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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE MAILMAN FOUNDATION INC		A Employer identification number 13-6161556	
% TAXPAYER		B Telephone number (see instructions) (212) 819-8020	
Number and street (or P O box number if mail is not delivered to street address) C/O HECHT CO 350 5TH AVENUE Suite 68FL		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10118		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 29,802,799		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	3,765	3,765		
	4 Dividends and interest from securities.	248,778	248,778		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	444,263			
	b Gross sales price for all assets on line 6a 5,706,607				
	7 Capital gain net income (from Part IV, line 2) . . .		444,263		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	176,659	145,421		
	12 Total. Add lines 1 through 11	873,465	842,227		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	28,600	14,300	0	14,300
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	796	546		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	199,504	198,729		775
	24 Total operating and administrative expenses. Add lines 13 through 23	228,900	213,575	0	15,075
	25 Contributions, gifts, grants paid	2,213,717			2,213,717
	26 Total expenses and disbursements. Add lines 24 and 25	2,442,617	213,575	0	2,228,792
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-1,569,152			
	b Net investment income (if negative, enter -0-)		628,652		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	4,175,899	1,532,984	1,532,929
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	12,012,023	13,167,238	17,927,203
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	8,310,450	8,229,116	10,342,667
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	24,498,372	22,929,338	29,802,799	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	179,242	173,522	
	23	Total liabilities (add lines 17 through 22)	179,242	173,522	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	12,522,887	12,522,887	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	11,796,243	10,232,929	
	30	Total net assets or fund balances (see page 17 of the instructions)	24,319,130	22,755,816	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	24,498,372	22,929,338		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	24,319,130
2	Enter amount from Part I, line 27a	2	-1,569,152
3	Other increases not included in line 2 (itemize) ▶ _____	3	5,838
4	Add lines 1, 2, and 3	4	22,755,816
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	22,755,816

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED # 17	P		
b	SEE ATTACHED # 17	P		
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,136,248		3,920,506	215,742
b 1,570,359		1,341,838	228,521
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			215,742
b			228,521
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	444,263
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,903,001	27,150,743	0 07009
2011	2,189,118	26,655,154	0 082127
2010	2,036,144	27,024,517	0 075344
2009	2,218,396	27,992,028	0 079251
2008	2,215,715	36,108,368	0 061363

2 Total of line 1, column (d).	2	0 368175
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 073635
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	29,021,602
5 Multiply line 4 by line 3.	5	2,137,006
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	6,287
7 Add lines 5 and 6.	7	2,143,293
8 Enter qualifying distributions from Part XII, line 4.	8	2,228,792

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	6,287
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2.		3	6,287
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,287
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	44,269	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d.		7	44,269
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ☐		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ☐		10	37,982
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ 37,982 Refunded ☐		11	

Part VII-A

Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☐	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> ☐	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a	Yes	
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b	Yes	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> ☐	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ DE, NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶ NONE				
14	The books are in care of ▶ TAXPAYER Telephone no ▶ (212) 819-8020			
	Located at ▶ C/O HECHT 350 5TH AVENUE -68TH FL NEW YORK NY ZIP +4 ▶ 10118			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶ CJ		Yes	

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		☐ Yes	☐ No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes	☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes	☒ No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NEUBERGER BERMAN LLC	INVESTMENT MGMT	161,354
605 THIRD AVENUE		
NEW YORK, NY 10158		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	16,794,687
b	Average of monthly cash balances.	1b	2,499,723
c	Fair market value of all other assets (see instructions).	1c	10,169,145
d	Total (add lines 1a, b, and c).	1d	29,463,555
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	29,463,555
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	441,953
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	29,021,602
6	Minimum investment return. Enter 5% of line 5.	6	1,451,080

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,451,080
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	6,287
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	250
c	Add lines 2a and 2b.	2c	6,537
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,444,543
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,444,543
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,444,543

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,228,792
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,228,792
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	6,287
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,222,505
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1		Distributable amount for 2013 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2013			
a		Enter amount for 2012 only.			
b		Total for prior years 2011 , 2010 , 2009			
3		Excess distributions carryover, if any, to 2013			
a		From 2008.			
b		From 2009.			
c		From 2010.			
d		From 2011.			
e		From 2012.			
f		Total of lines 3a through e.			
4		Qualifying distributions for 2013 from Part XII, line 4 \$ 2,228,792			
a		Applied to 2012, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2013 distributable amount.			
e		Remaining amount distributed out of corpus			
5		Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions.			
e		Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions.			
f		Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014.			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).			
8		Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).			
9		Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.			
10		Analysis of line 9			
a		Excess from 2009.			
b		Excess from 2010.			
c		Excess from 2011.			
d		Excess from 2012.			
e		Excess from 2013.			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

