Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: THE SUE AND EUGENE MERCY, JR. FOUNDATION

A Employer identification number: 13-6217050

Number and street (or P O box number if mail is not delivered to street address): C/O BCRS ASSOCIATES, LLC
77 WATER STREET

Room/suite: 9TH FL

B Telephone number (see instructions): (212) 440-0800

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10005

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 5,141,931.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) ____________________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60 month termination under section 507(b)(1)(B) check here ▶ ☐

		Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income N/A	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants etc received (attach schedule)	NONE			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	595.	595.		ATCH 1
	4	Dividends and interest from securities	100,269.	100,269.		ATCH 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	201,524.			
	b	Gross sales price for all assets on line 6a 959,037.				
	7	Capital gain net income (from Part IV, line 2)		201,524.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total** Add lines 1 through 11	302,388.	302,388.	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 3	36,882.	35,588.		1,294.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	36,882.	35,588.		1,294.
	25	Contributions, gifts, grants paid	592,075.			592,075.
	26	Total expenses and disbursements Add lines 24 and 25	628,957.	35,588.	0	593,369.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	-326,569.			
	b	**Net investment income** (if negative, enter -0-)		266,800.		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Form **990-PF** (2013)

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	155,695.	21,976.	21,976.
	2	Savings and temporary cash investments	989,535.	503,968.	503,968.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 4	3,312,643.	3,605,359.	4,615,987.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	4,457,873.	4,131,303.	5,141,931.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	4,457,873.	4,131,303.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	4,457,873.	4,131,303.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	4,457,873.	4,131,303.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,457,873.
2	Enter amount from Part I, line 27a	2	-326,569.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,131,304.
5	Decreases not included in line 2 (itemize) ▶ ATCH 5	5	1.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,131,303.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	201,524.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	585,632.	4,450,778.	0.131580
2011	528,514.	5,218,469.	0.101278
2010	246,490.	5,597,286.	0.044037
2009	288,285.	5,346,659.	0.053919
2008	371,711.	6,281,102.	0.059179

2	**Total** of line 1, column (d)	2	0.389993
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.077999
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	4,748,216.
5	Multiply line 4 by line 3	5	370,356.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,668.
7	Add lines 5 and 6	7	373,024.
8	Enter qualifying distributions from Part XII, line 4	8	593,369.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	2,668.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2			3	2,668.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,668.
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013 . . .	6a	2,012.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	2,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	4,012.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶			10	1,344.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 1,344. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0 (2) On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		N/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BCRS ASSOCIATES, LLC Telephone no ▶ 212-440-0800

Located at ▶ 77 WATER STREET - 9TH FLOOR NEW YORK, NY ZIP+4 ▶ 10005

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		N/A
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		N/A
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 6		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0	0	0

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
N/A	NONE	NONE
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION	NONE
2 501(C)(3) OF THE INTERNAL REVENUE CODE. N/A	
3 N/A	
4 N/A	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	NONE
2 N/A	
All other program-related investments See instructions 3 N/A	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	4,097,003.
b	Average of monthly cash balances			1b	723,521.
c	Fair market value of all other assets (see instructions)			1c	NONE
d	**Total** (add lines 1a, b, and c)			1d	4,820,524.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	4,820,524.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)			4	72,308.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	4,748,216.
6	**Minimum investment return.** Enter 5% of line 5			6	237,411.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	237,411.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,668.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,668.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	234,743.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	234,743.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	234,743.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	593,369.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	593,369.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,668.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	590,701.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					234,743.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				NONE	
b Total for prior years 20_____,20_____,20_____					
3 Excess distributions carryover, if any, to 2013					
a From 2008	57,656.				
b From 2009	36,551.				
c From 2010	NONE				
d From 2011	267,591.				
e From 2012	364,289.				
f **Total** of lines 3a through e		726,087.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 593,369.					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required - see instructions)					
c Treated as distributions out of corpus (Election required - see instructions)					
d Applied to 2013 distributable amount					234,743.
e Remaining amount distributed out of corpus		358,626.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,084,713.			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount - see instructions					
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				NONE	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		57,656.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		1,027,057.			
10 Analysis of line 9					
a Excess from 2009	36,551.				
b Excess from 2010	NONE				
c Excess from 2011	267,591.				
d Excess from 2012	364,289.				
e Excess from 2013	358,626.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest dividends, rents payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

EUGENE MERCY, JR.; C/O BCRS ASSOC, 77 WATER ST-9TH FL, NY, NY 10005

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE LIST ATTACHED				592,075.
Total . . . . . . ▶ **3a**				592,075.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	595.	
4 Dividends and interest from securities			14	100,269.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	201,524.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				302,388.	

