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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue ServiceDo not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation

A Employer identification number

GWENDOLYN HALSEY-ALBERTSON FOUNDATION

13-7294838

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

103 IVEY LANE

B Telephone number

(631) 283-4955

City or town, state or province, country, and ZIP or foreign postal code

FLAT ROCK, NC 28731

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method: ☒ Cash ☐ Accrual

(from Part II, col. (c), line 16)

☐ Other (specify) _____

\$ 725,746. (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses**
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

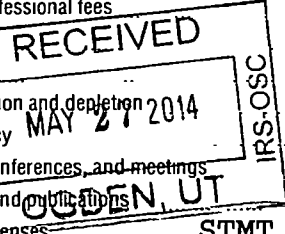
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	1.	1.		STATEMENT 1
	4 Dividends and interest from securities	16,685.	16,685.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	40,072.			
	b Gross sales price for all assets on line 6a	288,917.			
	7 Capital gain net income (from Part IV, line 2)		40,072.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	56,758.	56,758.		
	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	1,100.	0.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	16,575.	16,468.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	17,675.	16,468.		0.
	25 Contributions, gifts, grants paid	33,000.			33,000.
	26 Total expenses and disbursements. Add lines 24 and 25	50,675.	16,468.		33,000.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	6,083.			
	b Net investment income (if negative, enter -0-)		40,290.		
	c Adjusted net income (if negative, enter -0-)			N/A	



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			16,825.	16,584.	16,584.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 5			252,807.	243,368.	317,014.
	c Investments - corporate bonds					
Liabilities	11 Investments - land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other STMT 6			319,022.	334,785.	392,148.
	14 Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)			588,654.	594,737.	725,746.
	17 Accounts payable and accrued expenses					
	18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)			0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds			0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			588,654.	594,737.	
	30 Total net assets or fund balances			588,654.	594,737.	
	31 Total liabilities and net assets/fund balances			588,654.	594,737.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	588,654.
2 Enter amount from Part I, line 27a	2	6,083.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	594,737.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	594,737.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SHORT TERM GAIN PER STMT ATTACHED	P		
b LONG TERM GAIN PER STMT ATTACHED	P		
c RETURN OF CAPITAL			
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 88,686.		73,639.	15,047.
b 198,716.		168,727.	29,989.
c		6,479.	<6,479.>
d 1,515.			1,515.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			15,047.
b			29,989.
c			<6,479.>
d			1,515.
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	40,072.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	33,000.	638,386.	.051693
2011	35,905.	655,927.	.054739
2010	34,545.	675,472.	.051142
2009	34,915.	635,920.	.054905
2008	35,000.	712,350.	.049133

2 Total of line 1, column (d)	2	.261612
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052322
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	675,329.
5 Multiply line 4 by line 3	5	35,335.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	403.
7 Add lines 5 and 6	7	35,738.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	33,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	806.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	806.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	806.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	806.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	806.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>MARY GWEN TYDA</u> Telephone no. ► <u>631-283-4955</u> Located at ► <u>103 IVEY LANE, FLAT ROCK, NC</u> ZIP+4 ► <u>28731</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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: (continued)

[illegible]

0

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
	All other program-related investments. See instructions.	
3		
Total. Add lines 1 through 3		0.

2013.03050 GWENDOLYN HALSEY-ALBERTSON 03905 1

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	683,789.
b	Average of monthly cash balances	1b	1,824.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	685,613.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	685,613.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	10,284.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	675,329.
6	Minimum investment return. Enter 5% of line 5	6	33,766.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	33,766.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	806.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	806.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	32,960.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	32,960.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	32,960.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	33,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	33,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	33,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				32,960.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009	3,289.			
c From 2010	1,681.			
d From 2011	3,299.			
e From 2012	1,294.			
f Total of lines 3a through e	9,563.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 33,000.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				32,960.
e Remaining amount distributed out of corpus	40.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	9,603.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	9,603.			
10 Analysis of line 9:				
a Excess from 2009	3,289.			
b Excess from 2010	1,681.			
c Excess from 2011	3,299.			
d Excess from 2012	1,294.			
e Excess from 2013	40.			

Part XV. Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVENUE DALLAS, TX 75231	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,000.
CRISIS NURSERY 2334 EAST POLK STREET PHOENIX, AZ 85006	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000.
DOCTORS WITHOUT BORDERS 333 7TH AVE NEW YORK, NY 10001	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
EAST END HOSPICE PO BOX 1048 WESTHAMPTON BEACH, NY 11978	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,250.
FISHER HOUSE FOUNDATION 111 ROCKVILLE PIKE SUITE 420 ROCKVILLE, MD 20850	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,500.
Total			SEE CONTINUATION SHEET(S)	33,000.
b Approved for future payment				
NONE				
Total				0.

Analysis of Income-Producing Activities

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	1.	
		14	16,685.	
		18	40,072.	
	0.		56,758.	0
				56,758

(See worksheet in line 13 instructions to verify calculations.)

Relationship of Activities to the Accomplishment of Exempt Purposes

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FOUR SEASONS HOSPICE 571 SOUTH ALLEN ROAD FLAT ROCK, NY 28731	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000.
HOMES FOR OUR TROOPS 6 MAIN STREET TAUNTON, MA 20780	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000.
MMRF 383 MAIN AVE NORWALK, CT 06851	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	4,000.
PARKINSONS DISEASE FOUNDATION 710 WEST 168TH STREET NEW YORK, NY 10032	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
SALVATION ARMY SUFFOLK COUNTY 120 W 14TH STREET NEW YORK, NY 10011	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
SAVE THE CHILDREN 54 WILTON ROAD WESTPORT, CT 06880	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	3,000.
SH HISTORICAL MUSEUM 17 MEETING HOUSE LANE SOUTHAMPTON, NY 11968	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,000.
SOUTHAMPTON HOSPITAL FOUNDATION 213 HERRICKS ROAD SOUTHAMPTON, NY 11968	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	3,750.
ST JOHNS CHURCH 546 ST JOHNS PLACE RIVERHEAD, NY 11901	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000.
USA FOR UNHCR 1775 K STREET SUITE 290 WASHINGTON, DC 20006	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
Total from continuation sheets				24,750.

3 Grants and Contributions Paid During the Year (Continuation)

323631
05-01-13

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
RAYMOND JAMES & ASSOC.	1.	1.	
TOTAL TO PART I, LINE 3	1.	1.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
RAYMOND JAMES & ASSOC. #658	18,200.	1,515.	16,685.	16,685.	
TO PART I, LINE 4	18,200.	1,515.	16,685.	16,685.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,100.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,100.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT FEES	16,166.	16,165.		0.
FOREIGN TAXES PAID	309.	303.		0.
NYS FILING FEE	100.	0.		0.
TO FORM 990-PF, PG 1, LN 23	16,575.	16,468.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
RAYMOND JAMES #658	243,368.	317,014.
TOTAL TO FORM 990-PF, PART II, LINE 10B	243,368.	317,014.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	6
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
RAYMOND JAMES #658	COST	308,599.	361,191.
RAYMOND JAMES #658	COST	26,186.	30,957.
TOTAL TO FORM 990-PF, PART II, LINE 13		334,785.	392,148.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	7
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARY GWEN TYDA 103 IVEY LANE FLAT ROCK, NC 28731	TRUSTEE 0.00	0.	0.	0.
GAIL LEVINE 127 HALSEY LANE WATER MILL, NY 11976	TRUSTEE 0.00	0.	0.	0.
JANE HALSEY 258 HALSEY LANE WATER MILL, NY 11976	TRUSTEE 0.00	0.	0.	0.
SUSAN HALSEY-SWANSON P.O. BOX 6 SAGAPONACK, NY 11962	TRUSTEE 0.00	0.	0.	0.
JOHN S. ERWIN 885 THIRD AVE. 16TH FL. NY, NY 10022	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Raymond James & Associates, Inc.

Account 78696658

2013

Proceeds Not Reported to the IRS

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 999, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of ^a stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
AQR MANAGED FUTURES STRATEGY FUND CLASS N NL / CUSIP: 00203HB42 / Symbol: AQMNX	19,540	195.60	04/16/12	183.09	0.00	12.51	Sale
AGILENT TECHNOLOGIES INCORPORATED / CUSIP: 00846U101 / Symbol: A	5,000	225.89	09/21/12	196.49	0.00	29.40	Sale
02/08/13	18,000	932.51	09/21/12	707.38	0.00	225.13	Sale
2 tax lots for 10/04/13							
10/04/13	11,000	564.82	10/24/12	391.47	0.00	173.35	Sale
10/04/13	4,000	205.39	03/14/13	172.32	0.00	33.07	Sale
10/04/13	15,000	770.21	VARIOUS	563.79	0.00	206.42	Total of 2 lots
10/22/13	15,000	770.56	03/14/13	646.22	0.00	124.34	Sale
	Security total:	2,698.17		2,113.88	0.00	585.29	
AIR PRODUCTS & CHEMICALS INCORPORATED / CUSIP: 009158106 / Symbol: APD	1,000	88.01	01/14/13	88.02	0.00	-0.01	Sale
02/08/13	8,000	865.26	01/14/13	704.13	0.00	161.13	Sale
12/02/13		953.27		792.15	0.00	161.12	
	Security total:						
AMERICAN CAMPUS CMNTYS INCORPORATED REIT / CUSIP: 024835100 / Symbol: ACC	3,000	140.99	11/07/12	136.52	0.00	4.47	Sale
02/08/13							
ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR (BELGIUM) / CUSIP: 03524A108 / Symbol: BUD	2,000	188.09	06/15/12	141.88	0.00	46.21	Sale
08/14/13							
2 tax lots for 12/02/13.							
12/02/13	1,000	102.01	02/08/13	86.05	0.00	15.96	Sale
	1,000	102.02	05/02/13	94.99	0.00	7.03	Sale
	2,000	204.03	VARIOUS	181.04	0.00	22.99	Total of 2 lots
	Security total:	392.12		322.92	0.00	69.20	
APPLIED MATLS INCORPORATED / CUSIP: 036222105 / Symbol: AMAT	23,000	413.53	01/14/13	270.02	0.00	143.51	Sale
10/31/13	36,000	618.46	01/14/13	422.63	0.00	195.83	Sale
12/02/13	17,000	284.57	01/14/13	199.58	0.00	84.99	Sale
12/16/13		1,316.56		892.23	0.00	424.33	
	Security total:						

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
ATLAS COPCO AB SP ADR A NEW (SWEDEN) / CUSIP 049255706 / Symbol ATLKY	14,000	393.82	03/27/13	396.59	0.00	-2.77	Sale
BP PLC SPONSORED ADR (UNITED KINGDOM) / CUSIP: 055622104 / Symbol: BP	11,000	514.02	03/26/13	464.03	0.00	49.99	Sale
12/02/13	15,000	701.39	03/26/13	632.76	0.00	68.63	Sale
12/20/13		1,215.41		1,096.79	0.00	118.62	
Security total:							
BANCO SANTANDER SA ADR (SPAIN) / CUSIP: 05964H105 / Symbol: SAN	6,000	47.76	02/08/12	52.08	0.00	-4.32	Sale
02/08/13							
BANK NEW YORK MELLON CORPORATION / CUSIP: 064058100 / Symbol: BK	21,000	671.51	03/18/13	595.56	0.00	75.95	Sale
10/31/13	16,000	543.67	03/18/13	453.76	0.00	89.91	Sale
12/02/13		1,215.18		1,049.32	0.00	165.86	
Security total:							
BARCLAYS PLC RT PUR ADR 13 / CUSIP: 06738E121 / Symbol:	5,750	31.32	09/26/12	...	0.00	31.32	Sale
09/25/13							
BAXTER INTERNATIONAL INCORPORATED / CUSIP 071813109 / Symbol BAX	1,000	69.19	12/07/12	64.96	0.00	4.23	Sale
02/08/13	11,000	760.96	12/07/12	714.53	0.00	46.43	Sale
12/02/13	4,000	270.50	12/07/12	259.83	0.00	10.67	Sale
12/06/13		1,100.65		1,039.32	0.00	61.33	
Security total:							
BOEING COMPANY / CUSIP: 097023105 / Symbol: BA	11,000	1,094.42	08/17/12	811.10	0.00	283.32	Sale
06/06/13	6,000	715.26	11/06/12	429.29	0.00	285.97	Sale
10/15/13	4,000	525.77	11/06/12	286.19	0.00	239.58	Sale
10/31/13	5,000	681.65	11/20/12	362.04	0.00	319.61	Sale
11/15/13	9,000	1,203.75	11/20/12	651.68	0.00	552.07	Sale
11/20/13		4,220.85		2,540.30	0.00	1,680.55	
Security total:							
BROADCOM CORPORATION CLASS A / CUSIP: 111320107 / Symbol: BRCM	13,000	462.02	02/21/13	436.56	0.00	25.46	Sale
04/29/13	21,000	562.85	02/21/13	700.60	0.00	-137.75	Sale
10/31/13							

