	
	06/01/12	12/10/13	3.000	98.94	79.83	19.11	
VERIZON COMMUNICATIONS	04/11/12	12/10/13	12.000	588.35	448.39	139.96	
	04/11/12	12/10/13	9.000	441.26	336.29	104.97	
	04/11/12	12/10/13	5.000	245.15	186.83	58.32	
	04/11/12	12/10/13	5.000	245.15	186.83	58.32	
	04/11/12	12/10/13	2.000	98.06	74.73	23.33	
	04/11/12	12/10/13	2.000	98.06	74.73	23.33	
	04/25/12	12/10/13	20.000	980.57	789.68	190.89	
WASTE MGMT INC (DELA)	04/11/12	12/10/13	14.000	625.36	488.83	136.53	
XEROX CORP	03/05/09	12/10/13	100.000	1,149.28	444.00	705.28	A
	02/17/11	12/10/13	9.000	103.44	103.01	0.43	A
Long-Term This Period				\$338,828.58	\$305,041.17	\$33,787.41	
Long-Term Year to Date				\$403,031.99	\$359,542.66	\$43,489.33	

SHORT-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
ABBOTT LABORATORIES	11/08/13	12/10/13	25.000	929.23	936.26	(7.03)	
AGRIUM INC	02/25/13	12/10/13	5.000	451.74	508.83	(57.09)	
ALLERGAN INC	12/10/13	12/24/13	1.000	108.64	97.41	11.23	
ALLIANCE DATA SYSTEMS CORP	04/18/13	12/10/13	4.000	991.14	639.41	351.73	
	05/09/13	12/10/13	1.000	247.79	175.37	72.42	
	05/23/13	12/10/13	1.000	247.79	178.30	69.49	
	06/07/13	12/10/13	1.000	247.79	178.70	69.09	
	06/28/13	12/10/13	2.000	495.56	360.66	134.90	
ALLIANZ FIX INC SHRS SERIES M	01/24/13	12/10/13	60.107	652.16	674.40	(22.24)	
	02/20/13	12/10/13	60.054	651.59	670.80	(19.21)	
	05/15/13	12/10/13	10.000	108.50	112.50	(4.00)	
	05/17/13	12/10/13	65.116	706.51	732.55	(26.04)	
	07/29/13	12/10/13	60.112	652.21	644.40	7.81	
	08/12/13	12/10/13	85.158	923.96	914.60	9.36	
	09/11/13	12/10/13	109.791	1,191.23	1,155.00	36.23	
	10/04/13	12/10/13	80.149	869.62	861.60	8.02	
	11/08/13	12/10/13	110.712	1,201.25	1,196.80	4.45	
ALLIANZ FX INC SHRS; SERIES C	12/27/12	12/10/13	59.956	783.62	808.80	(25.18)	
	02/20/13	12/10/13	65.048	850.18	877.50	(27.32)	

