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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE TRUST % C/O WITHUMSMITHBROWN PC		<b>A Employer identification number</b>  13-7061296	
Number and street (or P O box number if mail is not delivered to street address) C/O WITHUMSMITHBROWN PC 1411 BROA 1411 BROADWAY Suite 9TH FL		<b>B Telephone number</b> (see instructions)  (212) 751-9100	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10018		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$</b> 17,071,637		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,190,125			
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	537,699	537,699		
	4 Dividends and interest from securities. . . . .	141,382	141,111		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	144,693			
	b Gross sales price for all assets on line 6a 3,732,105				
	7 Capital gain net income (from Part IV, line 2) . . .		144,693		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	3,013,899	823,503		
	13 Compensation of officers, directors, trustees, etc	83,388	41,694		41,694
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	27,518	0	0	27,518
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	1,253	1,253		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	26,249	25,499		750
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	138,408	68,446	0	69,962
	25 Contributions, gifts, grants paid . . . . .	1,370,000			1,370,000
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	1,508,408	68,446	0	1,439,962
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	1,505,491			
	b <b>Net investment income</b> (if negative, enter -0-)		755,057		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	383,852	245,951	245,951
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	8,268,632	9,521,339	10,853,938
	b	Investments—corporate stock (attach schedule) . . . . .	3,066,905	3,468,531	4,600,813
	c	Investments—corporate bonds (attach schedule). . . . .	1,213,559	1,202,618	1,370,935
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	12,932,948	14,438,439	17,071,637
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances		<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27	Capital stock, trust principal, or current funds . . . . .	12,932,948	14,438,439	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	12,932,948	14,438,439	
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	12,932,948	14,438,439	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	12,932,948
2	Enter amount from Part I, line 27a . . . . .	2	1,505,491
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	14,438,439
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	14,438,439

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	144,693
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,470,530	15,548,357	0 094578
2011	1,204,870	14,735,934	0 081764
2010	543	11,162	0 048647
2009	0	11,084	0 0
2008	998	10,952	0 091125

2	Total of line 1, column (d). . . . .	2	0 316114
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 063223
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	15,549,229
5	Multiply line 4 by line 3. . . . .	5	983,069
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	7,551
7	Add lines 5 and 6. . . . .	7	990,620
8	Enter qualifying distributions from Part XII, line 4. . . . .  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,439,962

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)					
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	7,551		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)					
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		2			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)					
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				2	
3 Add lines 1 and 2. . . . .				3	7,551
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4			
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	7,551		
6 Credits/Payments		7	26,729		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a			26,729	
b Exempt foreign organizations—tax withheld at source . . . . .	6b				
c Tax paid with application for extension of time to file (Form 8868)	6c				
d Backup withholding erroneously withheld . . . . .	6d				
7 Total credits and payments Add lines 6a through 6d. . . . .		7	26,729		
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	19,178		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 19,178 Refunded		11			

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
	1a		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			No
	1b		No
c Did the foundation file Form 1120-POL for this year? . . . . .	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of CO WITHUMSMITHBROWN PC Telephone no (212) 751-9100 Located at 1411 BROADWAY 9TH FL NEW YORK NY ZIP+4 10018			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		Yes	No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		Yes	No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		Yes	No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		Yes	No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		Yes	No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).		Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
Organizations relying on a current notice regarding disaster assistance check here.				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?		Yes	No
If "Yes," list the years 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes

☒ No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HIRSCHELL LEVINE	TRUSTEE	20,847		
C/O WITHUMSMITHBROWN PC 1411 BROA NEW YORK, NY 10018	0			
KIM BAPTISTE	TRUSTEE	20,847		
919 THIRD AVENUE NEW YORK, NY 10022	0			
KAREN FERBER	TRUSTEE	20,847		
C/O WITHUMSMITHBROWN PC 1411 BROA NEW YORK, NY 10018	0			
FREDERICKA STILMAN	TRUSTEE	20,847		
C/O WITHUMSMITHBROWN PC 1411 BROA NEW YORK, NY 10018	0			

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	15,469,448
b	Average of monthly cash balances. . . . .	1b	316,571
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	15,786,019
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	15,786,019
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	236,790
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	15,549,229
6	Minimum investment return. Enter 5% of line 5. . . . .	6	777,461

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	777,461
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	7,551
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	7,551
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	769,910
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	769,910
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	769,910

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	1,439,962
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,439,962
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	7,551
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	1,432,411
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				769,910
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			0	
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				
b From 2009. . . . .				
c From 2010. . . . .				
d From 2011. . . . .				487,026
e From 2012. . . . .				710,114
f Total of lines 3a through e. . . . .	1,197,140			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,439,962				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2013 distributable amount. . . . .				769,910
e Remaining amount distributed out of corpus	670,052			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,867,192			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	1,867,192			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				487,026
d Excess from 2012. . . .				710,114
e Excess from 2013. . . .				670,052

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a . . . . .					
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	1,370,000
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
FIRST MANHATTAN LT COVERED			
FIRST MANHATTAN LT NONCOVERED			
SAXON WOODS ST COVERED			
SAXON WOODS LT COVERED			
SAXON WOODS LT NONCOVERED			
LCS SECURITIES ST COVERED			
LCS SECURITIES LT COVERED			
LCS SECURITIES LT NONCOVERED			
LCS SECURITIES CASH IN LIEU			
MORGAN STANLEY ST NONCOVERED			
MORGAN STANLEY LT NONCOVERED			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
86,172		60,893	25,279
417,428		279,483	137,945
59,505		62,660	-3,155
108,123		123,809	-15,686
302,798		257,186	45,616
97,839		87,262	10,577
98,553		84,648	13,905
48,370		38,398	9,972
22			22
429,813		457,647	-27,834
2,083,482		2,135,430	-51,948



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			25,279
			137,945
			-3,155
			-15,686
			45,612
			10,577
			13,905
			9,972
			22
			-27,834
			-51,948

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
WESTERN CONNECTICUT HEALTH NETWORK FDN 24 HOSPITAL AVENUE DANBURY,CT 06810	N/A	PUBLIC CHARITY	UNRESTRICTED GRANT	75,000
ALBERT EINSTEIN COLLEGE OF MEDICINE 1300 MORRIS PARK AVENUE BRONX,NY 10461	N/A	PUBLIC CHARITY	RESTRICTED GRANT	400,000
COLUMBIA UNIVERSITY 116TH BROADWAY NEWYORK,NY 10027	N/A	PUBLIC CHARITY	RESTRICTED GRANT	400,000
SOCIETY OF JEWISH SCIENCE 109 EAST 39TH STREET NEWYORK,NY 10016	N/A	PUBLIC CHARITY	UNRESTRICTED GRANT	200,000
MOUNT SINAI SCHOOL OF MEDICINE 1468 MADISON AVENUE NEWYORK,NY 10029	N/A	PUBLIC CHARITY	RESTRICTED GRANT	100,000
FRIENDS OF THE ISRAEL DEFENSE FORCES 1430 BROADWAY NEWYORK,NY 10018	N/A	PUBLIC CHARITY	UNRESTRICTED GRANT	25,000
JEWISH NATIONAL FUND 42 EAST 69TH STREET NEWYORK,NY 10021	N/A	PUBLIC CHARITY	UNRESTRICTED GRANT	70,000
BIRTHRIGHT ISRAEL FOUNDATION 33 E 33RD STREET NEWYORK,NY 10016	N/A	PUBLIC CHARITY	UNRESTRICTED GRANT	20,000
FRIENDS OF YAD SARAH 450 PARK AVENUE 7TH FL NEWYORK,NY 10022	N/A		UNRESTRICTED GRANT	5,000
TEMPLE BETH EL 221 SCHRAALENBURGH RD CLOSTER,NJ 07624	N/A		UNRESTRICTED GRANT	5,000
THE JUILLIARD SCHOOL 60 LINCOLN CENTER PLAZA NEWYORK,NY 100236588	N/A		UNRESTRICTED GRANT	10,000
YOUTH AMERICA GRAND PRIX 115 W 29TH ST SUITE 803 NEWYORK,NY 10001	N/A		UNRESTRICTED GRANT	10,000
CHESS-IN-THE-SCHOOLS 520 EIGHTH AVENUE FLOOR 2 NEWYORK,NY 10018	N/A		UNRESTRICTED GRANT	25,000
NEW YORK RESTORATION PROJECT 254 WEST 31ST STREET 10TH FLOOR NEWYORK,NY 10001	N/A		UNRESTRICTED GRANT	25,000
<b>Total . . . . . ▶ 3a</b>				1,370,000

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a>.</div>	<div>OMB No 1545-0047</div> <div>2013</div>

<div>Name of the organization</div> <div>ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE TRUST</div>	<div>Employer identification number</div> <div>13-7061296</div>
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)( ) (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Part I</b>	<b>Contributors</b> (see instructions) Use duplicate copies of Part I if additional space is needed
---------------	---

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

<b>Name of organization</b> ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE TRUST	<b>Employer identification number</b> 13-7061296
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<b>Part II</b>	<b>Noncash Property</b> (see Instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	See Additional Data Table	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____

<b>Name of organization</b> ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE TRUST	<b>Employer identification number</b> 13-7061296
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Part III

**Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions ) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

Additional Data

Software ID:

Software Version:

EIN: 13-7061296

Name: ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE TRUST

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	27,000 SHARES BLACKROCK ENHANCED GVT FD	\$ <u>385,830</u>	<u>2013-08-05</u>
<u>1</u>	37,700 SHARES COHEN & STEERS CEF OPP FD	\$ <u>487,084</u>	<u>2013-08-05</u>
<u>2</u>	100,000 SHARES OF BERKSHIRE HATH	\$ <u>94,431</u>	<u>2013-11-26</u>
<u>2</u>	25,000 SHARES FHR 3237	\$ <u>26,261</u>	<u>2013-11-26</u>
<u>2</u>	70,000 SHARES FHR 3290 PE	\$ <u>75,845</u>	<u>2013-11-26</u>
<u>2</u>	119,626 SHARES FNMA 13-105 CA	\$ <u>121,866</u>	<u>2013-11-26</u>
<u>2</u>	80,000 SHARES FNR 2005-5 CK	\$ <u>22,988</u>	<u>2013-11-26</u>
<u>2</u>	40,000 SHARES FNR 2007-22 A	\$ <u>18,141</u>	<u>2013-11-26</u>
<u>2</u>	100,000 SHARES FNR 2009-85 LC	\$ <u>82,979</u>	<u>2013-11-26</u>
<u>2</u>	27,000 SHARES FNR 2010-7 PE	\$ <u>29,166</u>	<u>2013-11-26</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>2</u>	100,000 SHARES 2013-58 CB MT	\$ <u>94,790</u>	<u>2013-11-26</u>
<u>2</u>	200,000 SHARES GNMA 11-4 KH	\$ <u>70,543</u>	<u>2013-11-26</u>
<u>2</u>	25,000 SHARES GNR 2008-50 KB	\$ <u>28,239</u>	<u>2013-11-26</u>
<u>2</u>	210,000 SHARES GNR 2008-56 PX	\$ <u>233,023</u>	<u>2013-11-26</u>
<u>2</u>	230,000 SHARES GNR 2008-94 BY	\$ <u>260,096</u>	<u>2013-11-26</u>
<u>2</u>	27,000 SHARES GNR 2009-42 CD	\$ <u>30,513</u>	<u>2013-11-26</u>
<u>2</u>	90,000 SHARES GNR 2009-47 LT	\$ <u>97,130</u>	<u>2013-11-26</u>



TY 2013 Accounting Fees Schedule

**Name:** ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE  
TRUST  
**EIN:** 13-7061296

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	27,518			27,518

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2013 Depreciation Schedule**

**Name:** ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE  
TRUST  
**EIN:** 13-7061296

**TY 2013 Investments Corporate  
Bonds Schedule**

**Name:** ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE  
TRUST

**EIN:** 13-7061296

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	1,202,618	1,370,935

# TY 2013 Investments Corporate Stock Schedule

**Name:** ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE  
TRUST

**EIN:** 13-7061296

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	3,468,531	4,600,813

# **TY 2013 Investments Government Obligations Schedule**

**Name:** ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE  
TRUST

**EIN:** 13-7061296

<b>US Government Securities - End of Year Book Value:</b>	9,188,499
<b>US Government Securities - End of Year Fair Market Value:</b>	10,474,514
<b>State &amp; Local Government Securities - End of Year Book Value:</b>	332,840
<b>State &amp; Local Government Securities - End of Year Fair Market Value:</b>	379,424

**TY 2013 Investments - Land Schedule**

**Name:** ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE  
TRUST

**EIN:** 13-7061296

**TY 2013 Land, Etc. Schedule**

**Name:** ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE  
TRUST

**EIN:** 13-7061296

**TY 2013 Other Expenses Schedule**

**Name:** ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE  
TRUST

**EIN:** 13-7061296

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	25,209	25,209		
PRINTING	290	290		
FILING FEES	750			750



TY 2013 Taxes Schedule

**Name:** ABRAHAM AND MILDRED GOLDSTEIN CHARITABLE  
TRUST  
**EIN:** 13-7061296

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES WITHHELD	1,253	1,253		

F STILLMAN & K FERBER TTEES  
 THE ABRAHAM & MILDRED  
 GOLDSTEIN CRT DTD 10/14/1994  
 1411 BROADWAY 9TH FL  
 NEW YORK NY 10018-3496

10 of 11  
**RECIPIENT'S ACCOUNT NUMBER**  
 978-00011

## Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

### Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0.026	BANCO SANTANDER S A SPONSORED ADR SYMBOL: SAN, CUSIP: 05964H105		02/21/13	02/20/13	0.21	0.20	0.01
16.974	BANCO SANTANDER S A SPONSORED ADR SYMBOL: SAN, CUSIP: 05964H105		11/14/12	02/20/13	131.81	123.69	8.12
300.000	DAVITA HEALTHCARE PARTNERS INC SYMBOL: DVA, CUSIP: 23918K108		01/16/13	07/02/13	34,757.24	33,619.47	1,137.77
600.000	SOUTHERN CO SYMBOL: SO, CUSIP: 842587107		04/29/13	12/04/13	24,615.29	28,916.70	-4,301.41
	<b>Short-Term Subtotal</b>				<b>59,504.55</b>		<b>-3,155.51</b>

### Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
200.000	ALEXANDERS INC SYMBOL: ALX, CUSIP: 014752109 SEE FOOTNOTES: I	<input checked="" type="checkbox"/>	08/14/10	02/20/13	65,793.92	61,355.00	4,438.92
645.000	BANCO SANTANDER S A SPONSORED ADR ORIGINAL COST: 7,819.01 WASH SALE LOSS DISALLOWED: 4.24 SYMBOL: SAN, CUSIP: 05964H105 SEE FOOTNOTES: I	<input checked="" type="checkbox"/>	08/14/10	02/20/13	5,008.95	7,814.77	-2,805.82
0.974	BANCO SANTANDER S A SPONSORED ADR ORIGINAL COST: 7.36 WASH SALE REPLACEMENT ADDITION: 4.24 WASH SALE LOSS DISALLOWED: 0.13 SYMBOL: SAN, CUSIP: 05964H105	<input type="checkbox"/>	02/21/13	05/07/13	7.09	11.47	-4.38
132.000	CARBO CERAMICS INC SYMBOL: CRR, CUSIP: 140781105	<input type="checkbox"/>	11/10/11	02/20/13	11,747.08	19,526.77	-7,779.69

H LEVINE K BAPTISTE  
 F STILLMAN & K FERBER TTEES  
 THE ABRAHAM & MILDRED  
 GOLDSTEIN CRT DTD 10/14/1994  
 1411 BROADWAY 9TH FL  
 NEW YORK NY 10018-3496

PAGE

11 of 11

RECIPIENT'S ACCOUNT NUMBER

978-00011

## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
68.000	CARBO CERAMICS INC SYMBOL CRR, CUSIP: 140781105	<input type="checkbox"/>	11/08/11	02/20/13	6,051.52	10,303.54	-4,252.02
1,000.000	CME GROUP INC SYMBOL CME, CUSIP 12572Q105 SEE FOOTNOTES: I	<input checked="" type="checkbox"/>	08/14/10	03/06/13	62,253.60	49,385.50	12,868.10
1,200.000	FAMILY DOLLAR STORES INC SYMBOL FDO, CUSIP: 307000109	<input type="checkbox"/>	12/27/11	01/03/13	67,517.68	70,527.84	-3,010.16
200.000	GARDNER DENVER INC CUSIP: 365558105	<input type="checkbox"/>	08/03/11	07/31/13	15,200.00	16,499.42	-1,299.42
100.000	GARDNER DENVER INC CUSIP: 365558105	<input type="checkbox"/>	08/08/11	07/31/13	7,600.00	6,940.29	659.71
500.000	PROCTER & GAMBLE CO SYMBOL PG, CUSIP: 742718109 SEE FOOTNOTES: I	<input checked="" type="checkbox"/>	08/14/10	02/20/13	38,531.68	29,976.25	8,555.43
500.000	SIGMA-ALDRICH CORP SYMBOL SIAL, CUSIP: 826552101 SEE FOOTNOTES: I	<input checked="" type="checkbox"/>	08/14/10	02/20/13	38,381.63	26,886.25	11,495.38
500.000	VORNADO REALTY TRUST SYMBOL VNO, CUSIP: 929042109 SEE FOOTNOTES: I	<input checked="" type="checkbox"/>	08/14/10	02/20/13	42,674.54	40,677.50	1,997.04
800.000	WELLPOINT INC SYMBOL WLP, CUSIP: 94973V107 SEE FOOTNOTES: I	<input checked="" type="checkbox"/>	08/14/10	02/20/13	50,153.27	41,086.00	9,067.27
<b>Long-Term Subtotal</b>					<b>410,920.96</b>		<b>29,930.36</b>
<b>Total Gains and Losses</b>					<b>470,425.51</b>		<b>26,774.85</b>
<b>Short-Term</b>							<b>-3,155.51</b>
<b>Long-Term</b>							<b>29,930.36</b>
<b>Term Unknown</b>							<b>0.00</b>

I Tax lot was inherited; reported gain/loss is long-term regardless of actual holding period.

J P MORGAN CLEARING CORP  
OH4-RM00  
P.O. BOX 183211  
COLUMBUS, OH 43218  
FOR UNDELIVERABLE MAIL ONLY

Account No 611-01315  
Account Name THE ABRAHAM & MILDRED  
Taxpayer Identification Number 13-7061296  
Account Executive No 900  
  
CORRECTED 03/01/14

Introducing Firm  
LCS Securities, LLC  
(212) 259-0853

RECIPIENT'S Name, Street Address, City, State, and Zip Code  
THE ABRAHAM & MILDRED  
GOLDSTEIN CHARITABLE TRUST DTD  
10/14/94 H LEVINE K BAPTISTE  
K FERBER & F STILLMAN TRUSTEES  
1411 BROADWAY 9TH FLOOR  
NEW YORK NY 10018-3496

Copy B for recipient

☐ 2nd TIN Notice

Payer's Federal Identification Number 13-3604093  
Payer's Name, Street, City, State, Zip Code.  
J P. MORGAN CLEARING CORP  
3 METROTECH CENTER  
BROOKLYN NY 11245-0001

Telephone Number (212) 272-0495

## 2013 1099 GROSS PROCEEDS

Total Cost, Realized Gain/ (Loss), and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers are ultimately responsible for the accuracy of their tax returns and should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption, or exchange. Where indicated JPMCC does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information, taxpayers may be required to report to federal, state, or other taxing authorities. JPMCC makes no warranties with respect to, and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information.

### FORM 1099-B Totals Summary

Form 8949, Part I or II (Short Term or Long Term)	Proceeds	Cost	REALIZED GAIN/ (LOSS)	Wash Sale Loss Disallowed
Total Noncovered Transaction Proceeds (Box B or Box E Checked)	21.77			
<b>Form 8949, Part I (Short Term)</b>				
Total Covered Short Term Gain/ Loss (Cost Basis Reported to IRS, Box A Checked)	97,839.37	87,261.58	10,577.79	
Total Noncovered Short Term Gain/ Loss (Cost Basis NOT Reported to IRS, Box B Checked)				
<b>Form 8949, Part II (Long Term)</b>				
Total Covered Long Term Gain/ Loss (Cost Basis Reported to IRS, Box D Checked)	98,552.88	84,647.94	13,904.94	
Total Noncovered Long Term Gain/ Loss (Cost Basis NOT Reported to IRS, Box E Checked)	48,370.20	38,397.53	9,972.67	

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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Taxpayer Identification Number 13-7061296  
Account Executive No 900

Introducing Firm  
LCS Securities, LLC  
(212) 259-0853

CORRECTED 03/01/14

**2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)**

**Noncovered Transaction Proceeds - Cost Basis NOT reported to IRS**

**Box 6a: Noncovered Security**

**Box 6b:**

**Box 1c: Type of Gain or Loss:**

Report on Form 8949, Part I or II - as appropriate, with either Box B or Box E checked

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)	(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)	
Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed	REALIZED GAIN / (LOSS)
06/27/13		ZOETIS INC CL A CASH IN LIEU	ZTS 98978V103	0 00000	21.77			0.00		
<b>1 ITEMS - Total</b>					<b>21.77</b>			<b>0.00</b>		

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J P MORGAN CLEARING CORP  
OH4-RM00  
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Account No 611-01315  
Account Name THE ABRAHAM & MILDRED  
Taxpayer Identification Number 13-7061296  
Account Executive No 900  
CORRECTED 03/01/14

Introducing Firm  
LCS Securities, LLC  
(212) 259-0853

**2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)**

**Covered Short Term Gain or Loss - Cost Basis Reported to IRS**

Box 6a:

Box 6b: Basis Report to IRS

Box 1c: Type of Gain or Loss: Short Term

Report on Form 8949, Part I, with Box A checked

\* JPMCC does not report Realized Gain / Loss to IRS and is not responsible for the accuracy of such information.

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)	(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)	
Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed	
10/23/13	09/05/13	AMERICAN ELECTRIC POWER CO INC	AEP 025537101	135 00000	6,234.89	5,716.88	0.00	0.00		518.01
02/25/13	06/14/12	ANADARKO PETROLEUM CORP	APC 032511107	80 00000	6,336.96	5,098.59	0.00	0.00		1,238.37
04/10/13	07/31/12	ANADARKO PETROLEUM CORP	APC 032511107	15 00000	1,284.57	1,051.62	0.00	0.00		232.95
04/10/13	06/14/12	ANADARKO PETROLEUM CORP	APC 032511107	45 00000	3,853.71	2,867.95	0.00	0.00		985.76
04/17/13	01/09/13	***BARRICK GOLD CORP	ABX 067901108	70 00000	1,211.79	2,354.03	0.00	0.00		(1,142.24)
03/01/13	04/05/12	DRESSER RAND GROUP INC	DRC 261608103	85 00000	4,898.49	4,182.94	0.00	0.00		715.55
03/04/13	04/05/12	DRESSER RAND GROUP INC	DRC 261608103	90 00000	5,000.84	4,429.00	0.00	0.00		571.84
10/03/13	03/08/13	E I DU PONT DE NEMOURS & CO	DD 263534109	230 00000	13,289.36	11,305.70	0.00	0.00		1,983.66
01/28/13	09/12/12	INTL FLAVORS & FRAGRANCES INC	IFF 459506101	165 00000	11,592.30	10,054.15	0.00	0.00		1,538.15
07/12/13	03/28/13	MEDTRONIC INC	MDT 585055106	120 00000	6,312.80	5,604.47	0.00	0.00		708.33
08/27/13	06/03/13	QUEST DIAGNOSTICS INC	DGX 74834L100	195 00000	11,378.16	12,126.06	0.00	0.00		(747.90)

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J P MORGAN CLEARING CORP  
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Account No 611-01315  
Account Name THE ABRAHAM & MILDRED  
Taxpayer Identification Number 13-7061296  
Account Executive No 900  
CORRECTED 03/01/14

Introducing Firm  
LCS Securities, LLC  
(212) 259-0853

**2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)**

**Covered Short Term Gain or Loss - Cost Basis Reported to IRS**

Box 6a:

Box 6b: Basis Report to IRS

Box 1c: Type of Gain or Loss: Short Term

Report on Form 8949, Part I, with Box A checked

\* JPMCC does not report Realized Gain / Loss to IRS and is not responsible for the accuracy of such information.