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
BROADCOM CORPORATION CLASS A / CUSIP: 111320107 / Symbol: BRCM (cont'd)							
4 tax lots for 11/06/13.							
	5,000	132.82	02/21/13	166.81	0.00	-33.99	Sale
	22,000	584.40	03/08/13	731.28	0.00	-146.88	Sale
	15,000	398.46	06/14/13	509.38	0.00	-110.92	Sale
	15,000	398.46	07/19/13	498.94	0.00	-100.48	Sale
11/06/13	57,000	1,514.14	VARIOUS	1,908.41	0.00	-392.27	Total of 4 lots
	Security total:	2,539.01		3,043.57	0.00	-504.56	
CBS CORPORATION NEW CLASS B / CUSIP: 124857202 / Symbol: CBS							
01/22/13	5,000	207.20	04/17/12	164.18	0.00	43.02	Sale
02/08/13	3,000	128.00	04/17/12	98.51	0.00	29.49	Sale
2 tax lots for 02/12/13.							
	7,000	300.58	04/17/12	229.85	0.00	70.73	Sale
	1,000	42.94	05/09/12	32.26	0.00	10.68	Sale
02/12/13	8,000	343.52	VARIOUS	262.11	0.00	81.41	Total of 2 lots
2 tax lots for 03/14/13.							
	18,000	847.07	05/09/12	580.68	0.00	266.39	Sale
	6,000	282.36	05/30/12	190.53	0.00	91.83	Sale
03/14/13	24,000	1,129.43	VARIOUS	771.21	0.00	358.22	Total of 2 lots
03/18/13	22,000	1,022.11	05/30/12	698.63	0.00	323.48	Sale
	Security total:	2,830.26		1,994.64	0.00	835.62	
C H ROBINSON WORLDWIDE INCORPORATED COM NEW / CUSIP: 12541W209 / Symbol: CHRW							
12/02/13	5,000	282.94	11/15/13	298.64	0.00	-5.70	Sale
CNOOC LIMITED SPONSORED ADR (HONG KONG) / CUSIP: 126132109 / Symbol: CEO							
02/27/13	4,000	780.01	05/31/12	717.10	0.00	62.91	Sale
CAPITAL ONE FINL CORPORATION / CUSIP: 14040H105 / Symbol: COF							
12/02/13	7,000	509.38	05/22/13	442.40	0.00	66.98	Sale
CARMAX INCORPORATED / CUSIP: 143130102 / Symbol: KMX							
02/08/13	2,000	80.13	07/06/12	53.29	0.00	26.84	Sale
05/02/13	11,000	502.13	07/06/12	293.09	0.00	209.04	Sale

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked
Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of ^a stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
CARMAX INCORPORATED / CUSIP: 143130102 / Symbol: KMX (cont'd)							
	2 tax lots for 05/22/13.						
	6,000	290.34	07/06/12	159.87	0.00	130.47	Sale
	9,000	435.51	07/23/12	239.50	0.00	196.01	Sale
05/22/13	15,000	725.85	VARIOUS	399.37	0.00	326.48	Total of 2 lots
05/30/13	15,000	706.30	07/23/12	399.16	0.00	307.14	Sale
	Security total:	2,014.41		1,144.91	0.00	869.50	
CHESAPEAKE ENERGY CORPORATION / CUSIP: 165167107 / Symbol: CHK							
10/15/13	28,000	752.91	05/30/13	631.96	0.00	120.95	Sale
12/02/13	27,000	725.47	05/30/13	609.39	0.00	116.08	Sale
	2 tax lots for 12/16/13.						
	9,000	242.75	05/30/13	203.13	0.00	39.62	Sale
	4,000	107.89	06/14/13	83.24	0.00	24.65	Sale
12/16/13	13,000	350.64	VARIOUS	286.37	0.00	64.27	Total of 2 lots
	Security total:	1,828.02		1,527.72	0.00	301.30	
CITIGROUP INCORPORATED COM NEW / CUSIP: 172967424 / Symbol: C							
02/08/13	2,000	85.51	10/08/12	69.24	0.00	16.27	Sale
08/28/13	17,000	821.79	10/08/12	588.54	0.00	233.25	Sale
	Security total:	907.30		657.78	0.00	249.52	
CITRIX SYSTEMS INCORPORATED / CUSIP: 177376100 / Symbol: CTXS							
12/02/13	7,000	412.15	12/13/12	447.92	0.00	-35.77	Sale
COGNIZANT TECHNOLOGY SOLUTIONS CLASS A / CUSIP: 182446102 / Symbol: CTSB							
	2 tax lots for 01/31/13.						
	4,000	312.43	04/20/12	288.87	0.00	23.56	Sale
	8,000	624.85	05/09/12	469.91	0.00	154.94	Sale
01/31/13	12,000	937.28	VARIOUS	758.78	0.00	178.50	Total of 2 lots
	2 tax lots for 03/01/13						
	9,000	697.08	05/24/12	544.12	0.00	152.96	Sale
	8,000	619.63	07/06/12	456.35	0.00	163.28	Sale
03/01/13	17,000	1,316.71	VARIOUS	1,000.47	0.00	316.24	Total of 2 lots

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
	Security total:	2,253.99		1,758.25	0.00	494.74	
COMPANHIA DE SANEAMENTO BASICO SPONSORED ADR (BRAZIL) / CUSIP: 20441A102 / Symbol: SBS							
01/24/13	10,000	448.42	10/03/12	427.09	0.00	21.33	Sale
02/05/13	10,000	472.78	10/03/12	427.09	0.00	45.69	Sale
	Security total:	921.20		854.18	0.00	67.02	
CORPORATE EXECUTIVE BRD COMPANY / CUSIP: 21988R102 / Symbol: CEB							
02/08/13	1,000	54.72	10/25/12	44.97	0.00	9.75	Sale
COSTCO WHOLESALE CORPORATION NEW / CUSIP: 22160K105 / Symbol: COST							
02/08/13	3,000	306.83	05/30/12	258.80	0.00	48.03	Sale
	2 tax lots for 04/29/13.						
	3,000	326.48	05/30/12	258.80	0.00	67.68	Sale
04/29/13	1,000	108.83	06/07/12	88.57	0.00	20.26	Sale
	4,000	435.31	VARIOUS	347.37	0.00	87.94	Total of 2 lots
05/22/13	7,000	802.20	06/07/12	619.98	0.00	182.22	Sale
06/06/13	12,000	1,324.42	06/07/12	1,062.83	0.00	261.59	Sale
	Security total:	2,868.76		2,288.98	0.00	579.78	
CUBIST PHARMACEUTICALS INCORPORATED / CUSIP: 229878107 / Symbol: CBST							
02/08/13	3,000	129.02	03/16/12	132.23	0.00	-3.21	Sale
CUMMINS INCORPORATED / CUSIP: 231021106 / Symbol: CMI							
	2 tax lots for 06/26/13.						
	14,000	1,504.13	09/06/12	1,381.11	0.00	123.02	Sale
06/26/13	2,000	214.67	02/08/13	238.36	0.00	-23.49	Sale
	16,000	1,719.00	VARIOUS	1,619.47	0.00	99.53	Total of 2 lots
DBS GROUP HLDGS LIMITED SPONSORED ADR (SINGAPORE) / CUSIP: 23304Y100 / Symbol: DBSDY							
02/08/13	2,000	96.81	05/18/12	83.60	0.00	13.21	Sale
DANAHER CORPORATION DEL / CUSIP: 235851102 / Symbol: DHR							
02/08/13	3,000	181.76	02/29/12	159.89	0.00	21.87	Sale

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
DICKS SPORTING GOODS INCORPORATED / CUSIP: 253393102 / Symbol: DKS		341.63					
12/02/13	6 000		08/22/13	279.65	0.00	61.98	Sale
DISNEY WALT COMPANY COM DISNEY / CUSIP: 254687106 / Symbol: DIS		797.88					
05/30/13	12 000		11/15/12	566.14	0.00	231.74	Sale
DIRECTV / CUSIP: 25490A309 / Symbol: DTV							
09/27/13	10 000	599.68	03/21/13	549.94	0.00	49.74	Sale
11/29/13	14 000	925.93	03/21/13	769.91	0.00	156.02	Sale
Security total:		1,525.61		1,319.85	0.00	205.76	
DOW CHEMICAL COMPANY / CUSIP: 260543103 / Symbol: DOW		160.00					
12/02/13	4 000		02/08/13	129.68	0.00	30.32	Sale
E M C CORPORATION MASS / CUSIP: 268648102 / Symbol: EMC							
02/08/13	2 000	49.51	01/31/13	49.42	0.00	0.09	Sale
12/02/13	20 000	477.99	01/31/13	494.20	0.00	-16.21	Sale
Security total:		527.50		543.62	0.00	-16.12	
EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FD CLASS A MF / CUSIP: 277823736 / Symbol: EAGMX							
2 tax lots for 01/07/13.							
	4 891	48.32	VARIOUS	48.14	0.00	0.18	Sale
	245 559	2,426.13	06/11/12	2,394.95	0.00	31.18	Sale
01/07/13	250 450	2,474.45	VARIOUS	2,443.09	0.00	31.36	Total of 2 lots
EXPRESS SCRIPTS HLDG COMPANY / CUSIP: 30219G108 / Symbol: ESRX							
05/02/13	9 000	545.31	05/17/12	472.45	0.00	72.86	Sale
09/20/13	6 000	373.21	12/03/12	321.71	0.00	51.50	Sale
Security total:		918.52		794.16	0.00	124.36	
GDF SUEZ SPONS ADR (FRANCE) / CUSIP: 36160B105 / Symbol: GDFZY							
02/08/13	3 000	59.24	07/19/12	67.27	0.00	-8.03	Sale
GOLDMAN SACHS GROUP INCORPORATED / CUSIP: 38141G104 / Symbol: GS							
02/21/13	3 000	453.64	02/29/12	347.63	0.00	106.01	Sale
03/14/13	5 000	768.08	05/15/12	507.59	0.00	260.49	Sale
Security total:		1,221.72		855.22	0.00	366.50	

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part 1, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
GRAINGER W W INCORPORATED / CUSIP: 384802104 / Symbol: GWW							
02/08/13	1 000	217.26	06/22/12	184.79	0.00	32.47	Sale
04/17/13	4 000	948.40	06/22/12	739.15	0.00	209.25	Sale
2 tax lots for 05/02/13.							
	2,000	488.39	06/22/12	369.57	0.00	118.82	Sale
	3,000	732.58	07/06/12	566.71	0.00	165.87	Sale
05/02/13	5,000	1,220.97	VARIOUS	936.28	0.00	284.69	Total of 2 lots
Security total:				1,860.22	0.00	528.41	
GROUP 1 AUTOMOTIVE INCORPORATED / CUSIP: 398905109 / Symbol: GPI							
02/08/13	2,000	137.93	03/28/12	112.97	0.00	24.96	Sale
GRUPO FINANCIERO SANTANDER MEX SPON ADR SHS B (MEXICO) / CUSIP: 40053C105 / Symbol: BSMX							
02/08/13	3,000	46.18	10/03/12	40.71	0.00	5.47	Sale
05/30/13	33 000	505.62	10/03/12	447.86	0.00	57.76	Sale
Security total:				488.57	0.00	63.23	
HALLIBURTON COMPANY / CUSIP: 408216101 / Symbol: HAL							
02/08/13	15,000	613.78	07/23/12	469.33	0.00	144.45	Sale
03/01/13	8,000	327.37	07/23/12	250.31	0.00	77.06	Sale
03/21/13	15,000	598.82	07/23/12	469.33	0.00	129.49	Sale
3 tax lots for 03/26/13							
	3,000	119.61	07/23/12	93.87	0.00	25.74	Sale
	18,000	717.64	08/02/12	590.76	0.00	126.88	Sale
	12,000	478.43	10/24/12	397.08	0.00	81.35	Sale
03/26/13	33 000	1,315.68	VARIOUS	1,081.71	0.00	233.97	Total of 3 lots
Security total:				2,270.68	0.00	584.97	
HEARTLAND EXPRESS INCORPORATED / CUSIP: 422347104 / Symbol: HTLD							
02/08/13	5,000	69.32	10/17/12	69.57	0.00	-0.25	Sale
HENNES & MAURITZ AB ADR (SWEDEN) / CUSIP: 425883105 / Symbol: HNNMY							
02/08/13	7,000	50.04	08/14/12	52.04	0.00	-2.00	Sale
HONEYWELL INTERNATIONAL INCORPORATED / CUSIP: 438516106 / Symbol: HON							
07/11/13	2,000	164.88	02/08/13	141.40	0.00	23.48	Sale

