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Form **990-PF**

Return of Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

Open to Public Inspection

For calendar year **2013** or tax year beginning , **2013**, and ending , **20**

Name of foundation **EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH**

P60670000

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

10 S DEARBORN IL1-0117

City or town, state or province, country, and ZIP or foreign postal code

CHICAGO, IL 60603

G Check all that apply:

Initial return

Final return

Address change

Initial return of a former public charity

Amended return

Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☒ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

16) **\$ 16,603,455.**

J Accounting method:

☒ Cash

☐ Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis.)

A Employer identification number

13-6655406

B Telephone number (see instructions)

866-888-5157

C If exemption application is pending, check here

D 1 Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60 month termination under section 507(b)(1)(B), check here

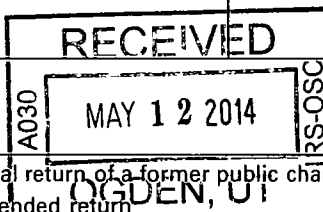
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	281,051.	274,645.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	956,632.			
b Gross sales price for all assets on line 6a	9,023,045.			
7 Capital gain net income (from Part IV, line 2)		956,632.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	-11,193.			STMT 2
12 Total. Add lines 1 through 11	1,226,490.	1,231,277.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	155,232.	116,424.		38,808.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 3	5,419.	5,419.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 4	750.			750.
24 Total operating and administrative expenses. Add lines 13 through 23	161,401.	121,843.	NONE	39,558.
25 Contributions, gifts, grants paid	676,000.			676,000.
26 Total expenses and disbursements. Add lines 24 and 25	837,401.	121,843.	NONE	715,558.
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements	389,089.			
b Net investment income (if negative, enter -0-)		1,109,434.		
c Adjusted net income (if negative, enter -0-)				

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POSTMARK DATE MAY 07 2014

SCANNED MAY 19 2014



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		94,695.	218,100.	218,100.
	2	Savings and temporary cash investments		952,045.	702,652.	702,652.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶	NONE			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule) .				
	b	Investments - corporate stock (attach schedule)		8,757,822.	8,812,470.	12,276,348.
	c	Investments - corporate bonds (attach schedule)		2,482,554.	1,221,816.	1,263,519.
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule)	STMT 5	445,500.	2,172,631.	2,142,836.
	14	Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		12,732,616.	13,127,669.	16,603,455.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons .				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)				NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		12,732,616.	13,127,669.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds . .				
	30	Total net assets or fund balances (see instructions)		12,732,616.	13,127,669.	
	31	Total liabilities and net assets/fund balances (see instructions)		12,732,616.	13,127,669.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,732,616.
2	Enter amount from Part I, line 27a	2	389,089.
3	Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	3	5,964.
4	Add lines 1, 2, and 3	4	13,127,669.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	13,127,669.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 9,023,045.		8,066,413.	956,632.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			956,632.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	956,632.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	726,670.	14,422,053.	0.050386
2011	714,820.	14,658,845.	0.048764
2010	632,780.	14,190,324.	0.044592
2009	722,053.	12,953,060.	0.055744
2008	749,753.	14,240,103.	0.052651
2 Total of line 1, column (d)			2 0.252137
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.050427
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 15,674,721.
5 Multiply line 4 by line 3			5 790,429.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 11,094.
7 Add lines 5 and 6			7 801,523.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 715,558.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	22,189.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	22,189.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	22,189.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	5,656.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	5,656.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	16,533.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> NONE <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>n/a</u>	13	X	
14	The books are in care of ▶ <u>SEE STATEMENT 6</u> Telephone no ▶ _____ Located at ▶ _____ ZIP+4 ▶ _____			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ <input checked="" type="checkbox"/> <u>15</u> and enter the amount of tax-exempt interest received or accrued during the year			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ _____	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> 1b		X
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) 3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMorgan Chase Bank, NA 10 S Dearborn St. Fl 21, Chicago, IL 60603	trustee 40	155,232.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐

NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	15,328,251.
b	Average of monthly cash balances	1b	585,171.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	15,913,422.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	15,913,422.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	238,701.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	15,674,721.
6	Minimum investment return. Enter 5% of line 5	6	783,736.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	783,736.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	22,189.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	22,189.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	761,547.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	761,547.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	761,547.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	715,558.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	715,558.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	715,558.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				761,547.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			675,570.	
b Total for prior years 20 <u>11</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2013.				
a From 2008	NONE			
b From 2009	NONE			
c From 2010	NONE			
d From 2011	NONE			
e From 2012	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ <u>715,558.</u>				
a Applied to 2012, but not more than line 2a			675,570.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2013 distributable amount				39,988.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				721,559.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9.				
a Excess from 2009	NONE			
b Excess from 2010	NONE			
c Excess from 2011	NONE			
d Excess from 2012	NONE			
e Excess from 2013	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
a Paid during the year SEE STATEMENT 10				676,000.
Total			3a	676,000.
b Approved for future payment				
Total			3b	

20

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
DIVIDEND AND INTEREST FROM SECURITIES	281,051.	274,645.
	-----	-----
TOTAL	281,051.	274,645.
	=====	=====

FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
FEDERAL TAX REFUND	2,522.
DEFERRED INCOME	-13,715.

TOTALS	-11,193.
	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FOREIGN TAXES	11.	11.
FOREIGN TAXES ON QUALIFIED FOR	4,186.	4,186.
FOREIGN TAXES ON NONQUALIFIED	1,222.	1,222.
	-----	-----
TOTALS	5,419.	5,419.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
OTHER EXPENSES	750.	750.
TOTALS	----- 750. =====	----- 750. =====

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH
FORM 990PF, PART II - OTHER INVESTMENTS
=====

13-6655406

COST/
FMV
C OR F

DESCRIPTION

ALTERNATIVE ASSETS

C

TOTALS

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: JPMorgan Chase Bank, NA

ADDRESS: 10 S DEARBORN ST., FL 21 IL1-0117
CHICAGO, IL 60603

TELEPHONE NUMBER: (866)888-5157

RECIPIENT NAME:
AMYOTROPHIC LATERAL SCLEROSIS ASSOC
ADDRESS:
42 BROADWAY SUITE 1724
NEW YORK, NY 10004
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 50,000.

RECIPIENT NAME:
National Multiple SCLEROSIS
ADDRESS:
733 THIRD AVENUE 3RD FLOOR,
New York, NY 10017
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 50,000.

RECIPIENT NAME:
Helen Keller Services FOR THE BLIND
ADDRESS:
57 WILLOUGHBY STREET
Brooklyn, NY 11201
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 65,000.

RECIPIENT NAME:
Southside Hospital
ADDRESS:
301 ESAT MAIN STREET
BAY SHORE, NY 11706-8458
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 65,000.

RECIPIENT NAME:
Cancer Care Inc.
ADDRESS:
275 SEVENTH AVE. (AT 25TH STREET)
New York, NY 10001
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 60,000.

RECIPIENT NAME:
New Alternatives for Children, Inc
ADDRESS:
37 WEST 26THSTREET 6TH FL
New York, NY 10010
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 60,000.

RECIPIENT NAME:

Care for the Homeless

ADDRESS:

30 EAST 33RD STREET, 5TH FLOOR
New York, NY 10016-5337

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 30,000.

RECIPIENT NAME:

NEW YORK AND PRESBYTERIAN HOSPITAL

ADDRESS:

525 EAST 68TH STREET, BOX 123
New York, NY 10065

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 95,000.

RECIPIENT NAME:

New York Universities HOSPITALS

ADDRESS:

550 FIRST AVENUE
New York, NY 10016

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 50,000.

RECIPIENT NAME:
Children's Aid Society
ADDRESS:
105 EAST 22ND STREET
New York, NY 10010
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 40,000.

RECIPIENT NAME:
ALZHEIMERS ASSOCIATION
ADDRESS:
360 LEXINGTON AVENUE - 4TH FLOOR
NEW YORK, NY 10017
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 45,000.

RECIPIENT NAME:
Good Samaritan Hospital FOUNDATION
ADDRESS:
1000 MONTAUK HIGHWASY
West Islip, NY 11795
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 66,000.

TOTAL GRANTS PAID: 676,000.
=====

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2013

Name of estate or trust

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Employer identification number

13-6655406

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked	2,724,648.	2,780,301.		-55,653.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824			4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts			5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet			6 ()	
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶			7	-55,653.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked	6,089,220.	5,286,112.		803,108.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824			11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts			12	
13 Capital gain distributions			13	209,177.
14 Gain from Form 4797, Part I			14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet			15 ()	
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶			16	1,012,285.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

JSA
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31 -

Part III Summary of Parts I and II**Caution:** Read the instructions **before** completing this part.

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		-55,653.
18 Net long-term gain or (loss):			
a Total for year	18a		1,012,285.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b		
c 28% rate gain	18c		156.
19 Total net gain or (loss). Combine lines 17 and 18a. ▶	19		956,632.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	20	()
a The loss on line 19, column (3) or		
b \$3,000		

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if.

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34).	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-	27		
28 Enter the smaller of the amount on line 21 or \$2,450	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26	32		
33 Enter the smaller of line 21 or \$11,950	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-	35		
36 Enter the smaller of line 32 or line 35	36		
37 Multiply line 36 by 15% ▶	37		
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-	40		
41 Multiply line 40 by 20% ▶	41		
42 Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶	45		

Schedule D (Form 1041) 2013

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	KINDER MORGAN INC	06/29/2012	01/10/2013	74.00	64.00			10.00
2.	KINDER MORGAN INC	VAR	01/10/2013	74.00	64.00			10.00
3.	KINDER MORGAN INC	06/29/2012	01/10/2013	111.00	97.00			14.00
14.	KINDER MORGAN INC	VAR	01/10/2013	519.00	447.00			72.00
1.	KINDER MORGAN INC	06/29/2012	01/11/2013	37.00	32.00			5.00
1.	KINDER MORGAN INC	06/22/2012	01/11/2013	37.00	32.00			5.00
2.	KINDER MORGAN INC	06/22/2012	01/11/2013	74.00	63.00			11.00
1.	KINDER MORGAN INC	06/22/2012	01/11/2013	37.00	32.00			5.00
2.	KINDER MORGAN INC	VAR	01/11/2013	74.00	63.00			11.00
2.	KINDER MORGAN INC	06/22/2012	01/14/2013	74.00	63.00			11.00
3.	KINDER MORGAN INC	VAR	01/14/2013	111.00	92.00			19.00
3.	LINKEDIN CORP - A	04/09/2012	01/14/2013	353.00	297.00			56.00
2.	LINKEDIN CORP - A	04/09/2012	01/14/2013	235.00	198.00			37.00
1.	KINDER MORGAN INC	06/22/2012	01/15/2013	37.00	32.00			5.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	KINDER MORGAN INC	VAR	01/15/2013	110.00	95.00			15.00
2.	KINDER MORGAN INC	VAR	01/15/2013	74.00	63.00			11.00
1.	LINKEDIN CORP - A	04/09/2012	01/15/2013	118.00	99.00			19.00
4.	LINKEDIN CORP - A	04/09/2012	01/15/2013	472.00	396.00			76.00
13.	LINKEDIN CORP - A	04/09/2012	01/15/2013	1,531.00	1,287.00			244.00
1.	KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00			6.00
1.	KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00			6.00
1.	KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00			6.00
5.	KINDER MORGAN INC	06/28/2012	01/16/2013	183.00	156.00			27.00
1.	KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00			6.00
3.	KINDER MORGAN INC	VAR	01/16/2013	110.00	93.00			17.00
2.	KINDER MORGAN INC	VAR	01/16/2013	73.00	62.00			11.00
2.	LINKEDIN CORP - A	04/09/2012	01/16/2013	234.00	198.00			36.00
1.	LINKEDIN CORP - A	04/09/2012	01/16/2013	118.00	99.00			19.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	LINKEDIN CORP - A	04/09/2012	01/16/2013	821.00	693.00			128.00
3.	LINKEDIN CORP - A	04/09/2012	01/16/2013	354.00	297.00			57.00
12.	ORACLE CORP	04/12/2012	01/16/2013	418.00	343.00			75.00
2.	ORACLE CORP	04/12/2012	01/16/2013	70.00	57.00			13.00
3.	ORACLE CORP	04/12/2012	01/16/2013	104.00	86.00			18.00
2.	KINDER MORGAN INC	06/27/2012	01/17/2013	75.00	62.00			13.00
10.	KINDER MORGAN INC	VAR	01/17/2013	372.00	311.00			61.00
1.	KINDER MORGAN INC	06/27/2012	01/17/2013	37.00	31.00			6.00
3.	KINDER MORGAN INC	VAR	01/17/2013	111.00	93.00			18.00
3.	KINDER MORGAN INC	06/25/2012	01/17/2013	112.00	93.00			19.00
2.	KINDER MORGAN INC	06/25/2012	01/17/2013	74.00	62.00			12.00
1.	LINKEDIN CORP - A	04/09/2012	01/17/2013	118.00	99.00			19.00
2.	LINKEDIN CORP - A	04/09/2012	01/17/2013	237.00	198.00			39.00
3.	LINKEDIN CORP - A	04/09/2012	01/17/2013	355.00	297.00			58.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions

Form **8949** (2013)

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	8. ORACLE CORP	04/12/2012	01/17/2013	277.00	229.00			48.00
	48. ORACLE CORP	04/12/2012	01/17/2013	1,667.00	1,374.00			293.00
	14. EOG RESOURCES INC	VAR	01/18/2013	1,767.00	1,496.00			271.00
	3. EOG RESOURCES INC	VAR	01/18/2013	377.00	315.00			62.00
	1. KINDER MORGAN INC	06/28/2012	01/18/2013	37.00	31.00			6.00
	1. KINDER MORGAN INC	06/28/2012	01/18/2013	37.00	31.00			6.00
	7. KINDER MORGAN INC	VAR	01/18/2013	260.00	217.00			43.00
	2. KINDER MORGAN INC	06/27/2012	01/18/2013	75.00	62.00			13.00
	1. KINDER MORGAN INC	06/27/2012	01/18/2013	37.00	31.00			6.00
	5. KINDER MORGAN INC	VAR	01/18/2013	186.00	155.00			31.00
	2. KINDER MORGAN INC	06/27/2012	01/22/2013	75.00	62.00			13.00
	3. KINDER MORGAN INC	VAR	01/22/2013	113.00	93.00			20.00
	2. KINDER MORGAN INC	VAR	01/22/2013	75.00	62.00			13.00
	5. KINDER MORGAN INC	VAR	01/22/2013	188.00	154.00			34.00
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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Form **8949** (2013)

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
4.	KINDER MORGAN INC	06/26/2012	01/22/2013	151.00	123.00			28.00
2	KINDER MORGAN INC	06/26/2012	01/22/2013	75.00	62.00			13.00
1.	KINDER MORGAN INC	06/26/2012	01/23/2013	38.00	31.00			7.00
1.	KINDER MORGAN INC	06/26/2012	01/23/2013	38.00	31.00			7.00
2	KINDER MORGAN INC	06/26/2012	01/23/2013	75.00	62.00			13.00
2.	KINDER MORGAN INC	06/26/2012	01/23/2013	75.00	62.00			13.00
2.	KINDER MORGAN INC	06/26/2012	01/24/2013	74.00	62.00			12.00
1.	KINDER MORGAN INC	06/26/2012	01/24/2013	37.00	31.00			6.00
1.	KINDER MORGAN INC	06/26/2012	01/24/2013	38.00	31.00			7.00
1.	KINDER MORGAN INC	06/25/2012	01/25/2013	37.00	31.00			6.00
5	KINDER MORGAN INC	VAR	01/25/2013	186.00	154.00			32.00
1.	KINDER MORGAN INC	06/25/2012	01/25/2013	37.00	31.00			6.00
7.	KINDER MORGAN INC	VAR	01/28/2013	262.00	215.00			47.00
1.	KINDER MORGAN INC	06/26/2012	01/28/2013	37.00	31.00			6.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked **Box A** above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

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Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
5	AMERICAN EXPRESS CO	10/22/2012	02/01/2013	300.00	283.00			17.00
3.	AMERICAN EXPRESS CO	10/22/2012	02/01/2013	180.00	170.00			10.00
3.	AMERICAN EXPRESS CO	10/22/2012	02/01/2013	179.00	170.00			9.00
1.	AMERICAN EXPRESS CO	10/22/2012	02/01/2013	60.00	57.00			3.00
6.	AMERICAN EXPRESS CO	10/23/2012	02/01/2013	359.00	336.00			23.00
4.	AMERICAN EXPRESS CO	VAR	02/01/2013	239.00	224.00			15.00
6.	AMERICAN EXPRESS CO	10/23/2012	02/01/2013	360.00	335.00			25.00
1.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	60.00	56.00			4.00
4.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	237.00	223.00			14.00
7.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	416.00	391.00			25.00
3.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	179.00	167.00			12.00
1.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	59.00	56.00			3.00
3.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	179.00	167.00			12.00
6.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	357.00	335.00			22.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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Form **8949** (2013)