PHYLLIS MAILMAN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PHYLLIS MAILMAN	VP/ASST TR 7 0	0	0	0
C/O HECHT CO NEW YORK,NY 10118				
JUDSON A WOLFE	VP/ASST TR 0 25	0	0	0
C/O HECHT CO NEW YORK,NY 10118				
JOAN M WOLFE	PRESIDENT 7 0	0	0	0
C/O HECHT CO NEW YORK,NY 10118				
JOHANNA L WOLFE	VP/ASST SE 0 25	0	0	0
C/O HECHT CO NEW YORK,NY 10118				
OLIVIA L WOLFE	VP/ASST SE 0 25	0	0	0
C/O HECHT CO NEW YORK,NY 10118				
JOSEPH V HASTINGS	SECY/TREAS 1 5	0	0	0
C/O HECHT CO NEW YORK,NY 10118				

TY 2013 Accounting Fees Schedule**Name:** THE MAILMAN FOUNDATION INC**EIN:** 13-6161556

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES				
-FOR INVESTMENT MATTERS	14,300	14,300		
-FOR PREPARATION OF FORM 990PF				
ATTORNEY GENERAL REPORTS &				
ADMINISTRATION	14,300			14,300

**TY 2013 All Other Program
Related Investments Schedule**

Name: THE MAILMAN FOUNDATION INC

EIN: 13-6161556

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE MAILMAN FOUNDATION INC

EIN: 13-6161556

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Expenditure Responsibility Statement

Name: THE MAILMAN FOUNDATION INC

EIN: 13-6161556

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
JOSHUA MAILMAN FOUNDATION	C/O HECHT 622 THIRD AVE -8TH FL NEW YORK, NY 10017	2008-01-01		RELIGIOUS, CHARITABLE, SCIENTIFIC, LITERARY AND EDUCATIONAL		NO	DECEMBER 31, 2008	2008-12-31	SEE REPORT ATTACHED AT EXHIBIT # 2

TY 2013 Investments Corporate Stock Schedule

Name: THE MAILMAN FOUNDATION INC

EIN: 13-6161556

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHMENT 7A	13,167,238	17,927,203

TY 2013 Investments - Land Schedule**Name:** THE MAILMAN FOUNDATION INC**EIN:** 13-6161556

TY 2013 Investments - Other Schedule**Name:** THE MAILMAN FOUNDATION INC**EIN:** 13-6161556

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
INVESTMENT IN:			
JUNIPER FUND FOR HEALTHCARE		75,169	71,679
WARBURG PINCUS EQUITY PTRS		164,867	35,994
ETON PARK OVERSEAS FUND LTD		139,392	100,400
WPG CORP DEVE'L V LLC LIQ TR		8,105	7,750
MOUNTAINVIEW GARDENS		21,306	21,296
UV PARTNERS IV, LP		800,885	1,121,000
PASSPORT VENTURES LLC		0	0
DIVERSIFIED ARBITRAGE FUND		156,586	276,123
UTAH VENTURES III LP		0	293,388
CLEAN TECHNOLOGY II LP		145,691	119,018
FARALLON CAP INST. PTRS		4,552,745	4,653,523
INSTITUTIONAL INVEST.FUND		2,000,000	3,607,870
PASSPORT GLOBAL ST II OFFS'H		164,370	34,626

TY 2013 Land, Etc. Schedule**Name:** THE MAILMAN FOUNDATION INC**EIN:** 13-6161556

TY 2013 Other Expenses Schedule**Name:** THE MAILMAN FOUNDATION INC**EIN:** 13-6161556

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS FILING FEE	750			750
DELAWARE STATE FILING FEE	25			25
EXP RELATING TO INVESTMENTS				
INV FEES - NEUBERGER	161,354	161,354		
INV FEES - EHRENKRANZ	17,500	17,500		
INV FEES - SELECT EQTY	18,837	18,837		
SERVICE FEES - SCHWAB/WELLS	1,038	1,038		

TY 2013 Other Income Schedule

Name: THE MAILMAN FOUNDATION INC

EIN: 13-6161556

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PORTFOLIO - NON-UBTI INCOME(LOSS)			
THRU MOUNTAINVIEW GARDENS	7	7	
THRU JUNIPER'S FUND FOR HEALTH CARE	28	28	
THRU WPG ASSOC V LIQ TR	74	74	
THRU W/P EQUITY PARTNERS	225	225	
THRU UTAH VENTURE FD III	0	0	
THRU PASSPORT VENTURES LLC	13,070	13,070	
THRU FARALLON CAP INTST'L PTRS	157,686	157,686	
THRU UV PARTNERS IV LP	-21,628	-21,628	
THRU CLEAN TECHNOLOGY II LP	-4,041	-4,041	
UBTI INCOME (LOSS)			
DEBT FINANCED INCOME			
THRU MOUNTAINVIEW GARDENS	2,134		
TRADE OR BUSINESS			
THRU JUNIPER FUND FOR HEALTH CARE	29,104		