Less commissions



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
INTEL CORPORATION / CUSIP: 458140100 / Symbol: INTC	22,000	520.73	09/12/13	500.50	0.00	20.23	Sale
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: JNJ	5,000	378.00	07/18/12	346.35	0.00	31.65	Sale
JPMORGAN RESEARCH MARKET NEUTRAL FUND INST CLASS N/L / CUSIP: 4812A1688 / Symbol: JPMNX	6,992	105.72	02/08/13	106.00	0.00	-0.28	Sale
KEPPEL LIMITED SPONSORED ADR (SINGAPORE) / CUSIP: 492051305 / Symbol: KPELY	3,000	53.89	02/08/13	56.16	0.00	-2.27	Sale
KONINKLIJKE PHILIPS N V NY REG SH NEW (NETHERLANDS) / CUSIP: 500472303 / Symbol: PHG	14,000	498.53	05/30/13	408.63	0.00	89.90	Sale
KRAFT FOODS GROUP INCORPORATED / CUSIP: 50076Q106 / Symbol: KRFT							
2 tax lots for 03/26/13.							
	4,667	238.62	05/30/12	188.20	0.00	50.42	Sale
	6,000	306.79	10/12/12	280.25	0.00	26.54	Sale
	10,667	545.41	VARIOUS	468.45	0.00	76.96	Total of 2 lots
2 tax lots for 04/17/13.							
	13,000	655.67	10/12/12	607.21	0.00	48.46	Sale
	7,000	353.06	02/08/13	327.60	0.00	25.46	Sale
	20,000	1,008.73	VARIOUS	934.81	0.00	73.92	Total of 2 lots
Security total:		1,554.14		1,403.26	0.00	150.88	
LI & FUNG LIMITED ADR (BERMUDA) / CUSIP: 501897102 / Symbol: LFUGY	12,000	30.95	05/03/12	52.68	0.00	-21.73	Sale
LAS VEGAS SANDS CORPORATION / CUSIP: 517834107 / Symbol: LVS	5,000	271.44	07/11/12	206.74	0.00	64.70	Sale
2 tax lots for 02/12/13.							
	3,000	161.31	07/11/12	124.05	0.00	37.26	Sale
	21,000	1,129.16	07/27/12	760.82	0.00	368.34	Sale
	24,000	1,290.47	VARIOUS	884.87	0.00	405.60	Total of 2 lots

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of ^a stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
	Security total:	1,561.91		1,091.61	0.00	470.30	
LOWES COMPANIES INCORPORATED / CUSIP: 548661107 / Symbol: LOW							
02/08/13	2,000	78.03	06/07/12	55.64	0.00	22.39	Sale
02/21/13	34,000	1,283.95	06/07/12	945.86	0.00	338.09	Sale
	Security total:	1,361.98		1,001.50	0.00	360.48	
MARKETAXESS HLDGS INCORPORATED / CUSIP: 57060D108 / Symbol: MKTX							
02/08/13	1,000	38.02	02/24/12	32.75	0.00	5.27	Sale
MEAD JOHNSON NUTRITION COMPANY / CUSIP: 582839106 / Symbol: MJN							
02/08/13	5,000	386.64	11/06/12	327.30	0.00	59.34	Sale
03/01/13	8,000	600.06	11/06/12	523.68	0.00	76.38	Sale
	3 tax lots for 03/14/13.						
	2,000	143.90	11/06/12	130.92	0.00	12.98	Sale
	8,000	575.59	11/15/12	529.42	0.00	46.17	Sale
	7,000	503.64	12/21/12	458.29	0.00	45.35	Sale
03/14/13	17,000	1,223.13	VARIOUS	1,118.63	0.00	104.50	Total of 3 lots
	Security total:	2,209.83		1,969.61	0.00	240.22	
MICROCHIP TECHNOLOGY INCORPORATED / CUSIP: 595017104 / Symbol: MCHP							
05/16/13	12,000	454.48	01/22/13	397.00	0.00	57.48	Sale
MITSUBISHI CORPORATION SPONSORED ADR (JAPAN) / CUSIP: 608769305 / Symbol: MSBHY							
12/13/13	9,000	341.45	05/03/13	328.20	0.00	13.25	Sale
NEUBERGER BERMAN HIGH INCOME BOND FUND CLASS A M/F / CUSIP: 64128K702 / Symbol: NHIAX							
	2 tax lots for 07/16/13.						
	100,346	950.28	VARIOUS	951.56	0.00	-1.28	Sale
	37,869	358.61	02/08/13	359.00	0.00	-0.39	Sale
07/16/13	138,215	1,308.89	VARIOUS	1,310.56	0.00	-1.67	Total of 2 lots
NORFOLK SOUTHERN CORPORATION / CUSIP: 655844108 / Symbol: NSC							
02/08/13	3,000	206.99	12/03/12	178.90	0.00	28.09	Sale
04/09/13	11,000	819.37	12/03/12	655.96	0.00	163.41	Sale

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
NORFOLK SOUTHERN CORPORATION / CUSIP: 655844108 / Symbol: NSC (cont'd)							
2 tax lots for 05/16/13.							
	7,000	559.51	12/03/12	417.43	0.00	142.08	Sale
	11,000	879.24	01/14/13	712.79	0.00	166.45	Sale
05/16/13	18,000	1,438.75	VARIOUS	1,130.22	0.00	308.53	Total of 2 lots
	Security total:	2,465.11		1,965.08	0.00	500.03	
EQUINOX MUTUALHEDGE FUTURES STRATEGY FUND CLASS A M/F / CUSIP: 66537V682 / Symbol: MHFAX							
08/26/13	14,876	132.99	02/08/13	144.00	0.00	-11.01	Sale
OCCIDENTAL PETE CORPORATION DEL / CUSIP: 674599105 / Symbol: OXY							
02/08/13	4,000	352.83	04/17/12	355.13	0.00	-2.30	Sale
PNC FINL SVCS GROUP INCORPORATED / CUSIP: 693475105 / Symbol: PNC							
08/08/13	11,000	832.89	12/21/12	636.05	0.00	196.84	Sale
2 tax lots for 08/22/13.							
	12,000	899.13	12/21/12	693.87	0.00	205.26	Sale
	2,000	149.85	02/08/13	127.08	0.00	22.77	Sale
08/22/13	14,000	1,048.98	VARIOUS	820.95	0.00	228.03	Total of 2 lots
3 tax lots for 09/12/13.							
	1,000	73.34	02/08/13	63.54	0.00	9.80	Sale
	5,000	366.71	02/12/13	323.38	0.00	43.33	Sale
	9,000	660.07	04/29/13	615.93	0.00	44.14	Sale
09/12/13	15,000	1,100.12	VARIOUS	1,002.85	0.00	97.27	Total of 3 lots
	Security total:	2,981.99		2,459.85	0.00	522.14	
PT BK MANDIRI PERSERO TBK ADR (INDONESIA) / CUSIP: 69387U105 / Symbol: PPERY							
02/08/13	6,000	55.01	04/10/12	45.67	0.00	9.34	Sale
PARKER HANNIFIN CORPORATION / CUSIP: 701094104 / Symbol: PH							
02/08/13	3,000	282.38	12/03/12	243.21	0.00	39.17	Sale
04/09/13	9,000	813.74	12/03/12	729.64	0.00	84.10	Sale
2 tax lots for 04/23/13.							
	8,000	697.05	12/07/12	667.28	0.00	29.77	Sale

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
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PARKER HANNIFIN CORPORATION / CUSIP: 701094104 / Symbol: PH (cont'd)

04/23/13	7,000	609.91	01/14/13	623.31	0.00	-13.40	Sale
	15,000	1,306.96	VARIOUS	1,290.59	0.00	16.37	Total of 2 lots
	Security total:	2,403.08		2,263.44	0.00	139.64	

PEPSICO INCORPORATED / CUSIP: 713448108 / Symbol: PEP

2 tax lots for 01/25/13.

	1,000	72.44	02/08/12	66.79	0.00	5.65	Sale
	5,000	362.20	03/26/12	328.74	0.00	33.46	Sale
01/25/13	6,000	434.64	VARIOUS	385.53	0.00	39.11	Total of 2 lots

PETSMART INCORPORATED / CUSIP: 716768106 / Symbol: PETM

12/02/13	4,000	292.99	03/14/13	251.07	0.00	41.92	Sale
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PRICELINE COM INCORPORATED COM NEW / CUSIP: 741503403 / Symbol: PCLN

08/12/13	2,000	1,927.80	02/12/13	1,406.69	0.00	521.11	Sale
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PRICESMART INCORPORATED / CUSIP: 741511109 / Symbol: PSMT

02/08/13	2,000	150.01	01/15/13	156.96	0.00	-6.95	Sale
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PROCTER & GAMBLE COMPANY / CUSIP: 742718109 / Symbol: PG

02/08/13	5,000	381.34	04/05/12	336.94	0.00	44.40	Sale
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PROTO LABS INCORPORATED / CUSIP: 743713109 / Symbol: PRLB

12/02/13	4,000	296.51	02/26/13	179.18	0.00	117.33	Sale
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T. ROWE PRICE INTERNATIONAL BOND FUND ADVISOR CLASS NIL / CUSIP: 77956H831 / Symbol: PAIBX

2 tax lots for 07/16/13

	26,853	254.03	VARIOUS	265.06	0.00	-11.03	Sale
	103,943	983.30	02/08/13	1,025.29	0.00	-41.99	Sale
07/16/13	130,796	1,237.33	VARIOUS	1,290.35	0.00	-53.02	Total of 2 lots

SCHLUMBERGER LIMITED (NETHERLANDS ANTILLES) / CUSIP: 806857108 / Symbol: SLB

05/30/13	2,000	149.36	02/08/13	157.14	0.00	-7.78	Sale
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Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
SOURCEFIRE INCORPORATED / CUSIP: 83616T108 / Symbol: FIREOLD	2.000	152.00	06/05/13	103.93	0.00	48.07	Merger
STARBUCKS CORPORATION / CUSIP: 855244109 / Symbol: SBUX	2.000	113.05	06/22/12	108.91	0.00	4.14	Sale
02/08/13		758.00	06/22/12	653.46	0.00	102.54	Sale
06/08/13	12.000	869.05		762.37	0.00	106.68	
Security total							
STEPAN COMPANY / CUSIP: 858586100 / Symbol: SCL	3.000	181.79	08/30/12	145.60	0.00	36.19	Sale
02/08/13							
STERIS CORPORATION / CUSIP: 859152100 / Symbol: STE	3.000	118.07	12/31/12	104.67	0.00	13.40	Sale
02/08/13							
SUEZ ENVIRONNEMENT COMPANY S A ADR (FRANCE) / CUSIP: 884691100 / Symbol: SZEYV	117.000	964.61	02/27/13	768.43	0.00	196.18	Sale
10/08/13							
SUPERIOR ENERGY SVCS INCORPORATED / CUSIP: 868157108 / Symbol: SPN	4.000	104.55	03/21/13	103.00	0.00	1.55	Sale
06/28/13							
TARGET CORPORATION / CUSIP: 87612E106 / Symbol: TGT							
2 tax lots for 01/14/13.							
20.000	1,206.86	05/30/12		1,154.97	0.00	51.89	Sale
11.000	663.78	06/07/12		634.48	0.00	29.30	Sale
31.000	1,870.64	VARIOUS		1,789.45	0.00	81.19	Total of 2 lots
01/14/13							
TYLER TECHNOLOGIES INCORPORATED / CUSIP: 802252105 / Symbol: TYL	3.000	164.96	05/02/12	120.11	0.00	44.85	Sale
02/08/13							
URBAN OUTFITTERS INCORPORATED / CUSIP: 917047102 / Symbol: URBN	2.000	85.75	11/20/12	73.32	0.00	12.43	Sale
02/08/13							
VIACOM INCORPORATED NEW CLASS B / CUSIP: 92553P201 / Symbol: VIAB	8.000	476.78	07/11/12	375.42	0.00	101.36	Sale
02/08/13							
2 tax lots for 04/29/13.							
6.000	382.65	07/11/12		281.56	0.00	101.09	Sale