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)	(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)	
Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed	REALIZED GAIN (LOSS)
07/12/13	03/06/13	UNITED PARCEL SVC INC CL B	UPS 911312106	85 00000	7,296 63	7,226 51	0 00	0.00		70 12
05/20/13	12/07/12	WPX ENERGY INC	WPX 98212B103	212 00000	4,117 46	3,342 08	0 00	0.00		775 38
11/07/13	12/07/12	WPX ENERGY INC	WPX 98212B103	425 00000	8,272 44	6,699 91	0 00	0.00		1,572 53
01/07/13	02/27/12	YAHOO INC	YHOO 984332106	270 00000	5,253 13	4,005 69	0 00	0.00		1,247 44
03/01/13	01/31/13	ZOETIS INC CLA	ZTS 98978V103	46 00000	1,505 84	1 196 00	0 00	0.00		309 84
<b>16 ITEMS - Total</b>					<b>97,839.37</b>	<b>87,261.58</b>	<b>0.00</b>	<b>0.00</b>		<b>10,577.79</b>

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

J P MORGAN CLEARING CORP.  
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Taxpayer Identification Number 13-7061296  
Account Executive No 900

Introducing Firm  
LCS Securities, LLC  
(212) 259-0853

CORRECTED 03/01/14

# 2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

## Covered Long Term Gain or Loss - Cost Basis Reported to IRS

Box 6a:

Box 6b: Basis Report to IRS

Box 1c: Type of Gain or Loss: Long Term

Report on Form 8949, Part II, with Box D checked

\* JPMCC does not report Realized Gain / Loss to IRS and is not responsible for the accuracy of such information.

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)	(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)	
Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed	
10/31/13	07/31/12	ANADARKO PETROLEUM CORP	APC 032511107	55 00000	5,138.49	3,855.96	0.00	0.00		1,282.53
12/13/13	07/31/12	ANADARKO PETROLEUM CORP	APC 032511107	5 00000	378.04	350.54	0.00	0.00		27.50
12/13/13	10/31/12	ANADARKO PETROLEUM CORP	APC 032511107	75 00000	5,670.57	5,128.31	0.00	0.00		542.26
03/06/13	04/25/11	FEDEX CORP	FDX 31428X106	10 00000	1,076.90	939.77	0.00	0.00		137.13
03/06/13	05/31/11	FEDEX CORP	FDX 31428X106	55 00000	5,922.96	5,137.07	0.00	0.00		785.89
03/07/13	07/15/11	GOOGLE INC CL A	GOOG 38259P508	6 00000	4,962.84	3,569.19	0.00	0.00		1,393.65
06/24/13	03/17/11	HEWLETT PACKARD CO	HPQ 428236103	125 00000	2,960.76	5,218.24	0.00	0.00		(2,257.48)
03/18/13	08/31/11	METLIFE INC	MET 59156R108	18 00000	705.44	609.99	0.00	0.00		95.45
03/18/13	06/29/11	METLIFE INC	MET 59156R108	112 00000	4,389.44	4,797.63	0.00	0.00		(408.19)
05/15/13	08/31/11	METLIFE INC	MET 59156R108	105 00000	4,405.71	3,558.27	0.00	0.00		847.44
05/23/13	08/31/11	METLIFE INC	MET 59156R108	27 00000	1,121.85	914.99	0.00	0.00		206.86
05/23/13	10/10/11	METLIFE INC	MET 59156R108	118 00000	4,902.88	3,558.70	0.00	0.00		1,344.18

This information is being furnished to the Internal Revenue Service (IRS) as required by law. If you are required to file a return, the IRS determines that it has not been reported. A penalty or other sanction may be imposed on you if this information is not reported.



J P MORGAN CLEARING CORP  
OH4-RM00  
P O BOX 183211  
COLUMBUS, OH 43218  
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Account No 611-01315  
Account Name THE ABRAHAM & MILDRED  
Taxpayer Identification Number 13-7061296  
Account Executive No 900  
CORRECTED 03/01/14

Introducing Firm  
LCS Securities, LLC  
(212) 259-0853

**2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)**

**Covered Long Term Gain or Loss - Cost Basis Reported to IRS**

Box 6a:

Box 6b: Basis Report to IRS

Box 1c: Type of Gain or Loss: Long Term

Report on Form 8949, Part II, with Box D checked

\* JPMCC does not report Realized Gain / Loss to IRS and is not responsible for the accuracy of such information.

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)	(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)
Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed
09/19/13	01/03/12	METLIFE INC	MET 59156R108	28 00000	1,317 95	906 81	0 00	0.00	411 14
09/19/13	10/10/11	METLIFE INC	MET 59156R108	82 00000	3,859 70	2,473 00	0 00	0.00	1,386 70
05/02/13	12/22/11	MERCK & CO INC NEW	MRK 58933Y105	110 00000	4,982 17	4,142 66	0 00	0.00	839 51
06/05/13	04/04/11	MICROSOFT CORP	MSFT 594918104	95 00000	3 285 64	2,429 57	0 00	0.00	856 07
07/19/13	04/04/11	MICROSOFT CORP	MSFT 594918104	185 00000	5,965 74	4,731 27	0 00	0.00	1 234 47
11/07/13	11/11/11	MICROSOFT CORP	MSFT 594918104	60 00000	2,258 03	1,613 39	0 00	0.00	644 64
11/07/13	04/04/11	MICROSOFT CORP	MSFT 594918104	110 00000	4,139 72	2,813.19	0 00	0.00	1,326 53
03/18/13	02/16/11	OMNICOM GROUP INC	OMC 681919106	100 00000	5,883 32	5,016 80	0 00	0.00	866 52
03/26/13	02/13/12	***UNILEVER N V NEW YORK SHS NEW ADR	UN 904784709	125 00000	5 058 44	4,244 63	0 00	0.00	813 81
05/14/13	08/05/11	WPX ENERGY INC	WPX 98212B103	13 00000	233 67	195 11	0 00	0.00	38 56
05/14/13	04/11/11	WPX ENERGY INC	WPX 98212B103	27 00000	485 33	479 76	0 00	0.00	5 57

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

J.P. MORGAN CLEARING CORP  
OH4-RM00  
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Account No 611-01315  
Account Name THE ABRAHAM & MILDRED  
Taxpayer Identification Number 13-7061296  
Account Executive No 900  
CORRECTED 03/01/14

Introducing Firm  
LCS Securities, LLC  
(212) 259-0853

**2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)**

**Covered Long Term Gain or Loss - Cost Basis Reported to IRS**

Box 6a:

Box 6b: Basis Report to IRS

Box 1c: Type of Gain or Loss: Long Term

Report on Form 8949, Part II, with Box D checked

\* JPMCC does not report Realized Gain / Loss to IRS and is not responsible for the accuracy of such information.

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)	(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)	
Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed	
05/14/13	04/11/11	WPX ENERGY INC	WPX 98212B103	62 00000	1,114.46	1,080.91	0.00	0.00		33.55
05/14/13	07/15/11	WPX ENERGY INC	WPX 98212B103	73 00000	1,312.19	1,226.67	0.00	0.00		85.52
05/20/13	08/05/11	WPX ENERGY INC	WPX 98212B103	48 00000	932.25	720.42	0.00	0.00		211.83
03/01/13	02/27/12	YAHOO INC	YHOO 984332106	300 00000	6,473.37	4,450.77	0.00	0.00		2,022.60
04/10/13	06/13/11	XEROX CORP	XRX 984121103	1,080 00000	9,615.02	10,484.32	0.00	0.00		(869.30)
28 ITEMS - Total					98,552.88	84,647.94	0.00	0.00		13,904.94

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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CORRECTED 03/01/14

Introducing Firm  
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**2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)**

**Noncovered Long Term Gain or Loss - Cost Basis NOT Reported to IRS**

Box 6a: Noncovered Security

Box 6b:

Box 1c: Type of Gain or Loss: Long Term

Report on Form 8949, Part II, with Box E checked

\* JPMCC does not report Date of Acquisition, Cost Basis, and Realized Gain / Loss to the IRS for noncovered transactions and is not responsible for the accuracy of such information. Wash Sales are not calculated for noncovered transactions.

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)	(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)
Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed REALIZED GAIN / (LOSS)
06/24/13	12/01/10	HEWLETT PACKARD CO	HPQ 428236103	165 00000	3,908.21	7,049.54	0.00	0.00	(3,141.33)
04/19/13	10/05/09	INTERNATIONAL BUSINESS MACHINES CORP	IBM 459200101	30 00000	5,777.19	3,574.16	0.00	0.00	2,203.03
03/15/13	12/01/10	LEGG MASON INC	LM 524901105	150 00000	4,765.48	5,031.40	0.00	0.00	(265.92)
04/11/13	06/16/06	MICROSOFT CORP	MSFT 594918104	290 00000	8,379.00	6,374.25	0.00	0.00	2,004.75
06/05/13	06/16/06	MICROSOFT CORP	MSFT 594918104	80 00000	2,766.85	1,758.42	0.00	0.00	1,008.43
03/18/13	12/28/10	OMNICOM GROUP INC	OMC 681919106	10 00000	588.33	463.51	0.00	0.00	124.82
05/14/13	03/30/06	WPX ENERGY INC	WPX 98212B103	48 00000	862.81	571.14	0.00	0.00	291.67
05/14/13	06/17/10	WPX ENERGY INC	WPX 98212B103	92 00000	1,653.71	1,056.51	0.00	0.00	597.20

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**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

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NEW YORK NY 10018-3496

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Taxpayer ID Number 13-5266470  
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**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS**

**OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

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FHR 2728 DC 6000 34JARG	CUSIP: 31394P4P5 Symbol (Box 1d):								
	3,665 710	03/21/13	04/15/13	\$3,665 71	\$4,056 84	\$0 00	(\$391 13)	\$0.00	PP
	4,707 550	03/21/13	05/15/13	\$4,707 55	\$5,209 85	\$0 00	(\$502 30)	\$0 00	PP
	3,344 680	03/21/13	06/15/13	\$3,344 68	\$3,701 56	\$0 00	(\$356 88)	\$0 00	PP
	5,636 240	03/21/13	07/15/13	\$5,636 24	\$6,237 63	\$0 00	(\$601 39)	\$0 00	PP
	1,047 010	03/21/13	08/15/13	\$1,047 01	\$1,158 73	\$0 00	(\$111 72)	\$0 00	PP
	1,892 610	03/21/13	09/15/13	\$1,892 61	\$2,094 55	\$0 00	(\$201 94)	\$0 00	PP
	1,425 290	03/21/13	10/15/13	\$1,425 29	\$1,577 37	\$0 00	(\$152 08)	\$0 00	PP
	5,414 950	03/21/13	11/15/13	\$5,414 95	\$5,992 73	\$0 00	(\$577 78)	\$0 00	PP
	1,049 480	03/21/13	12/15/13	\$1,049 48	\$1,161 46	\$0 00	(\$111 98)	\$0 00	PP
<b>Security Subtotal</b>	<b>28,183.520</b>			<b>\$28,183.52</b>	<b>\$31,190.72</b>	<b>\$0.00</b>	<b>(\$3,007.20)</b>	<b>\$0.00</b>	
FHR 3113 GG 5500 36FBRG	CUSIP: 31396HDP9 Symbol (Box 1d):								
	2,000 000	05/30/13	07/11/13	\$2,000 00	\$2,172 60	\$0 00	(\$172 60)	\$0.00	
	2,000 000	05/30/13	08/16/13	\$2,000 00	\$2,172 60	\$0 00	(\$172 60)	\$0 00	
	2,000 000	05/30/13	09/12/13	\$2,000 00	\$2,172 60	\$0 00	(\$172 60)	\$0 00	
	1,000 000	05/30/13	10/11/13	\$1,000 00	\$1,086 30	\$0 00	(\$86 30)	\$0 00	
	1,000 000	05/30/13	11/11/13	\$1,000 00	\$1,086 30	\$0 00	(\$86 30)	\$0 00	
<b>Security Subtotal</b>	<b>8,000.000</b>			<b>\$8,000.00</b>	<b>\$8,690.40</b>	<b>\$0.00</b>	<b>(\$690.40)</b>	<b>\$0.00</b>	
FHR 3290 PE 5500 37MHRG	CUSIP: 31397FQV7 Symbol (Box 1d):								
	1 901 270	01/18/13	12/15/13	\$1 901 27	\$2,162.31	\$0 00	(\$261 04)	\$0 00	PP
FHR 3745 HP 4000 40OCRG	CUSIP: 3137A23N9 Symbol (Box 1d):								
	1 095 220	11/20/13	12/15/13	\$1,095.22	\$1,124.65	\$0 00	(\$29 43)	\$0 00	PP

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

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FHR 4011 DA 4000 41SPRG		CUSIP: 3137AMXJ1	Symbol (Box 1d):						
	26,580.250	04/18/13	05/15/13	\$26,580.25	\$28,806.35	\$0.00	(\$2,226.10)	\$0.00	PP
	33,580.890	04/18/13	06/15/13	\$33,580.89	\$36,393.29	\$0.00	(\$2,812.40)	\$0.00	PP
	27,806.330	04/18/13	07/15/13	\$27,806.33	\$30,135.11	\$0.00	(\$2,328.78)	\$0.00	PP
	17,526.860	04/18/13	08/15/13	\$17,526.86	\$18,994.73	\$0.00	(\$1,467.87)	\$0.00	PP
	9,355.780	04/18/13	09/15/13	\$9,355.78	\$10,139.33	\$0.00	(\$783.55)	\$0.00	PP
	6,577.170	04/18/13	10/15/13	\$6,577.17	\$7,128.01	\$0.00	(\$550.84)	\$0.00	PP
	4,799.670	04/18/13	11/15/13	\$4,799.67	\$5,201.64	\$0.00	(\$401.97)	\$0.00	PP
	6,916.500	04/18/13	12/15/13	\$6,916.50	\$7,495.76	\$0.00	(\$579.26)	\$0.00	PP
<b>Security Subtotal</b>	<b>133,143.450</b>			<b>\$133,143.45</b>	<b>\$144,294.22</b>	<b>\$0.00</b>	<b>(\$11,150.77)</b>	<b>\$0.00</b>	
FHR 4091 LG 3500 41OCRG		CUSIP: 3137ATFC1	Symbol (Box 1d):						
	3,409.360	09/19/12	01/15/13	\$3,409.36	\$3,528.69	\$0.00	(\$119.33)	\$0.00	PP
	4,677.230	09/19/12	02/15/13	\$4,677.23	\$4,840.93	\$0.00	(\$163.70)	\$0.00	PP
	2,043.000	09/19/12	04/15/13	\$2,043.00	\$2,114.51	\$0.00	(\$71.51)	\$0.00	PP
	2,506.170	09/19/12	05/15/13	\$2,506.17	\$2,593.89	\$0.00	(\$87.72)	\$0.00	PP
	6,177.000	09/19/12	06/15/13	\$6,177.00	\$6,393.20	\$0.00	(\$216.20)	\$0.00	PP
	2,758.720	09/19/12	07/15/13	\$2,758.72	\$2,855.28	\$0.00	(\$96.56)	\$0.00	PP
	9,084.400	09/19/12	08/15/13	\$9,084.40	\$9,402.35	\$0.00	(\$317.95)	\$0.00	PP
	6,019.150	09/19/12	09/15/13	\$6,019.15	\$6,229.82	\$0.00	(\$210.67)	\$0.00	PP
<b>Security Subtotal</b>	<b>36,675.030</b>			<b>\$36,675.03</b>	<b>\$37,958.67</b>	<b>\$0.00</b>	<b>(\$1,283.64)</b>	<b>\$0.00</b>	
FHR 4094 EJ 4000 42JLRG		CUSIP: 3137ASVA9	Symbol (Box 1d):						
	1,042.160	08/21/12	01/15/13	\$1,042.16	\$1,102.87	\$0.00	(\$60.71)	\$0.00	PP
	1,212.690	08/21/12	02/15/13	\$1,212.69	\$1,283.33	\$0.00	(\$70.64)	\$0.00	PP
	1,381.760	08/21/12	03/15/13	\$1,381.76	\$1,462.25	\$0.00	(\$80.49)	\$0.00	PP

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

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## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Noncovered Securities** \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)  
(Continued)

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FHR 4094 EJ 4000 42JLRG	1,549.140	08/21/12	04/15/13	\$1,549.14	\$1,639.38	\$0.00	(\$90.24)	\$0.00	PP
	1,714.610	08/21/12	05/15/13	\$1,714.61	\$1,814.49	\$0.00	(\$99.88)	\$0.00	PP
	1,877.990	08/21/12	06/15/13	\$1,877.99	\$1,987.38	\$0.00	(\$109.39)	\$0.00	PP
	2,039.030	08/21/12	07/15/13	\$2,039.03	\$2,157.80	\$0.00	(\$118.77)	\$0.00	PP
	2,197.550	08/21/12	08/15/13	\$2,197.55	\$2,325.56	\$0.00	(\$128.01)	\$0.00	PP
<b>Security Subtotal</b>	<b>13,014.930</b>			<b>\$13,014.93</b>	<b>\$13,773.06</b>	<b>\$0.00</b>	<b>(\$758.13)</b>	<b>\$0.00</b>	
FNMA 13-105 CA 3500 43SPRG		CUSIP: 3136AGPS5 Symbol (Box 1d):							
	5,760.090	08/26/13	10/25/13	\$5,760.09	\$5,824.03	\$0.00	(\$63.94)	\$0.00	PP
	4,322.745	08/26/13	11/25/13	\$4,322.75	\$4,370.73	\$0.00	(\$47.98)	\$0.00	PP
	1,477.464	08/26/13	11/25/13	\$1,477.46	\$1,493.86	\$0.00	(\$16.40)	\$0.00	PP
	5,838.349	08/26/13	12/25/13	\$5,838.35	\$5,903.15	\$0.00	(\$64.80)	\$0.00	PP
	1,995.480	08/26/13	12/25/13	\$1,995.48	\$2,017.63	\$0.00	(\$22.15)	\$0.00	PP
<b>Security Subtotal</b>	<b>19,394.128</b>			<b>\$19,394.13</b>	<b>\$19,609.40</b>	<b>\$0.00</b>	<b>(\$215.27)</b>	<b>\$0.00</b>	
FNR 2010-150 PG 4500 40OCRG		CUSIP: 31397QEC8 Symbol (Box 1d):							
	2,107.580	09/17/13	10/25/13	\$2,107.58	\$2,273.34	\$0.00	(\$165.76)	\$0.00	PP
	2,072.390	09/17/13	11/25/13	\$2,072.39	\$2,235.38	\$0.00	(\$162.99)	\$0.00	PP
	1,139.910	09/17/13	12/25/13	\$1,139.91	\$1,229.56	\$0.00	(\$89.65)	\$0.00	PP
<b>Security Subtotal</b>	<b>5,319.890</b>			<b>\$5,319.88</b>	<b>\$5,738.28</b>	<b>\$0.00</b>	<b>(\$418.40)</b>	<b>\$0.00</b>	
FNR 2010-9 KD 4500 40JARG		CUSIP: 31398MCL8 Symbol (Box 1d):							
	852.980	05/30/13	07/25/13	\$852.98	\$922.24	\$0.00	(\$69.26)	\$0.00	PP
	840.600	05/30/13	08/25/13	\$840.60	\$908.86	\$0.00	(\$68.26)	\$0.00	PP
	936.630	05/30/13	09/25/13	\$936.63	\$1,012.68	\$0.00	(\$76.05)	\$0.00	PP
	1,138.750	05/30/13	10/25/13	\$1,138.75	\$1,231.22	\$0.00	(\$92.47)	\$0.00	PP

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**OMB NO. 1545-0715**

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FNR 2010-9 KD 4500 40JARG	1,037.440	05/30/13	11/25/13	\$1,037.44	\$1,121.68	\$0.00	(\$84.24)	\$0.00	PP
	841.740	05/30/13	12/25/13	\$841.74	\$910.09	\$0.00	(\$68.35)	\$0.00	PP
<b>Security Subtotal</b>	<b>5,648.140</b>			<b>\$5,648.14</b>	<b>\$6,106.77</b>	<b>\$0.00</b>	<b>(\$458.63)</b>	<b>\$0.00</b>	
FNR 2012-16 K 4000 41OCRG	CUSIP: 3136A4QV4 Symbol (Box 1d):								
	6,175.710	06/29/12	01/25/13	\$6,175.71	\$6,566.94	\$0.00	(\$391.23)	\$0.00	PP
	6,658.180	06/29/12	02/25/13	\$6,658.18	\$7,079.98	\$0.00	(\$421.80)	\$0.00	PP
	4,183.740	06/29/12	03/25/13	\$4,183.74	\$4,448.78	\$0.00	(\$265.04)	\$0.00	PP
	4,625.580	06/29/12	04/25/13	\$4,625.58	\$4,918.61	\$0.00	(\$293.03)	\$0.00	PP
	4,673.840	06/29/12	05/25/13	\$4,673.84	\$4,969.93	\$0.00	(\$296.09)	\$0.00	PP
	3,423.110	06/29/12	06/25/13	\$3,423.11	\$3,639.96	\$0.00	(\$216.85)	\$0.00	PP
<b>Security Subtotal</b>	<b>29,740.160</b>			<b>\$29,740.16</b>	<b>\$31,624.20</b>	<b>\$0.00</b>	<b>(\$1,884.04)</b>	<b>\$0.00</b>	
FNR 2013-58 CB MT 3000 43JNRG	CUSIP: 3136AEJK4 Symbol (Box 1d):								
	275.360	07/23/13	08/25/13	\$275.36	\$272.44	\$0.00	\$2.92	\$0.00	PP
	366.690	07/23/13	09/25/13	\$366.69	\$362.80	\$0.00	\$3.89	\$0.00	PP
	457.600	07/23/13	10/25/13	\$457.60	\$452.75	\$0.00	\$4.85	\$0.00	PP
	260.938	07/16/13	11/25/13	\$260.94	\$257.84	\$0.00	\$3.10	\$0.00	PP
	287.031	07/23/13	11/25/13	\$287.03	\$283.99	\$0.00	\$3.04	\$0.00	PP
	579.709	07/16/13	12/25/13	\$579.71	\$572.82	\$0.00	\$6.89	\$0.00	PP
	637.680	07/23/13	12/25/13	\$637.68	\$630.92	\$0.00	\$6.76	\$0.00	PP
<b>Security Subtotal</b>	<b>2,865.008</b>			<b>\$2,865.01</b>	<b>\$2,833.56</b>	<b>\$0.00</b>	<b>\$31.45</b>	<b>\$0.00</b>	
GNMA 12-50 CE 3500 40AURG	CUSIP: 38375CFX3 Symbol (Box 1d):								
	16,966.720	04/22/13	08/20/13	\$16,966.72	\$17,262.79	\$0.00	(\$296.07)	\$0.00	PP
	27,798.870	04/22/13	09/20/13	\$27,798.87	\$28,283.96	\$0.00	(\$485.09)	\$0.00	PP
	7,522.320	04/22/13	10/20/13	\$7,522.32	\$7,653.58	\$0.00	(\$131.26)	\$0.00	PP