CLIENT STATEMENT | For the Period December 1-31, 2013

Account Detail

Select UMA Active Assets Account

JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FOUNDATION MGD
INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AON PLC SHS CL-A	07/29/13	12/10/13	45,000	588.15	585.45	2.70	
	08/12/13	12/10/13	80,061	1,046.40	1,043.20	3.20	
	09/11/13	12/10/13	99,766	1,303.94	1,277.00	26.94	
	10/04/13	12/10/13	70,054	915.61	914.20	1.41	
ASML HOLDING NV NY REG NEW	05/09/13	12/10/13	14,000	1,182.11	905.62	256.49	
	06/10/13	12/10/13	11,000	912.98	715.82	196.16	
	06/10/13	12/10/13	1,000	83.01	65.17	17.84	
	03/21/13	12/10/13	6,000	546.53	416.82	129.71	
BAYER AG SPON ADR	04/01/13	12/10/13	2,000	182.17	135.16	47.01	
	04/02/13	12/10/13	5,000	455.44	336.04	119.40	
	06/04/13	12/10/13	4,000	527.54	430.37	97.17	
	06/12/13	12/10/13	1,000	131.89	110.62	21.27	
BOEING CO	01/10/13	12/10/13	4,000	535.63	306.57	229.06	
BRAMBLES LTD UNSPON ADR	06/19/13	12/10/13	5,000	91.25	85.60	5.65	
BRISTOL MYERS SQUIBB CO	05/09/13	12/10/13	2,000	102.42	79.12	23.30	
BROADCOM CORP CL A	07/18/13	12/10/13	15,000	427.04	500.84	(73.80)	
CHINA MOBILE LTD	03/20/13	12/10/13	10,000	537.79	528.95	8.84	
CIELO SA SPONSORED ADR NEW	12/17/12	12/10/13	18,000	509.75	399.75	110.00	
COMCAST CORP (NEW) CLASS A	07/11/13	12/10/13	16,000	790.22	706.67	83.55	
COMPAGNIE FIN RICHEMONTAG ADR	08/19/13	12/10/13	5,000	246.95	213.14	33.81	
CROWN CASTLE INTL	08/14/13	12/10/13	64,000	624.62	649.93	(25.31)	
DAIHATSU MOTOR CO LTD ADR	02/22/13	12/10/13	3,000	225.62	206.97	18.65	
DANAHER CORPORATION	05/01/13	12/10/13	15,000	525.14	595.15	(70.01)	
DOMINION RES INC (NEW)	11/26/13	12/10/13	1,000	75.24	75.26	(0.02)	
EATON CORP PLC SHS	09/10/13	12/10/13	19,000	1,207.81	1,102.73	105.08	
EBAY INC	11/26/13	12/10/13	4,000	254.27	263.91	(9.64)	
	02/04/13	12/10/13	8,000	576.55	453.33	123.22	
	02/25/13	12/10/13	6,000	432.41	362.45	69.96	
	03/28/13	12/10/13	5,000	360.34	304.93	55.41	
EDWARD LIFESCIENCES CORP	06/27/13	12/10/13	14,000	727.84	725.50	2.34	
ENBRIDGE INC	07/01/13	12/10/13	2,000	103.98	105.95	(1.97)	
EURO AERO DEF AND SPACE CO ADR	08/15/13	12/10/13	10,000	519.89	520.03	(0.14)	
	02/19/13	12/10/13	12,000	747.85	1,038.42	(290.57)	
	03/21/13	12/10/13	8,000	331.59	367.52	(35.93)	
	12/10/13	12/17/13	5,000	93.67	84.70	8.97	
EUTELSAT COMMUNICATIONS UNSP	08/21/13	12/10/13	104,000	769.58	777.18	(7.60)	
FANUC CORPORATION UNSP ADR	02/15/13	12/10/13	4,000	109.60	107.72	1.88	
FEDEX CORP	05/01/13	12/10/13	16,000	438.38	396.64	41.74	
	04/01/13	12/10/13	5,000	692.18	489.97	202.21	