13 Total Add line 12, columns (b), (d), and (e) . . . . . 13 302,388.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
	N/A	

Sign Here

Under penalties of perjury I declare that I have examined this return including accompanying schedules and statements and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date 7/8/14

Title PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name JOHN D COOK	Preparer's signature	Date 6/24/14	Check ☐ if self-employed
PTIN	P01450182		
Firm's name ▶ BCRS ASSOCIATES, LLC		Firm's EIN ▶ 13-4078147	
Firm's address ▶ 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005		Phone no 212-440-0800	

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041.*

OMB No 1545-0092

2013

Name of estate or trust	Employer identification number
THE SUE AND EUGENE MERCY, JR. FOUNDATION	13-6217050

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	302,173.	256,740.		45,433.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶	7	45,433.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	656,864.	500,773.		156,091.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	
13 Capital gain distributions	13	
14 Gain from Form 4797, Part I	14	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶	16	156,091.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Part III **Summary of Parts I and II** **Caution:** *Read the instructions* ***before*** *completing this part*		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17 **Net short-term gain or (loss)**	17			45,433.
18 **Net long-term gain or (loss):**				
a Total for year	18a			156,091.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c 28% rate gain	18c			
19 **Total net gain or (loss).** Combine lines 17 and 18a ▶	19			201,524.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 19, column (3) **or** b $3,000 . . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21		
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22				
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23				
24	Add lines 22 and 23	24				
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25				
26	Subtract line 25 from line 24 If zero or less, enter -0-			26		
27	Subtract line 26 from line 21 If zero or less, enter -0-			27		
28	Enter the **smaller** of the amount on line 21 or $2,450			28		
29	Enter the **smaller** of the amount on line 27 or line 28			29		
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶				30	
31	Enter the smaller of line 21 or line 26			31		
32	Subtract line 30 from line 26			32		
33	Enter the **smaller** of line 21 or $11,950			33		
34	Add lines 27 and 30			34		
35	Subtract line 34 from line 33 If zero or less, enter -0-			35		
36	Enter the **smaller** of line 32 or line 35			36		
37	Multiply line 36 by 15% ▶				37	
38	Enter the amount from line 31			38		
39	Add lines 30 and 36			39		
40	Subtract line 39 from line 38 If zero or less, enter -0-			40		
41	Multiply line 40 by 20% ▶				41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42		
43	Add lines 37, 41, and 42			43		
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44		
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶				45	

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www irs gov/form8949*

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return	Social security number or taxpayer identification number
THE SUE AND EUGENE MERCY, JR. FOUNDATION	13-6217050

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
SEE STATEMENT ATTACHE	VAR	VAR	302,173.	256,740.			45,433.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			302,173.	256,740.			45,433.

Note If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

JSA
3X2615 2 000

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	**Social security number or taxpayer identification number**
THE SUE AND EUGENE MERCY, JR. FOUNDATION	13-6217050

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate Instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
SEE STATEMENT ATTACHED	VAR	VAR	656,864.	500,773.			156,091.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			656,864	500,773.			156,091.

Note If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2013

DATE	CONTRIBUTION / CITY, STATE	AMOUNT
1/18/2013	Active Minds Washington, DC	$ 1,000 00
1/23/2013	Hunts Point Alliance for Children Bronx, NY	420.00
2/5/2013	NY Service for the Handicapped New York, NY	3,000 00
2/5/2013	Trout Unlimited Arlington, VA	1,200 00
2/26/2013	Third Street Music Settlement New York, NY	250 00
2/26/2013	Lehigh University Bethlehem, PA	100 00
2/26/2013	Chess in the Schools New York, NY	500 00
2/26/2013	Storm King Art Center Mountainville, NY	500 00
2/26/2013	Lee Pesky Learning Center Boise, ID	1,000 00
2/26/2013	Public Art Fund New York, NY	500 00
2/26/2013	New York Public Library New York, NY	1,500 00
2/26/2013	Loomis Chaffee School Windsor, CT	15,000 00
2/26/2013	The Lymphoma Foundation New York, NY	1,000 00
2/26/2013	Vail Valley Foundation Vail, CO	12,660 00
2/26/2013	Odyssey Foundation New York, NY	1,000 00

THE SUE AND EUGENE MERCY, JR FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2013

DATE	CONTRIBUTION / CITY, STATE	AMOUNT
2/26/2013	Central Park Conservancy New York, NY	50,000 00
2/26/2013	Arthritis Foundation Washington, DC	500 00
2/26/2013	Colorado Public Radio Centennial, CO	200 00
2/26/2013	American Museum of Natural History New York, NY	1,750.00
2/26/2013	Bottomless Closet New York, NY	1,500 00
2/26/2013	Grand Street Settlement New York, NY	1,000 00
2/26/2013	Natural Resources Council of Maine Augusta, ME	500 00
2/26/2013	WQXR New York, NY	25,000.00
2/26/2013	NY Philharmonic New York, NY	25,000 00
2/26/2013	Beth Israel Medical Center New York, NY	58,910 00
2/26/2013	Bravo! Vail Valley Music Festival Vail, CO	25,000 00
2/26/2013	Lehigh University Bethlehem, PA	25,000 00
2/26/2013	Museum of Modern Art New York, NY	9,463 00
2/26/2013	NY Service for the Handicapped New York, NY	10,000 00
2/26/2013	UJA Federation of NY New York, NY	10,000 00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2013

DATE	CONTRIBUTION / CITY, STATE	AMOUNT
2/26/2013	Publicolor New York, NY	25,000 00
2/26/2013	Seeds of Peace New York, NY	25,000 00
2/26/2013	Big Brothers/Big Sisters of NY New York, NY	10,000.00
2/26/2013	John Jay College of Criminal Justice New York, NY	10,000 00
2/26/2013	The Washington Institute Washington, DC	5,000 00
2/26/2013	Maine Audubon Society Falmouth, ME	5,000 00
2/26/2013	Visiting Nurse Service of New York New York, NY	5,000 00
2/26/2013	Electronic Information & Educational Services South Orange, NJ	250 00
2/26/2013	Museum of the City of New York New York, NY	500 00
3/5/2013	Connecticut College New London, CT	15,000 00
3/5/2013	Humanity in Action New York, NY	20,000.00
3/5/2013	Young Audiences New York New York, NY	5,000 00
3/5/2013	Anti-Defamation League New York, NY	5,000 00
3/5/2013	American Jewish Committee New York, NY	5,000 00
3/5/2013	The Doe Fund	500 00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN. 13-6217050
FYE 12/31/2013

DATE	CONTRIBUTION / CITY, STATE	AMOUNT
	New York, NY	
3/5/2013	Learning Leaders New York, NY	1,000 00
3/8/2013	Rockefeller Philanthrophy Advisors New York, NY	7,800 00
3/21/2013	Museum of Modern Art New York, NY	2,000 00
3/21/2013	Visiting Nurse Service of New York New York, NY	2,500 00
3/21/2013	Lincoln Center for Performing Arts New York, NY	3,000 00
3/21/2013	Jumpstart New York, NY	1,000 00
3/21/2013	Committee to Reduce Infection Deaths New York, NY	1,000 00
3/21/2013	Bachmann-Strauss Dystonia & Parkinson's Foundation New York, NY	2,500 00
4/8/2013	Opening Act New York, NY	1,000 00
4/16/2013	WNET New York, NY	2,500 00
4/22/2013	Joseph Kushner Hebrew Academy Livingston, NJ	2,000 00
4/22/2013	Solomon R Guggenheim Foundation New York, NY	1,750.00
4/22/2013	Metropolitan Museum of Art New York, NY	1,750 00
4/22/2013	Rumson Volunteer Fire Department Rumson, NJ	100.00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN. 13-6217050
FYE 12/31/2013

DATE	CONTRIBUTION / CITY, STATE	AMOUNT
5/6/2013	Tanzania School Foundation (fka Chris Cares International) Stoughton, MA	250.00
5/6/2013	Friends of P.S. 169 New York, NY	100.00
5/6/2013	Vacamas Programs for Youth West Milford, NJ	100.00
5/15/2013	Central Synagogue New York, NY	2,775.00
5/21/2013	Summer Search North Bay San Francisco, CA	4,000 00
5/21/2013	Mill Valley Public Library Foundation Mill Valley, CA	1,000 00
5/21/2013	The Whitney Museum of American Art New York, NY	862 00
5/21/2013	Prep for Prep New York, NY	500 00
5/21/2013	Bravo! Vail Vail, CO	1,810 00
5/21/2013	Untermyer Gardens Conservancy Yonkers, NY	250 00
5/23/2013	Historic Vienna Vienna, VA	100 00
6/7/2013	Greater NY Councils, BSA New York, NY	1,000 00
6/7/2013	Metropolitan Opera New York, NY	2,500 00
6/13/2013	Allen-Stevenson School New York, NY	750 00
6/13/2013	Columbia Business School New York, NY	400 00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2013

DATE	CONTRIBUTION / CITY, STATE	AMOUNT
6/13/2013	NY Service for the Handicapped New York, NY	600 00
6/13/2013	Connecticut College New London, CT	1,000 00
6/13/2013	Seeds of Peace New York, NY	800 00
6/13/2013	Opening Act New York, NY	1,000 00
6/13/2013	Jumpstart New York, NY	450.00
6/13/2013	The Nature Conservancy Arlington, VA	250 00
6/13/2013	Betty Ford Alpine Gardens Vail, CO	100 00
6/13/2013	Lincoln Center New York, NY	3,000 00
6/13/2013	Bethesda Healing Center Brooklyn, NY	250.00
6/13/2013	92nd Street Y New York, NY	1,000 00
6/13/2013	Seeds of Peace New York, NY	10,000 00
6/18/2013	Jewish Family & Children's Services of Monmouth County Asbury Park, NJ	1,000.00
6/27/2013	Publicolor New York, NY	25,000 00
7/29/2013	Westchester Caddie Scholarship Fund Rye Brook, NY	250 00
8/26/2013	American Jewish Committee	4,775.00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2013

DATE	CONTRIBUTION / CITY, STATE	AMOUNT
	New York, NY	
8/26/2013	Ackerman Institute for the Family New York, NY	500 00
8/26/2013	New York Youth Symphony New York, NY	500 00
8/26/2013	American Ballet Theatre New York, NY	1,000 00
8/26/2013	Central Synagogue New York, NY	5,000 00
9/10/2013	Lenox Hill Neighborhood House New York, NY	200.00
9/13/2013	The Fund for Park Avenue New York, NY	100 00
9/13/2013	Manhattan Institute for Policy Research New York, NY	1,000.00
9/13/2013	Odyssey Foundation New York NY	1,000 00
9/13/2013	Center for Educational Innovation - Public Education Association New York NY	10,000 00
9/24/2013	Mill Valley Public Library Mill Valley, CA	250 00
10/1/2013	John Jay College of Criminal Justice New York, NY	10,000 00
10/3/2013	Carnegie Hill Neighbors New York, NY	1,000 00
10/3/2013	The Metropolitan Opera New York NY	2,500 00
10/3/2013	Lincoln Center Theater New York NY	1,000 00
11/4/2013	Vail Valley Medical Center Vail CO	1,000 00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2013

DATE	CONTRIBUTION / CITY, STATE	AMOUNT
11/8/2013	Committee to Reduce Infection Deaths New York, NY	1,000 00
11/8/2013	United Hospital Fund New York, NY	1,500 00
11/8/2013	African Parks Foundation of America New York, NY	25,000.00
11/8/2013	American Cancer Society Oklahoma City, OK	1,000 00
11/8/2013	American Red Cross Des Moines, IA	1,000 00
11/8/2013	Cancer Research Institute New York, NY	1,000 00
11/8/2013	Eagle Valley Humane Society Eagle, CO	100.00
11/8/2013	Eagle Valley Land Trust Edwards, CO	250 00
11/8/2013	Mount Tamalpais School Mill Valley, CA	1,000 00
11/8/2013	Park Avenue Armory New York, NY	500.00
11/8/2013	SCAN-New York New York, NY	2,500 00
11/8/2013	Yampa Valley Land Trust Steamboat Springs, CO	250 00
11/8/2013	Memorial Sloan-Kettering Cancer Center New York, NY	1,000 00
11/11/2013	New York Public Radio New York, NY	5,000 00
12/12/2013	Citizens' Committee for Children New York, NY	250 00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2013

DATE	CONTRIBUTION / CITY, STATE	AMOUNT
12/12/2013	Sarcoma Foundation Damascus, MD	200 00
	TOTAL CONTRIBUTIONS PAID *	**$ 592,075.00**

**ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE FUND OF PUBLIC CHARITABLE ORGANIZATIONS THAT WERE CLASSIFIED UNDER SECTION 501 (c) (3) OF THE INTERNAL REVENUE CODE*

THE SUE AND EUGENE MERCY JR FOUNDATION
STATE STREET ACCOUNT - REALIZED GAINS AND LOSSES
FYE 12/31/2013

IN USD

SECURITY	DATE PURCHASED	DATE SOLD	SHS SOLD	PROCEEDS	COST	GAIN/ LOSS	LT/ST
UIL HOLDINGS CORP	5/10/2012	5/31/2013	500	$ 19,525 45	$ 16,810 00	$ 2,715 45	LONG-TERM
ORACLE CORP	VARIOUS	6/14/2013	1,910	$ 64,419 35	$ 54,335 17	$ 10,084 18	LONG-TERM
FIRST NIAGRA FINANCIAL GROUP	VARIOUS	7/31/2013	2,190	$ 23,416 59	$ 19,188 99	$ 4,227 60	LONG-TERM
PEPSICO	VARIOUS	7/31/2013	610	$ 51,198 11	$ 41,249 90	$ 9,948 21	LONG-TERM
AMERICAN WATERWORKS	5/9/2012	8/8/2013	60	$ 2,545 15	$ 2,040 11	$ 505 04	LONG-TERM
AMERIPRISE FINANCIAL	5/10/2012	8/8/2013	50	$ 4,502 92	$ 2,573 88	$ 1,929 04	LONG-TERM
DOUGLAS DYNAMICS	5/9/2012	8/8/2013	60	$ 861 58	$ 794 50	$ 67 08	LONG-TERM
FIRST NIAGRA FINANCIAL GROUP	5/10/2012	8/8/2013	40	$ 428 79	$ 345 19	$ 83 60	LONG-TERM
HALLIBURTON	5/10/2012	8/8/2013	50	$ 2,295 96	$ 1,640 00	$ 655 96	LONG-TERM
HOME DEPOT	5/9/2012	8/8/2013	50	$ 3,977 43	$ 2,514 92	$ 1,462 51	LONG-TERM
HORACE MANN EDUCATORS CORP	5/10/2012	8/8/2013	60	$ 1,692 57	$ 1,041 06	$ 651 51	LONG-TERM
INTEL CORP	5/9/2012	8/8/2013	30	$ 675 28	$ 816 52	$ (141 24)	LONG-TERM
MARSH & MCLENNAN	5/9/2012	8/8/2013	40	$ 1,666 37	$ 1,347 75	$ 318 62	LONG-TERM
PORTLAND GENERAL ELECTRIC	5/10/2012	8/8/2013	50	$ 1,552.97	$ 1,253 76	$ 299 21	LONG-TERM
TIFFANY & CO	7/19/2012	8/8/2013	40	$ 3,242 74	$ 2,286 60	$ 956 14	LONG-TERM
NORTHERN TRUST	5/9/2012	8/13/2013	60	$ 3,470 33	$ 2,708 21	$ 762 12	LONG-TERM
NORTHERN TRUST	VARIOUS	8/19/2013	247	$ 13,857 41	$ 10,970 83	$ 2,886 58	LONG-TERM
LANDAUER INC	VARIOUS	8/20/2013	1,000	$ 47,949 16	$ 52,012 97	$ (4,063 81)	LONG-TERM
NORTHERN TRUST	VARIOUS	8/20/2013	363	$ 20,418 17	$ 15,723 39	$ 4,694 78	LONG-TERM
PPG INDS	VARIOUS	8/30/2013	110	$ 17,170 57	$ 11,697 54	$ 5,473 03	LONG-TERM
HOME DEPOT	5/9/2012	9/13/2013	250	$ 18,811 52	$ 12,574 60	$ 6,236 92	LONG-TERM
MERCK & CO	VARIOUS	9/13/2013	440	$ 21,022 61	$ 16,919 40	$ 4,103 21	LONG-TERM
MICROSOFT CORP	5/10/2012	9/13/2013	500	$ 16,280.75	$ 15,420 00	$ 860 75	LONG-TERM
PPG INDS	VARIOUS	9/13/2013	240	$ 39,115 18	$ 24,530 18	$ 14,585 00	LONG-TERM
COLGATE PALMOLIVE	VARIOUS	9/24/2013	140	$ 8,402.08	$ 6,951 89	$ 1,450 19	LONG-TERM
JM SMUCKER CO	5/24/2012	9/24/2013	110	$ 11,720 69	$ 8,492 00	$ 3,228 69	LONG-TERM
TAL INTERNATIONAL GROUP	5/9/2012	9/24/2013	290	$ 13,831 56	$ 10,719 85	$ 3,111 71	LONG-TERM
TIFFANY & CO	7/19/2012	9/24/2013	170	$ 13,465 54	$ 9,718 05	$ 3,747 49	LONG-TERM
SONOCO PRODUCTS	VARIOUS	10/11/2013	830	$ 32,234 47	$ 27,023 24	$ 5,211 23	LONG-TERM
WEST PHARMACEUTICAL SERVICES	5/9/2012	10/11/2013	390	$ 17,002 80	$ 9,440 54	$ 7,562 26	LONG-TERM
EOG RESOURCES	6/29/2012	10/23/2013	120	$ 21,357 20	$ 13,034 47	$ 8,322 73	LONG-TERM
MERCK & CO	VARIOUS	10/23/2013	1,220	$ 56,766 70	$ 46,288 04	$ 10,478 66	LONG-TERM
SENSIENT TECHNOLOGIES	VARIOUS	10/23/2013	290	$ 15,290 03	$ 10,527 19	$ 4,762 84	LONG-TERM
SENSIENT TECHNOLOGIES	VARIOUS	10/31/2013	380	$ 19,915 06	$ 13,607 92	$ 6,307 14	LONG-TERM

DELUXE CORP	5/9/2012	11/18/2013	490	$ 23,565 79	$ 11,664 82	$ 11,900 97	LONG-TERM
BOB EVANS FARMS INC	VARIOUS	12/4/2013	140	$ 7,292 65	$ 5,352 62	$ 1,940 03	LONG-TERM
DELUXE CORP	5/21/2012	12/11/2013	390	$ 19,260 54	$ 9,444 13	$ 9,816 41	LONG-TERM
WEST PHARMACEUTICAL SERVICES	5/10/2012	12/11/2013	350	$ 16,662 11	$ 7,713 30	$ 8,948 81	LONG-TERM
COLGATE PALMOLIVE	5/24/2012	1/2/2013	130	$ 13,722 13	$ 12,940 50	$ 781 63	SHORT-TERM
DIAGEO PLC	5/10/2012	1/2/2013	80	$ 9,429 42	$ 7,967 20	$ 1,462 22	SHORT-TERM
PEPSICO	5/9/2012	1/2/2013	230	$ 15,847 22	$ 15,189 20	$ 658 02	SHORT-TERM
AMERICAN WATERWORKS	5/9/2012	1/25/2013	780	$ 29,017 52	$ 26,756 98	$ 2,260 54	SHORT-TERM
MERCK & CO	5/9/2012	2/5/2013	610	$ 25,156 38	$ 23,369 10	$ 1,787 28	SHORT-TERM
DELUXE CORP	5/9/2012	2/8/2013	570	$ 21,052 93	$ 13,542 12	$ 7,510 81	SHORT-TERM
NORTHERN TRUST	5/9/2012	2/8/2013	330	$ 17,075 20	$ 14,895 18	$ 2,180 02	SHORT-TERM
PEPSICO	5/9/2012	2/8/2013	190	$ 13,862 59	$ 12,596 40	$ 1,266 19	SHORT-TERM
PPG INDS	5/9/2012	2/8/2013	100	$ 13,828 87	$ 10,619 00	$ 3,209 87	SHORT-TERM
ACCENTURE	VARIOUS	3/28/2013	230	$ 17,401 19	$ 13,628 46	$ 3,772 73	SHORT-TERM
IBERIABANK CORP	5/9/2012	4/30/2013	97	$ 4,447 24	$ 4,726.23	$ (278 99)	SHORT-TERM
IBERIABANK CORP	5/9/2012	5/3/2013	313	$ 14,171 01	$ 16,040 19	$ (1,869 18)	SHORT-TERM
PORTLAND GENERAL ELECTRIC	5/9/2012	5/31/2013	590	$ 18,065 41	$ 14,794 37	$ 3,271 04	SHORT-TERM
BAXTER INTERNATIONAL	6/20/2013	8/8/2013	50	$ 3,600 43	$ 3,412 86	$ 187 57	SHORT-TERM
DEVON ENERGY CORP	8/21/2012	8/8/2013	40	$ 2,306 35	$ 2,403 89	$ (97 54)	SHORT-TERM
FIDELITY NATIONAL FINANCIAL	12/20/2012	8/8/2013	40	$ 973 98	$ 955 00	$ 18 98	SHORT-TERM
GENERAL ELECTRIC	9/19/2012	8/8/2013	50	$ 1,220 97	$ 1,118 73	$ 102 24	SHORT-TERM
BOB EVANS FARMS INC	10/25/2012	10/1/2013	125	$ 7,138 42	$ 4,720 65	$ 2,417 77	SHORT-TERM
BOB EVANS FARMS INC	11/1/2012	10/2/2013	115	$ 6,500 25	$ 4,354 22	$ 2,146 03	SHORT-TERM
BOB EVANS FARMS INC	11/1/2012	10/11/2013	330	$ 18,883 75	$ 12,616 89	$ 6,266 86	SHORT-TERM
BOB EVANS FARMS INC	11/1/2012	10/24/2013	330	$ 19,128 46	$ 12,616 89	$ 6,511 57	SHORT-TERM
BOB EVANS FARMS INC	VARIOUS	12/11/2013	580	$ 29,343 07	$ 27,476 14	$ 1,866 93	SHORT-TERM
				$ 959,036 97	$ 757,513 73	$ 201,523 24	
		SHORT-TERM CAPITAL GAINS		**$ 302,172.79**	**$ 256,740.20**	**$ 45,432.59**	
		LONG-TERM CAPITAL GAINS		**$ 656,864 18**	**$ 500,773.53**	**$ 156,090.65**	

Goldman Sachs

Statement Detail

SUE & EUGENE JR MERCY FDN

Holdings

Period Ended December 31, 2013

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
DEPOSITS & MONEY MARKET FUNDS								
MONEY MARKET FUNDS								
GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES Moody's Aaa	278,892 220	1 0000	278,892 22	1 0000	278,892 22	0 00	0 0100	27 89

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
POTBELLY CORP CMN (PBPB)	100 00	24 2800	2,428 00	14 0000	1,400 00	1,028 00		
TWITTER, INC CMN (TWTR)	250 00	63 6500	15,912 50	26 0000	6,500 00	9,412 50		

	Market Value	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	297,232.72	286,792.22	10,440.50	279.34

STATE STREET.

Account Holdings

THE SUE AND EUGENE MERCY, JR. FOUNDATION

As of December 31, 2013

Shares/ Units	Description	Security ID	Tax Cost	Price	Market Value	% Portfolio	Estimated Annual Income	Current Yield
Equities								
2,790.000	ABBOTT LABS COM	002824100	$104,716.51	38 330	$106,940.70	2.47%	$2,455.20	2.30
730.000	ACCENTURE PLC	G1151C101	43,089.33	82.220	60,020.60	1 39	1,357.80	2.26
1,530.000	AMERICAN WATER WORKS CO INC	030420103	51,674.09	42.260	64,657.80	1.49	1,713.60	2.65
1,070.000	AMERIPRISE FINANCIAL INC	03076C106	52,804.43	115.050	123,103.50	2.85	2,225.60	1.81
1,950.000	ATMOS ENERGY CORP	049560105	74,119.14	45.420	88,569.00	2.05	2,886.00	3.26
920.000	BAXTER INTL INC COM	071813109	62,796.53	69.550	63,986.00	1.48	1,803.20	2.82
310.000	BLACKROCK INC	09247X101	53,209.89	316.470	98,105.70	2.27	2,083.20	2.12
780 000	CHEVRON CORP	166764100	79,382.94	124.910	97,429.80	2.25	3,120.00	3.20
2,250.000	CINEMARK HOLDINGS INC	17243V102	71,475.19	33 330	74,992.50	1.73	2,250.00	3.00
1.210.000	CLECO CORPORATION COM NEW	12561W105	51,949.33	46.620	56,410.20	1.30	1,754.50	3.11
800 000	COLGATE PALMOLIVE CO COM	194162103	39.670 49	65.210	52,168.00	1.21	1,088.00	2.09
1,440.000	DELUXE CORP COM	248019101	33,568.24	52.190	75,153.60	1.74	1,440.00	1.92
720.000	DEVON ENERGY CORP	25179M103	44,832.71	61.870	44,546.40	1.03	633.60	1.42
410.000	DIAGEO P L C SPNSRD ADR NEW	25243Q205	39,585.70	132.420	54,292.20	1.26	1,229.18	2.26

Account Holdings

THE SUE AND EUGENE MERCY, JR. FOUNDATION

As of December 31, 2013

Shares/ Units	Description	Security ID	Tax Cost	Price	Market Value	% Portfolio	Estimated Annual Income	Current Yield
Equities (Continued)								
2,290.000	DIEBOLD INC COM	253651103	68,978.67	33.010	75,592.90	1.75	2,633.50	3 46
5,090.000	DOUGLAS DYNAMICS INC	25960R105	69,645.20	16.820	85,613.80	1.98	4,326.50	5.05
1,360.000	DU PONT E I DE NEMOURS & CO COM	263534109	77,122.20	64.970	88,359.20	2.04	2,448.00	2.77
3,650 000	E M C CORP MASS COM	268648102	92,282.16	25.150	91,797.50	2.12	1,460.00	1.59
1,450.000	EASTGROUP PPTY INC COM	277276101	72,649 44	57.930	83,998.50	1.94	3,132.00	3 73
1,000.000	EMERSON ELEC CO COM	291011104	47,921.90	70.180	70,180.00	1.62	1,720.00	2.45
600.000	EOG RESOURCES INC	26875P101	57,627.24	167.840	100,704.00	2.33	450.00	0.45
2,890.000	FIDELITY NATIONAL FINL INC CL A	31620R105	67,313.77	32.450	93,780.50	2.17	2,080 80	2.22
4,760 000	FIRST NIAGARA FINANCIAL GROUP INC	33582V108	38,726.21	10.620	50,551.20	1.17	1,523.20	3.01
4,200.000	FIRSTMERIT CORP COM	337915102	68,831.11	22.230	93,366.00	2.16	2,688.00	2.88
1,170.000	GALLAGHER ARTHUR J & CO COM	363576109	51,979.82	46 930	54,908.10	1.27	1,638.00	2.98
3,790 000	GENERAL ELEC CO COM	369604103	81,357.36	28.030	106,233 70	2.46	3,335.20	3.14
1,030.000	GREIF BROS CORP CL A	397624107	50,963.82	52.400	53,972.00	1.25	1,730.40	3.21
2,050.000	HALLIBURTON CO COM	406216101	69,960.75	50.750	104,037 50	2.41	1,230.00	1 18
2,130.000	HILLENBRAND INC	431571108	60,991 49	29.420	62,664.60	1.45	1,682.70	2.69
670.000	HOME DEPOT INC COM	437076102	32,565.31	82.340	55,167 80	1.28	1,045.20	1.89
3,580.000	HORACE MANN EDUCATORS CORP NEW COM	440327104	62,941.30	31 540	112,913.20	2.61	2,792.40	2.47
1,040 000	IBERIABANK CORP	450828108	51,482.08	62.850	65,364.00	1.51	1,414.40	2.16
1,300.000	INGREDION INC	457187102	90,607.97	68 460	88,998 00	2.06	2,184.00	2.45
2,560.000	INTEL CORP COM	458140100	64,616.29	25.955	66,444.80	1.54	2,304.00	3.47
610.000	JM SMUCKER CO/THE-NEW COM	832696405	46 523 59	103.620	63,208.20	1.46	1,415.20	2.24

Account Holdings

THE SUE AND EUGENE MERCY, JR. FOUNDATION

As of December 31, 2013

Shares/ Units	Description	Security ID	Tax Cost	Price	Market Value	% Portfolio	Estimated Annual Income	Current Yield
Equities (Continued)								
1,190.000	JOHNSON & JOHNSON COM	478160104	76,446.89	91.590	108,992.10	2.52	3,141.60	2.88
3,370.000	KNOLL INC	498904200	55,199.24	18 310	61,704.70	1.43	1,617.60	2.62
1,030.000	LANCASTER COLONY CORP	513847103	67,277.19	88.150	90,794.50	2.10	1,812.80	2.00
2,250.000	MARSH & MCLENNAN COS INC COM	571748102	74,244.43	48.360	108,810.00	2.52	2,250.00	2.07
2,390.000	MICROSOFT CORP COM	594918104	72,241.83	37.410	89,409.90	2.07	2,676.80	2.99
1,610.000	MID-AMERICA APARTMENT CMNTYS INC	59522J103	109,065.37	60.740	97,791.40	2.26	4,701.20	4.81
1,710.000	MINE SAFETY APPLIANCES CO	602720104	73,171.22	51.210	87,569.10	2.02	2,052.00	2.34
2,480.000	PACWEST BANCORP	695263103	67,238.40	42.220	104,705.60	2.42	2,480.00	2.37
2,050.000	PORTLAND GENERAL ELECTRIC CO	736508847	51,009.45	30.200	61,910.00	1.43	2,255.00	3.64
1,190.000	SENSIENT TECHNOLOGIES CORP	81725T100	42,654.67	48.520	57,738 80	1.33	1,094.80	1.90
1,030.000	SONOCO PRODS CO COM	835495102	32,536.28	41.720	42,971 60	0.99	1,277.20	2.97
1,030.000	STRYKER CORP COM	863667101	54,257.12	75.140	77,394.20	1.79	1,256.60	1.62
1,670.000	TAL INTERNATIONAL GROUP INC	874083108	56,732.26	57.350	95,774.50	2.21	4,676.00	4 88
2,780 000	TEXAS INSTRS INC COM	882508104	88,061.26	43.910	122,069.80	2.82	3,336 00	2.73
960.000	TIFFANY & CO NEW COM	886547108	55,980.27	92.780	89,068.80	2.06	1,305.60	1.47
1,600.000	UIL HOLDINGS CORPORATION	902748102	54,259.51	38.750	62,000.00	1.43	2,764.80	4.46
720.000	UNITED PARCEL SERVICE -CL B	911312106	55,120.56	105.080	75,657.60	1.75	1,785.60	2.36
1,860.000	VERIZON COMMUNICATIONS INC	92343V104	75,903.42	49.140	91,400.40	2.11	3,943.20	4.31
1,320 000	WEST PHARMACEUTICAL SVCS INC	955306105	29,205.27	49.060	64,759.20	1.50	528.00	0.82
Total Equities			$3,318,566.84		$4,318,753.70	99.84%	$114,228.18	

THE SUE AND EUGENE MERCY, JR. FOUNDATION 13-6217050

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	VAR
656,864.		500,773.					156,091.	
		SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	VAR
302,173.		256,740.					45,433.	
TOTAL GAIN(LOSS)							201,524.	

THE SUE AND EUGENE MERCY, JR. FOUNDATION **13-6217050**

SUPPLEMENTARY STATEMENTS

PART: XV **LINE: 2** **SUPPLEMENTAL INFORMATION**

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST ON BDA		595.	595.	
	TOTAL	595.	595.	

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
DIVIDENDS THRU STATE STREET		100,252.	100,252.	
DIVIDENDS THRU GOLDMAN SACHS		17.	17.	
	TOTAL	100,269.	100,269.	

ATTACHMENT 3

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
PUBLIC NOTICE FEE		135.			135.
MANAGEMENT FEES		34,440.	34,440.		
OFFICE EXPENSE		119.			119.
CUSTODY FEES		1,148.	1,148.		
CHARITABLE EXPENSE		1,040.			1,040.
	TOTALS	36,882.	35,588.		1,294.

ATTACHMENT 4

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE LONG POSITION I ATTACHED	278,892.	286,792.	297,233.
SEE LONG POSITION II ATTACHED	3,033,751.	3,318,567.	4,318,754.
TOTALS	3,312,643.	3,605,359.	4,615,987.

ATTACHMENT 5

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
ROUNDING		1.
	TOTAL	1.

THE SUE AND EUGENE MERCY, JR. FOUNDATION 13-6217050

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
EUGENE MERCY, JR. C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	PRESIDENT/PART-TIME	0	0	0
ROBERT E. MNUCHIN C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	SECRETARY/PART-TIME	0	0	0
EUGENE MERCY III C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIR. CLASS B/PART-TIME	0	0	0
ANDREW SETH MERCY C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIR. CLASS B/PART-TIME	0	0	0
AARON DANIELS C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIR. CLASS B/PART-TIME	0	0	0
	GRAND TOTALS	0	0	0

Form **8868**

(Rev January 2014)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868.*

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return See instructions

Name of exempt organization or other filer, see instructions: SUE & EUGENE MERCY, JR. FOUNDATION

Employer identification number (EIN) or: 13-6217050

Number, street, and room or suite no. If a P.O. box, see instructions.: C/O BCRS ASSOCIATES, LLC; 77 WATER ST - 9TH FL

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions.: NEW YORK, NY 10005

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ BCRS ASSOCIATES, LLC

Telephone No. ▶ 212-440-0811 Fax No. ▶ N/A

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box . . . . ▶ ☐. If it is for part of the group, check this box . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☒ calendar year 20 13 or

▶ ☐ tax year beginning ______, 20 ___, and ending ______, 20 ___

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 4,012.00
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit.	3b	$ 2,012.00
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 2,000.00

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions