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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation NOVARTIS U.S. FOUNDATION		A Employer identification number 13-6193034
Number and street (or P.O. box number if mail is not delivered to street address) 230 PARK AVENUE, 21ST FLOOR	Room/suite	B Telephone number 908-766-9800
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10169		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 26,699,643. (Part I, column (d) must be on cash basis.)	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,042,901.			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	65.	65.	65.	
	4 Dividends and interest from securities	480,877.	480,877.	480,877.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	13,966.			
	b Gross sales price for all assets on line 6a	409,459.			
	7 Capital gain net income (from Part IV, line 2)		13,966.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11	1,537,809.	494,908.	480,942.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 1	6,700.	0.	0.	0.
	c Other professional fees STMT 2	6,497.	6,497.	0.	0.
	17 Interest				
	18 Taxes STMT 3	10,145.	0.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	343,082.	0.	7,500.	0.
	24 Total operating and administrative expenses Add lines 13 through 23	366,424.	6,497.	7,500.	0.
	25 Contributions, gifts, grants paid	1,051,069.			1,121,587.
26 Total expenses and disbursements. Add lines 24 and 25	1,417,493.	6,497.	7,500.	1,121,587.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	120,316.				
b Net investment income (if negative, enter -0-)		488,411.			
c Adjusted net income (if negative, enter -0-)			473,442.		

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	594,695.	572,037.	572,037.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	3,907.		
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	15,477,587.	15,562,972.	26,127,606.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 6)	7,500.	0.	0.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	16,083,689.	16,135,009.	26,699,643.
	17 Accounts payable and accrued expenses	387,729.	402,920.	
	18 Grants payable	147,507.	68,569.	
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ EXCISE TAX PAYABLE)	0.	513.	
	23 Total liabilities (add lines 17 through 22)	535,236.	472,002.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	15,548,453.	15,663,007.	
	30 Total net assets or fund balances	15,548,453.	15,663,007.	
	31 Total liabilities and net assets/fund balances	16,083,689.	16,135,009.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,548,453.
2 Enter amount from Part I, line 27a	2	120,316.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	15,668,769.
5 Decreases not included in line 2 (itemize) ▶ FMV GRANTS ADJUSTED	5	5,762.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,663,007.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 409,459.		395,493.	13,966.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			13,966.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	13,966.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	13,966.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,393,138.	22,162,118.	.062861
2011	1,425,545.	20,893,033.	.068231
2010	1,430,080.	19,200,596.	.074481
2009	1,009,786.	16,878,680.	.059826
2008	932,496.	18,369,111.	.050764

2 Total of line 1, column (d)	2	.316163
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.063233
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	22,162,118.
5 Multiply line 4 by line 3	5	1,401,377.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,884.
7 Add lines 5 and 6	7	1,406,261.
8 Enter qualifying distributions from Part XII, line 4	8	1,121,587.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	9,768.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	9,768.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	9,768.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,857.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	4,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	12,857.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	23.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,066.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV		X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>PRAGER METIS CPAS, LLC</u> Telephone no. ► <u>908-766-9800</u> Located at ► <u>222 MOUNT AIRY ROAD, BASKING RIDGE, NJ</u> ZIP+4 ► <u>07920</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	0.
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	21,960,450.
b	Average of monthly cash balances	1b	539,162.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	22,499,612.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	22,499,612.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	337,494.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,162,118.
6	Minimum investment return. Enter 5% of line 5	6	1,108,106.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,108,106.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	9,768.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	9,768.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,098,338.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,098,338.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,098,338.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,121,587.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,121,587.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,121,587.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,098,338.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	25,448.			
b From 2009	175,516.			
c From 2010	479,912.			
d From 2011	390,813.			
e From 2012	290,059.			
f Total of lines 3a through e	1,361,748.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	1,121,587.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				1,098,338.
e Remaining amount distributed out of corpus	23,249.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,384,997.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	25,448.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	1,359,549.			
10 Analysis of line 9:				
a Excess from 2009	175,516.			
b Excess from 2010	479,912.			
c Excess from 2011	390,813.			
d Excess from 2012	290,059.			
e Excess from 2013	23,249.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
EDWARD M. KENNEDY INSTITUTE			GENERAL OPERATING BUDGET	50,000.
LINK COMMUNITY SCHOOL			GENERAL OPERATING BUDGET	35,000.
MATCHING GIFTS			MATCHING GIFT PROGRAMS ARE TO EDUCATIONAL TAX EX 501C3 ORG., PUBLIC RADIO & TV SCHOOL PROGRAMS	1,036,587.
Total			3a	1,121,587.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
	a Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
	b Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
	c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
	d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

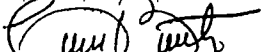
[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here 	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No	
	Signature of officer or trustee _____		Date 09/18/2014		Title Executive Director	
Paid Preparer Use Only	Print/Type preparer's name STEPHEN R. GLICKENHAUS		Preparer's signature 		Date 06/24/14	
	Check ☐ if self-employed		PTIN P00061550			
	Firm's name ▶ PRAGER METIS CPAS, LLC				Firm's EIN ▶ 06-1667465	
	Firm's address ▶ 222 MOUNT AIRY ROAD BASKING RIDGE, NJ 07920				Phone no. 908-766-9800	

Form **990-PF** (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
a MFO VANGUARD BALANCED INDEX FD INC	P	04/25/13	04/26/13
b MFO VANGUARD BALANCED INDEX FD INC	P	05/24/13	05/28/13
c MFO VANGUARD BALANCED INDEX FD INC	P	05/24/13	05/28/13
d MFO VANGUARD BALANCED INDEX FD INC	P	06/04/13	06/05/13
e MFO VANGUARD BALANCED INDEX FD INC	P	06/25/13	06/26/13
f MFO VANGUARD BALANCED INDEX FD INC	P	07/08/13	07/09/13
g MFO VANGUARD BALANCED INDEX FD INC	P	07/18/13	07/19/13
h MFO VANGUARD BALANCED INDEX FD INC	P	07/19/13	07/22/13
i MFO VANGUARD BALANCED INDEX FD INC	P	08/01/13	08/02/13
j MFO VANGUARD BALANCED INDEX FD INC	P	08/21/13	08/22/13
k MFO VANGUARD BALANCED INDEX FD INC	P	09/20/13	09/23/13
l MFO VANGUARD BALANCED INDEX FD INC	P	09/25/13	09/26/13
m MFO VANGUARD BALANCED INDEX FD INC	P	10/02/13	10/03/13
n MFO VANGUARD BALANCED INDEX FD INC	P	10/09/13	10/10/13
o MFO VANGUARD BALANCED INDEX FD INC	P	11/06/13	11/07/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,000.		14,841.	159.
b 50,000.		48,011.	1,989.
c 7,000.		6,385.	615.
d 8,000.		7,365.	635.
e 50,000.		47,171.	2,829.
f 2,617.		2,591.	26.
g 775.		751.	24.
h 87,780.		84,894.	2,886.
i 1,000.		963.	37.
j 6,000.		5,925.	75.
k 6,000.		5,746.	254.
l 8,599.		8,260.	339.
m 4,000.		4,000.	0.
n 2,637.		2,677.	-40.
o 4,000.		3,899.	101.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** 159.
b			** 1,989.
c			** 615.
d			** 635.
e			** 2,829.
f			** 26.
g			** 24.
h			** 2,886.
i			** 37.
j			** 75.
k			** 254.
l			** 339.
m			** 0.
n			** -40.
o			** 101.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MFO VANGUARD BALANCED INDEX FD INC	P	12/04/13	12/05/13
b	MFO VANGUARD BALANCED INDEX FD INC	P	12/12/13	12/13/13
c	MFO VANGUARD BALANCED INDEX FD INC	P	01/23/13	01/24/13
d	MFO VANGUARD BALANCED INDEX FD INC	P	02/12/13	02/13/13
e	MFO VANGUARD BALANCED INDEX FD INC	P	02/13/13	02/14/13
f	MFO VANGUARD BALANCED INDEX FD INC	P	03/07/13	03/08/13
g	MFO VANGUARD BALANCED INDEX FD INC	P	04/23/13	04/24/13
h	MFO VANGUARD BALANCED INDEX FD INC	P	04/25/13	04/26/13
i	MFO VANGUARD BALANCED INDEX FD INC	P	04/25/13	04/26/13
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 99.		96.	3.
b 35,000.		34,066.	934.
c 2,984.		2,917.	67.
d 8,000.		7,748.	252.
e 50,000.		48,183.	1,817.
f 8,000.		7,581.	419.
g 1,968.		1,953.	15.
h 25,000.		24,735.	265.
i 25,000.		24,735.	265.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			** 3.
b			** 934.
c			** 67.
d			** 252.
e			** 1,817.
f			** 419.
g			** 15.
h			** 265.
i			** 265.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	13,966.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	13,966.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 1

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	6,700.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	6,700.	0.	0.	0.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	6,497.	6,497.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	6,497.	6,497.	0.	0.

FORM 990-PF

TAXES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	9,370.	0.	0.	0.
NY FILING FEE	775.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	10,145.	0.	0.	0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MATCHING GIFT PROCESS FEE	10,907.	0.	0.	0.
BANK CHARGES	10,197.	0.	0.	0.
DONATIONS	258,780.	0.	0.	0.
LICENSES AND FEES	99.	0.	0.	0.
ADMINISTRATIVE FEES	55,000.	0.	0.	0.
POSTAGE	599.	0.	0.	0.

AMORTIZATION	7,500.	0.	7,500.	0.
TO FORM 990-PF, PG 1, LN 23	343,082.	0.	7,500.	0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE SECURITIES	15,562,972.	26,127,606.
TOTAL TO FORM 990-PF, PART II, LINE 10B	15,562,972.	26,127,606.

FORM 990-PF	OTHER ASSETS	STATEMENT	6
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SOFTWARE	0.	0.	0.
SOFTWARE	7,500.	0.	0.
TO FORM 990-PF, PART II, LINE 15	7,500.	0.	0.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 7

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT PELZER 230 PARK AVENUE NEW YORK, NY 10163	CHAIRMAN 0.00	0.	0.	0.
MERYL ZAUSNER ONE HEALTH PLAZA EAST HANOVER, NJ 07936	TRUSTEE 0.00	0.	0.	0.
BRENDA LUCKRITZ 230 PARK AVENUE NEW YORK, NY 10163	TRUSTEE 0.00	0.	0.	0.
JAMES ELKIN 700 PENNSYLVANIA AVE. N.W. WASHINGTON, DC 20004	TRUSTEE 0.00	0.	0.	0.
KENNETH SCHUSTER 230 PARK AVENUE NEW YORK, NY 10163	TREASURER 0.00	0.	0.	0.
EDGAR BUTZ 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	EXECUTIVE DIR. 0.00	0.	0.	0.
BARRY ROSENFELD 230 PARK AVENUE NEW YORK, NY 10163	SECRETARY 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	SOFTWARE	100111		12M	43	10,000.			10,000.	10,000.		0.
2	SOFTWARE	100112		12M	43	10,000.			10,000.	2,500.		7,500.
	* TOTAL 990-PF PG 1					20,000.		0.	20,000.	12,500.	0.	7,500.
	DEPR & AMORT											

Form 4562

Department of the Treasury
Internal Revenue Service (99)**Depreciation and Amortization 990-PF**
(Including Information on Listed Property)

▶ See separate instructions.

▶ Attach to your tax return.

OMB No 1545-0172

2013Attachment
Sequence No 179

Name(s) shown on return

Business or activity to which this form relates

Identifying number

NOVARTIS U.S. FOUNDATION

FORM 990-PF PAGE 1

13-6193034

Part I Election To Expense Certain Property Under Section 179 Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0- If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property	/		27 5 yrs	MM	S/L	
i Nonresidential real property	/		39 yrs	MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year	/		40 yrs	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instr	22	0.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A - Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
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25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use

25

26 Property used more than 50% in a qualified business use

		%						
		%						
		%						

27 Property used 50% or less in a qualified business use

		%			S/L -			
		%			S/L -			
		%			S/L -			

28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1

28

29 Add amounts in column (i), line 26. Enter here and on line 7, page 1

29

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle	(b) Vehicle	(c) Vehicle	(d) Vehicle	(e) Vehicle	(f) Vehicle
30 Total business/investment miles driven during the year (do not include commuting miles)						
31 Total commuting miles driven during the year						
32 Total other personal (noncommuting) miles driven						
33 Total miles driven during the year. Add lines 30 through 32						
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?						
36 Is another vehicle available for personal use?						

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons.

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners.		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year					
43 Amortization of costs that began before your 2013 tax year				43	7,500.
44 Total. Add amounts in column (f). See the instructions for where to report.				44	7,500.



Northern Trust

2013 Tax Information Statement

NOVARTIS CORPORATION

Page 42 of 43

Account Number: 26-04450
Tax ID Number: XX-XXX3034
Ref: AET

New Jersey Supplemental Capital Gains and Losses Detail

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Wash Sale Loss Disallowed	Net Gain or Loss
Short Term Sales								
121.9100	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	09/27/2012	01/23/2013	2,981.33	2,917.30	0.00	67.03
323.7600	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	09/27/2012	02/12/2013	8,000.00	7,747.57	0.00	252.43
2022.6500	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	09/27/2012	02/13/2013	50,000.00	48,182.80	0.00	1,817.20
320.1300	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	12/26/2012	03/07/2013	8,000.00	7,580.67	0.00	419.33
77.7000	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	03/27/2013	04/23/2013	1,968.13	1,953.38	0.00	14.75
983.8700	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	03/27/2013	04/25/2013	25,000.00	24,734.51	0.00	265.49
983.8700	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	03/27/2013	04/25/2013	25,000.00	24,734.51	0.00	265.49
590.3200	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	03/27/2013	04/25/2013	15,000.00	14,840.65	0.00	159.35
1926.0400	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	12/26/2012	05/24/2013	50,000.00	48,010.98	0.00	1,989.02
269.6500	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	12/26/2012	05/24/2013	7,000.00	6,385.31	0.00	614.69
311.0400	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	12/26/2012	06/04/2013	8,000.00	7,365.42	0.00	634.58
1992.0300	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	12/26/2012	06/25/2013	50,000.00	47,171.23	0.00	2,828.77
102.4100	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	06/27/2013	07/08/2013	2,616.63	2,590.97	0.00	25.66
29.6800	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	06/27/2013	07/18/2013	775.00	750.90	0.00	24.10
3355.5100	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	06/27/2013	07/19/2013	87,780.00	84,894.41	0.00	2,885.59



Important Tax Information



Northern Trust

2013 Tax Information Statement

NOVARTIS CORPORATION

Account Number:

26-04450

Tax ID Number:

XX-XXX3034

Ref: AET

New Jersey Supplemental Capital Gains and Losses Detail

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Wash Sale Loss Disallowed	Net Gain or Loss
38.0700	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	06/27/2013	08/01/2013	1,000.00	963.17	0.00	36.83
234.1900	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	06/27/2013	08/21/2013	6,000.00	5,925.01	0.00	74.99
227.1000	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	06/27/2013	09/20/2013	6,000.00	5,745.63	0.00	254.37
326.4700	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	06/27/2013	09/25/2013	8,599.31	8,259.69	0.00	339.62
152.2700	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	09/26/2013	10/02/2013	4,000.00	4,000.13	0.00	-0.13
101.9200	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	09/26/2013	10/09/2013	2,636.57	2,677.44	0.00	-40.87
148.4200	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	09/26/2013	11/06/2013	4,000.00	3,898.99	0.00	101.01
3.6500	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	09/26/2013	12/04/2013	99.00	95.89	0.00	3.11
1296.7800	921931309	MFO VANGUARD BALANCED INDEX FD INC INSTLSHS	09/26/2013	12/12/2013	35,000.00	34,066.41	0.00	933.59
Total Short Term Sales					409,458.97	395,492.97	0.00	13,966.00

Important Tax Information

NOVARTIS FOUNDATION
FORM 990PF TAX CALCULATIONS

YEAR 2013 AVERAGE CASH/INVESTMENT BALANCES
PART X FORM 990PF

MONTH	CASH	INVESTMENTS
JAN	615,749	23,177,228
FEB	615,186	23,355,896
MAR	568,248	23,899,600
APRIL	779,168	24,173,653
MAY	579,811	24,287,373
JUNE	572,412	23,894,698
JULY	562,492	24,598,269
AUGUST	562,465	24,120,068
SEPT	561,530	24,723,915
OCT	369,711	25,426,724
NOV	558,206	25,819,964
DEC	572,032	26,109,606