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked
Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
VIACOM INCORPORATED NEW CLASS B / CUSIP: 92553P201 / Symbol: VIAB (cont'd)							
04/29/13	2,000	127.55	08/02/12	91.89	0.00	35.66	Sale
	8,000	510.20	VARIOUS	373.45	0.00	136.75	Total of 2 lots
2 tax lots for 05/30/13.							
	12,000	818.60	08/02/12	551.37	0.00	267.23	Sale
	4,000	272.87	08/29/12	189.27	0.00	73.60	Sale
05/30/13	16,000	1,091.47	VARIOUS	750.84	0.00	340.63	Total of 2 lots
2 tax lots for 06/14/13.							
	9,000	603.62	08/29/12	448.37	0.00	155.25	Sale
	7,000	469.49	09/13/12	356.49	0.00	113.00	Sale
08/14/13	16,000	1,073.11	VARIOUS	804.86	0.00	268.25	Total of 2 lots
2 tax lots for 08/16/13.							
	6,000	471.35	09/13/12	305.58	0.00	165.79	Sale
	1,000	78.56	10/24/12	53.80	0.00	24.76	Sale
08/15/13	7,000	549.91	VARIOUS	359.36	0.00	190.55	Total of 2 lots
08/28/13	14,000	1,105.61	10/24/12	753.18	0.00	352.43	Sale
		4,807.08		3,416.91	0.00	1,390.17	
Security total:							
VISA INCORPORATED COM CLASS A / CUSIP: 92826C839 / Symbol: V							
2 tax lots for 01/22/13.							
	4,000	632.94	06/22/12	481.92	0.00	151.02	Sale
	5,000	791.18	07/06/12	630.18	0.00	161.00	Sale
01/22/13	9,000	1,424.12	VARIOUS	1,112.10	0.00	312.02	Total of 2 lots
VMWARE INCORPORATED CLASS A COM / CUSIP: 928563402 / Symbol: VMW							
06/24/13	12,000	781.04	03/21/13	972.02	0.00	-190.98	Sale
3 tax lots for 07/11/13.							
	1,000	69.87	03/21/13	81.00	0.00	-11.13	Sale
	9,000	628.84	04/09/13	683.44	0.00	-54.60	Sale
	6,000	419.23	04/29/13	432.96	0.00	-13.73	Sale
07/11/13	16,000	1,117.94	VARIOUS	1,187.40	0.00	-79.46	Total of 3 lots
		1,898.98		2,169.42	0.00	-270.44	
Security total:							

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked
Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
WELLS FARGO ADVANTAGE ASSET ALLOCATION FUND ADMIN CL NL / CUSIP: 94985D418 / Symbol: EAFX	11.734	160.29	VARIOUS	150.43	0.00	9.86	Sale
04/29/13							
ARCOS DORADOS HOLDINGS INCORPORATED SHS CLASS -A - / CUSIP: G0457F107 / Symbol: ARCO	2.000	28.01	12/07/12	25.06	0.00	2.95	Sale
02/08/13							
EATON CORPORATION PLC SHS (IRELAND) / CUSIP: G28183103 / Symbol: ETN	6.000	439.15	04/29/13	362.23	0.00	76.92	Sale
12/02/13							
TE CONNECTIVITY LIMITED REG SHS (SWITZERLAND) / CUSIP: H84989104 / Symbol: TEL	7.000	282.38	08/24/12	244.85	0.00	37.53	Sale
02/08/13							
2 tax lots for 07/31/13.							
	5.000	254.31	08/24/12	174.90	0.00	79.41	Sale
07/31/13	9.000	457.76	09/13/12	324.89	0.00	132.87	Sale
	14.000	712.07	VARIOUS	499.79	0.00	212.28	Total of 2 lots
09/27/13	5.000	259.65	10/24/12	160.50	0.00	99.15	Sale
2 tax lots for 10/22/13							
	9.000	483.82	10/24/12	288.89	0.00	194.93	Sale
10/22/13	6.000	322.55	11/15/12	202.37	0.00	120.18	Sale
	15.000	806.37	VARIOUS	491.26	0.00	315.11	Total of 2 lots
2 tax lots for 11/15/13.							
	11.000	569.85	11/15/12	371.02	0.00	198.83	Sale
11/15/13	10.000	518.04	11/20/12	345.88	0.00	172.16	Sale
	21.000	1,087.89	VARIOUS	716.80	0.00	370.99	Total of 2 lots
Security total							
		3,148.36		2,113.30	0.00	1,035.06	
UBS AG SHS NEW (SWITZERLAND) / CUSIP: H89231338 / Symbol: UBS							
02/08/13	5.000	84.04	12/07/12	80.54	0.00	3.50	Sale
Totals:		88,686.20		73,639.28	0.00	15,046.92	

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
AQR DIVERSIFIED ARBITRAGE FUND CLASS N NL / CUSIP: 00203H107 / Symbol: ADANX 08/26/13	116.430	1,300.52	01/06/12	1,262.10	0.00	38.42	Sale
AEON COMPANY LIMITED ADR (JAPAN) / CUSIP: 007627102 / Symbol: AONNY 08/11/13	45.000	535.97	01/20/11	578.25	0.00	-42.28	Sale
AKZO NOBEL NV SPONSORED ADR (NETHERLANDS) / CUSIP: 010199305 / Symbol: AKZOY 02/08/13	8.000	181.91	02/08/10	154.53	0.00	27.38	Sale
ALTERA CORPORATION / CUSIP: 021441100 / Symbol: ALTR 12/02/13	13.000	412.48	04/26/12	461.88	0.00	-49.40	Sale
ANADARKO PETE CORPORATION / CUSIP: 032511107 / Symbol: APC 02/08/13	4.000	338.19	08/22/10	170.31	0.00	167.88	Sale
ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR (BELGIUM) / CUSIP: 03524A108 / Symbol: BUD 03/14/13	2.000 8.000 10.000	191.60 766.38 957.98	04/20/11 05/12/11 VARIOUS	124.12 469.92 594.04	0.00 0.00 0.00	67.48 296.46 363.94	Sale Sale Total of 2 lots
2 tax lots for 03/14/13.							
2 tax lots for 06/14/13.							
08/14/13	1.000 11.000 12.000	94.05 1,034.51 1,128.56	05/12/11 02/10/12 VARIOUS	58.74 706.12 764.86	0.00 0.00 0.00	35.31 328.39 363.70	Sale Sale Total of 2 lots
12/02/13	6.000	612.11	06/15/12	425.64	0.00	186.47	Sale
Security total:		2,898.65		1,784.54	0.00	914.11	
ANSYS INCORPORATED / CUSIP: 03662Q105 / Symbol: ANSS 02/08/13	2.000	149.35	08/31/11	108.85	0.00	40.50	Sale
APPLE INCORPORATED / CUSIP: 037833100 / Symbol: AAPL 02/08/13 12/02/13	2.000 3.000	947.23 1,672.47	10/12/11 11/17/11	813.59 1,130.49	0.00 0.00	133.64 541.98	Sale Sale
Security total:		2,619.70		1,944.08	0.00	675.62	

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
APTARGROUP INCORPORATED / CUSIP: 038336103 / Symbol: ATR							
02/08/13	4 000	211.19	01/06/12	208.59	0.00	2.60	Sale
12/02/13	5 000	326.95	01/06/12	260.74	0.00	66.21	Sale
Security total:		538.14		469.33	0.00	68.81	
BG GROUP PLC ADR FIN INST N (UNITED KINGDOM) / CUSIP: 055434203 / Symbol: BRGY							
02/08/13	4.000	70.35	02/11/10	71.68	0.00	-1.33	Sale
BP PLC SPONSORED ADR (UNITED KINGDOM) / CUSIP: 055822104 / Symbol: BP							
02/08/13	2.000	86.96	07/21/10	72.76	0.00	14.20	Sale
3 tax lots for 07/18/13.							
25.000	1,070.48	07/21/10	909.50	0.00	160.98	Sale	
9.000	365.37	04/08/11	422.11	0.00	-36.74	Sale	
2.000	85.64	02/08/12	93.10	0.00	-7.46	Sale	
35.000	1,541.49	VARIOUS	1,424.71	0.00	116.78	Total of 3 lots	
Security total:		1,628.45		1,497.47	0.00	130.98	
BNP PARIBAS SPONSORED ADR (FRANCE) / CUSIP: 05555A202 / Symbol: BNPQY							
2 tax lots for 02/08/13.							
1.000	29.93	02/08/10	32.55	0.00	-2.62	Sale	
4 000	119.71	02/25/11	154.41	0.00	-34.70	Sale	
5.000	149.64	VARIOUS	186.96	0.00	-37.32	Total of 2 lots	
BANCO SANTANDER SA ADR (SPAIN) / CUSIP: 05984H105 / Symbol: SAN							
11/26/13	105.000	917.97	02/08/12	911.39	0.00	6.58	Sale
BARCLAYS PLC RT PUR ADR 13 / CUSIP: 06738E121 / Symbol:							
4 tax lots for 09/25/13.							
6.500	35.40	05/20/10	...	0.00	35.40	Sale	
5 500	29.95	01/19/12	...	0.00	29.95	Sale	
6.500	35.40	01/24/12	...	0.00	35.40	Sale	
0.750	4.08	02/08/12	...	0.00	4.08	Sale	
19.250	104.83	VARIOUS	0.00	0.00	104.83	Total of 4 lots	

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
BARCLAYS PLC ADR (ENGLAND) / CUSIP: 06738E204 / Symbol: BCS							
02/08/13	9,000	169.91	05/20/10	151.23	0.00	18.68	Sale
11/27/13	26,000	446.46	05/20/10	436.89	0.00	9.57	Sale
	Security total:	616.37		588.12	0.00	28.25	
BAYER A G SPONSORED ADR (GERMANY) / CUSIP: 072730302 / Symbol: BAYRY							
02/08/13	2,000	189.77	02/08/10	130.30	0.00	59.47	Sale
03/28/13	7,000	715.71	02/08/10	456.05	0.00	259.66	Sale
07/22/13	2,000	223.79	09/20/11	106.90	0.00	116.89	Sale
	Security total:	1,129.27		693.25	0.00	436.02	
BLACKBAUD INCORPORATED / CUSIP: 09227Q100 / Symbol: BLKB							
02/08/13	3,000	76.64	01/06/12	85.41	0.00	-8.77	Sale
BOEING COMPANY / CUSIP: 097023105 / Symbol: BA							
2 tax lots for 02/08/13.							
02/08/13	3,000	229.94	12/20/10	189.78	0.00	40.16	Sale
	2,000	153.30	06/28/11	144.00	0.00	9.30	Sale
	5,000	383.24	VARIOUS	333.78	0.00	49.46	Total of 2 lots
02/12/13	4,000	304.16	06/28/11	287.99	0.00	16.17	Sale
04/29/13	6,000	553.26	06/28/11	431.99	0.00	121.27	Sale
06/06/13	1,000	99.49	02/08/12	75.13	0.00	24.36	Sale
11/15/13	1,000	136.33	11/06/12	71.55	0.00	64.78	Sale
	Security total:	1,476.48		1,200.44	0.00	276.04	
CLECO CORPORATION NEW / CUSIP: 12561W105 / Symbol: CNL							
02/08/13	4,000	172.23	01/06/12	146.24	0.00	25.99	Sale
06/28/13	2,000	93.26	01/06/12	73.12	0.00	20.14	Sale
	Security total:	265.49		219.36	0.00	46.13	
CANON INCORPORATED SPONSORED ADR (JAPAN) / CUSIP: 138006309 / Symbol: CAJ							
02/08/13	2,000	69.73	02/22/11	96.57	0.00	-26.84	Sale
CARDTRONICS INCORPORATED / CUSIP: 14161H108 / Symbol: CATM							
02/08/13	3,000	84.35	10/05/11	69.88	0.00	14.47	Sale

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked
Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
CHINA UNICOM (HONG KONG) LIMITED SPONSORED ADR (CHINA) / CUSIP: 16945R104 / Symbol: CHU							
	2 tax lots for 11/27/13.						
	15,000	230.92	02/08/10	160.95	0.00	69.97	Sale
	3,000	46.18	05/10/11	60.60	0.00	-14.42	Sale
11/27/13	18,000	277.10	VARIOUS	221.55	0.00	55.55	Total of 2 lots
CITIGROUP INCORPORATED COM NEW / CUSIP: 172967424 / Symbol: C							
12/02/13	11,000	585.62	10/08/12	380.82	0.00	204.80	Sale
COMCAST CORPORATION NEW CLASS A / CUSIP: 20030N101 / Symbol: CMCSA							
01/07/13	15,000	566.55	11/07/11	341.85	0.00	224.70	Sale
	2 tax lots for 01/25/13.						
	2,000	79.38	11/07/11	45.58	0.00	33.80	Sale
	8,000	317.53	12/16/11	189.59	0.00	127.94	Sale
01/25/13	10,000	396.91	VARIOUS	235.17	0.00	161.74	Total of 2 lots
06/06/13	16,000	641.92	12/16/11	378.18	0.00	262.74	Sale
	Security total	1,805.38		956.20	0.00	649.18	
COMPASS MINERALS INTERNATIONAL INCORPORATED / CUSIP: 20451N101 / Symbol: CMP							
02/08/13	2,000	148.61	01/06/12	137.30	0.00	11.31	Sale
COSTAR GROUP INCORPORATED / CUSIP: 22160N109 / Symbol: CSGP							
02/08/13	1,000	95.73	01/06/12	62.67	0.00	33.06	Sale
10/18/13	3,000	519.89	01/06/12	188.02	0.00	331.87	Sale
	Security total:	615.62		250.69	0.00	364.93	
CREDIT SUISSE COMMODITY RETURN STRATEGY FUND INST CLASS N/L / CUSIP: 22544R305 / Symbol: CRSOX							
08/26/13	130,342	982.78	02/08/10	1,031.01	0.00	-48.23	Sale
DWS RREEF GLOBAL REAL ESTATE SECURITIES FD CLASS A M/F / CUSIP: 23337G118 / Symbol: RRGAX							
02/08/13	5,215	43.18	01/06/12	34.78	0.00	8.40	Sale
DANAHER CORPORATION DEL / CUSIP: 235851102 / Symbol: DHR							
10/22/13	11,000	792.45	02/29/12	586.26	0.00	206.19	Sale
12/02/13	4,000	300.59	02/29/12	213.18	0.00	87.41	Sale
	Security total:	1,093.04		799.44	0.00	293.60	

Less commissions.