TY 2013 Other Increases Schedule

Name: THE MAILMAN FOUNDATION INC

EIN: 13-6161556

Description	Amount
PRIOR PERIOD ADJ PASSPORT VENTURES BASIS	5,838

TY 2013 Other Liabilities Schedule

Name: THE MAILMAN FOUNDATION INC

EIN: 13-6161556

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE JOSHUA MAILMAN FOUNDATION	179,242	173,522

TY 2013 Taxes Schedule**Name:** THE MAILMAN FOUNDATION INC**EIN:** 13-6161556

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX ON DIVIDENDS	546	546		
NYS UBTI TAX	250			

THE MAILMAN FOUNDATION, INC
ID #13-6161556
SCHEDULE OF CAPITAL GAINS
12/31/2013

	<u>SALES PRICE</u>	<u>COST</u>	<u>GAIN/ (LOSS)</u>
SHORT TERM			
SEE SCHEDULE 2	3,981,628	3,893,949	87,679
THRU FARALLON CAP	0	1	-1
THRU FARALLON CAP	154,620	0	154,620
THRU FARALLON (SEC 1256 L/T PORTION)	0	26,556	-26,556
	<u>4,136,248</u>	<u>3,920,506</u>	<u>215,742</u>
TOTAL SHORT TERM			
LONG TERM			
SEE SCHEDULE 1	1,395,909	801,149	594,760
CIT CLASS ACTION SETTLEMENT	1,306	0	1,306
THRU UTAH VENTURES III	25,526	0	25,526
THRU W/P EQUITY PARTNERS TRUST	0	184	-184
THRU UV PARTNERS IV	138	0	138
THRU FARALLON CAP.	0	671	-671
THRU FARALLON CAP	147,042		147,042
THRU FARALLON (SEC 1256 L/T PORTION)	0	39,834	-39,834
THRU PASSPORT VENTURES LLC	438	0	438
THRU PASSPORT VENTURES LLC	0	500,000	-500,000
	<u>1,570,359</u>	<u>1,341,838</u>	<u>228,521</u>
TOTAL LONG TERM CAPITAL GAINS			
FORM CAPITAL GAIN/LOSS	<u>5,706,607</u>	<u>5,262,344</u>	<u>444,263</u>

THE MAILMAN FOUNDATION INC.

CORPORATE STOCK

13-6161556

From 01-01-13 Through 12-31-13

FORM 990-PF, PART II, LINE 10 b - CORPORATE STOCKS

Quantity	Security	TOTAL Cost	MARKET Value
STOCK			
5,000	AETNA U S HEALTHCARE INC	314,732	342,950
319	AIRGAS INC	28,398	35,680
550	AMETEK INC	17,843	28,969
443	AMPHENOL CORP CL A	24,807	39,507
6,800	ANADARKO PETE CORP	424,216	539,376
4,500	AON PLC SHS CL A	220,860	377,505
900	APACHE CORP	89,660	77,346
367	BLOUNT INTERNATIONAL INC	4,025	5,310
1,171	BROOKFIELD ASSET MAN'G CLASS A	27,130	45,470
1,550	C B RICHARD ELLIS GROUP	30,248	40,765
680	CABELAS INC.	44,430	45,329
5,700	DEERE & CO	500,812	520,581
10,000	DELTA AIR LINES INC	188,210	274,700
25,900	DENBURY RES INC	396,344	425,537
1,469	DENTSPLY INTL INC NEW	56,171	71,217
8,300	ENSCO PLC NEW CLASS A	457,410	474,594
3,400	EOG RES INC	316,010	570,656
2,500	FEDEX CORPORATION	208,342	359,425
609	FIRST REPUBLIC BANK SAN FRAN	16,430	31,881
12,288	FUISSION-IO	22,016	109,486
531	GARMIN LTD	18,329	24,527
935	HARLEY DAVIDSON INC	46,838	64,739
164	HEICO CORP	4,519	9,489
333	IHS INC	21,309	39,860
6,500	INTERNATIONAL PAPER CO	155,556	318,695
9,200	INTL. BUSINESS MACHINES CORP	1,174,805	1,725,644
696	KIRBY CORP	27,492	69,078
3,200	KOHL'S CORP	142,680	181,600
8,400	LOEWS CORP	312,286	405,216
86	MARKEL CORP	35,530	49,910
3,600	METLIFE INC	155,700	194,112
700	MICROS SYSTEMS	30,108	40,159

ATTACHMENT # 7A

THE MAILMAN FOUNDATION INC.
CORPORATE STOCK
13-6161556
From 01-01-13 Through 12-31-13
FORM 990-PF, PART II, LINE 10 b - CORPORATE STOCKS