TOTAL 6,917,010 293,586,994

AVERAGE 576,418 24,465,583

PART X MINIMUM INVESTMENT RETURN

1 AVG MONTHLY FMV - SECURITIES

2 AVG MONTHLY CASH BALANCE

3 TOTAL

4 CASH DEEMED HELD 1.5% LINE 3

5 NET VAL NONCHAR USE ASSETS
LINE 3-LINE 4

6 MIN INVEST RETURN 5%LINE 5

24,465,583

576,418

25,042,000

375,630

24,666,370

1,233,319

NOVARTIS

DISBURSEMENTS CHARITABLE PURPOSES

CASH BASIS ONLY

FORM 990PF PART I COL D LINE 25

PER TRIAL BALANCE - ACCRUAL BASIS

MATCHING GIFTS ACCT # 756	964,649
MATCHING GIFTS ACCT # 756 01	9,629
MATCHING GIFTS ACCT # 756 02	<u>76,791</u>
TOTAL	1,051,069

ADD ACCRUED GIFTS 1/1/13

GRANTS	155,000
MATCHING GIFTS	300,819
MATCHING GIFTS DISASTER	45,375

LESS ACCRUED GIFTS 12/31/13

MATCHING GIFTS	(329,029)
MATCHING GIFTS - DISASTER	(362)
MATCHING CREDIT CARD GIFTS	(31,285)
GRANTS	(70,000)

TOTAL PAID OUT CASH BASIS

1,121,587

FORM 990PF PART XV

	TOTAL	PAYABLE	PAID
CASH PAID OUT			
MATCH	964,649	329,029	635,621
DISASTER	9,629	362	9,267
CR CD	76,791	31,385	45,406
1/1/2013			
MATCH PAY			300,819
CR CD PAY			45,375
GRANT PAY			85,000
DIFFERENCE			99
			<u>1,121,587</u>

PAYMENTS IN 2013

UNIVERSITY SO CAROLINA	750
REGISTER 10/821/13	174,646
REGISTER 10/21/2013	14,495
REGISTER 7/18/2013	26,071
REGISTER 7/18/2013	9,267
REGISTER 7/18/2013	252,747
REGISTER 4/20/2013	213,292
REGISTER 1/29/2013	45,375
REGISTER 1/29/2013	300,719
REGISTER 1/29/2013	100
LINK SCHOOL	35,000
EDW KENNEDY INST	50,000
	112,246
DIFFERENCE	(875)
	<u>1,121,587</u>

Novartis US Foundation
Check Register for 12/04/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14799	UNIVERSITY OF SOUTH CAROLINA EDUCATIONAL FOUNDATION	8527033	16255681	\$750.00

Number of Checks: 1
Total Check Amount: \$750.00

Novartis US Foundation
Check Register for 10/21/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14568	NEW YORK PUBLIC RADIO	10901181	15340877	\$447.00 0
14569	++Newton-Wellesley Hospital Charitable Foundation	10901185	15340881	\$50.00 -
14570	NORTH CAROLINA STATE UNIVERSITY FOUNDATION INC	10901189	15340885	\$1,000.00 0
14571	OGLALA LAKOTA COLLEGE	10901191	15340887	\$1,000.00 0
14572	OHEL CHILDRENS HOME AND FAMILY SERVICES INC	10901195	15340891	\$50.00 -
14573	OHIO STATE UNIVERSITY FOUNDATION	10901197	15340893	\$180.00 -
14574	++Oktibbeha Educational Foundation, Inc.	10901199	15340895	\$1,200.00 ✓
14575	++Orange County Affiliate of the Susan G. Komen Breast Cancer Foundation, Inc.	10901205	15340901	\$50.00 -
14576	OREGON STATE UNIVERSITY FOUNDATION	10901207	15340903	\$1,000.00 -
14577	OSTEOGENESIS IMPERFECTA FOUNDATION INC	10901209	15340905	\$200.00 0
14578	OSWEGO COLLEGE FOUNDATION INC	10901211	15340907	\$100.00 0
14579	PAN MASSACHUSETTS CHALLENGE TRUST	10901215	15340911	\$10,325.00 -
14580	PANCREATIC CANCER ACTION NETWORK INC	10901233	15340929	\$350.00 -
14581	PARTNERS IN HEALTH A NONPROFIT CORPORATION	10901237	15340933	\$500.00 0
14582	PKD FOUNDATION	10901239	15340935	\$1,550.00 -
14583	Planned Parenthood of the Pacific Southwest	10901245	15340941	\$250.00 0
14584	PRINCETON DAY SCHOOL INC	10901251	15340945	\$100.00
14585	PTA CALIFORNIA CONGRESS OF PARENTS TEACHERS & STUDENTS INC	10901253	15340949	\$225.00
14586	++PTA CALIFORNIA CONGRESS OF PARENTS TEACHERS & STUDENTS INC/Junipero Serra Elementary School PTA	10901257	15340953	\$500.00
14587	++PTA CALIFORNIA CONGRESS OF PARENTS TEACHERS & STUDENTS INC/LAS LOMAS HIGH SCHOOL PTSA	10901261	15340955	\$100.00 6
14588	PULMONARY FIBROSIS FOUNDATION	10901263	15340959	\$25.00 1
14589	RABBINICAL COLLEGE OF AMERICA	10901267	15340963	\$5,000.00 0
14590	RICHER ELEMENTARY SCHOOL PTO INC	10901269	15340965	\$100.00 0
14591	RUTGERS PREPARATORY SCHOOL	10901275	15340971	\$1,000.00 0
14592	RUTGERS UNIVERSITY FOUNDATION	10901281	15340977	\$25.00 0
14593	SAINT BARNABAS MEDICAL CENTER	10901283	15340979	\$25.00 0
14594	SAINT DOMINIC ACADEMY	10901285	15340981	\$100.00 0
14595	SAN DIEGO STATE UNIVERSITY FOUNDATION	10901287	15340983	\$143.05 0
14596	SAN FRANCISCO AIDS FOUNDATION	10901291	15340987	\$350.00 0
14597	SAN RAMON VALLEY EDUCATION FOUNDATION	10901295	15340993	\$445.00 0
14598	SANKARA EYE FOUNDATION USA	10901301	15340997	\$150.00 0
14599	SMILE TRAIN INC	10901305	15340999	\$1,450.00 0
14600	SOLANA BEACH FOUNDATION FOR LEARNING	10901307	15341003	\$2,850.00 0
14601	SOLANA SANTA FE PARENT SUPPORT GROUP	10901309	15341005	\$2,050.00 0
14602	++South Central Wisconsin Alzheimers Assoc.	10901313	15341009	\$155.00 0
14603	SPRAGUE SCHOOL PARENT TEACHER ORGANIZATION INC	10901317	15341013	\$100.00 0
14604	ST JEROME REGIONAL SCHOOL	10900191	15339887	\$1,000.00 -

Novartis US Foundation
Check Register for 10/21/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14605	++ST JOHN THE EVANGELIST LONG GREEN VALLEY ROMAN CATHOLIC ELEM SCHOOL	10900199	15339895	\$50.00
14606	ST JOSEPH SCHOOL	10900205	15339901	\$1,973.00
14607	ST. OLAF COLLEGE	10900219	15339915	\$500.00
14608	ST PATRICKS SCHOOL	10900225	15339921	\$400.00
14609	++St. Baldrick's Foundation	10900229	15339925	\$75.00
14610	++St. John's University	10900235	15339931	\$1,250.00
14611	St. Joseph High School	10900239	15339933	\$500.00
14612	++St. Mary's Star of Sea School/Preschool	10900241	15339937	\$750.00
14613	++St. Matthias School	10900247	15339941	\$6,000.00
14614	SUMMIT EDUCATIONAL FOUNDATION INC	10900255	15339951	\$100.00
14615	SUNSTONE MONTESSORI SCHOOL INC	10900259	15339955	\$1,850.00
14616	++Susan G. Komen 3-Day for the Cure	10900277	15339971	\$370.00
14617	SWARTHMORE COLLEGE	10900281	15339977	\$100.00
14618	THE FISTULA FOUNDATION	10900285	15339981	\$250.00
14619	THE NORTH CAROLINA PUBLIC TELEVISION FOUNDATION INC	10900291	15339987	\$200.00
14620	THIRTEEN	10900305	15340001	\$390.00
14621	TRAVELL HOME & SCHOOL ASSOCIATION INC	10900317	15340013	\$250.00
14622	TRUSTEES OF HAMILTON COLLEGE	10900327	15340021	\$600.00
14623	TRUSTEES OF PHILLIPS ACADEMY	10900331	15340025	\$200.00
14624	TRUSTEES OF PRINCETON UNIVERSITY	10900341	15340037	\$2,500.00
14625	TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	10900345	15340041	\$50.00
14626	TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	10900351	15340047	\$5,000.00
14627	U C Davis Foundation	10900357	15340053	\$200.00
14628	UNDERSTANDING DISABILITIES, INC	10900361	15340057	\$25.00
14629	University of California Berkeley Foundation	10900367	15340063	\$50.00
14630	UNIVERSITY OF DELAWARE	10900371	15340067	\$500.00
14631	University of Kentucky	10900373	15340069	\$1,310.00
14632	UNIVERSITY OF MISSISSIPPI FOUNDATION	10900377	15340073	\$480.00
14633	University of Nebraska Foundation	10900381	15340075	\$40.00
14634	UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	10900383	15340079	\$60.00
14635	UNIVERSITY OF NOTRE DAME DU LAC	10900391	15340085	\$25.00
14636	UNIVERSITY OF ROCHESTER	10900393	15340089	\$1,500.00
14637	UNIVERSITY OF VIRGINIA ALUMNI ASSOCIATION	10900399	15340095	\$250.00
14638	UNIVERSITY OF WISCONSIN FOUNDATION	10900407	15340103	\$3,500.00
14639	VALHALLA ELEMENTARY SCHOOL PARENT FACULTY ASSOCIATION	10900409	15340105	\$1,000.00
14640	VSC FOUNDATION INC	10900411	15340107	\$1,000.00
14641	WALNUT CREEK EDUCATION FOUNDATION K-12	10900417	15340113	\$600.00
14642	WELLESLEY ABC PROGRAM INC	10900423	15340117	\$150.00
14643	WELLESLEY MIDDLE SCHOOL PTO	10900425	15340121	\$200.00
14644	YWCA OF SAN DIEGO COUNTY	10900431	15340127	\$250.00
14645	++Meadowbrook Middle School	10900441	15340137	\$500.00
14646	ALEXS LEMONADE STAND FOUNDATION	10900447	15340143	\$325.00

Novartis US Foundation
Check Register for 10/21/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14647	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION	10900455	15340151	\$200.00 0
14648	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION	10900459	15340155	\$25.00 -
14649	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION	10900463	15340159	\$500.00 -
14650	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION INC	10900467	15340163	\$325.00 0
14651	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION INC	10900473	15340169	\$2,870.00 -
14652	++AMELIA EARHART PTA	10900487	15340183	\$185.00 0
14653	AMERICAN BRAIN FOUNDATION	10900493	15340189	\$1,500.00 0
14654	American Cancer Society	10900505	15340199	\$300.00 0
14655	AMERICAN CANCER SOCIETY INC	10900511	15340207	\$60.00
14656	AMERICAN DIABETES ASSOCIATION INC	10900521	15340217	\$250.00
14657	AMERICAN DIABETES ASSOCIATION INC	10900527	15340223	\$110.00
14658	AMERICAN DIABETES ASSOCIATION INC	10900539	15340233	\$1,177.00 0
14659	AMERICAN HEART ASSOCIATION INC	10900547	15340243	\$100.00
14660	AMERICAN HEART ASSOCIATION INC	10900553	15340249	\$25.00 -
14661	AMERICAN HEART ASSOCIATION INC	10900559	15340255	\$80.00
14662	AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION	10900573	15340269	\$50.00
14663	ANURAAG FOUNDATION	10900579	15340273	\$250.00
14664	AVON PRODUCTS FOUNDATION INCORPORATED	10900607	15340303	\$518.50
14665	BEAR CREEK HOME & SCHOOL ASSOCIATION INC	10900613	15340309	\$250.00
14666	Bentley University	10900617	15340313	\$500.00
14667	++BISHOP ODOWD HIGH SCHOOL	10900621	15340317	\$1,500.00
14668	BLACKBURN UNIVERSITY	10900625	15340321	\$1,000.00
14669	BOARD OF TRUSTEES FOR THE UNIVERSITY OF ALABAMA	10900631	15340327	\$175.00
14670	BOSTON MEDICAL CENTER CORPORATION	10900635	15340331	\$250.00 -
14671	BREAST CANCER FUND	10900641	15340337	\$65.00 -
14672	BREAST CANCER RESEARCH FOUNDATION INC	10900643	15340339	\$283.00 -
14673	BREATHE CALIFORNIA GOLDEN GATE PUBLIC HEALTH PARTNERSHIP	10900649	15340345	\$405.00
14674	BRIARCREST CHRISTIAN SCHOOL SYSTEM INC	10900655	15340351	\$5,000.00
14675	++Broad Meadow Elementary School PTC	10900657	15340353	\$100.00
14676	Buckingham Friends School	10900661	15340357	\$500.00
14677	CALIFORNIA STATE UNIVERSITY FRESNO FOUNDATION	10900665	15340361	\$500.00
14678	CANYON CREST ACADEMY FOUNDATION INC	10900671	15340365	\$200.00
14679	CARNEGIE MELLON UNIVERSITY	10900677	15340371	\$10,100.00
14680	CAROLINA PREGNANCY CENTER	10900681	15340377	\$346.08
14681	CARROLL SCHOOL CORP	10900689	15340385	\$400.00
14682	++CASTRO VALLEY EDUCATIONAL FOUNDATION	10900693	15340391	\$730.00
14683	CENTRAL CHRISTIAN COLLEGE OF KANSAS	10900703	15340399	\$200.00 -
14684	++Children's Hospital Colorado Foundation	10900709	15340403	\$100.00 -

Novartis US Foundation
Check Register for 10/21/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14685	CHRIST THE KING CATHOLIC SCHOOL	10900715	15340409	\$625.00 0
14686	COLLEGE OF SAINT ELIZABETH	10900719	15340415	\$500.00 0
14687	++CORNELL UNIVERSITY FOUNDATION	10900721	15340417	\$5,000.00 1
14688	CYSTIC FIBROSIS FOUNDATION	10900727	15340423	\$50.00 0
14689	CYSTIC FIBROSIS FOUNDATION HEADQUARTERS	10900731	15340427	\$140.00 1
14690	CYSTIC FIBROSIS FOUNDATION HEADQUARTERS	10900735	15340429	\$250.00 0
14691	CYSTIC FIBROSIS FOUNDATION HEADQUARTERS	10900739	15340435	\$100.00 0
14692	++Dana Farber Cancer Institute	10900743	15340439	\$3,361.00
14693	DANA FARBER CANCER INSTITUTE INC STEVEN CONNOLLY	10900783	15340477	\$380.00
14694	DE LA SALLE HIGH SCHOOL	10900789	15340485	\$500.00
14695	DELBARTON SCHOOL	10900793	15340489	\$500.00
14696	++DILLARD DRIVE MIDDLE SCHOOL PTA	10900797	15340493	\$100.00 0
14697	DONORSCHOOSE ORG	10900803	15340499	\$375.00 1
14698	DUKE UNIVERSITY	10900811	15340505	\$80.00 0
14699	++Eagle Affiliate for Greenbrook for Learning Excellence	10900813	15340509	\$295.00 0
14700	++Easter Seals of Delaware and Maryland's Eastern Shore	10900819	15340517	\$1,000.00 0
14701	FAMILY & COMMUNITY SERVICES OF SOMERSET COUNTY	10900839	15340535	\$300.00 1
14702	FOUNDATION FOR PEACE	10900851	15340545	\$12,664.75 1
14703	FOUNDATION OF LA JOLLA HIGH SCHOOL	10900859	15340555	\$300.00 0
14704	FRIENDS OF LA JOLLA ELEMENTARY INC	10900863	15340559	\$750.00 0
14705	++Friends of Silver Gate Elementary	10900867	15340563	\$200.00 0
14706	FURMAN UNIVERSITY	10900871	15340565	\$400.00 0
14707	GENESEO FOUNDATION INC	10900879	15340575	\$300.00 0
14708	GLOBAL EMERGENCY CARE COLLABORATIVE	10900881	15340579	\$300.00 0
14709	GRAYSTONE HOME & SCHOOL CLUB	10900889	15340585	\$610.00 0
14710	GREEN KNOLL RESCUE SQUAD INC	10900899	15340595	\$100.00 0
14711	++HETRICK-MARTIN INSTITUTE INC	10900901	15340597	\$1,600.00 0
14712	HIGH ROCK-POLLARD PARENT TEACHER COUNCIL	10900907	15340605	\$100.00 0
14713	INDIANA UNIVERSITY FOUNDATION	10900925	15340623	\$100.00 0
14714	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	10900937	15340631	\$100.00 0
14715	++JENSEN RANCH SCHOOL PTA	10900941	15340635	\$200.00 0
14716	++Juvenile Diabetes Research Foundation	10900943	15340639	\$569.02 0
14717	++JUVENILE DIABETES RESEARCH FOUNDATION	10900953	15340649	\$50.00 0
14718	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	10900959	15340655	\$50.00 0
14719	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	10900961	15340657	\$25.00 0
14720	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	10900965	15340661	\$25.00 0
14721	++KALW-FM 91.7 - San Francisco Unified School District	10900971	15340667	\$100.00 0
14722	KANSAS UNIVERSITY ENDOWMENT ASSOC	10900975	15340671	\$500.00 1