Raymond James & Associates, Inc.

Account 786966558

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
DANONE SPONSORED ADR (FRANCE) / CUSIP: 23636T100 / Symbol: DANOY	8,000	109.59	02/08/10	89.60	0.00	19.99	Sale
02/08/13							
DENSO CORPORATION ADR (JAPAN) / CUSIP: 24872B100 / Symbol: DNZOY	4,000	77.63	10/13/10	61.25	0.00	16.38	Sale
02/08/13							
12/02/13	12,000	299.99	10/13/10	183.75	0.00	116.24	Sale
	Security total:	377.62		245.00	0.00	132.62	
DISNEY WALT COMPANY COM DISNEY / CUSIP: 254687106 / Symbol: DIS	2,000	109.29	08/11/11	64.76	0.00	44.53	Sale
02/08/13							
04/23/13	9,000	560.51	08/11/11	291.42	0.00	269.09	Sale
05/08/13	11,000	717.75	08/11/11	356.17	0.00	361.58	Sale
05/30/13	4,000	265.96	08/11/11	129.52	0.00	136.44	Sale
	Security total:	1,653.51		841.87	0.00	811.64	
DODGE & COX INCOME FUND NIL / CUSIP: 256210105 / Symbol: DODIX	1,067,420	14,442.19	02/08/10	13,993.88	0.00	448.31	Sale
07/16/13							
DONALDSON INCORPORATED / CUSIP: 257651109 / Symbol: DCI	2,000	75.35	01/06/12	68.75	0.00	6.60	Sale
02/08/13							
12/19/13	10,000	424.83	01/06/12	343.78	0.00	81.07	Sale
	Security total:	500.18		412.51	0.00	87.67	
DOW CHEMICAL COMPANY / CUSIP: 260543103 / Symbol: DOW	22,000	873.12	09/13/12	668.80	0.00	204.32	Sale
10/31/13							
11/29/13	17,000	668.09	09/13/12	516.80	0.00	151.29	Sale
12/02/13	6,000	239.99	09/13/12	182.40	0.00	57.59	Sale
	Security total:	1,781.20		1,368.00	0.00	413.20	
DRIL-QUIP INCORPORATED / CUSIP: 282037104 / Symbol: DRQ	1,000	90.58	01/06/12	66.55	0.00	24.03	Sale
08/28/13							
12/02/13	3,000	320.75	01/06/12	199.63	0.00	121.12	Sale
	Security total:	411.33		266.18	0.00	145.15	
EAST JAPAN RAILWAY COMPANY ADR (JAPAN) / CUSIP: 273202101 / Symbol: EJPRY	8,000	91.67	02/08/10	89.52	0.00	2.15	Sale
05/03/13	110,000	1,546.10	02/08/10	1,230.90	0.00	315.20	Sale

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
EAST JAPAN RAILWAY COMPANY ADR (JAPAN) / CUSIP: 273202101 / Symbol: EJPY (cont'd)							
2 tax lots for 07/18/13.							
	39,000	542.58	02/08/10	436.41	0.00	106.17	Sale
	6,000	83.47	02/08/12	63.00	0.00	20.47	Sale
07/18/13	45,000	626.05	VARIOUS	499.41	0.00	126.64	Total of 2 lots
	Security total:	2,263.82		1,819.83	0.00	443.99	

EBAY INCORPORATED / CUSIP: 278842103 / Symbol: EBAY

2 tax lots for 02/08/13.

	2,000	113.95	08/01/11	65.27	0.00	48.68	Sale
	1,000	56.98	09/30/11	29.98	0.00	27.00	Sale
02/08/13	3,000	170.93	VARIOUS	95.25	0.00	75.68	Total of 2 lots
03/08/13	23,000	1,219.09	09/30/11	688.49	0.00	529.60	Sale
	Security total:	1,390.02		784.74	0.00	605.28	

EXPRESS SCRIPTS HLDG COMPANY / CUSIP: 30219G108 / Symbol: ESRX

02/08/13	6,000	334.13	09/09/11	269.57	0.00	64.56	Sale
03/14/13	7,000	413.14	09/09/11	314.50	0.00	98.64	Sale
2 tax lots for 03/18/13.							
	4,000	237.00	09/09/11	179.72	0.00	57.28	Sale
	9,000	533.24	09/30/11	341.09	0.00	192.15	Sale
03/18/13	13,000	770.24	VARIOUS	520.81	0.00	249.43	Total of 2 lots

2 tax lots for 04/29/13.

	3,000	176.04	09/30/11	113.70	0.00	62.34	Sale
	7,000	410.76	01/08/12	340.26	0.00	70.50	Sale
04/29/13	10,000	586.80	VARIOUS	453.86	0.00	132.84	Total of 2 lots
05/02/13	3,000	181.77	01/08/12	145.82	0.00	35.95	Sale

2 tax lots for 09/20/13.

	6,000	373.22	05/17/12	314.97	0.00	58.25	Sale
	11,000	684.23	05/30/12	578.58	0.00	105.65	Sale
09/20/13	17,000	1,057.45	VARIOUS	893.55	0.00	163.90	Total of 2 lots
	Security total:	3,343.53		2,598.21	0.00	745.32	

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss (-)	Additional information
FEDERATED SHORT TERM INCOME FUND CLASS Y NL / CUSIP: 31420C787 / Symbol: FSTYX 07/16/13	1,660,000	14,276.00	06/11/12	14,325.80	0.00	-49.80	Sale
F5 NETWORKS INCORPORATED / CUSIP: 315616102 / Symbol: FFIV 02/08/13	1,000	105.44	01/06/12	105.63	0.00	-0.19	Sale
FIRST REP BK SAN FRANCISCO CAL / CUSIP: 33616C100 / Symbol: FRC 12/02/13	8,000	412.71	01/06/12	247.60	0.00	165.11	Sale
GARTNER INCORPORATED / CUSIP: 366651107 / Symbol: IT 02/08/13	1,000	49.45	01/06/12	34.99	0.00	14.46	Sale
12/02/13	5,000	326.39	01/06/12	174.93	0.00	151.46	Sale
Security total:		375.84		209.92	0.00	165.92	
GATEWAY FUND CLASS A MIF - NATIXIS ADVISOR / CUSIP: 367829207 / Symbol: GATEX 08/28/13	71,093	1,999.84	01/06/12	1,886.80	0.00	113.04	Sale
GENERAL DYNAMICS CORPORATION / CUSIP: 369550108 / Symbol: GD 12/02/13	7,000	643.42	08/24/12	460.01	0.00	183.41	Sale
GENERAL MTRS COMPANY / CUSIP: 37045V100 / Symbol: GM 11/06/13	17,000	624.73	09/28/12	386.24	0.00	238.49	Sale
12/02/13	20,000	781.38	09/28/12	454.40	0.00	326.98	Sale
Security total:		1,406.11		840.64	0.00	565.47	
GENTEX CORPORATION / CUSIP: 371901109 / Symbol: GNTX 02/08/13	4,000	79.75	01/06/12	121.50	0.00	-41.75	Sale
2 tax lots for 07/24/13.							
38,000	897.31	01/06/12	1,154.25	0.00	-256.94	Sale	
1,000	23.61	02/08/12	26.39	0.00	-2.78	Sale	
39,000	920.92	VARIOUS	1,180.64	0.00	-259.72	Total of 2 lots	
Security total:		1,000.67		1,302.14	0.00	-301.47	
GLACIER BANCORP INCORPORATED NEW / CUSIP: 37637Q105 / Symbol: GBCI 02/08/13	4,000	64.27	01/06/12	51.36	0.00	12.91	Sale
12/02/13	10,000	300.99	01/06/12	128.40	0.00	172.59	Sale
Security total:		365.26		179.76	0.00	185.50	

Less commissions



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
GOLDMAN SACHS GROUP INCORPORATED / CUSIP: 38141G104 / Symbol: GS							
02/08/13	3 000	455.11	02/02/12	343.13	0.00	111.98	Sale
02/21/13	2 000	302.42	02/02/12	228.76	0.00	73.66	Sale
03/14/13	3 000	460.85	02/29/12	347.63	0.00	113.22	Sale
	Security total:	1,218.38		919.52	0.00	298.86	
GOOGLE INCORPORATED CLASS A / CUSIP: 38259P508 / Symbol: GOOG							
2 tax lots for 04/17/13.							
	1 000	779.50	07/13/10	483.98	0.00	295.52	Sale
	1 000	779.50	07/23/10	484.95	0.00	294.55	Sale
04/17/13	2 000	1,559.00	VARIOUS	968.93	0.00	590.07	Total of 2 lots
12/02/13	1 000	1,059.20	06/01/11	530.76	0.00	528.44	Sale
	Security total:	2,818.20		1,499.69	0.00	1,118.51	
GREENHILL & COMPANY INCORPORATED / CUSIP: 395259104 / Symbol: GHL							
02/08/13	3 000	178.85	01/06/12	115.45	0.00	63.40	Sale
GROUP 1 AUTOMOTIVE INCORPORATED / CUSIP: 398905109 / Symbol: GPI							
06/28/13	2 000	129.48	03/28/12	112.97	0.00	16.51	Sale
GULFPORT ENERGY CORPORATION COM NEW / CUSIP: 402635304 / Symbol: GPOR							
02/08/13	5 000	207.79	01/06/12	156.55	0.00	51.24	Sale
05/15/13	6 000	294.56	01/06/12	187.86	0.00	106.70	Sale
06/28/13	2 000	93.97	01/06/12	62.62	0.00	31.35	Sale
10/18/13	6 000	398.76	01/06/12	187.86	0.00	210.90	Sale
12/02/13	6 000	349.34	01/06/12	187.86	0.00	161.48	Sale
	Security total:	1,344.42		782.75	0.00	561.67	
HMS HLDGS CORPORATION / CUSIP: 40425J101 / Symbol: HMSY							
02/08/13	4 000	107.27	01/06/12	126.00	0.00	-18.73	Sale
04/25/13	16 000	374.14	01/06/12	504.00	0.00	-129.86	Sale
10/23/13	36 000	766.83	01/06/12	1,133.99	0.00	-367.16	Sale
	Security total:	1,248.24		1,763.99	0.00	-515.75	

