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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation ROBERT & DALE ROSEN CHARITABLE FOUNDATION TR U/A 8/05/92		A Employer identification number 13-6997530	
Number and street (or P O box number if mail is not delivered to street address) 67 PECKSLAND ROAD		B Telephone number (see instructions) (203) 625-3165	
City or town, state or province, country, and ZIP or foreign postal code GREENWICH, CT 06831		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 217,882		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	5,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	1,559	1,559		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	15,845			
	b Gross sales price for all assets on line 6a 32,824				
	7 Capital gain net income (from Part IV , line 2) . . .		23,903		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	22,404	25,462		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,400	0		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,210	2,210		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	3,610	2,210		0
	25 Contributions, gifts, grants paid	70,058			70,058
	26 Total expenses and disbursements. Add lines 24 and 25	73,668	2,210		70,058
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-51,264			
	b Net investment income (if negative, enter -0-)		23,252		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	37,443	3,158	3,158
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	135,757	118,778	214,724
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	173,200	121,936	217,882
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	208,988	208,988	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	-35,788	-87,052	
	30	Total net assets or fund balances (see page 17 of the instructions)	173,200	121,936	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	173,200	121,936	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	173,200
2	Enter amount from Part I, line 27a	2	-51,264
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	121,936
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	121,936

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	WMC RESIDCO , INC	P		2013-12-31
b	25 SH DISCOVERY COMM INC COM SER A			2013-10-10
c	50 SH REGENERON PHARMACEUTICALS INC			2013-10-10
d	50 SH REGENERON PHARMACEUTICALS INC			2013-10-22
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,649			1,649
b 1,957		363	1,594
c 14,461		4,279	10,182
d 14,757		4,279	10,478
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			1,649
b			1,594
c			10,182
d			10,478
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	23,903
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	61,388	148,558	0 413226
2011	217,776	199,666	1 090701
2010	85,690	253,337	0 338245
2009	161,863	230,733	0 701516
2008	200,179	226,077	0 885446

2 Total of line 1, column (d).	2	3 429134
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 685827
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	220,299
5 Multiply line 4 by line 3.	5	151,087
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	233
7 Add lines 5 and 6.	7	151,320
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	70,058

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	465
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2.	3	465
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	465
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,328
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	1,328
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	863
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 863 Refunded	11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of TAXPAYER Telephone no (203) 625-3165 Located at 67 PECKSLAND ROAD GREENWICH CT ZIP+4 06831			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.		☒		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		☐ Yes	☐ No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes	☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b No		
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes	☒ No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT L ROSEN	TRUSTEE	0	0	0
67 PECKSLAND ROAD GREENWICH, CT 06831	0 50			
DALE A ROSEN	TRUSTEE	0	0	0
67 PECKSLAND ROAD GREENWICH, CT 06831	0 50			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	205,635
b	Average of monthly cash balances.	1b	18,019
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	223,654
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	223,654
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	3,355
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	220,299
6	Minimum investment return. Enter 5% of line 5.	6	11,015

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	11,015
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	465
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	465
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	10,550
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	10,550
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	10,550

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	70,058
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	70,058
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	70,058
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				10,550
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	188,875			
b From 2009.	150,346			
c From 2010.	73,439			
d From 2011.	209,825			
e From 2012.	55,017			
f Total of lines 3a through e.	677,502			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 70,058				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				10,550
e Remaining amount distributed out of corpus	59,508			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	737,010			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	188,875			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	548,135			
10 Analysis of line 9				
a Excess from 2009. . . .	150,346			
b Excess from 2010. . . .	73,439			
c Excess from 2011. . . .	209,825			
d Excess from 2012. . . .	55,017			
e Excess from 2013. . . .	59,508			