Novartis US Foundation
Check Register for 10/21/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14723	KENTUCKY CHRISTIAN UNIVERSITY	10900981	15340677	\$250.00 -
14724	KILLEARN LAKES ELEMENTARY PTO INC	10900987	15340681	\$1,000.00 0
14725	LAS LOMAS PERFORMING ARTS FOUNDATION	10900991	15340687	\$100.00 0
14726	LEBANON VALLEY COLLEGE	10900995	15340689	\$2,000.00 -
14727	LEHIGH UNIVERSITY	10900997	15340693	\$2,300.00 -
14728	Leukemia & Lymphoma Society	10901003	15340697	\$525.00 -
14729	LEUKEMIA & LYMPHOMA SOCIETY INC	10901005	15340701	\$8,369.00 -
14730	LEUKEMIA & LYMPHOMA SOCIETY INC	10901015	15340711	\$50.00 -
14731	LEUKEMIA & LYMPHOMA SOCIETY INC	10901017	15340713	\$50.00 -
14732	LEUKEMIA & LYMPHOMA SOCIETY INC	10901021	15340715	\$25.00 -
14733	LEUKEMIA & LYMPHOMA SOCIETY INC	10901025	15340721	\$180.00 -
14734	LEUKEMIA & LYMPHOMA SOCIETY INC	10901029	15340725	\$6,488.15 0
14735	LEUKEMIA & LYMPHOMA SOCIETY INC	10901043	15340739	\$2,355.00 -
14736	LEUKEMIA & LYMPHOMA SOCIETY INC	10901049	15340745	\$50.00 -
14737	LEUKEMIA & LYMPHOMA SOCIETY INC	10901053	15340749	\$380.00 -
14738	LEUKEMIA & LYMPHOMA SOCIETY INC	10901057	15340753	\$500.00 -
14739	LEUKEMIA & LYMPHOMA SOCIETY INC	10901063	15340759	\$500.00 -
14740	LEUKEMIA & LYMPHOMA SOCIETY INC	10901065	15340761	\$200.00 -
14741	LEUKEMIA & LYMPHOMA SOCIETY INC	10901067	15340763	\$125.00 -
14742	LEUKEMIA & LYMPHOMA SOCIETY INC	10901071	15340767	\$2,000.00 -
14743	LEUKEMIA & LYMPHOMA SOCIETY INC	10901077	15340773	\$700.00 -
14744	MAKING HEADWAY FOUNDATION INC	10901079	15340775	\$100.00 0
14745	MARIST COLLEGE	10901081	15340777	\$100.00 -
14746	MARTINEZ EDUCATION FOUNDATION	10901085	15340781	\$100.00 -
14747	++Massachusetts General Hospital	10901087	15340783	\$175.00 0
14748	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	10901091	15340787	\$300.00 0
14749	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	10901095	15340791	\$200.00 0
14750	++Melrose Family YMCA Child Care Center	10901097	15340793	\$2,350.00 0
14751	MEMORIAL SLOAN-KETTERING CANCER CENTER	10901101	15340797	\$125.00 -
14752	Muirlands Foundation Inc.	10901105	15340799	\$850.00 0
14753	NATIONAL BRAIN TUMOR SOCIETY INC	10901107	15340803	\$250.00 0
14754	NATIONAL JEWISH HEALTH	10901111	15340807	\$1,000.00 1
14755	NATIONAL KIDNEY FOUNDATION INC	10901113	15340809	\$50.00 1
14756	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901117	15340813	\$470.00 1
14757	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901121	15340817	\$1,120.00 1
14758	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901127	15340823	\$440.00 1
14759	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901131	15340827	\$600.00 1
14760	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901135	15340831	\$250.00 1
14761	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901139	15340833	\$110.00 1
14762	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901143	15340839	\$200.00 1
14763	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901145	15340841	\$145.00 1
14764	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901149	15340845	\$315.00 1
14765	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901153	15340849	\$100.00 1
14766	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901157	15340853	\$285.00 0

Novartis US Foundation
Check Register for 10/21/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14767	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901159	15340855	\$126.20 0
14768	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10901163	15340859	\$65.00 0
14769	++National Multiple Sclerosis Society - Northern California Chapter	10901165	15340861	\$3,465.00

Number of Checks: 202

Total Check Amount: \$174,645.75

Novartis US Foundation
Check Register for 10/21/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14527	GREENSBORO ACADEMY BOOSTERS	10898863	15337915	\$50.00
14528	++Hawthorne Gospel Church	10898865	15337917	\$200.00
14529	++JDRF Kentucky Chapter	10898867	15337919	\$300.00
14530	++LANCE ARMSTRONG FOUNDATION	10898869	15337921	\$100.00
14531	LASALLE COLLEGE HIGH SCHOOL	10898871	15337923	\$500.00
14532	LEUKEMIA & LYMPHOMA SOCIETY INC	10898873	15337925	\$75.00
14533	MIDLAND FOUNDATION	10898875	15337927	\$150.00
14534	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10898877	15337929	\$75.00
14535	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10898879	15337931	\$120.00
14536	NATIONAL MULTIPLE SCLEROSIS SOCIETY	10898881	15337933	\$150.00
14537	ONE FUND BOSTON INC	10898883	15337935	\$175.00
14538	PAN MASSACHUSETTS CHALLENGE TRUST	10898885	15337937	\$1,700.00
14539	PTA CALIFORNIA CONGRESS OF PARENTS TEACHERS & STUDENTS INC	10898887	15337939	\$500.00
14540	STANFORD UNIVERSITY BOARD OF TRUSTEES OF THE LELAND STANFORD JU	10898889	15337941	\$250.00
14541	THE SIZER FOUNDATION INC	10898891	15337943	\$4,000.00
14542	THOMAS A EDISON STATE COLLEGE FOUNDATION INC	10898893	15337945	\$50.00
14543	University of California Berkeley Foundation	10898895	15337947	\$100.00
14544	WAH MEI SCHOOL	10898897	15337949	\$100.00
14545	WAKE FOREST UNIVERSITY	10898899	15337951	\$200.00
14546	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION	10898851	15337903	\$50.00
14547	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION INC	10898853	15337905	\$50.00
14548	AMERICAN HEART ASSOCIATION INC	10898855	15337907	\$100.00
14549	CHILDRENS INSTITUTE	10898857	15337909	\$3,000.00
14550	COMMUNITY RESEARCH INITIATIVE OF NEW ENGLAND INC	10898859	15337911	\$1,500.00
14551	DESALES UNIVERSITY	10898861	15337913	\$1,000.00

Number of Checks: 25

Total Check Amount: \$14,495.00

Novartis US Foundation
Check Register for 07/18/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14477	ALBANY MEDICAL CENTER FOUNDATION INC	9690211	13885979	\$1,000.00 ✓
14478	AMERICAN HEART ASSOCIATION INC	9690217	13885987	\$25.00 ✓
14479	AMERICAN HEART ASSOCIATION INC	9690223	13885995	\$500.00 ✓
14480	AmeriCares Foundation	9690229	13885997	\$4,725.00 ✓
14481	BLAIR ACADEMY	9690241	13886009	\$250.00 ✓
14482	BREATHE CALIFORNIA GOLDEN GATE PUBLIC HEALTH PARTNERSHIP	9690245	13886015	\$25.00 ✓
14483	CARDINAL HAYES HIGH SCHOOL	9690251	13886019	\$100.00 ✓
14484	CYSTIC FIBROSIS FOUNDATION HEADQUARTERS	9690255	13886025	\$50.00 ✓
14485	DANA FARBER CANCER INSTITUTE INC STEVEN CONNOLLY	9690261	13886031	\$745.00 ✓
14486	DEL MAR SCHOOLS EDUCATION FOUNDATION	9690269	13886039	\$400.00 ✓
14487	EPILEPSY FOUNDATION OF AMERICA	9690275	13886043	\$50.00 ✓
14488	FRIENDS' CENTRAL SCHOOL	9690279	13886045	\$200.00 ✓
14489	GENERATIONS INCORPORATED	9690281	13886049	\$1,000.00 ✓
14490	GRAND VALLEY STATE UNIVERSITY	9690285	13886053	\$2,000.00 ✓
14491	HUN SCHOOL OF PRINCETON	9690289	13886057	\$500.00 ✓
14492	LEHIGH UNIVERSITY	9690293	13886061	\$100.00 ✓
14493	LEUKEMIA & LYMPHOMA SOCIETY INC	9690297	13886065	\$250.00 ✓
14494	LEUKEMIA & LYMPHOMA SOCIETY INC	9690301	13886067	\$100.00 ✓
14495	MARC LUSTGARTEN PANCREATIC CANCER FOUNDATION	9690303	13886071	\$1,500.00 ✓
14496	++Massachusetts General Hospital	9690305	13886073	\$100.00 ✓
14497	++MICHAEL CLAPCICH FUND FOR RETINAL RESEARCH	9690307	13886077	\$100.00 ✓
14498	MILLTOWN RESCUE SQUAD INC	9690317	13886085	\$50.00 ✓
14499	++National Multiple Sclerosis Society - Northern California Chapter	9690321	13886089	\$50.00 ✓
14500	NEW ENGLAND CONSERVATORY OF MUSIC	9690327	13886095	\$100.00 ✓
14501	ONE FUND BOSTON INC	9690333	13886099	\$5,900.00 ✓
14502	PALESTINE CHILDRENS RELIEF FUND	9690349	13886117	\$500.00 ✓
14503	PINGRY CORPORATION	9690357	13886127	\$1,000.00 ✓
14504	SAN FRANCISCO AIDS FOUNDATION	9690365	13886133	\$250.00 ✓
14505	ST JUDE CHILDRENS RESEARCH HOSPITAL INC	9690383	13886151	\$100.00 ✓
14506	THE DOWN SYNDROME ASSOCIATION OF CHARLOTTE INC	9690389	13886155	\$50.00 ✓
14507	THE KISKI SCHOOL	9690405	13886173	\$1,000.00 ✓
14508	THE TAU BETA PI ASSOCIATION INC	9690435	13886203	\$100.00 ✓
14509	TRINITY EPISCOPAL SCHOOL INC	9690439	13886207	\$1,000.00 ✓
14510	TRUSTEES OF DARTMOUTH COLLEGE	9690445	13886209	\$200.00 ✓
14511	UNITED STATES CONFERENCE OF CATHOLIC BISHOPS	9690447	13886213	\$50.00 ✓
14512	UNIVERSITY OF CONNECTICUT FOUNDATION INCORPORATED	9690449	13886217	\$50.00 ✓
14513	UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	9690455	13886223	\$50.00 ✓
14514	UNIVERSITY OF SOUTH FLORIDA FOUNDATION INC	9690461	13886227	\$901.00 ✓
14515	UNIVERSITY OF THE PACIFIC	9690465	13886229	\$1,000.00 ✓

CP RM PA MONTANA Qtr II

Novartis US Foundation
Check Register for 07/18/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
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Number of Checks: 39

Total Check Amount: \$26,071 00

Novartis US Foundation
Check Register for 07/18/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14475	AmeriCares Foundation	9687903	13883233	\$1,575.00
14476	ONE FUND BOSTON INC	9687905	13883235	\$7,692.36

Number of Checks: 2

Total Check Amount: \$9,267.36

DISASTER RELIEF Qtr II

Novartis US Foundation
Check Register for 07/18/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14210	++ACTON-BOXBOROUGH REGIONAL HIGH SCHOOL	9690209	13885981	\$50.00 -
14211	AIDS ACTION COMMITTEE OF MASSACHUSETTS INC	9690215	13885985	\$55.00 -
14212	AIDS FOUNDATION OF CHICAGO	9690221	13885989	\$100.00 -
14213	ALEXS LEMONADE STAND FOUNDATION	9690225	13885993	\$75.00 -
14214	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION INC	9690231	13886001	\$500.00 -
14215	American Cancer Society	9690243	13886013	\$125.00 -
14216	American Cancer Society	9690249	13886017	\$280.00 -
14217	AMERICAN CANCER SOCIETY INC	9690253	13886023	\$550.00 -
14218	AMERICAN CANCER SOCIETY INC	9690257	13886027	\$50.00 -
14219	AMERICAN CANCER SOCIETY INC	9690259	13886029	\$1,255.00 -
14220	AMERICAN CANCER SOCIETY INC	9690267	13886035	\$25.00 -
14221	AMERICAN CANCER SOCIETY INC	9690271	13886037	\$100.00 -
14222	AMERICAN CANCER SOCIETY INC	9690273	13886041	\$160.00 -
14223	AMERICAN CANCER SOCIETY INC	9690277	13886047	\$35.00 -
14224	AMERICAN CANCER SOCIETY INC	9690283	13886051	\$250.00 -
14225	AMERICAN CANCER SOCIETY INC	9690287	13886055	\$25.00 -
14226	American Cancer Society, East Central Division	9690291	13886059	\$100.00 -
14227	American Diabetes Association	9690295	13886063	\$110.00 -
14228	AMERICAN DIABETES ASSOCIATION INC	9690299	13886069	\$26.00 -
14229	AMERICAN DIABETES ASSOCIATION INC	9690309	13886075	\$726.00 -
14230	AMERICAN DIABETES ASSOCIATION INC	9690315	13886083	\$52.00 -
14231	AMERICAN DIABETES ASSOCIATION INC	9690319	13886087	\$136.00 -
14232	AMERICAN DIABETES ASSOCIATION INC	9690325	13886093	\$352.00 -
14233	AMERICAN FOUNDATION FOR SUICIDE PREVENTION	9690331	13886101	\$250.00 -
14234	AMERICAN HEART ASSOCIATION INC	9690355	13886123	\$175.00 -
14235	AMERICAN HEART ASSOCIATION INC	9690361	13886129	\$1,115.00 -
14236	AMERICAN HEART ASSOCIATION INC	9690371	13886139	\$400.00 -
14237	AMERICAN HEART ASSOCIATION INC	9690377	13886145	\$325.00 -
14238	AMERICAN HEART ASSOCIATION INC	9690381	13886149	\$100.00 -
14239	AMERICAN HEART ASSOCIATION INC	9690387	13886153	\$725.00 -
14240	AMERICAN HEART ASSOCIATION INC	9690403	13886171	\$3,951.00 -
14241	AMERICAN HEART ASSOCIATION INC	9690433	13886199	\$250.00 -
14242	AMERICAN HEART ASSOCIATION INC	9690441	13886215	\$25.00 -
14243	American Heart Association, Inc.	9690453	13886219	\$80.00 -
14244	AMERICAN LIVER FOUNDATION	9690459	13886231	\$50.00 -
14245	AMERICAN LIVER FOUNDATION	9690467	13886235	\$75.00 -
14246	AmeriCares Foundation	9690473	13886241	\$600.00 -
14247	AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION	9690477	13886243	\$755.50 -
14248	AQUINAS INSTITUTE OF ROCHESTER	9690485	13886251	\$1,000.00 -
14249	Archbishop Molloy High School	9690489	13886257	\$1,000.00 -
14250	AUBURN UNIVERSITY FOUNDATION	9690493	13886261	\$4,704.00 -
14251	AUTISM SPEAKS INC	9690499	13886269	\$25.00 -
14252	AUTISM SPEAKS INC	9690503	13886271	\$100.00 -