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
HSBC HLDGS PLC SPON ADR NEW (UNITED KINGDOM) / CUSIP: 404280406 / Symbol: HSBC							
3 tax lots for 01/08/13.							
	3 298	174.68	05/01/09	118.13	0.00	56.55	Sale
	4.000	211.86	06/11/09	184.02	0.00	27.84	Sale
	1.702	90.14	07/20/09	78.70	0.00	11.44	Sale
01/08/13	9.000	476.68	VARIOUS	380.85	0.00	95.83	Total of 3 lots
02/08/13	2.000	113.45	07/20/09	92.47	0.00	20.98	Sale
2 tax lots for 12/02/13.							
	1.298	72.37	07/20/09	60.02	0.00	12.35	Sale
	3.702	206.42	08/17/09	194.04	0.00	12.38	Sale
12/02/13	5.000	278.79	VARIOUS	254.06	0.00	24.73	Total of 2 lots
	Security total:	868.92		727.38	0.00	141.54	
HARMONIC INCORPORATED / CUSIP: 413160102 / Symbol: HLIT							
05/16/13	61.000	363.77	01/06/12	312.09	0.00	51.68	Sale
HARRIS TEETER SUPERMARKETS INCORPORATED / CUSIP: 414585109 / Symbol: HTSI							
02/08/13	2.000	74.45	01/06/12	84.34	0.00	-9.89	Sale
09/24/13	27.000	1,329.48	01/06/12	1,138.59	0.00	190.89	Sale
	Security total:	1,403.93		1,222.93	0.00	181.00	
HEINEKEN N V SPONSORED ADR L1 (NETHERLANDS) / CUSIP: 423012301 / Symbol: HEINY							
02/08/13	1.000	35.20	02/08/10	23.40	0.00	11.80	Sale
05/03/13	26.000	914.79	02/08/10	608.40	0.00	306.39	Sale
	Security total:	949.99		631.80	0.00	318.19	
HIBBETT SPORTS INCORPORATED / CUSIP: 428567101 / Symbol: HIBB							
02/08/13	2.000	109.31	01/06/12	89.97	0.00	19.34	Sale
HITTITE MICROWAVE CORPORATION / CUSIP: 43365Y104 / Symbol: HITT							
02/08/13	1.000	64.17	01/06/12	50.63	0.00	13.54	Sale
HONDA MOTOR LIMITED AMERN SHS (JAPAN) / CUSIP: 438128308 / Symbol: HMC							
02/08/13	5.000	189.94	01/28/11	212.51	0.00	-22.57	Sale
12/02/13	7.000	294.97	01/28/11	297.52	0.00	-2.55	Sale
	Security total:	484.91		510.03	0.00	-25.12	

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
HONEYWELL INTERNATIONAL INCORPORATED / CUSIP: 438516106 / Symbol: HON	10,000	796.28	10/17/11	482.70	0.00	313.58	Sale
2 tax lots for 07/11/13.							
	1,000	82.44	10/17/11	48.27	0.00	34.17	Sale
	10,000	824.38	12/21/11	539.60	0.00	284.78	Sale
07/11/13	11,000	906.82	VARIOUS	587.87	0.00	318.95	Total of 2 lots
Security total:		1,703.10		1,070.57	0.00	632.53	
HUTCHISON WHAMPOA LIMITED ADR (HONG KONG) / CUSIP: 448415208 / Symbol: HUWHY	5,000	108.84	02/08/10	68.60	0.00	40.24	Sale
ICU MED INCORPORATED / CUSIP: 44930G107 / Symbol: ICUI	1,000	61.61	01/05/12	44.93	0.00	16.68	Sale
IBERIABANK CORPORATION / CUSIP: 450828108 / Symbol: IBKC	2,000	103.23	01/05/12	105.03	0.00	-1.80	Sale
ICICI BK LIMITED ADR (INDIA) / CUSIP: 45104G104 / Symbol: IBN	1,000	43.56	02/08/10	33.70	0.00	9.86	Sale
2 tax lots for 11/27/13.							
	6,000	207.04	02/08/10	202.20	0.00	4.84	Sale
	1,000	34.51	02/11/10	35.19	0.00	-0.68	Sale
11/27/13	7,000	241.55	VARIOUS	237.39	0.00	4.16	Total of 2 lots
Security total:		285.11		271.09	0.00	14.02	
ING GROEP N V SPONSORED ADR (NETHERLANDS) / CUSIP: 456837103 / Symbol: ING	8,000	73.04	02/08/10	67.19	0.00	5.85	Sale
11/27/13	31,000	402.35	02/08/10	260.37	0.00	141.98	Sale
Security total:		475.39		327.56	0.00	147.83	
ITAU UNIBANCO SA SPONSORED ADR REPSTG / CUSIP: 465562108 / Symbol: ITUB	20,000	342.19	11/22/11	332.13	0.00	10.06	Sale
01/08/13	2,000	34.19	11/22/11	33.21	0.00	0.98	Sale
07/05/13	0.200	2.38	11/22/11	3.02	0.00	-0.64	Sale
Security total:		378.76		368.36	0.00	10.40	

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
IVY ASSET STRATEGY FUND CLASS Y N/L / CUSIP: 466000728 / Symbol: WASYX							
02/08/13	8,004	218.02	01/06/12	181.69	0.00	36.33	Sale
08/26/13	54,824	1,567.41	01/06/12	1,244.50	0.00	322.91	Sale
	Security total:	1,785.43		1,426.19	0.00	359.24	
JPMORGAN CHASE & COMPANY / CUSIP: 46825H100 / Symbol: JPM							
02/08/13	11,000	533.70	08/31/10	397.98	0.00	135.72	Sale
	2 tax lots for 02/12/13.						
	4,000	196.88	08/31/10	144.72	0.00	52.16	Sale
	4,000	196.87	08/13/10	165.68	0.00	31.19	Sale
02/12/13	8,000	393.75	VARIOUS	310.40	0.00	83.35	Total of 2 lots
	2 tax lots for 04/09/13.						
	9,000	438.19	09/13/10	372.78	0.00	65.41	Sale
	2,000	97.38	12/15/10	81.34	0.00	16.04	Sale
04/09/13	11,000	535.57	VARIOUS	454.12	0.00	81.45	Total of 2 lots
12/02/13	15,000	862.78	12/15/10	610.01	0.00	252.77	Sale
	Security total:	2,325.80		1,772.51	0.00	553.29	
JACOBS ENGR GROUP INCORPORATED DEL / CUSIP: 469814107 / Symbol: JEC							
02/08/13	3,000	144.74	01/08/12	123.17	0.00	21.57	Sale
12/02/13	5,000	299.14	01/08/12	205.29	0.00	93.85	Sale
	Security total:	443.88		328.46	0.00	115.42	
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: JNJ							
07/18/13	12,000	1,100.51	07/18/12	831.24	0.00	269.27	Sale
12/02/13	7,000	659.10	07/18/12	484.89	0.00	174.21	Sale
	Security total:	1,759.61		1,316.13	0.00	443.48	
JPMORGAN RESEARCH MARKET NEUTRAL FUND INST CLASS N/L / CUSIP: 4812A1688 / Symbol: JPMNX							
	2 tax lots for 08/26/13.						
	152,225	2,301.64	01/06/12	2,213.35	0.00	88.29	Sale
	5,078	76.78	02/08/12	75.00	0.00	1.78	Sale
08/26/13	157,303	2,378.42	VARIOUS	2,288.35	0.00	90.07	Total of 2 lots

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
KAO CORPORATION SPONSORED ADR (JAPAN) / CUSIP: 485537302 / Symbol: KCRPY	1,000	30.01	06/16/09	21.61	0.00	8.40	Sale
2 tax lots for 08/11/13.							
	29,000	852.12	06/16/09	626.66	0.00	225.46	Sale
	2,000	58.77	02/08/11	53.52	0.00	5.25	Sale
09/11/13	31,000	910.89	VARIOUS	680.18	0.00	230.71	Total of 2 lots
Security total:		940.90		701.79	0.00	239.11	
KDDI CORPORATION ADR (JAPAN) / CUSIP: 48667L106 / Symbol: KDDIY	2,000	35.99	04/22/10	24.99	0.00	11.00	Sale
02/08/13							
KEPPEL LIMITED SPONSORED ADR (SINGAPORE) / CUSIP: 492051305 / Symbol: KPELY	93,000	1,870.81	02/08/10	993.41	0.00	677.20	Sale
03/27/13							
KIRBY CORPORATION / CUSIP: 497266108 / Symbol: KEX	2,000	143.59	01/06/12	134.05	0.00	9.54	Sale
02/08/13							
06/28/13	2,000	160.23	01/06/12	134.05	0.00	26.18	Sale
12/02/13	3,000	285.89	01/06/12	201.07	0.00	84.82	Sale
Security total:		589.71		469.17	0.00	120.54	
KRAFT FOODS GROUP INCORPORATED / CUSIP: 50076Q106 / Symbol: KRFT							
2 tax lots for 03/28/13.							
	2,333	119.31	07/01/10	68.19	0.00	51.12	Sale
	2,000	102.26	02/08/11	65.25	0.00	37.01	Sale
03/28/13	4,333	221.57	VARIOUS	133.44	0.00	88.13	Total of 2 lots
LVMH MOET HENNESSY LOU VUITTON ADR (FRANCE) / CUSIP: 502441308 / Symbol: LVMUY	3,000	107.06	02/08/10	62.85	0.00	44.21	Sale
02/08/13							
CLEARBRIDGE EQUITY INCOME FUND CLASS A M/F / CUSIP: 52469H638 / Symbol: SOPAX	51,425	804.28	03/14/11	676.75	0.00	127.53	Sale
02/08/13							
12/02/13	205,195	3,668.89	03/14/11	2,700.37	0.00	968.52	Sale
Security total:		4,473.17		3,377.12	0.00	1,096.05	
LIFE TIME FITNESS INCORPORATED / CUSIP: 53217R207 / Symbol: LTM	3,000	127.55	01/06/12	135.52	0.00	-7.97	Sale
02/08/13							

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 9949, Part II, with Box F checked

Description / CUSIP / Symbol

Description / Cusip / Symbol	Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
LINCOLN ELEC HLDGS INCORPORATED / CUSIP: 533900108 / Symbol: LECO	02/08/13	1,000	54.71	01/06/12	39.97	0.00	14.74	Sale
LULULEMON ATHLETICA INCORPORATED / CUSIP: 550021109 / Symbol LULU	02/08/13	1,000	67.90	01/06/12	53.50	0.00	14.40	Sale
		2 tax lots for 03/21/13						
		9,000	581.48	01/06/12	481.50	0.00	99.98	Sale
		1,000	64.61	02/08/12	64.53	0.00	0.08	Sale
03/21/13		10,000	646.09	VARIOUS	546.03	0.00	100.06	Total of 2 lots
		Security total:	713.99		599.53	0.00	114.46	
MANNING & NAPIER INCORPORATED CLASS A / CUSIP: 56382Q102 / Symbol: MN	02/08/13	7,000	104.65	01/06/12	88.81	0.00	15.84	Sale
MARKETAXESS HLDGS INCORPORATED / CUSIP: 57060D108 / Symbol: MKTX	12/02/13	6,000	425.76	02/24/12	196.52	0.00	229.24	Sale
MEDNAX INCORPORATED / CUSIP: 58502B106 / Symbol: MD	02/08/13	3,000	258.53	03/05/09	81.79	0.00	176.74	Sale
		2 tax lots for 12/02/13.						
		2,000	221.95	03/05/09	54.53	0.00	167.42	Sale
		1,000	110.98	02/08/10	54.79	0.00	56.19	Sale
12/02/13		3,000	332.93	VARIOUS	109.32	0.00	223.61	Total of 2 lots
		Security total:	591.46		191.11	0.00	400.35	
MEDTRONIC INCORPORATED / CUSIP: 585055108 / Symbol: MDT								
		4 tax lots for 01/07/13.						
		2,000	85.40	10/25/10	72.05	0.00	13.35	Sale
		10,000	426.99	12/27/10	369.87	0.00	57.12	Sale
		6,000	256.19	02/08/11	236.94	0.00	19.25	Sale
		7,000	298.89	02/14/11	276.14	0.00	22.75	Sale
01/07/13		25,000	1,067.47	VARIOUS	955.00	0.00	112.47	Total of 4 lots
02/08/13		3,000	141.32	02/14/11	118.34	0.00	22.98	Sale
04/23/13		14,000	653.22	03/29/11	546.39	0.00	106.83	Sale
05/02/13		14,000	662.93	03/29/11	546.38	0.00	116.55	Sale

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
Security total:		2,524.94		2,166.11	0.00	358.83	
MERCK & COMPANY INCORPORATED NEW / CUSIP: 58833Y105 / Symbol: MRK							
2 tax lots for 02/08/13.							
	1,000	41.02	02/02/11	33.70	0.00	7.32	Sale
	6,000	246.11	11/17/11	208.20	0.00	37.91	Sale
02/08/13	7,000	287.13	VARIOUS	241.80	0.00	45.23	Total of 2 lots
02/21/13	18,000	762.21	11/17/11	624.58	0.00	137.63	Sale
2 tax lots for 12/02/13.							
	2,000	99.80	11/17/11	69.40	0.00	30.40	Sale
	20,000	997.98	12/21/11	743.57	0.00	254.41	Sale
12/02/13	22,000	1,097.78	VARIOUS	812.97	0.00	284.81	Total of 2 lots
Security total:		2,147.12		1,679.45	0.00	467.67	
METLIFE INCORPORATED / CUSIP: 59156R108 / Symbol: MET							
2 tax lots for 01/31/13.							
	13,000	483.22	07/23/10	509.19	0.00	-25.97	Sale
	9,000	334.53	08/10/10	376.28	0.00	-41.75	Sale
01/31/13	22,000	817.75	VARIOUS	885.47	0.00	-67.72	Total of 2 lots
02/08/13	3,000	110.93	08/10/10	125.42	0.00	-14.49	Sale
2 tax lots for 07/19/13.							
	2,000	97.28	08/10/10	83.62	0.00	13.66	Sale
	10,000	486.40	08/20/10	371.99	0.00	114.41	Sale
07/19/13	12,000	583.68	VARIOUS	455.61	0.00	128.07	Total of 2 lots
2 tax lots for 07/25/13.							
	5,000	243.79	08/20/10	185.99	0.00	57.80	Sale
	2,000	97.52	09/27/10	77.88	0.00	19.64	Sale
07/25/13	7,000	341.31	VARIOUS	263.87	0.00	77.44	Total of 2 lots
2 tax lots for 12/02/13.							
	10,000	529.59	09/27/10	389.38	0.00	140.21	Sale
	5,000	264.79	03/04/11	225.94	0.00	38.85	Sale
12/02/13	15,000	794.38	VARIOUS	615.32	0.00	179.06	Total of 2 lots