Quantity	Security	TOTAL Cost	MARKET Value
STOCK			
16,000	MICROSOFT CORP	405,648	598,560
394	MOHAWK INDUSTRIES INC	43,201	58,667
455	MSC INDUSTRIAL DIRECT CO INC	31,310	36,796
590	O REILLY AUTOMOTIVE NEW	37,417	75,939
6,350	OCCIDENTAL PETE CORP	507,987	603,885
24,200	ORACLE CORP	586,926	925,892
630	PALL CORP	37,055	53,771
709	PAYCHEX INC	20,368	32,281
320	PERKINELMER INC	6,635	13,194
19,300	PFIZER INC	341,277	591,159
6,135	PIONEER NATURAL RESOURCES CO	296,120	1,129,269
10,500	REINSURANCE GROUP OF AMERICA	628,024	812,805
445	ROLLINS, INC.	12,804	13,479
17,000	ROWAN COMPANIES LTD	578,510	601,120
149	SCRIPPS NETWORKS INTERACTIVE	8,788	12,875
364	SHERWIN WILLIAMS CO	39,394	66,794
716	SIGNET JEWELERS LTD	20,433	56,349
985	TEMPUR SEALY INTL	40,565	53,151
243	TRANSDIGM GROUP INC	24,653	39,128
10,700	TRAVELERS COMPANIES INC	548,684	968,778
4,000	UNUM GROUP	76,128	140,320
263,246	VANGUARD INTER TERM TREASURY FD	2,863,468	2,927,296
3,100	WELLPOINT INC	214,374	286,409
1,025	WILLIAMS-SONOMA	41,008	59,737
1,010	WOLVERINE WORLD WIDE INC.	21,783	34,300
16,100	XEROX CORP	221,435	195,937
	TOTAL	13,167,238	17,927,203

THE MAILMAN FOUNDATION INC.

REALIZED GAINS AND LOSSES

13-6161556

From 01-01-13 Through 12-31-13

LONG TERM

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss	
				Basis	Proceeds	Long Term	
10/3/2011	1/7/2013	1	TRANSDIGM GROUP INC	80	140	60	
8/24/2010	1/7/2013	10	PATTERSON COS INC	267	347	80	
10/4/2011	1/7/2013	2	TRANSDIGM GROUP INC	151	280	130	
5/7/2010	1/7/2013	104	PATTERSON COS INC	3,060	3,605	545	
4/6/2010	1/7/2013	30	WABTEC	1,298	2,674	1,376	
10/3/2011	1/7/2013	32	TRANSDIGM GROUP INC	2,495	4,486	1,991	
8/7/2008	1/9/2013	5	PERKINELMER INC	146	166	20	
8/1/2008	1/9/2013	35	PERKINELMER INC	1,016	1,142	126	
8/7/2008	1/9/2013	145	PERKINELMER INC	4,222	4,733	511	
8/7/2008	1/15/2013	75	PERKINELMER INC	2,184	2,607	423	
9/4/2008	1/15/2013	100	PERKINELMER INC	2,689	3,476	787	
9/4/2008	1/16/2013	5	PERKINELMER INC	134	172	38	
9/19/2008	1/16/2013	70	PERKINELMER INC	1,841	2,412	571	
10/1/2008	1/16/2013	85	PERKINELMER INC	2,071	2,929	858	
3/23/2011	1/17/2013	110	HARRY WINSTON DIAMOND	1,576	1,636	60	
4/26/2011	1/30/2013	27	AMETEK INC	857	1,130	273	
5/1/2011	1/30/2013	77	AMETEK INC	2,379	3,184	805	
1/15/2010	1/31/2013	5	SIGNET JEWELERS LTD	141	310	169	
5/6/2011	1/31/2013	35	AMETEK INC	1,044	1,437	394	
12/28/2009	1/31/2013	15	SIGNET JEWELERS LTD	403	929	526	
5/1/2011	1/31/2013	65	AMETEK INC	1,995	2,669	674	
5/20/2010	2/1/2013	14	SIGMA ALDRICH	735	1,083	348	
6/1/2010	2/1/2013	36	SIGMA ALDRICH	1,889	2,784	894	
1/15/2010	2/4/2013	5	SIGNET JEWELERS LTD	141	310	169	
11/21/2006	2/4/2013	100	KIRBY CORP	3,614	6,734	3,119	
10/28/2008	2/5/2013	10	PERKINELMER INC	159	348	189	