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	59.00	56.00			3.00
3.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	180.00	167.00			13.00
6.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	362.00	335.00			27.00
2.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	121.00	112.00			9.00
3.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	181.00	167.00			14.00
5.	AMERICAN EXPRESS CO	VAR	02/05/2013	301.00	279.00			22.00
2.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	121.00	111.00			10.00
5.	TIME WARNER CABLE INC	07/27/2012	02/07/2013	438.00	423.00			15.00
37.	TIME WARNER CABLE INC	07/27/2012	02/07/2013	3,244.00	3,129.00			115.00
43.	NETAPP INC	03/20/2012	02/08/2013	1,545.00	1,952.00			-407.00
4.	NETAPP INC	03/20/2012	02/08/2013	143.00	182.00			-39.00
6.	NETAPP INC	03/20/2012	02/08/2013	216.00	272.00			-56.00
9.	NETAPP INC	03/20/2012	02/08/2013	320.00	409.00			-89.00
9.	TIME WARNER CABLE INC	07/27/2012	02/08/2013	788.00	761.00			27.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
56.	NETAPP INC	07/06/2012	02/11/2013	1,988.00	1,662.00			326.00
8.	GENERAL MLS INC	04/13/2012	02/13/2013	343.00	311.00			32.00
97.	GENERAL MLS INC	VAR	02/13/2013	4,163.00	3,758.00			405.00
15.	HALLIBURTON CO	01/07/2013	02/13/2013	615.00	550.00			65.00
91.	HALLIBURTON CO	VAR	02/13/2013	3,714.00	3,329.00			385.00
9.	PIONEER NAT RES CO	04/19/2012	02/26/2013	1,089.00	953.00			136.00
49.	FREEPORT-MCMORAN COPPE B	03/20/2012	03/01/2013	1,538.00	1,912.00			-374.00
9.	PRUDENTIAL FINL INC	06/05/2012	03/04/2013	497.00	413.00			84.00
1.	PRUDENTIAL FINL INC	06/05/2012	03/04/2013	55.00	46.00			9.00
4.	PRUDENTIAL FINL INC	06/05/2012	03/04/2013	221.00	184.00			37.00
4.	PRUDENTIAL FINL INC	06/05/2012	03/04/2013	219.00	184.00			35.00
11.	WELLS FARGO & CO NEW	03/16/2012	03/04/2013	390.00	374.00			16.00
4.	WELLS FARGO & CO NEW	03/16/2012	03/04/2013	142.00	136.00			6.00
7.	WELLS FARGO & CO NEW	03/16/2012	03/04/2013	250.00	238.00			12.00
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

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► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	WELLS FARGO & CO NEW	03/16/2012	03/04/2013	249.00	238.00			11.00
6.	PRUDENTIAL FINL INC	06/05/2012	03/05/2013	342.00	276.00			66.00
2.	PRUDENTIAL FINL INC	06/05/2012	03/05/2013	113.00	92.00			21.00
5.	PRUDENTIAL FINL INC	06/05/2012	03/05/2013	286.00	230.00			56.00
3.	PRUDENTIAL FINL INC	06/05/2012	03/05/2013	171.00	138.00			33.00
4.	WELLS FARGO & CO NEW	03/16/2012	03/05/2013	144.00	136.00			8.00
5.	WELLS FARGO & CO NEW	03/16/2012	03/05/2013	181.00	170.00			11.00
2.	WELLS FARGO & CO NEW	03/16/2012	03/05/2013	72.00	68.00			4.00
9.	WELLS FARGO & CO NEW	03/16/2012	03/05/2013	323.00	306.00			17.00
8.	WELLS FARGO & CO NEW	03/16/2012	03/05/2013	287.00	272.00			15.00
51.	QUALCOMM INC	05/07/2012	03/06/2013	3,397.00	3,158.00			239.00
12.	QUALCOMM INC	VAR	03/06/2013	801.00	727.00			74.00
3.	WELLS FARGO & CO NEW	03/16/2012	03/06/2013	108.00	102.00			6.00
12.	WELLS FARGO & CO NEW	03/16/2012	03/06/2013	432.00	408.00			24.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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Form **8949** (2013)

Sales and Other Dispositions of Capital AssetsDepartment of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
15.	QUALCOMM INC	12/14/2012	03/07/2013	998.00	904.00			94.00
5.	QUALCOMM INC	12/14/2012	03/08/2013	334.00	301.00			33.00
4.	WELLS FARGO & CO NEW	03/16/2012	03/12/2013	147.00	136.00			11.00
3.	WELLS FARGO & CO NEW	06/05/2012	03/13/2013	110.00	91.00			19.00
9.	WELLS FARGO & CO NEW	06/05/2012	03/13/2013	331.00	274.00			57.00
55.	MICROSOFT CORP	07/06/2012	03/15/2013	1,542.00	1,655.00			-113.00
6.	CBS CORP	12/03/2012	03/19/2013	280.00	217.00			63.00
9.	CBS CORP	12/03/2012	03/19/2013	411.00	325.00			86.00
5.	CBS CORP	12/03/2012	03/19/2013	233.00	181.00			52.00
12.	CBS CORP	12/03/2012	03/19/2013	550.00	434.00			116.00
3.	CBS CORP	12/03/2012	03/19/2013	137.00	108.00			29.00
8.	CBS CORP	12/03/2012	03/19/2013	365.00	289.00			76.00
4.	CBS CORP	12/03/2012	03/19/2013	182.00	145.00			37.00
10	CBS CORP	VAR	03/20/2013	464.00	360.00			104.00
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.

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3X2615 2 000Form **8949** (2013)

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3	CBS CORP	12/04/2012	03/20/2013	139.00	107.00			32.00
9.	CBS CORP	12/04/2012	03/20/2013	417.00	322.00			95.00
12.	CBS CORP	12/04/2012	03/25/2013	545.00	429.00			116.00
26.	CBS CORP	12/04/2012	03/25/2013	1,183.00	929.00			254.00
8.	CBS CORP	12/04/2012	03/25/2013	363.00	286.00			77.00
8.	CBS CORP	12/04/2012	03/26/2013	366.00	286.00			80.00
9.	CBS CORP	12/04/2012	03/26/2013	410.00	322.00			88.00
6.	CBS CORP	12/05/2012	03/26/2013	272.00	214.00			58.00
10.	CBS CORP	12/05/2012	03/28/2013	467.00	357.00			110.00
6.	CBS CORP	12/05/2012	03/28/2013	278.00	214.00			64.00
6.	CBS CORP	12/05/2012	04/01/2013	279.00	214.00			65.00
5.	CBS CORP	12/05/2012	04/01/2013	228.00	178.00			50.00
4.	CBS CORP	12/05/2012	04/01/2013	183.00	143.00			40.00
5.	CBS CORP	VAR	04/01/2013	228.00	178.00			50.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2013)

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
6.	CBS CORP	12/05/2012	04/02/2013	272.00	214.00			58.00
8.	CBS CORP	12/05/2012	04/03/2013	359.00	285.00			74.00
13	BAIDU.COM-ADR	09/26/2012	04/04/2013	1,107.00	1,469.00			-362.00
14.	CBS CORP	12/05/2012	04/04/2013	631.00	498.00			133.00
37.	CAMERON INTERNATIONAL	VAR	04/04/2013	2,266.00	2,380.00			-114.00
73.	CHENIERE ENERGY INC	VAR	04/04/2013	1,850.00	1,569.00			281.00
14.	CAMERON INTERNATIONAL	VAR	04/05/2013	851.00	802.00			49.00
48.	CHENIERE ENERGY INC	VAR	04/05/2013	1,234.00	819.00			415.00
2	CAREFUSION CORPORATION	VAR	04/08/2013	69.00	57.00			12.00
1.	CAREFUSION CORPORATION	09/12/2012	04/08/2013	34.00	28.00			6.00
43.	CAREFUSION CORPORATION	VAR	04/08/2013	1,474.00	1,198.00			276.00
6.	CAREFUSION CORPORATION	VAR	04/08/2013	206.00	167.00			39.00
10	CAREFUSION CORPORATION	VAR	04/09/2013	346.00	277.00			69.00
18.	CAREFUSION CORPORATION	VAR	04/09/2013	622.00	485.00			137.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
10.	CAREFUSION CORPORATION	VAR	04/09/2013	345.00	261.00			84.00
5.	CAREFUSION CORPORATION	04/30/2012	04/10/2013	173.00	130.00			43.00
23	CAREFUSION CORPORATION	VAR	04/10/2013	798.00	597.00			201.00
2.	CAREFUSION CORPORATION	04/27/2012	04/10/2013	69.00	52.00			17.00
32.	EMERSON ELEC CO	VAR	04/12/2013	1,790.00	1,759.00			31.00
11.	COGNIZANT TECHNOLOGY S A	05/07/2012	04/19/2013	762.00	642.00			120.00
57.	3M CO	VAR	04/22/2013	6,024.00	5,528.00			496.00
33.	CISCO SYS INC	02/08/2013	04/25/2013	680.00	700.00			-20.00
14.	CISCO SYS INC	02/08/2013	04/25/2013	289.00	297.00			-8.00
61.	CISCO SYS INC	VAR	04/25/2013	1,261.00	1,294.00			-33.00
104.	CISCO SYS INC	01/16/2013	04/25/2013	2,147.00	2,196.00			-49.00
37.	CISCO SYS INC	VAR	04/26/2013	761.00	759.00			2.00
13	CISCO SYS INC	12/05/2012	04/26/2013	267.00	252.00			15.00
4.	CISCO SYS INC	12/05/2012	04/26/2013	82.00	78.00			4.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
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2.	CISCO SYS INC	12/05/2012	04/26/2013	41.00	39.00			2.00
34.	CISCO SYS INC	VAR	04/26/2013	700.00	658.00			42.00
18.	CISCO SYS INC	12/05/2012	04/29/2013	375.00	348.00			27.00
34.	MERCK & CO INC	03/12/2013	05/01/2013	1,556.00	1,537.00			19.00
25.	MERCK & CO INC	03/12/2013	05/01/2013	1,134.00	1,130.00			4.00
31.	AMERICAN INTL GROUP IN	VAR	05/07/2013	1,387.00	1,215.00			172.00
90.	AMERICAN INTL GROUP IN	VAR	05/07/2013	4,005.00	3,493.00			512.00
36	CISCO SYS INC	VAR	05/07/2013	732.00	697.00			35.00
14.	VMWARE INC-CLASS A	VAR	05/07/2013	1,074.00	1,189.00			-115.00
43.	CISCO SYS INC	12/05/2012	05/08/2013	885.00	832.00			53.00
35.	CME GROUP INC	06/05/2012	05/09/2013	2,130.00	1,877.00			253.00
14.	CME GROUP INC	VAR	05/10/2013	862.00	729.00			133.00
15.	CME GROUP INC	VAR	05/10/2013	911.00	766.00			145.00
4.	TEXAS INSTRS INC	03/07/2013	05/14/2013	146.00	141.00			5.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

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You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	TEXAS INSTRS INC	03/07/2013	05/15/2013	110.00	106.00			4.00
4.	TEXAS INSTRS INC	03/07/2013	05/15/2013	147.00	141.00			6.00
3.	TEXAS INSTRS INC	03/07/2013	05/15/2013	110.00	106.00			4.00
2.	TEXAS INSTRS INC	03/07/2013	05/16/2013	74.00	70.00			4.00
4.	TEXAS INSTRS INC	03/07/2013	05/16/2013	147.00	141.00			6.00
5.	TEXAS INSTRS INC	03/07/2013	05/16/2013	183.00	176.00			7.00
5.	TEXAS INSTRS INC	03/07/2013	05/16/2013	183.00	176.00			7.00
2.	CHENIERE ENERGY INC	12/14/2012	05/17/2013	61.00	34.00			27.00
1.	CHENIERE ENERGY INC	12/14/2012	05/17/2013	31.00	17.00			14.00
9.	CHENIERE ENERGY INC	12/14/2012	05/17/2013	276.00	153.00			123.00
3.	CHENIERE ENERGY INC	12/14/2012	05/17/2013	92.00	51.00			41.00
3	CHENIERE ENERGY INC	12/14/2012	05/17/2013	92.00	51.00			41.00
15.	TEXAS INSTRS INC	VAR	05/17/2013	549.00	527.00			22.00
1	CHENIERE ENERGY INC	12/14/2012	05/20/2013	31.00	17.00			14.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949**2013**Attachment
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	CHENIERE ENERGY INC	12/14/2012	05/20/2013	93.00	51.00			42.00
1.	CHENIERE ENERGY INC	12/14/2012	05/20/2013	31.00	17.00			14.00
2.	CHENIERE ENERGY INC	12/14/2012	05/20/2013	62.00	34.00			28.00
1.	CHENIERE ENERGY INC	12/14/2012	05/20/2013	31.00	17.00			14.00
1.	CHENIERE ENERGY INC	12/14/2012	05/20/2013	31.00	17.00			14.00
13.	TEXAS INSTRS INC	03/06/2013	05/20/2013	477.00	456.00			21.00
2.	CHENIERE ENERGY INC	12/14/2012	05/21/2013	61.00	34.00			27.00
6.	CHENIERE ENERGY INC	VAR	05/21/2013	184.00	99.00			85.00
1.	CHENIERE ENERGY INC	10/26/2012	05/21/2013	31.00	16.00			15.00
7.	TEXAS INSTRS INC	03/06/2013	05/21/2013	257.00	246.00			11.00
1.	CHENIERE ENERGY INC	10/22/2012	05/22/2013	30.00	16.00			14.00
1.	CHENIERE ENERGY INC	10/22/2012	05/22/2013	30.00	16.00			14.00
1.	CHENIERE ENERGY INC	10/22/2012	05/22/2013	31.00	16.00			15.00
5.	CHENIERE ENERGY INC	10/22/2012	05/22/2013	154.00	80.00			74.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	CHENIERE ENERGY INC	10/22/2012	05/22/2013	31.00	16.00			15.00
11	TEXAS INSTRS INC	03/06/2013	05/22/2013	395.00	386.00			9.00
5.	CHENIERE ENERGY INC	10/22/2012	05/23/2013	146.00	80.00			66.00
3.	CHENIERE ENERGY INC	10/22/2012	05/23/2013	87.00	48.00			39.00
1.	CHENIERE ENERGY INC	10/22/2012	05/23/2013	29.00	16.00			13.00
72.	TEXAS INSTRS INC	VAR	05/23/2013	2,585.00	2,526.00			59.00
29	TEXAS INSTRS INC	VAR	05/23/2013	1,042.00	1,015.00			27.00
50.	VMWARE INC-CLASS A	VAR	05/30/2013	3,574.00	4,218.00			-644.00
14.	WILLIAMS COS INC	01/11/2013	06/07/2013	493.00	476.00			17.00
2.	WILLIAMS COS INC	01/11/2013	06/07/2013	70.00	68.00			2.00
	9348.84 PIMCO COMMODITYPL	02/11/2013	06/10/2013	99,098.00	106,577.00			-7,479.00
6.	WILLIAMS COS INC	01/11/2013	06/10/2013	210.00	204.00			6.00
5	WILLIAMS COS INC	VAR	06/10/2013	176.00	169.00			7.00
8.	WILLIAMS COS INC	01/10/2013	06/10/2013	280.00	270.00			10.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions

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3X2615 2 000Form **8949** (2013)

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
6.	WILLIAMS COS INC	01/10/2013	06/10/2013	210.00	203.00			7.00
23.	WILLIAMS COS INC	01/10/2013	06/13/2013	773.00	776.00			-3.00
22.	WILLIAMS COS INC	VAR	06/13/2013	737.00	742.00			-5.00
18.	WILLIAMS COS INC	VAR	06/13/2013	600.00	605.00			-5.00
24.	OCCIDENTAL PETE CORP	VAR	06/21/2013	2,157.00	2,224.00			-67.00
4.	ALLERGAN INC	05/07/2013	06/24/2013	338.00	418.00			-80.00
3.	ALLERGAN INC	05/07/2013	06/24/2013	252.00	314.00			-62.00
7.	ALLERGAN INC	05/07/2013	06/24/2013	585.00	732.00			-147.00
5.	ALLERGAN INC	05/22/2013	06/24/2013	429.00	493.00			-64.00
4.	ALLERGAN INC	05/22/2013	06/24/2013	335.00	395.00			-60.00
1.	ALLERGAN INC	05/22/2013	06/24/2013	85.00	99.00			-14.00
3.	ALLERGAN INC	05/22/2013	06/24/2013	246.00	296.00			-50.00
2.	ALLERGAN INC	05/22/2013	06/24/2013	172.00	197.00			-25.00
2.	ALLERGAN INC	05/22/2013	06/25/2013	170.00	197.00			-27.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949**2013**Attachment
Sequence No **12A**

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Name(s) shown on return

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	INVESCO LTD SHS	12/14/2012	06/28/2013	32.00	25.00			7.00
4.	INVESCO LTD SHS	12/14/2012	06/28/2013	127.00	102.00			25.00
3.	INVESCO LTD SHS	12/14/2012	06/28/2013	96.00	76.00			20.00
6.	INVESCO LTD SHS	12/14/2012	06/28/2013	191.00	153.00			38.00
4.	INVESCO LTD SHS	12/14/2012	06/28/2013	128.00	102.00			26.00
5.	ONYX PHARMACEUTICALS IN	04/01/2013	07/01/2013	656.00	456.00			200.00
7.	ONYX PHARMACEUTICALS IN	VAR	07/01/2013	918.00	633.00			285.00
7.	ONYX PHARMACEUTICALS IN	03/28/2013	07/01/2013	922.00	625.00			297.00
2.	ONYX PHARMACEUTICALS IN	03/28/2013	07/01/2013	263.00	178.00			85.00
1.	INVESCO LTD SHS	12/14/2012	07/01/2013	32.00	25.00			7.00
2.	INVESCO LTD SHS	12/14/2012	07/01/2013	64.00	51.00			13.00
28.	PHILLIPS 66	VAR	07/02/2013	1,597.00	1,495.00			102.00
1.	INVESCO LTD SHS	12/14/2012	07/02/2013	32.00	25.00			7.00
5.	APACHE CORP	05/20/2013	07/03/2013	403.00	420.00			-17.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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Form **8949** (2013)

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2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	APACHE CORP	05/20/2013	07/03/2013	161.00	168.00			-7.00
2	APACHE CORP	05/20/2013	07/03/2013	160.00	168.00			-8.00
1.	INVESCO LTD SHS	12/14/2012	07/03/2013	32.00	25.00			7.00
16133.613	JPMORGAN SHORT D FUND	02/11/2013	07/05/2013	175,534.00	177,308.00			-1,774.00
1.	INVESCO LTD SHS	12/14/2012	07/05/2013	32.00	25.00			7.00
2.	INVESCO LTD SHS	12/14/2012	07/05/2013	64.00	51.00			13.00
1.	INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00			7.00
1.	INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00			7.00
2.	INVESCO LTD SHS	12/14/2012	07/08/2013	64.00	51.00			13.00
160000.	CS REN HSCEI 02/	02/01/2013	07/09/2013	117,040.00	158,400.00			-41,360.00
1.	INVESCO LTD SHS	12/14/2012	07/09/2013	32.00	25.00			7.00
4.	INVESCO LTD SHS	12/14/2012	07/09/2013	128.00	102.00			26.00
1.	INVESCO LTD SHS	12/14/2012	07/10/2013	32.00	25.00			7.00
4.	INVESCO LTD SHS	12/14/2012	07/10/2013	126.00	102.00			24.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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2013Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

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Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
8.	INVESCO LTD SHS	12/14/2012	07/11/2013	256.00	204.00			52.00
3.	INVESCO LTD SHS	VAR	07/11/2013	96.00	76.00			20.00
9.	PHILIP MORRIS INTERNATI	01/15/2013	07/12/2013	804.00	802.00			2.00
2.	PHILIP MORRIS INTERNATI	01/15/2013	07/12/2013	180.00	178.00			2.00
34.	TIME WARNER INC	VAR	07/12/2013	2,085.00	1,843.00			242.00
5.	TIME WARNER INC	09/26/2012	07/12/2013	308.00	224.00			84.00
1.	INVESCO LTD SHS	12/14/2012	07/12/2013	32.00	25.00			7.00
12.	INVESCO LTD SHS	VAR	07/12/2013	383.00	305.00			78.00
16.	PHILIP MORRIS INTERNAT	01/15/2013	07/15/2013	1,436.00	1,425.00			11.00
20.	TIME WARNER INC	09/26/2012	07/15/2013	1,229.00	896.00			333.00
2.	TIME WARNER INC	09/26/2012	07/15/2013	123.00	90.00			33.00
2.	GOOGLE INC	05/22/2013	07/16/2013	1,833.00	1,812.00			21.00
3.	GOOGLE INC	VAR	07/16/2013	2,754.00	2,713.00			41.00
22.	TIME WARNER INC	09/26/2012	07/16/2013	1,346.00	985.00			361.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1	GOOGLE INC	04/04/2013	07/17/2013	919.00	794.00			125.00
1.	GOOGLE INC	04/04/2013	07/17/2013	918.00	794.00			124.00
1.	GOOGLE INC	04/19/2013	07/17/2013	918.00	788.00			130.00
2.	WALTER INDS INC	09/07/2012	07/24/2013	24.00	69.00			-45.00
2.	WALTER INDS INC	09/07/2012	07/25/2013	23.00	69.00			-46.00
20.	LINKEDIN CORP - A	VAR	07/29/2013	4,053.00	3,556.00			497.00
5.	JOHNSON CTLS INC	05/20/2013	07/30/2013	206.00	189.00			17.00
1	JOHNSON CTLS INC	05/20/2013	07/30/2013	41.00	38.00			3.00
1.	JOHNSON CTLS INC	05/20/2013	07/30/2013	41.00	38.00			3.00
1.	JOHNSON CTLS INC	05/20/2013	07/30/2013	40.00	38.00			2.00
4	JOHNSON CTLS INC	05/20/2013	07/30/2013	162.00	151.00			11.00
	18398.418 JOHN HANCOCK II-	02/11/2013	07/31/2013	181,592.00	197,967.00			-16,375.00
9.	JOHNSON CTLS INC	05/20/2013	07/31/2013	364.00	339.00			25.00
1.	JOHNSON CTLS INC	05/20/2013	07/31/2013	40.00	38.00			2.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	JOHNSON CTLS INC	05/20/2013	07/31/2013	81.00	75.00			6.00
3.	ONYX PHARMACEUTICALS IN	03/28/2013	07/31/2013	397.00	268.00			129.00
3.	ONYX PHARMACEUTICALS IN	04/03/2013	07/31/2013	396.00	264.00			132.00
4.	ONYX PHARMACEUTICALS IN	VAR	07/31/2013	527.00	349.00			178.00
5.	WALTER INDS INC	09/07/2012	07/31/2013	58.00	173.00			-115.00
7.	JOHNSON CTLS INC	05/20/2013	08/01/2013	288.00	264.00			24.00
3.	JOHNSON CTLS INC	05/20/2013	08/01/2013	123.00	113.00			10.00
4.	NISOURCE INC	04/05/2013	08/01/2013	123.00	119.00			4.00
11.	ONYX PHARMACEUTICALS I	VAR	08/01/2013	1,467.00	951.00			516.00
24.	WALTER INDS INC	09/07/2012	08/01/2013	279.00	829.00			-550.00
4.	JOHNSON CTLS INC	05/20/2013	08/02/2013	165.00	151.00			14.00
1.	JOHNSON CTLS INC	05/20/2013	08/02/2013	41.00	38.00			3.00
4.	NISOURCE INC	04/05/2013	08/02/2013	124.00	119.00			5.00
19.	XILINX INC	05/08/2013	08/02/2013	878.00	732.00			146.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949**2013**Department of the Treasury
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1	JOHNSON CTLS INC	05/20/2013	08/05/2013	41.00	38.00			3.00
5.	XILINX INC	05/08/2013	08/05/2013	230.00	193.00			37.00
15.	XILINX INC	VAR	08/05/2013	691.00	577.00			114.00
2.	JOHNSON CTLS INC	05/20/2013	08/06/2013	81.00	75.00			6.00
4.	NISOURCE INC	04/05/2013	08/06/2013	121.00	119.00			2.00
7.	WALTER INDS INC	09/07/2012	08/06/2013	75.00	242.00			-167.00
4.	WALTER INDS INC	09/07/2012	08/06/2013	42.00	138.00			-96.00
23.	XILINX INC	05/08/2013	08/06/2013	1,055.00	883.00			172.00
10.	XILINX INC	05/08/2013	08/06/2013	456.00	384.00			72.00
4.	NISOURCE INC	04/05/2013	08/07/2013	123.00	119.00			4.00
4.	WALTER INDS INC	09/07/2012	08/07/2013	41.00	138.00			-97.00
13.	WALTER INDS INC	09/07/2012	08/07/2013	131.00	449.00			-318.00
1.	XILINX INC	05/08/2013	08/07/2013	46.00	38.00			8.00
11	XILINX INC	VAR	08/07/2013	502.00	422.00			80.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions

Form **8949** (2013)

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2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	XILINX INC	05/07/2013	08/07/2013	91.00	76.00			15.00
11.	XILINX INC	05/07/2013	08/08/2013	501.00	420.00			81.00
1	XILINX INC	05/07/2013	08/08/2013	46.00	38.00			8.00
4.	XILINX INC	05/07/2013	08/09/2013	181.00	153.00			28.00
10.	NISOURCE INC	04/05/2013	08/12/2013	305.00	298.00			7.00
2	XILINX INC	05/07/2013	08/12/2013	91.00	76.00			15.00
4.	XILINX INC	05/07/2013	08/12/2013	181.00	153.00			28.00
51.	NISOURCE INC	VAR	08/13/2013	1,570.00	1,498.00			72.00
1.	XILINX INC	05/07/2013	08/13/2013	45.00	38.00			7.00
1.	XILINX INC	05/08/2013	08/13/2013	45.00	38.00			7.00
2.	XILINX INC	05/08/2013	08/13/2013	90.00	76.00			14.00
2.	NISOURCE INC	02/27/2013	08/14/2013	61.00	55.00			6.00
9.	NISOURCE INC	02/27/2013	08/14/2013	277.00	247.00			30.00
3.	TARGET CORP	04/24/2013	08/14/2013	211.00	209.00			2.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2013)

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EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number
13-6655406

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss if you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	TARGET CORP	04/24/2013	08/14/2013	140.00	139.00			1.00
2.	TARGET CORP	04/24/2013	08/14/2013	140.00	139.00			1.00
7.	TARGET CORP	VAR	08/14/2013	490.00	488.00			2.00
1.	XILINX INC	05/08/2013	08/14/2013	45.00	38.00			7.00
1.	XILINX INC	05/08/2013	08/14/2013	45.00	38.00			7.00
1	XILINX INC	05/08/2013	08/14/2013	45.00	38.00			7.00
6.	CERNER CORP	01/14/2013	08/15/2013	283.00	246.00			37.00
14.	CERNER CORP	01/14/2013	08/15/2013	660.00	574.00			86.00
2.	NISOURCE INC	02/27/2013	08/15/2013	61.00	55.00			6.00
1.	TARGET CORP	04/23/2013	08/15/2013	69.00	70.00			-1.00
2.	TARGET CORP	04/23/2013	08/15/2013	138.00	139.00			-1.00
3.	TARGET CORP	04/23/2013	08/15/2013	207.00	209.00			-2.00
12.	TARGET CORP	VAR	08/15/2013	831.00	832.00			-1.00
18.	ASML HOLDING N.V.	10/26/2012	08/15/2013	1,645.00	1,293.00			352.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

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Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
23.	CERNER CORP	VAR	08/16/2013	1,084.00	929.00			155.00
6.	CERNER CORP	01/15/2013	08/19/2013	286.00	241.00			45.00
11.	NISOURCE INC	02/27/2013	08/19/2013	327.00	302.00			25.00
1.	NISOURCE INC	02/27/2013	08/20/2013	30.00	27.00			3.00
12.	EDISON INTL	VAR	09/11/2013	541.00	572.00			-31.00
1.	EDISON INTL	06/07/2013	09/11/2013	45.00	47.00			-2.00
26.	ASML HOLDING N.V.	10/26/2012	09/11/2013	2,321.00	1,868.00			453.00
4.	EDISON INTL	VAR	09/12/2013	179.00	190.00			-11.00
27.	EDISON INTL	VAR	09/12/2013	1,204.00	1,276.00			-72.00
1.	EDISON INTL	06/14/2013	09/12/2013	45.00	47.00			-2.00
60.	DOW CHEM CO	VAR	09/13/2013	2,379.00	1,996.00			383.00
6.	EDISON INTL	VAR	09/13/2013	270.00	283.00			-13.00
25.	EDISON INTL	VAR	09/13/2013	1,124.00	1,155.00			-31.00
25.	ASML HOLDING N.V.	VAR	09/18/2013	2,345.00	1,777.00			568.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked **Box A** above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
5.	CVS CORP	04/11/2013	09/19/2013	299.00	290.00			9.00
5	CVS CORP	04/11/2013	09/19/2013	299.00	290.00			9.00
1.	CVS CORP	04/11/2013	09/19/2013	60.00	58.00			2.00
12.	CVS CORP	04/11/2013	09/19/2013	718.00	695.00			23.00
2.	CVS CORP	04/11/2013	09/19/2013	119.00	116.00			3.00
98.	HEWLETT PACKARD CO	VAR	09/19/2013	2,094.00	2,657.00			-563.00
198.	HEWLETT PACKARD CO	VAR	09/19/2013	4,261.00	5,330.00			-1,069.00
17.	CVS CORP	VAR	09/20/2013	1,010.00	980.00			30.00
57.	CVS CORP	VAR	09/20/2013	3,331.00	3,279.00			52.00
1.	HEWLETT PACKARD CO	08/09/2013	09/20/2013	21.00	27.00			-6.00
10.	INTUITIVE SURGICAL INC	VAR	09/26/2013	3,673.00	5,393.00			-1,720.00
13932.285	JPMORGAN REALTY	08/20/2013	09/27/2013	164,401.00	158,131.00			6,270.00
13.	AXIAL CORPORATION	04/12/2013	10/02/2013	496.00	744.00			-248.00
19.	AXIAL CORPORATION	VAR	10/02/2013	724.00	1,034.00			-310.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2013)

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949**2013**Attachment
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

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You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2. TARGET CORP		04/22/2013	10/02/2013	127.00	138.00			-11.00
56. AXIALL CORPORATION		01/18/2013	10/03/2013	2,124.00	2,454.00			-330.00
36. AXIALL CORPORATION		01/18/2013	10/04/2013	1,372.00	1,578.00			-206.00
17. AXIALL CORPORATION		01/18/2013	10/04/2013	650.00	745.00			-95.00
6. DTE ENERGY CO		VAR	10/17/2013	398.00	429.00			-31.00
6 DTE ENERGY CO		VAR	10/17/2013	401.00	428.00			-27.00
17. DTE ENERGY CO		VAR	10/17/2013	1,134.00	1,202.00			-68.00
4. DTE ENERGY CO		VAR	10/17/2013	268.00	278.00			-10.00
2. DTE ENERGY CO		VAR	10/17/2013	133.00	136.00			-3.00
3 DTE ENERGY CO		VAR	10/17/2013	199.00	202.00			-3.00
8. AVAGO TECHNOLOGIES LTD		06/17/2013	10/17/2013	359.00	305.00			54.00
2. AVAGO TECHNOLOGIES LTD		VAR	10/17/2013	90.00	76.00			14.00
5. DTE ENERGY CO		VAR	10/18/2013	337.00	336.00			1.00
4. DTE ENERGY CO		VAR	10/18/2013	269.00	268.00			1.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	DTE ENERGY CO	VAR	10/18/2013	202.00	201.00			1.00
1.	DTE ENERGY CO	09/11/2013	10/18/2013	67.00	67.00			
8.	AVAGO TECHNOLOGIES LTD	06/17/2013	10/18/2013	367.00	305.00			62.00
2.	DTE ENERGY CO	09/11/2013	10/21/2013	134.00	134.00			
5.	DTE ENERGY CO	VAR	10/21/2013	333.00	334.00			-1.00
5.	DTE ENERGY CO	09/11/2013	10/21/2013	334.00	334.00			
14.	AVAGO TECHNOLOGIES LTD	VAR	10/21/2013	655.00	532.00			123.00
70.	BAXTER INTL INC	VAR	10/22/2013	4,571.00	5,084.00			-513.00
13.	BAXTER INTL INC	VAR	10/22/2013	849.00	916.00			-67.00
7.	DTE ENERGY CO	09/11/2013	10/22/2013	473.00	468.00			5.00
5.	DTE ENERGY CO	VAR	10/22/2013	337.00	333.00			4.00
1.	AVAGO TECHNOLOGIES LTD	05/31/2013	10/22/2013	47.00	38.00			9.00
3.	AVAGO TECHNOLOGIES LTD	05/31/2013	10/22/2013	140.00	114.00			26.00
14.	BAXTER INTL INC	VAR	10/23/2013	913.00	978.00			-65.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
25.	CHENIERE ENERGY INC	VAR	10/23/2013	957.00	396.00			561.00
70.	EATON CORP PLC	VAR	10/23/2013	4,742.00	4,662.00			80.00
8.	EATON CORP PLC	08/05/2013	10/23/2013	542.00	531.00			11.00
1.	AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	45.00	38.00			7.00
1.	AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	45.00	38.00			7.00
28.	CAMERON INTERNATIONAL	11/05/2012	10/24/2013	1,510.00	1,457.00			53.00
1.	CAMERON INTERNATIONAL C	11/05/2012	10/24/2013	54.00	52.00			2.00
49.	EATON CORP PLC	VAR	10/24/2013	3,337.00	3,240.00			97.00
17.	BROADCOM CORP CL A	11/19/2012	10/25/2013	450.00	527.00			-77.00
35.	BROADCOM CORP CL A	VAR	10/25/2013	926.00	1,079.00			-153.00
21.	BROADCOM CORP CL A	11/16/2012	10/25/2013	558.00	631.00			-73.00
16.	BROADCOM CORP CL A	VAR	10/25/2013	423.00	481.00			-58.00
4.	AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	182.00	152.00			30.00
1.	AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	46.00	38.00			8.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss if you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	10. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	456.00	380.00			76.00
	10. AVAGO TECHNOLOGIES LTD	05/31/2013	10/28/2013	455.00	380.00			75.00
	15. AVAGO TECHNOLOGIES LTD	05/31/2013	10/29/2013	689.00	570.00			119.00
	3903.146 THE ARBITRAGE FUN	05/21/2013	11/01/2013	50,194.00	49,726.00			468.00
	1390. SPDR TR UNIT SER 1	08/20/2013	11/01/2013	244,809.00	230,140.00			14,669.00
	12. DOW CHEM CO	10/22/2013	11/07/2013	469.00	499.00			-30.00
	1. DOW CHEM CO	10/22/2013	11/07/2013	39.00	42.00			-3.00
	3. DOW CHEM CO	10/22/2013	11/07/2013	118.00	125.00			-7.00
	5. DOW CHEM CO	10/22/2013	11/08/2013	197.00	208.00			-11.00
	3. DOW CHEM CO	10/22/2013	11/11/2013	119.00	125.00			-6.00
	6. DOW CHEM CO	10/22/2013	11/11/2013	237.00	249.00			-12.00
	4. ARCHER DANIELS MIDLAND	05/17/2013	11/12/2013	162.00	140.00			22.00
	4. DOW CHEM CO	10/22/2013	11/12/2013	157.00	166.00			-9.00
	1. DOW CHEM CO	10/22/2013	11/12/2013	40.00	42.00			-2.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions

JSA
3X2615 2 000Form **8949** (2013)

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2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

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Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
5.	ARCHER DANIELS MIDLAND	VAR	11/13/2013	205.00	174.00			31.00
3.	ARCHER DANIELS MIDLAND	05/17/2013	11/13/2013	123.00	104.00			19.00
2.	DOW CHEM CO	10/22/2013	11/13/2013	78.00	83.00			-5.00
26.	DOW CHEM CO	VAR	11/13/2013	1,023.00	908.00			115.00
2.	DOW CHEM CO	03/01/2013	11/13/2013	79.00	64.00			15.00
1.	DOW CHEM CO	03/01/2013	11/13/2013	39.00	32.00			7.00
2.	DOW CHEM CO	03/01/2013	11/13/2013	79.00	64.00			15.00
9.	ARCHER DANIELS MIDLAND	VAR	11/14/2013	376.00	312.00			64.00
29.	CITRIX SYS INC	12/12/2012	11/14/2013	1,599.00	1,875.00			-276.00
9.	DOW CHEM CO	03/01/2013	11/14/2013	360.00	286.00			74.00
1.	DOW CHEM CO	03/01/2013	11/14/2013	40.00	32.00			8.00
37.	ASML HOLDING N.V.	VAR	11/14/2013	3,282.00	2,410.00			872.00
6.	ARCHER DANIELS MIDLAND	VAR	11/15/2013	244.00	205.00			39.00
4.	ARCHER DANIELS MIDLAND	05/17/2013	11/15/2013	162.00	136.00			26.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
15.	DAVITA INC	09/20/2013	11/15/2013	884.00	885.00			-1.00
2.	DAVITA INC	09/20/2013	11/15/2013	118.00	118.00			
24.	DAVITA INC	VAR	11/15/2013	1,414.00	1,408.00			6.00
2.	ARCHER DANIELS MIDLAND	05/17/2013	11/18/2013	82.00	68.00			14.00
4.	ARCHER DANIELS MIDLAND	05/17/2013	11/18/2013	164.00	136.00			28.00
4.	ARCHER DANIELS MIDLAND	05/17/2013	11/19/2013	164.00	136.00			28.00
3.	ARCHER DANIELS MIDLAND	05/17/2013	11/19/2013	123.00	102.00			21.00
108.	MICROSOFT CORP	VAR	11/19/2013	3,979.00	3,663.00			316.00
36.	UNITEDHEALTH GROUP INC	VAR	11/19/2013	2,573.00	2,609.00			-36.00
8.	ARCHER DANIELS MIDLAND	VAR	11/20/2013	323.00	272.00			51.00
5488.382	EATON VANCE MUT F	04/16/2013	11/20/2013	51,701.00	53,279.00			-1,578.00
2448.	ISHARES MISCI EAFE I	11/01/2013	11/20/2013	160,379.00	160,417.00			-38.00
1173.	SPDR TR UNIT SER 1	VAR	11/20/2013	209,334.00	191,387.00			17,947.00
48.	ARCHER DANIELS MIDLAND	VAR	11/21/2013	1,971.00	1,577.00			394.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013Attachment
Sequence No **12A**

Name(s) shown on return

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

Social security number or taxpayer identification number

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss if you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
25. STRYKER CORP		VAR	11/25/2013	1,872.00	1,860.00			12.00
12. STRYKER CORP		VAR	11/26/2013	898.00	888.00			10.00
14. STRYKER CORP		VAR	11/26/2013	1,049.00	1,033.00			16.00
8. STRYKER CORP		VAR	11/27/2013	598.00	586.00			12.00
5. APPLIED MATLS INC		05/02/2013	12/13/2013	84.00	74.00			10.00
133. APPLIED MATLS INC		VAR	12/13/2013	2,221.00	1,946.00			275.00
215. APPLIED MATLS INC		VAR	12/13/2013	3,589.00	3,060.00			529.00
32. EMERSON ELEC CO		09/19/2013	12/13/2013	2,119.00	2,129.00			-10.00
1. LINKEDIN CORP - A		03/19/2013	12/13/2013	234.00	174.00			60.00
25. LINKEDIN CORP - A		VAR	12/13/2013	5,771.00	4,322.00			1,449.00
17. PENTAIR LTD		10/23/2013	12/13/2013	1,192.00	1,104.00			88.00
85. APPLIED MATLS INC		VAR	12/16/2013	1,420.00	1,202.00			218.00
29. APPLIED MATLS INC		VAR	12/16/2013	484.00	409.00			75.00
3. EMERSON ELEC CO		09/19/2013	12/16/2013	201.00	200.00			1.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Sales and Other Dispositions of Capital Assets

► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment
Sequence No. 12A

Name(s) shown on return

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
10.	PENTAIR LTD	10/23/2013	12/16/2013	714.00	649.00			65.00
37.	JOHNSON & JOHNSON	VAR	12/19/2013	3,393.00	3,418.00			-25.00
88	MICROSOFT CORP	09/11/2013	12/19/2013	3,202.00	2,889.00			313.00
71.	PERRIGO CO	VAR	12/19/2013	10,807.00	10,890.00			-83.00
71.	PERRIGO CO LTD		12/19/2013	223.00				223.00
28211.588	DOUBLELINE TOTAL	VAR	12/31/2013	304,121.00	320,270.00			-16,149.00
25377.892	EATON VANCE MUT	04/16/2013	12/31/2013	239,060.00	246,356.00			-7,296.00
39530.726	PIMCO UNCONSTRAI	04/16/2013	12/31/2013	438,396.00	456,975.00			-18,579.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶				2,724,648.	2,780,301			-55,653.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form 8949 (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
65.	LENNAR CORP	10/20/2011	01/10/2013	2,664.00	1,045.00			1,619.00
17.	LENNAR CORP	VAR	01/10/2013	696.00	248.00			448.00
37.	PROCTER & GAMBLE CO	02/13/2003	01/15/2013	2,582.00	1,516.00			1,066.00
1.	GOLDMAN SACHS GROUP INC	10/17/2011	01/16/2013	141.00	96.00			45.00
7.	GOLDMAN SACHS GROUP INC	VAR	01/16/2013	978.00	563.00			415.00
7.	GOLDMAN SACHS GROUP INC	12/16/2008	01/16/2013	987.00	519.00			468.00
7.	GOLDMAN SACHS GROUP INC	12/16/2008	01/16/2013	987.00	519.00			468.00
2.	GOLDMAN SACHS GROUP INC	12/16/2008	01/16/2013	279.00	148.00			131.00
4.	GOLDMAN SACHS GROUP INC	12/16/2008	01/16/2013	557.00	296.00			261.00
5.	ORACLE CORP	12/07/2010	01/16/2013	174.00	146.00			28.00
6.	ORACLE CORP	12/07/2010	01/16/2013	209.00	175.00			34.00
68.	JOHNSON CTLS INC	VAR	01/18/2013	2,090.00	873.00			1,217.00
11.	JOHNSON CTLS INC	03/19/2009	01/18/2013	338.00	125.00			213.00
135000.	DB BREN ASIA BASKE	01/13/2012	01/30/2013	159,300.00	133,650.00			25,650.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

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Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	115000. DB 95% PPN FX BASK	07/29/2011	02/01/2013	109,250.00	115,079.00			-5,829.00
	15. MERCK & CO INC	09/14/2009	02/05/2013	616.00	491.00			125.00
	21. MERCK & CO INC	09/14/2009	02/05/2013	867.00	688.00			179.00
	12. MERCK & CO INC	09/14/2009	02/05/2013	496.00	393.00			103.00
	7. PFIZER INC	02/18/2009	02/05/2013	192.00	100.00			92.00
	44. PFIZER INC	02/18/2009	02/05/2013	1,207.00	626.00			581.00
	8. PFIZER INC	02/18/2009	02/05/2013	219.00	114.00			105.00
	13. MERCK & CO INC	09/14/2009	02/06/2013	534.00	426.00			108.00
	12. MERCK & CO INC	09/14/2009	02/06/2013	491.00	393.00			98.00
	38. PFIZER INC	02/18/2009	02/06/2013	1,039.00	541.00			498.00
	7. PFIZER INC	02/18/2009	02/06/2013	191.00	100.00			91.00
	47. JOHNSON CTLS INC	03/19/2009	02/07/2013	1,440.00	534.00			906.00
	12. MERCK & CO INC	09/14/2009	02/07/2013	492.00	393.00			99.00
	2. PFIZER INC	02/18/2009	02/07/2013	54.00	28.00			26.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

EDWIN J. HOFFRITZ TR UW ARTICLE SEVENTH

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You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
10.	PFIZER INC	02/18/2009	02/07/2013	270.00	142.00			128.00
18.	JOHNSON CTLS INC	03/19/2009	02/08/2013	558.00	204.00			354.00
36.	MERCK & CO INC	09/14/2009	02/08/2013	1,477.00	1,179.00			298.00
12.	NETAPP INC	09/20/2011	02/08/2013	427.00	428.00			-1.00
5.	PFIZER INC	02/18/2009	02/08/2013	134.00	71.00			63.00
8.	PFIZER INC	02/18/2009	02/08/2013	214.00	114.00			100.00
10.	PFIZER INC	02/18/2009	02/08/2013	269.00	142.00			127.00
19.	PFIZER INC	02/18/2009	02/08/2013	510.00	270.00			240.00
1.	PFIZER INC	02/18/2009	02/08/2013	27.00	14.00			13.00
1.	PFIZER INC	02/18/2009	02/08/2013	27.00	14.00			13.00
10632.051	DREYFUS/LAUREL F	04/27/2010	02/11/2013	165,009.00	149,274.00			15,735.00
35564.214	JPMORGAN HIGH YI FUND	08/12/2008	02/11/2013	290,915.00	264,598.00			26,317.00
23616.933	MANNING & NAPIER	08/09/2011	02/11/2013	439,747.00	405,975.00			33,772.00
54.	NETAPP INC	09/20/2011	02/11/2013	1,917.00	1,925.00			-8.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

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Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
12.	PFIZER INC	02/18/2009	02/11/2013	325.00	171.00			154.00
22.	PFIZER INC	02/18/2009	02/11/2013	596.00	313.00			283.00
2.	PFIZER INC	02/18/2009	02/11/2013	54.00	28.00			26.00
4.	PFIZER INC	02/18/2009	02/11/2013	109.00	57.00			52.00
2.	PFIZER INC	02/18/2009	02/11/2013	54.00	28.00			26.00
3.	PFIZER INC	02/18/2009	02/11/2013	81.00	43.00			38.00
1.	PFIZER INC	02/18/2009	02/11/2013	27.00	14.00			13.00
2.	PFIZER INC	02/18/2009	02/11/2013	54.00	28.00			26.00
8.	PFIZER INC	02/18/2009	02/12/2013	216.00	114.00			102.00
16.	PFIZER INC	02/18/2009	02/12/2013	433.00	228.00			205.00
1.	PFIZER INC	02/18/2009	02/12/2013	27.00	14.00			13.00
2.	PFIZER INC	02/18/2009	02/12/2013	54.00	28.00			26.00
2.	PFIZER INC	02/18/2009	02/12/2013	54.00	28.00			26.00
4.	PFIZER INC	02/18/2009	02/12/2013	108.00	57.00			51.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

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☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
5.	PFIZER INC	02/18/2009	02/12/2013	135.00	71.00			64.00
9.	PFIZER INC	02/18/2009	02/12/2013	243.00	128.00			115.00
2.	PFIZER INC	02/18/2009	02/12/2013	54.00	28.00			26.00
3.	PFIZER INC	02/18/2009	02/12/2013	81.00	43.00			38.00
5.	PFIZER INC	02/18/2009	02/13/2013	135.00	71.00			64.00
9.	PFIZER INC	02/18/2009	02/13/2013	243.00	128.00			115.00
12.	PFIZER INC	VAR	02/13/2013	323.00	171.00			152.00
22.	PFIZER INC	02/18/2009	02/13/2013	592.00	313.00			279.00
	AXIAL CORPORATION		02/15/2013	52.00				52.00
2.	PIONEER NAT RES CO	01/19/2012	02/26/2013	242.00	202.00			40.00
29.	PIONEER NAT RES CO	VAR	02/26/2013	3,517.00	2,423.00			1,094.00
6.	PIONEER NAT RES CO	08/10/2011	02/26/2013	733.00	446.00			287.00
4.	PIONEER NAT RES CO	08/10/2011	02/26/2013	494.00	298.00			196.00
6.	PIONEER NAT RES CO	08/10/2011	02/26/2013	742.00	446.00			296.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶

Note. If you checked **Box D** above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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	98. FREEPORT-MCMORAN COPPE B	02/09/2009	03/01/2013	3,075.00	1,463.00			1,612.00
	3. PRUDENTIAL FINL INC	06/02/2009	03/05/2013	172.00	126.00			46.00
	1 PRUDENTIAL FINL INC	06/02/2009	03/05/2013	57.00	42.00			15.00
	150000. HSBC BREN EAFE 03/	02/17/2012	03/06/2013	159,058.00	148,500.00			10,558.00
	1. PRUDENTIAL FINL INC	06/02/2009	03/06/2013	57.00	42.00			15.00
	2. PRUDENTIAL FINL INC	06/02/2009	03/06/2013	114.00	84.00			30.00
	1. PRUDENTIAL FINL INC	06/02/2009	03/06/2013	57.00	42.00			15.00
	2. PRUDENTIAL FINL INC	06/02/2009	03/06/2013	114.00	84.00			30.00
	9. QUALCOMM INC	04/27/2004	03/08/2013	602.00	297.00			305.00
	181. PFIZER INC	VAR	03/12/2013	5,083.00	2,570.00			2,513.00
	14. WELLS FARGO & CO NEW	03/25/2010	03/12/2013	514.00	443.00			71.00
	34. WELLS FARGO & CO NEW	VAR	03/12/2013	1,247.00	1,059.00			188.00
	23 PFIZER INC	02/20/2009	03/13/2013	644.00	318.00			326.00
	37. PFIZER INC	02/20/2009	03/13/2013	1,036.00	511.00			525.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

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- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	12. PFIZER INC	02/20/2009	03/13/2013	337.00	166.00			171.00
	9. WELLS FARGO & CO NEW	12/17/2007	03/13/2013	329.00	275.00			54.00
	35. JUNIPER NETWORKS INC	VAR	03/14/2013	715.00	591.00			124.00
	22. JUNIPER NETWORKS INC	03/23/2009	03/14/2013	450.00	357.00			93.00
	41. JUNIPER NETWORKS INC	VAR	03/14/2013	840.00	652.00			188.00
	48. PFIZER INC	02/20/2009	03/14/2013	1,346.00	663.00			683.00
	6. PFIZER INC	02/20/2009	03/14/2013	168.00	83.00			85.00
	10. PFIZER INC	02/20/2009	03/14/2013	279.00	138.00			141.00
	39. JUNIPER NETWORKS INC	04/01/2009	03/15/2013	793.00	619.00			174.00
	123 JUNIPER NETWORKS INC	04/01/2009	03/15/2013	2,483.00	1,952.00			531.00
	18. MICROSOFT CORP	02/13/2003	03/15/2013	505.00	416.00			89.00
	18. PFIZER INC	02/20/2009	03/15/2013	503.00	249.00			254.00
	20. PFIZER INC	02/20/2009	03/15/2013	556.00	276.00			280.00
	7. CME GROUP INC	02/14/2012	03/18/2013	438.00	405.00			33.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
14.	CME GROUP INC	02/14/2012	03/18/2013	879.00	811.00			68.00
4.	CME GROUP INC	02/14/2012	03/18/2013	250.00	232.00			18.00
26.	PFIZER INC	VAR	03/18/2013	730.00	345.00			385.00
5.	CME GROUP INC	02/14/2012	03/19/2013	311.00	290.00			21.00
15.	CME GROUP INC	02/14/2012	03/19/2013	922.00	869.00			53.00
18.	PFIZER INC	03/03/2009	03/19/2013	503.00	217.00			286.00
19.	PFIZER INC	03/03/2009	03/19/2013	533.00	229.00			304.00
8.	CME GROUP INC	02/14/2012	03/20/2013	493.00	463.00			30.00
1.	CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00			4.00
1.	CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00			4.00
9.	CME GROUP INC	02/14/2012	03/20/2013	557.00	521.00			36.00
10.	CME GROUP INC	02/14/2012	03/20/2013	620.00	579.00			41.00
3.	CME GROUP INC	02/14/2012	03/20/2013	186.00	174.00			12.00
2.	CME GROUP INC	02/14/2012	03/20/2013	124.00	116.00			8.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	CME GROUP INC	02/14/2012	03/20/2013	185.00	174.00			11.00
34.	PFIZER INC	03/03/2009	03/20/2013	959.00	409.00			550.00
24.	PFIZER INC	03/03/2009	03/20/2013	678.00	289.00			389.00
49.	PFIZER INC	03/03/2009	03/21/2013	1,380.00	589.00			791.00
24.	PFIZER INC	03/03/2009	03/22/2013	678.00	289.00			389.00
11.	PFIZER INC	03/03/2009	03/22/2013	311.00	132.00			179.00
13.	PFIZER INC	03/03/2009	03/22/2013	368.00	156.00			212.00
1.	VERTEX PHARMACEUTICALS	11/28/2011	03/28/2013	55.00	27.00			28.00
7.	VERTEX PHARMACEUTICALS	11/28/2011	03/28/2013	385.00	191.00			194.00
1.	VERTEX PHARMACEUTICALS	11/28/2011	03/28/2013	55.00	27.00			28.00
2.	VERTEX PHARMACEUTICALS	11/28/2011	03/28/2013	110.00	55.00			55.00
2.	VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	109.00	55.00			54.00
4.	VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	215.00	109.00			106.00
3.	VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	163.00	82.00			81.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

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Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

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- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	VERTEX PHARMACEUTICALS	11/28/2011	04/02/2013	54.00	27.00			27.00
3.	VERTEX PHARMACEUTICALS	11/28/2011	04/02/2013	164.00	82.00			82.00
11.	VERTEX PHARMACEUTICALS	11/28/2011	04/03/2013	582.00	300.00			282.00
35.	BAIDU.COM-ADR	09/21/2010	04/04/2013	2,980.00	3,226.00			-246.00
5.	VERTEX PHARMACEUTICALS	11/28/2011	04/04/2013	263.00	136.00			127.00
1.	CARDINAL HEALTH INC	07/02/2010	04/08/2013	43.00	34.00			9.00
15.	CARDINAL HEALTH INC	07/02/2010	04/08/2013	638.00	510.00			128.00
1.	CARDINAL HEALTH INC	07/02/2010	04/08/2013	42.00	34.00			8.00
59.	CARDINAL HEALTH INC	VAR	04/08/2013	2,509.00	1,982.00			527.00
1.	PRUDENTIAL FINL INC	06/02/2009	04/08/2013	55.00	42.00			13.00
74.	PRUDENTIAL FINL INC	VAR	04/08/2013	4,109.00	2,365.00			1,744.00
7.	PRUDENTIAL FINL INC	04/02/2009	04/08/2013	388.00	157.00			231.00
5.	PRUDENTIAL FINL INC	04/02/2009	04/08/2013	277.00	112.00			165.00
43.	PRUDENTIAL FINL INC	04/02/2009	04/08/2013	2,383.00	963.00			1,420.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
14.	COVIDIEN PLC NEW	07/25/2011	04/08/2013	937.00	703.00			234.00
7.	PRUDENTIAL FINL INC	04/02/2009	04/09/2013	391.00	157.00			234.00
4.	COVIDIEN PLC NEW	07/25/2011	04/09/2013	270.00	201.00			69.00
300000.	BARC BREN SPX 04/1	03/23/2012	04/10/2013	334,620.00	297,000.00			37,620.00
1.	COVIDIEN PLC NEW	07/25/2011	04/10/2013	68.00	50.00			18.00
5.	COVIDIEN PLC NEW	07/25/2011	04/10/2013	340.00	251.00			89.00
1.	COVIDIEN PLC NEW	07/25/2011	04/11/2013	69.00	50.00			19.00
1.	COVIDIEN PLC NEW	07/25/2011	04/11/2013	68.00	50.00			18.00
4	COVIDIEN PLC NEW	07/25/2011	04/11/2013	274.00	201.00			73.00
1	COVIDIEN PLC NEW	07/25/2011	04/11/2013	68.00	50.00			18.00
29.	EMERSON ELEC CO	VAR	04/12/2013	1,622.00	1,636.00			-14.00
30.	EMERSON ELEC CO	05/18/2011	04/12/2013	1,672.00	1,625.00			47.00
5.	COVIDIEN PLC NEW	08/16/2011	04/12/2013	339.00	249.00			90.00
8.	BIOGEN IDEC INC	03/19/2010	04/15/2013	1,603.00	480.00			1,123.00

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	1. COVIDIEN PLC NEW	08/16/2011	04/15/2013	66.00	50.00			16.00
	36972.102 BLACKROCK FDS HI INSTL*	VAR	04/16/2013	304,650.00	281,464.00			23,186.00
	9463.6 JPMORGAN MARKET EXP FUND	05/07/2009	04/16/2013	111,197.00	64,163.00			47,034.00
	10901.219 MFS INTL VALUE-I	11/10/2011	04/16/2013	341,208.00	273,839.00			67,369.00
	15. GOLDMAN SACHS GROUP IN	12/16/2008	04/18/2013	2,091.00	1,111.00			980.00
	36. CME GROUP INC	VAR	04/19/2013	2,118.00	2,085.00			33.00
	32. COGNIZANT TECHNOLOGY S A	VAR	04/19/2013	2,216.00	1,709.00			507.00
	45. GOLDMAN SACHS GROUP IN	12/16/2008	04/19/2013	6,237.00	3,333.00			2,904.00
	16. COVIDIEN PLC NEW	08/16/2011	04/19/2013	1,060.00	798.00			262.00
	10. COGNIZANT TECHNOLOGY S A	05/13/2010	04/25/2013	622.00	527.00			95.00
	9. COGNIZANT TECHNOLOGY SO	05/13/2010	04/25/2013	552.00	475.00			77.00
	1. COGNIZANT TECHNOLOGY SO	05/13/2010	04/25/2013	63.00	53.00			10.00
	7. COGNIZANT TECHNOLOGY SO	05/13/2010	04/26/2013	433.00	369.00			64.00
	27. COGNIZANT TECHNOLOGY S A	VAR	04/26/2013	1,674.00	1,374.00			300.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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1.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	62.00	48.00			14.00
2.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	124.00	96.00			28.00
4.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	249.00	193.00			56.00
3.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	186.00	145.00			41.00
2.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	124.00	96.00			28.00
4.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	252.00	193.00			59.00
3.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	188.00	145.00			43.00
4.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	251.00	193.00			58.00
1.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00			15.00
2.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	126.00	96.00			30.00
5.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	313.00	241.00			72.00
5.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	314.00	241.00			73.00
2.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	125.00	96.00			29.00
1.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00			15.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
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7.	MERCK & CO INC	09/14/2009	05/01/2013	318.00	229.00			89.00
11.	MERCK & CO INC	09/14/2009	05/01/2013	502.00	360.00			142.00
16.	MERCK & CO INC	09/14/2009	05/01/2013	721.00	524.00			197.00
15.	MERCK & CO INC	09/14/2009	05/01/2013	690.00	491.00			199.00
2.	MERCK & CO INC	09/14/2009	05/01/2013	92.00	65.00			27.00
3.	MERCK & CO INC	09/14/2009	05/01/2013	137.00	98.00			39.00
6.	MERCK & CO INC	09/14/2009	05/01/2013	274.00	196.00			78.00
4.	MERCK & CO INC	VAR	05/01/2013	180.00	129.00			51.00
2.	MERCK & CO INC	08/16/2011	05/01/2013	92.00	64.00			28.00
3.	MERCK & CO INC	VAR	05/01/2013	137.00	96.00			41.00
5.	MERCK & CO INC	08/16/2011	05/01/2013	226.00	160.00			66.00
2.	MERCK & CO INC	08/16/2011	05/01/2013	91.00	64.00			27.00
5.	BIOGEN IDEC INC	03/19/2010	05/07/2013	1,069.00	300.00			769.00
1.	BIOGEN IDEC INC	03/19/2010	05/07/2013	213.00	60.00			153.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
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2.	BIOGEN IDEC INC	03/19/2010	05/07/2013	430.00	120.00			310.00
25.	CELGENE CORP.	VAR	05/07/2013	3,065.00	1,312.00			1,753.00
50.	CISCO SYS INC	VAR	05/08/2013	1,030.00	805.00			225.00
60.	CISCO SYS INC	08/16/2011	05/08/2013	1,241.00	956.00			285.00
12.	CME GROUP INC	02/14/2012	05/09/2013	733.00	695.00			38.00
6.	MICROSOFT CORP	02/13/2003	05/09/2013	196.00	139.00			57.00
12.	MICROSOFT CORP	02/13/2003	05/09/2013	393.00	277.00			116.00
4.	MICROSOFT CORP	02/13/2003	05/10/2013	131.00	92.00			39.00
34.	MICROSOFT CORP	02/13/2003	05/10/2013	1,106.00	786.00			320.00
73.	MERCK & CO INC	VAR	05/13/2013	3,368.00	2,179.00			1,189.00
69	MICROSOFT CORP	02/13/2003	05/13/2013	2,262.00	1,595.00			667.00
1.	QUALCOMM INC	04/27/2004	05/14/2013	65.00	33.00			32.00
150000.	GS BREN EAFE 05/15	04/27/2012	05/15/2013	179,121.00	148,500.00			30,621.00
1.	QUALCOMM INC	04/27/2004	05/15/2013	65.00	33.00			32.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

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- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	QUALCOMM INC	04/27/2004	05/16/2013	66.00	33.00			33.00
2.	QUALCOMM INC	04/27/2004	05/16/2013	132.00	66.00			66.00
1.	QUALCOMM INC	04/27/2004	05/16/2013	66.00	33.00			33.00
12.	EOG RESOURCES INC	04/24/2012	05/17/2013	1,617.00	1,252.00			365.00
3.	QUALCOMM INC	04/27/2004	05/17/2013	197.00	99.00			98.00
7.	BIOGEN IDEC INC	03/19/2010	05/20/2013	1,577.00	420.00			1,157.00
25.	EXXON MOBIL CORP	05/25/1995	05/20/2013	2,311.00	442.00			1,869.00
2.	QUALCOMM INC	04/27/2004	05/20/2013	133.00	66.00			67.00
9490	572 JPMORGAN STRATEGI OPPTYS FUND SEL	02/06/2009	05/21/2013	113,982.00	96,139.00			17,843.00
1.	QUALCOMM INC	04/27/2004	05/21/2013	66.00	33.00			33.00
8.	AMAZON COM INC	09/29/2010	05/22/2013	2,131.00	1,275.00			856.00
5.	AMAZON COM INC	09/29/2010	05/22/2013	1,326.00	797.00			529.00
8.	AMAZON COM INC	VAR	05/22/2013	2,125.00	1,041.00			1,084.00
2.	QUALCOMM INC	04/27/2004	05/22/2013	130.00	66.00			64.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side.)

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
19.	QUALCOMM INC	04/27/2004	05/24/2013	1,219.00	628.00			591.00
18.	EXXON MOBIL CORP	05/25/1995	05/31/2013	1,651.00	318.00			1,333.00
89.	MICROSOFT CORP	02/13/2003	06/06/2013	3,093.00	2,057.00			1,036.00
52.	METLIFE INC	VAR	06/13/2013	2,321.00	2,282.00			39.00
1.	ALLERGAN INC	01/19/2012	06/25/2013	85.00	87.00			-2.00
10.	ALLERGAN INC	01/19/2012	06/25/2013	841.00	870.00			-29.00
.	TYCO INTL LTD NEW		07/02/2013	92.00				92.00
140000.	HSBC BREN EAFE 0	06/15/2012	07/03/2013	170,947.00	138,600.00			32,347.00
9978.846	COLUMBIA ASIA PAX	08/09/2011	07/05/2013	123,339.00	129,525.00			-6,186.00
19420.757	JPM INFLATION MG SEL	05/29/2012	07/05/2013	201,782.00	207,996.00			-6,214.00
1.	INVESCO LTD SHS	10/28/2011	07/12/2013	32.00	21.00			11.00
3.	PHILIP MORRIS INTERNATI	06/21/2012	07/15/2013	269.00	257.00			12.00
2.	INVESCO LTD SHS	10/28/2011	07/15/2013	64.00	41.00			23.00
2.	INVESCO LTD SHS	10/28/2011	07/15/2013	64.00	41.00			23.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

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- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	INVESCO LTD SHS	10/28/2011	07/15/2013	32.00	21.00			11.00
2.	INVESCO LTD SHS	10/28/2011	07/16/2013	64.00	41.00			23.00
2.	INVESCO LTD SHS	10/28/2011	07/16/2013	64.00	41.00			23.00
1.	INVESCO LTD SHS	10/28/2011	07/16/2013	32.00	21.00			11.00
1.	INVESCO LTD SHS	10/28/2011	07/17/2013	32.00	21.00			11.00
1.	INVESCO LTD SHS	10/28/2011	07/17/2013	32.00	21.00			11.00
8.	AMAZON COM INC	08/13/2010	07/18/2013	2,434.00	1,008.00			1,426.00
2.	INVESCO LTD SHS	10/28/2011	07/18/2013	65.00	41.00			24.00
3.	INVESCO LTD SHS	10/28/2011	07/18/2013	98.00	62.00			36.00
2.	INVESCO LTD SHS	10/28/2011	07/18/2013	65.00	41.00			24.00
1.	INVESCO LTD SHS	10/28/2011	07/18/2013	33.00	21.00			12.00
	11494.035 JPMORGAN INTERNA CURRENCY	01/28/2010	07/19/2013	127,239.00	123,273.00			3,966.00
1.	WALTER INDS INC	02/02/2012	07/19/2013	14.00	74.00			-60.00
1.	INVESCO LTD SHS	10/28/2011	07/19/2013	33.00	21.00			12.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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1.	INVESCO LTD SHS	10/28/2011	07/19/2013	32.00	21.00			11.00
4.	INVESCO LTD SHS	10/28/2011	07/19/2013	130.00	83.00			47.00
1.	INVESCO LTD SHS	10/28/2011	07/19/2013	32.00	21.00			11.00
1.	INVESCO LTD SHS	10/28/2011	07/19/2013	32.00	21.00			11.00
4.	WALTER INDS INC	02/02/2012	07/22/2013	54.00	297.00			-243.00
1.	WALTER INDS INC	02/02/2012	07/22/2013	14.00	74.00			-60.00
4.	WALTER INDS INC	02/02/2012	07/22/2013	54.00	297.00			-243.00
2.	WALTER INDS INC	02/02/2012	07/22/2013	27.00	148.00			-121.00
1.	INVESCO LTD SHS	10/28/2011	07/22/2013	33.00	21.00			12.00
1.	INVESCO LTD SHS	10/28/2011	07/22/2013	33.00	21.00			12.00
2.	INVESCO LTD SHS	10/28/2011	07/22/2013	66.00	41.00			25.00
2.	INVESCO LTD SHS	10/28/2011	07/22/2013	66.00	41.00			25.00
1.	INVESCO LTD SHS	10/28/2011	07/22/2013	33.00	21.00			12.00
1.	INVESCO LTD SHS	10/28/2011	07/22/2013	33.00	21.00			12.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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1.	INVESCO LTD SHS	10/28/2011	07/22/2013	33.00	21.00			12.00
14.	WALTER INDS INC	02/02/2012	07/23/2013	200.00	1,039.00			-839.00
1.	WALTER INDS INC	02/02/2012	07/23/2013	14.00	74.00			-60.00
2.	WALTER INDS INC	02/02/2012	07/23/2013	28.00	148.00			-120.00
3.	WALTER INDS INC	02/02/2012	07/23/2013	43.00	223.00			-180.00
9.	WALTER INDS INC	02/02/2012	07/23/2013	129.00	668.00			-539.00
7.	WALTER INDS INC	VAR	07/23/2013	97.00	504.00			-407.00
5.	WALTER INDS INC	02/10/2012	07/23/2013	72.00	358.00			-286.00
2.	WALTER INDS INC	02/10/2012	07/23/2013	29.00	143.00			-114.00
4.	WALTER INDS INC	02/10/2012	07/24/2013	49.00	286.00			-237.00
13.	WALTER INDS INC	02/10/2012	07/24/2013	156.00	931.00			-775.00
	MALLINCKRODT PUB LTD CO		07/29/2013	22.00				22.00
	300000. BNP REN SPX 07/31/	07/13/2012	07/31/2013	361,500.00	297,000.00			64,500.00
	5846.772 JOHN HANCOCK II-E	08/29/2011	07/31/2013	57,708.00	60,982.00			-3,274.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	150000. UBS PARTICIPATING 7/31/13	07/20/2012	07/31/2013	126,661.00	148,500.00			-21,839.00
	6617. VANGUARD EMERGING MA	VAR	07/31/2013	258,953.00	273,671.00			-14,718.00
	2. CAREFUSION CORPORATION	04/27/2012	08/02/2013	72.00	52.00			20.00
	19. CAREFUSION CORPORATION	VAR	08/02/2013	685.00	492.00			193.00
	106. CAREFUSION CORPORATIO	VAR	08/02/2013	3,854.00	2,711.00			1,143.00
	150000. BARC BRENT CBEN 08	07/27/2012	08/07/2013	168,750.00	148,500.00			20,250.00
	140000. CS BREN EAFE 8/7/1	07/20/2012	08/07/2013	168,700.00	138,600.00			30,100.00
	3. COVIDIEN PLC NEW	08/16/2011	08/08/2013	192.00	150.00			42.00
	1. COVIDIEN PLC NEW	08/16/2011	08/08/2013	64.00	50.00			14.00
	3. APPLE COMPUTER INC	06/17/2010	08/09/2013	1,364.00	814.00			550.00
	7. APPLE COMPUTER INC	VAR	08/09/2013	3,208.00	1,869.00			1,339.00
	2. APPLE COMPUTER INC	05/07/2010	08/09/2013	916.00	482.00			434.00
	4. APPLE COMPUTER INC	05/07/2010	08/09/2013	1,827.00	963.00			864.00
	49. VERIZON COMMUNICATIONS	07/18/1994	08/09/2013	2,409.00	1,166.00			1,243.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	COVIDIEN PLC NEW	08/16/2011	08/09/2013	64.00	50.00			14.00
2.	COVIDIEN PLC NEW	08/16/2011	08/09/2013	127.00	100.00			27.00
2.	COVIDIEN PLC NEW	VAR	08/12/2013	128.00	86.00			42.00
2.	COVIDIEN PLC NEW	06/05/2009	08/12/2013	128.00	71.00			57.00
1.	COVIDIEN PLC NEW	06/05/2009	08/13/2013	64.00	36.00			28.00
2.	COVIDIEN PLC NEW	06/05/2009	08/13/2013	127.00	71.00			56.00
3.	COVIDIEN PLC NEW	06/05/2009	08/13/2013	191.00	107.00			84.00
1.	COVIDIEN PLC NEW	06/05/2009	08/13/2013	64.00	36.00			28.00
1.	COVIDIEN PLC NEW	06/05/2009	08/14/2013	64.00	36.00			28.00
1.	COVIDIEN PLC NEW	06/05/2009	08/14/2013	63.00	36.00			27.00
2.	COVIDIEN PLC NEW	06/05/2009	08/14/2013	127.00	71.00			56.00
48.	TYCO INTERNATIONAL LTD	VAR	08/14/2013	1,655.00	1,183.00			472.00
1.	COVIDIEN PLC NEW	06/05/2009	08/15/2013	62.00	36.00			26.00
92.	TYCO INTERNATIONAL LTD	VAR	08/15/2013	3,142.00	1,742.00			1,400.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
26.	TYCO INTERNATIONAL LTD	06/02/2010	08/15/2013	889.00	468.00			421.00
44.	TYCO INTERNATIONAL LTD	06/02/2010	08/16/2013	1,504.00	792.00			712.00
4.	COVIDIEN PLC NEW	06/05/2009	08/19/2013	244.00	143.00			101.00
2.	COVIDIEN PLC NEW	06/05/2009	08/20/2013	122.00	71.00			51.00
2.	COVIDIEN PLC NEW	06/05/2009	08/20/2013	122.00	71.00			51.00
4	MALLINCKRODT PUB LTD CO	VAR	08/21/2013	170.00	114.00			56.00
2.	COVIDIEN PLC NEW	06/05/2009	08/22/2013	121.00	71.00			50.00
3.	COVIDIEN PLC NEW	06/05/2009	08/22/2013	182.00	107.00			75.00
1.	COVIDIEN PLC NEW	06/05/2009	08/23/2013	61.00	36.00			25.00
1.	COVIDIEN PLC NEW	06/05/2009	08/23/2013	61.00	36.00			25.00
3.	COVIDIEN PLC NEW	06/05/2009	08/23/2013	182.00	107.00			75.00
1.	COVIDIEN PLC NEW	06/05/2009	08/26/2013	61.00	36.00			25.00
1.	COVIDIEN PLC NEW	06/05/2009	08/26/2013	61.00	36.00			25.00
1.	COVIDIEN PLC NEW	06/05/2009	08/26/2013	61.00	36.00			25.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	MALLINCKRODT PUB LTD CO	06/05/2009	08/26/2013	43.00	26.00			17.00
1.	COVIDIEN PLC NEW	06/05/2009	08/27/2013	60.00	36.00			24.00
1.	COVIDIEN PLC NEW	06/05/2009	08/27/2013	60.00	36.00			24.00
3.	MALLINCKRODT PUB LTD CO	06/05/2009	08/27/2013	126.00	79.00			47.00
3.	COVIDIEN PLC NEW	06/05/2009	08/28/2013	180.00	107.00			73.00
1.	COVIDIEN PLC NEW	06/05/2009	08/28/2013	60.00	36.00			24.00
1.	MALLINCKRODT PUB LTD CO	06/05/2009	08/28/2013	44.00	26.00			18.00
3.	MALLINCKRODT PUB LTD CO	06/05/2009	08/28/2013	132.00	79.00			53.00
.	INTERNATIONAL GAME TECHN		08/29/2013	41.00				41.00
1.	COVIDIEN PLC NEW	06/05/2009	08/29/2013	60.00	36.00			24.00
4.	COVIDIEN PLC NEW	06/05/2009	08/29/2013	241.00	143.00			98.00
1.	COVIDIEN PLC NEW	06/05/2009	08/30/2013	59.00	36.00			23.00
46.	CARDINAL HEALTH INC	08/09/2010	09/03/2013	2,314.00	1,522.00			792.00
27.	CARDINAL HEALTH INC	VAR	09/03/2013	1,355.00	603.00			752.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

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☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
11.	CARDINAL HEALTH INC	06/08/2009	09/03/2013	552.00	241.00			311.00
46.	VERIZON COMMUNICATIONS	07/18/1994	09/03/2013	2,105.00	1,094.00			1,011.00
5.	COVIDIEN PLC NEW	06/05/2009	09/03/2013	299.00	179.00			120.00
2.	COVIDIEN PLC NEW	06/05/2009	09/03/2013	119.00	71.00			48.00
27.	CARDINAL HEALTH INC	06/08/2009	09/04/2013	1,362.00	591.00			771.00
3.	CARDINAL HEALTH INC	06/08/2009	09/04/2013	151.00	66.00			85.00
2.	CARDINAL HEALTH INC	06/08/2009	09/04/2013	101.00	44.00			57.00
2.	COVIDIEN PLC NEW	06/05/2009	09/04/2013	121.00	71.00			50.00
2.	COVIDIEN PLC NEW	06/05/2009	09/04/2013	122.00	71.00			51.00
1.	COVIDIEN PLC NEW	06/05/2009	09/04/2013	60.00	36.00			24.00
8.	COVIDIEN PLC NEW	06/05/2009	09/04/2013	485.00	286.00			199.00
1.	COVIDIEN PLC NEW	06/05/2009	09/04/2013	60.00	36.00			24.00
1.	COVIDIEN PLC NEW	06/05/2009	09/04/2013	60.00	36.00			24.00
31.	CARDINAL HEALTH INC	06/08/2009	09/05/2013	1,562.00	679.00			883.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

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- ☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	COVIDIEN PLC NEW	06/05/2009	09/05/2013	121.00	71.00			50.00
1.	COVIDIEN PLC NEW	06/05/2009	09/05/2013	61.00	36.00			25.00
1	MALLINCKRODT PUB LTD CO	06/05/2009	09/05/2013	46.00	26.00			20.00
4.	COVIDIEN PLC NEW	06/05/2009	09/06/2013	244.00	143.00			101.00
4.	COVIDIEN PLC NEW	06/05/2009	09/09/2013	243.00	143.00			100.00
2.	COVIDIEN PLC NEW	06/05/2009	09/09/2013	122.00	71.00			51.00
4.	COVIDIEN PLC NEW	06/05/2009	09/10/2013	245.00	143.00			102.00
1.	COVIDIEN PLC NEW	06/05/2009	09/10/2013	61.00	36.00			25.00
3.	APPLE COMPUTER INC	05/07/2010	09/11/2013	1,405.00	723.00			682.00
1.	CELGENE CORP.	12/17/2007	09/11/2013	147.00	50.00			97.00
1.	CELGENE CORP.	12/17/2007	09/11/2013	149.00	50.00			99.00
10.	CELGENE CORP.	12/17/2007	09/11/2013	1,488.00	499.00			989.00
1	COVIDIEN PLC NEW	06/05/2009	09/11/2013	62.00	36.00			26.00
2.	COVIDIEN PLC NEW	06/05/2009	09/11/2013	124.00	71.00			53.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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- ☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
4.	COVIDIEN PLC NEW	06/05/2009	09/12/2013	246.00	143.00			103.00
2.	COVIDIEN PLC NEW	06/05/2009	09/12/2013	123.00	71.00			52.00
2.	COVIDIEN PLC NEW	06/05/2009	09/12/2013	123.00	71.00			52.00
100000.	BARC 93% PPN CURRE 9/13/13	09/09/2011	09/13/2013	93,000.00	103,008.00			-10,008.00
20.	COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	2,345.00	1,822.00			523.00
5.	COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	590.00	455.00			135.00
1.	COVIDIEN PLC NEW	06/05/2009	09/13/2013	61.00	36.00			25.00
19.	COSTCO WHSL CORP NEW	06/15/2012	09/16/2013	2,244.00	1,731.00			513.00
3.	APPLE COMPUTER INC	04/06/2006	09/19/2013	1,420.00	208.00			1,212.00
119.	LENNAR CORP	09/30/2011	09/19/2013	4,315.00	1,643.00			2,672.00
1.	TD AMERITRADE HOLDING C	08/19/2010	09/19/2013	27.00	16.00			11.00
13.	TD AMERITRADE HOLDING	08/19/2010	09/19/2013	345.00	207.00			138.00
20.	TD AMERITRADE HOLDING	VAR	09/19/2013	531.00	314.00			217.00
5.	TD AMERITRADE HOLDING C	04/18/2007	09/19/2013	132.00	78.00			54.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
6.	TD AMERITRADE HOLDING C	04/18/2007	09/19/2013	159.00	94.00			65.00
4.	TD AMERITRADE HOLDING C	04/18/2007	09/19/2013	106.00	63.00			43.00
1.	ENSCO PLC	07/18/2012	09/19/2013	56.00	50.00			6.00
6.	ENSCO PLC	07/18/2012	09/19/2013	334.00	300.00			34.00
6.	ENSCO PLC	VAR	09/19/2013	333.00	300.00			33.00
4.	APPLE COMPUTER INC	04/06/2006	09/20/2013	1,888.00	277.00			1,611.00
2.	TD AMERITRADE HOLDING C	04/18/2007	09/20/2013	53.00	31.00			22.00
3.	TD AMERITRADE HOLDING C	04/18/2007	09/20/2013	80.00	47.00			33.00
25.	TD AMERITRADE HOLDING	04/18/2007	09/20/2013	666.00	392.00			274.00
17.	ENSCO PLC	07/18/2012	09/20/2013	935.00	848.00			87.00
150000.	BARC BEN CMDTY BSK	09/14/2012	09/25/2013	159,000.00	148,500.00			10,500.00
129	TD AMERITRADE HOLDING	VAR	10/02/2013	3,373.00	1,954.00			1,419.00
54.	TARGET CORP	VAR	10/02/2013	3,429.00	3,530.00			-101.00
10.	AMAZON COM INC	08/13/2010	10/17/2013	3,093.00	1,260.00			1,833.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►

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Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

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- ☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
75.	INVESCO LTD SHS	07/22/2010	10/17/2013	2,426.00	1,449.00			977.00
6.	CHENIERE ENERGY INC	VAR	10/23/2013	230.00	96.00			134.00
25.	CAMERON INTERNATIONAL	VAR	10/24/2013	1,357.00	1,381.00			-24.00
52.	CAMERON INTERNATIONAL	VAR	10/24/2013	2,804.00	2,852.00			-48.00
130.	BROADCOM CORP CL A	08/13/2012	10/25/2013	3,438.00	4,512.00			-1,074.00
1.	BIOGEN IDEC INC	03/19/2010	10/31/2013	246.00	60.00			186.00
2.	BIOGEN IDEC INC	03/19/2010	10/31/2013	493.00	120.00			373.00
3.	TARGET CORP	VAR	10/31/2013	197.00	195.00			2.00
2.	TARGET CORP	09/19/2012	10/31/2013	130.00	129.00			1.00
1.	TARGET CORP	09/19/2012	10/31/2013	66.00	65.00			1.00
6.	TARGET CORP	VAR	10/31/2013	390.00	384.00			6.00
1.	TARGET CORP	09/18/2012	10/31/2013	66.00	64.00			2.00
26097 626	EDGEWOOD GROWTH	01/14/2011	11/01/2013	457,752.00	304,820.00			152,932.00
5.	BIOGEN IDEC INC	03/19/2010	11/01/2013	1,214.00	300.00			914.00
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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
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	21384.955 BLACKROCK FDS HI INSTL*	10/25/2011	11/01/2013	177,067.00	158,035.00			19,032.00
	9949.537 JPMORGAN GROWTH A FUND	12/05/2007	11/01/2013	134,418.00	90,839.00			43,579.00
	10297.189 JPMORGAN STRATEG OPPTYS FUND SEL	02/06/2009	11/01/2013	122,537.00	104,311.00			18,226.00
	3. TARGET CORP	09/18/2012	11/01/2013	194.00	192.00			2.00
	. TELE COMMUNICATIONS INC		11/01/2013	135.00				135.00
	1. BIOGEN IDEC INC	03/19/2010	11/04/2013	240.00	60.00			180.00
	1. BIOGEN IDEC INC	03/19/2010	11/04/2013	243.00	60.00			183.00
	1. BIOGEN IDEC INC	03/19/2010	11/04/2013	242.00	60.00			182.00
	7. TARGET CORP	09/18/2012	11/04/2013	456.00	448.00			8.00
	1. BIOGEN IDEC INC	03/19/2010	11/05/2013	244.00	60.00			184.00
	1. BIOGEN IDEC INC	03/19/2010	11/05/2013	242.00	60.00			182.00
	4. TARGET CORP	VAR	11/05/2013	259.00	256.00			3.00
	3. TARGET CORP	10/26/2012	11/06/2013	197.00	192.00			5.00
	8. TARGET CORP	VAR	11/06/2013	523.00	508.00			15.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶

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Form **8949** (2013)

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EDWIN J. HOFFRITZ TR UW ARTICLE SEVENTH

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	TARGET CORP	VAR	11/07/2013	458.00	441.00			17.00
3.	TARGET CORP	10/25/2012	11/08/2013	195.00	189.00			6.00
6.	TARGET CORP	10/25/2012	11/08/2013	389.00	378.00			11.00
4.	TARGET CORP	10/24/2012	11/11/2013	263.00	251.00			12.00
2.	TARGET CORP	10/24/2012	11/11/2013	131.00	126.00			5.00
1.	TARGET CORP	10/24/2012	11/11/2013	65.00	63.00			2.00
1.	TARGET CORP	10/24/2012	11/12/2013	65.00	63.00			2.00
3.	TARGET CORP	10/24/2012	11/12/2013	196.00	188.00			8.00
1.	TARGET CORP	10/24/2012	11/12/2013	66.00	63.00			3.00
3.	TARGET CORP	10/24/2012	11/12/2013	196.00	188.00			8.00
74.	INVESCO LTD SHS	07/22/2010	11/12/2013	2,458.00	1,430.00			1,028.00
4.	TARGET CORP	10/24/2012	11/13/2013	266.00	251.00			15.00
7.	TARGET CORP	10/24/2012	11/13/2013	465.00	440.00			25.00
1.	TARGET CORP	10/24/2012	11/13/2013	67.00	63.00			4.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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52.	CITRIX SYS INC	VAR	11/14/2013	2,867.00	3,002.00			-135.00
7.	TARGET CORP	VAR	11/14/2013	466.00	440.00			26.00
2.	TARGET CORP	10/24/2012	11/14/2013	133.00	126.00			7.00
16.	DAVITA INC	11/07/2012	11/15/2013	942.00	907.00			35.00
1	TARGET CORP	10/24/2012	11/15/2013	66.00	63.00			3.00
1.	DAVITA INC	11/07/2012	11/18/2013	59.00	57.00			2.00
16.	DAVITA INC	VAR	11/18/2013	955.00	902.00			53.00
2.	DAVITA INC	VAR	11/18/2013	119.00	111.00			8.00
10.	DAVITA INC	VAR	11/19/2013	584.00	555.00			29.00
4.	DAVITA INC	VAR	11/19/2013	234.00	222.00			12.00
5.	DAVITA INC	VAR	11/20/2013	291.00	277.00			14.00
2.	DAVITA INC	10/12/2012	11/20/2013	116.00	110.00			6.00
1051.	ISHARES TR S & P MID INDEX FD	11/10/2011	11/20/2013	135,397.00	91,767.00			43,630.00
3596.798	ROWE T PRICE INTL ASIA FD*	06/08/2010	11/20/2013	59,779.00	55,606.00			4,173.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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7.	DAVITA INC	VAR	11/21/2013	399.00	386.00			13.00
5.	DAVITA INC	VAR	11/21/2013	285.00	274.00			11.00
8.	DAVITA INC	10/15/2012	11/22/2013	451.00	438.00			13.00
2.	DAVITA INC	10/10/2012	11/22/2013	113.00	110.00			3.00
3.	DAVITA INC	VAR	11/22/2013	169.00	164.00			5.00
9.	DAVITA INC	VAR	11/25/2013	539.00	488.00			51.00
18.	DAVITA INC	VAR	11/25/2013	1,098.00	974.00			124.00
2.	DAVITA INC	10/09/2012	11/25/2013	120.00	108.00			12.00
4.	DAVITA INC	10/09/2012	11/25/2013	243.00	215.00			28.00
1.	ENSCO PLC	07/18/2012	11/25/2013	59.00	50.00			9.00
2.	ENSCO PLC	07/18/2012	11/25/2013	119.00	100.00			19.00
2	ENSCO PLC	07/18/2012	11/25/2013	119.00	100.00			19.00
21.	ENSCO PLC	VAR	11/25/2013	1,252.00	1,032.00			220.00
8.	ENSCO PLC	07/03/2012	11/25/2013	474.00	389.00			85.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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3.	ENSCO PLC	07/03/2012	11/25/2013	180.00	146.00			34.00
1.	ENSCO PLC	07/03/2012	11/25/2013	60.00	49.00			11.00
1.	ENSCO PLC	07/03/2012	11/26/2013	59.00	49.00			10.00
1.	ENSCO PLC	07/03/2012	11/26/2013	59.00	49.00			10.00
1.	ENSCO PLC	07/03/2012	11/26/2013	59.00	49.00			10.00
4.	ENSCO PLC	07/03/2012	11/26/2013	237.00	194.00			43.00
20.	ENSCO PLC	VAR	11/26/2013	1,186.00	971.00			215.00
5.	ENSCO PLC	07/03/2012	11/26/2013	300.00	242.00			58.00
12.	ENSCO PLC	VAR	11/26/2013	712.00	566.00			146.00
20.	ENSCO PLC	07/02/2012	11/26/2013	1,198.00	936.00			262.00
1.	ENSCO PLC	07/02/2012	11/27/2013	60.00	47.00			13.00
3.	ENSCO PLC	07/02/2012	11/27/2013	179.00	140.00			39.00
4.	ENSCO PLC	07/02/2012	11/27/2013	239.00	187.00			52.00
24.	EOG RESOURCES INC	VAR	12/03/2013	3,966.00	1,601.00			2,365.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
5.	OCCIDENTAL PETE CORP	09/13/2012	12/05/2013	467.00	452.00			15.00
6.	OCCIDENTAL PETE CORP	09/13/2012	12/05/2013	564.00	542.00			22.00
6.	OCCIDENTAL PETE CORP	04/24/2012	12/06/2013	563.00	529.00			34.00
4.	OCCIDENTAL PETE CORP	04/24/2012	12/06/2013	370.00	353.00			17.00
4	OCCIDENTAL PETE CORP	04/24/2012	12/06/2013	372.00	353.00			19.00
2.	OCCIDENTAL PETE CORP	04/24/2012	12/09/2013	186.00	176.00			10.00
1.	OCCIDENTAL PETE CORP	04/24/2012	12/09/2013	93.00	88.00			5.00
5.	OCCIDENTAL PETE CORP	VAR	12/09/2013	466.00	396.00			70.00
1.	OCCIDENTAL PETE CORP	01/12/2007	12/09/2013	94.00	43.00			51.00
2.	OCCIDENTAL PETE CORP	01/12/2007	12/09/2013	186.00	87.00			99.00
1.	OCCIDENTAL PETE CORP	01/12/2007	12/09/2013	93.00	43.00			50.00
3.	OCCIDENTAL PETE CORP	01/12/2007	12/09/2013	280.00	130.00			150.00
9.	EMERSON ELEC CO	05/18/2011	12/16/2013	603.00	488.00			115.00
10.	EMERSON ELEC CO	05/18/2011	12/17/2013	666.00	542.00			124.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

EDWIN J HOFFRITZ TR UW ARTICLE SEVENTH

13-6655406

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	11. EMERSON ELEC CO	VAR	12/19/2013	748.00	585.00			163.00
	107. MONDELEZ INTERNATIONA	VAR	12/19/2013	3,659.00	2,826.00			833.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				6,089,220.	5,286,112.			803,108.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

Account Summary

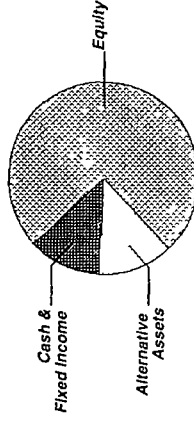
PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	12,285,355.81	12,276,348.33	(9,007.48)	172,139.72	75%
Alternative Assets	2,176,130.24	2,142,836.04	(33,294.20)	23,541.19	13%
Cash & Fixed Income	1,799,126.18	1,986,171.24	167,045.06	23,178.92	12%
Market Value	\$16,260,612.23	\$16,385,355.61	\$124,743.38	\$218,859.83	100%

INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	95,458.22	218,100.33	122,642.11
Accruals	2,944.81	3,085.70	140.89
Market Value	\$98,403.03	\$221,186.03	\$122,783.00

Asset Allocation



INCOME

Current Period Value	Year-to-Date Value
95,458.22	94,694.72
\$0.00	(155,992.99)
122,642.11	279,398.60
\$218,100.33	\$218,100.33
3,085.70	3,085.70
\$221,186.03	\$221,186.03

PRINCIPAL

Portfolio Activity	Current Period Value	Year-to-Date Value
Beginning Market Value	16,260,612.23	14,648,550.00
Additions		67,522.46
Withdrawals & Fees		(748,500.00)
Net Additions/Withdrawals	\$0.00	(\$680,977.54)
Income		1,686.10
Change In Investment Value	124,743.38	2,416,097.05
Ending Market Value	\$16,385,355.61	\$16,385,355.61
Accruals	--	--
Market Value with Accruals	--	--

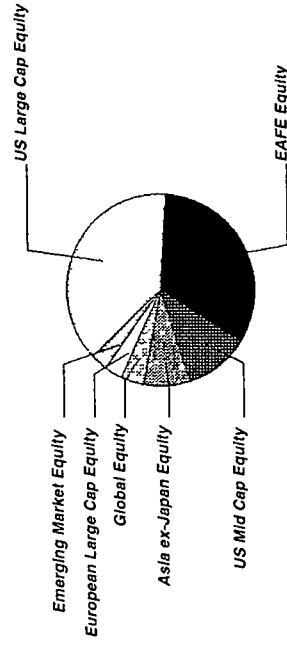


JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	4,790,051 27	4,784,542 95	(5,508 32)	29%
US Mid Cap Equity	1,308,586 44	1,309,269 42	682 98	8%
EAFE Equity	4,011,780 98	4,035,055 62	23,274 64	25%
European Large Cap Equity	337,840 64	342,900 80	5,060 16	2%
Asia ex-Japan Equity	967,221 24	938,040 15	(29,181 09)	6%
Emerging Market Equity	344,101 72	337,800 42	(6,301 30)	2%
Global Equity	525,773 52	528,738 97	2,965 45	3%
Total Value	\$12,285,355.81	\$12,276,348.33	(\$9,007.48)	75%

Asset Categories



Equity as a percentage of your portfolio - 75 %

Market Value/Cost	Current Period Value
Market Value	12,276,348 33
Tax Cost	8,812,470 36
Unrealized Gain/Loss	3,463,877 97
Estimated Annual Income	172,139 72
Accrued Dividends	1,471 93
Yield	1 40%



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

Note P indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
ACE LTD NEW	103 53	172 000	17,807.16	9,221.79	8,585.37	433 44	2 43 %
H0023R-10-5 ACE							
ADOBE SYSTEMS INC	59 88	153 000	9,161 49	5,447.16	3,714 33		
00724F-10-1 ADBE							
ALEXION PHARMACEUTICALS INC	132 88	74 000	9,833 42	7,768 55	2,064 87		
015351-10-9 ALXN							
ALLERGAN INC	111 08	69 000	7,664 52	5,952.98	1,711 54	13 80	0 18 %
018490-10-2 AGN							
ALLIANCE DATA SYSTEMS CORP	262 93	25 000	6,573 25	4,414 60	2,158 65		
018581-10-8 ADS							
AMAZON COM INC	398 79	25 000	9,969 75	2,084 41	7,885.34		
023135-10-6 AMZN							
ANADARKO PETROLEUM CORP	79 32	121 000	9,597 72	8,764 13	833 59	87 12	0 91 %
032511-10-7 APC							
APACHE CORP	85 94	94 000	8,078 36	7,402 20	676 16	75 20	0 93 %
037411-10-5 APA							
APPLE INC.	561 02	68 000	38,149 36	4,707 63	33,441 73	829 60	2 17 %
037833-10-0 AAPL							
ARCHER DANIELS MIDLAND CO	43 40	119 000	5,164 60	3,797 01	1,367 59	114 24	2 21 %
039483-10-2 ADM							
AUTOZONE INC	477 94	19 000	9,080 86	6,048 65	3,032 21		
053332-10-2 AZO							

J.P.Morgan



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div.	Yield
US Large Cap Equity							
AVAGO TECHNOLOGIES LTD Y0486S-10-4 AVGO	52 88	408.000	21,574 63	13,977 79	7,596.84	408 00	1.89 %
BANK OF AMERICA CORP 060505-10-4 BAC	15 57	1,650.000	25,690 50	15,174 90	10,515 60	66 00	0 26 %
BIOMERIE INC 09062X-10-3 BIIB	279 57	51 000	14,258 17	2,554 04	11,704 13		
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	53 15	459 000	24,395 85	18,758 07	5,637 78	660 96 165 24	2 71 %
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	76.61	147 000	11,261 67	7,930 94	3,330 73	176 40	1 57 %
CBS CORP CLASS B W/I 124857-20-2 CBS	63 74	156 000	9,943 44	8,228 00	1,715.44	74.88 18 72	0 75 %
CELGENE CORPORATION 151020-10-4 CELG	168 97	87 000	14,700 22	4,338 26	10,361 96		
CERNER CORP 156782-10-4 CERN	55 74	127 000	7,078 98	5,567 21	1,511 77		
CHENIERE ENERGY INC 16411R-20-8 LNG	43 12	127 000	5,476 24	1,992 28	3,483 96		
CISCO SYSTEMS INC 17275R-10-2 CSCO	22 43	797 000	17,876 71	13,801 25	4,075 46	541.96	3.03 %
CITIGROUP INC NEW 172967-42-4 C	52 11	449 000	23,397 39	16,185 33	7,212 06	17 96	0 08 %
COCA-COLA CO 191216-10-0 KO	41 31	254 000	10,492 74	10,113 65	379 09	284 48	2 71 %



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div	Yield
US Large Cap Equity							
COMCAST CORP CL A	51.97	481 000	24,995.17	11,343.89	13,651.28	375.18 93.80	1.50%
20030N-10-1 CMCS A							
COSTCO WHOLESALE CORP 22160K-10-5 COST	119.02	51 000	6,070.02	6,195.88	(125.86)	63.24	1.04%
CSX CORP 126408-10-3 CSX	28.77	381 000	10,961.37	8,257.90	2,703.47	228.60	2.09%
CVS CAREMARK CORPORATION 126650-10-0 CVS	71.57	99 000	7,085.43	5,594.06	1,491.37	108.90	1.54%
DISH NETWORK CORP CL A	57.92	179 000	10,367.68	6,694.46	3,673.22		
25470M-10-9 DISH							
DOW CHEMICAL CO 260543-10-3 DOW	44.40	275 000	12,210.00	8,455.06	3,754.94	352.00 88.00	2.88%
EATON CORP PLC G29183-10-3 ETN	76.12	88 000	6,698.56	6,557.38	141.18	147.84	2.21%
EBAY INC 278642-10-3 EBAY	54.87	277 000	15,197.61	15,085.43	112.18		
EMERSON ELECTRIC CO 291011-10-4 EMR	70.18	148 000	10,386.64	7,244.83	3,141.81	254.56	2.45%
EOG RESOURCES INC 26875P-10-1 EOG	167.84	24 000	4,028.16	1,472.43	2,555.73	18.00	0.45%
EXXON MOBIL CORP 30231G-10-2 XOM	101.20	217 000	21,960.40	5,853.80	16,106.60	546.84	2.49%
FLUOR CORP 343412-10-2 FLR	80.29	276 000	22,160.04	15,540.02	6,620.02	176.64 44.16	0.80%



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
US Large Cap Equity							
FMI LARGE CAP FUND	20 86	30,059 893	627,049 37	348,128 35	278,921 02	5,320 60	0 85%
LARGE CAP FD							
302933-20-5 FMIH X							
FREEMPORT-MCMORAN COPPER & GOLD INC	37 74	409 000	15,435 66	13,728 68	1,706 98	511 25	3 31%
CL B							
35671D-85-7 FCX							
GENERAL MILLS INC	49 91	169 000	8,434 79	4,971 17	3,463 62	256 88	3 05%
370334-10-4 GIS							
GENERAL MOTORS CO	40 87	478 000	19,535 86	14,476 80	5,059 06		
37045V-10-0 GM							
GOOGLE INC	1,120.71	32 000	35,862 72	22,205 54	13,657 18		
CL A							
38259P-50-8 GOOG							
HARTFORD FINANCIAL SERVICES GROUP	36 23	224 000	8,115 52	6,763 64	1,351 88	134 40	1 66%
INC						33 60	
416515-10-4 HIG							
HARTFORD CAPITAL APPREC-I	46.69	9,232 696	431,074 58	270,578 40	160,496 18	2,021 96	0 47%
416649-30-9 ITHI X							
HOME DEPOT INC	82 34	237 000	19,514 58	11,195 38	8,319 20	369.72	1 89%
437076-10-2 HD							
HONEYWELL INTERNATIONAL INC	91 37	305 000	27,867 85	17,170 93	10,696 92	549 00	1 97%
438516-10-6 HON							
HUMANA INC	103 22	86 000	8,876 92	6,279 35	2,597 57	92 88	1 05%
444859-10-2 HUM						23 22	
INTERCONTINENTALEXCHANGE GROUP, INC	224 92	58 000	13,045 36	9,388 69	3,656 67	150 80	1 16%
45866F-10-4 ICE							



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div.	Yield
US Large Cap Equity							
INVESTCO LTD	36.40	106 000	3,858 40	1,930 88	1,927 52	95.40	2 47%
G491BT-10-8 IVZ							
JOHNSON & JOHNSON	91 59	458 000	41,948 22	35,592 84	6,355 38	1,209 12	2 88%
478160-10-4 JNJ							
JOHNSON CONTROLS INC	51 30	226 000	11,593 80	3,066.51	8,527 29	198.88 49 72	1 72%
478366-10-7 JCI							
JPM GROWTH ADVANTAGE FD - SEL	13 85	49,494 086	685,493 09	385,463 85	300,029 24	6,978 66	1 02%
FUND 1567							
4812A3-71-8 JGAS X							
JPM INTREPID AMER FD - SEL	34 77	5,649 384	196,429 08	114,682 50	81,746 58	4,333 07	2 21%
FUND 1206							
4812A2-10-8 JPFA X							
JPM US LARGE CAP CORE PLUS FD - SEL	27 74	17,178 870	476,541 85	231,055 80	245,486 05	7,335 37	1 54%
FUND 1002							
4812A2-38-9 JLPS X							
KLA-TENCOR CORP	64.46	60 000	3,867 60	3,674 00	193 60	108 00	2 79%
482480-10-0 KLAC							
LAM RESEARCH CORP	54 45	172 000	9,365 40	7,251 83	2,113 57		
512807-10-8 LRCX							
LOWES COMPANIES INC	49 55	383 000	18,977 65	7,860 58	11,117 07	275 76	1 45%
548661-10-7 LOW							
MARATHON OIL CORP	35 30	227 000	8,013 10	8,207 91	(194 81)	172 52	2 15%
565849-10-6 MRO							
MARATHON PETROLEUM CORPORATION	91 73	43 000	3,944 39	3,744 53	199 86	72 24	1 83%
56585A-10-2 MPC							
MARSH & MCLENNAN COMPANIES INC	48 36	139 000	6,722 04	6,090 61	631 43	139 00	2 07%
571748-10-2 MMC							



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
MASCO CORP 574599-10-6 MAS	22 77	568 000	12,933 36	8,618 11	4,315 25	170 40	1 32%
MASTERCARD INC - CLASS A 57636Q-10-4 MA	835 46	24 000	20,051 04	7,524 04	12,527 00	105 60	0 53%
MCKESSON CORP 58155Q-10-3 MCK	161 40	58 000	9,361 20	9,372 00	(10 80)	55 68 13 92	0 59%
MERCK AND CO INC 58933Y-10-5 MRK	50 05	178 000	8,908 90	4,458 17	4,450 73	313 28 78 32	3 52%
METLIFE INC 59156R-10-8 MET	53 92	412 000	22,215 04	12,838 49	9,376 55	453 20	2 04%
METTLER TOLEDO INTERNATIONAL INC 592688-10-5 MTD	242 59	27 000	6,549 93	5,651 37	898 56		
MICROSOFT CORP 594918-10-4 MSFT	37 41	956 000	35,763 96	20,851 54	14,912 42	1,070 72	2 99%
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	35 30	285 000	10,060 50	6,374 08	3,686 42	159 60 39 90	1 59%
MONSANTO CO 61166W-10-1 MON	116 55	112 000	13,053 60	12,064 68	988 92	192 64	1 48%
MORGAN STANLEY 617446-44-8 MS	31 36	531 000	16,652 16	11,711 40	4,940 76	106 20	0 64%
NEXTERA ENERGY INC 65339F-10-1 NEE	85 62	132 000	11,301 84	10,419 38	882 46	348 48	3 08%
NISOURCE INC 65473P-10-5 NI	32 88	223 000	7,332 24	6,319 87	1,012 37	223 00	3 04%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	95 10	168 000	15,976 80	7,299 19	8,677 61	430 08 126 08	2 69%



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div	Yield
US Large Cap Equity							
ORACLE CORP 68389X-10-5 ORCL	38.26	496,000	18,976.96	11,267.16	7,709.80	238.08	1.25%
PACCAR INC 693718-10-8 PCAR	59.17	272,000	16,094.24	9,493.66	6,600.58	217.60 244.80	1.35%
PENTAIR LTD SHS H6169Q-10-8 PNR	77.67	92,000	7,145.64	3,665.60	3,480.04	92.00	1.29%
PEPSICO INC 713448-10-8 PEP	82.94	136,000	11,279.84	10,014.49	1,265.35	308.72 77.18	2.74%
PERRIGO CO LTD G97822-10-3 PRGO	153.46	71,000	10,895.66	10,806.56	89.10		
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	87.13	131,000	11,414.03	10,853.13	560.90	492.56 123.14	4.32%
PHILLIPS 66 718546-10-4 PSX	77.13	211,000	16,274.43	11,396.48	4,877.95	329.16	2.02%
PRICELINE.COM INC 741503-40-3 PCLN	1,162.40	8,000	9,299.20	5,566.73	3,732.47		
PROCTER & GAMBLE CO 742718-10-9 PG	81.41	199,000	16,200.59	8,156.02	8,044.57	478.79	2.96%
QEP RESOURCES INC 74733V-10-0 QEP	30.65	204,000	6,252.60	6,640.16	(387.56)	16.32	0.26%
QUALCOMM INC 747525-10-3 QCOM	74.25	266,000	19,750.50	11,835.62	7,914.88	372.40	1.89%
SCHLUMBERGER LTD 806857-10-8 SLB	90.11	336,000	30,276.96	28,081.79	2,195.17	420.00 75.63	1.39%



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
SG REN SPX 10/16/14	106.73	215,000,000	229,469.50	212,850.00	16,619.50		
2 XLEV- 8%CAP- 16%MAXPYMT INITIAL LEVEL-09/27/13 SPX 1691.75 83368W-EU-1							
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	184.69	4,554,000	841,078.26	522,294.45	318,783.81	15,260.45	1.81%
STATE STREET CORP 857477-10-3 STT	73.39	143,000	10,494.77	8,464.63	2,030.14	148.72 37.18	1.42%
TIME WARNER INC NEW 887317-30-3 TWX	69.72	513,000	35,766.36	11,095.52	24,670.84	589.95	1.65%
TIME WARNER CABLE INC 88732J-20-7 TWC	135.50	51,000	6,910.50	5,785.19	1,125.31	132.60	1.92%
UNITED HEALTH GROUP INC 91324P-10-2 UNH	75.30	278,000	20,933.40	13,809.15	7,124.25	311.36	1.49%
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	113.80	310,000	35,278.00	19,309.61	15,968.39	731.60	2.07%
UNITED CONTINENTAL HOLDINGS INC. 910047-10-9 UAL	37.83	160,000	6,052.80	6,164.00	(111.20)		
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	49.14	194,000	9,533.16	4,615.57	4,917.59	411.28	4.31%
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	74.30	59,000	4,383.70	1,609.55	2,774.15		
WALT DISNEY CO 254687-10-6 DIS	76.40	162,000	12,376.80	10,769.94	1,606.86	139.32 139.32	1.13%
WELLS FARGO & CO 949746-10-1 WFC	45.40	717,000	32,551.80	16,426.60	16,125.20	860.40	2.64%



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div.	Yield
US Large Cap Equity							
WILLIAMS COMPANIES INC 969457-10-0 WMB	38 57	266 000	10,259 62	7,660 76	2,598 86	404 32	3 94 %
YUM BRANDS INC 988498-10-1 YUM	75 61	192 000	14,517 12	6,503 59	8,013 53	284 16	1 96 %
Total US Large Cap Equity			\$4,784,542.95	\$2,914,673.02	\$1,869,869.93	\$62,250.02 \$1,471.93	1.30 %

US Mid Cap Equity							
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	133 81	7,095 000	949,381 95	577,457 35	371,924 60	12,260 16	1 29 %
JPM MKT EXP ENH INDEX FD - SEL FUND 3708 4812C1-63-7 PGMI X	13.13	27,409 556	359,887 47	185,836 79	174,050 68	3,508 42	0 97 %
Total US Mid Cap Equity			\$1,309,269.42	\$763,294.14	\$545,975.28	\$15,768.58	1.20 %

EAFE Equity							
ARTISAN INTL VALUE FUND-INV 04314H-88-1 ARTK X	36 77	19,075 443	701,404 04	506,680 64	194,723 40	17,606 63	2 51 %
DB REN MXEA 08/06/14 2 XLEV- 11 15%CAP- 22 30%MAXPYMT INITIAL LEVEL-07/19/13 MXEA 1733 470 25152R-DW-0	108.15	170,000 000	183,851 60	168,300 00	15,551 60		
DODGE & COX INTL STOCK FUND 256206-10-3 DODF X	43 04	20,571 661	885,404 29	525,704 03	359,700 26	14,297 30	1 61 %



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
EAFE Equity							
HSBC BREN MXEA 3/19/14 1 5XLEV- 7.05%CAP- 10.575%MAXPYMT 10%BUFFER INITIAL LEVEL-3/1/13 MXEA 1654.34 40432X-CC-3	108 01	160,000 000	172,816 00	158,400 00	14,416 00		
HSBC REN MXEA 10/16/14 2 XLEV- 10%CAP- 20%MAXPYMT INITIAL LEVEL-09/27/13 MXEA 1835 850 40432X-LA-7	103 65	175,000 000	181,387 50	173,250 00	8,137 50		
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	67 10	15,755 000	1,057,081 73	973,389 12	83,692 61	26,830 76	2 54 %
MFS INTL VALUE-I 55273E-82-2 MINI X	35 18	24,249 871	853,110.46	672,431 19	180,679 27	16,029 16	1 88 %
Total EAFE Equity			\$4,035,055.62	\$3,178,154.98	\$856,900.64	\$74,763.85	1.85%
European Large Cap Equity							
DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7.6%CPN ,UNCAPPED INITIAL LEVEL-09/14/12 SX5E 2594 56 2515A1-LR-0	120 09	160,000 000	192,137 60	158,000 00	34,137 60		
VANGUARD FTSE EUROPE ETF 922042-87-4 VGK	58 80	2,564 000	150,763 20	144,527 55	6,235 65	4,176 75	2.77 %
Total European Large Cap Equity			\$342,900.80	\$302,527.55	\$40,373.25	\$4,176.75	1.22 %



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
Asia ex-Japan Equity							
COLUMBIA ASIA PAX X-JPN-R5 19763P-57-2 TAPR X	13 51	28,030 210	378,688 14	334,409 61	44,278 53	4,905 28	1 30%
MS REN MXASJ 2/12/14 2 XLEV- 10 2%CAP- 20 4%MAXPYMT INITIAL LEVEL-01/25/13 MXASJ 554.47 61761J-BT-5	99 80	160,000 000	159,672 00	158,400 00	1,272 00		
T ROWE PRICE NEW ASIA 77956H-50-0 PRAS X	16 01	24,964 398	399,680 01	380,345 70	19,334 31	3,744.65	0 94%
Total Asia ex-Japan Equity			\$938,040.15	\$873,155.31	\$64,884.84	\$8,649.93	0.93%

Emerging Market Equity

JOHN HANCOCK II-EMG MKTS-I 47804B-19-5 JEVI X	10 23	19,475 759	199,237 01	203,132 17	(3,895.16)	3,564 06	1 79%
JPM CHINA REGION FD - SEL FUND 3810 4812A3-52-8 JCHS X	21 24	6,523 701	138,563 41	120,558 00	18,005 41	2,165 86	1 56%
Total Emerging Market Equity			\$337,800.42	\$323,690.17	\$14,110.25	\$5,729.92	1.70%

Global Equity

JPM GLOBAL RES ENH INDEX FD - SEL FUND 3457 46637K-51-3 JEIT X	17 83	29,654 457	528,738 97	456,975 19	71,763 78	800 67	0 15%
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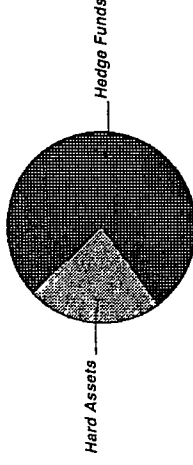


JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	1,618,758.48	1,576,924.59	(41,833.89)	10%
Hard Assets	557,371.76	565,911.45	8,539.69	3%
Total Value	\$2,176,130.24	\$2,142,836.04	(\$33,294.20)	13%

Asset Categories



Alternative Assets as a percentage of your portfolio - 13 %

Note. P indicates position adjusted for Pending Trade Activity

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
P EATON VANCE GLOBAL MACRO-I 277923-72-8 EIGM X	9.42			0.00



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
P EQUINOX FDS TR	10.50	22,840.095	239,821.00	239,821.00
EQNX CB STGY I				
29446A-81-9 EBSI				
GATEWAY FUND-Y	28.99	11,141.981	323,006.03	315,611.40
367829-88-4 GTEY X				
GOLDMAN SACHS TR	10.66	41,088.180	438,000.00	438,000.00
STRG INCM INST				
38145C-64-6 GSZI				
INV BALANCED-RISK ALLOC-Y	11.89	39,044.759	464,242.18	488,259.91
00141V-69-7 ABRY X				
PIMCO UNCONSTRAINED BOND-P	11.09			0.00
72201M-45-3 PUCP X				
THE ARBITRAGE FUND-I	12.86	8,697.930	111,855.38	110,811.63
03875R-20-5 ARBN X				
Total Hedge Funds			\$1,576,924.59	\$1,592,503.94



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Estimated Value	Cost	Est. Annual Income Accrued Income
Hard Assets					
BARC BEN BRENT 09/29/14 LNKD TO CO1 85% BARRIER- 5.47%CPN 15%CAP INITIAL LEVEL-09/13/13 112.63 06741T-K8-9	101 65	85,000 000	86,402 50	84,150 00	
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	10 67	44,939 920	479,508 95	495,976 78	1,527 95
Total Hard Assets			\$565,911.45	\$580,126.78	\$1,527.95

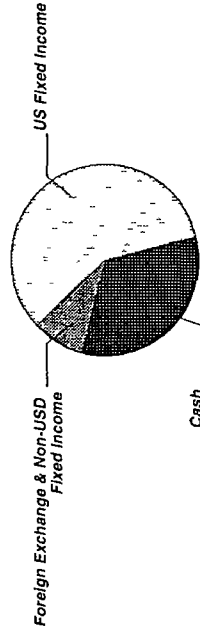


JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	533,183.74	702,651.78	169,468.04	4%
US Fixed Income	1,106,173.35	1,103,317.39	(2,855.96)	7%
Foreign Exchange & Non-USD Fixed Income	159,769.09	160,202.07	432.98	1%
Total Value	\$1,799,126.18	\$1,966,171.24	\$167,045.06	12%

Market Value/Cost	Current Period Value
Market Value	1,966,171.24
Tax Cost	1,924,468.23
Unrealized Gain/Loss	41,703.01
Estimated Annual Income	23,178.92
Accrued Interest	1,613.77
Yield	1.17%



Cash & Fixed Income as a percentage of your portfolio - 12 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months ¹	1,966,171.24	100%

¹ The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	702,651.78	23%
Mutual Funds	1,263,519.46	77%
Total Value	\$1,966,171.24	100%



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

Note: P indicates position adjusted for Pending Trade Activity
1 This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1.00			0 00		3 94	0 03 % ¹
JPM TR I TAX FREE PREMIER SWEEP FD 7-Day Annualized Yield 01%	1 00	705,343 22	705,343 22	705,343 22		70 53 57 22	0 01 %
COST OF PENDING PURCHASES	1 00	(984,267 85)	(984,267 85)	(984,267 85)			
PROCEEDS FROM PENDING SALES	1.00	981,576 41	981,576 41	981,576 41			
Total Cash			\$702,651.78	\$702,651.78	\$0.00	\$70.53 \$61.16	0.01 %
US Fixed Income							
METROPOLITAN WEST FDS TOTAL RET CL 1 592905-50-9	10 55	28,960 28	305,531 00	305,531 00		10,020 25	3 28 %
DOUBLELINE TOTAL RET BD-I 258620-10-3	10 78			0 00			5 17 %
JPM STRAT INCOME OPPORT FD - SEL FUND 3844 4812A4-35-1	11.89	14,763 08	175,533 00	149,549 98	25,983 02	4,738 94 398 60	2 70 %



JPMCB TTEE U/W/O E HOFFRITZ ART ACCT. P60670000
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
US Fixed Income							
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10.89	42,403.38	461,772.83	454,194.70	7,578.13	6,318.10 593.65	1.37%
JPM MULTI SECTOR INCOME FD - SEL FUND 2130 48121A-29-0	10.31	15,565.53	160,480.56	157,678.77	2,801.79	1,136.28 560.36	0.71%
Total US Fixed Income			\$1,103,317.39	\$1,066,954.45	\$36,362.94	\$22,213.57 \$1,552.61	2.01%
Foreign Exchange & Non-USD Fixed Income							
JPM INTL CURR INCOME FD - SEL FUND 3631 4812A3-29-6	11.10	14,432.62	160,202.07	154,862.00	5,340.07	894.82	0.56%