MATCHING GIFTS

QTR II

Novartis US Foundation
Check Register for 07/18/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14253	AVON PRODUCTS FOUNDATION INCORPORATED	9690509	13886279	\$239.30
14254	BABSON COLLEGE	9690517	13886283	\$100.00
14255	BARNARD COLLEGE	9690523	13886289	\$5,000.00
14256	++Bishop Neumann Central High School	9690527	13886297	\$1,000.00
14257	BLACK PINE CIRCLE INC	9690531	13886299	\$510.00
14258	++Boston College	9690533	13886301	\$3,775.00
14259	++BOSTON UNIVERSITY TRUSTEES OF BOSTON UNIVERSITY	9690539	13886305	\$940.00
14260	BOWDOIN COLLEGE	9690543	13886311	\$150.00
14261	BRIGHAM YOUNG UNIVERSITY	9690549	13886317	\$50.00
14262	Broad Meadow Elementary School PTC	9690553	13886323	\$355.84
14263	BROWN UNIVERSITY OF PROVIDENCE STATE OF RI AND PROV PLANTATIONS	9690563	13886331	\$47.50
14264	BUCKINGHAM BROWNE AND NICHOLS SCHOOL	9690567	13886335	\$2,000.00
14265	BUTLER UNIVERSITY	9690571	13886341	\$250.00
14266	CALIFORNIA STATE UNIVERSITY LOS ANGELES FOUNDATION	9690579	13886347	\$500.00
14267	CANCER CARE INC	9690583	13886351	\$2,500.00
14268	CANISIUS COLLEGE	9690587	13886355	\$100.00
14269	CANTERBURY SCHOOL	9690589	13886357	\$2,000.00
14270	CARE FOR AIDS INC	9690593	13886361	\$2,500.00
14271	CAROLINA PREGNANCY CENTER	9690595	13886363	\$200.00
14272	++Cathedral Trustees Inc.	9690601	13886367	\$5,000.00
14273	CHATHAM DAY SCHOOL INC	9690605	13886373	\$1,500.00
14274	CHILDRENS HOSPITAL CORPORATION	9690613	13886381	\$30.00
14275	++Kimball Walker PTO	9690615	13886383	\$355.00
14276	++Citizen Schools New Jersey	9690617	13886385	\$1,000.00
14277	CLARKSON UNIVERSITY	9690623	13886391	\$5,000.00
14278	CLEMSON IPTAY CLUB	9690627	13886397	\$1,120.00
14279	COLGATE UNIVERSITY TREASURERS OFFICE	9690637	13886405	\$510.00
14280	COLLEGE OF WOOSTER	9690643	13886407	\$2,998.89
14281	COLLEGE PREPARATORY SCHOOL	9690645	13886413	\$1,000.00
14282	++CORNELL UNIVERSITY FOUNDATION	9690647	13886415	\$6,750.00
14283	++CYSTIC FIBROSIS FOUNDATION	9690655	13886421	\$750.00
14284	CYSTIC FIBROSIS FOUNDATION	9690663	13886431	\$55.00
14285	++CYSTIC FIBROSIS FOUNDATION	9690675	13886441	\$100.00
14286	CYSTIC FIBROSIS FOUNDATION HEADQUARTERS	9690679	13886447	\$5,000.00
14287	CYSTIC FIBROSIS FOUNDATION HEADQUARTERS	9690683	13886453	\$1,175.00
14288	CYSTIC FIBROSIS FOUNDATION HEADQUARTERS	9690697	13886461	\$1,450.00
14289	CYSTIC FIBROSIS FOUNDATION HEADQUARTERS	9690701	13886469	\$3,034.00
14290	++Dana Farber Cancer Institute	9690725	13886493	\$150.00
14291	DANA FARBER CANCER INSTITUTE INC STEVEN CONNOLLY	9690731	13886499	\$550.00
14292	DAVIDSON COLLEGE	9690741	13886509	\$50.00
14293	++DEL MAR HEIGHTS PTA	9690751	13886517	\$1,950.00

Novartis US Foundation
Check Register for 07/18/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14294	DEL MAR SCHOOLS EDUCATION FOUNDATION	9690763	13886529	\$1,869.00 —
14295	DELBARTON SCHOOL	9690769	13886537	\$50.00 —
14296	DONORSCHOOSE.ORG	9690773	13886541	\$250.00 —
14297	++Dystrophic Epidermolysis Bullosa Research Association of America	9690775	13886543	\$100.00 —
14298	EDUCATIONAL EXCELLENCE FOUNDATION PLYMOUTH-CANTON SCHOOLS	9690781	13886547	\$500.00 —
14299	EMORY UNIVERSITY	9690783	13886551	\$100.00 —
14300	FAIRLEIGH DICKINSON UNIVERSITY	9690789	13886557	\$50.00 —
14301	FAMILY & COMMUNITY SERVICES OF SOMERSET COUNTY	9690793	13886561	\$735.00 —
14302	Fanconi Anemia Research Fund, Inc.	9690799	13886565	\$275.00 —
14303	FENN SCHOOL INC	9690803	13886571	\$500.00 —
14304	FOREST RIDGE ACADEMY INC	9690809	13886575	\$400.00 —
14305	FOUNDATION FOR LINCOLN PUBLIC SCHOOLS	9690813	13886581	\$2,500.00 —
14306	FOUNDATION FOR PEACE	9690817	13886585	\$3,485.00 —
14307	FOUNDATION FOR STUDENTS RISING ABOVE	9690821	13886589	\$550.00 —
14308	FOUNDATION OF THE MASSACHUSETTS EYE AND EAR INFIRMARY INC	9690827	13886595	\$6,550.00 —
14309	FOUNDATION OF THE STATE UNIVERSITY OF NEW YORK AT BINGHAMTON INC	9690833	13886601	\$301.00 —
14310	FREDERIKSEN PARENT FACULTY CLUB	9690837	13886605	\$37.50 —
14311	FREDONIA COLLEGE FOUNDATION OF STATE UNIVERSITY OF NEW YORK INC	9690843	13886609	\$500.00 —
14312	FRIENDS OF LA JOLLA ELEMENTARY INC	9690849	13886617	\$1,750.00 —
14313	Friends of the Hoboken Charter School	9690853	13886621	\$5,000.00 —
14314	GAY MENS HEALTH CRISIS INC	9690861	13886631	\$125.00 —
14315	GIFT OF LIFE BONE MARROW FOUNDATION	9690867	13886635	\$50.00 —
14316	GREENWICH ACADEMY INC	9690871	13886641	\$2,500.00 —
14317	HEBREW ACADEMY OF MORRIS COUNTY	9690877	13886645	\$1,000.00 —
14318	++Holy Family School	9690879	13886647	\$4,000.00 —
14319	HUN SCHOOL OF PRINCETON	9690885	13886653	\$1,000.00 —
14320	ILLINOIS COLLEGE	9690889	13886657	\$250.00 —
14321	IMMACULATA HIGH SCHOOL	9690895	13886661	\$2,750.00 —
14322	JACKSON STATE UNIVERSITY DEVELOPMENT FOUNDATION INC	9690899	13886667	\$600.00 —
14323	++Jackson Walnut Park Educational Collaborative	9690907	13886671	\$500.00 —
14324	JOSH CARES INC	9690909	13886675	\$1,000.00 —
14325	++Juvenile Diabetes Research Foundation	9690913	13886679	\$200.00 —
14326	++JUVENILE DIABETES RESEARCH FOUNDATION	9690917	13886685	\$125.00 —
14327	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	9690923	13886689	\$5,000.00 —
14328	KANSAS UNIVERSITY ENDOWMENT ASSOC	9690925	13886693	\$740.00 —
14329	KENT PLACE SCHOOL	9690931	13886697	\$250.00 —
14330	++Komen Detroit Race for the Cure (Locally presented by the Barbara Ann Karmanos Cancer Institute)	9690933	13886701	\$1,350.00 —
14331	LAFAYETTE PARTNERS IN EDUCATION	9690939	13886705	\$1,600.00 —
14332	LEUKEMIA & LYMPHOMA SOCIETY INC	9690941	13886709	\$25.00 —

Novartis US Foundation
Check Register for 07/18/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14333	LEUKEMIA & LYMPHOMA SOCIETY INC	9690943	13886711	\$2,500.00 —
14334	LEUKEMIA & LYMPHOMA SOCIETY INC	9690947	13886715	\$200.00 —
14335	LEUKEMIA & LYMPHOMA SOCIETY INC	9690953	13886719	\$425.00 —
14336	LEUKEMIA & LYMPHOMA SOCIETY INC	9690957	13886725	\$100.00 —
14337	LEUKEMIA & LYMPHOMA SOCIETY INC	9690961	13886729	\$1,285.00 —
14338	LEUKEMIA & LYMPHOMA SOCIETY INC	9690967	13886733	\$50.00 —
14339	LOS ANGELES GAY AND LESBIAN COMMUNITY SERVICES CENTER INC	9690969	13886737	\$250.00 —
14340	LUPUS FOUNDATION OF NEW ENGLAND INC	9690971	13886739	\$5,000.00 —
14341	MARCH OF DIMES FOUNDATION	9690973	13886741	\$75.00 —
14342	MARCH OF DIMES FOUNDATION	9690979	13886745	\$50.00 —
14343	++Massachusetts General Hospital	9690981	13886749	\$4,950.00 —
14344	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	9690985	13886751	\$3,200.00 —
14345	MEMORIAL SLOAN-KETTERING CANCER CENTER	9690989	13886757	\$525.00 —
14346	Mercer Island Schools Foundation	9690993	13886761	\$500.00 —
14347	MORAGA EDUCATIONAL FOUNDATION	9690995	13886763	\$3,000.00 —
14348	MULTIPLE SCLEROSIS FOUNDATION INC	9690999	13886767	\$530.00 —
14349	MUSCULAR DYSTROPHY ASSOCIATION	9691001	13886769	\$200.00 —
14350	NAMI SANTA CLARA COUNTY	9691003	13886771	\$390.00 —
14351	NATIONAL KIDNEY FOUNDATION INC	9691007	13886775	\$50.00 —
14352	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9691011	13886777	\$225.01 —
14353	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9691013	13886781	\$565.00 —
14354	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9691017	13886785	\$50.00 —
14355	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9691025	13886791	\$2,810.00 —
14356	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9691035	13886803	\$400.00 —
14357	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9691041	13886809	\$200.00 —
14358	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9691047	13886819	\$75.00 —
14359	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9691053	13886821	\$200.00 —
14360	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9691055	13886823	\$40.00 —
14361	++National Multiple Sclerosis Society - Northern California Chapter	9691059	13886827	\$580.00 —
14362	NEWARK PUBLIC RADIO INC	9691071	13886839	\$560.00 —
14363	NEWTOWN FRIENDS SCHOOL	9691077	13886845	\$100.00 —
14364	NJ LEEP, Inc.	9691083	13886851	\$1,000.00 —
14365	NORTH CAROLINA SCHOOL OF SCIENCE & MATHEMATICS FOUNDATION	9691087	13886855	\$100.00 —
14366	NORTH OAKLAND COMMUNITY CHARTER SCHOOL	9691093	13886861	\$1,650.00 —
14367	NOTRE DAME HIGH SCHOOL	9691109	13886875	\$800.00 —
14368	OHIO STATE UNIVERSITY FOUNDATION	9691115	13886883	\$650.00 —
14369	OLD COLONY HOSPICE INC	9691121	13886889	\$200.00 —
14370	ONE MISSION INC	9691127	13886893	\$50.00 —
14371	UNITED STATES CONFERENCE OF CATHOLIC BISHOPS	9691131	13886899	\$1,245.00 —
14372	PAN MASSACHUSETTS CHALLENGE TRUST	9691137	13886905	\$1,550.00 —
14373	PANCREATIC CANCER ACTION NETWORK INC	9691159	13886925	\$50.00 —
14374	PARTNERS IN HEALTH A NONPROFIT CORPORATION	9691163	13886931	\$100.00 —

Novartis US Foundation
Check Register for 07/18/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
14375	PINGRY CORPORATION	9691165	13886933	\$500.00 -
14376	PKD FOUNDATION	9691169	13886937	\$1,301.00 -
14377	++PRESIDENT AND DIRECTORS OF GEORGETOWN COLLEGE FOR GEORGETOWN UNIV	9691177	13886943	\$500.00 -
14378	++President and Fellows of Harvard University	9691181	13886947	\$750.00 -
14379	PTA CALIFORNIA CONGRESS OF PARENTS TEACHERS & STUDENTS INC	9691189	13886957	\$800.00 -
14380	++PTA CALIFORNIA CONGRESS OF PARENTS TEACHERS & STUDENTS INC/Junipero Serra Elementary School PTA	9691197	13886967	\$900.00 -
14381	PURDUE FOUNDATION INC	9691203	13886973	\$1,350.00 -
14382	QUINNIPIAC UNIVERSITY	9691209	13886979	\$150.00 -
14383	++Red Sox Foundation and Massachusetts General Hospital Home Base Program	9691215	13886983	\$580.00 -
14384	RHODE ISLAND HOSPITAL	9691227	13886999	\$50.00 -
14385	RIDER UNIVERSITY	9691235	13887005	\$100.00 -
14386	ROSWELL PARK ALLIANCE FOUNDATION	9691241	13887009	\$175.00 -
14387	ROXBURY LATIN SCHOOL	9691247	13887015	\$350.00 -
14388	RUTGERS UNIVERSITY FOUNDATION	9691251	13887021	\$1,150.00 -
14389	SAINT BARNABAS DEVELOPEMENT FOUNDATION	9691265	13887033	\$50.00 -
14390	SAN FRANCISCO AIDS FOUNDATION	9691269	13887039	\$1,214.00 -
14391	++SAN MARINO SCHOOLS FOUNDATION	9691295	13887065	\$500.00 -
14392	SEATTLE CHILDRENS HOSPITAL FOUNDATION	9691301	13887071	\$50.00 -
14393	SEEDING LABS A NON PROFIT CORPORATION	9691307	13887077	\$500.00 -
14394	SETON HALL UNIVERSITY BUILDING BAYLEY HALL PAYROLL RM 10	9691311	13887083	\$150.00 -
14395	SHARING NETWORK FOUNDATION INC	9691319	13887087	\$725.00 -
14396	SHARSHERET INC	9691323	13887093	\$100.00 -
14397	++SOLOMON SCHECHTER DAY SCHOOL OF GREATER BOSTON	9691325	13887097	\$2,500.00 -
14398	++SOUTHWEST MINNESOTA STATE UNIVERSITY FOUNDATION	9691331	13887101	\$25.00 -
14399	ST IGNATIUS CATHOLIC SCHOOL	9691335	13887103	\$894.47 -
14400	ST JOSEPH SCHOOL	9691339	13887109	\$1,000.00 -
14401	ST JUDE CHILDRENS RESEARCH HOSPITAL INC	9691343	13887113	\$3,110.00 -
14402	ST PATRICKS SCHOOL	9691361	13887129	\$1,300.00 -
14403	++St Robert Congregation, Inc	9691365	13887137	\$100.00 -
14404	++St. Baldrick's Foundation	9691373	13887143	\$1,795.00 -
14405	St. Irene Catholic School	9691385	13887155	\$2,000.00 -
14406	UNITED STATES CONFERENCE OF CATHOLIC BISHOPS	9691389	13887159	\$5,000.00 -
14407	++St. Joseph School	9691391	13887161	\$250.00 -
14408	++St. Matthias School	9691395	13887165	\$5,300.00 -
14409	St. Michael School	9691399	13887169	\$50.00 -
14410	SUSAN G KOMEN BREAST CANCER FOUNDATION	9691403	13887171	\$425.00 -
14411	SUSAN G KOMEN BREAST CANCER FOUNDATION	9691407	13887177	\$225.00 -

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14412	++Susan G. Komen 3-Day for the Cure	9691409	13887179	\$420.00 -
14413	SYRACUSE UNIVERSITY	9691417	13887187	\$50.00 -
14414	++Tanzania Health & Education Mission	9691421	13887191	\$65.00 -
14415	TEMPLE UNIVERSITY	9691427	13887197	\$600.00 -
14416	TEXAS TECH FOUNDATION, INC.	9691433	13887201	\$100.00 -
14417	++The Academy At Lincoln	9691437	13887205	\$2,000.00 -
14418	AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION	9691443	13887211	\$50.00 -
14419	THE CHILDRENS HOME OF CINCINNATI OHIO INC	9691445	13887215	\$2,500.00 -
14420	THE CHILDRENS HOSPITAL OF PHILADELPHIA FOUNDATION	9691451	13887221	\$100.00 -
14421	THE MONTCLAIR KIMBERLEY ACADEMY	9691457	13887227	\$5,500.00 -
14422	THE NORTH CAROLINA A & T UNIVERSITY FOUNDATION INC	9691465	13887235	\$250.00 -
14423	THE PECK SCHOOL	9691475	13887245	\$1,500.00 -
14424	The Pennsylvania State University	9691479	13887249	\$1,400.00 -
14425	The Pike School	9691485	13887255	\$500.00 -
14426	++THE PINGRY SCHOOL	9691491	13887261	\$1,000.00 -
14427	THE UMASS MEMORIAL FOUNDATION INC	9691497	13887265	\$500.00 -
14428	Trinity Elementary School PTO	9691499	13887269	\$460.00 -
14429	TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	9691505	13887275	\$900.00 -
14430	TRUSTEES OF PRINCETON UNIVERSITY	9691511	13887279	\$3,100.00 -
14431	TRUSTEES OF PRINCETON UNIVERSITY	9691513	13887283	\$36.00 -
14432	TRUSTEES OF THE LAWRENCE ACADEMY AT GROTON	9691515	13887285	\$100.00 -
14433	TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	9691519	13887287	\$500.00 -
14434	TRUSTEES OF TUFTS COLLEGE	9691523	13887293	\$200.00 -
14435	UNITED STATES CONFERENCE OF CATHOLIC BISHOPS	9691527	13887297	\$120.00 -
14436	UNITED STATES CONFERENCE OF CATHOLIC BISHOPS	9691537	13887307	\$3,000.00 -
14437	UNITED STATES CONFERENCE OF CATHOLIC BISHOPS	9691545	13887315	\$150.00 -
14438	UNITED STATES CONFERENCE OF CATHOLIC BISHOPS	9691551	13887321	\$250.00 -
14439	UNITED STATES CONFERENCE OF CATHOLIC BISHOPS	9691555	13887325	\$400.00 -
14440	University of California Berkeley Foundation	9691557	13887327	\$3,150.00 -
14441	UNIVERSITY OF CALIFORNIA SAN FRANCISCO FOUNDATION	9691561	13887331	\$750.00 -
14442	UNIVERSITY OF CINCINNATI FOUNDATION	9691563	13887333	\$1,000.00 -
14443	UNIVERSITY OF HOUSTON FOUNDATION	9691567	13887337	\$100.00 -
14444	UNIVERSITY OF MARYLAND COLLEGE PARK FOUNDATION INC	9691569	13887339	\$75.00 -
14445	University of Nebraska Foundation	9691571	13887341	\$310.00 -
14446	UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	9691573	13887343	\$180.00 -
14447	UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	9691575	13887345	\$444.00 -
14448	UNIVERSITY OF RICHMOND	9691579	13887351	\$150.00 -