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	70,058
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ROBERT L ROSEN
DALE A ROSEN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALAN J BROWN FOUNDATION 19 W 44TH ST SUITE 1519 NEW YORK,NY 10036	NONE	PUBLIC	TO IMPROVE THE QUALITY OF LIFE FOR PEOPLE LIVING WITH PARALYSIS BY ASSISTING THEM IN ACHIEVING THE HIGHEST PERSONAL LEVEL OF INDEPENDENCE, SUSTAINING A HEALTHY LIFESTYLE, MANAGING DAILY AND CHRONIC HEALTH ISSUES, AND ADJUSTING TO THEIR NEW LIFE AFTER PARALYSIS	500
AMERICAN CANCER SOCIETY NEW ENGLAND DIVISION INC / LOWER FAIRFIELD UNIT PO BOX 907 WALLINGFORD,CT 06492	NONE	PUBLIC	TO SUPPORT THE MISSION TO ELIMINATE CANCER	500
AMERICAN FRIENDS OF ISRAEL MUSEUM 500 FIFTH AVENUE 2540 NEW YORK,NY 10110	NONE	PUBLIC	TO RAISE MONEY FOR VARIOUS PROGRAMS	425
AMERICAN HEART ASSOCIATION 205 E 42ND STREET NEW YORK,NY 10017	NONE	PUBLIC	TO FIGHT CARDIOVASCULAR DISEASES AND STROKE	250
ANDREW MICHAEL FUND 34 W 139TH STREET NEW YORK,NY 10037	NONE	PUBLIC	SUPPORTS HEALTH & EDUCATION	100
ANTI DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK,NY 10158	NONE	PUBLIC	TO SUPPORT THE MISSION TO STOP ANTI-SEMITISM AND ALL	4,500
ART BEYOND SIGHT 589 BROADWAY NEW YORK,NY 10012	NONE	PUBLIC	TO MAKE ART, ART HISTORY, AND VISUAL CULTURE ACCESSIBLE TO PEOPLE WHO ARE BLIND OR VISUALLY IMPAIRED	300
AUDUBON SOCIETY 225 VARICK STREET NEW YORK,NY 10014	NONE	PUBLIC	TO CONSERVE AND RESTORE NATURAL ECOSYSTEMS , FOCUSING ON BIRDS AND OTHER WILDLIFE	38
BET TZEDEK - HOUSE OF JUSTICE 145 S FAIRFAX AVENUE STE 200 LOS ANGELES,CA 90036	NONE	PUBLIC	TO ASSIST INDIVIDUALS WITH LEGAL PROBLEMS	500
BREAST CANCER ALLIANCE 48 MAPLE AVENUE GREENWICH,CT 06830	NONE	PUBLIC	BREAST CANCER RESEARCH	500
CAAL LIFELINE 1221 AVE OF THE AMERICAS 44TH FLOOR NEW YORK,NY 10020	NONE	PUBLIC	TO HELP ADVANCE ADULT EDUCATION AND LITERACY IN THE UNITED STATES	250
CAROL ABRAMS FUND 8700 BEVERLY BLVD SUITE 2416 LOS ANGELES,CA 90048	NONE	PUBLIC	TO SHEPHERD BASIC RESEARCH DISCOVERIES THROUGH PATIENT TRIALS AND THE GOVERNMENT APPROVAL PROCESS	100
CHABAD OF GREENWICH 75 MASON STREET GREENWICH,CT 068305501	NONE	PUBLIC	TO PROVIDE CLASSES, SERVICES, PRESCHOOL, HEBREW SCHOOL, CAMP, CLUBS, DISCUSSION GROUPS,LECTURES	1,800
CHILD HELP PARTNERSHIP OF ST JOHN'S 152-11 UNION TURNPIKE FLUSHING,NY 11367	NONE	PUBLIC	TO PROTECT CHILDREN FROM INTERPERSONAL VIOLENCE AND ITS EMOTIONAL IMPACT	625
COUNCIL ON FOREIGN RELATIONS INC 58 EAST 68TH STREET NEW YORK,NY 10065	NONE	PUBLIC	TO ASSIST WITH EFFORTS TO RAISE THE LEVEL OF FOREIGN AWARENESS	8,545
Total  3a				70,058