THE MAILMAN FOUNDATION INC.
REALIZED GAINS AND LOSSES

13-6161556

From 01-01-13 Through 12-31-13

LONG TERM

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Long Term
10/1/2008	2/5/2013	40	PERKINELMER INC	975	1,391	416
10/24/2008	2/5/2013	30	PERKINELMER INC	524	1,043	520
4/6/2010	2/5/2013	20	WABTEC	865	1,874	1,008
4/8/2010	2/5/2013	30	WABTEC	1,239	2,811	1,572
4/26/2010	2/6/2013	17	PERRIGO CO	1,023	1,818	795
5/1/2010	2/6/2013	23	PERRIGO CO	1,458	2,460	1,002
2/9/2010	2/8/2013	105	BLOUNT INTERNATIONAL INC	1,129	1,784	654
2/1/2010	2/8/2013	135	BLOUNT INTERNATIONAL INC	1,529	2,293	765
4/8/2010	2/14/2013	60	WABTEC	2,477	5,768	3,291
4/8/2010	2/21/2013	5	WABTEC	206	483	276
5/11/2010	2/21/2013	10	WABTEC	472	965	493
7/1/2010	2/21/2013	35	WABTEC	1,387	3,379	1,992
2/9/2010	2/22/2013	35	BLOUNT INTERNATIONAL INC	376	486	110
5/3/2010	2/22/2013	73	BLOUNT INTERNATIONAL INC	826	1,015	189
2/23/2010	2/22/2013	130	BLOUNT INTERNATIONAL INC	1,400	1,807	407
3/11/2010	2/22/2013	167	BLOUNT INTERNATIONAL INC	1,812	2,321	509
12/14/2010	2/25/2013	35	GARMIN LTD	1,092	1,242	151
10/5/2010	2/25/2013	80	GARMIN LTD	2,441	2,840	398
8/11/2006	2/25/2013	35	KIRBY CORP	1,078	2,659	1,580
8/24/2006	2/25/2013	55	KIRBY CORP	1,591	4,178	2,587
12/18/2009	3/5/2013	17,500	METHANEX CORP	331,958	637,364	305,406
3/23/2011	3/7/2013	220	HARRY WINSTON DIAMOND	3,152	3,398	247
8/24/2010	3/8/2013	25	WABTEC	1,060	2,476	1,415
7/1/2010	3/8/2013	25	WABTEC	991	2,476	1,485
6/1/2010	3/11/2013	4	SIGMA ALDRICH	210	313	103
6/3/2010	3/11/2013	31	SIGMA ALDRICH	1,642	2,423	781
5/10/2011	3/12/2013	5	AMETEK INC	150	212	62

THE MAILMAN FOUNDATION INC.
 REALIZED GAINS AND LOSSES
 13-6161556

From 01-01-13 Through 12-31-13

LONG TERM

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
							Long Term
5/6/2011	3/12/2013	115	AMETEK INC	3,429	4,870		1,440
12/7/2011	3/14/2013	20	C B RICHARD ELLIS GROUP	328	502		173
12/5/2011	3/14/2013	235	C B RICHARD ELLIS GROUP	4,036	5,893		1,857
8/16/2007	3/15/2013	5	KIRBY CORP	186	382		197
5/10/2007	3/15/2013	16	KIRBY CORP	612	1,147		534
11/21/2006	3/15/2013	25	KIRBY CORP	904	1,911		1,008
6/1/2011	3/28/2013	18	PERRIGO CO	1,524	2,121		597
5/1/2010	3/28/2013	17	PERRIGO CO	1,078	2,003		925
5/20/2010	3/28/2013	20	PERRIGO CO	1,132	2,357		1,224
	3/28/2013		VANGUARD INTER TERM TREASURY FD		2,068		2,068
6/1/2011	4/25/2013	50	PERRIGO CO	4,234	6,004		1,770
6/3/2010	4/26/2013	19	SIGMA ALDRICH	1,006	1,471		464
6/8/2010	4/26/2013	20	SIGMA ALDRICH	1,002	1,548		546
6/21/2010	4/26/2013	26	SIGMA ALDRICH	1,407	2,012		605
5/10/2011	4/26/2013	105	AMETEK INC	3,143	4,252		1,109
6/21/2010	4/29/2013	9	SIGMA ALDRICH	487	696		209
7/27/2010	4/29/2013	15	SIGMA ALDRICH	855	1,160		305
10/5/2010	4/29/2013	41	SIGMA ALDRICH	2,542	3,172		630
10/28/2008	5/1/2013	60	PERKINELMER INC	954	1,838		884
12/12/2008	5/1/2013	80	PERKINELMER INC	1,108	2,450		1,342
12/12/2008	5/1/2013	145	PERKINELMER INC	2,008	4,385		2,377
8/24/2010	5/10/2013	25	WABTEC	1,060	2,639		1,579
9/16/2010	5/10/2013	40	WABTEC	1,880	4,223		2,343
5/11/2012	5/14/2013	64	SCRIPPS NETWORKS INTERACTIVE	3,468	4,421		952
12/5/2011	5/20/2013	2,800	COVIDIEN PLC	127,303	185,807		58,505
10/5/2010	5/21/2013	5	SIGMA ALDRICH	310	415		105
10/5/2010	5/22/2013	9	SIGMA ALDRICH	558	753		195

THE MAILMAN FOUNDATION INC.