CLIENT STATEMENT | For the Period December 1-31, 2013

Account Detail

Select UMA Active Assets Account

JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FOUNDATION MGP
INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
FHLMC 30G Q17639	3.000 4-01-43	09/16/13 12/10/13	1,000,000	902.18	912.19	(10.01)	
		09/16/13 12/15/13	4.130	4.13	3.91	0.22	
FNMA POOL 745580	5.000 6-01-36	09/27/13 12/10/13	1,000,000	134.77	138.32	(3.55)	
		09/27/13 12/25/13	3.280	3.28	3.55	(0.27)	
FNMA POOL AU5890	4.000 11-01-41	03/13/13 12/10/13	1,000,000	536.42	564.97	(28.55)	
		03/13/13 12/25/13	6.770	6.77	7.20	(0.43)	
FNMA POOL AL2629	5.000 6-01-39	03/18/13 12/10/13	2,000,000	1,148.78	1,165.67	(16.89)	
		03/18/13 12/25/13	37.100	37.10	40.20	(3.10)	
FNMA POOL AQ7081	3 1/2 12-01-42	07/10/13 12/10/13	1,000,000	905.61	928.98	(23.37)	
		07/10/13 12/25/13	13.960	13.96	13.96	0.00	
FNMA POOL AU3751	4.000 8-01-43	10/11/13 12/10/13	1,000,000	966.11	1,024.57	(58.46)	
		10/11/13 12/25/13	3.950	3.95	4.14	(0.19)	
FNMA POOL AU7258	3 1/2 10-01-43	10/11/13 12/10/13	1,000,000	980.29	1,011.55	(31.26)	
		10/11/13 12/25/13	1.570	1.57	1.60	(0.03)	
FNMA POOL AU7305	4.000 8-01-43	08/26/13 12/10/13	1,000,000	1,014.94	1,026.42	(11.48)	
		08/26/13 12/25/13	1.510	1.51	1.56	(0.05)	
GRUPO FINANCIERO BANORTO SAB		03/21/13 12/10/13	12,000	433.43	466.04	(32.61)	
		04/01/13 12/10/13	3.000	108.36	120.09	(11.73)	
HENKEL KGAA PFD SHARS SPON ADR		07/16/13 12/10/13	6,000	665.45	577.92	87.53	
		09/19/13 12/10/13	6,000	665.44	621.79	43.65	
HOME DEPOT INC		07/01/13 12/10/13	9,000	710.99	692.87	18.12	
		07/26/13 12/10/13	4,000	315.99	314.94	1.05	
ICICI BANK LTD		03/08/13 12/10/13	3,000	116.94	133.90	(16.96)	
		04/15/13 12/10/13	10,000	389.79	413.95	(24.16)	
INDUSTRIAL & COML BK CHINA ADR		12/21/12 12/10/13	46,000	661.00	651.67	9.33	
INTUIT INC		10/30/13 12/10/13	10,000	748.28	714.14	34.14	
JARDINE MATHESON HLDGS LTD ADR		01/30/13 12/10/13	5,000	259.99	323.21	(63.22)	
		02/07/13 12/10/13	4,000	208.00	243.92	(35.92)	
		03/08/13 12/10/13	5,000	259.99	333.83	(73.84)	
		04/08/13 12/10/13	4,000	208.00	267.77	(59.77)	
JOHNSON CONTROLS INCORPORATED		02/06/13 12/10/13	17,000	875.82	529.80	346.02	
KINDER MORGAN INCORP		02/06/13 12/10/13	13,000	428.86	484.37	(55.51)	
		03/28/13 12/10/13	9,000	296.91	348.74	(51.83)	
LINDE AG SPONSORED ADR		04/05/13 12/10/13	5,000	101.25	93.28	7.97	
MICHAEL KORS HOLDINGS LTD		06/28/13 12/10/13	9,000	736.81	551.11	185.70	
NIELSEN HOLDINGS NV		09/17/13 12/10/13	13,000	564.16	466.99	97.17	
		11/14/13 12/10/13	2,000	86.79	79.95	6.84	
		11/22/13 12/10/13	8,000	347.19	324.63	22.56	
		11/22/13 12/10/13	2,000	86.79	82.59	4.20	

Morgan Stanley

Account Detail

Select UMA Active Assets Account

JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FOUNDATION MGD
INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	11/22/13	12/10/13	1,000	43.40	41.29	2.11	
	11/22/13	12/10/13	1,000	43.40	40.58	2.82	
NOBLE ENERGY INC	10/24/13	12/10/13	12,000	834.94	891.93	(56.99)	
NOKIAN TYRES OYJ UNSPON ADR	04/08/13	12/10/13	28,000	656.58	619.03	37.55	
NORDEA NK SWEDEN AB (PUBL) ADR	06/21/13	12/10/13	10,000	126.20	115.29	9.91	
PEPSICO INC NC	11/04/13	12/10/13	9,000	744.10	757.68	(13.58)	
PPG INDUSTRIES INC	10/02/13	12/10/13	6,000	1,126.90	994.42	132.48	
PUBLICIS GROUPE SA ADS	04/05/13	12/10/13	25,000	544.99	438.46	106.53	
	06/03/13	12/10/13	18,000	392.39	324.49	67.90	
REED ELSEVIER PLC - SPONS ADR	04/02/13	12/10/13	10,000	569.39	479.53	89.86	
SABMILLER PLC SPONS ADR	02/07/13	12/10/13	18,000	907.36	905.58	1.78	
SANOFI ADR	02/11/13	12/10/13	12,000	603.23	577.81	25.42	
	02/14/13	12/10/13	5,000	251.34	242.48	8.86	
	06/11/13	12/10/13	9,000	452.42	478.21	(25.79)	
	10/31/13	12/10/13	2,000	100.54	107.07	(6.53)	
SCHNEIDER ELEC SA UNSP ADR	04/06/13	12/10/13	16,000	259.19	236.36	22.83	
SHERWIN WILLIAMS COMPANY OHIO	07/16/13	12/10/13	3,000	554.39	553.89	0.50	
SONOVA HLDG AG UNSP ADR	06/17/13	12/10/13	9,000	244.43	209.37	35.06	
	06/18/13	12/10/13	17,000	461.71	393.71	68.00	
TOSHIBA CORP UNSPONS ADR	07/17/13	12/10/13	21,000	529.19	611.51	(82.32)	
TOYOTA MOTOR CP ADR NEW	05/01/13	12/10/13	1,000	121.77	113.79	7.98	
	06/17/13	12/10/13	2,000	243.63	240.69	2.94	
TURKYE GARANTI BANKASI A S	04/15/13	12/10/13	112,000	391.99	606.28	(214.29)	
TWENTY-FIRST CENTURY FOX CLA	07/11/13	12/10/13	13,000	432.37	389.93	42.44	
	07/18/13	12/10/13	11,000	365.85	336.49	29.36	
UBS AG NEW	08/07/13	12/10/13	15,000	279.29	303.69	(24.40)	
	10/22/13	12/10/13	8,000	148.96	172.33	(23.37)	
UNICHARM CORP UNSPON ADR	04/30/13	12/10/13	50,000	644.98	649.81	(4.83)	
UNION PACIFIC CORP	11/21/13	12/10/13	1,000	162.94	158.69	4.25	
US TSY BOND TIBB 2 1/2 1-15-29	12/27/12	12/10/13	2,000,000	2,588.07	3,144.05	(555.98)	
US TSY BOND TIBB 2 3/8 1-15-25	10/23/13	12/10/13	1,000,000	1,442.84	1,498.27	(55.43)	
US TSY NOTE 3/4 10-31-17	12/13/12	12/10/13	6,000,000	5,934.35	6,019.50	(85.15)	
	12/18/12	12/10/13	1,000,000	989.06	1,000.50	(11.44)	
	02/19/13	12/10/13	1,000,000	989.06	997.23	(8.17)	
	03/12/13	12/10/13	1,000,000	989.06	997.66	(8.60)	
	07/16/13	12/10/13	1,000,000	989.06	982.38	6.68	
	10/09/13	12/10/13	1,000,000	989.06	984.14	4.92	
US TSY NOTE 1 1/8 12-31-19	01/09/13	12/10/13	4,000,000	3,827.17	3,954.06	(126.89)	
	01/22/13	12/10/13	1,000,000	956.79	993.20	(36.41)	



Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2013

Account Detail

Select UMA Active Assets Account

JEROME&MARLENE BRODY FOUND INC
C/O MARLENE BRODY
Nickname: FOUNDATION MGD
INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
US TSY NOTE	05/14/13	12/10/13	1,000,000	956.79	992.58	(35.79)	
	09/17/13	12/10/13	1,000,000	956.80	944.84	11.96	
	04/02/13	12/10/13	3,000,000	2,826.90	3,034.22	(207.32)	
	08/20/13	12/10/13	1,000,000	942.30	933.63	8.67	
	10/01/13	12/10/13	1,000,000	942.30	950.35	(8.05)	
US TSY NOTE	05/07/13	12/10/13	4,000,000	3,882.17	4,137.59	(255.42)	
	07/15/13	12/10/13	1,000,000	970.54	979.88	(9.34)	
US TSY NOTE TIIN	12/13/12	12/10/13	3,000,000	2,954.66	3,332.59	(377.93)	
	05/14/13	12/10/13	1,000,000	984.89	1,085.01	(100.12)	
	07/16/13	12/10/13	1,000,000	984.88	1,008.26	(23.38)	
VOLKSWAGEN AG SPONS ADR	01/23/13	12/10/13	12,000	629.26	587.32	41.94	
	06/17/13	12/10/13	10,000	524.39	436.10	88.29	
WAL MART STORES INC	06/27/13	12/10/13	9,000	713.26	680.82	32.44	
	08/09/13	12/10/13	5,000	396.26	384.10	12.16	
	08/26/13	12/10/13	4,000	317.00	294.54	22.46	
WHOLE FOODS MARKETS INC	04/16/13	12/10/13	4,000	223.03	175.65	47.38	
Short-Term This Period				\$99,297.21	\$98,324.34	\$972.87	
Short-Term Year to Date				\$167,111.67	\$163,410.84	\$3,700.83	

MISSING COST

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
FNMA ARM AH0936	3 1/2	12-01-40	1.980	1.98	Please Provide	N/A	
Missing Cost This Period				\$1.98	N/A	N/A	
Missing Cost Year to Date				\$1.98	N/A	N/A	
Net Realized Gain/(Loss) This Period				\$438,127.77	\$403,365.51	\$34,760.28	
Net Realized Gain/(Loss) Year to Date				\$570,145.64	\$522,953.50	\$47,190.16	

Disallowed Loss Based On Wash Sale This Period: \$1.74