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 999, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
Security total:		2,648.05		2,345.69	0.00	302.36	
MICROCHIP TECHNOLOGY INCORPORATED / CUSIP: 595017104 / Symbol: MCHP							
2 tax lots for 02/08/13.							
	4,000	146.99	01/06/12	137.13	0.00	9.86	Sale
	7,000	257.24	01/06/12	239.98	0.00	17.26	Sale
02/08/13	11,000	404.23	VARIOUS	377.11	0.00	27.12	Total of 2 lots
05/16/13	24,000	908.95	01/06/12	814.32	0.00	94.63	Sale
Security total:		1,313.18		1,191.43	0.00	121.75	
MID-AMER APT CMNTYS INCORPORATED REIT / CUSIP: 59522J103 / Symbol: MAA							
02/08/13	1,000	66.03	08/22/11	65.95	0.00	0.08	Sale
MIDDLEBY CORPORATION / CUSIP: 596278101 / Symbol: MIDD							
02/26/13	4,000	585.09	01/08/12	375.20	0.00	209.89	Sale
12/19/13	2,000	454.37	01/08/12	187.60	0.00	266.77	Sale
Security total:		1,039.46		562.80	0.00	476.66	
MITSUBISHI CORPORATION SPONSORED ADR (JAPAN) / CUSIP: 606769305 / Symbol: MSBHY							
02/08/13	1,000	41.15	02/08/10	47.16	0.00	-6.01	Sale
2 tax lots for 12/13/13.							
	16,000	607.03	02/08/10	754.56	0.00	-147.53	Sale
	1,000	37.94	02/08/12	47.02	0.00	-9.08	Sale
12/13/13	17,000	644.97	VARIOUS	801.58	0.00	-156.61	Total of 2 lots
Security total:		686.12		848.74	0.00	-162.62	
MITSUBISHI UFJ FINL GROUP INCORPORATED SPONSORED ADR / CUSIP: 606822104 / Symbol: MTU							
02/08/13	11,000	62.59	06/02/11	50.49	0.00	12.10	Sale
MONSANTO COMPANY NEW / CUSIP: 61166W101 / Symbol: MON							
02/08/13	1,000	100.55	05/04/11	65.11	0.00	35.44	Sale
2 tax lots for 04/09/13.							
	12,000	1,271.61	05/04/11	781.30	0.00	490.31	Sale
	1,000	105.97	02/08/12	78.91	0.00	27.06	Sale
04/09/13	13,000	1,377.58	VARIOUS	860.21	0.00	517.37	Total of 2 lots

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 999, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
	Security total:	1,478.13		925.32	0.00	552.81	
NATIONAL HEALTH INVS INCORPORATED REIT / CUSIP: 63833D104 / Symbol: NHI	1.000	63.18	01/06/12	44.02	0.00	19.16	Sale
02/08/13							
ASG GLOBAL ALTERNATIVES FUND CLASS Y NIL - NATIXIS ADV. / CUSIP: 63872T885 / Symbol: GAFYX	46.780	558.09	01/06/12	486.98	0.00	71.11	Sale
12/02/13							
NESTLE S A SPONSORED ADR (SWITZERLAND) / CUSIP: 641069408 / Symbol: NSRGY							
	2 tax lots for 01/08/13.						
	1.000	65.32	02/08/10	46.20	0.00	19.12	Sale
	6.000	391.92	02/08/10	277.20	0.00	114.72	Sale
01/08/13	7.000	457.24	VARIOUS	323.40	0.00	133.84	Total of 2 lots
02/08/13	2.000	139.33	02/08/10	92.40	0.00	46.93	Sale
12/02/13	4.000	289.79	02/08/10	184.80	0.00	104.99	Sale
	Security total:	886.36		600.60	0.00	285.76	
NEUBERGER BERMAN HIGH INCOME BOND FUND CLASS A M/F / CUSIP: 64128K702 / Symbol: NHIAH							
	4 tax lots for 07/16/13						
	412.916	3,910.32	VARIOUS	3,807.15	0.00	103.17	Sale
	883.125	8,363.19	09/21/10	8,151.24	0.00	211.95	Sale
	52.615	498.26	02/08/11	503.00	0.00	-4.74	Sale
	25.328	239.86	02/08/12	232.00	0.00	7.86	Sale
07/16/13	1,373.984	13,011.63	VARIOUS	12,693.39	0.00	318.24	Total of 4 lots
NEWELL RUBBERMAID INCORPORATED / CUSIP: 651229106 / Symbol: NWL							
02/08/13	2.000	48.41	01/08/12	33.60	0.00	14.81	Sale
12/02/13	12.000	363.71	01/08/12	201.60	0.00	162.11	Sale
	Security total:	412.12		235.20	0.00	176.92	
EQUINOX MUTUALHEDGE FUTURES STRATEGY FUND CLASS A M/F / CUSIP: 66537V682 / Symbol: MHFAX							
08/26/13	372.653	3,331.52	04/16/12	3,652.00	0.00	-320.48	Sale
NOVARTIS A G SPONSORED ADR (SWITZERLAND) / CUSIP: 66887V109 / Symbol: NVS							
02/08/13	2.000	136.09	12/08/11	109.41	0.00	26.68	Sale
07/22/13	4.000	290.56	12/08/11	218.82	0.00	71.74	Sale

Less commissions

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
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12/02/13	4,000	317.71	12/08/11	218.82	0.00	98.89	Sale
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Security total:

197.31

05/30/13	70,000	692.41	04/10/12	532.80	0.00	159.61	Sale
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PEPSICO INCORPORATED / CUSIP: 713448108 / Symbol: PEP

3 tax lots for 01/14/13.

01/14/13	3,000	214.07	09/26/11	184.20	0.00	29.87	Sale
	9,000	642.22	10/26/11	557.45	0.00	84.77	Sale
	1,000	71.36	12/16/11	65.06	0.00	6.30	Sale
	13,000	927.65	VARIOUS	806.71	0.00	120.94	Total of 3 lots
01/25/13	8,000	579.53	12/16/11	520.47	0.00	59.06	Sale
		1,507.18		1,327.18	0.00	180.00	

Security total:

180.00

PFIZER INCORPORATED / CUSIP: 717081103 / Symbol: PFE

2 tax lots for 09/16/13.

02/08/13	5,000	134.28	08/01/07	118.04	0.00	16.24	Sale
	20,930	601.74	08/01/07	494.13	0.00	107.61	Sale
	6,070	174.51	09/08/07	149.56	0.00	24.95	Sale
09/16/13	27,000	776.25	VARIOUS	643.69	0.00	132.56	Total of 2 lots

2 tax lots for 12/02/13

12/02/13	13,930	442.96	09/08/07	343.24	0.00	99.72	Sale
	11,070	352.02	10/16/09	195.50	0.00	156.52	Sale
	25,000	784.98	VARIOUS	538.74	0.00	256.24	Total of 2 lots
		1,705.51		1,300.47	0.00	405.04	

Security total:

405.04

PIMCO TOTAL RETURN FUND CLASS P NL / CUSIP: 72201MS52 / Symbol: PTTPIX

07/16/13

1,251.676

POWER INTEGRATIONS INCORPORATED / CUSIP: 739276103 / Symbol: POWI

02/08/13

2,000

88.63

01/06/12

66.45

22.18

Sale

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part III, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of \$ stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
PROASSURANCE CORPORATION / CUSIP: 74267C106 / Symbol: PRA							
02/08/13	4 000	183.71	08/10/11	140.44	0.00	43.27	Sale
12/02/13	7.000	337.82	08/10/11	245.77	0.00	92.05	Sale
	Security total:	521.53		386.21	0.00	135.32	
PROCTER & GAMBLE COMPANY / CUSIP: 742718109 / Symbol: PG							
05/30/13	6.000	476.28	04/05/12	404.33	0.00	71.95	Sale
12/02/13	10.000	833.98	04/05/12	673.89	0.00	160.09	Sale
	Security total:	1,310.26		1,078.22	0.00	232.04	
PUBLICIS S A NEW SPONSORED ADR (FRANCE) / CUSIP: 74463M106 / Symbol: PUBGY							
02/08/13	2.000	32.59	01/20/11	25.43	0.00	7.16	Sale
QEP RES INCORPORATED / CUSIP: 74733V100 / Symbol: QEP							
02/08/13	4.000	118.35	01/06/12	126.28	0.00	-7.93	Sale
QUESTAR CORPORATION / CUSIP: 748356102 / Symbol: STR							
02/08/13	5.000	117.04	01/06/12	98.24	0.00	18.80	Sale
12/02/13	13.000	293.27	01/06/12	255.43	0.00	37.84	Sale
	Security total:	410.31		353.67	0.00	56.64	
ROBECO BP LONG/SHORT RESEARCH FUND INSTITUTIONAL CLASS N/L / CUSIP: 74925K581 / Symbol: BPIRX							
12/02/13	29.745	420.89	08/07/12	351.88	0.00	69.01	Sale
RPM INTERNATIONAL INCORPORATED / CUSIP: 749685103 / Symbol: RPM							
02/08/13	7.000	221.05	01/06/12	164.22	0.00	56.83	Sale
12/02/13	12 000	478.32	01/06/12	281.52	0.00	196.80	Sale
	Security total:	699.37		445.74	0.00	253.63	
RANGE RES CORPORATION / CUSIP: 75281A109 / Symbol: RRC							
03/21/13	14.000	1,142.89	01/06/12	857.63	0.00	285.26	Sale
RECKITT BENCKISER PLC SPONSORED ADR / CUSIP: 75625S204 / Symbol: RBGLY							
02/08/13	8 000	107.27	02/08/10	79.76	0.00	27.51	Sale
RESMED INCORPORATED / CUSIP: 761152107 / Symbol: RMD							
02/08/13	1.000	44.26	01/06/12	25.03	0.00	19.23	Sale
12/02/13	6 000	295.85	01/06/12	150.18	0.00	145.67	Sale

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
	Security total:	340.11		175.21	0.00	164.90	
RIO TINTO PLC SPONSORED ADR (UNITED KINGDOM) / CUSIP: 767204100 / Symbol: RIO							
02/08/13	5,000	290.54	04/12/11	359.60	0.00	-69.06	Sale
12/02/13	6,000	317.45	04/12/11	431.52	0.00	-114.07	Sale
	Security total:	607.99		791.12	0.00	-183.13	
RITCHIE BROS AUCTIONEERS (CANADA) / CUSIP: 767744105 / Symbol: RBA							
02/08/13	4,000	85.43	01/06/12	85.24	0.00	0.19	Sale
ROCHE HLDG LIMITED SPONSORED ADR (SWITZERLAND) / CUSIP: 771185104 / Symbol: RHBY							
02/08/13	3,000	164.75	11/21/08	95.74	0.00	69.01	Sale
	2 tax lots for 03/26/13						
	12,000	696.74	11/21/08	382.98	0.00	313.76	Sale
	2,000	116.12	11/25/08	68.55	0.00	47.57	Sale
03/26/13	14,000	812.86	VARIOUS	451.53	0.00	361.33	Total of 2 lots
	2 tax lots for 07/22/13						
	2,000	124.64	11/25/08	68.55	0.00	56.09	Sale
	2,000	124.63	02/08/10	82.56	0.00	42.07	Sale
07/22/13	4,000	249.27	VARIOUS	151.11	0.00	98.16	Total of 2 lots
09/16/13	8,000	508.81	02/08/10	330.24	0.00	178.57	Sale
	3 tax lots for 12/02/13						
	4,000	280.19	02/08/10	165.12	0.00	115.07	Sale
	7,000	490.33	02/08/10	288.96	0.00	201.37	Sale
	2,000	140.10	08/31/10	67.88	0.00	72.22	Sale
12/02/13	13,000	910.62	VARIOUS	521.96	0.00	388.66	Total of 3 lots
12/16/13	4,000	265.00	08/31/10	135.76	0.00	129.24	Sale
	Security total:	2,911.31		1,686.34	0.00	1,224.97	
T. ROWE PRICE INTERNATIONAL BOND FUND ADVISOR CLASS N/L / CUSIP: 77956H831 / Symbol: PAIBX							
	3 tax lots for 07/16/13						
	294,791	2,788.73	VARIOUS	2,897.65	0.00	-108.92	Sale
	799,515	7,563.41	02/08/10	7,737.65	0.00	-174.24	Sale

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
T. ROWE PRICE INTERNATIONAL BOND FUND ADVISOR CLASS N/L / CUSIP: 77956H831 / Symbol: PAIBX (cont'd)	204.848	1,937.86	02/08/11	2,019.37	0.00	-81.51	Sale
07/16/13	1,299.154	12,290.00	VARIOUS	12,654.67	0.00	-364.67	Total of 3 lots
ROYAL DUTCH SHELL PLC SPONS ADR A (NETHERLANDS) / CUSIP: 780258206 / Symbol: RDS.A	2.000	135.25	02/08/10	109.62	0.00	25.63	Sale
RYLAND GROUP INCORPORATED / CUSIP: 783764103 / Symbol: RYL	2.000	72.91	01/06/12	34.64	0.00	38.27	Sale
12/02/13	8.000	313.11	01/06/12	138.56	0.00	174.55	Sale
Security total:		386.02		173.20	0.00	212.82	
SVB FINL GROUP / CUSIP: 78486Q101 / Symbol: SIVB	1.000	67.84	01/06/12	51.48	0.00	16.36	Sale
02/08/13	4.000	409.07	01/06/12	205.92	0.00	203.15	Sale
12/02/13		476.91		257.40	0.00	219.51	
Security total:							
SCHLUMBERGER LIMITED (NETHERLANDS ANTILLES) / CUSIP: 806857108 / Symbol: SLB							
2 tax lots for 03/26/13.							
03/26/13	19.000	1,409.57	06/04/10	1,096.27	0.00	313.30	Sale
	1.000	74.19	07/23/10	59.17	0.00	15.02	Sale
	20.000	1,483.76	VARIOUS	1,155.44	0.00	328.32	Total of 2 lots
2 tax lots for 05/30/13.							
05/30/13	14.000	1,045.54	07/23/10	828.32	0.00	217.22	Sale
	2.000	149.37	02/08/11	176.04	0.00	-26.67	Sale
	16.000	1,194.91	VARIOUS	1,004.36	0.00	190.55	Total of 2 lots
Security total:		2,678.67		2,159.80	0.00	518.87	
SIEMENS A G SPONSORED ADR (GERMANY) / CUSIP: 826197501 / Symbol: SI							
02/08/13	1.000	102.61	09/09/08	97.64	0.00	4.97	Sale
3 tax lots for 12/02/13							
	3.000	395.63	11/26/08	182.09	0.00	213.54	Sale
	2.000	263.76	04/30/09	134.43	0.00	129.33	Sale

Less commissions.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of ^a stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
SIEMENS A G SPONSORED ADR (GERMANY) / CUSIP: 826197501 / Symbol: SI (cont'd)	5,000	659.39	02/08/10	428.55	0.00	230.84	Sale
12/02/13	10,000	1,318.78	VARIOUS	745.07	0.00	573.71	Total of 3 lots
	Security total:	1,421.39		842.71	0.00	578.68	
SIGNATURE BK NEW YORK N Y / CUSIP: 82699G104 / Symbol: SBNY							
02/08/13	2,000	150.17	01/06/12	123.24	0.00	26.93	Sale
12/02/13	5,000	538.54	01/06/12	308.09	0.00	230.45	Sale
	Security total:	688.71		431.33	0.00	257.38	
SOLERA HOLDINGS INCORPORATED / CUSIP: 83421A104 / Symbol: SLH							
02/08/13	1,000	56.10	01/06/12	44.01	0.00	12.09	Sale
SOURCEFIRE INCORPORATED / CUSIP: 83616T108 / Symbol: FIREOLD							
10/07/13	15,000	1,140.00	01/06/12	455.54	0.00	684.46	Merger
STARBUCKS CORPORATION / CUSIP: 855244109 / Symbol: SBUX							
06/26/13	8,000	526.29	06/22/12	435.64	0.00	90.65	Sale
	2 tax lots for 08/15/13.						
	1,000	70.81	06/22/12	54.45	0.00	16.36	Sale
08/15/13	10,000	708.11	06/28/12	519.26	0.00	188.85	Sale
	11,000	778.92	VARIOUS	573.71	0.00	205.21	Total of 2 lots
09/20/13	15,000	1,151.84	06/28/12	778.88	0.00	372.96	Sale
	Security total:	2,457.05		1,788.23	0.00	668.82	
STERICYCLE INCORPORATED / CUSIP: 858912108 / Symbol: SRCL							
02/08/13	1,000	97.18	01/06/12	77.52	0.00	19.66	Sale
06/21/13	10,000	1,080.51	01/06/12	775.20	0.00	305.31	Sale
	Security total:	1,177.69		852.72	0.00	324.97	
SUMITOMO MITSUI FINL GROUP INCORPORATED SPONSORED ADR / CUSIP: 86562M209 / Symbol: SMFG							
02/08/13	10,000	81.59	02/08/10	62.40	0.00	19.19	Sale
SYMRISE AG ADR (GERMANY) / CUSIP: 87155N109 / Symbol: SYIEY							
02/08/13	2,000	74.29	02/08/10	42.50	0.00	31.79	Sale
09/11/13	8,000	357.59	02/08/10	170.00	0.00	187.59	Sale

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
	Security total:	431.88		212.50	0.00	219.38	
TAIWAN SEMICONDUCTOR MFG LIMITED SPONSORED ADR / CUSIP: 874038100 / Symbol: TSM							
02/08/13	5,000	91.90	02/08/10	48.44	0.00	43.46	Sale
11/27/13	19,000	334.01	02/08/10	184.06	0.00	149.95	Sale
	Security total:	425.91		232.50	0.00	193.41	
TIM PARTICIPACOES S A SPONSORED ADR (BRAZIL) / CUSIP: 88708P205 / Symbol: TSU							
02/08/13	2,000	42.75	09/20/11	54.77	0.00	-12.02	Sale
TORO COMPANY / CUSIP: 891092108 / Symbol: TTC							
02/08/13	2,000	89.89	01/06/12	63.46	0.00	26.53	Sale
12/02/13	5,000	309.94	01/06/12	158.64	0.00	151.30	Sale
	Security total:	399.83		222.10	0.00	177.83	
TRACTOR SUPPLY COMPANY / CUSIP: 892356106 / Symbol: TSCO							
02/08/13	2,000	206.79	01/06/12	140.79	0.00	66.00	Sale
IVI INCORPORATED / CUSIP: 902104108 / Symbol: IIVI							
02/08/13	4,000	68.59	01/06/12	73.57	0.00	-4.98	Sale
06/19/13	34,000	560.65	01/06/12	625.36	0.00	-64.71	Sale
	Security total:	629.24		698.93	0.00	-69.69	
TYLER TECHNOLOGIES INCORPORATED / CUSIP: 902252105 / Symbol: TYL							
10/28/13	5,000	486.79	05/02/12	200.18	0.00	286.61	Sale
12/02/13	4,000	407.03	05/02/12	160.14	0.00	246.89	Sale
	Security total:	893.82		360.32	0.00	533.50	
UMPQUA HLDGS CORPORATION / CUSIP: 904214103 / Symbol: UMPQ							
02/08/13	7,000	90.37	01/06/12	88.97	0.00	1.40	Sale
UNITED PARCEL SERVICE INCORPORATED CLASS B / CUSIP: 911312106 / Symbol: UPS							
12/02/13	5,000	511.94	05/18/11	366.35	0.00	145.59	Sale
UNITED THERAPEUTICS CORPORATION DEL / CUSIP: 91307C102 / Symbol: UTHR							
03/28/13	15,000	917.58	01/06/12	735.90	0.00	181.68	Sale

Less commissions

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
UNIVERSAL FST PRODUCTS INCORPORATED / CUSIP: 913543104 / Symbol: UFP1							
02/08/13	3.000	121.10	01/06/12	90.06	0.00	31.04	Sale
06/21/13	19.000	791.23	01/06/12	570.38	0.00	220.85	Sale
Security total:		912.33		660.44	0.00	251.89	
VODAFONE GROUP PLC NEW SPONS ADR NEW / CUSIP: 92857W209 / Symbol: VOD							
02/08/13	3.000	82.79	01/17/12	81.02	0.00	1.77	Sale
05/30/13	14.000	412.01	01/17/12	378.08	0.00	33.93	Sale
Security total:		494.80		459.10	0.00	35.70	
WABTEC CORPORATION / CUSIP: 929740108 / Symbol: WAB							
02/08/13	1.000	95.93	01/06/12	70.24	0.00	25.69	Sale
12/02/13	6.000	417.05	01/06/12	210.70	0.00	206.35	Sale
12/18/13	3.000	218.59	01/06/12	105.35	0.00	114.24	Sale
Security total:		732.57		386.29	0.00	346.28	
WADDELL & REED FINL INCORPORATED CLASS A / CUSIP: 930059100 / Symbol: WDR							
12/02/13	6.000	386.45	01/06/12	156.18	0.00	230.27	Sale
WATERS CORPORATION / CUSIP: 941848103 / Symbol: WAT							
02/08/13	2.000	184.15	01/06/12	148.32	0.00	35.83	Sale
WELLS FARGO & COMPANY NEW / CUSIP: 949746101 / Symbol: WFC							
02/08/13	22.000	767.12	01/19/12	665.91	0.00	101.21	Sale
2 tax lots for 03/18/13.							
03/18/13	11.000	415.03	01/19/12	332.96	0.00	82.07	Sale
	4.000	150.92	02/08/12	121.56	0.00	29.36	Sale
	15.000	565.95	VARIOUS	454.52	0.00	111.43	Total of 2 lots
2 tax lots for 06/24/13							
06/24/13	6.000	238.75	02/08/12	182.34	0.00	56.41	Sale
	6.000	238.74	02/10/12	181.01	0.00	57.73	Sale
	12.000	477.49	VARIOUS	363.35	0.00	114.14	Total of 2 lots
08/16/13	15.000	645.44	02/10/12	452.54	0.00	192.90	Sale
2 tax lots for 12/02/13.							
	1.000	44.33	02/10/12	30.17	0.00	14.16	Sale

Less commissions.



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
WELLS FARGO & COMPANY NEW / CUSIP: 949746101 / Symbol: WFC (cont'd)	16,000	709.26	03/26/12	547.50	0.00	161.76	Sale
12/02/13	17,000	753.59	VARIOUS	577.67	0.00	175.92	Total of 2 lots
Security total:		3,209.59		2,513.99	0.00	695.60	
WELLS FARGO ADVANTAGE ASSET ALLOCATION FUND ADMIN CL NL / CUSIP: 94985D418 / Symbol: EAIFX	414,046	5,655.86	01/08/12	4,980.87	0.00	674.89	Sale
04/28/13							
WESTPAC BKG CORPORATION SPONSORED ADR (AUSTRALIA) / CUSIP: 861214301 / Symbol: WBK	1,000	144.99	02/08/10	100.74	0.00	44.25	Sale
02/08/13							
ZEBRA TECHNOLOGIES CORPORATION CLASS A / CUSIP: 989207105 / Symbol: ZBRA	4,000	176.11	01/06/12	141.33	0.00	34.78	Sale
02/08/13							
12/02/13	6,000	313.01	01/06/12	211.99	0.00	101.02	Sale
Security total:		489.12		353.32	0.00	135.80	
TE CONNECTIVITY LIMITED REG SHS (SWITZERLAND) / CUSIP: H84989104 / Symbol: TEL	10,000	519.30	09/13/12	360.98	0.00	158.32	Sale
09/27/13							
ASML HOLDING N V N Y REGISTRY SHS (NETHERLANDS) / CUSIP: N07059210 / Symbol: ASML	1,000	75.08	08/11/11	29.31	0.00	45.77	Sale
02/08/13							
04/09/13	9,000	602.97	08/11/11	263.74	0.00	339.23	Sale
Security total:		678.05		293.05	0.00	385.00	
Totals:		198,550.26		168,727.41	0.00	29,822.85	

UNDETERMINED-TERM TRANSACTIONS NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.

Report on Form 8949, Use either Part I with Box C checked or Part II with Box F checked.

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
SIEMENS A G SPONSORED ADR (GERMANY) / CUSIP: 828197501 / Symbol: SI	0,000	165.33	N/A	...	...	...	Gross proceeds
07/22/13							

Less commissions.