REALIZED GAINS AND LOSSES

13-6161556

From 01-01-13 Through 12-31-13

LONG TERM

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Long Term
9/16/2010	5/22/2013	5	WABTEC	235	562	327
10/21/2010	5/22/2013	26	SIGMA ALDRICH	1,615	2,175	560
12/1/2010	5/22/2013	25	WABTEC	1,176	2,809	1,632
2/3/2012	5/23/2013	18	PERRIGO CO	1,707	2,158	450
6/1/2011	5/23/2013	22	PERRIGO CO	1,863	2,637	774
3/4/2011	6/5/2013	36	SIGMA ALDRICH	2,300	2,988	688
10/21/2010	6/5/2013	49	SIGMA ALDRICH	3,044	4,067	1,023
8/8/2011	6/11/2013	95	PAYCHEX INC	2,483	3,515	1,032
12/1/2010	6/12/2013	70	WABTEC	1,647	3,811	2,165
3/4/2011	6/13/2013	15	SIGMA ALDRICH	958	1,223	265
1/3/2011	6/18/2013	80	WABTEC	2,136	4,319	2,182
12/5/2011	6/19/2013	2,500	COVIDIEN PLC	113,663	164,513	50,850
2/3/2012	7/15/2013	47	PERRIGO CO	4,458	5,992	1,534
12/18/2009	7/22/2013	100	OCCIDENTAL PETE CORP	7,980	8,985	1,005
12/9/2011	7/25/2013	5	C B RICHARD ELLIS GROUP	78	121	44
12/7/2011	7/25/2013	10	C B RICHARD ELLIS GROUP	164	242	78
12/9/2011	7/25/2013	10	C B RICHARD ELLIS GROUP	155	242	87
5/15/2012	7/25/2013	5	SCRIPPS NETWORKS INTERACTIVE	268	360	93
5/15/2012	7/25/2013	5	SCRIPPS NETWORKS INTERACTIVE	268	360	93
3/4/2011	7/25/2013	5	SIGMA ALDRICH	319	423	103
12/7/2011	7/25/2013	35	C B RICHARD ELLIS GROUP	574	845	271
9/20/2011	7/25/2013	16	SIGMA ALDRICH	1,036	1,347	311
12/7/2011	7/25/2013	70	C B RICHARD ELLIS GROUP	1,148	1,691	543
3/4/2011	7/25/2013	44	SIGMA ALDRICH	2,811	3,705	894
9/20/2011	7/26/2013	4	SIGMA ALDRICH	259	332	73
9/29/2011	7/26/2013	25	SIGMA ALDRICH	1,546	2,075	529
9/23/2011	7/26/2013	40	SIGMA ALDRICH	2,434	3,320	886

THE MAILMAN FOUNDATION INC.
 REALIZED GAINS AND LOSSES
 13-6161556

From 01-01-13 Through 12-31-13

LONG TERM

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Long Term
5/15/2012	7/30/2013	29	SCRIPPS NETWORKS INTERACTIVE	1,552	2,088	536
5/10/2011	8/9/2013	32	AMETEK INC	973	1,530	558
6/6/2011	8/9/2013	112	AMETEK INC	3,170	5,297	2,127
6/18/2012	9/9/2013	5	HEICO CORP	193	316	123
4/1/2011	9/12/2013	12	AMPHENOL CORP CL A	633	915	281
4/1/2011	9/12/2013	48	AMPHENOL CORP CL A	2,528	3,658	1,130
6/26/2012	9/13/2013	45	HEICO CORP	1,760	2,897	1,138
6/18/2012	9/13/2013	110	HEICO CORP	4,240	7,082	2,842
9/5/2012	9/25/2013	120	WOLVERINE WORLD WIDE INC.	5,640	6,723	1,083
9/5/2012	10/10/2013	20	WOLVERINE WORLD WIDE INC.	940	1,166	226
9/11/2012	10/10/2013	45	WOLVERINE WORLD WIDE INC.	1,993	2,623	630
2/16/2010	10/16/2013	365	PIONEER NATURAL RESOURCES CO	17,618	77,097	59,479
9/11/2012	10/18/2013	10	WOLVERINE WORLD WIDE INC.	430	591	161
9/11/2012	10/18/2013	70	WOLVERINE WORLD WIDE INC.	3,100	4,139	1,039
12/12/2008	10/22/2013	10	PERKINELMER INC	139	371	233
8/1/2010	10/22/2013	25	PERKINELMER INC	481	928	447
12/14/2010	10/24/2013	25	GARMIN LTD	780	1,224	445
12/14/2010	10/24/2013	45	GARMIN LTD	1,404	2,205	802
12/14/2010	10/25/2013	11	GARMIN LTD	343	539	196
12/30/2010	10/25/2013	29	GARMIN LTD	896	1,421	525
6/13/2012	11/8/2013	35	GARMIN LTD	1,360	1,679	319
6/11/2012	11/8/2013	105	GARMIN LTD	4,183	5,038	855
7/1/2011	11/8/2013	80	GARMIN LTD	2,562	3,838	1,276
11/20/2012	11/27/2013	65	HARLEY DAVIDSON INC	3,030	4,373	1,344
11/19/2012	11/27/2013	90	HARLEY DAVIDSON INC	4,174	6,055	1,881
6/26/2012	12/3/2013	25	HEICO CORP	782	1,415	633
8/6/2012	12/3/2013	30	HEICO CORP	828	1,698	870

THE MAILMAN FOUNDATION INC.