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GNMA 12-50 CE 3500 40AURG	12,227.580	04/22/13	11/20/13	\$12,227.58	\$12,440.95	\$0.00	(\$213.37)	\$0.00	PP
	10,794.250	04/22/13	12/20/13	\$10,794.25	\$10,982.61	\$0.00	(\$188.36)	\$0.00	PP
<b>Security Subtotal</b>	<b>75,309.740</b>			<b>\$75,309.74</b>	<b>\$76,623.89</b>	<b>\$0.00</b>	<b>(\$1,314.15)</b>	<b>\$0.00</b>	
GNR 2008-1 LB 5500 38JARG		CUSIP: 38375PCH2		Symbol (Box 1d):					
	3,349.350	10/17/12	01/20/13	\$3,349.35	\$3,766.51	\$0.00	(\$417.16)	\$0.00	PP
	3,643.030	10/17/12	02/20/13	\$3,643.03	\$4,096.77	\$0.00	(\$453.74)	\$0.00	PP
	2,909.130	10/17/12	03/20/13	\$2,909.13	\$3,271.46	\$0.00	(\$362.33)	\$0.00	PP
	2,759.820	10/17/12	04/20/13	\$2,759.82	\$3,103.56	\$0.00	(\$343.74)	\$0.00	PP
	3,295.100	10/17/12	05/20/13	\$3,295.10	\$3,705.50	\$0.00	(\$410.40)	\$0.00	PP
	2,946.200	10/17/12	06/20/13	\$2,946.20	\$3,313.15	\$0.00	(\$366.95)	\$0.00	PP
	2,540.860	10/17/12	07/20/13	\$2,540.86	\$2,857.32	\$0.00	(\$316.46)	\$0.00	PP
	2,976.850	10/17/12	08/20/13	\$2,976.85	\$3,347.62	\$0.00	(\$370.77)	\$0.00	PP
	2,537.530	10/17/12	09/20/13	\$2,537.53	\$2,853.58	\$0.00	(\$316.05)	\$0.00	PP
<b>Security Subtotal</b>	<b>26,957.870</b>			<b>\$26,957.87</b>	<b>\$30,315.47</b>	<b>\$0.00</b>	<b>(\$3,357.60)</b>	<b>\$0.00</b>	
GNR 2011 46 LQ 4000 41MHRG		CUSIP: 38377QD87		Symbol (Box 1d):					
	6,138.520	05/20/13	06/16/13	\$6,138.52	\$6,652.62	\$0.00	(\$514.10)	\$0.00	PP
	5,013.660	05/20/13	07/16/13	\$5,013.66	\$5,433.55	\$0.00	(\$419.89)	\$0.00	PP
	5,320.940	05/20/13	08/16/13	\$5,320.94	\$5,766.57	\$0.00	(\$445.63)	\$0.00	PP
	4,400.070	05/20/13	09/16/13	\$4,400.07	\$4,768.58	\$0.00	(\$368.51)	\$0.00	PP
	4,870.410	05/20/13	10/16/13	\$4,870.41	\$5,278.31	\$0.00	(\$407.90)	\$0.00	PP
	2,599.700	05/20/13	11/16/13	\$2,599.70	\$2,817.42	\$0.00	(\$217.72)	\$0.00	PP
	2,162.900	05/20/13	12/16/13	\$2,162.90	\$2,344.04	\$0.00	(\$181.14)	\$0.00	PP
<b>Security Subtotal</b>	<b>30,506.200</b>			<b>\$30,506.20</b>	<b>\$33,061.09</b>	<b>\$0.00</b>	<b>(\$2,554.89)</b>	<b>\$0.00</b>	

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**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

ABRAHAM & MILDRED GOLDSTEIN  
 CHARITABLE TRUST DTD 10/14/94  
 HL KP FS & KF CO-TTEES  
 1411 BROADWAY 9TH FL  
 NEW YORK NY 10018-3496

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004  
 Identification Number: 26-4310632

Taxpayer ID Number: 13-5266470  
 Account Number: 615 113684 160

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Short Term - Noncovered Securities** \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)  
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GNR 2012-69 HC 4000 *42MYRG									
		CUSIP: 38378EPE7		Symbol (Box 1d):					
	2,080.570	05/15/12	01/16/13	\$2,080.57	\$2,163.79	\$0.00	(\$83.22)	\$0.00	PP
	3,517.430	05/15/12	02/16/13	\$3,517.43	\$3,658.13	\$0.00	(\$140.70)	\$0.00	PP
	3,985.070	05/15/12	03/16/13	\$3,985.07	\$4,144.47	\$0.00	(\$159.40)	\$0.00	PP
	2,475.180	05/15/12	04/16/13	\$2,475.18	\$2,574.19	\$0.00	(\$99.01)	\$0.00	PP
<b>Security Subtotal</b>	<b>12,058.250</b>			<b>\$12,058.25</b>	<b>\$12,540.58</b>	<b>\$0.00</b>	<b>(\$482.33)</b>	<b>\$0.00</b>	
<b>Total Short Term Noncovered Securities</b>				<b>\$429,812.80</b>	<b>\$457,647.27</b>	<b>\$0.00</b>	<b>(\$27,834.47)</b>	<b>\$0.00</b>	

**IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS**

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## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

ABRAHAM & MILDRED GOLDSTEIN  
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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BAFC 2004-03 1A8 5500 *34OCRG	CUSIP: 05946XGW7 Symbol (Box 1d):								
	1,742.490	08/06/08	01/25/13	\$1,742.49	\$1,481.32	\$0.00	\$261.17	\$0.00	PP
	1,400.610	08/06/08	02/25/13	\$1,400.61	\$1,190.68	\$0.00	\$209.93	\$0.00	PP
	1,107.130	08/06/08	03/25/13	\$1,107.13	\$941.19	\$0.00	\$165.94	\$0.00	PP
	2,620.440	08/06/08	04/25/13	\$2,620.44	\$2,227.67	\$0.00	\$392.77	\$0.00	PP
	2,295.970	08/06/08	05/25/13	\$2,295.97	\$1,951.84	\$0.00	\$344.13	\$0.00	PP
	884.560	08/06/08	06/25/13	\$884.56	\$751.98	\$0.00	\$132.58	\$0.00	PP
	465.940	08/06/08	07/25/13	\$465.94	\$396.10	\$0.00	\$69.84	\$0.00	PP
	3,199.330	08/06/08	08/25/13	\$3,199.33	\$2,719.80	\$0.00	\$479.53	\$0.00	PP
	1,326.940	08/06/08	09/25/13	\$1,326.94	\$1,128.05	\$0.00	\$198.89	\$0.00	PP
	408.210	08/06/08	10/25/13	\$408.21	\$347.03	\$0.00	\$61.18	\$0.00	PP
	520.240	08/06/08	11/25/13	\$520.24	\$442.26	\$0.00	\$77.98	\$0.00	PP
	983.080	08/06/08	12/25/13	\$983.08	\$835.73	\$0.00	\$147.35	\$0.00	PP
Security Subtotal	16,954.940			\$16,954.94	\$14,413.65	\$0.00	\$2,541.29	\$0.00	
BAFC 2005-3 1A9 5250 *35JNRG	CUSIP: 05946XVR1 Symbol (Box 1d):								
	1,674.190	10/31/07	01/25/13	\$1,674.19	\$1,502.59	\$0.00	\$171.60	\$0.00	PP
	5,406.700	10/31/07	02/25/13	\$5,406.70	\$4,852.52	\$0.00	\$554.18	\$0.00	PP
	846.600	10/31/07	03/25/13	\$846.60	\$759.83	\$0.00	\$86.77	\$0.00	PP
	4,062.100	10/31/07	04/25/13	\$4,062.10	\$3,645.74	\$0.00	\$416.36	\$0.00	PP
	1,358.890	10/31/07	05/25/13	\$1,358.89	\$1,219.61	\$0.00	\$139.28	\$0.00	PP
	2,308.180	10/31/07	06/25/13	\$2,308.18	\$2,071.60	\$0.00	\$236.58	\$0.00	PP
	2,237.560	10/31/07	07/25/13	\$2,237.56	\$2,008.21	\$0.00	\$229.35	\$0.00	PP
	1,643.800	10/31/07	08/25/13	\$1,643.80	\$1,475.31	\$0.00	\$168.49	\$0.00	PP
	1,221.330	10/31/07	09/25/13	\$1,221.33	\$1,096.15	\$0.00	\$125.18	\$0.00	PP
	845.450	10/31/07	10/25/13	\$845.45	\$758.79	\$0.00	\$86.66	\$0.00	PP

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BAFC 2005-3 1A9 5250 *35JNRG	934.370	10/31/07	11/25/13	\$934.37	\$838.60	\$0.00	\$95.77	\$0.00	PP
	655.050	10/31/07	12/25/13	\$655.05	\$587.91	\$0.00	\$67.14	\$0.00	PP
<b>Security Subtotal</b>	<b>23,194.220</b>			<b>\$23,194.22</b>	<b>\$20,816.86</b>	<b>\$0.00</b>	<b>\$2,377.36</b>	<b>\$0.00</b>	
BOAMS 2005-12 A1 5750 *36JARG	CUSIP: 05949CPX8 Symbol (Box 1d):								
	1,000.000	08/14/10	07/29/13	\$1,000.00	\$924.51	\$0.00	\$75.49	\$0.00	
	1,000.000	08/14/10	10/29/13	\$1,000.00	\$924.51	\$0.00	\$75.49	\$0.00	
<b>Security Subtotal</b>	<b>2,000.000</b>			<b>\$2,000.00</b>	<b>\$1,849.02</b>	<b>\$0.00</b>	<b>\$150.98</b>	<b>\$0.00</b>	
CSFB 2003 23 1A11 6000 *33OCRG	CUSIP: 22541QVP4 Symbol (Box 1d):								
	557.130		01/25/13	\$557.13	NOT ON FILE			\$0.00	PP
	8,893.890		05/25/13	\$8,893.89	NOT ON FILE			\$0.00	PP
	565.830		06/25/13	\$565.83	NOT ON FILE			\$0.00	PP
	5,310.340		07/25/13	\$5,310.34	NOT ON FILE			\$0.00	PP
	1,613.550		08/25/13	\$1,613.55	NOT ON FILE			\$0.00	PP
	1,876.780		09/25/13	\$1,876.78	NOT ON FILE			\$0.00	PP
	4,986.850		11/25/13	\$4,986.86	NOT ON FILE			\$0.00	PP
<b>Security Subtotal</b>	<b>23,804.380</b>			<b>\$23,804.38</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
CWALT 06-1SCB A32 6500 *36AURG	CUSIP: 02147QBH4 Symbol (Box 1d):								
	565.684	09/19/06	01/25/13	\$565.68	\$562.22	\$0.00	\$3.46	\$0.00	PP
	905.095	09/20/06	01/25/13	\$905.10	\$899.54	\$0.00	\$5.56	\$0.00	PP
	891.173	09/19/06	02/25/13	\$891.17	\$885.71	\$0.00	\$5.46	\$0.00	PP
	1,425.876	09/20/06	02/25/13	\$1,425.88	\$1,417.13	\$0.00	\$8.75	\$0.00	PP
	669.288	09/19/06	03/25/13	\$669.29	\$665.19	\$0.00	\$4.10	\$0.00	PP
	1,070.861	09/20/06	03/25/13	\$1,070.86	\$1,064.29	\$0.00	\$6.57	\$0.00	PP
	694.703	09/19/06	04/25/13	\$694.70	\$690.45	\$0.00	\$4.25	\$0.00	PP

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

**1099 Consolidated Tax Statement**  
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 HL KP FS & KF CO-TTEES  
 1411 BROADWAY 9TH FL  
 NEW YORK NY 10018-3496

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CWALT 06-19CB A32 6500 *36AURG	1,111 526	09/20/06	04/25/13	\$1,111 53	\$1,104.71	\$0 00	\$6 82	\$0 00	PP
	776 400	09/19/06	05/25/13	\$776.40	\$771 64	\$0 00	\$4.76	\$0 00	PP
	1,242.240	09/20/06	05/25/13	\$1,242 24	\$1,234.62	\$0 00	\$7 62	\$0 00	PP
	965 523	09/19/06	06/25/13	\$965 52	\$959.61	\$0 00	\$5 91	\$0 00	PP
	1,544 836	09/20/06	06/25/13	\$1,544.84	\$1,535.36	\$0 00	\$9 48	\$0.00	PP
	890 715	09/19/06	07/25/13	\$890.72	\$885 26	\$0 00	\$5 46	\$0 00	PP
	1,425.144	09/20/06	07/25/13	\$1,425 14	\$1,416 40	\$0 00	\$8 74	\$0 00	PP
	1 237 753	09/19/06	08/25/13	\$1,237 75	\$1,230 17	\$0 00	\$7 58	\$0 00	PP
	1,980 406	09/20/06	08/25/13	\$1,980.41	\$1,968 26	\$0 00	\$12.15	\$0 00	PP
	737 053	09/19/06	09/25/13	\$737.05	\$732 54	\$0.00	\$4 51	\$0 00	PP
	1,179 286	09/20/06	09/25/13	\$1,179.29	\$1,172.05	\$0.00	\$7 24	\$0.00	PP
	932.415	09/19/06	10/25/13	\$932 42	\$926.70	\$0 00	\$5 72	\$0 00	PP
	1,491 864	09/20/06	10/25/13	\$1,491 86	\$1,482 71	\$0 00	\$9 15	\$0 00	PP
	934 196	09/19/06	11/25/13	\$934 20	\$928 47	\$0.00	\$5.73	\$0 00	PP
	1 494 713	09/20/06	11/25/13	\$1,494 71	\$1,485 54	\$0 00	\$9 17	\$0 00	PP
	787 403	09/19/06	12/25/13	\$787.40	\$782 58	\$0 00	\$4 82	\$0 00	PP
	1,259 846	09/20/06	12/25/13	\$1,259 85	\$1,252.12	\$0 00	\$7.73	\$0.00	PP
<b>Security Subtotal</b>	<b>26,213.999</b>			<b>\$26,214.01</b>	<b>\$26,053.27</b>	<b>\$0 00</b>	<b>\$160.74</b>	<b>\$0.00</b>	
CWALT 06-31CB A7 6000 *36NVRG									
		CUSIP: 02148HAG6	Symbol (Box 1d):						
	716 580	05/14/10	01/25/13	\$716 58	\$373 00	\$0 00	\$343 58	\$0 00	PP
	533 880	05/14/10	02/25/13	\$533 88	\$277 90	\$0 00	\$255 98	\$0 00	PP
	552 720	05/14/10	03/25/13	\$552 72	\$287 71	\$0 00	\$265 01	\$0 00	PP
	545 020	05/14/10	04/25/13	\$545 02	\$283 70	\$0 00	\$261 32	\$0 00	PP
	1 056 880	05/14/10	05/25/13	\$1,056 88	\$550 14	\$0 00	\$506 74	\$0.00	PP

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CWALT 06-31CB A7 6000 *36NVRG	539.260	05/14/10	06/25/13	\$539.26	\$280.70	\$0.00	\$258.56	\$0.00	PP
	356.160	05/14/10	07/25/13	\$356.16	\$185.39	\$0.00	\$170.77	\$0.00	PP
	892.520	05/14/10	08/25/13	\$892.52	\$464.58	\$0.00	\$427.94	\$0.00	PP
	690.990	05/14/10	09/25/13	\$690.99	\$359.68	\$0.00	\$331.31	\$0.00	PP
	642.530	05/14/10	10/25/13	\$642.53	\$334.46	\$0.00	\$308.07	\$0.00	PP
	714.110	05/14/10	11/25/13	\$714.11	\$371.72	\$0.00	\$342.39	\$0.00	PP
	608.840	05/14/10	12/25/13	\$608.84	\$316.92	\$0.00	\$291.92	\$0.00	PP
<b>Security Subtotal</b>	<b>7,849.490</b>			<b>\$7,849.49</b>	<b>\$4,085.90</b>	<b>\$0.00</b>	<b>\$3,763.59</b>	<b>\$0.00</b>	
CWALT 06-39CB 1A5 6000 *37JARG		CUSIP: 02148JAE7	Symbol (Box 1d):						
	1,604.680		01/25/13	\$1,604.68	NOT ON FILE			\$0.00	PP
	731.170		02/25/13	\$731.17	NOT ON FILE			\$0.00	PP
	676.750		03/25/13	\$676.75	NOT ON FILE			\$0.00	PP
	1,253.460		04/25/13	\$1,253.46	NOT ON FILE			\$0.00	PP
	1,106.860		05/25/13	\$1,106.86	NOT ON FILE			\$0.00	PP
	1,945.000		06/25/13	\$1,945.00	NOT ON FILE			\$0.00	PP
	1,539.770		07/25/13	\$1,539.77	NOT ON FILE			\$0.00	PP
	1,255.220		08/25/13	\$1,255.22	NOT ON FILE			\$0.00	PP
	1,525.870		09/25/13	\$1,525.87	NOT ON FILE			\$0.00	PP
	2,147.470		10/25/13	\$2,147.47	NOT ON FILE			\$0.00	PP
	928.590		11/25/13	\$928.59	NOT ON FILE			\$0.00	PP
	1,920.710		12/25/13	\$1,920.71	NOT ON FILE			\$0.00	PP
<b>Security Subtotal</b>	<b>16,635.550</b>			<b>\$16,635.55</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities \*** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CWHL 2003 39 A12 5000 *33OCRG		CUSIP: 12669ES64	Symbol (Box 1d):						
	200.630	08/14/10	01/25/13	\$200.63	\$203.27	\$0.00	(\$2.64)	\$0.00	PP
	141.000	08/14/10	02/25/13	\$141.00	\$142.86	\$0.00	(\$1.86)	\$0.00	PP
	56.960	08/14/10	03/25/13	\$56.96	\$57.71	\$0.00	(\$0.75)	\$0.00	PP
	211.480	08/14/10	04/25/13	\$211.48	\$214.26	\$0.00	(\$2.78)	\$0.00	PP
	206.930	08/14/10	05/25/13	\$206.93	\$209.65	\$0.00	(\$2.72)	\$0.00	PP
	51.670	08/14/10	06/25/13	\$51.67	\$52.35	\$0.00	(\$0.68)	\$0.00	PP
	146.560	08/14/10	07/25/13	\$146.56	\$148.49	\$0.00	(\$1.93)	\$0.00	PP
	26.910	08/14/10	08/25/13	\$26.91	\$27.26	\$0.00	(\$0.35)	\$0.00	PP
	113.750	08/14/10	09/25/13	\$113.75	\$115.25	\$0.00	(\$1.50)	\$0.00	PP
	188.870	08/14/10	10/25/13	\$188.87	\$191.36	\$0.00	(\$2.49)	\$0.00	PP
	73.390	08/14/10	11/25/13	\$73.39	\$74.36	\$0.00	(\$0.97)	\$0.00	PP
	170.970	08/14/10	12/25/13	\$170.97	\$173.22	\$0.00	(\$2.25)	\$0.00	PP
<b>Security Subtotal</b>	<b>1,589.120</b>			<b>\$1,589.12</b>	<b>\$1,610.04</b>	<b>\$0.00</b>	<b>(\$20.92)</b>	<b>\$0.00</b>	
CWHL 2004-5 2A17 5250 34MYRG		CUSIP: 12669FWM1	Symbol (Box 1d):						
	16,916.180		01/25/13	\$16,916.18	NOT ON FILE			\$0.00	PP
	9,560.990		02/25/13	\$9,560.99	NOT ON FILE			\$0.00	PP
	32,892.480		03/25/13	\$32,892.48	NOT ON FILE			\$0.00	PP
	9,691.310		04/25/13	\$9,691.31	NOT ON FILE			\$0.00	PP
	14,610.100		05/25/13	\$14,610.10	NOT ON FILE			\$0.00	PP
	9,804.540		06/25/13	\$9,804.54	NOT ON FILE			\$0.00	PP
	9,620.320		07/25/13	\$9,620.32	NOT ON FILE			\$0.00	PP
	45,023.360		08/25/13	\$45,023.36	NOT ON FILE			\$0.00	PP

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## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

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HL KP FS & KF CO-TTEES  
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NEW YORK NY 10018-3496

Morgan Stanley Smith Barney Holdings LLC  
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8th Floor  
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Identification Number: 26-4310632

Taxpayer ID Number: 13-5266470  
Account Number: 615 113684 160

Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
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CWHL 2004-5 2A17 5250 34MYRG	7,471 350		09/25/13	\$7,471 35	NOT ON FILE			\$0 00	PP
	300,000,000		10/25/13	\$749.07	NOT ON FILE			\$0 00	
<b>Security Subtotal</b>	<b>455,590,630</b>			<b>\$156,339.70</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
FHASI 2003-7 1A5 5250 *33SPRG		CUSIP: 32051DZX3		Symbol (Box 1d):					
	1,310 610	02/08/05	02/25/13	\$1,310 61	\$1,282 96	\$0 00	\$27 65	\$0 00	PP
	547 940	02/08/05	04/25/13	\$547 94	\$536.38	\$0 00	\$11 56	\$0 00	PP
	112 570	02/08/05	05/25/13	\$112 57	\$110 20	\$0 00	\$2 37	\$0 00	PP
	819 270	02/08/05	06/25/13	\$819 27	\$801 99	\$0 00	\$17 28	\$0 00	PP
	1,389 980	02/08/05	07/25/13	\$1,389 98	\$1,360.66	\$0 00	\$29 32	\$0 00	PP
	1,471 170	02/08/05	08/25/13	\$1,471 17	\$1,440.14	\$0 00	\$31 03	\$0 00	PP
	120 190	02/08/05	09/25/13	\$120 19	\$117 65	\$0 00	\$2 54	\$0 00	PP
<b>Security Subtotal</b>	<b>5,771.730</b>			<b>\$5,771.73</b>	<b>\$5,649.98</b>	<b>\$0.00</b>	<b>\$121.75</b>	<b>\$0.00</b>	
FHASI 2006-3 1A10 5250 *36NVRG		CUSIP: 32052RAK6		Symbol (Box 1d):					
	1,825 720	11/19/07	01/25/13	\$1,825 72	\$1 706 80	\$0 00	\$118 92	\$0 00	PP
	5,160 320	11/19/07	02/25/13	\$5,160 32	\$4,824 19	\$0 00	\$336 13	\$0 00	PP
	5,892 950	11/19/07	03/25/13	\$5,892 95	\$5,509 10	\$0 00	\$383 85	\$0 00	PP
	3,953 410	11/19/07	04/25/13	\$3 953 41	\$3 695.90	\$0 00	\$257 51	\$0 00	PP
	11,315 990	11/19/07	05/25/13	\$11,315.99	\$10 578 90	\$0 00	\$737.09	\$0 00	PP
	2 519 880	11/19/07	06/25/13	\$2,519 88	\$2,355 74	\$0 00	\$164.14	\$0 00	PP
	4,367 860	11/19/07	07/25/13	\$4,367 86	\$4,083 35	\$0 00	\$284 51	\$0 00	PP
	4,406 810	11/19/07	08/25/13	\$4,406 81	\$4 119 76	\$0 00	\$287 05	\$0 00	PP
	4 924 950	11/19/07	09/25/13	\$4 924.95	\$4,604 15	\$0 00	\$320 80	\$0 00	PP
	1,594 750	11/19/07	10/25/13	\$1,594 75	\$1,490 87	\$0 00	\$103 88	\$0 00	PP