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14449	UNIVERSITY OF SCRANTON	9691587	13887355	\$100.00 —
14450	University of St Andrews American Foundation	9691589	13887359	\$1,413.00 —
14451	++University of Texas at Austin	9691593	13887363	\$759.00 —
14452	University of Virginia Darden School Foundation	9691599	13887369	\$4,900.00 —
14453	VALERIE FUND	9691637	13887407	\$930.00 —
14454	VOLUNTEERS IN SERVICE TO EDUCATION IN INDIA INC	9691641	13887411	\$160.00 —
14455	WAGNER COLLEGE	9691645	13887415	\$100.00 —
14456	WAKE FOREST UNIVERSITY	9691651	13887421	\$120.00 —
14457	WALNUT CREEK EDUCATION FOUNDATION K-12	9691655	13887425	\$600.00 —
14458	WELLESLEY COLLEGE	9691661	13887431	\$150.00 —
14459	WESLEYAN UNIVERSITY	9691665	13887435	\$100.00 —
14460	WHITEFISH BAY	9691669	13887439	\$5,000.00 —
14461	WOODWARD ACADEMY INC	9691673	13887445	\$500.00 —
14462	Yale University	9691679	13887447	\$950.00 —
14463	YOUNG MENS CHRISTIAN ASSOCIATION OF MADISON NJ	9691685	13887455	\$1,500.00 —

Number of Checks: 254
Total Check Amount: \$252,747.01

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13914	AMERICAN CANCER SOCIETY INC	9067667	13031557	\$100.00
13915	AMERICAN DIABETES ASSOCIATION INC	9067669	13031559	\$50.00 —
13916	AMERICAN DIABETES ASSOCIATION INC	9067671	13031561	\$100.00
13917	AMERICAN HEART ASSOCIATION INC	9067673	13031563	\$50.00 —
13918	AMERICAN HEART ASSOCIATION INC	9067675	13031565	\$50.00
13919	COLLEGE OF SAINT ELIZABETH	9067677	13031567	\$25.00
13920	CRAIG SCHOOL	9067679	13031569	\$150.00 —
13921	CYSTIC FIBROSIS FOUNDATION	9067681	13031571	\$50.00 —
13922	DEL MAR SCHOOLS EDUCATION FOUNDATION	9067683	13031573	\$500.00 —
13923	DYSAUTONOMIA FOUNDATION INC	9067685	13031575	\$25.00 —
13924	++FORDHAM UNIVERSITY	9067687	13031577	\$150.00 —
13925	FOUNDATION OF THE STATE UNIVERSITY OF NEW YORK AT BINGHAMTON INC	9067689	13031579	\$100.00 —
13926	++Hawthorne Gospel Church	9067691	13031581	\$350.00 —
13927	++Massachusetts General Hospital	9067693	13031583	\$50.00 —
13928	SAN FRANCISCO AIDS FOUNDATION	9067695	13031587	\$225.00 —
13929	UNITED STATES CONFERENCE OF CATHOLIC BISHOPS	9067697	13031589	\$415.00 —
13930	UNIVERSITY OF CINCINNATI FOUNDATION	9067699	13031591	\$100.00 —
13931	UNIVERSITY OF SOUTH CAROLINA EDUCATIONAL FOUNDATION	9067701	13031593	\$750.00 —
13932	VILLANOVA UNIVERSITY	9067703	13031595	\$100.00
13933	YESHIVA OF NORTH JERSEY	9067705	13031597	\$1,100.00 —
13934	YESHIVA RABBI SAMSON RAPHAEL HIRSCH	9067707	13031599	\$400.00 —
13935	AMERICAN CANCER SOCIETY INC	9067713	13031603	\$100.00
13936	AMERICAN DIABETES ASSOCIATION INC	9067715	13031605	\$100.00
13937	DEL MAR SCHOOLS EDUCATION FOUNDATION	9067717	13031607	\$500.00 —
13938	++FORDHAM UNIVERSITY	9067719	13031609	\$150.00 —
13939	++Hawthorne Gospel Church	9067721	13031611	\$100.00 —
13940	SAN FRANCISCO AIDS FOUNDATION	9067723	13031613	\$25.00 —
13941	VILLANOVA UNIVERSITY	9067725	13031615	\$100.00 —
13942	YESHIVA RABBI SAMSON RAPHAEL HIRSCH	9067727	13031617	\$400.00 —
13943	ACADEMY OF COASTAL CAROLINA INC	9069553	13033931	\$150.00
13944	ALBANY MEDICAL CENTER FOUNDATION INC	9069555	13033935	\$500.00 —
13945	ALUMNI ASSOCIATION OF THE BRONX HIGH SCHOOL OF SCIENCE	9069561	13033941	\$160.00
13946	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION INC	9069567	13033947	\$100.00 —
13947	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION INC	9069573	13033953	\$536.00 —
13948	American Cancer Society	9069575	13033955	\$425.00 —
13949	American Cancer Society	9069579	13033959	\$335.00
13950	American Cancer Society	9069583	13033963	\$200.00
13951	AMERICAN CANCER SOCIETY INC	9069593	13033971	\$50.00 —
13952	AMERICAN CANCER SOCIETY INC	9069595	13033975	\$250.00
13953	AMERICAN CANCER SOCIETY INC	9069599	13033979	\$50.00
13954	AMERICAN CANCER SOCIETY INC	9069605	13033985	\$30.00
13955	AMERICAN CANCER SOCIETY INC	9069607,	13033987	\$25.00

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Check Number	Organization	Request ID	Payment ID	Check Amount
13956	AMERICAN DIABETES ASSOCIATION INC	9069613	13033993	\$160.00 —
13957	AMERICAN DIABETES ASSOCIATION INC	9069619	13033997	\$50.00 —
13958	AMERICAN DIABETES ASSOCIATION INC	9069623	13034003	\$1,586.00 —
13959	AMERICAN HEART ASSOCIATION INC	9069629	13034009	\$25.00 —
13960	AMERICAN HEART ASSOCIATION INC	9069631	13034011	\$125.00 —
13961	AMERICAN HEART ASSOCIATION INC	9069635	13034015	\$25.00
13962	AMERICAN HEART ASSOCIATION INC	9069637	13034017	\$225.00 —
13963	AMERICAN HEART ASSOCIATION INC	9069639	13034019	\$100.00 —
13964	AMERICAN HEART ASSOCIATION INC	9069641	13034021	\$260.00 —
13965	AMERICAN HEART ASSOCIATION INC	9069647	13034027	\$450.00 —
13966	American Heart Association, Inc.	9069653	13034031	\$600.00 —
13967	AMERICAN LIVER FOUNDATION	9069657	13034037	\$425.00 —
13968	AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION	9069665	13034043	\$250.00 —
13969	AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION	9069671	13034049	\$200.00
13970	APPALACHIAN STATE UNIVERSITY FOUNDATION INC	9069677	13034057	\$209.00 —
13971	ASSOCIATION OF GRADUATES OF THE UNITED STATES MILITARY ACADEMY	9069681	13034061	\$100.00 —
13972	AUGUSTANA COLLEGE ASSOCIATION	9069687	13034067	\$100.00 —
13973	AUTISM NEW JERSEY INC	9069691	13034071	\$50.00 —
13974	AUTISM SPEAKS INC	9069695	13034075	\$300.00 —
13975	AVON PRODUCTS FOUNDATION INCORPORATED	9069709	13034089	\$964.30 —
13976	++Boston College	9069717	13034095	\$1,000.00 —
13977	++BOSTON UNIVERSITY TRUSTEES OF BOSTON UNIVERSITY	9069719	13034099	\$400.00 —
13978	++Bowditch Middle School	9069723	13034103	\$1,000.00 —
13979	BRET HARTE EDUCATIONAL ASSOCIATION	9069729	13034109	\$100.00 —
13980	BRITISH SCHOOLS AND UNIVERSITIES FOUNDATION INC	9069733	13034113	\$1,000.00 —
13981	++Cambridgeport Children's Center, Inc	9069737	13034117	\$125.00 —
13982	Cancer Support Community Central New Jersey	9069739	13034119	\$2,300.00 —
13983	CANISIUS COLLEGE	9069747	13034125	\$50.00 —
13984	CARDINAL MCCARRICK HIGH SCHOOL	9069749	13034129	\$1,250.00 —
13985	CAROLINA PREGNANCY CENTER	9069753	13034133	\$200.00 —
13986	CENTRAL COLLEGE	9069759	13034139	\$100.00 —
13987	AMERICAN HEART ASSOCIATION INC	9069761	13034141	\$175.00 —
13988	++Kimball Walker PTO	9069765	13034145	\$250.00 —
13989	CHUNG YUAN CHRISTIAN UNIVERSITY DEVELOPMENT FOUNDATION-NORTH AMERICA	9069769	13034149	\$500.00 —
13990	COLLEGE FOUNDATION OF THE UNIVERSITY OF VIRGINIA	9069773	13034155	\$300.00 —
13991	COLLEGE OF THE HOLY CROSS	9069779	13034157	\$5,000.00 —
13992	++COLORADO COLLEGE	9069781	13034161	\$1,500.00 —
13993	COLUMBUS ACADEMY	9069783	13034163	\$2,375.00 —
13994	CONCORD HOSPITAL INC	9069787	13034165	\$30.00 —
13995	CONTACT USA INC	9069789	13034169	\$2,174.00 —
13996	++CORNELL UNIVERSITY FOUNDATION	9069793	13034173	\$1,000.00 —

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Check Number	Organization	Request ID	Payment ID	Check Amount
13997	CREIGHTON UNIVERSITY	9069797	13034177	\$75.00 —
13998	CROHNS & COLITIS FOUNDATION OF AMERICA	9069801	13034181	\$250.00 —
13999	++CYSTIC FIBROSIS FOUNDATION	9069803	13034183	\$100.00 —
14000	· · · CYSTIC FIBROSIS FOUNDATION	9069809	13034189	\$300.00 —
14001	++CYSTIC FIBROSIS FOUNDATION	9069811	13034191	\$150.00 —
14002	CYSTIC FIBROSIS FOUNDATION HEADQUARTERS	9069815	13034195	\$250.00 —
14003	++Dana Farber Cancer Institute	9069821	13034199	\$475.00 —
14004	DANA FARBER CANCER INSTITUTE INC STEVEN CONNOLLY	9069829	13034209	\$1,207.60 —
14005	dayDreamers University	9069835	13034215	\$4,500.00 —
14006	Dearborn Public Schools Education Foundation	9069837	13034217	\$5,000.00 —
14007	DEL MAR SCHOOLS EDUCATION FOUNDATION	9069841	13034221	\$773.00 —
14008	DELBARTON SCHOOL	9069845	13034225	\$700.00
14009	DESALES UNIVERSITY	9069847	13034227	\$1,000.00 —
14010	++Dianne Feinstein Elementary School	9069851	13034231	\$200.00 —
14011	++DIOCESE OF METUCHEN Immaculata High School	9069855	13034235	\$1,405.00 —
14012	Diocese of Norwich Outreach to Haiti, Inc.	9069859	13034239	\$1,000.00 —
14013	DONORSCHOOSE.ORG	9069861	13034241	\$46.64 —
14014	DUKE UNIVERSITY	9069865	13034245	\$3,250.00 —
14015	EASTER SEALS NEW JERSEY INC	9069867	13034247	\$50.00 —
14016	++ECHS-SAF Investing In Academic Excellence	9069869	13034249	\$1,000.00 —
14017	++Embrace Kids Foundation	9069871	13034251	\$125.00 —
14018	FAIRFIELD UNIVERSITY	9069875	13034255	\$1,200.00 —
14019	FAMILY OF CHRIST LUTHERAN CHURCH	9069877	13034257	\$250.00 —
14020	FAR HILLS COUNTRY DAY SCHOOL	9069881	13034261	\$250.00 —
14021	FORDHAM PREPARATORY SCHOOL	9069885	13034265	\$100.00 —
14022	++FORDHAM UNIVERSITY	9069891	13034269	\$2,940.00 —
14023	FOUNDATION FOR LINCOLN PUBLIC SCHOOLS	9069893	13034273	\$1,500.00 —
14024	FOUNDATION FOR PEACE	9069897	13034277	\$7,300.00 —
14025	FOUNDATION OF THE STATE UNIVERSITY OF NEW YORK AT BINGHAMTON INC	9069901	13034281	\$0.00
14026	FRISCH SCHOOL	9069903	13034283	\$1,980.00 —
14027	GOLDA OCH ACADEMY INC	9069905	13034285	\$1,778.26 —
14028	HARDING TOWNSHIP EDUCATIONAL FOUNDATION INC	9069909	13034289	\$100.00 —
14029	++Harvest Park Middle School	9069913	13034293	\$515.00 —
14030	++HETRICK-MARTIN INSTITUTE INC	9069915	13034295	\$200.00 —
14031	HILLEL THE FOUNDATION FOR JEWISH CAMPUS LIFE	9069917	13034297	\$300.00 —
14032	HILLSIDE HEALTH CARE INTERNATIONAL	9069919	13034299	\$100.00
14033	HOLY SPIRIT SCHOOL	9069921	13034301	\$400.00
14034	IMMACULATE CONCEPTION HIGH SCHOOL	9069923	13034303	\$100.00 —
14035	INDUS HEALTH ORGANIZATION INC	9069927	13034307	\$5,000.00 —
14036	JACKSON STATE UNIVERSITY DEVELOPMENT FOUNDATION INC	9069931	13034311	\$600.00 —

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14037	JAMES MADISON UNIVERSITY FOUNDATION INC	9069935	13034315	\$25.00
14038	++John Paul II Regional School	9069939	13034319	\$500.00
14039	JOHNS HOPKINS UNIVERSITY	9069945	13034325	\$1,000.00
14040	KANSAS UNIVERSITY ENDOWMENT ASSOC	9069947	13034327	\$500.00
14041	KENT PLACE SCHOOL	9069951	13034331	\$1,500.00
14042	LAFAYETTE COLLEGE	9069955	13034335	\$100.00
14043	LAKE AREA FREE CLINIC INC	9069959	13034339	\$100.00
14044	LEUKEMIA & LYMPHOMA SOCIETY INC	9069963	13034343	\$700.00
14045	LEUKEMIA & LYMPHOMA SOCIETY INC	9069965	13034345	\$2,225.00
14046	LEUKEMIA & LYMPHOMA SOCIETY INC	9069971	13034351	\$125.00
14047	LEUKEMIA & LYMPHOMA SOCIETY INC	9069975	13034355	\$100.00
14048	LEUKEMIA & LYMPHOMA SOCIETY INC	9069979	13034361	\$75.00
14049	LEUKEMIA & LYMPHOMA SOCIETY INC	9069985	13034365	\$130.00
14050	LEUKEMIA & LYMPHOMA SOCIETY INC	9069991	13034371	\$250.00
14051	LEUKEMIA & LYMPHOMA SOCIETY INC	9069995	13034375	\$1,000.00
14052	LEUKEMIA & LYMPHOMA SOCIETY INC	9069997	13034377	\$100.00
14053	LEUKEMIA & LYMPHOMA SOCIETY INC	9070001	13034381	\$125.00
14054	LEXINGTON EDUCATION FOUNDATION	9070005	13034385	\$300.00
14055	LOYOLA MARYMOUNT UNIVERSITY	9070011	13034393	\$50.00
14056	LOYOLA UNIVERSITY MARYLAND INC	9070019	13034399	\$1,200.00
14057	MADISON MONTESSORI SCHOOL	9070023	13034403	\$100.00
14058	MAKING HEADWAY FOUNDATION INC	9070025	13034405	\$100.00
14059	MARCH OF DIMES FOUNDATION	9070029	13034409	\$75.00
14060	++Marion T. Bedwell School	9070031	13034411	\$40.00
14061	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	9070035	13034415	\$1,000.00
14062	++McAdoo Catholic Elementary School	9070039	13034419	\$3,000.00
14063	MEMORIAL SLOAN-KETTERING CANCER CENTER	9070043	13034423	\$675.00
14064	MID AMERICA MS ACHIEVEMENT CENTER CORPORATION	9070051	13034431	\$205.00
14065	MISSION MONTESSORI ON THE DESERT	9070063	13034443	\$1,000.00
14066	MORRISTOWN-BEARD SCHOOL	9070067	13034447	\$4,000.00
14067	MOUNT ST MICHAEL ACADEMY	9070071	13034451	\$2,500.00
14068	MOVEMBER INC	9070075	13034457	\$530.00
14069	Muscular Dystrophy Association	9070079	13034459	\$100.00
14070	NATIONAL BRAIN TUMOR SOCIETY INC	9070083	13034463	\$50.00
14071	NATIONAL FRAGILE X FOUNDATION	9070089	13034467	\$100.00
14072	NATIONAL ISLAMIC ASSOCIATION INC	9070091	13034471	\$500.00
14073	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9070093	13034473	\$120.00
14074	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9070099	13034479	\$50.00
14075	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9070103	13034483	\$128.20
14076	NATIONAL MULTIPLE SCLEROSIS SOCIETY	9070107	13034487	\$200.00
14077	NATIONAL ORGANIZATION FOR RARE DISORDERS INC	9070111	13034491	\$50.00
14078	NATIONAL STROKE ASSOCIATION	9070115	13034495	\$25.00
14079	NEW YORK PUBLIC RADIO	9070125	13034503	\$665.84