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DREAM BIG FOUNDATION - FEEDING NYC PO BOX 852 JAF STATION NEW YORK,NY 10018	NONE	PUBLIC	TO PROVIDE COMPLETE BOXED THANKSGIVING DINNERS TO FAMILIES SUPPORTED BY WOMEN IN NEED, CATHOLIC CHARITIES, THE SALVATION ARMY, AND OTHER ORGANIZATIONS THAT HOUSE NEEDY FAMILIES	100
FAMILY CENTERS 40 ARCH STREET GREENWICH,CT 60830	NONE	PUBLIC	TO OFFER EDUCATION AND HUMAN SERVICES TO CHILDREN, ADULTS, AND FAMILIES LIVING IN LOWER FAIRFIELD COUNTY	150
HUMAN RIGHTS FIRST 333 SEVENTH AVENUE 13TH FLOOR NEW YORK,NY 10001	NONE	PUBLIC	INDEPENDENT ADVOCACY AND ACTION ORGANIZATION THAT CHALLENGES AMERICA TO LIVE UP TO ITS IDEALS	250
ICE THEATER OF NEWYORK 59 CHELSEA PIERS ROOM 5911 NEW YORK,NY 10011	NONE	PUBLIC	CREATING NEW ARTISTIC OPPORTUNITIES IN FIGURE SKATING AND PROVIDING EDUCATIONAL PROGRAMS	250
JUMPSTART FOR YOUNG CHILDREN 308 CONGRESS STREET 6TH FLOOR BOSTON,MA 02210	NONE	PUBLIC	HELP CHILDREN DEVELOP LANGUAGE AND LITERACY SKILLS	3,000
KOMEN CONNECTICUT 74 BATTERSON PARK RD FARMINGTON,CT 06032	NONE	PUBLIC	TO FULFILL THE PROMISE TO SAVE LIVES AND END BREAST CANCER FOREVER BY EMPOWERING PEOPLE, ENSURING QUALITY CARE FOR ALL, AND ENERGIZING SCIENCE TO FIND THE CURES	150
LEARNING ALLY 545 FIFTH AVENUE SUITE 1105 NEW YORK,NY 10017	NONE	PUBLIC	TO PROMOTE PERSONAL ACHIEVEMENT WHEN ACCESS AND READING ARE BARRIERS TO LEARNING BY ADVANCING THE USE OF ACCESSIBLE AND EFFECTIVE EDUCATIONAL SOLUTIONS	50
MAGEN DAVID ADOM 352 SEVENTH AVENUE SUITE 400 NEW YORK,NY 10001	NONE	PUBLIC	TO PROVIDE EMERGENCY MEDICAL FIRST RESPONDERS FOR ISRAEL AND TO PROVIDE AMBULANCES, BLOOD-SERVICES, AND DISASTER-RELIEF	200
MARINE CONSERVATION INSTITUTE 4010 STONE WAY N SUITE 210 SEATTLE,WA 98103	NONE	PUBLIC	TO SECURE PERMANENT AND STRONG PROTECTION FOR THE OCEANS' MOST IMPORTANT PLACES	100
MASS CHALLENGE ONE MARINA PARK DR 14TH FLOOR BOSTON,MA 02210	NONE	PUBLIC	PROVIDE EDUCATIONAL OPPORTUNITIES FOR ENTREPRENEURS	200
MILITARY CHILD EDUCATION COALITION 909 MOUNTAIN LION CIRCLE HARKER HEIGHTS,TX 76548	NONE	PUBLIC	TO ENSURE INCLUSIVE, QUALITY EDUCATIONAL EXPERIENCES FOR ALL MILITARY-CONNECTED CHILDREN AFFECTED BY MOBILITY, FAMILY SEPARATION, AND TRANSITION	100
MSKCC 1275 YORK AVENUE NEW YORK,NY 10065	NONE	PUBLIC	TO PROVIDE EXCEPTIONAL PATIENT CARE, INNOVATIVE RESEARCH, AND OUTSTANDING EDUCATIONAL PROGRAM IN THE FIGHT AGAINST CANCER	100
NEW YORK PHILHARMONIC AVERY FISHER HALL 10 LINCOLN CENTER PLAZA NEW YORK,NY 10023	NONE	PUBLIC	TO SUPPORT EDUCATIONAL PROGRAMS	5,000
OBLITERIDE PO BOX 19024 1100 FAIRVIEW AVE N LM-120 SEATTLE,WA 98109	NONE	PUBLIC	TO CONNECT CANCER SURVIVORS, CYCLISTS, AND COMMUNITY MEMBERS TO RAISE MONEY FOR CANCER RESEARCH AT THE FRED HUTCHINSON CANCER RESEARCH CENTER	250
PFLAG 1828 L STREET NW SUITE 660 WASHINGTON,DC 20036	NONE	PUBLIC	TO PROMOTE THE HEALTH AND WELL-BEING OF LESBIAN, GAY, BISEXUAL, AND TRANSGENDER PERSONS, THEIR FAMILIES, AND THEIR FRIENDS THROUGH SUPPORT TO COPE WITH AN ADVERSE SOCITEY, EDUCATION TO ENLIGHTEN AN ILL-INFORMED PUBLIC, AND ADVOCACY TO END DISCRIMINATION AND TO SECURE EQUAL CIVIL RIGHTS	230
Total  3a				70,058

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PREP FOR PREP 328 W 71ST STREET NEWYORK,NY 10023	NONE	PUBLIC	A LEADERSHIP PROGRAM THAT OFFERS STUDENTS OF COLOR ACCESS TO A PRIVATE SCHOOL EDUCATION	500
PROJECT RETURN 124 COMPO ROAD NORTH WESTPORT,CT 06880	NONE	PUBLIC	TO EMPOWER GIRLS TO REBUILD THEIR LIVE, TO CREATE A SAFE, STRUCTURED, AND NURTURING ENVIRONMENT WHERE ADOLESCENT GIRLS AND YOUNG WOMEN IN CRISIS CAN HEAL AND GROW, TO ENCOURAGE RESILENCY, TO BUILD SELF-ESTEEM, AND TO TEACH LIFE SKILLS	250
RIVER HEAD 467 EAST MAIN STREET RIVERHEAD,NY 11901	NONE	PUBLIC	TO PRESERVE AND PROTECT OUR MARINE ENVIRONMENT THROUGH EDUCATION, REHABILITATION, AND RESEARCH	50
SCHWAB CHARITABLE FUND 211 MAIN STREET FLOOR 10 SAN FRANCISCO,CA 94105	NONE		TO INCREASE CHARITABLE GIVING NATIONWIDE BY MAKING CHARITABLE GIVING ACCESSIBLE, ALLOWS INVESTORS TO CONTRIBUTE TO A CHARITABLE ACCOUNT TO SUPPORT THEIR FAVORITE CHARITIES	200
SIMON WIESENTHAL CENTER 1399 SOUTH ROXBURY DRIVE LOS ANGELES,CA 90035	NONE	PUBLIC	TO SUPPORT THE EFFORTS TO CONFRONT ANTI-SEMITISM AND	100
SISTERHOOD OF TEMPLE SHALOM 300 EAST PUTNAM AVENUE GREENWICH,CT 06830	NONE	PUBLIC	TO SUPPORT THE YOUTH, EDUCATION, AND SPECIAL PROJECT	200
TABLET MAGAZINE 37 W 28TH STREET 8TH FLOOR NEWYORK,NY 10001	NONE	PUBLIC	AN ONLINE PUBLICATION OF JEWISH LIFE, ARTS, AND IDEAS	100
TEMPLE SHOLOM 300 EAST PUTNAM AVENUE GREENWICH,CT 06830	NONE	PUBLIC	SUPPORT THE TEMPLE AND ITS PROGRAMS	19,795
THE BRONX CHARTER SCHOOL FOR CHILDREN 388 WILLIS AVENUE BRONX,NY 10454	NONE	PUBLIC	TO ASSIST WITH THE ABILITY TO SUSTAIN THE SCHOOL AS WELL AS INNOVATE AND BUILD FOR THE FUTURE	100
THE CENTER FOR DISCOVERY PO BOX 840 HARRIS,NY 12742	NONE	PUBLIC	TO SUPPORT THE SERVICES TO CHILDREN AND ADULTS WITH	10,000
THE OPPORTUNITY NETWORK 381 PARK AVENUE SOUTH SUITE 1401 NEWYORK,NY 10016	NONE	PUBLIC	TO HELP CREATE OPPORTUNITES FOR HIGH-ACHIEVING, UNDERSERVED HIGH SCHOOL AND COLLEGE STUDENTS BY CREATING ACCESS FOR THEM TO CAREER OPPORTUNITIES, PROFESSIONAL NETWORKS AND COMPETITIVE COLLEGES	500
THE WILDLIFE SOCIETY FLORIDA CHAPTER 5410 GROSVENOR LANE SUITE 200 BETHESDA,MD 20814	NONE	PUBLIC	TO SERVE AND REPRESENT WILDLIFE PROFESSIONALS IN PROMOTING WILDLIFE CONSERVATION, BIODIVERSITY, AND RESOURCE STEWARDSHIP	100
THORNCROFT EQUESTRIAN CENTER 190 TOWNSHIP LINE ROAD MALVERN,PA 19355	NONE	PUBLIC	TO DEVELOP THE PHYSICAL AND EMOTIONAL WELL-BEING OF ALL PEOPLE INCLUDING THOSE WITH SPECIAL NEEDS WE ARE COMMITTED TO PERSONAL GROWTH AND EDUCATION IN AN EQUESTRIAN ENVIRONMENT OF RESPECT AND LOVE	50
TRI-COUNTY HUMANE SOCIETY 21287 BOCA RIO RD BOCA RATON,FL 33433	NONE	PUBLIC	TO PREVENT THE KILLING OF UNWANTED PETS IN BROWARD, MIAMI-DADE, AND PALM BEACH COUNTIES EACH YEAR	150
TURNAROUND FOR CHILDREN 25 WEST 45TH STREET 6TH FLOOR NEWYORK,NY 10036	NONE	PUBLIC	HELPING HIGH-POVERTY, LOW-PERFORMING PUBLIC SCHOOLS	500
Total  3a				70,058

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UJA-FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW YORK,NY 10022	NONE	PUBLIC	HELP INSPIRE A PASSION FOR JEWISH LIFE AND LEARNING	1,500
WOMEN'S DIV EINSTEIN COLLEGE OF MEDICINE 7TH FLOOR BLOCK BUILDING 1300 MORRIS PARK AVENUE BRONX,NY 10461	NONE	PUBLIC	TO PROVIDE DYNAMIC PHILANTHROPIC LEADERSHIP ON BEHALF OF COLLEGE OF MEDICINE AND ITS MISSION TO IMPROVE HUMAN HEALTH AND TO HELP ADVANCE VITAL MEDICAL RESEARCH AND EDUCATION PROGRAMS AT EINSTEIN	2,000
WORLD OF CHILDREN 6200 STONERIDGE MALL ROAD 3RD FLOOR PLEASANTON,CA 94588	NONE	PUBLIC	PROVIDES FUNDING AND RECOGNITION TO SUPPORT LIFE-CHANGING WORK FOR CHILDREN	1,600
WOUNDED WARRIOR PROJECT 370 7TH AVENUE SUITE 1802 NEW YORK,NY 10011	NONE	PUBLIC	TO FOSTER THE MOST SUCCESSFUL, WELL-ADJUSTED GENERATION OF WOUNDED SERVICE MEMBERS IN OUR NATION'S HISTORY	200
YOUNG WOMEN'S LEADERSHIP NETWORK 322 8TH AVENUE 14TH FLOOR NEW YORK,NY 10001	NONE	PUBLIC	TO SUPPORT TWO LIFE-CHANGING PROGRAMS THAT EMPOWER STUDENTS TO BREAK THE CYCLE OF POVERTY THROUGH EDUCATION	600
YOUTH RENEWAL FUND 250 WEST 57TH STREET SUITE 632 NEW YORK,NY 10107	NONE	PUBLIC	PROVIDE CRITICAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITIES TO DISADVANTAGED ISRAELI CHILDREN	1,000
YWCA OF GREENWICH 259 EAST PUTNAM AVENUE GREENWICH,CT 06830	NONE	PUBLIC	TO ENHANCE THE LIVES OF WOMEN, MEN, AND CHILDREN WITHA VARIETY OF PROGRAMS AND ACTIVITIES	1,500
Total  3a				70,058

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.	OMB No 1545-0047 2013

Name of the organization ROBERT & DALE ROSEN CHARITABLE FOUNDATION TR U/A 8/05/92	Employer identification number 13-6997530
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization ROBERT & DALE ROSEN CHARITABLE FOUNDATION TR U/A 8/05/92	Employer identification number 13-6997530
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed
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(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT L ROSEN AND DALE ATKINS ROSE 67 PECKSLAND RD GREENWICH, CT 06831 	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

13-6997530

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization ROBERT & DALE ROSEN CHARITABLE FOUNDATION TR U/A 8/05/92	Employer identification number 13-6997530
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

TY 2013 Investments - Other Schedule

Name: ROBERT & DALE ROSEN CHARITABLE
FOUNDATION TR U/A 8/05/92

EIN: 13-6997530

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
200 MURPHY OIL	AT COST	1,452	12,976
100 AMGEN	AT COST	2,012	11,408
50 IBM	AT COST	3,533	9,378
2000 SAPIENT CORP	AT COST	21,330	34,720
200 YUM BRANDS	AT COST	6,366	15,122
125 JOHNSON & JOHNSON	AT COST	8,707	11,449
150 REGENERON PHARMACEUTICALS	AT COST	23,256	41,286
200 FLY LEASING LTD	AT COST	3,062	3,214
400 DISCOVERY COMMUNICATIONS	AT COST	23,604	36,168
220 EOG RES INC	AT COST	25,222	36,925
50 MURPHY USA INC	AT COST	234	2,078

TY 2013 Other Expenses Schedule**Name:** ROBERT & DALE ROSEN CHARITABLE

FOUNDATION TR U/A 8/05/92

EIN: 13-6997530

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	2,207	2,207		0
ADR FEES	3	3		0

TY 2013 Taxes Schedule

Name: ROBERT & DALE ROSEN CHARITABLE

FOUNDATION TR U/A 8/05/92

EIN: 13-6997530

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	1,400	0		0