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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FHASI 2006-3 1A10 6250 36NVRG	4 624.490	11/19/07	11/25/13	\$4,624.49	\$4,323.26	\$0.00	\$301.23	\$0.00	PP
	3,579.260	11/19/07	12/25/13	\$3,579.26	\$3,346.12	\$0.00	\$233.14	\$0.00	PP
<b>Security Subtotal</b>	<b>54,166.390</b>			<b>\$54,166.39</b>	<b>\$50,638.14</b>	<b>\$0.00</b>	<b>\$3,528.25</b>	<b>\$0.00</b>	
FHG 24 J 6250 23NVRG									
		CUSIP: 3133T2HS2		Symbol (Box 1d):					
	275.020	08/14/10	01/25/13	\$275.02	\$290.46	\$0.00	(\$15.44)	\$0.00	PP
	286.640	08/14/10	02/25/13	\$286.64	\$302.73	\$0.00	(\$16.09)	\$0.00	PP
	224.160	08/14/10	03/25/13	\$224.16	\$236.74	\$0.00	(\$12.58)	\$0.00	PP
	336.830	08/14/10	04/25/13	\$336.83	\$355.74	\$0.00	(\$18.91)	\$0.00	PP
	339.920	08/14/10	05/25/13	\$339.92	\$359.00	\$0.00	(\$19.08)	\$0.00	PP
	345.330	08/14/10	06/25/13	\$345.33	\$364.72	\$0.00	(\$19.39)	\$0.00	PP
	323.470	08/14/10	07/25/13	\$323.47	\$341.63	\$0.00	(\$18.16)	\$0.00	PP
	322.450	08/14/10	08/25/13	\$322.45	\$340.55	\$0.00	(\$18.10)	\$0.00	PP
	235.520	08/14/10	09/25/13	\$235.52	\$248.74	\$0.00	(\$13.22)	\$0.00	PP
	247.070	08/14/10	10/25/13	\$247.07	\$260.94	\$0.00	(\$13.87)	\$0.00	PP
	215.060	08/14/10	11/25/13	\$215.06	\$227.13	\$0.00	(\$12.07)	\$0.00	PP
	286.050	08/14/10	12/25/13	\$286.05	\$302.11	\$0.00	(\$16.06)	\$0.00	PP
<b>Security Subtotal</b>	<b>3,437.520</b>			<b>\$3,437.52</b>	<b>\$3,630.49</b>	<b>\$0.00</b>	<b>(\$192.97)</b>	<b>\$0.00</b>	
FHG 92 1407-PM 7000 22NVRG									
		CUSIP: 3129122A5		Symbol (Box 1d):					
	26.160	12/16/02	01/15/13	\$26.16	\$28.29	\$0.00	(\$2.13)	\$0.00	PP
	29.490	12/16/02	02/15/13	\$29.49	\$31.89	\$0.00	(\$2.40)	\$0.00	PP
	59.120	12/16/02	03/15/13	\$59.12	\$63.92	\$0.00	(\$4.80)	\$0.00	PP
	22.180	12/16/02	04/15/13	\$22.18	\$23.98	\$0.00	(\$1.80)	\$0.00	PP
	94.760	12/16/02	05/15/13	\$94.76	\$102.46	\$0.00	(\$7.70)	\$0.00	PP
	26.230	12/16/02	06/15/13	\$26.23	\$28.36	\$0.00	(\$2.13)	\$0.00	PP

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FHG 92 1407-PM 7000 22NVRG	27.260	12/16/02	07/15/13	\$27.26	\$29.47	\$0.00	(\$2.21)	\$0.00	PP
	31.400	12/16/02	08/15/13	\$31.40	\$33.95	\$0.00	(\$2.55)	\$0.00	PP
	48.770	12/16/02	09/15/13	\$48.77	\$52.73	\$0.00	(\$3.96)	\$0.00	PP
	65.400	12/16/02	10/15/13	\$65.40	\$70.71	\$0.00	(\$5.31)	\$0.00	PP
	19.450	12/16/02	11/15/13	\$19.45	\$21.03	\$0.00	(\$1.58)	\$0.00	PP
	21.710	12/16/02	12/15/13	\$21.71	\$23.47	\$0.00	(\$1.76)	\$0.00	PP
<b>Security Subtotal</b>	<b>471.930</b>			<b>\$471.93</b>	<b>\$510.26</b>	<b>\$0.00</b>	<b>(\$38.33)</b>	<b>\$0.00</b>	
FHLMC 4002-KB 4000 42FBRG		CUSIP: 3137AM2F3 Symbol (Box 1d):							
	33,704.610	01/20/12	11/15/13	\$33,704.61	\$34,547.23	\$0.00	(\$842.62)	\$0.00	PP
	98,937.920	01/20/12	12/15/13	\$98,937.92	\$101,411.37	\$0.00	(\$2,473.45)	\$0.00	PP
<b>Security Subtotal</b>	<b>132,642.530</b>			<b>\$132,642.53</b>	<b>\$135,958.60</b>	<b>\$0.00</b>	<b>(\$3,316.07)</b>	<b>\$0.00</b>	
FHR 1714 K 7000 24APRG		CUSIP: 3133T5BP7 Symbol (Box 1d):							
	1,627.270	10/22/09	01/15/13	\$1,627.27	\$1,756.83	\$0.00	(\$129.56)	\$0.00	PP
	931.180	10/22/09	02/15/13	\$931.18	\$1,005.32	\$0.00	(\$74.14)	\$0.00	PP
	1,058.130	10/22/09	03/15/13	\$1,058.13	\$1,142.37	\$0.00	(\$84.24)	\$0.00	PP
	859.140	10/22/09	04/15/13	\$859.14	\$927.54	\$0.00	(\$68.40)	\$0.00	PP
	2,723.140	10/22/09	05/15/13	\$2,723.14	\$2,939.95	\$0.00	(\$216.81)	\$0.00	PP
	1,173.750	10/22/09	06/15/13	\$1,173.75	\$1,267.20	\$0.00	(\$93.45)	\$0.00	PP
	1,345.540	10/22/09	07/15/13	\$1,345.54	\$1,452.67	\$0.00	(\$107.13)	\$0.00	PP
	2,001.680	10/22/09	08/15/13	\$2,001.68	\$2,161.05	\$0.00	(\$159.37)	\$0.00	PP
	1,119.010	10/22/09	09/15/13	\$1,119.01	\$1,208.10	\$0.00	(\$89.09)	\$0.00	PP
	1,064.090	10/22/09	10/15/13	\$1,064.09	\$1,148.81	\$0.00	(\$84.72)	\$0.00	PP

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**IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS**

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OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

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FHR 1714 K	7000 24APRG	1,020.750	10/22/09	11/15/13	\$1,020.75	\$1,102.02	\$0.00	(\$81.27)	\$0.00	PP
		890.140	10/22/09	12/15/13	\$890.14	\$961.01	\$0.00	(\$70.87)	\$0.00	PP
	Security Subtotal	15,813.820			\$15,813.82	\$17,072.87	\$0.00	(\$1,259.05)	\$0.00	
FHR 2407 BJ	6500 32JARG			CUSIP: 31339MM70	Symbol (Box 1d):					
		1,126.270	05/04/04	01/15/13	\$1,126.27	\$1,186.10	\$0.00	(\$59.83)	\$0.00	PP
		81.360	05/04/04	02/15/13	\$81.36	\$85.68	\$0.00	(\$4.32)	\$0.00	PP
		6,105.430	05/04/04	03/15/13	\$6,105.43	\$6,429.76	\$0.00	(\$324.33)	\$0.00	PP
		70.140	05/04/04	04/15/13	\$70.14	\$73.87	\$0.00	(\$3.73)	\$0.00	PP
		1,982.170	05/04/04	05/15/13	\$1,982.17	\$2,087.46	\$0.00	(\$105.29)	\$0.00	PP
		1,385.020	05/04/04	06/15/13	\$1,385.02	\$1,458.59	\$0.00	(\$73.57)	\$0.00	PP
		60.110	05/04/04	07/15/13	\$60.11	\$63.30	\$0.00	(\$3.19)	\$0.00	PP
		61.980	05/04/04	08/15/13	\$61.98	\$65.27	\$0.00	(\$3.29)	\$0.00	PP
		60.840	05/04/04	09/15/13	\$60.84	\$64.07	\$0.00	(\$3.23)	\$0.00	PP
		2,516.430	05/04/04	10/15/13	\$2,516.43	\$2,650.11	\$0.00	(\$133.68)	\$0.00	PP
		56.070	05/04/04	11/15/13	\$56.07	\$59.05	\$0.00	(\$2.98)	\$0.00	PP
		56.980	05/04/04	12/15/13	\$56.98	\$60.01	\$0.00	(\$3.03)	\$0.00	PP
	Security Subtotal	13,562.800			\$13,562.80	\$14,283.27	\$0.00	(\$720.47)	\$0.00	
FHR 2513 DM	5500 17OCRG			CUSIP: 31392XCJ5	Symbol (Box 1d):					
		362.720	10/27/03	01/15/13	\$362.72	\$372.17	\$0.00	(\$9.45)	\$0.00	PP
		1,538.100	10/27/03	02/15/13	\$1,538.10	\$1,578.18	\$0.00	(\$40.08)	\$0.00	PP
		399.000	10/27/03	03/15/13	\$399.00	\$409.40	\$0.00	(\$10.40)	\$0.00	PP
		393.470	10/27/03	04/15/13	\$393.47	\$403.72	\$0.00	(\$10.25)	\$0.00	PP
		508.810	10/27/03	05/15/13	\$508.81	\$522.07	\$0.00	(\$13.26)	\$0.00	PP
		952.870	10/27/03	06/15/13	\$952.87	\$977.70	\$0.00	(\$24.83)	\$0.00	PP

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FHR 2513 DM 5500 17OCRG	302.730	10/27/03	07/15/13	\$302.73	\$310.62	\$0.00	(\$7.89)	\$0.00	PP
	352.830	10/27/03	08/15/13	\$352.83	\$362.02	\$0.00	(\$9.19)	\$0.00	PP
	1,445.600	10/27/03	09/15/13	\$1,445.60	\$1,483.27	\$0.00	(\$37.67)	\$0.00	PP
	619.130	10/27/03	10/15/13	\$619.13	\$635.26	\$0.00	(\$16.13)	\$0.00	PP
	259.510	10/27/03	11/15/13	\$259.51	\$266.27	\$0.00	(\$6.76)	\$0.00	PP
	258.680	10/27/03	12/15/13	\$258.68	\$265.42	\$0.00	(\$6.74)	\$0.00	PP
<b>Security Subtotal</b>	<b>7,393.450</b>			<b>\$7,393.45</b>	<b>\$7,586.10</b>	<b>\$0.00</b>	<b>(\$192.65)</b>	<b>\$0.00</b>	
FHR 2542 DJ 5500 22DERG	CUSIP: 31393GLS1 Symbol (Box 1d):								
	3,164.520	03/17/10	01/15/13	\$3,164.52	\$3,362.30	\$0.00	(\$197.78)	\$0.00	PP
	3,040.980	03/17/10	02/15/13	\$3,040.98	\$3,231.04	\$0.00	(\$190.06)	\$0.00	PP
	2,888.030	03/17/10	03/15/13	\$2,888.03	\$3,068.53	\$0.00	(\$180.50)	\$0.00	PP
	2,964.380	03/17/10	04/15/13	\$2,964.38	\$3,149.65	\$0.00	(\$185.27)	\$0.00	PP
	2,821.690	03/17/10	05/15/13	\$2,821.69	\$2,998.05	\$0.00	(\$176.36)	\$0.00	PP
	2,675.120	03/17/10	06/15/13	\$2,675.12	\$2,842.32	\$0.00	(\$167.20)	\$0.00	PP
	2,084.590	03/17/10	07/15/13	\$2,084.59	\$2,214.88	\$0.00	(\$130.29)	\$0.00	PP
	2,591.770	03/17/10	08/15/13	\$2,591.77	\$2,753.76	\$0.00	(\$161.99)	\$0.00	PP
	2,294.440	03/17/10	09/15/13	\$2,294.44	\$2,437.84	\$0.00	(\$143.40)	\$0.00	PP
	2,014.050	03/17/10	10/15/13	\$2,014.05	\$2,139.93	\$0.00	(\$125.88)	\$0.00	PP
	1,408.470	03/17/10	11/15/13	\$1,408.47	\$1,496.50	\$0.00	(\$88.03)	\$0.00	PP
	1,470.940	03/17/10	12/15/13	\$1,470.94	\$1,562.87	\$0.00	(\$91.93)	\$0.00	PP
<b>Security Subtotal</b>	<b>29,418.980</b>			<b>\$29,418.98</b>	<b>\$31,257.67</b>	<b>\$0.00</b>	<b>(\$1,838.69)</b>	<b>\$0.00</b>	
FHR 2614 NX 5500 33MYRG	CUSIP: 31393QNB4 Symbol (Box 1d):								
	1,699.640	10/21/11	01/15/13	\$1,699.64	\$1,831.36	\$0.00	(\$131.72)	\$0.00	PP
	2,517.190	10/21/11	02/15/13	\$2,517.19	\$2,712.27	\$0.00	(\$195.08)	\$0.00	PP

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

ABRAHAM & MILDRED GOLDSTEIN  
CHARITABLE TRUST DTD 10/14/94  
HL KP FS & KF CO-TTEES  
1411 BROADWAY 9TH FL  
NEW YORK NY 10018-3496

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number 26-4310632

Taxpayer ID Number 13-5266470  
Account Number 615 113684 160

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** <sup>#</sup> (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FHR 2614 NX 5500 33MYRG	2,265.490	10/21/11	03/15/13	\$2,265.49	\$2,441.07	\$0.00	(\$175.58)	\$0.00	PP
	1,795.670	10/21/11	04/15/13	\$1,795.67	\$1,934.83	\$0.00	(\$139.16)	\$0.00	PP
	1,480.640	10/21/11	05/15/13	\$1,480.64	\$1,595.39	\$0.00	(\$114.75)	\$0.00	PP
	1,469.990	10/21/11	06/15/13	\$1,469.99	\$1,583.91	\$0.00	(\$113.92)	\$0.00	PP
	1,599.180	10/21/11	07/15/13	\$1,599.18	\$1,723.12	\$0.00	(\$123.94)	\$0.00	PP
	1,763.800	10/21/11	08/15/13	\$1,763.80	\$1,900.49	\$0.00	(\$136.69)	\$0.00	PP
	946.450	10/21/11	09/15/13	\$946.45	\$1,019.80	\$0.00	(\$73.35)	\$0.00	PP
	1,100.640	10/21/11	10/15/13	\$1,100.64	\$1,185.94	\$0.00	(\$85.30)	\$0.00	PP
	1,030.060	10/21/11	11/15/13	\$1,030.06	\$1,109.89	\$0.00	(\$79.83)	\$0.00	PP
	593.640	10/21/11	12/15/13	\$593.64	\$639.65	\$0.00	(\$46.01)	\$0.00	PP
<b>Security Subtotal</b>	<b>18,262.390</b>			<b>\$18,262.39</b>	<b>\$19,677.72</b>	<b>\$0.00</b>	<b>(\$1,415.33)</b>	<b>\$0.00</b>	
FHR 2624 QH 5000 33JNRG		CUSIP: 31393RJ92		Symbol (Box 1d):					
	2,184.521	12/18/09	08/15/13	\$2,184.52	\$2,284.60	\$0.00	(\$100.08)	\$0.00	PP
	2,047.988	08/14/10	08/15/13	\$2,047.99	\$2,289.45	\$0.00	(\$241.46)	\$0.00	PP
	3,240.753	12/18/09	09/15/13	\$3,240.75	\$3,389.23	\$0.00	(\$148.48)	\$0.00	PP
	3,038.206	08/14/10	09/15/13	\$3,038.21	\$3,396.41	\$0.00	(\$358.20)	\$0.00	PP
	2,728.129	12/18/09	10/15/13	\$2,728.13	\$2,853.12	\$0.00	(\$124.99)	\$0.00	PP
	2,557.620	08/14/10	10/15/13	\$2,557.62	\$2,859.16	\$0.00	(\$301.54)	\$0.00	PP
	2,204.820	12/18/09	11/15/13	\$2,204.82	\$2,305.83	\$0.00	(\$101.01)	\$0.00	PP
	2,067.019	08/14/10	11/15/13	\$2,067.02	\$2,310.72	\$0.00	(\$243.70)	\$0.00	PP
	1,547.855	12/18/09	12/15/13	\$1,547.86	\$1,618.77	\$0.00	(\$70.91)	\$0.00	PP
	1,451.114	08/14/10	12/15/13	\$1,451.11	\$1,622.20	\$0.00	(\$171.09)	\$0.00	PP
<b>Security Subtotal</b>	<b>23,068.025</b>			<b>\$23,068.03</b>	<b>\$24,929.49</b>	<b>\$0.00</b>	<b>(\$1,861.46)</b>	<b>\$0.00</b>	

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**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

ABRAHAM & MILDRED GOLDSTEIN  
 CHARITABLE TRUST DTD 10/14/94  
 HL KP FS & KF CO-TTEES  
 1411 BROADWAY 9TH FL  
 NEW YORK NY 10018-3496

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004  
 Identification Number: 26-4310632

Taxpayer ID Number: 13-5266470  
 Account Number: 615 113684 160

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities \*** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FHR 2743 PH 5500 34FBRG									
		CUSIP: 31394PVY6		Symbol (Box 1d):					
	4,230.010	08/14/10	09/15/13	\$4,230.01	\$4,807.19	\$0.00	(\$577.18)	\$0.00	PP
	2,225.520	08/14/10	10/15/13	\$2,225.52	\$2,529.19	\$0.00	(\$303.67)	\$0.00	PP
	2,652.190	08/14/10	11/15/13	\$2,652.19	\$3,014.08	\$0.00	(\$361.89)	\$0.00	PP
	1,431.530	08/14/10	12/15/13	\$1,431.53	\$1,626.86	\$0.00	(\$195.33)	\$0.00	PP
Security Subtotal	10,539.250			\$10,539.25	\$11,977.32	\$0.00	(\$1,438.07)	\$0.00	
FHR 2778 BR 5000 33JNRG									
		CUSIP: 31394WRK6		Symbol (Box 1d):					
	2,974.670	04/21/10	01/15/13	\$2,974.67	\$3,082.50	\$0.00	(\$107.83)	\$0.00	PP
	4,866.520	04/21/10	02/15/13	\$4,866.52	\$5,042.93	\$0.00	(\$176.41)	\$0.00	PP
	2,923.950	04/21/10	03/15/13	\$2,923.95	\$3,029.94	\$0.00	(\$105.99)	\$0.00	PP
	3,486.090	04/21/10	04/15/13	\$3,486.09	\$3,612.46	\$0.00	(\$126.37)	\$0.00	PP
	3,329.100	04/21/10	05/15/13	\$3,329.10	\$3,449.78	\$0.00	(\$120.68)	\$0.00	PP
	2,390.080	04/21/10	06/15/13	\$2,390.08	\$2,476.72	\$0.00	(\$86.64)	\$0.00	PP
	2,461.390	04/21/10	07/15/13	\$2,461.39	\$2,550.62	\$0.00	(\$89.23)	\$0.00	PP
	1,661.150	04/21/10	08/15/13	\$1,661.15	\$1,721.37	\$0.00	(\$60.22)	\$0.00	PP
	1,377.930	04/21/10	09/15/13	\$1,377.93	\$1,427.88	\$0.00	(\$49.95)	\$0.00	PP
	1,364.880	04/21/10	10/15/13	\$1,364.88	\$1,414.36	\$0.00	(\$49.48)	\$0.00	PP
	1,446.870	04/21/10	11/15/13	\$1,446.87	\$1,499.32	\$0.00	(\$52.45)	\$0.00	PP
	1,158.960	04/21/10	12/15/13	\$1,158.96	\$1,200.97	\$0.00	(\$42.01)	\$0.00	PP
Security Subtotal	29,441.590			\$29,441.59	\$30,508.85	\$0.00	(\$1,067.26)	\$0.00	
FHR 2781 WC 5500 34APRG									
		CUSIP: 31394XER3		Symbol (Box 1d):					
	2,862.190	10/27/08	01/15/13	\$2,862.19	\$2,762.60	\$0.00	\$99.59	\$0.00	PP
	2,305.700	10/27/08	02/15/13	\$2,305.70	\$2,225.47	\$0.00	\$80.23	\$0.00	PP
Security Subtotal	5,167.890			\$5,167.89	\$4,988.07	\$0.00	\$179.82	\$0.00	

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

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## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

ABRAHAM & MILDRED GOLDSTEIN  
CHARITABLE TRUST DTD 10/14/94  
HL KP FS & KF CO-TTEES  
1411 BROADWAY 9TH FL  
NEW YORK NY 10018-3496

Morgan Stanley Smith Barney Holdings LLC  
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New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 13-5266470  
Account Number: 615 113684 160

Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FHR 2915 MQ 5000 33SPRG	CUSIP: 31395LE80		Symbol (Box 1d):						
	3,196.630	08/14/10	01/15/13	\$3,196.63	\$3,496.38	\$0.00	(\$299.75)	\$0.00	PP
	2,880.070	08/14/10	02/15/13	\$2,880.07	\$3,150.13	\$0.00	(\$270.06)	\$0.00	PP
	2,985.320	08/14/10	03/15/13	\$2,985.32	\$3,265.25	\$0.00	(\$279.93)	\$0.00	PP
	2,706.300	08/14/10	04/15/13	\$2,706.30	\$2,960.07	\$0.00	(\$253.77)	\$0.00	PP
	2,480.700	08/14/10	05/15/13	\$2,480.70	\$2,713.32	\$0.00	(\$232.62)	\$0.00	PP
	3,348.530	08/14/10	06/15/13	\$3,348.53	\$3,662.52	\$0.00	(\$313.99)	\$0.00	PP
	2,542.240	08/14/10	07/15/13	\$2,542.24	\$2,780.63	\$0.00	(\$238.39)	\$0.00	PP
	2,687.270	08/14/10	08/15/13	\$2,687.27	\$2,939.26	\$0.00	(\$251.99)	\$0.00	PP
	2,599.940	08/14/10	09/15/13	\$2,599.94	\$2,843.74	\$0.00	(\$243.80)	\$0.00	PP
	1,438.890	08/14/10	10/15/13	\$1,438.89	\$1,573.81	\$0.00	(\$134.92)	\$0.00	PP
	1,160.190	08/14/10	11/15/13	\$1,160.19	\$1,268.98	\$0.00	(\$108.79)	\$0.00	PP
	1,424.610	08/14/10	12/15/13	\$1,424.61	\$1,558.20	\$0.00	(\$133.59)	\$0.00	PP
<b>Security Subtotal</b>	<b>29,450.690</b>			<b>\$29,450.69</b>	<b>\$32,212.29</b>	<b>\$0.00</b>	<b>(\$2,761.60)</b>	<b>\$0.00</b>	
FHR 2962 JQ 5500 34JARG	CUSIP: 31395TQW7		Symbol (Box 1d):						
	4,158.050	08/14/10	01/15/13	\$4,158.05	\$4,532.65	\$0.00	(\$374.60)	\$0.00	PP
	2,449.990	08/14/10	02/15/13	\$2,449.99	\$2,670.71	\$0.00	(\$220.72)	\$0.00	PP
	3,572.700	08/14/10	03/15/13	\$3,572.70	\$3,894.56	\$0.00	(\$321.86)	\$0.00	PP
	3,015.740	08/14/10	04/15/13	\$3,015.74	\$3,287.43	\$0.00	(\$271.69)	\$0.00	PP
	3,236.570	08/14/10	05/15/13	\$3,236.57	\$3,528.15	\$0.00	(\$291.58)	\$0.00	PP
	2,885.680	08/14/10	06/15/13	\$2,885.68	\$3,145.65	\$0.00	(\$259.97)	\$0.00	PP
	4,479.900	08/14/10	07/15/13	\$4,479.90	\$4,883.49	\$0.00	(\$403.59)	\$0.00	PP
	3,461.970	08/14/10	08/15/13	\$3,461.97	\$3,773.86	\$0.00	(\$311.89)	\$0.00	PP
	2,642.780	08/14/10	09/15/13	\$2,642.78	\$2,880.87	\$0.00	(\$238.09)	\$0.00	PP

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**1099 Consolidated Tax Statement**  
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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part D with box E checked.)  
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FHR 2962 JQ 5500 34JARG	2,174.460	08/14/10	10/15/13	\$2,174.46	\$2,370.36	\$0.00	(\$195.90)	\$0.00	PP
	2,473.900	08/14/10	11/15/13	\$2,473.90	\$2,696.77	\$0.00	(\$222.87)	\$0.00	PP
	1,449.210	08/14/10	12/15/13	\$1,449.21	\$1,579.77	\$0.00	(\$130.56)	\$0.00	PP
<b>Security Subtotal</b>	<b>36,000.950</b>			<b>\$36,000.95</b>	<b>\$39,244.27</b>	<b>\$0.00</b>	<b>(\$3,243.32)</b>	<b>\$0.00</b>	
FHR 3128 BE 5000 33MYRG		CUSIP: 31396JUM8		Symbol (Box 1d):					
	6,169.110	08/14/10	01/15/13	\$6,169.11	\$6,724.45	\$0.00	(\$555.34)	\$0.00	PP
	3,634.240	08/14/10	02/15/13	\$3,634.24	\$3,961.39	\$0.00	(\$327.15)	\$0.00	PP
	6,523.200	08/14/10	03/15/13	\$6,523.20	\$7,110.42	\$0.00	(\$587.22)	\$0.00	PP
	3,650.200	08/14/10	04/15/13	\$3,650.20	\$3,978.79	\$0.00	(\$328.59)	\$0.00	PP
	5,408.410	08/14/10	05/15/13	\$5,408.41	\$5,895.28	\$0.00	(\$486.87)	\$0.00	PP
	3,713.140	08/14/10	06/15/13	\$3,713.14	\$4,047.40	\$0.00	(\$334.26)	\$0.00	PP
	2,293.840	08/14/10	07/15/13	\$2,293.84	\$2,500.33	\$0.00	(\$206.49)	\$0.00	PP
	3,891.510	08/14/10	08/15/13	\$3,891.51	\$4,241.82	\$0.00	(\$350.31)	\$0.00	PP
	2,654.640	08/14/10	09/15/13	\$2,654.64	\$2,893.61	\$0.00	(\$238.97)	\$0.00	PP
	1,104.500	08/14/10	10/15/13	\$1,104.50	\$1,203.93	\$0.00	(\$99.43)	\$0.00	PP
	1,392.250	08/14/10	11/15/13	\$1,392.25	\$1,517.58	\$0.00	(\$125.33)	\$0.00	PP
	1,402.630	08/14/10	12/15/13	\$1,402.63	\$1,528.89	\$0.00	(\$126.26)	\$0.00	PP
<b>Security Subtotal</b>	<b>41,837.670</b>			<b>\$41,837.67</b>	<b>\$45,603.89</b>	<b>\$0.00</b>	<b>(\$3,766.22)</b>	<b>\$0.00</b>	
FHR 3477 EB 5000 36JARG		CUSIP: 31397Y4H1		Symbol (Box 1d):					
	1,156.810	08/14/10	03/15/13	\$1,156.81	\$1,252.62	\$0.00	(\$95.81)	\$0.00	PP
	4,707.400	08/14/10	04/15/13	\$4,707.40	\$5,097.27	\$0.00	(\$389.87)	\$0.00	PP
	3,567.640	08/14/10	05/15/13	\$3,567.64	\$3,863.11	\$0.00	(\$295.47)	\$0.00	PP
	4,405.820	08/14/10	06/15/13	\$4,405.82	\$4,770.71	\$0.00	(\$364.89)	\$0.00	PP
	3,507.070	08/14/10	07/15/13	\$3,507.07	\$3,797.53	\$0.00	(\$290.46)	\$0.00	PP

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

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**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

ABRAHAM & MILDRED GOLDSTEIN  
 CHARITABLE TRUST DTD 10/14/94  
 HL KP FS & KF CO-TTEES  
 1411 BROADWAY 9TH FL  
 NEW YORK NY 10018-3496

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York NY 10004  
 Identification Number 26-4310632  
 Taxpayer ID Number 13-5266470  
 Account Number 615 113684 160

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities \*** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FHR 3477 EB 5000 36JARG	3,497.060	08/14/10	08/15/13	\$3,497.06	\$3,786.69	\$0.00	(\$289.63)	\$0.00	PP
	2,121.730	08/14/10	09/15/13	\$2,121.73	\$2,297.45	\$0.00	(\$175.72)	\$0.00	PP
	1,864.610	08/14/10	10/15/13	\$1,864.61	\$2,019.04	\$0.00	(\$154.43)	\$0.00	PP
	171.860	08/14/10	11/15/13	\$171.86	\$186.09	\$0.00	(\$14.23)	\$0.00	PP
<b>Security Subtotal</b>	<b>25,000.000</b>			<b>\$25,000.00</b>	<b>\$27,070.51</b>	<b>\$0.00</b>	<b>(\$2,070.51)</b>	<b>\$0.00</b>	
FHR 3616 B 5000 39DERG		CUSIP: 31398LGQ5		Symbol (Box 1d):					
	6,291.350	11/10/11	01/15/13	\$6,291.35	\$6,778.93	\$0.00	(\$487.58)	\$0.00	PP
	5,627.380	11/10/11	02/15/13	\$5,627.38	\$6,063.50	\$0.00	(\$436.12)	\$0.00	PP
	5,715.320	11/10/11	03/15/13	\$5,715.32	\$6,158.26	\$0.00	(\$442.94)	\$0.00	PP
	6,630.840	11/10/11	04/15/13	\$6,630.84	\$7,144.73	\$0.00	(\$513.89)	\$0.00	PP
	8,650.080	11/10/11	05/15/13	\$8,650.08	\$9,320.46	\$0.00	(\$670.38)	\$0.00	PP
	8,370.450	11/10/11	06/15/13	\$8,370.45	\$9,019.16	\$0.00	(\$648.71)	\$0.00	PP
	5,471.820	11/10/11	07/15/13	\$5,471.82	\$5,895.89	\$0.00	(\$424.07)	\$0.00	PP
	5,758.920	11/10/11	08/15/13	\$5,758.92	\$6,205.24	\$0.00	(\$446.32)	\$0.00	PP
	5,772.290	11/10/11	09/15/13	\$5,772.29	\$6,219.64	\$0.00	(\$447.35)	\$0.00	PP
	4,980.210	11/10/11	10/15/13	\$4,980.21	\$5,366.18	\$0.00	(\$385.97)	\$0.00	PP
	4,152.040	11/10/11	11/15/13	\$4,152.04	\$4,473.82	\$0.00	(\$321.78)	\$0.00	PP
	2,105.780	11/10/11	12/15/13	\$2,105.78	\$2,268.98	\$0.00	(\$163.20)	\$0.00	PP
<b>Security Subtotal</b>	<b>69,526.480</b>			<b>\$69,526.48</b>	<b>\$74,914.79</b>	<b>\$0.00</b>	<b>(\$5,388.31)</b>	<b>\$0.00</b>	
FHR 4091 LG 3500 41OCRG		CUSIP: 3137ATFC1		Symbol (Box 1d):					
	8,331.880	09/19/12	11/15/13	\$8,331.88	\$8,623.50	\$0.00	(\$291.62)	\$0.00	PP
FHR 4094 EJ 4000 42JLRG		CUSIP: 3137ASVA9		Symbol (Box 1d):					
	2,353.330	08/21/12	09/15/13	\$2,353.33	\$2,490.41	\$0.00	(\$137.08)	\$0.00	PP
	1,452.430	08/21/12	10/15/13	\$1,452.43	\$1,537.03	\$0.00	(\$84.60)	\$0.00	PP

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**OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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FHR 4094 EJ 4000 42JLRG	3,355.140	08/21/12	11/15/13	\$3,355.14	\$3,550.58	\$0.00	(\$195.44)	\$0.00	PP
	3,156.830	08/21/12	12/15/13	\$3,156.83	\$3,340.72	\$0.00	(\$183.89)	\$0.00	PP
<b>Security Subtotal</b>	<b>10,317.730</b>			<b>\$10,317.73</b>	<b>\$10,918.74</b>	<b>\$0.00</b>	<b>(\$601.01)</b>	<b>\$0.00</b>	
FNMA 93 82-H 7000 23MYRG		CUSIP: 31359AJF7		Symbol (Box 1d):					
	280.050	07/08/03	01/25/13	\$280.05	\$301.23	\$0.00	(\$21.18)	\$0.00	PP
	420.480	07/08/03	02/25/13	\$420.48	\$452.28	\$0.00	(\$31.80)	\$0.00	PP
	282.100	07/08/03	03/25/13	\$282.10	\$303.43	\$0.00	(\$21.33)	\$0.00	PP
	381.040	07/08/03	04/25/13	\$381.04	\$409.85	\$0.00	(\$28.81)	\$0.00	PP
	368.980	07/08/03	05/25/13	\$368.98	\$396.88	\$0.00	(\$27.90)	\$0.00	PP
	225.390	07/08/03	06/25/13	\$225.39	\$242.43	\$0.00	(\$17.04)	\$0.00	PP
	235.380	07/08/03	07/25/13	\$235.38	\$253.18	\$0.00	(\$17.80)	\$0.00	PP
	198.090	07/08/03	08/25/13	\$198.09	\$213.07	\$0.00	(\$14.98)	\$0.00	PP
	625.510	07/08/03	09/25/13	\$625.51	\$672.81	\$0.00	(\$47.30)	\$0.00	PP
	355.460	07/08/03	10/25/13	\$355.46	\$382.34	\$0.00	(\$26.88)	\$0.00	PP
	512.320	07/08/03	11/25/13	\$512.32	\$551.06	\$0.00	(\$38.74)	\$0.00	PP
	319.650	07/08/03	12/25/13	\$319.65	\$343.82	\$0.00	(\$24.17)	\$0.00	PP
<b>Security Subtotal</b>	<b>4,204.450</b>			<b>\$4,204.45</b>	<b>\$4,522.38</b>	<b>\$0.00</b>	<b>(\$317.93)</b>	<b>\$0.00</b>	
FNR G94 6 PY 7750 24MYRG		CUSIP: 31359HQ80		Symbol (Box 1d):					
	219.220	11/19/02	01/17/13	\$219.22	\$251.51	\$0.00	(\$32.29)	\$0.00	PP
	202.920	11/19/02	02/17/13	\$202.92	\$232.81	\$0.00	(\$29.89)	\$0.00	PP
	266.010	11/19/02	03/17/13	\$266.01	\$305.19	\$0.00	(\$39.18)	\$0.00	PP
	182.770	11/19/02	04/17/13	\$182.77	\$209.69	\$0.00	(\$26.92)	\$0.00	PP
	245.460	11/19/02	05/17/13	\$245.46	\$281.61	\$0.00	(\$36.15)	\$0.00	PP
	296.620	11/19/02	06/17/13	\$296.62	\$340.31	\$0.00	(\$43.69)	\$0.00	PP

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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FNR G94 6 PY 7750 24MYRG	178.010	11/19/02	07/17/13	\$178.01	\$204.23	\$0.00	(\$26.22)	\$0.00	PP
	393.240	11/19/02	08/17/13	\$393.24	\$451.16	\$0.00	(\$57.92)	\$0.00	PP
	199.660	11/19/02	09/17/13	\$199.66	\$229.07	\$0.00	(\$29.41)	\$0.00	PP
	200.500	11/19/02	10/17/13	\$200.50	\$230.03	\$0.00	(\$29.53)	\$0.00	PP
	165.200	11/19/02	11/17/13	\$165.20	\$189.53	\$0.00	(\$24.33)	\$0.00	PP
	211.430	11/19/02	12/17/13	\$211.43	\$242.57	\$0.00	(\$31.14)	\$0.00	PP
<b>Security Subtotal</b>	<b>2,761.040</b>			<b>\$2,761.04</b>	<b>\$3,167.71</b>	<b>\$0.00</b>	<b>(\$406.67)</b>	<b>\$0.00</b>	
FNR 1997 22 PC 4500 27MHRG		CUSIP: 31359N5F4		Symbol (Box 1d):					
	129.680	11/17/03	01/18/13	\$129.68	\$131.79	\$0.00	(\$2.11)	\$0.00	PP
	248.530	11/17/03	02/18/13	\$248.53	\$252.57	\$0.00	(\$4.04)	\$0.00	PP
	267.070	11/17/03	03/18/13	\$267.07	\$271.41	\$0.00	(\$4.34)	\$0.00	PP
	78.650	11/17/03	04/18/13	\$78.65	\$79.93	\$0.00	(\$1.28)	\$0.00	PP
	934.120	11/17/03	05/18/13	\$934.12	\$949.29	\$0.00	(\$15.17)	\$0.00	PP
	185.520	11/17/03	06/18/13	\$185.52	\$188.53	\$0.00	(\$3.01)	\$0.00	PP
	119.280	11/17/03	07/18/13	\$119.28	\$121.22	\$0.00	(\$1.94)	\$0.00	PP
	106.190	11/17/03	08/18/13	\$106.19	\$107.92	\$0.00	(\$1.73)	\$0.00	PP
	82.270	11/17/03	09/18/13	\$82.27	\$83.61	\$0.00	(\$1.34)	\$0.00	PP
	459.310	11/17/03	10/18/13	\$459.31	\$466.77	\$0.00	(\$7.46)	\$0.00	PP
	461.070	11/17/03	11/18/13	\$461.07	\$468.56	\$0.00	(\$7.49)	\$0.00	PP
	63.380	11/17/03	12/18/13	\$63.38	\$64.41	\$0.00	(\$1.03)	\$0.00	PP
<b>Security Subtotal</b>	<b>3,135.070</b>			<b>\$3,135.07</b>	<b>\$3,186.01</b>	<b>\$0.00</b>	<b>(\$50.94)</b>	<b>\$0.00</b>	
FNR 2001 60 GK 6000 31NVRG		CUSIP: 313921Y85		Symbol (Box 1d):					
	655.370	08/30/04	01/25/13	\$655.37	\$689.49	\$0.00	(\$34.12)	\$0.00	PP
	637.860	08/30/04	02/25/13	\$637.86	\$671.06	\$0.00	(\$33.20)	\$0.00	PP

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FNR 2001 60 GK 6000 31NVRG	789.240	08/30/04	03/25/13	\$789.24	\$830.32	\$0.00	(\$41.08)	\$0.00	PP
	589.040	08/30/04	04/25/13	\$589.04	\$619.70	\$0.00	(\$30.66)	\$0.00	PP
	588.380	08/30/04	05/25/13	\$588.38	\$619.01	\$0.00	(\$30.63)	\$0.00	PP
	725.220	08/30/04	06/25/13	\$725.22	\$762.97	\$0.00	(\$37.75)	\$0.00	PP
	543.570	08/30/04	07/25/13	\$543.57	\$571.87	\$0.00	(\$28.30)	\$0.00	PP
	705.790	08/30/04	08/25/13	\$705.79	\$742.53	\$0.00	(\$36.74)	\$0.00	PP
	605.980	08/30/04	09/25/13	\$605.98	\$637.52	\$0.00	(\$31.54)	\$0.00	PP
	195.840	08/30/04	10/25/13	\$195.84	\$206.03	\$0.00	(\$10.19)	\$0.00	PP
	800.120	08/30/04	11/25/13	\$800.12	\$841.77	\$0.00	(\$41.65)	\$0.00	PP
	264.940	08/30/04	12/25/13	\$264.94	\$278.73	\$0.00	(\$13.79)	\$0.00	PP
<b>Security Subtotal</b>	<b>7,101.350</b>			<b>\$7,101.35</b>	<b>\$7,471.00</b>	<b>\$0.00</b>	<b>(\$369.65)</b>	<b>\$0.00</b>	
FNR 2001-51 OD 6500 31OCRG		CUSIP: 313921SS8 Symbol (Box 1d):							
	315.490	11/25/03	01/25/13	\$315.49	\$333.18	\$0.00	(\$17.69)	\$0.00	PP
	1,239.340	11/25/03	02/25/13	\$1,239.34	\$1,308.82	\$0.00	(\$69.48)	\$0.00	PP
	252.990	11/25/03	03/25/13	\$252.99	\$267.17	\$0.00	(\$14.18)	\$0.00	PP
	743.990	11/25/03	04/25/13	\$743.99	\$785.70	\$0.00	(\$41.71)	\$0.00	PP
	543.810	11/25/03	05/25/13	\$543.81	\$574.30	\$0.00	(\$30.49)	\$0.00	PP
	332.110	11/25/03	06/25/13	\$332.11	\$350.73	\$0.00	(\$18.62)	\$0.00	PP
	373.280	11/25/03	07/25/13	\$373.28	\$394.21	\$0.00	(\$20.93)	\$0.00	PP
	284.840	11/25/03	08/25/13	\$284.84	\$300.81	\$0.00	(\$15.97)	\$0.00	PP
	278.440	11/25/03	09/25/13	\$278.44	\$294.05	\$0.00	(\$15.61)	\$0.00	PP
	494.640	11/25/03	10/25/13	\$494.64	\$522.37	\$0.00	(\$27.73)	\$0.00	PP

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FNR 2001-51 OD 6500 31OCRG	370.690	11/25/03	11/25/13	\$370.69	\$391.47	\$0.00	(\$20.78)	\$0.00	PP
	257.380	11/25/03	12/25/13	\$257.38	\$271.81	\$0.00	(\$14.43)	\$0.00	PP
<b>Security Subtotal</b>	<b>5,487.000</b>			<b>\$5,487.00</b>	<b>\$5,794.62</b>	<b>\$0.00</b>	<b>(\$307.62)</b>	<b>\$0.00</b>	
FNR 2001-6 QD 6500 31MHRG	CUSIP: 31359SCE8 Symbol (Box 1d):								
	294.110	10/27/09	01/25/13	\$294.11	\$315.16	\$0.00	(\$21.05)	\$0.00	PP
	118.250	10/27/09	02/25/13	\$118.25	\$126.71	\$0.00	(\$8.46)	\$0.00	PP
	161.150	10/27/09	03/25/13	\$161.15	\$172.68	\$0.00	(\$11.53)	\$0.00	PP
	573.700	10/27/09	04/25/13	\$573.70	\$614.76	\$0.00	(\$41.06)	\$0.00	PP
	644.710	10/27/09	05/25/13	\$644.71	\$690.85	\$0.00	(\$46.14)	\$0.00	PP
	332.760	10/27/09	06/25/13	\$332.76	\$356.57	\$0.00	(\$23.81)	\$0.00	PP
	226.100	10/27/09	07/25/13	\$226.10	\$242.28	\$0.00	(\$16.18)	\$0.00	PP
	96.330	10/27/09	08/25/13	\$96.33	\$103.22	\$0.00	(\$6.89)	\$0.00	PP
	522.420	10/27/09	09/25/13	\$522.42	\$559.81	\$0.00	(\$37.39)	\$0.00	PP
	206.610	10/27/09	10/25/13	\$206.61	\$221.40	\$0.00	(\$14.79)	\$0.00	PP
	99.420	10/27/09	11/25/13	\$99.42	\$106.53	\$0.00	(\$7.11)	\$0.00	PP
	98.300	10/27/09	12/25/13	\$98.30	\$105.33	\$0.00	(\$7.03)	\$0.00	PP
<b>Security Subtotal</b>	<b>3,373.860</b>			<b>\$3,373.86</b>	<b>\$3,615.30</b>	<b>\$0.00</b>	<b>(\$241.44)</b>	<b>\$0.00</b>	
FNR 2002 15 QH 6000 32APRG	CUSIP: 31392CKY9 Symbol (Box 1d):								
	1,589.540	12/15/09	05/25/13	\$1,589.54	\$1,730.55	\$0.00	(\$141.01)	\$0.00	PP
	1,240.480	12/15/09	06/25/13	\$1,240.48	\$1,350.52	\$0.00	(\$110.04)	\$0.00	PP
	1,609.090	12/15/09	07/25/13	\$1,609.09	\$1,751.83	\$0.00	(\$142.74)	\$0.00	PP
	1,794.840	12/15/09	08/25/13	\$1,794.84	\$1,954.06	\$0.00	(\$159.22)	\$0.00	PP
	1,348.970	12/15/09	09/25/13	\$1,348.97	\$1,468.64	\$0.00	(\$119.67)	\$0.00	PP
	1,257.960	12/15/09	10/25/13	\$1,257.96	\$1,369.55	\$0.00	(\$111.59)	\$0.00	PP

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**1099 Consolidated Tax Statement  
Tax Year 2013 Copy B For Recipient**

ABRAHAM & MILDRED GOLDSTEIN  
CHARITABLE TRUST DTD 10/14/94  
HL KP FS & KF CO-TTEES  
1411 BROADWAY 9TH FL  
NEW YORK NY 10018-3496

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

Taxpayer ID Number: 13 5266470  
Account Number: 615 113684 160

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities \*** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNR 2002 15 QH 6000 32APRG	2,036.190	12/15/09	11/25/13	\$2,036.19	\$2,216.82	\$0.00	(\$180.63)	\$0.00	PP
	1,141.980	12/15/09	12/25/13	\$1,141.98	\$1,243.29	\$0.00	(\$101.31)	\$0.00	PP
<b>Security Subtotal</b>	<b>12,019.050</b>			<b>\$12,019.05</b>	<b>\$13,085.26</b>	<b>\$0.00</b>	<b>(\$1,066.21)</b>	<b>\$0.00</b>	
FNR 2002 57 BP 6000 32SPRG	CUSIP: 31392D4U3 Symbol (Box 1d):								
	1,101.020	06/20/05	01/25/13	\$1,101.02	\$1,129.32	\$0.00	(\$28.30)	\$0.00	PP
	793.030	06/20/05	02/25/13	\$793.03	\$813.42	\$0.00	(\$20.39)	\$0.00	PP
	794.120	06/20/05	03/25/13	\$794.12	\$814.53	\$0.00	(\$20.41)	\$0.00	PP
	528.830	06/20/05	04/25/13	\$528.83	\$542.42	\$0.00	(\$13.59)	\$0.00	PP
	747.660	06/20/05	05/25/13	\$747.66	\$766.88	\$0.00	(\$19.22)	\$0.00	PP
	1,219.890	06/20/05	06/25/13	\$1,219.89	\$1,251.25	\$0.00	(\$31.36)	\$0.00	PP
	909.220	06/20/05	07/25/13	\$909.22	\$932.59	\$0.00	(\$23.37)	\$0.00	PP
	1,207.110	06/20/05	08/25/13	\$1,207.11	\$1,238.14	\$0.00	(\$31.03)	\$0.00	PP
	963.780	06/20/05	09/25/13	\$963.78	\$988.55	\$0.00	(\$24.77)	\$0.00	PP
	489.700	06/20/05	10/25/13	\$489.70	\$502.29	\$0.00	(\$12.59)	\$0.00	PP
	441.900	06/20/05	11/25/13	\$441.90	\$453.26	\$0.00	(\$11.36)	\$0.00	PP
	591.950	06/20/05	12/25/13	\$591.95	\$607.17	\$0.00	(\$15.22)	\$0.00	PP
<b>Security Subtotal</b>	<b>9,788.210</b>			<b>\$9,788.21</b>	<b>\$10,039.82</b>	<b>\$0.00</b>	<b>(\$251.61)</b>	<b>\$0.00</b>	
FNR 2002 58 PG 6000 32SPRG	CUSIP: 31392EPC8 Symbol (Box 1d):								
	160.100	04/22/05	01/25/13	\$160.10	\$167.22	\$0.00	(\$7.12)	\$0.00	PP
	187.660	04/22/05	02/25/13	\$187.66	\$196.01	\$0.00	(\$8.35)	\$0.00	PP
	131.170	04/22/05	03/25/13	\$131.17	\$137.01	\$0.00	(\$5.84)	\$0.00	PP
	125.970	04/22/05	04/25/13	\$125.97	\$131.57	\$0.00	(\$5.60)	\$0.00	PP
	114.760	04/22/05	05/25/13	\$114.76	\$119.87	\$0.00	(\$5.11)	\$0.00	PP
	204.850	04/22/05	06/25/13	\$204.85	\$213.96	\$0.00	(\$9.11)	\$0.00	PP

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

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**1099 Consolidated Tax Statement**  
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ABRAHAM & MILDRED GOLDSTEIN  
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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNR 2002-58 PG 6000 32SPRG	141.220	04/22/05	07/25/13	\$141.22	\$147.50	\$0.00	(\$6.28)	\$0.00	PP
	161.860	04/22/05	08/25/13	\$161.86	\$169.06	\$0.00	(\$7.20)	\$0.00	PP
	253.370	04/22/05	09/25/13	\$253.37	\$264.64	\$0.00	(\$11.27)	\$0.00	PP
	103.560	04/22/05	10/25/13	\$103.56	\$108.17	\$0.00	(\$4.61)	\$0.00	PP
	78.620	04/22/05	11/25/13	\$78.62	\$82.12	\$0.00	(\$3.50)	\$0.00	PP
	116.610	04/22/05	12/25/13	\$116.61	\$121.80	\$0.00	(\$5.19)	\$0.00	PP
<b>Security Subtotal</b>	<b>1,779.750</b>			<b>\$1,779.75</b>	<b>\$1,858.93</b>	<b>\$0.00</b>	<b>(\$79.18)</b>	<b>\$0.00</b>	
FNR 2002-97 GB 5500 33JARG	CUSIP: 31392HEG4 Symbol (Box 1d):								
	1,246.120	08/14/10	01/25/13	\$1,246.12	\$1,350.11	\$0.00	(\$103.99)	\$0.00	PP
	2,490.070	08/14/10	02/25/13	\$2,490.07	\$2,697.87	\$0.00	(\$207.80)	\$0.00	PP
	1,878.300	08/14/10	03/25/13	\$1,878.30	\$2,035.04	\$0.00	(\$156.74)	\$0.00	PP
	3,414.670	08/14/10	04/25/13	\$3,414.67	\$3,699.62	\$0.00	(\$284.95)	\$0.00	PP
	3,037.560	08/14/10	05/25/13	\$3,037.56	\$3,291.04	\$0.00	(\$253.48)	\$0.00	PP
	899.160	08/14/10	06/25/13	\$899.16	\$974.19	\$0.00	(\$75.03)	\$0.00	PP
	567.400	08/14/10	07/25/13	\$567.40	\$614.75	\$0.00	(\$47.35)	\$0.00	PP
	602.500	08/14/10	08/25/13	\$602.50	\$652.78	\$0.00	(\$50.28)	\$0.00	PP
	120.670	08/14/10	09/25/13	\$120.67	\$130.74	\$0.00	(\$10.07)	\$0.00	PP
	539.030	08/14/10	10/25/13	\$539.03	\$584.01	\$0.00	(\$44.98)	\$0.00	PP
	1,376.070	08/14/10	11/25/13	\$1,376.07	\$1,490.90	\$0.00	(\$114.83)	\$0.00	PP
	1,472.500	08/14/10	12/25/13	\$1,472.50	\$1,595.38	\$0.00	(\$122.88)	\$0.00	PP
<b>Security Subtotal</b>	<b>17,644.050</b>			<b>\$17,644.05</b>	<b>\$19,116.43</b>	<b>\$0.00</b>	<b>(\$1,472.38)</b>	<b>\$0.00</b>	
FNR 2003 110 WA 4000 33AURG	CUSIP: 31393TD52 Symbol (Box 1d):								
	118.185	05/16/06	01/25/13	\$118.19	\$108.85	\$0.00	\$9.34	\$0.00	PP
	67.534	08/14/10	01/25/13	\$67.53	\$71.71	\$0.00	(\$4.18)	\$0.00	PP

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## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

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Identification Number: 26-4310632

Taxpayer ID Number: 13-5266470  
Account Number: 615 113684 160

Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities \*** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNR 2003 110 WA 4000 33AURG	120.412	05/16/06	02/25/13	\$120.41	\$110.90	\$0.00	\$9.51	\$0.00	PP
	68.807	08/14/10	02/25/13	\$68.81	\$73.06	\$0.00	(\$4.25)	\$0.00	PP
	98.089	05/16/06	03/25/13	\$98.09	\$90.34	\$0.00	\$7.75	\$0.00	PP
	56.050	08/14/10	03/25/13	\$56.05	\$59.52	\$0.00	(\$3.47)	\$0.00	PP
	117.364	05/16/06	04/25/13	\$117.36	\$108.09	\$0.00	\$9.27	\$0.00	PP
	67.065	08/14/10	04/25/13	\$67.07	\$71.21	\$0.00	(\$4.14)	\$0.00	PP
	88.021	05/16/06	05/25/13	\$88.02	\$81.07	\$0.00	\$6.95	\$0.00	PP
	50.298	08/14/10	05/25/13	\$50.30	\$53.41	\$0.00	(\$3.11)	\$0.00	PP
	137.168	05/16/06	06/25/13	\$137.17	\$126.33	\$0.00	\$10.84	\$0.00	PP
	78.381	08/14/10	06/25/13	\$78.38	\$83.23	\$0.00	(\$4.85)	\$0.00	PP
	275.526	05/16/06	07/25/13	\$275.53	\$253.76	\$0.00	\$21.77	\$0.00	PP
	157.443	08/14/10	07/25/13	\$157.44	\$167.18	\$0.00	(\$9.74)	\$0.00	PP
	143.417	05/16/06	08/25/13	\$143.42	\$132.09	\$0.00	\$11.33	\$0.00	PP
	81.952	08/14/10	08/25/13	\$81.95	\$87.02	\$0.00	(\$5.07)	\$0.00	PP
	71.915	05/16/06	09/25/13	\$71.92	\$66.24	\$0.00	\$5.68	\$0.00	PP
	41.094	08/14/10	09/25/13	\$41.09	\$43.64	\$0.00	(\$2.55)	\$0.00	PP
	80.270	05/16/06	10/25/13	\$80.27	\$73.93	\$0.00	\$6.34	\$0.00	PP
	45.869	08/14/10	10/25/13	\$45.87	\$48.71	\$0.00	(\$2.84)	\$0.00	PP
	106.870	05/16/06	11/25/13	\$106.87	\$98.43	\$0.00	\$8.44	\$0.00	PP
	61.069	08/14/10	11/25/13	\$61.07	\$64.85	\$0.00	(\$3.78)	\$0.00	PP
	23.297	05/16/06	12/25/13	\$23.30	\$21.46	\$0.00	\$1.84	\$0.00	PP
	13.312	08/14/10	12/25/13	\$13.31	\$14.14	\$0.00	(\$0.83)	\$0.00	PP
<b>Security Subtotal</b>	<b>2,169.408</b>			<b>\$2,169.42</b>	<b>\$2,109.17</b>	<b>\$0.00</b>	<b>\$60.25</b>	<b>\$0.00</b>	
FNR 2004-90 LH 5000 34APRG									

CUSIP: 31394BUJ1 Symbol (Box 1d):

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Identification Number: 26-4310632

Taxpayer ID Number: 13-526647C

Account Number: 615 113684 160

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities \*** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNR 2004-90 LH 5000 34APRG	816.040	08/25/09	01/25/13	\$816.04	\$842.26	\$0.00	(\$26.22)	\$0.00	PP
	839.310	08/25/09	02/25/13	\$839.31	\$866.27	\$0.00	(\$26.96)	\$0.00	PP
	741.710	08/25/09	03/25/13	\$741.71	\$765.54	\$0.00	(\$23.83)	\$0.00	PP
	769.290	08/25/09	04/25/13	\$769.29	\$794.00	\$0.00	(\$24.71)	\$0.00	PP
	732.400	08/25/09	05/25/13	\$732.40	\$755.93	\$0.00	(\$23.53)	\$0.00	PP
	722.140	08/25/09	06/25/13	\$722.14	\$745.34	\$0.00	(\$23.20)	\$0.00	PP
	642.580	08/25/09	07/25/13	\$642.58	\$663.22	\$0.00	(\$20.64)	\$0.00	PP
	602.390	08/25/09	08/25/13	\$602.39	\$621.74	\$0.00	(\$19.35)	\$0.00	PP
	537.660	08/25/09	09/25/13	\$537.66	\$554.93	\$0.00	(\$17.27)	\$0.00	PP
	391.870	08/25/09	10/25/13	\$391.87	\$404.46	\$0.00	(\$12.59)	\$0.00	PP
	377.410	08/25/09	11/25/13	\$377.41	\$389.53	\$0.00	(\$12.12)	\$0.00	PP
	289.930	08/25/09	12/25/13	\$289.93	\$299.24	\$0.00	(\$9.31)	\$0.00	PP
<b>Security Subtotal</b>	<b>7,462.730</b>			<b>\$7,462.73</b>	<b>\$7,702.46</b>	<b>\$0.00</b>	<b>(\$239.73)</b>	<b>\$0.00</b>	
FNR 2005-110 PL 5500 35DERG	CUSIP: 31394UW65 Symbol (Box 1d):								
	2,932.160		01/25/13	\$2,932.16	NOT ON FILE			\$0.00	PP
	9,375.530		02/25/13	\$9,375.53	NOT ON FILE			\$0.00	PP
	2,952.300		03/25/13	\$2,952.30	NOT ON FILE			\$0.00	PP
	3,413.140		04/25/13	\$3,413.14	NOT ON FILE			\$0.00	PP
	4,120.390		05/25/13	\$4,120.39	NOT ON FILE			\$0.00	PP
	3,948.330		06/25/13	\$3,948.33	NOT ON FILE			\$0.00	PP
	5,863.650		07/25/13	\$5,863.65	NOT ON FILE			\$0.00	PP
	3,599.290		08/25/13	\$3,599.29	NOT ON FILE			\$0.00	PP
	406.890		09/25/13	\$406.89	NOT ON FILE			\$0.00	PP
<b>Security Subtotal</b>	<b>36,811.680</b>			<b>\$36,811.68</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

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OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNR 2005-110 PM 5750 35DERG	CUSIP: 31394UY71		Symbol (Box 1d):						
	1,759.300	08/14/10	01/25/13	\$1,759.30	\$1,803.28	\$0.00	(\$43.98)	\$0.00	PP
	5,625.320	08/14/10	02/25/13	\$5,625.32	\$5,765.95	\$0.00	(\$140.63)	\$0.00	PP
	1,771.380	08/14/10	03/25/13	\$1,771.38	\$1,815.66	\$0.00	(\$44.28)	\$0.00	PP
	2,047.880	08/14/10	04/25/13	\$2,047.88	\$2,099.08	\$0.00	(\$51.20)	\$0.00	PP
	2,472.230	08/14/10	05/25/13	\$2,472.23	\$2,534.04	\$0.00	(\$61.81)	\$0.00	PP
	2,369.000	08/14/10	06/25/13	\$2,369.00	\$2,428.23	\$0.00	(\$59.23)	\$0.00	PP
	3,518.190	08/14/10	07/25/13	\$3,518.19	\$3,606.14	\$0.00	(\$87.95)	\$0.00	PP
	2,159.570	08/14/10	08/25/13	\$2,159.57	\$2,213.56	\$0.00	(\$53.99)	\$0.00	PP
	244.130	08/14/10	09/25/13	\$244.13	\$250.23	\$0.00	(\$6.10)	\$0.00	PP
Security Subtotal	21,967.000			\$21,967.00	\$22,516.17	\$0.00	(\$549.17)	\$0.00	
FNR 2005-110 TK 6000 35DERG	CUSIP: 31394UW57		Symbol (Box 1d):						
	2,412.280		01/25/13	\$2,412.28	NOT ON FILE			\$0.00	PP
	7,713.230		02/25/13	\$7,713.23	NOT ON FILE			\$0.00	PP
	2,428.850		03/25/13	\$2,428.85	NOT ON FILE			\$0.00	PP
	2,807.980		04/25/13	\$2,807.98	NOT ON FILE			\$0.00	PP
	3,389.840		05/25/13	\$3,389.84	NOT ON FILE			\$0.00	PP
	3,248.280		06/25/13	\$3,248.28	NOT ON FILE			\$0.00	PP
	4,824.020		07/25/13	\$4,824.02	NOT ON FILE			\$0.00	PP
	2,961.130		08/25/13	\$2,961.13	NOT ON FILE			\$0.00	PP
	334.750		09/25/13	\$334.75	NOT ON FILE			\$0.00	PP
Security Subtotal	30,120.360			\$30,120.36	\$0.00	\$0.00	\$0.00	\$0.00	

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1411 BROADWAY 9TH FL  
NEW YORK NY 10018-3496

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number. 26-4310632

Taxpayer ID Number. 13-5266470  
Account Number 615 113684 160

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNR 2005-29 QD 5000 33AURG									
		CUSIP: 31394DJH4		Symbol (Box 1d):					
	1,940.220	08/14/10	01/25/13	\$1,940.22	\$2,119.52	\$0.00	(\$179.30)	\$0.00	PP
	3,192.880	08/14/10	02/25/13	\$3,192.88	\$3,487.93	\$0.00	(\$295.05)	\$0.00	PP
	3,258.520	08/14/10	03/25/13	\$3,258.52	\$3,559.64	\$0.00	(\$301.12)	\$0.00	PP
	4,114.660	08/14/10	04/25/13	\$4,114.66	\$4,494.90	\$0.00	(\$380.24)	\$0.00	PP
	3,578.050	08/14/10	05/25/13	\$3,578.05	\$3,908.70	\$0.00	(\$330.65)	\$0.00	PP
	3,598.300	08/14/10	06/25/13	\$3,598.30	\$3,930.82	\$0.00	(\$332.52)	\$0.00	PP
	3,223.930	08/14/10	07/25/13	\$3,223.93	\$3,521.85	\$0.00	(\$297.92)	\$0.00	PP
	3,481.300	08/14/10	08/25/13	\$3,481.30	\$3,803.01	\$0.00	(\$321.71)	\$0.00	PP
	2,441.530	08/14/10	09/25/13	\$2,441.53	\$2,667.15	\$0.00	(\$225.62)	\$0.00	PP
	2,048.730	08/14/10	10/25/13	\$2,048.73	\$2,238.05	\$0.00	(\$189.32)	\$0.00	PP
	1,671.380	08/14/10	11/25/13	\$1,671.38	\$1,825.83	\$0.00	(\$154.45)	\$0.00	PP
	1,178.370	08/14/10	12/25/13	\$1,178.37	\$1,287.26	\$0.00	(\$108.89)	\$0.00	PP
<b>Security Subtotal</b>	<b>33,727.870</b>			<b>\$33,727.87</b>	<b>\$36,844.66</b>	<b>\$0.00</b>	<b>(\$3,116.79)</b>	<b>\$0.00</b>	
FNR 2005-5 CK 5000 35JARG									
		CUSIP: 31394B4Z4		Symbol (Box 1d):					
	183.851	11/21/11	12/25/13	\$183.85	\$199.48	\$0.00	(\$15.63)	\$0.00	PP
	306.418	11/21/11	12/25/13	\$306.42	\$332.46	\$0.00	(\$26.04)	\$0.00	PP
<b>Security Subtotal</b>	<b>490.269</b>			<b>\$490.27</b>	<b>\$531.94</b>	<b>\$0.00</b>	<b>(\$41.67)</b>	<b>\$0.00</b>	
FNR 2007-22 A 5500 37MHRG									
		CUSIP: 31396PW64		Symbol (Box 1d):					
	67.670	10/17/11	12/25/13	\$67.67	\$72.41	\$0.00	(\$4.74)	\$0.00	PP
FNR 2009-85 LC 4500 49OCRG									
		CUSIP: 31396Q7J2		Symbol (Box 1d):					
	2,054.740	10/19/12	12/25/13	\$2,054.74	\$2,284.46	\$0.00	(\$229.72)	\$0.00	PP

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1099 Consolidated Tax Statement  
Tax Year 2013 Copy B For Recipient

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNR 2012-16 K 4000 41OCRG		CUSIP: 3136A4QV4 Symbol (Box 1d):							
	3,547 180	06/29/12	07/25/13	\$3,547.18	\$3,771 89	\$0 00	(\$224 71)	\$0 00	PP
	2,185.090	06/29/12	08/25/13	\$2,185 09	\$2,323 52	\$0.00	(\$138 43)	\$0 00	PP
	1,188 370	06/29/12	09/25/13	\$1,188.37	\$1,263 65	\$0 00	(\$75 28)	\$0 00	PP
	876 550	06/29/12	10/25/13	\$876.55	\$932 08	\$0.00	(\$55 53)	\$0 00	PP
	489 120	06/29/12	11/25/13	\$489 12	\$520 11	\$0 00	(\$30 99)	\$0 00	PP
	783 980	06/29/12	12/25/13	\$783 98	\$833 65	\$0 00	(\$49 67)	\$0 00	PP
Security Subtotal	9,070.290			\$9,070.29	\$9,644.90	\$0.00	(\$574.61)	\$0.00	
GNMA 09-40 DE 4500 39JNRG		CUSIP: 38374VAK5 Symbol (Box 1d):							
	6,423 500	09/30/10	01/20/13	\$6,423 50	\$6,600 15	\$0 00	(\$176 65)	\$0 00	PP
	5,270 570	09/30/10	02/20/13	\$5,270 57	\$5,415 51	\$0.00	(\$144 94)	\$0 00	PP
	4,466 700	09/30/10	03/20/13	\$4,466 70	\$4,589.53	\$0 00	(\$122 83)	\$0 00	PP
Security Subtotal	16,160.770			\$16,160.77	\$16,605.19	\$0.00	(\$444.42)	\$0.00	
GNMA 09-44 BE 4500 39JNRG		CUSIP: 38374U2S9 Symbol (Box 1d):							
	12,445.790	02/25/11	01/16/13	\$12,445.79	\$12,445.79	\$0 00	\$0 00	\$0 00	PP
GNMA 10-129 JC 4000 *40OCRG		CUSIP: 38377MAC0 Symbol (Box 1d):							
	14,627 340	10/25/10	08/20/13	\$14,627 34	\$14,974.74	\$0 00	(\$347 40)	\$0 00	PP
	7,914.190	10/25/10	09/20/13	\$7,914 19	\$8,102 15	\$0 00	(\$187 96)	\$0 00	PP
	2,260 860	10/25/10	10/20/13	\$2,260 86	\$2,314 56	\$0 00	(\$53.70)	\$0 00	PP
	5,212 460	10/25/10	11/20/13	\$5,212.46	\$5,336 26	\$0 00	(\$123 80)	\$0 00	PP
	4,642 200	10/25/10	12/20/13	\$4,642.20	\$4,752 45	\$0.00	(\$110 25)	\$0 00	PP
Security Subtotal	34,657.050			\$34,657.05	\$35,480.16	\$0.00	(\$823.11)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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(Continued)

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GNMA 10-165 NE 4250 40MYRG	CUSIP: 38377RU94 Symbol (Box 1d):								
	2,425 661	12/23/10	01/20/13	\$2,425 66	\$2,392 31	\$0 00	\$33.35	\$0 00	PP
	2,231.608	12/28/10	01/20/13	\$2,231.61	\$2,198 13	\$0.00	\$33 48	\$0 00	PP
	37,831.656	12/23/10	02/20/13	\$37,831.66	\$37,311 47	\$0 00	\$520 19	\$0 00	PP
	34,805.123	12/28/10	02/20/13	\$34,805 12	\$34,283 05	\$0.00	\$522 07	\$0 00	PP
	32,445 026	12/23/10	03/20/13	\$32,445.03	\$31,998 91	\$0.00	\$446 12	\$0 00	PP
	29,849 423	12/28/10	03/20/13	\$29,849 42	\$29,401 68	\$0 00	\$447.74	\$0 00	PP
	33,639 734	12/23/10	04/20/13	\$33,639.73	\$33,177 19	\$0.00	\$462 54	\$0 00	PP
	30,948 555	12/28/10	04/20/13	\$30,948.56	\$30,484.33	\$0 00	\$464 23	\$0 00	PP
	35,823 210	12/28/10	05/20/13	\$35,823 21	\$35,285 86	\$0 00	\$537.35	\$0 00	PP
<b>Security Subtotal</b>	<b>239,999.996</b>			<b>\$240,000.00</b>	<b>\$236,532.93</b>	<b>\$0.00</b>	<b>\$3,467.07</b>	<b>\$0.00</b>	
GNMA 11-26 HM 5000 41FBRG	CUSIP: 38377QAP2 Symbol (Box 1d):								
	79,687 430	02/16/11	03/20/13	\$79,687 43	\$81,380 79	\$0 00	(\$1,693 36)	\$0.00	PP
	70,312 570	02/16/11	04/20/13	\$70,312 57	\$71,806 71	\$0 00	(\$1,494 14)	\$0 00	PP
<b>Security Subtotal</b>	<b>150,000.000</b>			<b>\$150,000.00</b>	<b>\$153,187.50</b>	<b>\$0.00</b>	<b>(\$3,187.50)</b>	<b>\$0.00</b>	
GNMA 11-4 KH 5000 40DERG	CUSIP: 38377TAN1 Symbol (Box 1d):								
	11,311 530	01/20/11	06/20/13	\$11,311.53	\$11,665 02	\$0.00	(\$353.49)	\$0 00	PP
	22,887.220	01/20/11	07/20/13	\$22,887.22	\$23,602.45	\$0.00	(\$715 23)	\$0 00	PP
	17,521.910	01/20/11	08/20/13	\$17,521.91	\$18,069 47	\$0 00	(\$547.56)	\$0 00	PP
	6,860.640	01/20/11	09/20/13	\$6,860.64	\$7,075.04	\$0 00	(\$214 40)	\$0 00	PP
	2,354 910	01/20/11	10/20/13	\$2,354 91	\$2,428 50	\$0 00	(\$73 59)	\$0 00	PP
	2,942 200	01/06/11	11/20/13	\$2,942.20	\$3,041 50	\$0 00	(\$99.30)	\$0 00	PP
	1,471 100	01/20/11	11/20/13	\$1,471.10	\$1,517.07	\$0 00	(\$45 97)	\$0 00	PP

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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GNMA 11-4 KH 5000 40DERG	1,602.560	01/06/11	12/20/13	\$1,602.56	\$1,656.65	\$0.00	(\$54.09)	\$0.00	PP
	801.280	01/20/11	12/20/13	\$801.28	\$826.32	\$0.00	(\$25.04)	\$0.00	PP
Security Subtotal	67,753.350			\$67,753.35	\$69,882.02	\$0.00	(\$2,128.67)	\$0.00	
GNR 1999 3 CD 6500 29FBRG		CUSIP: 3837H1W56 Symbol (Box 1d):							
	84.350	08/14/10	01/16/13	\$84.35	\$87.80	\$0.00	(\$3.45)	\$0.00	PP
	283.960	08/14/10	02/16/13	\$283.96	\$295.58	\$0.00	(\$11.62)	\$0.00	PP
	455.740	08/14/10	03/16/13	\$455.74	\$474.39	\$0.00	(\$18.65)	\$0.00	PP
	360.660	08/14/10	04/16/13	\$360.66	\$375.42	\$0.00	(\$14.76)	\$0.00	PP
	225.040	08/14/10	05/16/13	\$225.04	\$234.25	\$0.00	(\$9.21)	\$0.00	PP
	344.830	08/14/10	06/16/13	\$344.83	\$358.94	\$0.00	(\$14.11)	\$0.00	PP
	68.480	08/14/10	07/16/13	\$68.48	\$71.28	\$0.00	(\$2.80)	\$0.00	PP
	254.240	08/14/10	08/16/13	\$254.24	\$264.65	\$0.00	(\$10.41)	\$0.00	PP
	58.300	08/14/10	09/16/13	\$58.30	\$60.69	\$0.00	(\$2.39)	\$0.00	PP
	237.480	08/14/10	10/16/13	\$237.48	\$247.20	\$0.00	(\$9.72)	\$0.00	PP
	179.940	08/14/10	11/16/13	\$179.94	\$187.30	\$0.00	(\$7.36)	\$0.00	PP
	141.120	08/14/10	12/16/13	\$141.12	\$146.90	\$0.00	(\$5.78)	\$0.00	PP
Security Subtotal	2,694.140			\$2,694.14	\$2,804.40	\$0.00	(\$110.26)	\$0.00	
GNR 2001-53 PM 5500 31DERG		CUSIP: 38373TAP0 Symbol (Box 1d):							
	508.130	08/09/04	01/20/13	\$508.13	\$512.09	\$0.00	(\$3.96)	\$0.00	PP
	439.100	08/09/04	02/20/13	\$439.10	\$442.52	\$0.00	(\$3.42)	\$0.00	PP
	484.040	08/09/04	03/20/13	\$484.04	\$487.81	\$0.00	(\$3.77)	\$0.00	PP
	430.120	08/09/04	04/20/13	\$430.12	\$433.47	\$0.00	(\$3.35)	\$0.00	PP
	527.360	08/09/04	05/20/13	\$527.36	\$531.47	\$0.00	(\$4.11)	\$0.00	PP
	555.480	08/09/04	06/20/13	\$555.48	\$559.81	\$0.00	(\$4.33)	\$0.00	PP

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



# Morgan Stanley

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OMB NO. 1545-0715

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GNR 2001-53 PM 5500 31DERG	438.800	08/09/04	07/20/13	\$438.80	\$442.22	\$0.00	(\$3.42)	\$0.00	PP
	562.630	08/09/04	08/20/13	\$562.63	\$567.01	\$0.00	(\$4.38)	\$0.00	PP
	562.220	08/09/04	09/20/13	\$562.22	\$566.60	\$0.00	(\$4.38)	\$0.00	PP
	482.280	08/09/04	10/20/13	\$482.28	\$486.04	\$0.00	(\$3.76)	\$0.00	PP
	337.710	08/09/04	11/20/13	\$337.71	\$340.34	\$0.00	(\$2.63)	\$0.00	PP
	274.910	08/09/04	12/20/13	\$274.91	\$277.05	\$0.00	(\$2.14)	\$0.00	PP
<b>Security Subtotal</b>	<b>5,602.780</b>			<b>\$5,602.78</b>	<b>\$5,646.43</b>	<b>\$0.00</b>	<b>(\$43.65)</b>	<b>\$0.00</b>	
GNR 2002 10 PL 6200 32FBRG	CUSIP: 38373T2P9 Symbol (Box 1d):								
	442.144	08/14/10	01/20/13	\$442.14	\$468.59	\$0.00	(\$26.45)	\$0.00	PP
	249.095	08/14/10	01/20/13	\$249.10	\$263.99	\$0.00	(\$14.89)	\$0.00	PP
	398.290	08/14/10	02/20/13	\$398.29	\$422.11	\$0.00	(\$23.82)	\$0.00	PP
	224.389	08/14/10	02/20/13	\$224.39	\$237.81	\$0.00	(\$13.42)	\$0.00	PP
	374.816	08/14/10	03/20/13	\$374.82	\$397.23	\$0.00	(\$22.41)	\$0.00	PP
	211.163	08/14/10	03/20/13	\$211.16	\$223.79	\$0.00	(\$12.63)	\$0.00	PP
	349.844	08/14/10	04/20/13	\$349.84	\$370.77	\$0.00	(\$20.93)	\$0.00	PP
	197.095	08/14/10	04/20/13	\$197.10	\$208.88	\$0.00	(\$11.78)	\$0.00	PP
	426.255	08/14/10	05/20/13	\$426.26	\$451.75	\$0.00	(\$25.49)	\$0.00	PP
	240.144	08/14/10	05/20/13	\$240.14	\$254.51	\$0.00	(\$14.37)	\$0.00	PP
	471.785	08/14/10	06/20/13	\$471.79	\$500.00	\$0.00	(\$28.21)	\$0.00	PP
	265.794	08/14/10	06/20/13	\$265.79	\$281.69	\$0.00	(\$15.90)	\$0.00	PP
	471.037	08/14/10	07/20/13	\$471.04	\$499.21	\$0.00	(\$28.17)	\$0.00	PP
	265.372	08/14/10	07/20/13	\$265.37	\$281.24	\$0.00	(\$15.87)	\$0.00	PP
	420.288	08/14/10	08/20/13	\$420.29	\$445.43	\$0.00	(\$25.14)	\$0.00	PP
	236.781	08/14/10	08/20/13	\$236.78	\$250.94	\$0.00	(\$14.16)	\$0.00	PP

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DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GNR 2002 10 PL 6200 32FBRG	461 589	08/14/10	09/20/13	\$461 59	\$489 20	\$0 00	(\$27 61)	\$0 00	PP
	260 050	08/14/10	09/20/13	\$260.05	\$275 60	\$0 00	(\$15 55)	\$0 00	PP
	427 221	08/14/10	10/20/13	\$427.22	\$452.77	\$0 00	(\$25 55)	\$0 00	PP
	240 688	08/14/10	10/20/13	\$240 69	\$255 08	\$0 00	(\$14 39)	\$0.00	PP
	256 744	08/14/10	11/20/13	\$256.74	\$272 10	\$0.00	(\$15 36)	\$0 00	PP
	144 645	08/14/10	11/20/13	\$144 65	\$153.30	\$0 00	(\$8 65)	\$0 00	PP
	219 991	08/14/10	12/20/13	\$219.99	\$233 15	\$0 00	(\$13 16)	\$0 00	PP
	123 938	08/14/10	12/20/13	\$123.94	\$131.35	\$0 00	(\$7 41)	\$0.00	PP
<b>Security Subtotal</b>	<b>7,379.158</b>			<b>\$7,379.17</b>	<b>\$7,820.49</b>	<b>\$0.00</b>	<b>(\$441.32)</b>	<b>\$0.00</b>	
GNR 2002-47 HM 6000 32JLRG	CUSIP: 38373X6F8 Symbol (Box 1d):								
	1,584 820	11/10/03	01/16/13	\$1,584 82	\$1,636.47	\$0 00	(\$51.65)	\$0.00	PP
	717.650	11/10/03	02/16/13	\$717.65	\$741 04	\$0 00	(\$23 39)	\$0 00	PP
	777.270	11/10/03	03/16/13	\$777 27	\$802 60	\$0 00	(\$25 33)	\$0 00	PP
	697 640	11/10/03	04/16/13	\$697 64	\$720 38	\$0 00	(\$22 74)	\$0 00	PP
	1,214 500	11/10/03	05/16/13	\$1,214.50	\$1,254 08	\$0 00	(\$39 58)	\$0 00	PP
	1,201 540	11/10/03	06/16/13	\$1,201.54	\$1,240 70	\$0 00	(\$39.16)	\$0.00	PP
	640 860	11/10/03	07/16/13	\$640 86	\$661 75	\$0 00	(\$20 89)	\$0 00	PP
	501 550	11/10/03	08/16/13	\$501 55	\$517.90	\$0 00	(\$16 35)	\$0 00	PP
	1,387.740	11/10/03	09/16/13	\$1,387.74	\$1,432.97	\$0 00	(\$45 23)	\$0 00	PP
	1,299 380	11/10/03	10/16/13	\$1,299 38	\$1,341.73	\$0 00	(\$42.35)	\$0 00	PP
	296 580	11/10/03	11/16/13	\$296 58	\$306.25	\$0 00	(\$9 67)	\$0 00	PP
	540 710	11/10/03	12/16/13	\$540.71	\$558 33	\$0 00	(\$17 62)	\$0.00	PP
<b>Security Subtotal</b>	<b>10,860.240</b>			<b>\$10,860.24</b>	<b>\$11,214.20</b>	<b>\$0.00</b>	<b>(\$353.96)</b>	<b>\$0.00</b>	

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

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**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

ABRAHAM & MILDRED GOLDSTEIN  
 CHARITABLE TRUST DTD 10/14/94  
 HL KP FS & KF CO-TTEES  
 1411 BROADWAY 9TH FL  
 NEW YORK NY 10018-3496

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004  
 Identification Number: 26-4310632

Taxpayer ID Number: 13-5266470  
 Account Number: 615 113684 160

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GNR 2002-48 OC 6000 30SPRG CUSIP: 38373XR40 Symbol (Box 1d):									
	3,883 150	02/23/05	01/16/13	\$3,883.15	\$4,037.45	\$0 00	(\$154.30)	\$0.00	PP
	4,257 060	02/23/05	02/16/13	\$4,257.06	\$4,426.22	\$0 00	(\$169.16)	\$0 00	PP
	3,608 960	02/23/05	03/16/13	\$3,608.96	\$3,752.37	\$0 00	(\$143.41)	\$0.00	PP
	3,147 510	02/23/05	04/16/13	\$3,147.51	\$3,272.58	\$0 00	(\$125.07)	\$0 00	PP
	3,755 000	02/23/05	05/16/13	\$3,755.00	\$3,904.21	\$0 00	(\$149.21)	\$0.00	PP
	1,801.680	02/23/05	06/16/13	\$1,801.68	\$1,873.27	\$0.00	(\$71.59)	\$0.00	PP
<b>Security Subtotal</b>	<b>20,453.360</b>			<b>\$20,453.36</b>	<b>\$21,266.10</b>	<b>\$0.00</b>	<b>(\$812.74)</b>	<b>\$0.00</b>	
GNR 2002-51 D 6000 32JLRG CUSIP: 38373XX92 Symbol (Box 1d):									
	875.770	12/08/04	01/20/13	\$875.77	\$914.70	\$0 00	(\$38.93)	\$0 00	PP
	1,587 350	12/08/04	02/20/13	\$1,587.35	\$1,657.90	\$0 00	(\$70.55)	\$0 00	PP
	1,347 940	12/08/04	03/20/13	\$1,347.94	\$1,407.85	\$0 00	(\$59.91)	\$0.00	PP
	1,464 530	12/08/04	04/20/13	\$1,464.53	\$1,529.62	\$0 00	(\$65.09)	\$0 00	PP
	1,212 920	12/08/04	05/20/13	\$1,212.92	\$1,266.83	\$0 00	(\$53.91)	\$0 00	PP
	1,002 850	12/08/04	06/20/13	\$1,002.85	\$1,047.42	\$0.00	(\$44.57)	\$0 00	PP
	1,060 330	12/08/04	07/20/13	\$1,060.33	\$1,107.46	\$0 00	(\$47.13)	\$0 00	PP
	1,230 520	12/08/04	08/20/13	\$1,230.52	\$1,285.21	\$0.00	(\$54.69)	\$0 00	PP
	1,121 030	12/08/04	09/20/13	\$1,121.03	\$1,170.86	\$0 00	(\$49.83)	\$0 00	PP
	1,663 480	12/08/04	10/20/13	\$1,663.48	\$1,737.42	\$0 00	(\$73.94)	\$0 00	PP
	1,183 640	12/08/04	11/20/13	\$1,183.64	\$1,236.25	\$0 00	(\$52.61)	\$0 00	PP
	714.190	12/08/04	12/20/13	\$714.19	\$745.93	\$0 00	(\$31.74)	\$0.00	PP
<b>Security Subtotal</b>	<b>14,464.550</b>			<b>\$14,464.55</b>	<b>\$15,107.45</b>	<b>\$0.00</b>	<b>(\$642.90)</b>	<b>\$0.00</b>	

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**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

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GNR 2002-92 PB 5500 32DERG		CUSIP: 38373YLN2 Symbol: (Box 1d):							
	404.113	04/14/09	01/20/13	\$404.11	\$430.03	\$0.00	(\$25.92)	\$0.00	PP
	2,245.074	08/19/09	01/20/13	\$2,245.08	\$2,364.64	\$0.00	(\$119.57)	\$0.00	PP
	1,279.692	08/14/10	01/20/13	\$1,279.69	\$1,429.29	\$0.00	(\$149.60)	\$0.00	PP
	282.892	04/14/09	02/20/13	\$282.89	\$301.03	\$0.00	(\$18.14)	\$0.00	PP
	1,571.622	08/19/09	02/20/13	\$1,571.62	\$1,655.32	\$0.00	(\$83.70)	\$0.00	PP
	895.825	08/14/10	02/20/13	\$895.83	\$1,000.55	\$0.00	(\$104.72)	\$0.00	PP
	352.533	04/14/09	03/20/13	\$352.53	\$375.14	\$0.00	(\$22.61)	\$0.00	PP
	1,958.520	08/19/09	03/20/13	\$1,958.52	\$2,062.83	\$0.00	(\$104.31)	\$0.00	PP
	1,116.356	08/14/10	03/20/13	\$1,116.36	\$1,246.86	\$0.00	(\$130.50)	\$0.00	PP
	377.866	04/14/09	04/20/13	\$377.87	\$402.10	\$0.00	(\$24.23)	\$0.00	PP
	2,099.257	08/19/09	04/20/13	\$2,099.25	\$2,211.06	\$0.00	(\$111.80)	\$0.00	PP
	1,196.576	08/14/10	04/20/13	\$1,196.58	\$1,336.46	\$0.00	(\$139.88)	\$0.00	PP
	353.263	04/14/09	05/20/13	\$353.26	\$375.91	\$0.00	(\$22.65)	\$0.00	PP
	1,962.577	08/19/09	05/20/13	\$1,962.58	\$2,067.10	\$0.00	(\$104.52)	\$0.00	PP
	1,118.668	08/14/10	05/20/13	\$1,118.67	\$1,249.44	\$0.00	(\$130.77)	\$0.00	PP
	300.314	04/14/09	06/20/13	\$300.31	\$319.57	\$0.00	(\$19.26)	\$0.00	PP
	1,668.411	08/19/09	06/20/13	\$1,668.42	\$1,757.27	\$0.00	(\$88.86)	\$0.00	PP
	950.994	08/14/10	06/20/13	\$950.99	\$1,062.17	\$0.00	(\$111.18)	\$0.00	PP
	323.189	04/14/09	07/20/13	\$323.19	\$343.91	\$0.00	(\$20.72)	\$0.00	PP
	1,795.497	08/19/09	07/20/13	\$1,795.50	\$1,891.12	\$0.00	(\$95.62)	\$0.00	PP
	1,023.433	08/14/10	07/20/13	\$1,023.43	\$1,143.07	\$0.00	(\$119.64)	\$0.00	PP
	344.125	04/14/09	08/20/13	\$344.13	\$366.19	\$0.00	(\$22.06)	\$0.00	PP
	1,911.805	08/19/09	08/20/13	\$1,911.80	\$2,013.62	\$0.00	(\$101.81)	\$0.00	PP

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

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# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

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OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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GNR 2002-92 PB 5500 32DERG	1,089.729	08/14/10	08/20/13	\$1,089.73	\$1,217.12	\$0.00	(\$127.39)	\$0.00	PP
	354.265	04/14/09	09/20/13	\$354.27	\$376.98	\$0.00	(\$22.71)	\$0.00	PP
	1,968.142	08/19/09	09/20/13	\$1,968.14	\$2,072.96	\$0.00	(\$104.82)	\$0.00	PP
	1,121.841	08/14/10	09/20/13	\$1,121.84	\$1,252.98	\$0.00	(\$131.14)	\$0.00	PP
	199.371	04/14/09	10/20/13	\$199.37	\$212.15	\$0.00	(\$12.78)	\$0.00	PP
	1,107.617	08/19/09	10/20/13	\$1,107.62	\$1,166.61	\$0.00	(\$58.99)	\$0.00	PP
	631.341	08/14/10	10/20/13	\$631.34	\$705.14	\$0.00	(\$73.80)	\$0.00	PP
	232.929	04/14/09	11/20/13	\$232.93	\$247.86	\$0.00	(\$14.93)	\$0.00	PP
	1,294.051	08/19/09	11/20/13	\$1,294.05	\$1,362.97	\$0.00	(\$68.92)	\$0.00	PP
	737.609	08/14/10	11/20/13	\$737.61	\$823.84	\$0.00	(\$86.23)	\$0.00	PP
	146.819	04/14/09	12/20/13	\$146.82	\$156.23	\$0.00	(\$9.41)	\$0.00	PP
	815.662	08/19/09	12/20/13	\$815.66	\$859.10	\$0.00	(\$43.44)	\$0.00	PP
	464.927	08/14/10	12/20/13	\$464.93	\$519.28	\$0.00	(\$54.35)	\$0.00	PP
<b>Security Subtotal</b>	<b>35,696.905</b>			<b>\$35,696.92</b>	<b>\$38,377.90</b>	<b>\$0.00</b>	<b>(\$2,680.98)</b>	<b>\$0.00</b>	
GNR 2003 58 LB 5000 33JLRG	CUSIP: 38374BNS8 Symbol (Box 1d):								
	507.095	08/25/04	04/20/13	\$507.10	\$487.39	\$0.00	\$19.71	\$0.00	PP
	507.095	08/14/10	04/20/13	\$507.09	\$563.18	\$0.00	(\$56.08)	\$0.00	PP
	184.398	08/14/10	04/20/13	\$184.40	\$204.79	\$0.00	(\$20.39)	\$0.00	PP
	644.608	08/25/04	05/20/13	\$644.61	\$619.56	\$0.00	\$25.05	\$0.00	PP
	644.608	08/14/10	05/20/13	\$644.61	\$715.90	\$0.00	(\$71.29)	\$0.00	PP
	234.403	08/14/10	05/20/13	\$234.40	\$260.33	\$0.00	(\$25.93)	\$0.00	PP
	519.432	08/25/04	06/20/13	\$519.43	\$499.25	\$0.00	\$20.18	\$0.00	PP
	519.432	08/14/10	06/20/13	\$519.44	\$576.88	\$0.00	(\$57.45)	\$0.00	PP
	188.884	08/14/10	06/20/13	\$188.88	\$209.77	\$0.00	(\$20.89)	\$0.00	PP

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**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

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GNR 2003 58 LB 5000 33JLRG	501 997	08/25/04	07/20/13	\$502.00	\$482.49	\$0.00	\$19.51	\$0.00	PP
	501 997	08/14/10	07/20/13	\$502.00	\$557.52	\$0.00	(\$55.52)	\$0.00	PP
	182 544	08/14/10	07/20/13	\$182.54	\$202.73	\$0.00	(\$20.19)	\$0.00	PP
	392.797	08/25/04	08/20/13	\$392.80	\$377.53	\$0.00	\$15.27	\$0.00	PP
	392 797	08/14/10	08/20/13	\$392.79	\$436.24	\$0.00	(\$43.44)	\$0.00	PP
	142 835	08/14/10	08/20/13	\$142.84	\$158.63	\$0.00	(\$15.79)	\$0.00	PP
	448 013	08/25/04	09/20/13	\$448.01	\$430.61	\$0.00	\$17.40	\$0.00	PP
	448 013	08/14/10	09/20/13	\$448.02	\$497.56	\$0.00	(\$49.55)	\$0.00	PP
	162 913	08/14/10	09/20/13	\$162.91	\$180.93	\$0.00	(\$18.02)	\$0.00	PP
	438 481	08/25/04	10/20/13	\$438.48	\$421.44	\$0.00	\$17.04	\$0.00	PP
	438 481	08/14/10	10/20/13	\$438.48	\$486.98	\$0.00	(\$48.50)	\$0.00	PP
	159 447	08/14/10	10/20/13	\$159.45	\$177.08	\$0.00	(\$17.63)	\$0.00	PP
	334 996	08/25/04	11/20/13	\$335.00	\$321.98	\$0.00	\$13.02	\$0.00	PP
	334 996	08/14/10	11/20/13	\$334.99	\$372.05	\$0.00	(\$37.05)	\$0.00	PP
	121 816	08/14/10	11/20/13	\$121.82	\$135.29	\$0.00	(\$13.47)	\$0.00	PP
	318 517	08/25/04	12/20/13	\$318.52	\$306.14	\$0.00	\$12.38	\$0.00	PP
	318 517	08/14/10	12/20/13	\$318.52	\$353.74	\$0.00	(\$35.22)	\$0.00	PP
	115.824	08/14/10	12/20/13	\$115.82	\$128.63	\$0.00	(\$12.81)	\$0.00	PP
<b>Security Subtotal</b>	<b>9,704.936</b>			<b>\$9,704.95</b>	<b>\$10,164.62</b>	<b>\$0.00</b>	<b>(\$459.66)</b>	<b>\$0.00</b>	
GNR 2003-57 OB 6500 33JARG	CUSIP: 38374BCH4 Symbol (Box 1d):								
	4,503.580	09/23/03	05/20/13	\$4,503.58	\$4,694.72	\$0.00	(\$191.14)	\$0.00	PP
	6,709 010	09/23/03	06/20/13	\$6,709.01	\$6,993.75	\$0.00	(\$284.74)	\$0.00	PP
	6,161 940	09/23/03	07/20/13	\$6,161.94	\$6,423.46	\$0.00	(\$261.52)	\$0.00	PP
	6,086.140	09/23/03	08/20/13	\$6,086.14	\$6,344.44	\$0.00	(\$258.30)	\$0.00	PP

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# Morgan Stanley

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OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked )  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GNR 2003-57 OB 6500 33JARG	4,807.930	09/23/03	09/20/13	\$4,807.93	\$5,011.98	\$0.00	(\$204.05)	\$0.00	PP
	5,035.860	09/23/03	10/20/13	\$5,035.86	\$5,249.59	\$0.00	(\$213.73)	\$0.00	PP
	4,993.900	09/23/03	11/20/13	\$4,993.90	\$5,205.85	\$0.00	(\$211.95)	\$0.00	PP
	3,153.160	09/23/03	12/20/13	\$3,153.16	\$3,286.98	\$0.00	(\$133.82)	\$0.00	PP
<b>Security Subtotal</b>	<b>41,451.520</b>			<b>\$41,451.52</b>	<b>\$43,210.77</b>	<b>\$0.00</b>	<b>(\$1,759.25)</b>	<b>\$0.00</b>	
GNR 2004 26 CA 5000 34APRG	CUSIP: 38374F3E2		Symbol (Box 1d):						
	257.100	08/14/10	01/16/13	\$257.10	\$258.06	\$0.00	(\$0.96)	\$0.00	PP
	865.420	08/14/10	02/16/13	\$865.42	\$868.67	\$0.00	(\$3.25)	\$0.00	PP
	33.700	08/14/10	03/16/13	\$33.70	\$33.83	\$0.00	(\$0.13)	\$0.00	PP
<b>Security Subtotal</b>	<b>1,156.220</b>			<b>\$1,156.22</b>	<b>\$1,160.56</b>	<b>\$0.00</b>	<b>(\$4.34)</b>	<b>\$0.00</b>	
GNR 2004-35 PL 5500 33MYRG	CUSIP: 38374GPF3		Symbol (Box 1d):						
	1,386.160	07/28/09	01/20/13	\$1,386.16	\$1,448.10	\$0.00	(\$61.94)	\$0.00	PP
	1,506.810	07/28/09	02/20/13	\$1,506.81	\$1,574.14	\$0.00	(\$67.33)	\$0.00	PP
	1,336.750	07/28/09	03/20/13	\$1,336.75	\$1,396.48	\$0.00	(\$59.73)	\$0.00	PP
	1,350.250	07/28/09	04/20/13	\$1,350.25	\$1,410.59	\$0.00	(\$60.34)	\$0.00	PP
	1,462.350	07/28/09	05/20/13	\$1,462.35	\$1,527.70	\$0.00	(\$65.35)	\$0.00	PP
	1,548.920	07/28/09	06/20/13	\$1,548.92	\$1,618.13	\$0.00	(\$69.21)	\$0.00	PP
	1,139.900	07/28/09	07/20/13	\$1,139.90	\$1,190.84	\$0.00	(\$50.94)	\$0.00	PP
	1,202.710	07/28/09	08/20/13	\$1,202.71	\$1,256.45	\$0.00	(\$53.74)	\$0.00	PP
	1,207.310	07/28/09	09/20/13	\$1,207.31	\$1,261.26	\$0.00	(\$53.95)	\$0.00	PP
	1,230.530	07/28/09	10/20/13	\$1,230.53	\$1,285.52	\$0.00	(\$54.99)	\$0.00	PP
	673.150	07/28/09	11/20/13	\$673.15	\$703.23	\$0.00	(\$30.08)	\$0.00	PP
	908.320	07/28/09	12/20/13	\$908.32	\$948.91	\$0.00	(\$40.59)	\$0.00	PP
<b>Security Subtotal</b>	<b>14,953.160</b>			<b>\$14,953.16</b>	<b>\$15,621.35</b>	<b>\$0.00</b>	<b>(\$668.19)</b>	<b>\$0.00</b>	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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CHARITABLE TRUST DTD 10/14/94  
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1411 BROADWAY 9TH FL  
NEW YORK NY 10018-3496

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number. 26-4310632  
Taxpayer ID Number: 13-5266470  
Account Number: 615 113684 160

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )  
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GNR 2007-18 B 5500 35MYRG	CUSIP: 38375JB87		Symbol (Box 1d):						
	5,710 360	07/20/10	01/20/13	\$5,710 36	\$6,038.71	\$0 00	(\$328.35)	\$0.00	PP
	5,562 350	07/20/10	02/20/13	\$5,562.35	\$5,882.19	\$0 00	(\$319 84)	\$0 00	PP
	4,406 550	07/20/10	03/20/13	\$4,406 55	\$4,659.93	\$0.00	(\$253 38)	\$0 00	PP
	4,739 420	07/20/10	04/20/13	\$4,739.42	\$5,011 94	\$0.00	(\$272.52)	\$0 00	PP
	5,170.950	07/20/10	05/20/13	\$5,170.95	\$5,468.28	\$0 00	(\$297 33)	\$0 00	PP
	2,255.640	07/20/10	06/20/13	\$2,255 64	\$2,385 34	\$0 00	(\$129 70)	\$0 00	PP
	2,060 540	07/20/10	07/20/13	\$2,060.54	\$2,179 02	\$0.00	(\$118 48)	\$0 00	PP
	1,732 390	07/20/10	08/20/13	\$1,732 39	\$1,832 00	\$0.00	(\$99 61)	\$0 00	PP
	1,269.580	07/20/10	09/20/13	\$1,269 58	\$1,342.58	\$0 00	(\$73 00)	\$0.00	PP
	1,354.500	07/20/10	10/20/13	\$1,354 50	\$1,432.38	\$0 00	(\$77 88)	\$0 00	PP
	1,052.090	07/20/10	11/20/13	\$1,052.09	\$1,112.59	\$0 00	(\$60 50)	\$0.00	PP
	649 790	07/20/10	12/20/13	\$649.79	\$687.15	\$0.00	(\$37.36)	\$0 00	PP
Security Subtotal	35,964.160			\$35,964.16	\$38,032.11	\$0.00	(\$2,067.95)	\$0.00	
GNR 2007-70 PE 5500 37NVRG	CUSIP: 38375LP79		Symbol (Box 1d):						
	2,244.038	08/24/09	10/20/13	\$2,244.04	\$2,343 61	\$0 00	(\$99 57)	\$0 00	PP
	1,122.019	08/14/10	10/20/13	\$1,122.02	\$1,268 43	\$0 00	(\$146 41)	\$0 00	PP
	673 211	08/14/10	10/20/13	\$673.21	\$761.06	\$0 00	(\$87 85)	\$0 00	PP
	2,514.161	08/24/09	11/20/13	\$2,514.16	\$2,625 72	\$0 00	(\$111.56)	\$0 00	PP
	1,257.080	08/14/10	11/20/13	\$1,257.08	\$1,421 12	\$0 00	(\$164 04)	\$0 00	PP
	754.248	08/14/10	11/20/13	\$754.25	\$852.67	\$0.00	(\$98.42)	\$0.00	PP
	1,595 394	08/24/09	12/20/13	\$1,595.39	\$1,666.18	\$0 00	(\$70 79)	\$0 00	PP

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# Morgan Stanley

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
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GNR 2007-70 PE 5500 37NVRG	797.697	08/14/10	12/20/13	\$797.70	\$901.79	\$0.00	(\$104.09)	\$0.00	PP
	478.618	08/14/10	12/20/13	\$478.62	\$541.07	\$0.00	(\$62.45)	\$0.00	PP
<b>Security Subtotal</b>	<b>11,436.466</b>			<b>\$11,436.47</b>	<b>\$12,381.65</b>	<b>\$0.00</b>	<b>(\$945.18)</b>	<b>\$0.00</b>	
GNR 2008-1 LB 5500 38JARG	CUSIP: 38375PCH2		Symbol (Box 1d):						
	2,835.060	10/17/12	10/20/13	\$2,835.06	\$3,188.17	\$0.00	(\$353.11)	\$0.00	PP
	1,930.390	10/17/12	11/20/13	\$1,930.39	\$2,170.82	\$0.00	(\$240.43)	\$0.00	PP
	2,044.340	10/17/12	12/20/13	\$2,044.34	\$2,298.96	\$0.00	(\$254.62)	\$0.00	PP
<b>Security Subtotal</b>	<b>6,809.790</b>			<b>\$6,809.79</b>	<b>\$7,657.95</b>	<b>\$0.00</b>	<b>(\$848.16)</b>	<b>\$0.00</b>	
GNR 2008-38 LA 5000 34JNRG	CUSIP: 38375QGS2		Symbol (Box 1d):						
	6,168.200	10/07/09	08/16/13	\$6,168.20	\$6,370.68	\$0.00	(\$202.48)	\$0.00	PP
	5,576.400	10/07/09	09/16/13	\$5,576.40	\$5,759.46	\$0.00	(\$183.06)	\$0.00	PP
	4,201.430	10/07/09	10/16/13	\$4,201.43	\$4,339.35	\$0.00	(\$137.92)	\$0.00	PP
	4,467.570	10/07/09	11/16/13	\$4,467.57	\$4,614.23	\$0.00	(\$146.66)	\$0.00	PP
	4,550.790	10/07/09	12/16/13	\$4,550.79	\$4,700.18	\$0.00	(\$149.39)	\$0.00	PP
<b>Security Subtotal</b>	<b>24,964.390</b>			<b>\$24,964.39</b>	<b>\$25,783.90</b>	<b>\$0.00</b>	<b>(\$819.51)</b>	<b>\$0.00</b>	
GNR 2008-47 NG 5250 36JLRG	CUSIP: 38375XEQ3		Symbol (Box 1d):						
	1,947.610	02/26/09	01/20/13	\$1,947.61	\$1,998.32	\$0.00	(\$50.71)	\$0.00	PP
	2,370.400	02/26/09	02/20/13	\$2,370.40	\$2,432.12	\$0.00	(\$61.72)	\$0.00	PP
	1,516.500	02/26/09	03/20/13	\$1,516.50	\$1,555.99	\$0.00	(\$39.49)	\$0.00	PP
	1,500.260	02/26/09	04/20/13	\$1,500.26	\$1,539.32	\$0.00	(\$39.06)	\$0.00	PP
	1,802.830	02/26/09	05/20/13	\$1,802.83	\$1,849.77	\$0.00	(\$46.94)	\$0.00	PP
	1,670.170	02/26/09	06/20/13	\$1,670.17	\$1,713.66	\$0.00	(\$43.49)	\$0.00	PP
	1,285.070	02/26/09	07/20/13	\$1,285.07	\$1,318.53	\$0.00	(\$33.46)	\$0.00	PP
	1,594.360	02/26/09	08/20/13	\$1,594.36	\$1,635.87	\$0.00	(\$41.51)	\$0.00	PP

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

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GNR 2008-47 NG 5250 36JLRG	1,628 990	02/26/09	09/20/13	\$1,628.99	\$1,671.41	\$0 00	(\$42.42)	\$0 00	PP
	1,384 650	02/26/09	10/20/13	\$1,384 65	\$1,420.70	\$0 00	(\$36 05)	\$0 00	PP
	1,199 640	02/26/09	11/20/13	\$1,199 64	\$1,230 88	\$0 00	(\$31 24)	\$0 00	PP
	944 650	02/26/09	12/20/13	\$944.65	\$969 25	\$0 00	(\$24 60)	\$0 00	PP
<b>Security Subtotal</b>	<b>18,845.130</b>			<b>\$18,845.13</b>	<b>\$19,335.82</b>	<b>\$0.00</b>	<b>(\$490.69)</b>	<b>\$0.00</b>	
GNR 2009-58 GH 5000 39JNRG	CUSIP: 38375DL22 Symbol (Box 1d):								
	200,000 000	03/31/10	04/16/13	\$200,000 00	\$205,255.25	\$0 00	(\$5,255 25)	\$0 00	PP
GNR 2012-69 HC 4000 *42MYRG	CUSIP: 38378EPE7 Symbol (Box 1d):								
	3,204 890	05/15/12	06/16/13	\$3,204 89	\$3,333 09	\$0 00	(\$128 20)	\$0 00	PP
	3,079.720	05/15/12	07/16/13	\$3,079.72	\$3,202 91	\$0 00	(\$123 19)	\$0 00	PP
	2,130 510	05/15/12	10/16/13	\$2,130 51	\$2,215 73	\$0 00	(\$85 22)	\$0 00	PP
	3,174.080	05/15/12	11/16/13	\$3,174 08	\$3,301 04	\$0 00	(\$126 96)	\$0 00	PP
	1,700 460	05/15/12	12/16/13	\$1,700 46	\$1,768 48	\$0 00	(\$68 02)	\$0 00	PP
<b>Security Subtotal</b>	<b>13,289.660</b>			<b>\$13,289.66</b>	<b>\$13,821.25</b>	<b>\$0.00</b>	<b>(\$531.59)</b>	<b>\$0.00</b>	
MALT 2004-3 3A1 6000 *34APRG	CUSIP: 576434NW0 Symbol (Box 1d):								
	196 330	07/13/04	01/25/13	\$196.33	\$201 44	\$0.00	(\$5 11)	\$0 00	PP
	340 840	07/13/04	02/25/13	\$340 84	\$349.72	\$0 00	(\$8 88)	\$0 00	PP
	524 010	07/13/04	03/25/13	\$524.01	\$537 66	\$0 00	(\$13 65)	\$0 00	PP
	813 390	07/13/04	04/25/13	\$813.39	\$834 58	\$0.00	(\$21 19)	\$0 00	PP
	985 650	07/13/04	05/25/13	\$985.65	\$1,011 32	\$0 00	(\$25 67)	\$0 00	PP
	66 330	07/13/04	06/25/13	\$66 33	\$68 06	\$0 00	(\$1 73)	\$0 00	PP
	61 150	07/13/04	07/25/13	\$61 15	\$62 74	\$0 00	(\$1 59)	\$0 00	PP
	570 850	07/13/04	08/25/13	\$570 85	\$585.72	\$0 00	(\$14 87)	\$0 00	PP
	438 520	07/13/04	09/25/13	\$438.52	\$449 94	\$0.00	(\$11 42)	\$0 00	PP

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OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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MALT 2004-3 3A1 6000 *34APRG	497 510	07/13/04	10/25/13	\$497 51	\$510.47	\$0 00	(\$12 96)	\$0 00	PP
	266 630	07/13/04	11/25/13	\$266 63	\$273 57	\$0.00	(\$6 94)	\$0 00	PP
	114.000	07/13/04	12/25/13	\$114.00	\$116.97	\$0.00	(\$2.97)	\$0.00	PP
<b>Security Subtotal</b>	<b>4,875.210</b>			<b>\$4,875.21</b>	<b>\$5,002.19</b>	<b>\$0.00</b>	<b>(\$126.98)</b>	<b>\$0.00</b>	
MASTR 2003 4 6A9 5500 33MYRG	CUSIP: 55265KVU0 Symbol (Box 1d):								
	1,095 092		01/25/13	\$1,095.09	NOT ON FILE			\$0 00	PP
	405.184	08/06/08	01/25/13	\$405.18	\$351 72	\$0 00	\$53 46	\$0 00	PP
	1,095 092	08/14/10	01/25/13	\$1,095 10	\$1,106 32	\$0 00	(\$11 23)	\$0 00	PP
	853 075		02/25/13	\$853 08	NOT ON FILE			\$0 00	
	853 075		02/25/13	(\$853.08)	NOT ON FILE			\$0 00	
	850 459		02/25/13	\$850 46	NOT ON FILE			\$0 00	
	315 638	08/06/08	02/25/13	\$315 64	\$273 99	\$0 00	\$41 65	\$0 00	
	315.638	08/06/08	02/25/13	(\$315.64)	\$273 99	\$0 00	(\$589 63)	\$0 00	
	314 670	08/06/08	02/25/13	\$314 67	\$273 15	\$0 00	\$41 52	\$0 00	
	853 075	08/14/10	02/25/13	\$853 08	\$861 82	\$0 00	(\$8 74)	\$0 00	
	853.075	08/14/10	02/25/13	(\$853 08)	\$861.82	\$0 00	(\$1,714 90)	\$0 00	
	850 459	08/14/10	02/25/13	\$850.46	\$859 18	\$0.00	(\$8 72)	\$0.00	
	837 978		03/25/13	\$837 98	NOT ON FILE			\$0 00	PP
	310 052	08/06/08	03/25/13	\$310.05	\$269 14	\$0 00	\$40 91	\$0 00	PP
	837 978	08/14/10	03/25/13	\$837.98	\$846.57	\$0.00	(\$8 59)	\$0 00	PP
	80 143		04/25/13	\$80.14	NOT ON FILE			\$0 00	PP
	29 653	08/06/08	04/25/13	\$29.65	\$25.74	\$0 00	\$3 91	\$0 00	PP
	80.143	08/14/10	04/25/13	\$80.15	\$80 96	\$0.00	(\$0 82)	\$0 00	PP
	1,512 544		05/25/13	\$1,512.54	NOT ON FILE			\$0 00	PP

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**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
MASTR 2003 4 6A9 5500 33MYRG	559 641	08/06/08	05/25/13	\$559.64	\$485 80	\$0 00	\$73 84	\$0 00	PP
	1,512 544	08/14/10	05/25/13	\$1,512.55	\$1,528.05	\$0.00	(\$15.51)	\$0.00	PP
	352 194		06/25/13	\$352.19	NOT ON FILE			\$0.00	PP
	130.311	08/06/08	06/25/13	\$130 31	\$113.12	\$0.00	\$17.19	\$0 00	PP
	352.194	08/14/10	06/25/13	\$352 20	\$355.80	\$0.00	(\$3 61)	\$0 00	PP
	1,525 012		07/25/13	\$1,525.01	NOT ON FILE			\$0.00	PP
	564.254	08/06/08	07/25/13	\$564 25	\$489.80	\$0.00	\$74.45	\$0 00	PP
	1,525 012	08/14/10	07/25/13	\$1,525 02	\$1,540.64	\$0 00	(\$15 63)	\$0 00	PP
	916.978		08/25/13	\$916.98	NOT ON FILE			\$0 00	PP
	339.282	08/06/08	08/25/13	\$339.28	\$294.52	\$0 00	\$44 76	\$0.00	PP
	916 978	08/14/10	08/25/13	\$916 98	\$926.38	\$0 00	(\$9 40)	\$0 00	PP
	2,226 354		09/25/13	\$2,226.35	NOT ON FILE			\$0 00	PP
	823 751	08/06/08	09/25/13	\$823 75	\$715.06	\$0 00	\$108.69	\$0 00	PP
	2,226.354	08/14/10	09/25/13	\$2,226.36	\$2,249 17	\$0 00	(\$22 82)	\$0 00	PP
	709 544		10/25/13	\$709.54	NOT ON FILE			\$0.00	PP
	262 531	08/06/08	10/25/13	\$262 53	\$227 89	\$0 00	\$34.64	\$0.00	PP
	709.544	08/14/10	10/25/13	\$709.55	\$716.82	\$0.00	(\$7.28)	\$0 00	PP
	751.430		11/25/13	\$751 43	NOT ON FILE			\$0.00	PP
	278.029	08/06/08	11/25/13	\$278.03	\$241 34	\$0 00	\$36.69	\$0 00	PP
	751.430	08/14/10	11/25/13	\$751 43	\$759 13	\$0.00	(\$7.70)	\$0 00	PP
	320.417		12/25/13	\$320 42	NOT ON FILE			\$0 00	PP
	118 554	08/06/08	12/25/13	\$118.55	\$102.91	\$0 00	\$15.64	\$0 00	PP
	320 417	08/14/10	12/25/13	\$320.42	\$323.70	\$0.00	(\$3 28)	\$0.00	PP
Security Subtotal	30,535.778			\$26,492.22	\$17,154.53	\$0.00	(\$1,840.51)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

ABRAHAM & MILDRED GOLDSTEIN  
CHARITABLE TRUST DTD 10/14/94  
HL KP FS & KF CO-TTEES  
1411 BROADWAY 9TH FL  
NEW YORK NY 10018-3496

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number. 26-4310632

Taxpayer ID Number: 13-5266470  
Account Number 615 113684 160

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

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NAA 2003-A1 A2 6000 *33MYRG		CUSIP: 65535VAU2		Symbol (Box 1d):					
	3 780	08/14/10	01/25/13	\$3.78	\$4.02	\$0.00	(\$0 24)	\$0 00	PP
	3.780	08/14/10	02/25/13	\$3.78	\$4.02	\$0 00	(\$0 24)	\$0.00	PP
	4 640	08/14/10	03/25/13	\$4 64	\$4 93	\$0.00	(\$0 29)	\$0 00	PP
	3.880	08/14/10	04/25/13	\$3 88	\$4.12	\$0 00	(\$0 24)	\$0 00	PP
	3 750	08/14/10	05/25/13	\$3 75	\$3 98	\$0 00	(\$0 23)	\$0 00	PP
	3 880	08/14/10	06/25/13	\$3 88	\$4.12	\$0.00	(\$0 24)	\$0 00	PP
	3 850	08/14/10	07/25/13	\$3 85	\$4 09	\$0 00	(\$0 24)	\$0 00	PP
	3.940	08/14/10	08/25/13	\$3 94	\$4.19	\$0.00	(\$0 25)	\$0 00	PP
	3.910	08/14/10	09/25/13	\$3.91	\$4.15	\$0.00	(\$0 24)	\$0 00	PP
	4 040	08/14/10	10/25/13	\$4.04	\$4.29	\$0.00	(\$0 25)	\$0 00	PP

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Tax Year 2013 Copy B For Recipient

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CHARITABLE TRUST DTD 10/14/94  
HL KP FS & KF CO-TTEES  
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

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Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

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NAA 2003-A1 A2 6000 *33MYRG	87 680	08/14/10	11/25/13	\$87 68	\$93 17	\$0 00	(\$5.49)	\$0 00	PP
	3 120	08/14/10	12/25/13	\$3.12	\$3.32	\$0.00	(\$0.20)	\$0.00	PP
Security Subtotal	130.250			\$130.25	\$138.40	\$0.00	(\$8.15)	\$0.00	
Total Long Term Noncovered Securities				\$2,083,482.22	\$1,860,740.10	\$0.00	(\$51,947.74)	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$2,513,295.02	\$2,318,387.37	\$0.00	(\$79,782.21)	\$0.00	

Form 1099-B Total Reportable Amounts

Total Sales Price (Box 2a)	\$2,513,295.02
Total Cost or Other Basis (Box 3)	\$0.00
Total Wash Sale Loss Disallowed (Box 5)	\$0.00
Total Fed Tax Withheld (Box 4)	\$0.00

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

PP This represents a return of your investment. Please compare your cost basis for this principal payment with the amount of the payment. You may have a capital loss if your cost basis exceeds the principal received or ordinary income if your cost basis is less than the principal payment. Principal payments are reported on Form 8949, Sales and Other Dispositions of Capital Assets, of the tax return. Please consult your tax advisor.