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14080	NEWARK PUBLIC RADIO INC	9070127	13034507	\$155.00
14081	NORTH CAROLINA STATE UNIVERSITY FOUNDATION INC	9070135	13034513	\$900.00
14082	NORTHEASTERN UNIVERSITY	9070139	13034517	\$500.00
14083	ORINDA INTERMEDIATE SCHOOL PARENTS CLUB INC	9070145	13034525	\$650.00
14084	PAN MASSACHUSETTS CHALLENGE TRUST	9070149	13034529	\$150.00
14085	PANCREATIC CANCER ACTION NETWORK INC	9070153	13034533	\$50.00
14086	PARTNERS IN HEALTH A NONPROFIT CORPORATION	9070157	13034535	\$525.00
14087	PATH	9070161	13034539	\$1,730.00
14088	PHELPS MEMORIAL HOSPITAL ASSOCIATION	9070163	13034543	\$100.00
14089	++PITT MEMORIAL HOSPITAL FOUNDATION INC dba Vidant Medical Center Foundation	9070167	13034547	\$325.00
14090	POWAY UNIFIED SCHOOL DISTRICT FOUNDATION	9070171	13034553	\$200.00
14091	PRESIDENT & TRUSTEES OF COLBY COLLEGE	9070175	13034555	\$100.00
14092	PROSPECT SIERRA SCHOOL	9070177	13034557	\$2,500.00
14093	PULASKI ACADEMY	9070181	13034561	\$500.00
14094	RABBINICAL COLLEGE OF AMERICA	9070183	13034563	\$750.00
14095	RENSSELAER POLYTECHNIC INSTITUTE	9070185	13034565	\$300.00
14096	RHODE ISLAND HOSPITAL	9070191	13034571	\$50.00
14097	RIDER UNIVERSITY	9070195	13034575	\$1,000.00
14098	ROXBURY LATIN SCHOOL	9070197	13034577	\$6,645.00
14099	RUTGERS UNIVERSITY FOUNDATION	9070203	13034583	\$9,025.00
14100	RYE COUNTRY DAY SCHOOL	9070215	13034595	\$100.00
14101	Sage Center for Learning	9070219	13034599	\$4,000.00
14102	SAINT BARNABAS MEDICAL CENTER	9070225	13034603	\$1,500.00
14103	SAINT MARYS COLLEGE	9070227	13034607	\$2,500.00
14104	SAINT MARYS SCHOOL	9070229	13034609	\$100.00
14105	SAN DIEGO STATE UNIVERSITY FOUNDATION	9070231	13034611	\$302.51
14106	SAN FRANCISCO AIDS FOUNDATION	9070235	13034613	\$1,490.00
14107	San Ramon Valley Christian Academy	9070237	13034617	\$2,000.00
14108	++Santa Fe Montessori School	9070239	13034619	\$500.00
14109	SEEDING LABS A NON PROFIT CORPORATION	9070243	13034623	\$500.00
14110	SETON HALL UNIVERSITY BUILDING BAYLEY HALL PAYROLL RM 10	9070249	13034629	\$1,475.00
14111	SHADY HILL SCHOOL	9070253	13034633	\$250.00
14112	SIMMONS COLLEGE	9070259	13034639	\$250.00
14113	SINAI SPECIAL NEEDS INSTITUTE	9070263	13034643	\$100.00
14114	SMILE TRAIN INC	9070265	13034645	\$1,250.00
14115	SOLANA BEACH FOUNDATION FOR LEARNING	9070271	13034649	\$275.00
14116	ST IGNATIUS CATHOLIC SCHOOL	9070273	13034653	\$4,105.53
14117	ST JOSEPH ELEM SCHOOL	9070277	13034657	\$1,500.00
14118	ST JUDE CHILDRENS RESEARCH HOSPITAL INC	9070285	13034665	\$50.00
14119	ST OLAF COLLEGE	9070287	13034667	\$250.00
14120	ST PATRICKS SCHOOL	9070291	13034669	\$400.00
14121	ST PAUL ACADEMY AND SUMMIT SCHOOL	9070295	13034675	\$150.00

Novartis US Foundation
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Check Number	Organization	Request ID	Payment ID	Check Amount
14122	ST PAUL'S SCHOOL	9070297	13034677	\$1,250.00
14123	St. Albert the Great School	9070301	13034681	\$1,000.00
14124	++St. Anthony of Padua Catholic School	9070309	13034689	\$1,000.00
14125	++St. Baldrick's Foundation	9070311	13034691	\$150.00
14126	St. Irene Catholic School	9070317	13034697	\$2,000.00
14127	++St. Patrick Catholic School	9070321	13034701	\$5,000.00
14128	SUNRISE COMMUNICATIONS INC	9070323	13034703	\$178.60
14129	++Susan G. Komen 3-Day for the Cure	9070327	13034707	\$270.00
14130	The Cholangiocarcinoma Foundation	9070331	13034711	\$1,250.00
14131	++THE DOUGLASS FUND	9070335	13034715	\$750.00
14132	The Dwight School Foundation	9070337	13034717	\$2,200.00
14133	THE KINGSLEY MONTESSORI SCHOOL INC	9070339	13034719	\$2,700.00
14134	THE PECK SCHOOL	9070341	13034721	\$3,200.00
14135	The Pennsylvania State University	9070345	13034725	\$1,400.00
14136	++THE PINGRY SCHOOL	9070349	13034729	\$250.00
14137	THE UMASS MEMORIAL FOUNDATION INC	9070351	13034731	\$500.00
14138	THE UNIVERSITY OF TENNESSEE FOUNDATION INC	9070355	13034735	\$1,600.00
14139	THE WILLIAM PATERSON UNIVERSITY OF NEW JERSEY FOUNDATION INC	9070359	13034737	\$200.00
14140	TORAH ACADEMY OF BERGEN COUNTY	9070363	13034743	\$25.00
14141	Touro College	9070367	13034747	\$4,000.00
14142	TRINITY CHRISTIAN SCHOOL OF MONTVILLE INC	9070371	13034751	\$5,000.00
14143	TRUSTEES OF DARTMOUTH COLLEGE	9070375	13034755	\$200.00
14144	TRUSTEES OF PRINCETON UNIVERSITY	9070379	13034759	\$1,050.00
14145	TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	9070381	13034761	\$3,500.00
14146	U C SAN DIEGO FOUNDATION	9070385	13034763	\$100.00
14147	++UNITED STATES CONFERENCE OF CATHOLIC BISHOPS(McAuley School)	9070387	13034769	\$1,000.00
14148	UNIVERSITY AT ALBANY FOUNDATION	9070393	13034771	\$125.00
14149	University of California Berkeley Foundation	9070399	13034779	\$1,000.00
14150	UNIVERSITY OF CALIFORNIA SAN FRANCISCO FOUNDATION	9070401	13034781	\$75.00
14151	UNIVERSITY OF CHICAGO	9070403	13034783	\$250.00
14152	UNIVERSITY OF MINNESOTA FOUNDATION	9070407	13034787	\$150.00
14153	UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	9070411	13034789	\$140.00
14154	UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	9070413	13034793	\$310.00
14155	UNIVERSITY OF NOTRE DAME DU LAC	9070417	13034797	\$3,775.00
14156	UNIVERSITY OF SOUTH CAROLINA EDUCATIONAL FOUNDATION	9070419	13034799	\$668.00
14157	University of St Andrews American Foundation	9070421	13034801	\$1,250.00
14158	UNIVERSITY OF VIRGINIA ALUMNI ASSOCIATION	9070425	13034805	\$250.00
14159	UNIVERSITY OF WASHINGTON FOUNDATION	9070429	13034807	\$100.00
14160	UNIVERSITY OF WISCONSIN FOUNDATION	9070431	13034811	\$250.00
14161	++Vail Unified School District #20	9070433	13034813	\$400.00
14162	VASSAR COLLEGE	9070435	13034815	\$500.00

Novartis US Foundation
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Check Number	Organization	Request ID	Payment ID	Check Amount
14163	VILLANOVA UNIVERSITY	9070439	13034819	\$1,300.00
14164	WAKE FOREST UNIVERSITY	9070441	13034821	\$100.00
14165	WALDEN FOUNDATION INC	9070445	13034825	\$309.00
14166	WASHINGTON COLLEGE	9070447	13034827	\$25.00
14167	WASHINGTON UNIVERSITY	9070451	13034831	\$180.00
14168	++WEAVER ACADEMY BOOSTERS INC	9070455	13034835	\$500.00
14169	WELLESLEY COLLEGE	9070457	13034837	\$400.00
14170	WGBH EDUCATIONAL FOUNDATION	9070459	13034839	\$685.00
14171	WILLIAM AND MARY BUSINESS SCHOOL FOUNDATION	9070461	13034841	\$100.00
14172	++WINTHROP School	9070463	13034843	\$2,500.00
14173	++Winthrop-University Hospital	9070467	13034847	\$800.00
14174	WORCESTER POLYTECHNIC INSTITUTE	9070471	13034851	\$50.00
14175	Yale University	9070473	13034853	\$300.00
14176	YESHIVA HIGH SCHOOL FOR GIRLS INC	9070475	13034855	\$250.00
14177	YESHIVA KTANA OF PASSAIC	9070477	13034857	\$1,100.00

Number of Checks: 264

Total Check Amount: \$214,767.48

DONOR POPULATION
 MATCHED 4840.00
 EE (L) (M) 1475 -
 214,767.48

Novartis US Foundation
Check Register for 01/29/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
13525	AMERICAN CANCER SOCIETY INC	8266351	12297187	\$800.00 —
13526	AMERICAN CANCER SOCIETY INC	8266353	12297189	\$45 00
13527	AMERICAN CANCER SOCIETY INC	8266355	12297191	\$250.00 —
13528	AMERICAN CANCER SOCIETY INC	8266357	12297193	\$150.00
13529	ASSOCIATED PARENT CLUBS OF PIEDMONT	8266359	12297195	\$1,000.00 —
13530	CHILDRENS DAY SCHOOL OF WILTON	8266361	12297197	\$2,500.00 —
13531	CHILDRENS INSTITUTE	8266363	12297199	\$5,000.00 —
13532	CONCORDIA LEARNING CENTER AT ST JOSEPHS SCHOOL FOR THE BLIND	8266365	12297201	\$100.00 —
13533	CORNELL UNIVERSITY	8266367	12297203	\$250.00 —
13534	DURHAM ACADEMY INC	8266369	12297205	\$800.00 —
13535	FAITH LUTHERAN CHURCH	8266371	12297207	\$5,000.00 —
13536	FINLANDIA UNIVERSITY	8266373	12297209	\$100 00 —
13537	GOMPERS HABILITATION CENTER	8266375	12297211	\$200.00 —
13538	HACKENSACK UNIVERSITY MEDICAL CENTER	8266377	12297213	\$50 00 —
13539	++Hawthorne Gospel Church	8266379	12297215	\$50 00 —
13540	++JUVENILE DIABETES RESEARCH FOUNDATION	8266381	12297217	\$100.00 —
13541	++Juvenile Diabetes Research Foundation	8266383	12297219	\$50.00 —
13542	KALAMAZOO COLLEGE	8266385	12297221	\$1,000.00 —
13543	KEVINS COMMUNITY CENTER, Inc.	8266387	12297223	\$1,500.00 —
13544	++Massachusetts General Hospital	8266389	12297225	\$500.00 —
13545	++Mayo Clinic Health System in Cannon Falls	8266391	12297227	\$2,000 00 —
13546	MIDLAND FOUNDATION	8266393	12297229	\$100.00 —
13547	MOVEMBER INC	8266395	12297231	\$25.00 —
13548	NATIONAL DOWN SYNDROME SOCIETY	8266397	12297233	\$1,000.00 —
13549	NORMANDY PARK HOME AND SCHOOL ASSOCIATION	8266399	12297235	\$50 00 —
13550	NORTHERN NEW JERSEY JEWISH EDUCATION FOR GENERATIONS INC	8266401	12297237	\$100 00 —
13551	PETALUMA EDUCATIONAL FDN	8266403	12297239	\$50.00 —
13552	PTA CALIFORNIA CONGRESS OF PARENTS TEACHERS & STUDENTS INC	8266405	12297241	\$2,000 00 —
13553	Saint Peter's Catholic School	8266407	12297243	\$250.00 —
13554	SAN CARLOS EDUCATIONAL FOUNDATION	8266409	12297245	\$500.00 —
13555	SANKARA EYE FOUNDATION USA	8266411	12297247	\$360.00 —
13556	SOLANA BEACH FOUNDATION FOR LEARNING	8266413	12297249	\$650 00 —
13557	SOUTH DAKOTA STATE UNIVERSITY FOUNDATION	8266415	12297251	\$50.00 —
13558	SPRING VALLEY EDUCATION FOUNDATION	8266417	12297253	\$150 00 —
13559	ST JUDE CHILDRENS RESEARCH HOSPITAL INC	8266419	12297255	\$470 00 —
13560	THIRTEEN	8266421	12297257	\$60.00 —
13561	THOMAS JEFFERSON UNIVERSITY	8266423	12297259	\$500.00 —
13562	TRUSTEES FOR HARVARD UNIVERSITY	8266425	12297261	\$1,000.00 —
13563	TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	8266427	12297263..	\$250 00 —
13564	UNITED STATES CONFERENCE OF CATHOLIC BISHOPS	8266429	12297265	\$415.00 —
13565	UNIVERSITY AT BUFFALO FOUNDATION INC	8266431	12297267	\$50.00 —

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Check Number	Organization	Request ID	Payment ID	Check Amount
13566	UNIVERSITY OF ARIZONA FOUNDATION	8266433	12297269	\$100.00 —
13567	UNIVERSITY OF KENTUCKY	8266435	12297271	\$2,500.00 —
13568	UNIVERSITY OF MISSISSIPPI FOUNDATION	8266437	12297273	\$2,000.00 —
13569	++UNIVERSITY OF PITTSBURGH OFFICE OF THE COMPTROLLER	8266439	12297275	\$500.00 —
13570	UNIVERSITY OF WISCONSIN FOUNDATION	8266441	12297277	\$2,500.00 —
13571	VALERIE FUND	8266443	12297279	\$100.00 —
13572	VANDERBILT UNIVERSITY	8266445	12297281	\$500.00 —
13573	WALDEN FOUNDATION INC	8266447	12297283	\$100.00 —
13574	WAYLAND PUBLIC SCHOOLS PARENT TEACHER ORGANIZATION INC	8266449	12297285	\$100.00
13575	WILLIAM AND MARY BUSINESS SCHOOL FOUNDATION	8266451	12297287	\$3,500.00 —
13576	WILLIAM MARSH RICE UNIVERSITY	8266453	12297289	\$1,000.00 —
13577	YESHIVA OF NORTH JERSEY	8266455	12297291	\$1,500.00 —
13578	YESHIVA RABBI SAMSON RAPHAEL HIRSCH	8266457	12297293	\$1,500.00 —