REALIZED GAINS AND LOSSES

13-6161556

From 01-01-13 Through 12-31-13

LONG TERM

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Long Term
9/11/2012	12/12/2013	160	WOLVERINE WORLD WIDE INC.	3,441	5,279	1,838
12/11/2012	12/16/2013	80	SCRIPPS NETWORKS INTERACTIVE	4,523	6,480	1,958
12/11/2012	12/17/2013	34	SCRIPPS NETWORKS INTERACTIVE	1,922	2,753	831
12/14/2012	12/17/2013	51	SCRIPPS NETWORKS INTERACTIVE	3,002	4,130	1,128
	12/17/2013		VANGUARD INTER TERM TREASURY FD		12,042	12,042
		TOTAL LONG TERM		801,149	1,395,909	594,760

THE MAILMAN FOUNDATION INC.
 REALIZED GAINS AND LOSSES
 13-6161556
 From 01-01-13 Through 12-31-13

SHORT TERM

Open Date	Close Date	Quantity	Security	Gain Or Loss	
				Cost Basis	Short Term
3/6/2013	3/6/2013	17,500	METHANEX CORP	637,364	6,512
6/14/2012	4/18/2013	200	WELLPOINT INC	13,831	80
6/14/2012	4/18/2013	200	WELLPOINT INC	13,831	80
4/15/2013	4/18/2013	-	BROOKFIELD PROPERTY L P	5	0
4/15/2013	4/25/2013	67	BROOKFIELD PROPERTY L P	1,469	(66)
5/15/2012	5/14/2013	46	SCRIPPS NETWORKS INTERACTIVE	2,462	715
8/15/2012	5/30/2013	1,577,000	US TREASURY BILL DUE 5/30/13	1,577,000	-
12/11/2012	7/30/2013	41	SCRIPPS NETWORKS INTERACTIVE	2,318	634
9/5/2012	8/29/2013	55	WOLVERINE WORLD WIDE INC.	2,585	583
11/19/2012	9/12/2013	100	HARLEY DAVIDSON INC	4,589	1,686
11/19/2012	9/12/2013	5	HARLEY DAVIDSON INC	232	82
4/15/2013	9/12/2013	5	VITAMIN SHOPPE INC	244	(33)
4/15/2013	9/12/2013	90	VITAMIN SHOPPE INC	4,388	(579)
11/19/2012	9/13/2013	5	HARLEY DAVIDSON INC	232	84
4/15/2013	9/20/2013	15	VITAMIN SHOPPE INC	731	(124)
4/16/2013	9/20/2013	15	VITAMIN SHOPPE INC	788	(180)
12/14/2012	9/26/2013	150,000	US TREASURY BILL 12/12/13	149,844	141
4/16/2013	10/24/2013	5	VITAMIN SHOPPE INC	263	(38)
4/16/2013	10/30/2013	84	VITAMIN SHOPPE INC	4,411	(685)
4/18/2013	10/30/2013	40	VITAMIN SHOPPE INC	2,097	(323)
5/8/2013	10/30/2013	34	VITAMIN SHOPPE INC	1,663	(155)
5/9/2013	10/30/2013	45	VITAMIN SHOPPE INC	2,221	(226)
				643,875	
				13,911	
				13,911	
				5	
				1,402	
				3,177	
				1,577,000	
				2,952	
				3,168	
				6,275	
				314	
				211	
				3,808	
				316	
				607	
				607	
				149,985	
				224	
				3,726	
				1,774	
				1,508	
				1,996	

THE MAILMAN FOUNDATION INC.
 REALIZED GAINS AND LOSSES
 13-6161556
 From 01-01-13 Through 12-31-13

SHORT TERM

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term
5/21/2013	10/30/2013		7 VITAMIN SHOPPE INC	313	310	(3)
3/5/2013	11/1/2013	6,500	CARPENTER TECHNOLOGY CORP	309,316	388,623	79,307
6/11/2013	11/6/2013	60	VITAMIN SHOPPE INC	2,773	2,818	44
5/22/2013	11/6/2013	60	VITAMIN SHOPPE INC	2,702	2,818	115
5/21/2013	11/6/2013	13	VITAMIN SHOPPE INC	582	610	28
12/14/2012	12/12/2013	557,000	US TREASURY BILL 12/12/13	556,419	556,419	-
12/27/2012	12/12/2013	600,000	US TREASURY BILL 12/12/13	599,278	599,278	-
TOTAL SHORT TERM				3,893,949	3,981,628	87,679

THE MAILMAN FOUNDATION, INC.

GRANTS PAID

2013

13- 6161556

ORGANIZATION	DATE	STATUS	AMOUNT	RESTRICTION
Lincoln Center Theater	01/02/2013	PC	25,000 00	
The New 42nd Street, Inc	01/02/2013	PC	5,000 00	
New World Symphony	01/21/2013	PC	5,000 00	
ORCAT	01/28/2013	PC	5,000 00	
Congregation Emanu-El	02/04/2013	PC	10,000 00	Rabbi David M Posner Fund
NAACP - Legal Defense Fund	02/11/2013	PC	10,000 00	
American Council of Trustees and Alumni	02/22/2013	PC	25,000 00	
Lincoln Center for the Performing Arts	02/26/2013	PC	25,000 00	Great Performer's Circle
American Friends of the Israel Museum	02/27/2013	PC	7,500 00	
Lincoln Center for the Performing Arts	02/27/2013	PC	25,000 00	Great Performers Circle
YMCA of Greater New York	03/11/2013	PC	25,000 00	
Student Sponsor Partners	03/12/2013	PC	10,000 00	
Brooklyn Museum	04/15/2013	PC	15,000 00	
Miami Children's Chorus	04/18/2013	PC	5,000 00	
S L E Lupus Foundation	04/22/2013	PC	5,000 00	
The Brearley School	04/23/2013	PC	5,000 00	2012-2013 Annual Fund
The Philanthropy Roundtable	04/23/2013	PC	10,000 00	
Goods for Good	04/25/2013	PC	5,000 00	Gala for Good
AIDS Walk New York	05/02/2013	PC	5,000 00	
Planned Parenthood of New York City	05/09/2013	PC	5,000 00	
Columbia University	05/09/2013	PC	1,000 00	
Metropolitan Museum of Art	05/09/2013	PC	1,000 00	
Columbia U Mailman School of Pub Health	05/13/2013	PC	20,000 00	
generationOn	05/14/2013	PC	10,000 00	
Columbia U Mailman School of Pub Health	05/21/2013	PC	6,000 00	
Columbia U Mailman School of Pub Health	06/10/2013	PC	20,000 00	Dean's New Venture Fund
Lincoln Center Theater	06/21/2013	PC	25,000 00	Gersten Production Fund
Columbia U Mailman School of Public Health	06/30/2013	PC	1,557,967 00	
City Harvest, Inc	08/15/2013	PC	10,000 00	
Columbia U Mailman School of Pub Health	08/28/2013	PC	50,000 00	
Citymeals-on-Wheels	08/28/2013	PC	10,000 00	
Texas Children's Hospital	08/29/2013	PC	10,000 00	
Congregation Emanu-El	09/23/2013	PC	3,500 00	
African Parks Foundation of America	09/25/2013	PC	25,000 00	
The Fund for Global Human Rights	09/25/2013	PC	10,000 00	
The Fund for Global Human Rights	10/02/2013	PC	5,000 00	Voices for Justice
Lincoln Center Theater	10/07/2013	PC	5,000 00	
Houston Zoo	10/18/2013	PC	2,500 00	
Congregation Emanu-El	10/23/2013	PC	1,250 00	
S L E Lupus Foundation	10/28/2013	PC	5,500 00	
Brooklyn Museum of Art	10/28/2013	PC	5,000 00	

THE MAILMAN FOUNDATION, INC.

GRANTS PAID

2013

13- 6161556

ORGANIZATION	DATE	STATUS	AMOUNT	RESTRICTION
University of Miami	10/31/2013	PC	10,000 00	Crohn's & Colitis Research
United Way of Greater Houston	10/31/2013	PC	2,500 00	
American Council of Trustees and Alumni	11/25/2013	PC	25,000 00	
American Council of Trustees and Alumni	11/25/2013	PC	3,000 00	
City Harvest, Inc	12/02/2013	PC	20,000 00	
The Chapman Partnership	12/02/2013	PC	6,000 00	
Columbia U Mailman School of Pub Health	12/02/2013	PC	6,000 00	
Dancing Dreams	12/10/2013	PC	2,500 00	
Am Friends of Jewish Museum of Greece	12/16/2013	PC	2,500 00	
New World Symphony	12/16/2013	PC	50,000 00	
Friends of Chamber Music, Inc	12/17/2013	PC	5,000 00	
International Rhino Foundation	12/20/2013	PC	20,000 00	
Fossil Rim Wildlife Center	12/20/2013	PC	20,000 00	
The Perlman Music Program	12/20/2013	PC	25,000 00	
TOTAL GRANTS PAID			<u>2,213,717 00</u>	

THE MAILMAN FOUNDATION, INC.
PLEDGES A/S 12/31/2013

13- 6161556

ORGANIZATION	AMOUNT
CITY HARVEST, INC	80,000
TEXAS CHILDREN'S HOSPITAL	30,000
TOTAL PLEDGES	<u>110,000</u>

SCHEDULE # 4