Number of Checks: 54

Total Check Amount: \$45,375.00

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Check Number	Organization	Request ID	Payment ID	Check Amount
13579	WILLOWBROOK DAY SCHOOL INCORPORATED	8268411	12300409	\$1,000.00 —
13580	WOODSIDE ELEMENTARY SCHOOL PARENT FACULTY CLUB	8268413	12300411	\$320.00 —
13581	YESHIVA UNIVERSITY	8268415	12300413	\$500.00 —
13582	YOUNG MENS CHRISTIAN ASSOCIATION OF MADISON NJ	8268417	12300415	\$175.00 —
13583	YU MING CHARTER SCHOOL	8268419	12300417	\$750.00 —
13584	ACADEMY OF COASTAL CAROLINA INC	8267777	12299777	\$250.00 —
13585	ACADEMY OF NOTRE DAME DE NAMUR	8267779	12299779	\$200.00 —
13586	AIDS PROJECT LOS ANGELES INC	8267781	12299781	\$685.00 —
13587	ALEXANDER HAMILTON HOME AND SCHOOL ASSOCIATION	8267783	12299783	\$100.00 —
13588	++Alzheimer's Resource of Alaska	8267785	12299785	\$500.00 —
13589	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION	8267787	12299787	\$25.00 —
13590	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION	8267791	12299789	\$200.00 —
13591	++ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION GREATER NEW JERSEY CHAPTER	8267793	12299791	\$85.00 —
13592	ALZHEIMERS DISEASE RESEARCH FOUNDATION	8267795	12299793	\$4,675.00 —
13593	ALZHEIMERS FOUNDATION OF AMERICA INC	8267797	12299795	\$25.00 —
13594	++AMELIA EARHART PTA	8267799	12299797	\$200.00 —
13595	American Cancer Society	8267801	12299799	\$26.20
13596	American Cancer Society	8267803	12299801	\$135.00
13597	American Cancer Society	8267805	12299803	\$200.00 —
13598	AMERICAN CANCER SOCIETY INC	8267807	12299805	\$585.00 —
13599	AMERICAN CANCER SOCIETY INC	8267809	12299807	\$150.00 —
13600	AMERICAN CANCER SOCIETY INC	8267811	12299809	\$100.00 —
13601	American Diabetes Association	8267813	12299811	\$1,101.00 —
13602	AMERICAN DIABETES ASSOCIATION INC	8267815	12299813	\$705.00
13603	AMERICAN DIABETES ASSOCIATION INC	8267817	12299815	\$125.00 —
13604	AMERICAN HEART ASSOCIATION INC	8267819	12299817	\$50.00 —
13605	AMERICAN HEART ASSOCIATION INC	8267821	12299819	\$50.00 —
13606	AMERICAN HEART ASSOCIATION INC	8267823	12299821	\$500.00 —
13607	AMERICAN HEART ASSOCIATION INC	8267825	12299823	\$55.00 —
13608	AMERICAN HEART ASSOCIATION INC	8267827	12299825	\$25.00
13609	AMERICAN HEART ASSOCIATION INC	8267829	12299827	\$50.00 —
13610	AMERICAN HEART ASSOCIATION INC	8267831	12299829	\$60.00 —
13611	AMERICAN HEART ASSOCIATION INC	8267833	12299831	\$250.00 —
13612	AMERICAN HEART ASSOCIATION INC	8267835	12299833	\$75.00 —
13613	AMERICAN LIVER FOUNDATION	8267837	12299835	\$180.00 —
13614	AMERICAN LUNG ASSOCIATION IN CALIFORNIA	8267839	12299837	\$50.00 —
13615	AMERICAN PARKINSON DISEASE ASSOC	8267841	12299839	\$50.00 —
13616	++AMERICAN SERVICE TO INDIA	8267843	12299841	\$250.00 —
13617	AMERICANS FOR OXFORD INC	8267845	12299843	\$360.00 —
13618	AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION	8267847	12299845	\$500.00 —

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Check Number	Organization	Request ID	Payment ID	Check Amount
13619	AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION	8267849	12299847	\$500.00 —
13620	ARA PARSEGHIAN MEDICAL RESEARCH FOUNDATION	8267851	12299849	\$200.00 —
13621	ASHA FOR EDUCATION	8267853	12299851	\$144.40
13622	ASSOCIATED PARENT CLUBS OF PIEDMONT	8267855	12299853	\$3,500.00 —
13623	++ATLANTIC HEALTH SYSTEM INC	8267857	12299855	\$50.00 —
13624	AUBURN UNIVERSITY FOUNDATION	8267859	12299857	\$5,000.00 —
13625	++Audubon PTA	8267861	12299859	\$1,000.00 —
13626	AUTISM SPEAKS INC	8267863	12299861	\$50.00 —
13627	AVON PRODUCTS FOUNDATION INCORPORATED	8267865	12299863	\$455.70 —
13628	BELMONT EDUCATION FOUNDATION	8267867	12299865	\$52.00 —
13629	BELMONT-REDWOOD SHORES PUBLIC SCHOOL FOUNDATION	8267869	12299867	\$3,100.00 —
13630	BEN TOWNE PEDIATRIC CANCER RESEARCH FOUNDATION	8267871	12299869	\$300.00 —
13631	BETH ISRAEL MEDICAL CENTER FOUNDATION INC	8267873	12299871	\$36.00 —
13632	BETH JACOB PAROCHIAL SCHOOL OF EAST SIDE AND ESTHER SCHOENFELD HS INC	8267875	12299873	\$5,000.00 —
13633	BETHEL UNIVERSITY	8267877	12299875	\$250.00 —
13634	++BISHOP ODOWD HIGH SCHOOL	8267879	12299877	\$104.17 —
13635	BLOOMFIELD COLLEGE & SEMINARY	8267881	12299879	\$50.00 —
13636	++BOSTON UNIVERSITY TRUSTEES OF BOSTON UNIVERSITY	8267883	12299881	\$3,010.00 —
13637	++Brackett Elementary	8267885	12299883	\$100.00
13638	BRANDEIS UNIVERSITY	8267887	12299885	\$250.00 —
13639	BREAST CANCER FUND	8267889	12299887	\$250.00 —
13640	BREATHE CALIFORNIA GOLDEN GATE PUBLIC HEALTH PARTNERSHIP	8267891	12299889	\$150.00 —
13641	++Breen Elementary School	8267893	12299891	\$500.00 —
13642	BRIAR CLIFF UNIVERSITY	8267895	12299893	\$1,000.00 —
13643	BROADWAY CARES-EQUITY FIGHTS AIDS INC	8267897	12299895	\$50.00 —
13644	BROWN UNIVERSITY OF PROVIDENCE STATE OF RI AND PROV PLANTATIONS	8267899	12299897	\$25.00 —
13645	BUCKINGHAM BROWNE AND NICHOLS SCHOOL	8267901	12299899	\$500.00 —
13646	Buckingham Friends School	8267903	12299901	\$2,452.10 —
13647	CALIFORNIA POLYTECHNIC STATE UNIVERSITY FOUNDATION	8267905	12299903	\$250.00 —
13648	CAN DO EDUCATION FOUNDATION	8267907	12299905	\$600.00 —
13649	CANCER INSTITUTE OF NEW JERSEY FOUNDATION INC	8267909	12299907	\$275.00 —
13650	CAPITAL DAY SCHOOL CORPORATION	8267911	12299909	\$5,000.00 —
13651	++CARRILLO PARENT TEACHER ORGANIZATION	8267913	12299911	\$150.00 —
13652	CARY ACADEMY	8267915	12299913	\$250.00 —
13653	CENTRAL MICHIGAN UNIVERSITY	8267917	12299915	\$1,280.00 —
13654	++CHABOT ELEMENTARY PTA	8267919	12299917	\$1,100.00 —
13655	CHAPIN SCHOOL	8267921	12299919	\$4,000.00 —
13656	CHESTNUT HILL SCHOOL	8267923	12299921	\$500.00 —

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Check Number	Organization	Request ID	Payment ID	Check Amount
13657	CHILDRENS HOSPITAL & RESEARCH CENTER FOUNDATION	8267925	12299923	\$350.00 ✓
13658	CHILDRENS SCHOOL FOR CHILD-CENTERED EDUCATION INC	8267927	12299925	\$100.00 ✓
13659	CHOATE ROSEMARY HALL FOUNDATION INCORPORATED	8267929	12299927	\$500.00 ✓
13660	CHRIST PRESBYTERIAN ACADEMY	8267931	12299929	\$100.00 ✓
13661	CHRIST SCHOOL INC	8267933	12299931	\$100.00 ✓
13662	CHRIST THE KING CATHOLIC SCHOOL	8267935	12299933	\$70.00 ✓
13663	COLLEGE FOUNDATION OF THE UNIVERSITY OF VIRGINIA	8267937	12299935	\$2,500.00 ✓
13664	COLLEGE OF SAINT ELIZABETH	8267939	12299937	\$500.00 ✓
13665	COLON CANCER COALITION FOUNDATION	8267941	12299939	\$100.00 ✓
13666	COOPER UNION FOR THE ADVANCEMENT OF SCIENCE AND ART	8267943	12299941	\$500.00 ✓
13667	++CORNELL UNIVERSITY FOUNDATION	8267945	12299943	\$3,750.00 ✓
13668	CORPORATION OF GONZAGA UNIVERSITY	8267947	12299945	\$560.00 ✓
13669	CROHNS & COLITIS FOUNDATION OF AMERICA	8267949	12299947	\$250.00 ✓
13670	CT DOUGLAS SCHOOL PTO	8267951	12299949	\$268.00 ✓
13671	++CYSTIC FIBROSIS FOUNDATION	8267953	12299951	\$200.00 ✓
13672	CYSTIC FIBROSIS FOUNDATION HEADQUARTERS	8267955	12299953	\$250.00 ✓
13673	CYSTIC FIBROSIS FOUNDATION HEADQUARTERS	8267957	12299955	\$250.00 ✓
13674	++Dana Farber Cancer Institute	8267959	12299957	\$526.20 ✓
13675	DANA FARBER CANCER INSTITUTE INC STEVEN CONNOLLY	8267961	12299959	\$725.00 ✓
13676	dayDreamers University	8267963	12299961	\$4,444.00 ✓
13677	DEL MAR SCHOOLS EDUCATION FOUNDATION	8267965	12299963	\$1,300.00 ✓
13678	DELBARTON SCHOOL	8267967	12299965	\$3,750.00 ✓
13679	++DIOCESE OF METUCHEN Immaculata High School	8267969	12299967	\$1,250.00 ✓
13680	Diocese of Norwich Outreach to Haiti, Inc.	8267971	12299969	\$1,000.00 ✓
13681	DREW UNIVERSITY	8267973	12299971	\$150.00 ✓
13682	EARLHAM COLLEGE	8267975	12299973	\$500.00 ✓
13683	ECLC FOUNDATION A NEW JERSEY NON PROFIT CORPORATION	8267977	12299975	\$1,000.00 ✓
13684	EDUCATIONAL EXCELLENCE FOUNDATION PLYMOUTH-CANTON SCHOOLS	8267979	12299977	\$1,000.00
13685	EDUCATIONAL FOUNDATION OF ORINDA	8267981	12299979	\$575.00
13686	ELIZABETHTOWN COLLEGE	8267983	12299981	\$35.00 ✓
13687	Elon University	8267985	12299983	\$150.00 ✓
13688	FAIRFIELD UNIVERSITY	8267987	12299985	\$300.00
13689	FAMILY-FACULTY CONNECTION OF DINGEMAN ELEMENTARY SCHOOL	8267989	12299987	\$150.00 ✓
13690	FAR HILLS COUNTRY DAY SCHOOL	8267991	12299989	\$750.00 ✓
13691	FOUNDATION FOR LINCOLN PUBLIC SCHOOLS	8267993	12299991	\$250.00 ✓
13692	FOUNDATION OF THE STATE UNIVERSITY OF NEW YORK AT BINGHAMTON INC	8267995	12299993	\$25.00 ✓
13693	FREDERIKSEN PARENT FACULTY CLUB	8267997	12299995	\$80.00 ✓

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Check Number	Organization	Request ID	Payment ID	Check Amount
13694	FRENCH AMERICAN SCHOOL OF NEW YORK (FASNY)	8267999	12299997	\$500.00 —
13695	++Friends of Silver Gate Elementary	8268001	12299999	\$600.00 —
13696	FRIENDS OF THE AMIGOS SCHOOL INC	8268003	12300001	\$1,100.00 —
13697	Friends of the Hoboken Charter School	8268005	12300003	\$2,900.00
13698	GIFT OF LIFE BONE MARROW FOUNDATION	8268007	12300005	\$1,100.00 —
13699	GirlVentures	8268009	12300007	\$1,000.00 —
13700	GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION INC	8268011	12300009	\$250.00 —
13701	GREENWICH ACADEMY INC	8268013	12300011	\$1,300.00 —
13702	GULLIVER SCHOOLS INC	8268015	12300013	\$315.38 —
13703	++Harding Elementary PTA	8268017	12300015	\$500.00 —
13704	HASTINGS CATHOLIC SCHOOLS	8268019	12300017	\$50.00 —
13705	HEBREW ACADEMY OF LONG BEACH	8268021	12300019	\$250.00 —
13706	HEBREW ACADEMY OF MORRIS COUNTY	8268023	12300021	\$2,000.00 —
13707	++HETRICK-MARTIN INSTITUTE INC	8268025	12300023	\$3,000.00 —
13708	HIGH TECH HIGH	8268027	12300025	\$1,000.00
13709	HILLEL THE FOUNDATION FOR JEWISH CAMPUS LIFE	8268029	12300027	\$250.00 —
13710	HOLY SPIRIT SCHOOL	8268031	12300029	\$1,470.00 —
13711	Ideastream	8268033	12300031	\$200.00 —
13712	IMMACULATA HIGH SCHOOL	8268035	12300033	\$250.00 —
13713	IONA COLLEGE	8268037	12300035	\$2,000.00 —
13714	JAMES MADISON UNIVERSITY FOUNDATION INC	8268039	12300037	\$5,100.00 —
13715	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	8268041	12300039	\$5,000.00 —
13716	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	8268043	12300041	\$100.00 —
13717	++JENSEN RANCH SCHOOL PTA	8268045	12300043	\$450.00 —
13718	JOHN MUIR ELEMENTARY	8268047	12300045	\$150.00 —
13719	++John Paul II Academy	8268049	12300047	\$400.00 —
13720	++Juvenile Diabetes Research Foundation	8268051	12300049	\$505.00 —
13721	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	8268053	12300051	\$1,050.00 —
13722	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	8268055	12300053	\$1,000.00 —
13723	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	8268057	12300055	\$50.00 —
13724	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	8268059	12300057	\$250.00 —
13725	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	8268061	12300059	\$50.00 —
13726	++JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	8268063	12300061	\$425.00 —
13727	KANSAS UNIVERSITY ENDOWMENT ASSOC	8268065	12300063	\$1,100.00 —
13728	KENTUCKY CHRISTIAN UNIVERSITY	8268067	12300065	\$250.00 —
13729	KILLEARN LAKES ELEMENTARY PTO INC	8268069	12300067	\$200.00 —
13730	KOSAIR CHARITIES COMMITTEE INC	8268071	12300069	\$5,000.00 —
13731	LAFAYETTE COLLEGE	8268073	12300071	\$300.00 —
13732	LAFAYETTE PARTNERS IN EDUCATION	8268075	12300073	\$1,200.00 —

Novartis US Foundation
Check Register for 01/29/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
13733	LAS LOMAS PERFORMING ARTS FOUNDATION	8268077	12300075	\$60.00 —
13734	LELAND HIGH SCHOOL PARENT CLUB	8268079	12300077	\$100.00
13735	LEUKEMIA & LYMPHOMA SOCIETY INC	8268081	12300079	\$3,298.00 —
13736	LEUKEMIA & LYMPHOMA SOCIETY INC	8268083	12300081	\$50.00 —
13737	LEUKEMIA & LYMPHOMA SOCIETY INC	8268085	12300083	\$756.20 —
13738	LEUKEMIA & LYMPHOMA SOCIETY INC	8268087	12300085	\$925.00 —
13739	LEUKEMIA & LYMPHOMA SOCIETY INC	8268089	12300087	\$250.00 —
13740	LEUKEMIA & LYMPHOMA SOCIETY INC	8268091	12300089	\$1,630.00 —
13741	LEUKEMIA & LYMPHOMA SOCIETY INC	8268093	12300091	\$50.00 —
13742	LEUKEMIA & LYMPHOMA SOCIETY INC	8268095	12300093	\$50.00 —
13743	LEUKEMIA & LYMPHOMA SOCIETY INC	8268097	12300095	\$535.00 —
13744	LEUKEMIA & LYMPHOMA SOCIETY INC	8268099	12300097	\$365.00 —
13745	LOS ANGELES GAY AND LESBIAN COMMUNITY SERVICES CENTER INC	8268101	12300099	\$50.00 —
13746	LOUDOUN COUNTRY DAY SCHOOL INC	8268103	12300101	\$750.00 —
13747	LOWELL CATHOLIC HIGH SCHOOL	8268105	12300103	\$100.00 —
13748	LOYOLA UNIVERSITY MARYLAND INC	8268107	12300105	\$1,800.00 —
13749	LUNG TRANSPLANT FOUNDATION	8268109	12300107	\$9,103.00 —
13750	MARCH OF DIMES FOUNDATION	8268111	12300109	\$225.00
13751	March of Dimes Foundation	8268113	12300111	\$290.00 —
13752	MARQUETTE UNIVERSITY HIGH SCHOOL	8268115	12300113	\$500.00 —
13753	MARSHALL MIDDLE	8268117	12300115	\$50.00 —
13754	MARTINEZ EDUCATION FOUNDATION	8268119	12300117	\$100.00 —
13755	++Mary Lee Burbank PTA	8268121	12300119	\$100.00 —
13756	++Massachusetts General Hospital	8268123	12300121	\$300.00 —
13757	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	8268125	12300123	\$1,050.00 —
13758	MEMORIAL SLOAN-KETTERING CANCER CENTER	8268127	12300125	\$450.00 —
13759	MICHAEL J FOX FOUNDATION FOR PARKINSONS RESEARCH	8268129	12300127	\$150.00 —
13760	MICHIGAN STATE UNIVERSITY	8268131	12300129	\$1,425.00 —
13761	MILLS COLLEGE	8268133	12300131	\$60.00 —
13762	++Montclair Elementary PTA	8268135	12300133	\$415.00 —
13763	MONTCLAIR STATE UNIVERSITY FOUNDATION INC	8268137	12300135	\$100.00 —
13764	MONTERA JUNIOR HIGH PARENT FACULTY STUDENT CLUB	8268139	12300137	\$300.00 —
13765	MONTEREY BAY ACADEMY	8268141	12300139	\$1,000.00 —
13766	MONTEREY RIDGE EDUCATIONAL FOUNDATION	8268143	12300141	\$150.00 —
13767	MORAGA EDUCATIONAL FOUNDATION	8268145	12300143	\$200.00 —
13768	MORIAH SCHOOL OF ENGLEWOOD	8268147	12300145	\$650.00 —
13769	MOT CHARTER SCHOOL INC	8268149	12300147	\$2,000.00 —
13770	MOUNT CARMEL HEALTH SYSTEM FOUNDATION	8268151	12300149	\$100.00 —
13771	MOUNT SAINT JOSEPH ACADEMY	8268153	12300151	\$300.00 —
13772	MOVEMBER INC	8268155	12300153	\$1,180.00 —
13773	Muirlands Foundation Inc.	8268157	12300155	\$500.00

Novartis US Foundation
Check Register for 01/29/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
13774	++Multiple Myeloma Research Foundaiton	8268159	12300157	\$125.00 —
13775	NATIONAL ISLAMIC ASSOCIATION INC	8268161	12300159	\$10,000.00 —
13776	NATIONAL MULTIPLE SCLEROSIS SOCIETY	8268163	12300161	\$50.00 —
13777	++National Multiple Sclerosis Society Hawaii Office	8268165	12300163	\$350.00 —
13778	NATIONAL MULTIPLE SCLEROSIS SOCIETY	8268167	12300165	\$200.00 —
13779	NATIONAL MULTIPLE SCLEROSIS SOCIETY	8268169	12300167	\$200.00 —
13780	NATIONAL MULTIPLE SCLEROSIS SOCIETY	8268171	12300169	\$1,500.00 —
13781	NATIONAL MULTIPLE SCLEROSIS SOCIETY	8268173	12300171	\$225.00 —
13782	NATIONAL MULTIPLE SCLEROSIS SOCIETY	8268175	12300173	\$105.00 —
13783	++National Multiple Sclerosis Society - Northern California Chapter	8268177	12300175	\$575.00 —
13784	NATIONAL MULTIPLE SCLEROSIS SOCIETY	8268179	12300177	\$1,350.00 —
13785	NEW YORK PUBLIC RADIO	8268181	12300179	\$50.00 —
13786	NEWARK PUBLIC RADIO INC	8268183	12300181	\$60.00 —
13787	NORTH CAROLINA SCHOOL OF SCIENCE & MATHEMATICS FOUNDATION	8268185	12300183	\$5,000.00 —
13788	NORTH OAKLAND COMMUNITY CHARTER SCHOOL	8268187	12300185	\$205.00 —
13789	++North Texas Affiliate of Susan G. Komen for the Cure	8268189	12300187	\$100.00 —
13790	NORTON EMBRACING EDUCATIONAL DEVELOPMENT INC	8268191	12300189	\$500.00
13791	++Oak Hill Middle School	8268193	12300191	\$85.00 —
13792	++Oak Knoll School	8268195	12300193	\$275.00 —
13793	OHEL CHILDRENS HOME AND FAMILY SERVICES INC	8268197	12300195	\$25.00 —
13794	OKLAHOMA STATE UNIVERSITY FOUNDATION	8268199	12300197	\$688.00 —
13795	ORAL CANCER FOUNDATION	8268201	12300199	\$132.00 —
13796	OREGON STATE UNIVERSITY FOUNDATION	8268203	12300201	\$1,000.00 —
13797	PACIFIC RIDGE SCHOOL	8268205	12300203	\$1,000.00 —
13798	PAGE-ROBBINS ADULT DAY SERVICES INC	8268207	12300205	\$100.00 —
13799	PAN MASSACHUSETTS CHALLENGE TRUST	8268209	12300207	\$3,220.00 —
13800	PANCREATIC CANCER ACTION NETWORK INC	8268211	12300209	\$50.00 —
13801	++Park Village Elementary Educational Foundation	8268213	12300211	\$150.00 —
13802	PARKINSONS UNITY WALK INC	8268215	12300213	\$525.00 —
13803	PARTNERS IN HEALTH A NONPROFIT CORPORATION	8268217	12300215	\$100.00 —
13804	PILOT SCHOOL INC	8268219	12300217	\$2,100.00 —
13805	PIUS X FOUNDATION	8268221	12300219	\$200.00 —
13806	PIUS X HIGH SCHOOL	8268223	12300221	\$25.00 —
13807	PKD FOUNDATION	8268225	12300223	\$2,125.00 —
13808	PLANNED PARENTHOOD SHASTA DIABLO INC	8268227	12300225	\$100.00 —
13809	Polytechnic Institute of New York University	8268229	12300227	\$50.00 —
13810	++PRESIDENT AND DIRECTORS OF GEORGETOWN COLLEGE FOR GEORGETOWN UNIV	8268231	12300229	\$500.00 —
13811	++President and Fellows of Harvard University	8268233	12300231	\$200.00 —
13812	PROSPECT SIERRA SCHOOL	8268235	12300233	\$250.00 —
13813	PROSTATE CANCER FOUNDATION	8268237	12300235	\$500.00 —

Novartis US Foundation
Check Register for 01/29/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
13814	PTA CALIFORNIA CONGRESS OF PARENTS TEACHERS & STUDENTS INC	8268239	12300237	\$415.00 —
13815	PTA CALIFORNIA CONGRESS OF PARENTS TEACHERS & STUDENTS INC	8268241	12300239	\$2,800.00 —
13816	PTA NEW JERSEY CONGRESS OF PARENTS & TEACHERS	8268243	12300241	\$135.00 —
13817	RABBI PESACH RAYMON YESHIVA	8268245	12300243	\$286.00 —
13818	RABBINICAL COLLEGE OF AMERICA	8268247	12300245	\$4,500.00 —
13819	RECIPE FOR SUCCESS FOUNDATION	8268249	12300247	\$500.00 —
13820	REGENTS OF THE UNIVERSITY OF MICHIGAN	8268251	12300249	\$1,000.00 —
13821	++Riverglades Elementary PTA	8268253	12300251	\$66.00 —
13822	++ROMAN CATHOLIC BISHOP OF FALL RIVER CATHOLIC EDUCATION CENTER	8268255	12300253	\$100.00 —
13823	RUTGERS UNIVERSITY FOUNDATION	8268257	12300255	\$2,600.00 —
13824	SAINT BARNABAS MEDICAL CENTER	8268259	12300257	\$200.00
13825	SAN FRANCISCO UNIVERSITY HIGH SCHOOL	8268261	12300259	\$250.00 —
13826	SAN MARCOS HIGH SCHOOL PTO	8268263	12300261	\$100.00 —
13827	SANKARA EYE FOUNDATION USA	8268265	12300263	\$1,150.00 —
13828	SANTA FE CHRISTIAN SCHOOLS	8268267	12300265	\$400.00
13829	SEATTLE CHILDRENS HOSPITAL FOUNDATION	8268269	12300267	\$100.00 —
13830	SHADY HILL SCHOOL	8268271	12300269	\$1,600.00 —
13831	SHARSHERET INC	8268273	12300271	\$1,000.00 —
13832	++Silver Gate Elementary School (San Diego City Schools)	8268275	12300273	\$200.00 —
13833	++Society of St Vincent De Paul	8268277	12300275	\$1,000.00 —
13834	SOLANA BEACH FOUNDATION FOR LEARNING	8268279	12300277	\$2,500.00 —
13835	SOMERSET MEDICAL CENTER FOUNDATION INC	8268281	12300279	\$250.00 —
13836	++SOUTH ORANGE-MAPLEWOOD ADULT SCHOOL	8268283	12300281	\$700.00 —
13837	SOUTH PASADENA EDUCATIONAL FOUNDATION	8268285	12300283	\$150.00 —
13838	ST BONAVENTURE UNIVERSITY	8268287	12300285	\$300.00 —
13839	ST JOHN CATHOLIC CHURCH OF LINCOLN	8268289	12300287	\$5,000.00 —
13840	ST JOSEPH SCHOOL	8268291	12300289	\$25.00
13841	ST JUDE CHILDRENS RESEARCH HOSPITAL INC	8268293	12300291	\$6,672.00 —
13842	ST PATRICKS SCHOOL	8268295	12300293	\$900.00 —
13843	++St. Baldrick's Foundation	8268297	12300295	\$50.00 —
13844	++St. James Elementary School	8268299	12300297	\$709.00
13845	St. Joseph High School	8268301	12300299	\$500.00 —
13846	++St. Mary's Star of Sea School/Preschool	8268303	12300301	\$250.00 —
13847	St. Michael School	8268305	12300303	\$75.00 —
13848	++St. Patrick Catholic School	8268307	12300305	\$5,000.00
13849	STANFORD UNIVERSITY BOARD OF TRUSTEES OF THE LELAND STANFORD JU	8268309	12300307	\$250.00 —
13850	SUNRISE COMMUNICATIONS INC	8268311	12300309	\$125.00 —
13851	SUNSHINE BIBLE ACADEMY	8268313	12300311	\$310.00 —
13852	SUSAN G KOMEN BREAST CANCER FOUNDATION	8268315	12300313	\$160.00 —

Novartis US Foundation
Check Register for 01/29/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
13853	SUSAN G KOMEN BREAST CANCER FOUNDATION	8268317	12300315	\$100.00 ✓
13854	++Susan G. Komen 3-Day for the Cure	8268319	12300317	\$60.00 ✓
13855	TEMPLE UNIVERSITY	8268321	12300319	\$50.00 ✓
13856	THE CAMBRIDGE SCHOOL	8268323	12300321	\$175.00 ✓
13857	THE CHILDRENS HOSPITAL OF PHILADELPHIA FOUNDATION	8268325	12300323	\$1,000.00 ✓
13858	THE COLLEGE OF STATEN ISLAND FOUNDATION INC	8268327	12300325	\$50.00 ✓
13859	THE FOOD ALLERGY AND ANAPHYLAXIS NETWORK	8268329	12300327	\$53.95 ✓
13860	++THE LEARNING COMMUNITY CHARTER SCHOOL	8268331	12300329	\$1,000.00 ✓
13861	OAKWOOD SCHOOL	8268333	12300331	\$1,500.00 ✓
13862	The Pennsylvania State University	8268335	12300333	\$1,100.00 ✓
13863	THE RED OAKS SCHOOL INC	8268337	12300335	\$1,000.00 ✓
13864	THE SIZER FOUNDATION INC	8268339	12300337	\$3,000.00 ✓
13865	THE WILLIAM PATERSON UNIVERSITY OF NEW JERSEY FOUNDATION INC	8268341	12300339	\$2,100.00 ✓
13866	THE WINSOR SCHOOL	8268343	12300341	\$1,000.00 ✓
13867	++Tourette Syndrome Association - NY Hudson valley Chapter	8268345	12300343	\$100.00 ✓
13868	TRINITY CHRISTIAN SCHOOL OF MONTVILLE INC	8268347	12300345	\$5,300.00 ✓
13869	TRUSTEES FOR HARVARD UNIVERSITY	8268349	12300347	\$200.00 ✓
13870	TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	8268351	12300349	\$6,250.00 ✓
13871	TRUSTEES OF DARTMOUTH COLLEGE	8268353	12300351	\$1,500.00 ✓
13872	TRUSTEES OF GRINNELL COLLEGE	8268355	12300353	\$275.00 ✓
13873	TRUSTEES OF PRINCETON UNIVERSITY	8268357	12300355	\$1,150.00 ✓
13874	TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	8268359	12300357	\$3,125.00 ✓
13875	U C SAN DIEGO FOUNDATION	8268361	12300359	\$1,000.00 ✓
13876	UNITED STATES COAST GUARD ACADEMY ALUMNI ASSOCIATION INC	8268363	12300361	\$150.00 ✓
13877	UNITED STATES CONFERENCE OF CATHOLIC BISHOPS	8268365	12300363	\$500.00 ✓
13878	UNIVERSITY AT BUFFALO FOUNDATION INC	8268367	12300365	\$100.00 ✓
13879	University of California Berkeley Foundation	8268369	12300367	\$5,100.00 ✓
13880	UNIVERSITY OF COLORADO FOUNDATION	8268371	12300369	\$1,500.00 ✓
13881	UNIVERSITY OF CONNECTICUT FOUNDATION INCORPORATED	8268373	12300371	\$5,000.00 ✓
13882	UNIVERSITY OF DELAWARE	8268375	12300373	\$500.00 ✓
13883	University of Kentucky	8268377	12300375	\$1,500.00 ✓
13884	UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	8268379	12300377	\$350.00 ✓
13885	UNIVERSITY OF NOTRE DAME DU LAC	8268381	12300379	\$1,500.00 ✓
13886	UNIVERSITY OF OREGON FOUNDATION	8268383	12300381	\$200.00 ✓
13887	UNIVERSITY OF ROCHESTER	8268385	12300383	\$5,250.00 ✓
13888	University of San Francisco	8268387	12300385	\$75.00 ✓
13889	UNIVERSITY OF WISCONSIN FOUNDATION	8268389	12300387	\$400.00 ✓
13890	VIRGINIA TECH FOUNDATION INC	8268391	12300389	\$100.00 ✓

Novartis US Foundation
Check Register for 01/29/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
13891	WAKE FOREST UNIVERSITY	8268393	12300391	\$150.00 —
13892	WASHINGTON UNIVERSITY	8268395	12300393	\$50.00 —
13893	++WEAVER ACADEMY BOOSTERS INC	8268397	12300395	\$2,000.00
13894	WEBSTER ELEMENTARY	8268399	12300397	\$175.00 —
13895	WELLESLEY ABC PROGRAM INC	8268401	12300399	\$300.00 —
13896	++Wells Middle School	8268403	12300401	\$370.00 —
13897	++WHITAKER ELEMENTARY SCHOOL PTA	8268405	12300403	\$250.00 —
13898	WHITEFISH BAY	8268407	12300405	\$5,000.00 —
13899	WILLIAM AND MARY BUSINESS SCHOOL FOUNDATION	8268409	12300407	\$3,600.00 —

Number of Checks: 321

Total Check Amount: \$300,719.30

Novartis US Foundation
Check Register for 01/29/2013

Check Number	Organization	Request ID	Payment ID	Check Amount
13524	St. Pius X High School	8266279	12297069	\$100 00

Number of Checks: 1
Total Check Amount: \$100.00

MATCH-ER

NOVARTIS FOUNDATION
FORM 990PF TAX CALCULATIONS

YEAR 2013 AVERAGE CASH/INVESTMENT BALANCES
PART X FORM 990PF

MONTH	CASH	INVESTMENTS	PART X	MINIMUM INVESTMENT RETURN
JAN	615,749	23,177,228	1	AVG MONTHLY FMV - SECURITIES
FEB	615,186	23,355,896	2	AVG MONTHLY CASH BALANCE
MAR	568,248	23,899,600	3	TOTAL
APRIL	779,168	24,173,653	4	CASH DEEMED HELD 1 5% LINE 3
MAY	579,811	24,287,373	5	NET VAL NONCHAR USE ASSETS
JUNE	572,412	23,894,698	LINE 3-LINE 4	
JULY	562,492	24,598,269	6	MIN INVEST RETURN 5%LINE 5
AUGUST	562,465	24,120,068		
SEPT	561,530	24,723,915		
OCT	369,711	25,426,724		
NOV	558,206	25,819,964		
DEC	572,032	26,109,606		
TOTAL	6,917,010	293,586,994		
AVERAGE	576,418	24,465,583		

24,465,583
576,418
25,042,000
375,630
24,666,370
1,233,319

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	NOVARTIS U.S. FOUNDATION	13-6193034
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	230 PARK AVENUE, 21ST FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10169	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

PRAGER METIS CPAS, LLC

- The books are in the care of ► **222 MOUNT AIRY ROAD - BASKING RIDGE, NJ 07920**
Telephone No ► **908-766-9800** Fax No ► _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for _____

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year **2013** or
- ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	12,857.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	8,857.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	4,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions